



OFFICE OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY
SEMIANNUAL REPORT TO CONGRESS

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TABLE OF CONTENTS

Message from the Inspector General of the Intelligence Community 3

Message from the Principal Deputy Inspector General of the Intelligence Community 3

Introduction 4

About Us 6

IC IG Workforce Initiatives..... 7

DIVISION & COMMITTEE REPORTS

 Audit 8

 Inspections and Evaluations..... 10

 Investigations..... 13

 Mission Support 18

 Center for Protected Disclosures 20

 IC IG Hotline..... 26

 Counsel to the Inspector General 27

Intelligence Community Inspectors General Forum..... 29

ODNI Management Challenges 31

The Inspector General Community 32

Recommendations..... 33

Abbreviations and Acronyms 36



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MESSAGE FROM THE INSPECTOR GENERAL

On May 10, 2021, while serving as Acting Inspector General, President Biden nominated me to be the third Inspector General of the Intelligence Community. The United States Senate confirmed my appointment on September 30, and I greatly appreciate the broad bipartisan support I received. I feel honored and privileged to continue serving the Nation in the role of Inspector General.

In accordance with the law, I departed the Office of the Inspector General of the Intelligence Community (IC IG) as my nomination was pending. During the interim, Tamara Johnson, the IC IG's Principal Deputy Inspector General, assumed the role of Acting Inspector General. I am grateful to Tamara for her leadership and steadfast dedication, and also want to thank the entire IC IG team for continuing to accomplish the important IC IG mission.

I recently took the solemn oath of office and, along with the rest of the IC IG team and our partners, will continue doing my level best to ensure the President, the Director of National Intelligence, Congress, and the American people have trust and confidence that the Intelligence Community operates efficiently, effectively, and lawfully in service to our great Nation.

Thomas A. Monheim
Inspector General of the Intelligence Community
October 29, 2021



MESSAGE FROM THE PRINCIPAL DEPUTY INSPECTOR GENERAL

On behalf of the Office of the Inspector General of the Intelligence Community, I am pleased to present our *Semiannual Report (SAR)* for April 1, 2021, through September 30, 2021. I was honored to serve as the Acting Inspector General of the Intelligence Community during the portion of the reporting period that Inspector General Monheim was pending confirmation. I wish to thank the members of the IC IG workforce for their commitment and professionalism during this interim leadership period. The projects captured within the SAR and accompanying classified Annex result from their dedication and hard work.

Over the past six months, the IC IG issued multiple reviews and evaluations, conducted audits, inspections, and investigations, and held meetings of the Intelligence Community Inspectors General Forum and its related committees and working groups. Additionally, the Center for Protected Disclosures and IC IG Hotline continued their mission to provide guidance to individuals about the options and protections afforded to those who make protected disclosures.

The IC IG also released the *Fiscal Year 2021 Office of the Director of National Intelligence Management Challenges Report*, the *Fiscal Year 2022 Annual Work Plan*, and the *Strategic Plan for 2021-2025*. These documents will shape the future of the IC IG and the activities we undertake to improve the Intelligence Community and strengthen the Nation.

Tamara A. Johnson
Principal Deputy Inspector General of the Intelligence Community
October 29, 2021

INTRODUCTION

AUTHORITY

The *Intelligence Authorization Act for Fiscal Year 2010* (IAA), codified at Title 50, United States Code, Section 3033, established the Office of the Inspector General of the Intelligence Community (IC IG) within the Office of the Director of National Intelligence (ODNI). The IC IG has the statutory authority to independently conduct and issue reports on audits, inspections, investigations, and reviews of programs or activities within the Director of National Intelligence's (DNI's) responsibility and authority. The IC IG is authorized to receive and investigate complaints or information from whistleblowers, and to conduct independent reviews of Intelligence Community (IC) whistleblower reprisal claims.

The IAA also established the Intelligence Community Inspectors General Forum (the Forum). The Inspector General of the IC serves as the Chair and Forum members consist of the statutory and administrative Inspectors General with oversight responsibility for an IC element. The Forum provides a venue for coordination and collaboration regarding matters of common interest, questions of jurisdiction, and access to personnel and information that may affect more than one Office of the Inspector General.

MISSION

The IC IG's mission is to promote economy, efficiency, and effectiveness and to prevent and detect fraud, waste, and abuse in the programs and activities within the Director of National Intelligence's responsibility and authority.

VISION

A premier workforce that exemplifies core values and strengthens the Intelligence Community.

CORE VALUES

INTEGRITY

INDEPENDENCE

TRANSPARENCY

ACCOUNTABILITY

DIVERSITY

The Inspector General of the Intelligence Community

STATEMENT OF INDEPENDENCE

The Inspector General of the Intelligence Community is appointed by the President of the United States, with the advice and consent of the United States Senate. By statute, the Inspector General of the IC must be nominated based on integrity, experience, and demonstrated ability, and without regard to political affiliation. Likewise, the IC IG must perform its duties with independence, objectivity, and impartiality. Accordingly, the IC IG's findings and conclusions are not influenced by bias or external interference; they are based on the facts and applicable law.

STATUTORY REQUIREMENTS

Pursuant to 50 U.S.C. § 3033(k)(1)(A), the IC IG shall, not later than October 31 and April 30 of each year, prepare and submit to the Director of National Intelligence a classified and, as appropriate, an unclassified semiannual report summarizing the IC IG's activities during the immediately preceding six-month period ending September 30 and March 31, respectively.

Not later than 30 days after the date of the receipt of the report, the DNI shall transmit the report to the congressional intelligence committees together with any comments the DNI considers appropriate. The DNI shall transmit to the committees of the Senate and of the House of Representatives with jurisdiction over a department of the United States Government any portion of the report involving a component of such department simultaneously with submission of the report to the congressional intelligence committees.

All IC IG inspection and investigation activities conform to standards adopted by the Council of the Inspectors General on Integrity and Efficiency (CIGIE). The IC IG conducts all audit activities in accordance with generally accepted government auditing standards. During this reporting period, the IC IG had full and direct access to all information relevant to the performance of its duties.

ABOUT US

ORGANIZATION

The IC IG employs a highly skilled, committed, and diverse workforce, including permanent employees (cadre), employees from other IC elements and other government entities on detail to the IC IG (detailees), and contractors. Additional personnel details are listed in the classified Annex of the IC IG's SAR.

The IC IG's leadership team comprises the Inspector General (IG), Principal Deputy IG, Counsel to the IG, four Assistant Inspectors General, and one Center Director.



IC IG Workforce Initiatives

IC IG STRATEGIC PLAN

Earlier this year, the IC IG held an internal leadership offsite dedicated to revising its Strategic Plan. We updated our mission, vision, values, and goals to chart the IC IG's way forward. The Strategic Plan, a living document, clarifies the organization's direction and focus, drives organizational alignment by providing a framework for the office's projects and initiatives, and ensures effective resource utilization for maximum impact.

STRATEGIC GOALS

1. Strengthen the foundation of the Office of the Inspector General of the Intelligence Community by recruiting, developing, and retaining a premier workforce and fostering a diverse, inclusive, collaborative, and engaging environment.
2. Conduct high-quality and timely audits, inspections, investigations, reviews, and other activities to improve the integration, economy, and efficiencies of the Office of the Director of National Intelligence and the broader Intelligence Community (IC).
3. Enhance collaboration, coordination, and communication among IC Offices of Inspectors General, Congress, and our domestic and foreign partners.

Each IC IG division identified objectives and initiatives that align with our strategic goals to focus our resources on efforts that create impact and reflect our commitment to the mission. These declarations are captured in the *Strategic Plan 2021–2025*, which was signed in September 2021.

IC IG MANAGEMENT ADVISORY COMMITTEE

The IC IG Management Advisory Committee (MAC) is an employee-driven committee that serves as a catalyst for open communication between IC IG leadership and the workforce. The MAC's mission is to advance the IC IG's core values, promote employee engagement, and enhance the workplace environment. The MAC provides a mechanism for the workforce to raise workforce-driven issues of concern that are corporate in nature and propose recommendations and solutions to IC IG leadership. The committee meets monthly to consider opportunities to increase employee engagement, guide process improvement and office innovation, and strengthen the IC IG's ability to efficiently and effectively achieve its mission. The MAC provides IC IG leadership with recommendations and proposed courses of action to address workforce-identified issues and/or challenges; and assists IC IG leadership in communicating, planning, and carrying out initiatives resulting from MAC initiatives and recommendations.

Earlier this year, the MAC solicited input from the non-managerial workforce to identify topics important to IC IG personnel. Based upon those findings, the MAC issued a survey in April to gather workforce insights on how the office could more effectively leverage data and information management to enhance the value and substance of work products, increase productivity, and strengthen operational efficiency. Information collected from the survey identified data analytics, knowledge management, enhanced communication and collaboration as opportunity areas to increase the office's effectiveness and efficiency. During the reporting period, the MAC sponsored two office-wide engagements to provide an open platform for the IC IG workforce to share suggestions and express concerns.

AUDIT DIVISION

The Audit Division's mission is to conduct independent and objective audits and reviews of ODNI programs and activities, including those nondiscretionary audits required by law. Audit Division activities improve business practices to better support the IC's mission; promote the economy, efficiency, and effectiveness of programs and operations throughout ODNI and the IC; and help reduce fraud, waste, abuse, and mismanagement. Audit work focuses on information technology and security, acquisition policies and practices, project management, business practices, human capital, personnel security, and financial management. Auditors assess whether programs are achieving intended results and whether organizations are complying with laws, regulations, and internal policies in carrying out programs. Audit recommendations enable ODNI management to improve the efficiency and effectiveness of ODNI programs.

AUDIT ACTIVITIES

The Audit Division collaborates with other federal agencies and departments to conduct joint reviews of IC programs and activities. During the reporting period, the Audit Division completed one audit, one evaluation, and one external peer review. In addition, the Audit Division has three ongoing projects in mutual interest areas across the IC Audit community.

On May 14, 2021, the Audit Division reported on its evaluation of the effectiveness and maturity of the ODNI's information security program and practices. The Audit Division performed this evaluation using *FY2020 IG Federal Information Security Modernization Act of 2014* (FISMA) Reporting Metrics developed by the Office of Management and Budget (OMB), Department of Homeland Security, and the Council of the Inspectors General

on Integrity and Efficiency. The auditors assessed ODNI information security policies, procedures, and practices against the five information security function areas outlined in the National Institute of Standards and Technology, *Framework for Improving Critical Infrastructure*, using the maturity model included in the metrics. The report did not make any recommendations. The deficiencies discussed in the report were identified in previously issued IC IG reports that included recommendations to address the deficiencies. Additional details may be found in the classified Annex of the IC IG's SAR.

On September 14, 2021, the Audit Division reported on the results of its audit of ODNI's implementation of the *Cybersecurity Information Sharing Act of 2015* (the Act). Under the Act, the Inspectors General of ODNI and six federal departments (Commerce,

Defense, Energy, Homeland Security, Justice, and the Treasury), in consultation with the IC IG and the Council of Inspectors General on Financial Oversight, must jointly submit to Congress an interagency report on Executive Branch implementation of the Act over the most recent two-year period. The objective of the audit was to assess ODNI's actions taken during calendar years 2019 and 2020 to carry out the Act's requirements. The Audit Division will include the results of its audit in the required interagency report to Congress. Additional details may be found in the classified Annex of the IC IG's SAR.

The Audit Division is conducting a joint review with the Central Intelligence Agency (CIA), Defense Intelligence Agency (DIA), National Geospatial-Intelligence Agency (NGA), National Reconnaissance Office (NRO), National Security Agency (NSA), the

AUDIT DIVISION

AUDIT ACTIVITIES, *continued*

Federal Bureau of Investigation (FBI), and Department of State of data reported by IC elements in response to *Metrics Reporting Requirements for National Security Vetting in Fiscal Year 2018 and Beyond*. The DNI, as the Security Executive Agent, is responsible for assuring the quality, timeliness, consistency, and integrity of national security vetting practices. The audit will determine whether IC elements accurately captured, documented, and reported required security clearance processing timeliness

information and whether challenges exist at the IC elements that contribute to non-compliance with reporting requirements. The pandemic has impacted the schedule for completing this joint review.

In addition, the Audit Division is preparing a report to Congress on the consolidated results of the ODNI and the Departments of Commerce, Defense, Energy, Homeland Security, Justice, and Treasury Offices of Inspectors General's respective audits or evaluations

of their agencies' implementation of the *Cybersecurity Information Sharing Act*, Section 107(b) for calendar years 2019 and 2020. This report will be issued in December 2021, as required.

The Audit Division also led a joint team conducting an external peer review of the NSA Office of the Inspector General (OIG) audit organization's system of quality controls.

AUDIT COMMITTEE

In June and September 2021, the Audit Division hosted the Intelligence Community Inspectors General Forum's Audit Committee and Cybersecurity Subcommittee quarterly meetings to discuss multiple topics of community interest. The June 2021 virtual meeting included a discussion on congressional interest in expanding *Federal Information Security Modernization Act of 2014* (FISMA) coverage of remote-access information system security. The September 2021 virtual meeting focused on FISMA Fiscal Year (FY) 2021 reporting updates and OPM's revisions to the 0511 series requirements for performance auditors. The committee also discussed COVID-19 recovery and reconstitution and its impact on audit operations.

The ongoing pandemic has impacted the Audit Committee and Cybersecurity Subcommittee quarterly meetings. Members continue to collaborate virtually, as needed. The next Audit Committee quarterly meeting is currently scheduled for December 2021.

INSPECTIONS & EVALUATIONS DIVISION

The Inspections and Evaluations (I&E) Division's mission is to conduct oversight activities of programs within the DNI's responsibility and authority. The I&E Division provides the IC IG with an alternative mechanism to traditional audit and investigative disciplines to assess ODNI and IC programs and activities. The Council of the Inspectors General on Integrity and Efficiency's (CIGIE's) *Quality Standards for Inspections and Evaluations* (Blue Book) gives the Division flexibility to develop tailored approaches for determining efficiency, effectiveness, impact, and/or sustainability of agency operations, programs, or policies. The I&E Division is uniquely structured to conduct expedited management and program evaluations, and respond to priority issues of concern to the ODNI, the IC, Congress, and the public.

The I&E Division conducts systemic and independent inspections and evaluations of ODNI components and IC elements, and it issues factual, evidence-based findings that are timely, credible, and useful for managers, policymakers, and stakeholders. Conclusions drawn from the results of inspections and evaluations generate recommendations for decision makers to streamline operations, revise regulations as necessary, improve customer service, and minimize inefficient and ineffective procedures. They also improve the performance and integration of the ODNI and the broader IC. The multidisciplinary staff uses various methods for gathering and evaluating data, including analyzing information; measuring performance; determining compliance with applicable law, regulation, and/or policy; identifying savings and funds put to better use; sharing best practices or promising approaches; and assessing allegations of inefficiency, ineffectiveness, and mismanagement.

DIVISION PROJECTS

INS-2021-001: Classification Review of the Office of the Director of National Intelligence, Fiscal Year 2020 (September 2021)

The Office of the Inspector General of the Intelligence Community completed the Classification Review of the Office of the Director of National Intelligence, Fiscal Year 2020. The *National Defense Authorization Act for Fiscal Year 2020* (NDAA), Public Law 116-92 § 6721, required the IC IG to submit an annual report on the ODNI's classification and declassification processes to the congressional intelligence committees for the next three years. The NDAA required the IC IG to evaluate the accuracy of ODNI's application of classification and handling markers on a representative sample of finished reports, including reports that are compartmented; compliance with declassification procedures; and the effectiveness of processes for identifying topics of public or historical importance that merit prioritization for declassification review. The report submitted to the Director of National Intelligence and the congressional intelligence committees included three recommendations focused on improving classification management procedures.

Additional details may be found in the classified Annex of the IC IG's SAR.

INSPECTIONS & EVALUATIONS DIVISION

DIVISION PROJECTS, *continued*

INS-2019-002: Evaluation of the Effectiveness of the Intelligence Community Foreign Language Program (August 2021)

The ODNI has made some progress to improve the Intelligence Community Foreign Language Program's (ICFLP). However, ODNI's management approach to implementing the program requires fundamental change. The ODNI's implementation of the ICFLP lacks the internal control mechanisms necessary for effective and efficient progress towards accomplishing established objectives. The IC IG found significant evidence indicating corrective action is warranted in three key areas of the ICFLP:

1. Linkage between ICFLP initiatives and stakeholder priorities is inconsistent.
2. The foreign language funding path is indistinct.
3. The ICFLP's governance infrastructure lacks depth and a clear sense of purpose.

Challenges associated with exploiting information gathered in foreign languages are longstanding. Foreign language capabilities are considered a fundamental asset. Despite this widely acknowledged level of importance, the commitment to foreign language has been mixed. The consequence is that translating the "importance" of foreign language to the IC into cohesive, comprehensive, and measurable action remains the ICFLP's immutable challenge.

The IC IG's evaluation of the effectiveness of the ODNI's execution of enterprise management responsibilities and functions related to the ICFLP encompassed Fiscal Years 2005-2019. The evaluation examined outcomes against foreign language strategic objectives, advocacy for budgetary resources, and the ODNI's progress in managing the ICFLP. This evaluation and its recommendations will inform Intelligence Community leadership of decisions related to the future of the ICFLP. The IC IG submitted a classified report containing nine recommendations to the Director of National Intelligence. The ODNI concurred with all nine recommendations.

INS-2019-004: Evaluation of the Implementation of Intelligence Community Directive 701, Unauthorized Disclosures of Classified National Security Information (July 2021)

The IC IG completed a review of the Intelligence Community's Implementation of Intelligence Community Directive (ICD) 701, *Unauthorized Disclosures of Classified National Security Information*. ICD 701 governs Intelligence Community efforts to deter, detect, report, and investigate unauthorized disclosures of classified national security information. To assess implementation, IC IG inspectors engaged with representatives from 17 IC elements and included data from January 2018 to December 2019. The report submitted to the Director of National Intelligence included three recommendations. The ODNI concurred with all three recommendations.

Additional details may be found in the classified Annex of the IC IG's SAR.

INSPECTIONS & EVALUATIONS DIVISION

DIVISION PROJECTS, *continued*

INS-2021-004: Review of the Intelligence Community Analytic Ombudspersons' Complaint Processes (July 2021)

Pursuant to direction set forth in the classified Annex to the Joint Explanatory Statement accompanying the *Intelligence Authorization Act for Fiscal Year 2021*, Pub. L. No. 116-260, div. W, § 3 (Dec. 27, 2020), the IC IG completed a review of the Intelligence Community Intelligence Community Analytic Ombudspersons' Complaint Processes in July 2021.

The IC IG provided a memorandum summarizing the results of the review to the Director of National Intelligence and Congress. The memorandum included the requested data and related analysis. The memorandum did not include any recommendations.

INSPECTIONS COMMITTEE

The Inspections Committee continued adapting to the impact of COVID-19 by meeting virtually in the fourth quarter of FY 2021 to discuss multiple topics of community interest. Committee members discussed their FY 2022 work planning activities and shared preliminary project topics to facilitate advance planning opportunities for future joint or concurrent work, best practices, and lessons learned. Topics included congressionally directed reporting requirements, legislative updates, processes related to peer review scheduling and execution, and re-branding initiatives to more clearly define the impact that inspections and evaluations have on the mission of OIGs.

Committee members continued to discuss provisions of the *National Defense Authorization Act for Fiscal Year 2020* § 6721, related to Inspectors General reports on classification. The Inspections Committee agreed to continue the previous working group's efforts to coordinate agency OIG objectives to allow for more succinct IC enterprise capstone reporting. The working group will continue to focus on addressing key deliverables and synchronizing efforts to ensure the information

is presented uniformly. Additionally, Committee members agreed to establish a new working group to synchronize IC OIG efforts to address requirements in the *Intelligence Authorization Act for Fiscal Year 2016*, Pub. L. No. 114-113, OIG reviews of agency Enhanced Personnel Security Programs. Like the Classification Working Group, this working group will also be tasked with the same synchronization and coordination requirements.

Committee members also discussed plans to be peer reviewed, as well as participation in external peer reviews beginning in FY 2022. The Inspections Committee Chair will continue to work with IC OIGs on peer review schedules as appropriate.

The Inspections Committee Chair also discussed the establishment of an IC IG Forum Inspections Committee web portal that will be used to facilitate information sharing. The web portal will include a discussion board, repository for sharing templates, and other best practices.

INVESTIGATIONS DIVISION

The Investigations Division conducts criminal and administrative investigations, including those arising from complaints or information from any person concerning the existence of an activity within the authorities and responsibilities of the DNI constituting a violation of laws, rules, or regulations; or mismanagement, gross waste of funds, abuse of authority, or a substantial and specific danger to the public health and safety. These investigations are both proactive and reactive in form. The Investigations Division also identifies and reports internal control weaknesses that could render ODNI or other IC programs and systems vulnerable to exploitation, or that could be used for illicit activity, and plays a principal role in tracking, monitoring, and investigating unauthorized disclosures of classified information.

The Investigations Division's ability to investigate programs and activities within the authorities and responsibilities of the DNI enables the Division to partner with, and provide assistance to, other OIGs conducting investigations concerning IC programs and activities. In addition, the Investigations Division is able to coordinate and assist with the prosecution of criminal matters arising from the other intelligence agencies, including CIA, DIA, NGA, NRO, NSA, and ODNI.

The IC IG's investigation activities conform to standards adopted by CIGIE.

INVESTIGATIVE ACTIVITY OVERVIEW

During this reporting period, the Investigations Division continued its efforts on five previously reported joint criminal investigations, and initiated two additional joint investigations. The investigations involve a variety of potential offenses, including fraud, counterintelligence, intelligence oversight, unauthorized disclosure, procurement fraud, and public corruption. Partners include other IC OIGs, the FBI, other federal investigative agencies, the Department of Justice Public Integrity Section, and the U.S. Attorney's Office for the Eastern District of Virginia. Due to the size, scope, and complexity of these joint investigations, we expect our investigative and support efforts to continue into the next reporting period.



The Investigations Division currently has 28 ongoing investigations (see table on page 14), and issued 6 investigative reports this reporting period. Several reports' findings resulted in recovery of almost \$100,000 related to labor mischarging for the United States Government. Additionally, the Investigations Division identified numerous internal control deficiencies in ODNI and IC programs. As a result, IC IG made a series of targeted recommendations to ODNI senior leadership that was designed to mitigate risk associated with these deficiencies and prevent recurrence of problematic circumstances. The Investigations Division also referred matters to other IC OIGs and external law enforcement partners for action as appropriate.

INVESTIGATIONS DIVISION: Ongoing Investigations Summary

TABLE 2

Data contained in this report and table was obtained from the IC IG Case Management Tracking System.



The IC IG did not issue any subpoenas during this reporting period.

# OF CASES	CASE SUBJECT / ALLEGATION
2	Contract and Procurement Fraud
3	Employee Misconduct
1	Unauthorized Disclosure
5	Contractor Cost Mischarging (Labor)
5	Misuse of Government Property (Computer)
4	Abuse of Authority/Retaliation/Reprisal
2	Time and Attendance Fraud
1	Intelligence Oversight
1	Waste of Government Resources
1	Post Government Employment Restrictions
1	Conflict of Interest
1	Contractor Misconduct
1	<i>Uniform Services Employment and Reemployment Rights Act Violation</i>
28	TOTAL OPEN INVESTIGATIONS

INVESTIGATIONS DIVISION

SUMMARIES OF PUBLISHED REPORTS

Misuse of Government Systems

Our investigation substantiated an allegation that a contract employee misused the government unclassified computer systems to support their personal business. Unrelated to this investigation, in March 2019, the employee was arrested for sexually abusing a minor, and received a 12-year sentence, with 7 years and 8 months suspended, and has remained incarcerated since the arrest. We found no indication the employee used their government employment to engage in or facilitate the criminal conduct or any other criminal activity involving children. The employee's security clearance was terminated on the day of the arrest. No further disciplinary action is pending.



Time and Attendance Fraud

Our investigation substantiated allegations of false official statements, false claims, and theft of public funds by a government employee. We determined the employee fraudulently submitted timesheets claiming a total of 346 hours not worked. The loss to the U.S. Government is estimated at \$20,424.38. Additionally, the investigation concluded that the employee violated 50 U.S.C. § 3033 when the individual failed to cooperate with requests for documents they claimed existed, or in the alternative, when they failed to provide accurate, candid, complete, and forthcoming responses regarding the existence of those documents. The employee is currently on administrative leave, pending further disciplinary action.

Employee Misconduct

Our investigation did not discover audit or testimonial evidence to establish that a government employee violated OMB Circular A-123 by simultaneously obligating and approving financial vouchers nor did the investigation conclude that the employee improperly entered into a contract for conference services without proper authority. Instead, the investigation determined the delegations of authority, review and approval processes, and unclear supervisory direction created ambiguity, and investigators evidenced systemic or process weaknesses rather than individual misconduct for which disciplinary action would be appropriate. IC IG tailored specific recommendations to remedy the ambiguities and process weaknesses and to implement measures to establish clearer lines of authority for those involved in conference and security planning and acquisitions.

INVESTIGATIONS DIVISION

SUMMARIES OF PUBLISHED REPORTS, *continued*

Contractor Cost Mischarging

Our investigation determined that a contractor employee misused government computers by using the Agency Internet Network for personal use and in support of outside business ventures. The employee also violated agency regulations when they did not report nor obtain the requisite approvals for the outside employment. The investigation also determined the employee engaged in labor mischarging when the employee prepared, signed, and submitted timesheets from July 26, 2017, through August 2, 2019, totaling 574.50 hours not worked. The loss to the government is approximately \$52,176. Recoupment of funds is still pending.

Misuse of Government Funds

Our investigation did not substantiate an allegation that a GS-15 employee misused government funds by seeking and obtaining a non-competed Joint Duty Assignment (JDA) overseas that resulted in \$1,492,000 cost to the U.S. Government for a position that had no preexisting or sustained need. Although the IC IG investigation did not substantiate the allegations against the employee, the investigation did identify programmatic deficiencies within the JDA program that should be properly scrutinized and remediated.

Contractor Cost Mischarging

Our investigation substantiated an allegation that a contract employee prepared, signed, and submitted time cards to a contracting company between September 4, 2018, and August 30, 2019, totaling 164.75 regular hours that the individual did not work, thereby causing the company to submit false invoices to the government. The loss to the U.S. Government, absent any fees, is approximately \$19,264. Recoupment of funds is still pending.

REPORTING OF UNAUTHORIZED PUBLIC DISCLOSURE OF CLASSIFIED INFORMATION

Title 50 U.S.C. Section 3235 requires the IC IG to submit to the congressional intelligence committees a semiannual report regarding the number of investigations opened by the IC IG regarding unauthorized public disclosure of classified information; the number of investigations completed by the IC IG regarding an unauthorized public disclosure of classified information; and the number of completed investigations that the IC IG referred to the Attorney General for criminal investigation. In accordance with this statutory requirement, the IC IG reports that during the period from April 1, 2021, through September 30, 2021, the IC IG initiated one joint investigation of an unauthorized public disclosure of classified information. The IC IG did not complete any such investigations, nor did it refer any such investigations to the Attorney General for criminal investigation during the reporting period.

INVESTIGATIONS COMMITTEE

The Council of the Inspectors General on Integrity and Efficiency (CIGIE) established an external peer review program to assure OIGs and their stakeholders of an investigations organization's compliance with CIGIE *Quality Standards for Investigations* (Silver Book) standards.

In 2019, the U.S. Government Accountability Office (GAO) recommended the IC IG develop a process to facilitate external quality assurance reviews of IC OIGs' Investigations programs. In response to GAO's recommendation, in March and June of 2021, the Chair of the IC IG's Assistant Inspector General for Investigations (AIGI) Forum, in collaboration with the Forum's members, implemented a "team approach" concept for conducting external quality assurance (peer) reviews, consistent with CIGIE's *Quality Standards for Investigations*. This approach allows for an investigator from each IC OIG Investigations Division to participate in the scheduled reviews. While a senior investigator will lead each review, it also affords an opportunity to teach and train less-experienced investigators who would not normally participate in such reviews. Based on the current

schedule, the IC IG Investigations Division will support peer reviews of NSA's and DIA's investigations programs during Fiscal Year 2022.

As Chair of the IC IG's Investigations Committee, the IC IG Assistant Inspector General for Investigations will continue to serve as the peer review coordinator for the Intelligence Community OIGs investigations programs and provide updates to the CIGIE Investigations Committee, as needed and/or required.

In June, the Investigations Committee met in person and virtually with members to discuss the role of the OIGs in the implementation of ICD 701. Members from both the IC IG Investigations Division and the ODNI National Counterintelligence and Security Center (NCSC) briefed on the occurrence of unauthorized disclosures within the Intelligence Community, trends, possible training and education efforts, and the IC-element OIGs responsibilities related to reporting. In addition, the Investigations Division, coupled with NCSC, began conducting informational sessions with IC elements to obtain their understanding of the processes and procedures

for handling and reporting unauthorized disclosures. We expect these engagements to continue into the next reporting period.

The Investigations Committee convened for a quarterly meeting in September featuring a presentation by guest speakers from the FBI. The presentation focused on virtual currency and the role virtual currency plays in various types of illegal activity. The presentation also outlined investigative strategies and highlighted the importance of collaborative effort, the benefits of sharing information, and proactive joint fraud projects. The Committee also discussed possible strategies for investigating pandemic fraud-related initiatives as set forth by CIGIE's Pandemic Response Accountability Committee.

MISSION SUPPORT DIVISION

The Mission Support Division (MSD) provides management and administrative support to the entire IC IG. MSD comprises multidisciplinary officers who provide expertise in financial management, human capital and talent management, facilities and logistics management, continuity of operations, administration, classification reviews, *Freedom of Information Act* (FOIA) and *Privacy Act* requests, information technology, communications, and quality assurance. MSD also delivers executive support to the Forum and its committees.

MISSION SUPPORT FUNCTIONS

Human Capital

Planning & Oversight

Information Technology

Communications

FOIA & Classification

MSD supports operational matters across a range of functions, including strategy development, strategy performance oversight, internal management and alignment of resources to IC IG goals and priorities, implementation of cross-cutting business processes, management of support to the statutory Intelligence Community Inspectors General Forum, budget, manpower, contracts, security, information technology, facilities, logistics, quality assurance, information management, classification, FOIA operations, and continuity of operations/emergency preparedness. Additionally, MSD supports human capital and communications activities, including shaping and executing the office's human capital strategy, initiatives, and tactical plan, as well as all IC IG outreach activities, such as media engagements, strategic communications, corporate identity and brand management, and visual communication.

During this reporting period, ODNI provided the IC IG adequate funding to fulfill its mission. The budget covered personnel services and general support, including travel, training, equipment, supplies, information technology support, and office automation requirements.

MISSION SUPPORT DIVISION

INTELLIGENCE COMMUNITY INSPECTORS GENERAL CONFERENCE AND AWARDS PROGRAM

The Mission Support Division plans and executes the annual Intelligence Community Inspectors General Conference and Awards Program. Given the current state of the pandemic and concerns over the spread of COVID-19, the 2020 and 2021 conferences were canceled. The IC IG, along with the Intelligence Community Inspectors General Forum, plans to host an in-person conference at the National Geospatial-Intelligence Agency in April 2022, and a separate awards ceremony honoring the recipients of the 2019, 2020, and 2021 Intelligence Community Inspectors General Awards in May 2022.

MANAGEMENT AND ADMINISTRATION COMMITTEE

MSD hosted meetings of the Forum's Management and Administration Committee in April and July. Due to the ongoing pandemic, personnel management has been a top challenge for elements across the community. As such, the Management and Administration Committee focused largely on workforce management topics such as employee assistance programs, the Council of the Inspectors General on Integrity and Efficiency's training and professional development virtual training options, ensuring continued focus on diversity and inclusion, and staffing and recruiting in a new remote work environment.

CENTER FOR PROTECTED DISCLOSURES

The IC IG's Center for Protected Disclosures (the Center) processes whistleblower disclosures and complaints and provides guidance to individuals about the options and protections afforded to those who may wish to make protected disclosures to the IC IG and/or Congress, or who believe they suffered reprisal because they made a protected disclosure.



Whistleblowing is the lawful disclosure to an authorized recipient of information a person reasonably believes evidences wrongdoing. It is the mechanism to relay the right information to the right people to counter wrongdoing and promote the proper, effective, and efficient performance of the IC's mission. Whistleblowing in the IC is extremely important as it ensures that personnel can "say something" when they "see something" through formal reporting procedures without harming national security and without retaliation.

CENTER FOR PROTECTED DISCLOSURES

FOUR CRITICAL FUNCTIONS FOR IC WHISTLEBLOWERS

The Center performs four critical functions for whistleblowers in the IC.

1 The Center, through the IC IG Hotline program, receives and processes whistleblower complaints of fraud, waste, abuse, or mismanagement of programs and activities within the responsibility of the DNI. There are six ways for individuals to report their concerns to the IC IG Hotline: (1) public and secure telephone numbers; (2) public and secure websites; (3) walk-in meetings at the IC IG’s offices; (4) USPS mail; (5) fax; and (6) drop boxes in ODNI facilities.

2 The Center, through the IC IG Hotline program, also receives and processes allegations of “urgent concerns” pursuant to the *Intelligence Community Whistleblower Protection Act* (ICWPA), which has been codified as part of the IC IG’s authorizing statute, 50 United States Code § 3033(k)(5). The ICWPA established a process to ensure that the DNI and congressional intelligence committees receive disclosures regarding certain types of wrongdoing, including serious or flagrant problems, abuses, violations of law or executive order, or deficiencies relating to the funding, administration, or operation of an intelligence activity.

In order to submit an “urgent concern” to the IC IG, the law requires that a submitter be “[a]n employee of an element of the intelligence community, an employee assigned or detailed to an element of the intelligence community, or an employee of a contractor to the intelligence community.” The ICWPA also requires that a submitter provide a complaint or information with respect to an “urgent concern.”

An Urgent Concern is defined as one of the following: _____

A serious or flagrant problem, abuse, violation of the law or Executive order, or deficiency relating to the funding, administration, or operation of an intelligence activity within the responsibility and authority of the Director of National Intelligence involving classified information, but does not include differences of opinions concerning public policy matters.

A false statement to Congress, or a willful withholding from Congress, on an issue of material fact relating to the funding, administration, or operation of an intelligence activity.

An action, including a personnel action described in section 2302(a)(2)(A) of title 5, United States Code, constituting reprisal or threat of reprisal prohibited under subsection (g)(3)(B) of this section in response to an employee’s reporting an “urgent concern” in accordance with this paragraph.

CENTER FOR PROTECTED DISCLOSURES

FOUR CRITICAL FUNCTIONS FOR IC WHISTLEBLOWERS, *continued*

If the IC IG determines that the complaint falls within the jurisdiction of the ICWPA, then the IC IG must, within 14 calendar days, determine whether the “urgent concern” allegation “appear[s] credible.” The Center tracks all ICWPA disclosures, ensures review of materials for classified information, and coordinates disclosures with other OIGs for appropriate review and disposition.

3 The Center adjudicates requests by IC employees and contractors for the IC IG to review their allegations of reprisal under PPD-19, *Protecting Whistleblowers with Access to Classified Information*, and 50 U.S.C. §§ 3234 and 3341(j). These authorities prohibit acts of reprisal against employees and contractors serving in the IC, as well as those who are eligible for access to classified information, who make protected disclosures.

The Center also reviews determinations regarding whistleblower reprisal allegations made by other IC OIGs and determinations by non-IC OIGs regarding security clearance determinations alleged to be in reprisal for making a protected disclosure. A whistleblower who has exhausted his or her home agency’s whistleblower protection processes may request an External Review Panel (ERP). Under appropriate circumstances, the IC IG may convene an ERP and review the matter.

4 The Center conducts outreach across the community. The Center provides guidance to personnel seeking more information about the options and protections afforded to individuals who may wish to make a protected disclosure to the IC IG and/or Congress, or who believe they have suffered reprisal because they made a protected disclosure. The IC IG conducts community outreach and training activities to ensure stakeholders have accurate and consistent whistleblowing information relating to these and other matters.

CENTER FOR PROTECTED DISCLOSURES

NOTABLE ACTIVITIES DURING THIS REPORTING PERIOD

URGENT CONCERNS & ERPS

- The Center received whistleblower disclosures, made referrals to other divisions and agencies, reviewed reports of “urgent concern,” and evaluated requests for ERPs.
- The Center received and processed four “urgent concern” allegations. Although none of the four filings met the threshold requiring reporting under the ICWPA, in two of the matters the IC IG notified the DNI of the substance of the complaints under other authorities. In one of the ICWPA filings, the IC IG assisted the Filer in exercising 50 U.S.C. § 3033(k)(5)(D) rights to report the concerns to the congressional committees. The IC IG subsequently forwarded the matter to the congressional intelligence committees on behalf of the DNI and the Filer.

OUTREACH & COLLABORATION

- The Center routinely engaged with other OIGs to collaborate on a wide range of topics, including specific complaints, document requests, information sharing, and information technology.
- The Center Director provided targeted whistleblower training to the IC IG staff focused on whistleblower rights and responsibilities as an employee of an office of Inspector General and the processes and procedures for receiving protected disclosures as a consequence of an employee’s position in an OIG. Additionally, the Center Director also participated in outreach training by teaching a seminar at the National Intelligence University (NIU) regarding whistleblowing in the IC as part of the NIU National Security Law and Intelligence Ethics course.
- The Center, with the support of IC IG cadre, hosted two outreach events at Liberty Crossing and Intelligence Community Campus – Bethesda. On July 30, 2021, the IC IG celebrated Whistleblower Appreciation Day with informational tables at both locations. The event was well attended and included a visit from the Director of National Intelligence, who also published a letter in recognition and support of whistleblowers in the IC. In addition, on September 29, 2021, the IC IG held a similar event in recognition of National Compliance Officer Day recognizing the contributions of compliance professionals to the efficient administration and operation of our institutions. Both events elevated the IC IG’s accessibility and visibility and provided opportunities to engage with the workforce.

CENTER FOR PROTECTED DISCLOSURES

NOTABLE ACTIVITIES DURING THIS REPORTING PERIOD

CONGRESSIONAL ENGAGEMENT

- The Center submitted to Congress two quarterly reports detailing the number, nature, and trends of complaints processed by the IC IG Hotline in the second and third quarters of FY 2021. These reports comprise an ongoing series of Hotline reports pursuant to the *Intelligence Authorization Act for Fiscal Year 2021*. Based on congressional feedback, the IC IG refined its intake categories contained in the first quarterly report to capture more detailed information, which will enhance the IC IG’s ability to identify potential trends and areas of concern based on Hotline reporting. The IC IG further revised the second and third quarterly reports for FY 2021, to align with the classified annex accompanying the *Intelligence Authorization Act for Fiscal Year 2021*, which requires the IC IG to “provide quarterly updates to the congressional intelligence committees on the complaints submitted to the IC IG Hotline and an analysis of the trends in the *complaints*, e.g., numbers, topics” (emphasis added). The IC IG Hotline considers a “complaint” any contact in which an individual reports to the Hotline an allegation or information that potentially concerns a program or activity within the responsibility and authority of the Director of National Intelligence. The SAR reports on all new “contacts” logged during the reporting period. A contact includes “complaints,” as defined above, and other matters received through the Hotline, such as suitability checks, request for information, and other, non-substantive, commercial, or frivolous contacts.

CENTER FOR PROTECTED DISCLOSURES

IC HOTLINE WORKING GROUP

The Center hosted an IC Hotline Working Group meeting in August. The Working Group meets semiannually and includes members from OIGs from CIA, DIA, NGA, NSA, NRO, and the IC IG. Topics discussed during the meeting related to hotline metrics and information technology capabilities and referrals for action or information. Additionally, the Center Director provided an overview of updated ERP procedures. These engagements further collaboration and engagement throughout the IC.

WHISTLEBLOWER WORKING GROUP

The Center for Protected Disclosures broadened its whistleblower outreach during this period by participating in various forum and working group discussions. Specifically, the Center participated in both the Hotline and Investigations working groups giving presentations on the recently published IC IG ERP standards and taking questions from the working group members. These discussions also provided additional insight on OIG structure and information sharing across the various agencies. The Center Director also engaged directly with forum partners in tailored, one-on-one discussions concerning whistleblower matters and best practices.

EXTERNAL REVIEW PANEL REQUESTS¹

The Center received eleven new ERP requests during the reporting period and closed seven. The Center conducts an initial assessment and review of materials submitted by both the complainant and the complainant's employing agency prior to reaching a determination. In total, the Center has one open ERP and six initial assessments of ERP requests currently pending. Additionally, the IC IG has ten ERP requests in abeyance pending receipt of evidence files from the local IG.² During this period there were no External Review Panel determinations issued nor prior Panel recommendations awaiting responses from the heads of agencies.

¹ Specific reporting required under 50 U.S.C. § 3236(e)(2).

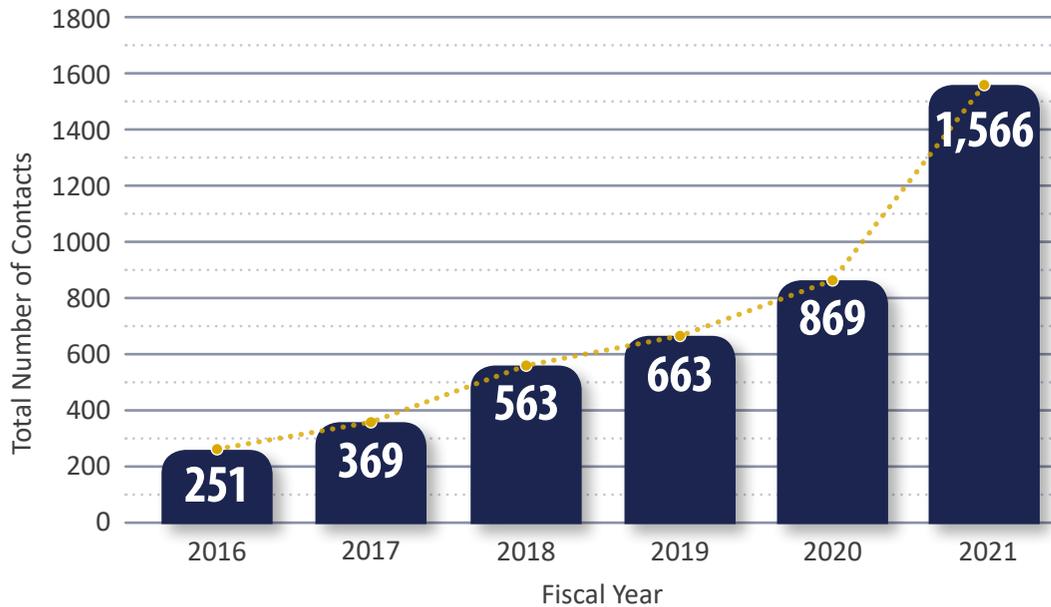
² The IC IG is working with the Department of Defense (DoD) OIG to resolve evidence production requests for materials not produced by the DoD OIG. DoD OIG is working to obtain permission of the documents' owners and originators to share those documents with the IC IG. IC IG expects this matter to be soon resolved and appreciates DoD's proactive assistance addressing this request.

IC IG HOTLINE

... Report suspected fraud, waste, and abuse.

The IC IG Hotline provides a confidential means for Intelligence Community employees, contractors, and the public to report information concerning suspected fraud, waste, and abuse of programs and activities within the responsibility and authority of the Director of National Intelligence. The Hotline can be contacted via classified and unclassified email and phone lines, U.S. mail, secure web submissions, walk-ins, fax and drop boxes located in select ODNI facilities.

IC IG NEW CONTACTS LOGGED BY FISCAL YEAR



NEW CONTACTS
LOGGED THIS REPORTING PERIOD

 **907**

METHODS OF CONTACT



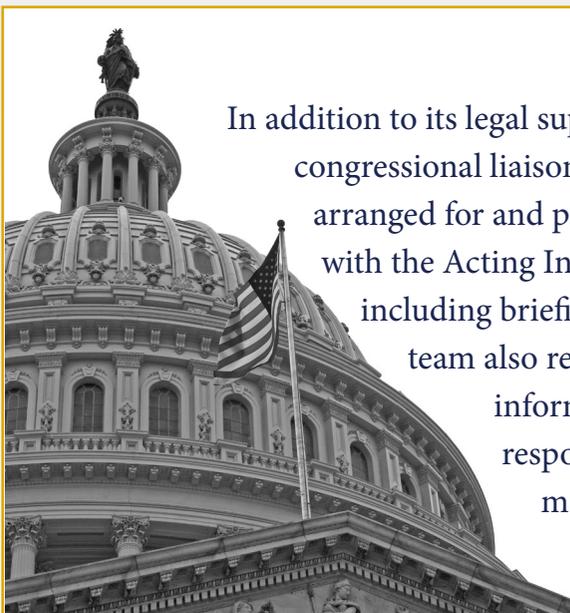
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COUNSEL TO THE INSPECTOR GENERAL

The Counsel's office ensures that the Inspector General and the other members of the IC IG team receive independent, confidential legal advice and policy counsel.

The Counsel team provides legal support and guidance designed to meet the unique needs of each IC IG component. In support of the Investigations Division, the Counsel team, among other things, highlights and provides advice on potential legal issues that may require additional or redirected investigative efforts throughout the investigative process. To support the activities of the Audit Division and the I&E Division, the Counsel team identifies and interprets key policy, contract, and legal provisions relevant to reported observations, findings, and recommendations. In support of the IC IG's whistleblower program, the Counsel team assists the Center in developing policies and procedures, evaluating whistleblower disclosures, and providing legal advice for ERPs. The Counsel team also provides enterprise legal and policy guidance, and reviews matters related

to IC IG personnel, administration, training, ethics, independence, and budgetary functions. Attorneys from the Counsel's office also participate in the Forum, the Forum's Counsels Committee, the IC IG Data Analytics Working Group, and working groups of the Five Eyes Intelligence Oversight and Review Council.



In addition to its legal support role, the Counsel team serves as the IC IG's congressional liaison. During the reporting period, the Counsel team arranged for and participated in several congressional briefings with the Acting Inspector General and senior IC IG leadership, including briefings to bipartisan, bicameral staff. The Counsel team also responded to formal congressional requests for information and reported on audits and reviews in response to congressional interest and legislative mandates.

COUNSEL TO THE INSPECTOR GENERAL

CONGRESSIONAL ENGAGEMENTS

NOMINATION

- During this reporting period, the IC IG Counsel's office supported all aspects of the confirmation process for Thomas Monheim, President Biden's nominee for Inspector General of the Intelligence Community. The United States Senate confirmed Inspector General Monheim on September 30, 2021.

BRIEFINGS

- During this reporting period, the IC IG participated in multiple engagements on a bipartisan basis with staff of the congressional intelligence committees, including, but not limited to, the following: offering and holding briefings for staff of the Senate Select Committee on Intelligence (SSCI) and House Permanent Select Committee on Intelligence (HPSCI), Senate Appropriations Subcommittee - Defense (SAC-D), and House Appropriations Committee - Defense (HAC-D) regarding IC IG staffing in response to congressional direction in the *Intelligence Authorization Act for Fiscal Year 2021*; and at the request of SSCI staff, facilitating a briefing on the IC IG's operations, priorities, and current posture.

REQUESTS AND INQUIRIES

- During this reporting period, the IC IG responded to multiple letters, emails, and phone calls from the congressional intelligence committees, as well as other Members and congressional staff, to address questions regarding pending legislation and other matters within the IC IG's jurisdiction.
- The IC IG Counsel's office coordinated, drafted, and submitted several IC IG Forum Counsel Committee memoranda expressing views on pending and proposed legislation, provided a consolidated summary of planned and ongoing reviews related to the COVID-19 pandemic, and tracked and advanced coordination of IC IG Forum responses to congressionally directed actions.

COUNSELS COMMITTEE

The Forum's Counsels Committee meets regularly to discuss legal and policy issues of common interest to the IC and to promote the consistent interpretation of statutes, regulations, policies, and Executive Orders. The Counsels Committee operates with the goal of providing legal analysis of, and options relating to, issues of particular importance to the Forum.

During this reporting period, the Counsels Committee discussed and collaborated on key initiatives, including expressing collective views on proposed legislation, responding to and advancing congressionally directed actions, drafting and discussing IC IG Forum governance, and addressing other important matters of common interest.

THE FORUM

INTELLIGENCE COMMUNITY INSPECTORS GENERAL FORUM



Office of the Inspector General of the Intelligence Community (CHAIR)

One of the most significant ways the Inspector General of the Intelligence Community works to improve integration of the IC is through the Intelligence Community Inspectors General Forum (the Forum). By statute, the Forum consists of statutory or administrative Inspectors General with oversight responsibility for an element of the IC. The IC IG is the Chair of the Forum.

Through the Forum, members can learn about the work of individual members that may be of common interest and discuss matters related to jurisdiction or access to information and staff. As Chair, the IC IG leads the Forum by coordinating efforts to find joint solutions to mutual challenges for improved integration among the Forum members. Forum committees, topic-specific working groups, and subject matter experts generate ideas to address shared concerns and mutual challenges for consideration and decision by the Inspectors General.



Central Intelligence Agency
Office of the Inspector General



Defense Intelligence Agency
Office of the Inspector General



Department of Defense
Office of the Inspector General



Department of Energy
Office of the Inspector General



Department of Homeland Security
Office of the Inspector General



Department of Justice
Office of the Inspector General



Department of State
Office of the Inspector General



Department of the Treasury
Office of the Inspector General



National Geospatial-Intelligence Agency
Office of the Inspector General



National Reconnaissance Office
Office of the Inspector General



National Security Agency
Office of the Inspector General

IC IG Forum Meeting Summaries

THE FORUM

The Intelligence Community Inspectors General Forum held a meeting in September with senior representatives from the Government Accountability Office (GAO). As Artificial Intelligence continues to be an issue of great interest to the oversight community, the Forum welcomed GAO's Chief Data Scientist and Assistant Director of Science Technology to address the organization's recently released Artificial Intelligence Framework. The Director of the Strategic Warfare and Intelligence Portfolio also briefed the Forum on GAO's intelligence projects and led an open dialogue with Forum members.

Additionally, the group discussed the upcoming Five Eyes Intelligence Oversight and Review Council's Annual Conference and briefed projects included in the *FY 2022 Annual Work Plan*. The Forum will reconvene in December.

DEPUTIES COMMITTEE

The Deputies Committee, led by the Principal Deputy Inspector General, convened twice during the reporting period. In light of the pandemic's effect on the workforce, a representative from the Employee Assistance Program joined the session in May to address tools and strategies needed to support staff during the return to operations. The group also explored an IC OIG Classification Working Group, discussed the 2022 Intelligence Community Inspectors General Awards ceremony, and reviewed the continued effort to establish a Joint Duty Program specifically tailored for the OIG community.

In September, the former Executive Director of the Intelligence Oversight Board attended the Deputies Committee to provide an overview of reporting guidelines for OIG and oversight organizations. The Deputies also shared best practices related to recruitment and retention of OIG personnel, and received information about current and future action.

ODNI Management Challenges

On September 24, 2021, the IC IG issued what it considered to be the most significant management and performance challenges facing the ODNI. The management and performance challenges will be included in the FY 2021 *Agency Financial Report*, published in November 2021.

COVID-19 pandemic mitigation measures delayed or postponed finalization and release of some FY 2021 planned work. Based on completed audit, inspection, and investigation findings, and other activities conducted during FY 2021, the IC IG concludes that ODNI's most serious management and performance challenges are in the following areas (not presented in order of importance):



**Reforming the Personnel
Security Clearance Process**



**Strengthening Information
Security and Management**



**Enhancing Intelligence Community
Coordination, Integration, and
Information Sharing**



Improving Financial Management



**Improving Policy and Procedure
Development and Implementation**



**Improving ODNI's
Workforce Management**



Pandemic Response

The full report is included in the classified Annex of the IC IG's SAR.

THE INSPECTOR GENERAL COMMUNITY

This year marks the 43rd anniversary of the *Inspector General Act of 1978*. President Jimmy Carter signed the Act and described the new statutory Inspectors General as “perhaps the most important new tools in the fight against fraud.” The IC IG, one of 74 Inspectors General collectively overseeing the operations of nearly every aspect of the federal government, looks forward to continuing to work with the Council of the Inspectors General on Integrity and Efficiency (CIGIE) on important issues that significantly affect productivity, transparency, and accountability throughout the federal government.



Oversight.gov

SORT. SEARCH. FILTER.

Oversight.gov provides a “one stop shop” to follow the ongoing oversight work of all OIGs that publicly post reports. CIGIE manages the website on behalf of the federal Inspector General community. The IC IG, like other OIGs, will continue to post reports to its own website as well as to *Oversight.gov* to afford users the benefits of the website’s search and retrieval features.



Oversight.gov allows users to sort, search, and filter the site’s database of public reports from all CIGIE member OIGs to find reports of interest.

In addition, the site features a user-friendly map that allows users to find reports based on geographic location and obtain contact information for each OIG’s hotline. Users can receive notifications when new reports are added to the site by following @OversightGov, CIGIE’s Twitter account.

RECOMMENDATIONS

Following publication of a report, the IC IG's Audit and Inspections and Evaluations Divisions interact with the responsible officials at least quarterly to ensure actions are taken to implement report recommendations. A description of the actions are entered into the IC IG's recommendations tracking database. Division leadership has the responsibility for approving the closure of a recommendation once it has been demonstrated that responsive actions have met the intent of a recommendation. The Divisions may revisit closed recommendations to ensure actions are fulfilled or to inform follow-on reviews.

RECOMMENDATIONS TABLE

	REPORT NAME	DATE ISSUED	TOTAL ISSUED	NEW THIS PERIOD	CLOSED THIS PERIOD	CURRENTLY OPEN
FY 2021	Inspection: Review of the Intelligence Community's Implementation of Intelligence Community Directive 701, <i>Unauthorized Disclosures of Classified National Security Information</i>	July	3	3	0	3
	Inspection: Evaluation of the Intelligence Community's Foreign Language Program	August	9	9	0	9
	Inspection: Classification Review of the Office of the Director of National Intelligence, Fiscal Year 2020	September	3	3	0	3
FY 2020	Audit: Office of the Director of National Intelligence's Government Travel Card Program	July	11	0	0	6
	Audit: FY 2019 Independent Evaluation of <i>Federal Information Security Modernization Act</i> (FISMA)	October	2	0	0	2
	Inspection: ODNI's Oversight of Intelligence Community Major Systems Acquisition Cybersecurity Risks	November	7	0	5	0
FY 2019	Audit: Office of the Director of National Intelligence's FY 2018 Conference Spending	September	2	0	0	2
	Audit: Management of Privileged Users of Office of the Director of National Intelligence Information Systems	September	9	0	6	3
	Inspection: Assessment of the ODNI Methods Used to Substantiate Post-Secondary Education Claims Made by ODNI Employees Subsequent to Entry-on-Duty	August	7	0	2	3
	Audit: FY 2018 Independent Evaluation of <i>Federal Information Security Modernization Act</i> (FISMA)	February	11	0	2	8
	Inspection: Cyber Threat Intelligence Integration Center	January	9	0	0	3

RECOMMENDATIONS TABLE

REPORT NAME		DATE ISSUED	TOTAL ISSUED	NEW THIS PERIOD	CLOSED THIS PERIOD	CURRENTLY OPEN
FY 2018	Inspection: <i>IC Freedom of Information Act</i> (FOIA) Programs	September	10	0	0	3
	Audit: Memorandum to the Chief Operating Officer re: Charge Card Program	August	2	0	0	1
FY 2017	Inspection: Assessment of ODNI Information System Deterrence, Detection, and Mitigation of Insider Threats	September	19	0	0	1
			TOTALS	104	15	15

ABBREVIATIONS AND ACRONYMS

The Center Center for Protected Disclosures
CIA Central Intelligence Agency
CIGIE Council of the Inspectors General on Integrity and Efficiency
COVID-19 Coronavirus 2019
DIA Defense Intelligence Agency
DNI Director of National Intelligence
ERP External Review Panel
FBI Federal Bureau of Investigation
FISMA Federal Information Security Modernization Act of 2014
FOIA Freedom of Information Act
The Forum Intelligence Community Inspectors General Forum
FY Fiscal Year
GAO Government Accountability Office
HAC-D House Appropriations Committee - Defense
HPSCI House Permanent Select Committee on Intelligence
I&E Inspections and Evaluations
IAA Intelligence Authorization Act
IC Intelligence Community

ICD Intelligence Community Directive
ICFLP Intelligence Community Foreign Language Program
IC IG Office of the Inspector General of the Intelligence Community
ICWPA Intelligence Community Whistleblower Protection Act
JDA Joint Duty Assignment
MAC Management Advisory Committee
MSD Mission Support Division
NGA National Geospatial-Intelligence Agency
NRO National Reconnaissance Office
NSA National Security Agency
ODNI Office of the Director of National Intelligence
OIG Office of the Inspector General
OMB Office of Management and Budget
PPD Presidential Policy Directive
SAC-D Senate Appropriations Committee - Defense
SAR Semiannual Report
SSCI Senate Select Committee on Intelligence

Office of *the* Inspector General of *the* Intelligence Community



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