

# US DEPARTMENT OF VETERANS AFFAIRS **OFFICE OF INSPECTOR GENERAL**

Office of Healthcare Inspections

**VETERANS HEALTH ADMINISTRATION** 

# Comprehensive Healthcare Inspection of the VA Pittsburgh Healthcare System in Pennsylvania

**CHIP Report** 

23-00095-107

March 19, 2024



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**Figure 1.** Pittsburgh VA Medical Center of the VA Pittsburgh Healthcare System in Pennsylvania.

Source: <u>https://www.va.gov/pittsburgh-health-care/locations/</u> (accessed December 7, 2022).

### **Abbreviations**

ADPCS	Associate Director for Patient Care Services
CHIP	Comprehensive Healthcare Inspection Program
FY	fiscal year
LIP	licensed independent practitioner
OIG	Office of Inspector General
VHA	Veterans Health Administration
VISN	Veterans Integrated Service Network



## **Report Overview**

This Office of Inspector General (OIG) Comprehensive Healthcare Inspection Program (CHIP) report provides a focused evaluation of the quality of care delivered in the inpatient and outpatient settings of the VA Pittsburgh Healthcare System, which includes the H. John Heinz III and Pittsburgh VA Medical Centers, one outpatient clinic in Ohio, and multiple outpatient clinics in Pennsylvania. The inspection covers key clinical and administrative processes that are associated with promoting quality care.

Comprehensive healthcare inspections are one element of the OIG's overall efforts to ensure the nation's veterans receive high-quality and timely VA healthcare services. The OIG inspects each facility approximately every three years and selects and evaluates specific areas of focus each year. At the time of this inspection, the OIG focused on core processes in the following five areas of clinical and administrative operations:

- 1. Leadership and organizational risks
- 2. Quality, safety, and value
- 3. Medical staff privileging
- 4. Environment of care
- 5. Mental health (focusing on suicide prevention initiatives)

The OIG initiated an unannounced inspection of the VA Pittsburgh Healthcare System during the week of April 24, 2023. The OIG held interviews and reviewed clinical and administrative processes related to specific areas of focus that affect patient outcomes. Although the OIG reviewed a broad spectrum of processes, the sheer complexity of VA medical facilities limits inspectors' ability to assess all areas of clinical risk. The findings presented in this report are a snapshot of the healthcare system's performance within the identified focus areas at the time of the OIG inspection and may help leaders identify vulnerable areas or conditions that, if properly addressed, could improve patient safety and healthcare quality.

#### **Results Summary**

The OIG did not issue recommendations for improvement related to the areas reviewed for this report. The number of recommendations should not be used as a gauge for the overall quality of care provided at this healthcare system.

#### **VA Comments**

The Veterans Integrated Service Network Director and acting Executive Director concurred with the report (see appendixes B and C, pages 18–19, for the full text of the directors' comments).

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# Contents

Abbreviationsii
Report Overviewiii
Results Summaryiii
Purpose and Scope1
Methodology2
Results and Recommendations
Leadership and Organizational Risks3
Quality, Safety, and Value9
Medical Staff Privileging10
Environment of Care
Mental Health: Suicide Prevention Initiatives14
Report Conclusion16
Appendix A: Healthcare System Profile17
Appendix B: VISN Director Comments
Appendix C: Healthcare System Director Comments
OIG Contact and Staff Acknowledgments
Report Distribution



### **Purpose and Scope**

The purpose of the Office of Inspector General (OIG) Comprehensive Healthcare Inspection Program (CHIP) is to conduct routine oversight of VA medical facilities that provide healthcare services to veterans. This report's evaluation of the quality of care delivered in the inpatient and outpatient settings of the VA Pittsburgh Healthcare System examines a broad range of key clinical and administrative processes associated with positive patient outcomes. The OIG reports its findings to Veterans Integrated Service Network (VISN) and healthcare system leaders so they can make informed decisions to improve care.<sup>1</sup>

Effective leaders manage organizational risks by establishing goals, strategies, and priorities to improve care; setting expectations for quality care delivery; and promoting a culture to sustain positive change.<sup>2</sup> Effective leadership has been cited as "among the most critical components that lead an organization to effective and successful outcomes."<sup>3</sup>

To examine risks to patients and the organization, the OIG focused on core processes in the following five areas of clinical and administrative operations:<sup>4</sup>

- 1. Leadership and organizational risks
- 2. Quality, safety, and value
- 3. Medical staff privileging
- 4. Environment of care
- 5. Mental health (focusing on suicide prevention initiatives)

<sup>&</sup>lt;sup>1</sup> VA administers healthcare services through a nationwide network of 18 regional systems referred to as Veterans Integrated Service Networks.

<sup>&</sup>lt;sup>2</sup> Anam Parand et al., "The Role of Hospital Managers in Quality and Patient Safety: A Systematic Review," *British Medical Journal* 4, no. 9 (September 5, 2014): 13, <u>https://doi.org/10.1136/bmjopen-2014-005055</u>.

<sup>&</sup>lt;sup>3</sup> Danae F. Sfantou et al., "Importance of Leadership Style towards Quality of Care Measures in Healthcare Settings: A Systematic Review," *Healthcare (Basel)* 5, no. 4 (October 14, 2017): 73, https://doi.org/10.3390/healthcare5040073.

<sup>&</sup>lt;sup>4</sup> CHIP site visits addressed these processes during fiscal year (FY) 2023 (October 1, 2022, through September 30, 2023); they may differ from prior years' focus areas.

# Methodology

The VA Pittsburgh Healthcare System includes the H. John Heinz III and Pittsburgh VA Medical Centers, one outpatient clinic in Ohio, and multiple outpatient clinics in Pennsylvania. General information about the healthcare system can be found in appendix A.

The inspection team conducted an on-site review during the week of April 24, 2023.<sup>5</sup> During the site visit, the OIG did not receive any complaints beyond the scope of this inspection that required referral to the OIG hotline.

Oversight authority to review the programs and operations of VA medical facilities is authorized by the Inspector General Act of 1978.<sup>6</sup> The OIG reviews available evidence within a specified scope and methodology and makes recommendations to VA leaders, if warranted. Findings and recommendations do not define a standard of care or establish legal liability.

The OIG conducted the inspection in accordance with OIG procedures and *Quality Standards for Inspection and Evaluation* published by the Council of the Inspectors General on Integrity and Efficiency.

<sup>&</sup>lt;sup>5</sup> The OIG's last comprehensive healthcare inspection of the VA Pittsburgh Healthcare System occurred in July 2018. The Joint Commission performed hospital, behavioral health care and human services, and home care accreditation reviews in March 2023.

<sup>&</sup>lt;sup>6</sup> Inspector General (IG) Act of 1978, as amended, 5 U.S.C. §§ 401–424.

## **Results and Recommendations**

#### Leadership and Organizational Risks

Healthcare leaders must focus their efforts to achieve results for the populations they serve.<sup>7</sup> High-impact leaders should be person-centered and transparent, engage front-line staff members, have a "relentless focus" on their organization's vision and strategy, and "practice systems thinking and collaboration across boundaries."<sup>8</sup> When leaders fully engage and inspire employees, create psychological safety, develop trust, and apply organizational values to all decisions, they lay the foundation for a culture and system focused on clinical and patient safety.<sup>9</sup>

To assess this healthcare system's leadership and risks, the OIG considered the following indicators:

- 1. Executive leadership position stability and engagement
- 2. Budget and operations
- 3. Employee satisfaction
- 4. Patient experience
- 5. Identified factors related to possible lapses in care and healthcare system leaders' responses

#### **Executive Leadership Position Stability and Engagement**

Each VA facility organizes its leadership structure to address the needs and expectations of the local veteran population it serves. The healthcare system had a leadership team consisting of the Director, Deputy Director, Chief of Staff, Associate Director for Patient Care Services (ADPCS), Associate Director, and Assistant Director.<sup>10</sup> The Chief of Staff and ADPCS oversaw patient care, which included managing service directors and program chiefs.

At the time of the OIG inspection, the executive leadership team had worked together for two months. The Chief of Staff was the most tenured leader, having served in the position since March 2010.

<sup>&</sup>lt;sup>7</sup> Stephen Swensen et al., *High-Impact Leadership: Improve Care, Improve the Health of Populations, and Reduce Costs*, Institute for Healthcare Improvement White Paper, 2013.

<sup>&</sup>lt;sup>8</sup> Swensen et al., *High-Impact Leadership: Improve Care, Improve the Health of Populations, and Reduce Costs.* 

<sup>&</sup>lt;sup>9</sup> Allan Frankel et al., *A Framework for Safe, Reliable, and Effective Care,* Institute for Healthcare Improvement White Paper, 2017.

<sup>&</sup>lt;sup>10</sup> There was no permanently assigned Assistant Director at the time of the inspection.

To help assess executive leaders' engagement, the OIG interviewed the Director, Deputy Director, Chief of Staff, ADPCS, and Associate Director regarding their knowledge, involvement, and support of actions to improve or sustain performance.

#### **Budget and Operations**

The OIG noted that the healthcare system's fiscal year (FY) 2022 annual medical care budget of \$882,380,433 had increased by approximately 4 percent compared to the previous year's budget of \$847,372,891.<sup>11</sup> While most members of the executive leadership team said the budget was adequate to meet patient needs, the Director identified that needed renovation and infrastructure repairs for the 68-year-old building housing the inpatient units exceeded the current budget. The Director reported current discussions with VISN 4 and Veterans Health Administration (VHA) leaders to enable a temporary relocation of all inpatient services for five years. According to the Director, the renovation would create a new and modern hospital to serve patients efficiently and effectively for the next 25 years.

The ADPCS described implementing scheduling flexibilities and offering recruitment and retention bonuses to address nursing vacancies in a competitive market. The ADPCS also discussed a new idea that started in 2020: implementing a year-long training program for new nurses in areas such as mental health and the operating room, replacing the historical practice of hiring only nurses with at least two years of experience. The ADPCS reported hiring 16 to 20 nurses into the program. The Director shared that the ADPCS's team integrated new nurses using innovative training.

#### **Employee Satisfaction**

The All Employee Survey is an "annual, voluntary, census survey of VA workforce experiences. The data are anonymous and confidential."<sup>12</sup> Although the OIG recognizes that employee satisfaction survey data are subjective, they can be a starting point for discussions, indicate areas for further inquiry, and be considered along with other information on medical facility leaders.

To assess employee viewpoints, the OIG reviewed results from VA's All Employee Survey from FYs 2020 through 2022 regarding their perceived ability to disclose a suspected violation without fear of reprisal.<sup>13</sup> Table 1 provides relevant survey results for VHA and the healthcare system over time.

Survey scores revealed slight improvement in employees' perceived ability to disclose suspected violations in FY 2021. The Director attributed the improvement to consistent communication

<sup>&</sup>lt;sup>11</sup> Veterans Health Administration (VHA) Support Service Center.

<sup>&</sup>lt;sup>12</sup> "AES Survey History, Understanding Workplace Experiences in VA," VHA Support Service Center.

<sup>&</sup>lt;sup>13</sup> The OIG makes no comment on the adequacy of the VHA average. The VHA average is used for comparison purposes only.

with staff about what leaders were doing in response to their comments and feedback. The Director and ADPCS spoke about staff recognition through awards that thanked them for speaking up to avoid errors or patient harm. The ADPCS added that leaders held quarterly employee town halls to update staff on patient safety processes, with time allowed for questions.

The Chief of Staff reported encouraging all employees to come forward about mistakes, close calls, or adverse events to learn from the incident and evaluate processes that may have contributed to the problems or predisposed employees to make errors. The Associate Director described leaders meeting with front-line staff to support them and discuss barriers to and solutions for safe patient care.

#### Table 1. All Employee Survey Question: Ability to Disclose a Suspected Violation (FYs 2020 through 2022)

All Employee Survey Group	FY 2020	FY 2021	FY 2022	
VHA	3.8	3.9	3.9	
VA Pittsburgh Healthcare System	3.7	3.9	3.9	

Source: VA All Employee Survey (accessed November 22, 2022). Note: Respondents scored this survey item from 1 (Strongly disagree) through 6 (Do not know).

#### **Patient Experience**

VHA uses surveys from the Consumer Assessment of Healthcare Providers and Systems program to assess patients' healthcare experiences and compare them to the private sector. VHA also collects Survey of Healthcare Experiences of Patients data from Inpatient, Patient-Centered Medical Home (primary care), and Specialty Care surveys.<sup>14</sup> The OIG reviewed responses to three relevant survey questions that reflect patient experiences with the healthcare system from FYs 2020 through 2022. Table 2 provides survey results for VHA and the healthcare system over time.

Inpatient survey scores indicated that patients were less willing to recommend the hospital to family and friends over time. Leaders shared specific items that dissatisfied patients and led to the decline in scores.

• The inpatient units are in the Pittsburgh VA Medical Center, which is an old building with semiprivate bedrooms and bathrooms, while local civilian hospitals have all private bedrooms with attached bathrooms.

<sup>&</sup>lt;sup>14</sup> "Patient Experiences Survey Results," VHA Support Service Center.

- The inpatient rooms do not contain furniture allowing family members to comfortably stay overnight.
- The medical center is difficult to get to and has limited parking.
- The food is not prepared at the medical center, limiting menu choices and meal times.

The Director described renovation plans to create all single rooms with attached bathrooms, which would provide maximum comfort, privacy, and flexibility to meet patient needs. The ADPCS added that leaders planned to have kitchen facilities installed at the medical center.

Survey scores also revealed patients were more satisfied with their primary and specialty care experiences than VHA patients nationally. The Chief of Staff reported maximizing telehealth services when appropriate and opening a new clinic to alleviate the need for patients to drive to and park at the Pittsburgh VA Medical Center. The Deputy Chief of Staff reported hiring employees with a positive attitude and good communication skills which contributed to patients feeling valued.

Questions	FY 2020		FY 2021		FY 2022	
	VHA	Healthcare System	VHA	Healthcare System	VHA	Healthcare System
Inpatient: Would you recommend this hospital to your friends and family?*	69.5	70.4	69.7	69.1	68.9	67.3
Patient-Centered Medical Home: Overall, how satisfied are you with the health care you have received at your VA facility during the last 6 months? <sup>†</sup>	82.5	87.9	81.9	89.2	81.7	88.7
Specialty Care: Overall, how satisfied are you with the health care you have received at your VA facility during the last 6 months? <sup>†</sup>	84.8	89.5	83.3	86.5	83.1	86.8

# Table 2. Survey of Healthcare Experiences of Patients (FYs 2020 through 2022)

Source: VHA Office of Quality and Patient Safety, Analytics and Performance Integration, Performance Measurement (accessed December 8 and 14, 2022).

\*The response average is the percent of "Definitely yes" responses.

<sup>†</sup>*The response average is the percent of "Very satisfied" and "Satisfied" responses.* 

# Identified Factors Related to Possible Lapses in Care and Healthcare System Leaders' Responses

Leaders must ensure patients receive high-quality health care that is safe, effective, timely, and patient-centered because any preventable harm episode is one too many.<sup>15</sup> According to The Joint Commission's standards for leadership, a culture of safety and continual process improvements lead to safe, quality care for patients.<sup>16</sup> A VA medical facility's culture of safety and learning enables leaders to identify and correct systems issues. If leaders do not respond when adverse events occur, they may miss opportunities to learn and improve from those events and risk losing trust from patients and staff.<sup>17</sup>

"A sentinel event is a patient safety event (not primarily related to the natural course of a patient's illness or underlying condition) that reaches a patient and results in death, severe harm (regardless of duration of harm), or permanent harm (regardless of severity of harm)."<sup>18</sup> Additionally, an institutional disclosure is "a formal process by which VA medical facility leader(s), together with clinicians and others as appropriate, inform the patient or the patient's personal representative that an adverse event has occurred during the patient's care that resulted in, or is reasonably expected to result in, death or serious injury, and provide specific information about the patient's rights and recourse."<sup>19</sup> Lastly, a large-scale disclosure is "a formal process by which VHA officials assist with coordinating the notification to multiple patients, or their personal representatives, that they may have been affected by an adverse event resulting from a systems issue."<sup>20</sup> To this end, VHA implemented standardized processes to guide leaders in measuring, assessing, and reacting to possible lapses in care to improve patient safety.<sup>21</sup>

<sup>&</sup>lt;sup>15</sup> Frankel et al., *A Framework for Safe, Reliable, and Effective Care*; "Quality and Patient Safety (QPS)," Department of Veterans Affairs, accessed October 13, 2021, https://www.va.gov/QUALITYANDPATIENTSAFETY/.

<sup>&</sup>lt;sup>16</sup> The Joint Commission, *Standards Manual*, E-dition, January 1, 2022. A culture of safety is "the product of individual and group values, attitudes, perceptions, competencies, and patterns of behavior that determine the commitment to, and the style and proficiency of, an organization's health and safety management." "Hospital Survey on Patient Safety Culture: User's Guide," Agency for Healthcare Research and Quality, July 2018, <u>https://www.ahrq.gov/sites/default/files/wysiwyg/professionals/quality-patient-safety/patientsafetyculture/hospital/userguide/hospcult.pdf</u>.

<sup>&</sup>lt;sup>17</sup> Jim Conway et al., *Respectful Management of Serious Clinical Adverse Events (2nd ed)*, Institute for Healthcare Improvement White Paper, 2011.

<sup>&</sup>lt;sup>18</sup> The Joint Commission, *Comprehensive Accreditation Manual for Hospitals*, Sentinel Event Policy (SE), July 2023. VHA incorporates The Joint Commission's definition of a sentinel event in VHA Directive 1190, *Peer Review for Quality Management*, November 21, 2018.

<sup>&</sup>lt;sup>19</sup> VHA Directive 1004.08, *Disclosure of Adverse Events to Patients*, October 31, 2018.

<sup>&</sup>lt;sup>20</sup> VHA Directive 1004.08.

<sup>&</sup>lt;sup>21</sup> VHA Handbook 1050.01, *VHA National Patient Safety Improvement Handbook*, March 4, 2011. (VHA rescinded and replaced this handbook with VHA Directive 1050.01, *VHA Quality and Patient Safety Programs*, March 24, 2023. The new directive contains similar language regarding patient safety as the rescinded handbook.)

The OIG requested a list of sentinel events and institutional and large-scale disclosures that occurred during FY 2022. Quality Management Service staff reported five sentinel events, six institutional disclosures, and no large-scale disclosures. The Director said any member of the care team identify adverse events, adding that a robust review process takes place each morning, where staff alert executive leaders about any concerns they feel need further discussion or investigation to ensure high-quality, safe care. The Director elaborated that staff refer serious injuries or unexpected deaths to healthcare system committees for in-depth review and contact VISN and VHA leaders for additional reviews when needed.

Executive leaders explained they collaborate with Quality Management Service staff or VISN leaders, if needed, to determine whether events meet The Joint Commission's definition of a sentinel event and require an institutional disclosure. The Deputy Chief of Staff explained that executive leaders decide whether to disclose the event.

# Leadership and Organizational Risks Findings and Recommendations

The OIG made no recommendations.

#### Quality, Safety, and Value

VHA is committed to providing exceptional health care to veterans.<sup>22</sup> To achieve this goal, VHA requires that its medical facility leaders implement programs to monitor the quality of patient care and performance improvement activities and maintain Joint Commission accreditation.<sup>23</sup> Many quality-related activities are informed and required by VHA directives and nationally recognized accreditation standards.<sup>24</sup>

VHA implemented the National Center for Patient Safety program to develop a range of patient safety methodologies and practices. VHA's Patient Safety program includes staff assessing system vulnerabilities that may result in patient harm, reporting adverse patient safety events, and focusing on prevention.<sup>25</sup> According to The Joint Commission's standards for performance improvement, staff must analyze data to monitor performance and identify trends and improvement opportunities, then implement actions to enhance patient safety.<sup>26</sup>

The OIG assessed the healthcare system's processes for conducting peer reviews of clinical care.<sup>27</sup> Peer reviews, "when conducted systematically and credibly," reveal areas for improvement (involving one or more providers' practices) and can result in both immediate and "long-term improvements in patient care."<sup>28</sup> Peer reviews are "intended to promote confidential and non-punitive assessments of care" that consistently contribute to quality management efforts at the individual provider level.<sup>29</sup>

The OIG team interviewed key managers and staff and evaluated peer reviews and patient safety reports. The team also reviewed six deaths that occurred within 24 hours of inpatient admission during FY 2022.

#### Quality, Safety, and Value Findings and Recommendations

The OIG made no recommendations.

<sup>28</sup> VHA Directive 1190.

<sup>29</sup> VHA Directive 1190.

<sup>&</sup>lt;sup>22</sup> Department of Veterans Affairs, Veterans Health Administration Blueprint for Excellence, September 21, 2014.

<sup>&</sup>lt;sup>23</sup> VHA Directive 1100.16, Health Care Accreditation of VHA Facilities and Programs, July 19, 2022.

<sup>&</sup>lt;sup>24</sup> VHA Directive 1100.16.

<sup>&</sup>lt;sup>25</sup> VHA Handbook 1050.01; VHA Directive 1050.01.

<sup>&</sup>lt;sup>26</sup> The Joint Commission, *Standards Manual*, E-dition, PI.03.01.01, PI.04.01.01, January 1, 2022.

<sup>&</sup>lt;sup>27</sup> A peer review is a "critical review of care performed by a peer," to evaluate care provided by a clinician for a specific episode of care, identify learning opportunities for improvement, provide confidential communication of the results back to the clinician, and identify potential system or process improvements. VHA Directive 1190.

#### **Medical Staff Privileging**

VHA has defined procedures for the clinical privileging of "all health care professionals who are permitted by law and the facility to practice independently."<sup>30</sup> These healthcare professionals are known as licensed independent practitioners (LIPs) and provide care "without supervision or direction, within the scope of the individual's license, and in accordance with individually-granted clinical privileges."<sup>31</sup>

Privileges need to be specific and based on the individual practitioner's clinical competence. Privileges are requested by the LIP and reviewed by the responsible service chief, who then makes a recommendation to approve, deny, or amend the request. An executive committee of the medical staff evaluates the LIP's credentials and service chief's recommendation to determine whether "clinical competence is adequately demonstrated to support the granting of the requested privileges," and submits the final recommendation to the facility director.<sup>32</sup> LIPs are granted clinical privileges for a limited time and must be reprivileged prior to their expiration.<sup>33</sup>

VHA states the Focused Professional Practice Evaluation is a defined period during which service chiefs assess LIPs' professional performance. The Focused Professional Practice Evaluation process occurs when an LIP is hired at the facility and granted initial or additional privileges. Facility leaders must also monitor the LIP's performance by regularly conducting an Ongoing Professional Practice Evaluation to ensure the continuous delivery of quality care.<sup>34</sup>

VHA's credentialing process involves the assessment and verification of healthcare practitioners' qualifications to provide care and is the first step in ensuring patient safety.<sup>35</sup> Historically, many VHA facilities had portions of their credentialing processes aligned under different leaders, which led to inconsistent program oversight, position descriptions, and reporting structures. VHA implemented credentialing and privileging modernization efforts to increase standardization and now requires all credentialing and privileging functions to be merged into one office under the chief of staff. VHA also requires facilities to have credentialing and

<sup>&</sup>lt;sup>30</sup> VHA Handbook 1100.19, *Credentialing and Privileging*, October 15, 2012. (VHA rescinded and replaced this handbook with VHA Directive 1100.21(1), *Privileging*, March 2, 2023, amended April 26, 2023. VHA previously replaced the credentialing portion of this handbook with VHA Directive 1100.20, *Credentialing of Health Care Providers*, September 15, 2021.)

<sup>&</sup>lt;sup>31</sup> VHA Handbook 1100.19.

<sup>&</sup>lt;sup>32</sup> VHA Handbook 1100.19.

<sup>&</sup>lt;sup>33</sup> VHA Handbook 1100.19.

<sup>&</sup>lt;sup>34</sup> VHA Handbook 1100.19.

<sup>&</sup>lt;sup>35</sup> VHA Directive 1100.20.

privileging managers and specialists with job duties that align under standard position descriptions.<sup>36</sup>

The OIG interviewed key managers and selected and reviewed the privileging folders of 27 medical staff members who underwent initial privileging or reprivileging during FY 2022.

#### **Medical Staff Privileging Findings and Recommendations**

The OIG made no recommendations.

<sup>&</sup>lt;sup>36</sup> Assistant Under Secretary for Health for Operations/Chief Human Capital Management memo, "Credentialing and Privileging Staffing Modernization Efforts—Required Modernization Actions and Implementation of Approved Positions Fiscal Year 2020," December 16, 2020.

#### **Environment of Care**

Any facility, regardless of its size or location, faces vulnerabilities in the healthcare environment. VHA requires staff to conduct environment of care inspections and track issues until they are resolved. The goal of VHA's environment of care program is to ensure "a safe, clean health care environment that provides the highest standards in the health care setting."<sup>37</sup> The environment of care program includes elements such as infection control, patient and employee safety, privacy, and supply chain management.<sup>38</sup>

The purpose of this inspection was to determine whether staff at VA medical facilities maintained a clean and safe healthcare environment in accordance with applicable standards. The OIG inspected selected areas that are often associated with higher risks of harm to patients. These areas may include inpatient mental health units, where patients with active suicidal ideations or attempts are treated, and community living centers, where vulnerable populations reside in a home-like environment and receive assistance in achieving their highest level of function and well-being.<sup>39</sup>

During the OIG's review of the environment of care, the inspection team examined relevant documents, interviewed managers and staff, and inspected the following patient care areas:

- H. John Heinz III VA Medical Center
  - Community living centers (3-B Glory Lane and 1-South Unity Way)
  - Outpatient clinic (Primary Care-1)
- Pittsburgh VA Medical Center
  - Emergency Department
  - Inpatient medical/surgical unit (4-West)
  - Inpatient mental health unit (5CB)
  - Inpatient step-down unit (5A)
  - Inpatient surgical unit (5-West)

<sup>&</sup>lt;sup>37</sup> VHA Directive 1608, *Comprehensive Environment of Care Program*, June 21, 2021. (This directive was in effect at the time of the inspection. VHA amended it September 7, 2023.)

<sup>&</sup>lt;sup>38</sup> VHA Directive 1608. The supply chain management system must meet the needs of its customers, which involves ensuring availability of the right product in the right place and at the right time. VHA Directive 1761, *Supply Chain Management Operations*, December 30, 2020.

<sup>&</sup>lt;sup>39</sup> VHA Handbook 1160.06, *Inpatient Mental Health Services*, September 16, 2013. (VHA rescinded and replaced this handbook with VHA Directive 1160.06, *Inpatient Mental Health Services*, September 27, 2023.) VHA Handbook 1142.01, *Criteria and Standards for VA Community Living Centers (CLC)*, August 13, 2008. (VHA rescinded and replaced this handbook with VHA Directive 1142(1), *Standards for Community Living Centers*, October 5, 2023, amended January 29, 2024.)

- Intensive care units (3-East and 4-East)
- o Outpatient clinics (Primary Care and Specialty Clinics)

#### **Environment of Care Findings and Recommendations**

VHA requires all medical facilities to provide a safe and clean environment.<sup>40</sup> The OIG observed stains embedded in the floors throughout the Emergency Department that could not be wiped up or washed off. As a result, staff may have been unable to ensure a safe and clean environment for patients and staff. The Chief, Environmental Management Services stated the soiled and stained appearance was due to the flooring's age and material. The chief also said staff washed and scrubbed the floors every day, but despite using floor stripping chemicals and buffing machines, they could not improve the flooring stains. Because leaders had already taken action to resolve the flooring issue, the OIG did not make a recommendation.

<sup>&</sup>lt;sup>40</sup> VHA Directive 1608.

#### **Mental Health: Suicide Prevention Initiatives**

Suicide prevention is the top clinical priority for VA.<sup>41</sup> Suicide is a significant health problem in the United States, with over 45,000 lives lost in 2020.<sup>42</sup> The suicide rate for veterans was higher than for nonveteran adults during 2020.<sup>43</sup> "Congress, VA, and stakeholders continue to express concern over seemingly limited progress made…to reduce veteran suicide."<sup>44</sup>

Due to the prevalence of suicide among at-risk veterans, VHA implemented a two-phase process to screen and assess for suicide risk in clinical settings. The phases include the Columbia-Suicide Severity Rating Scale Screener and subsequent completion of the Comprehensive Suicide Risk Evaluation when the screen is positive.<sup>45</sup> VHA states that providers should complete the Comprehensive Suicide Risk Evaluation on the same calendar day as the positive screen and notify the suicide prevention team if a patient reports suicidal behaviors during the evaluation.<sup>46</sup>

VHA requires each medical center and very large community-based outpatient clinic to have a full-time suicide prevention coordinator to track and follow up with high-risk veterans, conduct community outreach activities, and inform leaders of suicide-related events.<sup>47</sup>

To determine whether staff complied with selected suicide prevention requirements, the OIG interviewed key employees and reviewed relevant documents and the electronic health records of 50 randomly selected patients who had a positive suicide screen in FY 2022 and received primary care services.

<sup>&</sup>lt;sup>41</sup> VA Secretary memo, "Agency-Wide Required Suicide Prevention Training," October 15, 2020.

<sup>&</sup>lt;sup>42</sup> "Suicide Prevention: Facts about Suicide," Centers for Disease Control and Prevention, accessed November 25, 2022.

<sup>&</sup>lt;sup>43</sup> VA Office of Mental Health and Suicide Prevention, 2022 National Veteran Suicide Prevention Annual Report, September 2022.

<sup>&</sup>lt;sup>44</sup> Congressional Research Service, "Veteran Suicide Prevention," IF11886 version 2, July 29, 2021.

<sup>&</sup>lt;sup>45</sup> Assistant Under Secretary for Health for Clinical Services/Chief Medical Officer memo, "Eliminating Veteran Suicide: Suicide Risk Screening and Evaluation Requirements and Implementation (Risk ID Strategy)," November 13, 2020. (This memo was superseded by the Assistant Under Secretary for Health for Clinical Services/Chief Medical Officer memo, "Eliminating Veteran Suicide: Suicide Risk Screening and Evaluation Requirements and Implementation (Risk ID Strategy)," Requirements and Implementation Update (Risk ID Strategy)," November 23, 2022.)

<sup>&</sup>lt;sup>46</sup> Assistant Under Secretary for Health for Clinical Services/Chief Medical Officer memo, "Eliminating Veteran Suicide: Suicide Risk Screening and Evaluation Requirements and Implementation Update (Risk ID Strategy);" Assistant Under Secretary for Health for Clinical Services/Chief Medical Officer memo, "Suicide Behavior and Overdose Reporting," July 20, 2021. (This memo was superseded by the Assistant Under Secretary for Health for Clinical Services/Chief Behavior and Overdose Reporting," May 9, 2023.)

<sup>&</sup>lt;sup>47</sup> VHA Directive 1160.07, *Suicide Prevention Program*, May 24, 2021. "Very large CBOCs [community-based outpatient clinics] are those that serve more than 10,000 unique veterans each year." VHA Handbook 1160.01, *Uniform Mental Health Services in VA Medical Centers and Clinics*, September 11, 2008, amended November 16, 2015. (VHA rescinded and replaced this handbook with VHA Directive 1160.01, *Uniform Mental Health Services in VHA Medical Points of Service*, April 27, 2023.)

#### **Mental Health Findings and Recommendations**

The OIG made no recommendations.

#### **Report Conclusion**

To assist leaders in evaluating the quality of care at their healthcare system, the OIG conducted a detailed inspection of five clinical and administrative areas and did not issue recommendations for improvement. The total number of recommendations does not necessarily reflect the overall quality of all services delivered within this healthcare system. The OIG appreciates the participation and cooperation of VHA staff during this inspection process.

# **Appendix A: Healthcare System Profile**

The table below provides general background information for this highest complexity (1a) affiliated healthcare system reporting to VISN 4.<sup>1</sup>

Profile Element	Healthcare System Data FY 2020*	Healthcare System Data FY 2021 <sup>†</sup>	Healthcare System Data FY 2022 <sup>‡</sup>
Total medical care budget	\$762,924,543	\$847,372,891	\$882,380,433
Number of:			
Unique patients	71,925	75,421	84,130
Outpatient visits	675,675	754,994	753,245
Unique employees <sup>§</sup>	3,182	3,379	3,427
Type and number of operating beds:			
Community living center	225	225	225
Domiciliary	88	88	88
Hospital	224	224	224
Average daily census:			
Community living center	181	143	129
Domiciliary	52	33	49
Hospital	143	149	144

# Table A.1. Profile for VA Pittsburgh Healthcare System (646)(October 1, 2019, through September 30, 2022)

Source: VHA Support Service Center and VA Corporate Data Warehouse.

Note: The OIG did not assess VA's data for accuracy or completeness.

\*October 1, 2019, through September 30, 2020.

<sup>†</sup>October 1, 2020, through September 30, 2021.

<sup>‡</sup>October 1, 2021, through September 30, 2022.

<sup>§</sup>Unique employees involved in direct medical care (cost center 8200).

<sup>&</sup>lt;sup>1</sup> VHA medical facilities are classified according to a complexity model; a designation of "1a" indicates a facility with "high volume, high risk patients, most complex clinical programs, and large research and teaching programs." VHA Office of Productivity, Efficiency & Staffing (OPES), "VHA Facility Complexity Model Fact Sheet," October 1, 2020. An affiliated healthcare system is associated with a medical residency program. VHA Directive 1400.03, *Educational Relationships*, February 23, 2022.

## **Appendix B: VISN Director Comments**

#### **Department of Veterans Affairs Memorandum**

- Date: February 29, 2024
- From: Director, VISN 4: VA Healthcare (10N4)
- Subj: Comprehensive Healthcare Inspection of the VA Pittsburgh Healthcare System in Pennsylvania
- To: Director, Office of Healthcare Inspections (54CH00) Director, GAO/OIG Accountability Liaison (VHA 10B GOAL Action)
  - 1. Thank you for the opportunity to review the draft report, Comprehensive Healthcare Inspection of the VA Pittsburgh Healthcare System in Pennsylvania.
  - 2. I have reviewed the OIG draft report and concur with the no findings and no recommendations associated with the report.

*(Original signed by:)* Timothy W. Liezert Network Director, VISN 4

### **Appendix C: Healthcare System Director Comments**

#### **Department of Veterans Affairs Memorandum**

Date: February 28, 2024

- From: Acting Director, VA Pittsburgh Healthcare System (646)
- Subj: Comprehensive Healthcare Inspection of the VA Pittsburgh Healthcare System in Pennsylvania
- To: Director, VISN 4: VA Healthcare (10N4)
  - 1. VA Pittsburgh Healthcare System (VAPHS) reviewed the report overview of the Office of Inspector General (OIG) Comprehensive Healthcare Inspection Program (CHIP) that occurred during the week of April 24, 2023.
  - 2. VAPHS concurs with the no findings and no recommendations associated with the report.

*(Original signed by:)* Prachi V. Asher, FACHE Acting Executive Director, VAPHS

### **OIG Contact and Staff Acknowledgments**

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