



OFFICE OF
INSPECTOR GENERAL

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

January 23, 2023

The Honorable Shalanda D. Young
Director
Office of Management and Budget
c/o Stannis Smith
725 17th Street, NW
Washington, D.C. 20503

Dear Ms. Young:

We are sending this letter in accordance with the Government Charge Card Abuse Prevention Act of 2012 (Public Law 112-194), which requires that Inspectors General report to the Director of the Office of Management and Budget (OMB) on the implementation of recommendations made to the head of the executive agency to address findings of any analysis or audit of purchase card and convenience check transactions or programs. As required by the Act, the U.S. Securities and Exchange Commission's (SEC) Office of Inspector General periodically performs risk assessments of the SEC's government purchase card program. We reported the results of our latest assessment on July 13, 2022.¹ However, we did not issue any reports with government purchase card-related recommendations during fiscal year 2022. Furthermore, there are no open recommendations related to the SEC's government purchase card program.

If you have questions or require additional information, please contact Rebecca Sharek, Deputy Inspector General for Audits, Evaluations, and Special Projects at (202) 551-6061.

Sincerely,

A handwritten signature in cursive script that reads "Helen M. Albert".

Helen M. Albert
Acting Inspector General

cc: Gary Gensler, Chair
Amanda Fischer, Chief of Staff, Office of Chair Gensler
Heather Slavkin Corzo, Policy Director, Office of Chair Gensler
Kevin Burris, Counselor to the Chair and Director of Legislative and Intergovernmental Affairs
Scott Schneider, Counselor to the Chair and Director of Public Affairs
Philipp Havenstein, Operations Counsel, Office of Chair Gensler
Ajay Sutaria, Legal Counsel, Office of Chair Gensler

¹ U.S. Securities and Exchange Commission, Office of Inspector General, *Results of the Inspector General's Fiscal Year 2021 Purchase and Travel Card Program Risk Assessment*, (July 13, 2022).

Hester M. Peirce, Commissioner
Benjamin Vetter, Counsel, Office of Commissioner Peirce
Caroline A. Crenshaw, Commissioner
Malgorzata Spangenberg, Counsel, Office of Commissioner Crenshaw
Mark T. Uyeda, Commissioner
Holly Hunter-Ceci, Counsel, Office of Commissioner Uyeda
Jaime Lizárraga, Commissioner
Laura D'Allaird, Counsel, Office of Commissioner Lizárraga
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Kenneth Johnson, Chief Operating Officer
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Caryn Kauffman, Chief Financial Officer
Shelly Luisi, Chief Risk Officer
Jim Lloyd, Assistant Chief Risk Officer/Audit Coordinator, Office of the Chief Risk
Officer