



Office of Inspector General
Office of Investigations

Employee Misconduct

Potential Release of PII

In July 2019, EXIM OIG received a complaint that an outgoing member of the EXIM Business Services Unit had sent an email with attachments containing Personally Identifiable Information (PII) to their new government email account. The EXIM Office of Information Management & Technology determined that one of the three attachments to the email was a 474-page document containing PII for over 200 EXIM employees. EXIM staff contacted the outgoing employee's new agency and asked their Information Technology (IT) department to quarantine and delete the email. This was done before the email was opened and averted the release of any employees' PII. On the outgoing employee's final day at EXIM, the employee told the EXIM Director of Security that they were attempting to forward a form for use at the new agency and did not realize that the PII was included.

The US Department of Justice, Public Integrity Section, declined to prosecute the outgoing employee and authorized EXIM OIG to compel the employee to participate in an interview under *Kalkines*. By this time, the employee had left EXIM and the Office of Inspector General for the employee's new agency declined to facilitate the compelled interview. The employee declined numerous requests to participate in a voluntary interview with EXIM OIG agents. The results of the investigation were referred to the Chairman of the employee's new agency on December 13, 2019, for any action deemed appropriate.