



**Open ARC and State Administered Grants
OIG Report 16-33**

September 30, 2016

Open ARC and State Administered Grants
As of March 31, 2016
OIG Report 16-33

Summary

This report updates and follows-up on Report 16-12, dated March 31, 2016 dealing with older open grants administered by ARC and participating States. Generally, timely follow-up action is initiated on older inactive grants to determine project status and any necessary action and actions are facilitated by ARC implementation of additional controls identifying grants needing follow-up.

Based on information available in the ARC grant management system and available Basic Agency Monitoring Reports (BAMR) received from States administering construction related grants and consideration of ARC guidelines that permit States requests to revise or revoke commission approval of grants if the work intended to be assisted is not underway within 18 months of grant approval we identified 8 ARC and 8 State administered grants for continuing follow-up to determine grant status. Emphasis should be placed on oldest grants and grants with expired end dates.

ARC Administered Grants

Report 16-12 identified 13 grants approved prior to October 1, 2014 with no reported ARC payments. Follow-up identified actions on 5 grants, including de-obligations of \$60,000 and no change for 8 grants. Table A identifies these grants with no payments totaling \$904,883 approved at least two years prior to October 1, 2014.

State Administered Grants

Report 16-12 identified 12 grants approved prior to October 1, 2014 with no reported ARC payments. Follow-up identified actions on 5 grants including deobligations of \$51,656 and no action on 7 grants. Table B identifies 7 grants with balances totaling \$1,600,000 approved at least two years prior to October 1, 2014.

Follow-up actions should be initiated on the identified grants to determine the potential for deobligations.

ARC Administered Grants Approved Prior to October 1, 2014
with no ARC Payments

<u>Grant No</u>	<u>Date approved</u>	<u>ARC funds</u>	<u>End Date</u>
GA-17851	9/12/2013	\$17,500.00	8/31/2015 1/ 2/
GA-17837	7/17/2014	\$20,000.00	3/31/2016 1/ 2/
GA-17862	9/24/2014	\$158,000.00	8/31/2016 1/ 2/
KY-17604	9/25/2013	\$47,200.00	9/30/2014 1/ 2/
KY-17975	9/24/2014	\$250,000.00	6/30/2015 1/ 2/
KY-16798 C-3	9/29/2014	\$200,000.00	10/31/2016 2/
NC-17981	9/12/2014	\$100,000.00	9/30/2016 1/ 2/
<u>NY-17532</u>	6/26/2013	<u>\$112,183.00</u>	6/30/2017 1/ 2/
<u>8</u>		<u>\$904,883.00</u>	

1/End dates expired for 6 grants with 100% balances totaling \$615,840

2/Included in prior report

State Administered Grants Approved Prior to October 1, 2014
and no Reported ARC Payments

<u>Grant No</u>	<u>ARC approval</u>	<u>ARC funds</u>	<u>Months since approval</u>	<u>End date 2/</u>
GA-17586	9/25/2013	\$300,000.00	36	12/31/2017 1/
GA-17588	8/1/2013	\$200,000.00	38	8/31/2018 1/
GA-17898	9/10/2014	\$150,000.00	24	10/1/2017 1/
OH-17681	9/17/2013	\$250,000.00	36	10/15/2017 1/
OH-17750	2/28/2014	\$100,000.00	31	8/31/2018 1/
OH-17973	9/19/2014	\$100,000.00	24	8/31/2018 1/
VA-17819	9/17/2014	\$500,000.00	24	10/31/2016 1/
<u>7</u>		<u>\$1,600,000.00</u>		

1/In prior report 16-12

2/End dates extended by blanket extensions