



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

DEC 16 2015

The President
The White House
Washington, DC 20500-0000

Dear Mr. President:

Section 11(d)(9) of the Inspector General Act of 1978, as amended, requires the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to submit to the President and the Congress an annual report on the activities of the CIGIE Integrity Committee (IC). Enclosed is the report of the IC Chairperson, who by statute is an official of the Federal Bureau of Investigation, summarizing the IC activities for fiscal year 2015. The report provides information on the number, status, and disposition of complaints received by the IC during this period.

Thank you for your continued support for the work of the Inspector General community. Should your staff wish to receive any additional information concerning this report or any other CIGIE activity, please have them contact me at (202) 514-3435.

Respectfully,

Michael E. Horowitz
Chairperson

Enclosure

cc: Honorable David Mader, Acting Executive Chairperson
Council of the Inspectors General on Integrity and Efficiency



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

DEC 16 2015

The Honorable Ron Johnson
Chairman, Committee on Homeland Security
and Governmental Affairs
United States Senate
340 Dirksen Senate Office Building
Washington, DC 20510-6250

The Honorable Thomas R. Carper
Ranking Member, Committee on Homeland Security
and Governmental Affairs
United States Senate
340 Dirksen Senate Office Building
Washington, DC 20510-6250

Dear Mr. Chairman and Ranking Member Carper:

Section 11(d)(9) of the Inspector General Act of 1978, as amended, requires the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to submit to the Congress and the President an annual report on the activities of the CIGIE Integrity Committee (IC). Enclosed is the report of the IC Chairperson, who by statute is an official of the Federal Bureau of Investigation, summarizing the IC activities for fiscal year 2015. The report provides information on the number, status, and disposition of complaints received by the IC during this period.

Thank you for your continued support for the work of the Inspector General community. Should your staff wish to receive any additional information concerning this report or any other CIGIE activity, please have them contact me or Jay Lerner, my Chief of Staff, at (202) 514-3435.

Sincerely,

Michael E. Horowitz
Chairperson

Enclosure

cc: Honorable David Mader, Acting Executive Chairperson
Council of the Inspectors General on Integrity and Efficiency



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

DEC 16 2015

The Honorable Jason Chaffetz
Chairman, Committee on Oversight
and Government Reform
U.S. House of Representatives
2157 Rayburn House Office Building
Washington, DC 20515-6143

The Honorable Elijah Cummings
Ranking Member, Committee on Oversight and
Government Reform
U.S. House of Representatives
2157 Rayburn House Office Building
Washington, DC 20515-6143

Dear Mr. Chairman and Ranking Member Cummings:

Section 11(d)(9) of the Inspector General Act of 1978, as amended, requires the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to submit to the Congress and the President an annual report on the activities of the CIGIE Integrity Committee (IC). Enclosed is the report of the IC Chairperson, who by statute is an official of the Federal Bureau of Investigation, summarizing the IC activities for fiscal year 2015. The report provides information on the number, status, and disposition of complaints received by the IC during this period.

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Sincerely,

Michael E. Horowitz
Chairperson

Enclosure

cc: The Honorable David Mader, Acting Executive Chairperson
Council of the Inspectors General on Integrity and Efficiency

Integrity Committee
Council of the Inspectors General on Integrity and Efficiency
935 Pennsylvania Avenue, N.W., Room 3973
Washington, D.C. 20535
IC_Complaints@ic.fbi.gov

October 29, 2015

Michael Horowitz
Chair
Council of the Inspectors General on Integrity and Efficiency
1717 H Street, N.W.
Suite 825
Washington, D.C. 20006

Re: Fiscal Year 2015 Annual Report to Congress and the President

Dear Mr. Horowitz:

This letter provides the annual report of the activities of the Integrity Committee (IC) over the last fiscal year to Congress and the President as required by section 11(d)(9) of the Inspector General Reform Act of 2008 (IG Reform Act).

The IG Reform Act directs the IC to address allegations of serious misconduct about Inspectors General (IG) and their designated employees. The IC submits the following information concerning the allegations it received and addressed during Fiscal Year 2015 (FY2015) from October 1, 2014 - September 30, 2015.

- 65 - Allegations received.
 - o 40 - Allegations within IC purview.
 - 36 - Allegations reviewed and closed.
 - 0 - Allegations referred for investigation.
 - 4 - Allegation pending.
 - o 25 - Allegations outside of IC purview.
 - 24 - Allegations reviewed and closed.
 - 1 - Allegation pending.
- 0 - Allegations referred for investigation by the IC to an independent Office of Inspector General (OIG).
 - o 3 - Administrative investigations were completed that began in previous fiscal years.
- 5 - Allegations referred to other agencies, including allegations referred for criminal investigation.
 - o 5 - Allegations within IC purview.
 - o 0 - Allegations outside of IC purview.
- 60 - Allegations closed without referral.
 - o 35 - Allegations within IC purview.
 - o 25 - Allegations outside of IC purview.

The attached enclosures provide additional information for dissemination to Congress and the President.

Sincerely,



Joseph S. Campbell
Chair
Integrity Committee

Enclosure: FY2015 Summary of Investigations
FY2015 Chart of Allegations to the IC

Enclosure 1: FY2015 Summary of Investigations

In FY2015, the IC concluded three administrative investigations, all of which commenced in previous fiscal years. As required by section 11(d)(9)(F) of the IG Reform Act, a summary of the findings of these investigations is provided.

IC740: Department of Homeland Security (DHS)/ Acting IG:

Numerous allegations against the Acting Inspector General (A/IG) of DHS were submitted to the IC beginning in May 2012. The complaints included allegations that the A/IG failed to disclose spousal employment with a DHS office leading to an impairment of a DHS OIG audit of that office, allowed the Acting Chief of Staff to use official time to work on the doctoral dissertation of the A/IG and was encouraged to set aside official DHS OIG duties to perform the work on the dissertation, had telephone records destroyed that were responsive to a Freedom of Information Act (FOIA) request, awarded contracts to unqualified applicants, and allowed DHS officials to direct the content and timing of DHS OIG audit reports.

The IC reviewed a total of 18 complaints about the A/IG. Two of the complaints were closed administratively since they failed to meet the IC's threshold for consideration. The remaining 16 complaints were investigated by the Department of Transportation (DOT) OIG between June 2013 and October 2014. The DOT OIG submitted its final ROI on October 17, 2014 and the IC met on October 30, 2014 to consider the matter.

The DOT OIG substantiated four allegations: (1) The A/IG failed to disclose spousal employment in DHS's Program Accountability and Risk Management Office, which appeared to impair the independence of a DHS OIG audit that cost the government \$659,943.32 and resulted in the rescission of the audit report; (2) The A/IG could not account for all of his time during a June 2011 trip to the DHS OIG Miami field office, and there is evidence that the October 2011 trip to Miami was a pretext to have personal travel expenses paid for by the government; (3) The A/IG allowed the Acting Chief of Staff to use official time to work on the A/IG's doctoral dissertation, and was encouraged to set aside official DHS-OIG work to do so; and (4) The A/IG allowed the DHS OIG Information Technology (IT) Branch Chief to use official time to perform work related to the A/IG's teaching responsibilities at Capitol College. All of the remaining allegations against the A/IG were not substantiated by either the DOT OIG or the IC.

The IC decided to accept and adopt the findings of the DOT OIG as its own, and determined they constituted administrative misconduct, with the exception of the portion of the allegation that the A/IG could not account for all of his time during his June 2011 trip to Miami. While the IC also substantiated that finding, it concluded the A/IG's inability to account for all of his time that day did not constitute administrative misconduct, and was not a basis for the IC's findings.

IC753: National Labor Relations Board (NLRB)/ Inspector General (IG):

In October 2013, a complainant alleged the IG compromised his impartiality and independence by communicating with the Office of Government Ethics (OGE) and relaying its advice about whether a dispute between the NLRB's Acting General Counsel (A/GC) and the NLRB's Designated Agency

Ethics Office (DAEO) created a conflict of interest such that the DAEO should not review the A/GC's travel reimbursements and financial disclosure forms.

The Smithsonian (SI) OIG accepted the investigation in April 2014. During the course of investigation the SI OIG also looked into an additional allegation stemming from the original complaint, that the IG showed a lack of impartiality and independence in how the IG reported a conflict of interest investigation concerning the A/GC. The complainant alleged that the IG did not present the criminal findings to federal prosecutors and included a section on mitigating circumstances in the report that showed partiality towards the A/GC.

The SI OIG concluded their work on May 14, 2015. The IC met on June 24, 2015 to consider the matter and additional information obtained from the subject of investigation.

The SI OIG determined that, while the Inspector General Act authorizes an IG to keep the head of the establishment fully and currently informed of serious problems and deficiencies relating to an agency program or operation, the NLRB IG's method of this notification caused an appearance of partiality. Regarding the additional complaint alleging the failure to present criminal findings to prosecutors and further partiality, the SI OIG found the IG's investigation was appropriately referred to federal prosecutors and that the findings and the inclusion of mitigating circumstances were appropriate.

The IC accepted and adopted the findings of the SI OIG as its own findings and conclusions regarding the IG's conduct. The IC determined that, although well intentioned, the IG involving himself in the communications between OGE and A/GC amount to administrative misconduct because it created the appearance of partiality that could undermine the independence of the OIG. The IC noted this conduct and appearance of partiality at the NLRB OIG to the Chairman at NLRB for any action deemed appropriate.

IC769/772: Department of Defense (DoD)/ Deputy Inspector General for Investigations (DIGI):

An anonymous complainant alleged in March 2014 that the DIGI inappropriately directed the use of Defense Criminal Investigative Services (DCIS) resources by directing a DCIS agent to conduct law enforcement queries and sensitive financial checks of a potential suspect pursuant to information provided by a family member, an official at a bank in Alabama.

In April 2014, the IC accepted a separate complaint that alleged the DIGI ran or owned a private investigations business incorporated in Virginia.

In September 2014, the Railroad Retirement Board (RRB) OIG accepted the investigation, and concluded their work on January 16, 2015. The IC met on January 23, 2015 to consider the matter.

The RRB OIG did not substantiate the allegations. The IC accepted and adopted the findings of the RRB OIG as its own findings and conclusions regarding the conduct of the DIGI, and closed the matter.

Enclosure 2: FY2015 Chart of Allegations to the IC

Complaints within IC Purview

IC #	Date Received	Date Disposed	Referred to Another Agency	Request for Response	Investigation	Does not Meet Threshold Standard
790	10/10/14	12/10/14	-	-	-	Yes
791	10/22/14	12/10/14	-	-	-	Yes
792	10/30/14	12/10/14	-	-	-	Yes
793	11/03/14	12/10/14	-	-	-	Yes
794	11/25/14	01/15/15	-	Yes	-	-
795	12/04/14	12/10/14	-	-	-	Yes
796	12/09/14	02/13/15	-	Yes	-	-
797	12/18/14	10/01/15	-	Yes	-	-
798	12/16/14	02/13/15	-	-	-	Yes
799	12/25/14	04/01/15	-	Yes	-	-
800	12/24/14	02/13/15	Yes	-	-	-
801	01/12/15	05/11/15	-	Yes	-	-
802	01/14/15	04/01/15	-	Yes	-	-
803	01/22/15	04/01/15	-	Yes	-	-
804	02/10/15	05/11/15	-	Yes	-	-
805	03/13/15	04/01/15	Yes	-	-	Yes
806	12/11/15	03/20/15	-	-	-	-
807	02/25/15	04/01/14	Yes	-	-	-
808	04/16/15	05/11/15	-	-	-	Yes
809	04/01/15	05/11/15	-	-	-	Yes
810	05/11/15	06/24/15	-	-	-	Yes
811	04/07/15	06/24/15	-	Yes	-	-
812	05/19/15	08/20/15	-	Yes	-	Yes
813	05/26/15	07/08/15	-	-	-	-
814	05/27/15	06/24/15	-	Yes	-	-
815	05/27/15	08/20/15	-	Yes	-	-
816	05/28/15	06/24/15	-	-	-	-
817	05/31/15	06/24/15	-	-	-	-
818	06/01/15	07/08/15	-	-	-	-
819	06/02/15	07/10/15	-	-	-	-
820	06/15/15	07/08/15	-	-	-	Yes
821	06/18/15	07/08/15	-	-	-	Yes
822	06/18/15	07/08/15	Yes	-	-	Yes
823	06/30/15	07/08/15	-	-	-	Yes
824	06/22/15	07/08/15	-	-	-	Yes
825	07/31/15	08/20/15	Yes	-	-	Yes
826	09/11/15	Pending	-	Yes	-	-
827	09/11/15	Pending	-	Yes	-	-
828	09/21/15	Pending	-	Yes	-	-
829	09/30/15	Pending	-	-	-	-

Complaints Outside IC Purview

IC #	Date Received	Date Disposed	Referred
15-1	01/27/15	02/27/15	-
15-2	01/27/15	02/27/15	-
15-3	02/10/15	02/27/15	-
15-4	02/25/15	04/09/15	-
15-5	02/25/15	04/09/15	-
15-6	03/03/15	04/10/15	-
15-7	03/14/15	04/07/15	-
15-8	03/23/15	04/09/15	-
15-9	04/01/15	05/15/15	-
15-10	04/13/15	05/14/15	-
15-11	04/20/15	05/15/15	-
15-12	04/27/15	05/13/15	-
15-13	05/19/15	07/06/15	-
15-14	05/27/15	07/06/15	-
15-15	06/07/15	07/06/15	-
15-16	06/08/15	07/06/15	-
15-17	05/20/15	07/06/15	-
15-18	06/23/15	08/27/15	-
15-19	07/06/15	08/28/15	-
15-20	07/06/15	08/27/15	-
15-21	07/08/15	08/27/15	-
15-22	07/21/15	08/28/15	-
15-23	07/28/15	08/29/15	-
15-24	07/23/15	08/27/15	-
15-25	09/30/15	Pending	-