



OFFICE OF
INSPECTOR GENERAL

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

January 11, 2017

Shaun Donovan
Director
Office of Management and Budget
c/o Mike Wetklow and Dan Keenaghan
725 17th Street, NW
Washington, D.C. 20503

Dear Mr. Donovan:

We are sending this letter in accordance with the Government Charge Card Abuse Prevention Act of 2012 (Public Law 112-194), which requires that Inspectors General report to the Director of the Office of Management and Budget (OMB) on the implementation of recommendations made to the head of the executive agency to address findings of any analysis or audit of purchase card and convenience check transactions or programs. Furthermore, OMB Memorandum M-13-21, "Implementation of the Government Charge Card Abuse Prevention Act of 2012," states that Inspectors General shall report to the Director of OMB 120 days after the end of each fiscal year on agency progress in implementing such audit recommendations.

As required by the Government Charge Card Abuse Prevention Act of 2012, the U.S. Securities and Exchange Commission's (SEC) Office of Inspector General is performing an annual risk assessment of the SEC's government purchase card program. We will report that work to the agency later this year. However, we did not issue any reports regarding the SEC's government purchase card program during fiscal year 2016. Furthermore, as reported to you in January 2016, we closed all recommendations from our most recent related audit report¹ before the end of fiscal year 2014.

If you have questions or require additional information, please contact Rebecca Sharek, Deputy Inspector General for Audits, Evaluations, and Special Projects at sharekr@sec.gov or (202) 551-6061.

Sincerely,

Carl W. Hoecker
Inspector General

¹ U.S. Securities and Exchange Commission, Office of Inspector General, "Controls Over the SEC's Government Purchase Card Program," Report No. 517, March 28, 2014.

cc: Mary Jo White, Chair
Andrew J. Donohue, Chief of Staff, Office of the Chair
Michael Liftik, Deputy Chief of Staff, Office of the Chair
Nathaniel Stankard, Deputy Chief of Staff, Office of the Chair
Michael S. Piwowar, Commissioner
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