



OFFICE OF INSPECTOR GENERAL

AUDIT OF THE FEDERAL TRADE COMMISSION'S PIV BADGE PROGRAM

July 19, 2021
OIG Report No. A-21-03

IN SUMMARY

Why We Performed This Audit

The Personal Identity Verification (PIV) badge authenticates an individual's identity and authorizes entry into an area or asset based on the permissions the FTC has approved.

The audit objective was to determine whether the FTC's PIV badge program meets federal requirements and effectively secures access to FTC assets.

Our audit scope covered the agency's Personal Identity Verification (PIV) badge program from October 1, 2019, through September 30, 2020.

The audit found that, overall, the FTC's PIV badge program meets federal requirements and appropriately safeguards access to FTC assets; however, the audit did reveal opportunities for improvements in several areas.

What We Found

Our audit found that the FTC would benefit from

- A. Developing and maintaining sufficient policies and processes to ensure the effectiveness of its PIV badge program, including activating, maintaining, and terminating PIV badges.
- B. Developing a process that reconciles information from multiple sources to assist in ensuring it has completed all necessary badge terminations.
- C. Documenting FTC-specific standard operating processes and procedures to evaluate program data and make improvements to the PIV badge program, as necessary.

What We Recommend

Recommendation 1

We recommend that the Executive Director, in coordination with the Chief Administrative Services Officer, develop and implement more detailed processes to ensure that PIV badges are terminated in USAccess in a timely manner.

Recommendation 2

We recommend that the Executive Director, in coordination with the Chief Administrative Services Officer, develop a process for reconciling multiple sources of employee information to acquire accurate data for managing the PIV badge program.

Recommendations 3

We recommend that the Executive Director, in coordination with the Chief Administrative Services Officer, study, identify, and develop internal standard operating procedures for the PIV badge program.

FTC management concurred with our report recommendations.

