

Winter Addendum to Work Plan Fiscal Years 2023-2024



Office of Inspector General
United States Department of State

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OIG Work Planning Process

The Office of Inspector General (OIG) assesses relative risks in the programs for which it has oversight authority to identify areas most in need of attention and, accordingly, to set priorities for the sequence and proportion of allocated resources. This work plan addendum supplements OIG's previously published Work Plan for FYs 2023–2024 and identifies additional OIG projects, priorities, and initiatives that will support OIG's ability to achieve its strategic goals and objectives, which encompasses:

- Providing independent oversight that promotes integrity and positive change;
- Engaging stakeholders to address the Department of State (Department) and U.S. Agency for Global Media (USAGM) priorities and challenges; and
- Providing a framework to ensure OIG's internal operations are well managed and serve as a model to the Department and USAGM.

Additionally, the U.S. government response to the full-scale Russian invasion of Ukraine in February 2022 has become a resource-intensive effort. Given the scope of the government's Ukraine response efforts, their strategic significance, and attendant risks, OIG is continuing to develop robust plans for oversight of Department and USAGM programs and operations related to the situation in Ukraine. OIG's planned Ukraine-related oversight projects are annotated with a dagger (†).¹

Work planning is a dynamic process, and adjustments are made throughout the year to meet priorities and to anticipate and respond to emerging issues by utilizing available resources. The projects and plans presented in this work plan addendum are contingent upon availability of funds, budget decisions, priorities, and evolving guidance related to the Coronavirus Disease 2019 (COVID-19) pandemic and are, therefore, subject to revision.

For inspections planned for Fall 2022, as well as other planned OIG work for FYs 2023–2024, please refer to OIG's previously published Work Plan for FYs 2023–2024, found here: <https://www.stateoig.gov/work-plans>.

¹ For OIG's complete list of Ukraine response oversight projects, please visit <https://www.stateoig.gov/ukraine-response-oversight>.

Office of Audits

In consideration of the complexity, scale, and timing of efforts in response to the situation in Ukraine, the Office of Audits (AUD) is continuing to leverage its extensive knowledge of the programs and operations of the Department and USAGM to develop plans that provide timely and critical information for Congress and the U.S. taxpayer. Oversight projects that AUD has identified since OIG published the Work Plan for FYs 2023–2024 are listed below.

Agency/Bureau	Project Title and Description	Fiscal Year
Department	<i>Evaluation of the Secretary of State’s Certification and Report to Congress on Direct Financial Support for the Government of Ukraine</i> ^{†*2} On September 30, 2022, President Biden enacted the Ukraine Supplemental Appropriations Act, 2023 (Public Law 117-180, Division B), appropriating an additional \$4.5 billion in bilateral assistance to be made available for direct financial support for the Government of Ukraine. The objective of this evaluation is to detail and assess the mechanisms for monitoring and for safeguards described in the Secretary of State’s certification and report to Congress on direct financial support for the Government of Ukraine provided in Title III of the Ukraine Supplemental Appropriations Act, 2023.	2023
Department	<i>Oversight Observations to Inform the Department of State Ukraine Response</i> [†] Following Russia’s February 2022 further invasion of Ukraine, the Department received more than \$22 billion to provide civil and military security, humanitarian relief, economic assistance, and counterproliferation, among other priorities. This report will draw upon past oversight work to help inform the Department’s response efforts. The report will leverage OIG’s extensive past work in frontline and contingency settings to highlight identified pitfalls and incentives. The Department can use the report as a “lessons learned” tool to aid its Ukraine response efforts.	2023

² *Identifies a project that OIG is required to complete by law.

Office of Inspections

The Office of Inspections (ISP) is comprised of multidisciplinary teams responsible for carrying out OIG's mandate to inspect overseas missions and domestic entities of the Department and the operations of USAGM. With respect to the Department, inspections are independent assessments that cover three broad areas, consistent with Section 209 of the Foreign Service Act of 1980:

- **Policy Implementation:** whether policy goals and objectives are being effectively achieved, whether U.S. interests are being accurately and effectively represented, and whether all elements of an office or mission are being adequately coordinated.
- **Resource Management:** whether resources are being used and managed with maximum efficiency, effectiveness, and economy and whether financial transactions and accounts are properly conducted, maintained, and reported.
- **Management Controls:** whether the administration of activities and operations meets the requirements of applicable laws and regulations; whether internal management controls have been instituted to ensure quality of performance and reduce the likelihood of mismanagement; whether instances of fraud, waste, or abuse exist; and whether adequate steps for detection, correction, and prevention have been taken.

ISP reviews a variety of factors to create and implement a multi-tiered system for planning and conducting inspections. ISP seeks to target the Department's most significant vulnerabilities and to make its inspections as effective and efficient as possible. ISP's approach for planning overseas inspections incorporates factors that provide information on major functions at posts, including physical and information security, consular operations, and management platforms. In its inspection planning, ISP also considers the Department's major management challenges and time since the most recent inspection.

PLANNED OVERSEAS INSPECTIONS FOR THE FY 2023 WINTER INSPECTION CYCLE

The objectives for the inspections listed below are to assess each embassy's executive direction, policy implementation, resource management, and management controls.

Bureau	Inspection	Initiation Date
Bureau of African Affairs		
	<i>Embassy Cotonou, Benin</i>	Winter 2022
	<i>Embassy Lomé, Togo</i>	Winter 2022
Bureau of European and Eurasian Affairs		
	<i>Embassy Chisinau, Moldova†</i>	Winter 2022

PLANNED REVIEWS FOR THE FY 2023 WINTER INSPECTION CYCLE

Agency/Bureau	Project Title and Description	Initiation Date
Bureau of European and Eurasian Affairs, Embassy Kyiv	<p><i>Review of Embassy Kyiv's Operating Status[†]</i></p> <p>Embassy Kyiv is the front line of U.S. diplomatic efforts to support Ukraine as it counters continued Russian aggression and advances reforms to develop a secure, democratic, prosperous, and free nation, fully integrated into the Euro-Atlantic community. Embassy Kyiv has faced evacuation from, and a phased return to, Ukraine while managing activities from multiple platforms in a challenging security environment. This review will describe Embassy Kyiv's current operating status, highlight potential risks, and outline how the Department plans to address facilities, staffing, security, and foreign assistance management challenges.</p>	Winter 2022
Bureau of Political-Military Affairs, Bureau of International Narcotics and Law Enforcement Affairs, Bureau of International Security and Nonproliferation	<p><i>Review of the End Use Monitoring of Security Assistance to Ukraine[†]</i></p> <p>The Department is overseeing unprecedented levels of security assistance to the Government of Ukraine, including military and civilian security assistance. There are significant risks of misuse and diversion given the volume and speed of assistance and the wartime operating environment. This review will summarize applicable end use monitoring requirements, current procedures, and operating challenges, and cover programs overseen or implemented by the Bureaus of Political-Military Affairs, International Narcotics and Law Enforcement Affairs, and International Security and Nonproliferation. Finally, the review will identify areas of potential risk and steps that bureaus and the Department could take to mitigate them.</p>	Winter 2022
Department, Secretary of State's Office of Diversity and Inclusion	<p><i>Review of the Department of State's Progress in Instituting Reforms to Improve Accountability for Diversity, Equity, Inclusion, and Accessibility Goals</i></p> <p>The government-wide Strategic Plan to Advance Diversity Equity, Inclusion, and Accessibility requires federal agencies to take steps to promote a safe and</p>	Winter 2022

Agency/Bureau	Project Title and Description	Initiation Date
	<p>inclusive workplace and prevent harassment. The plan includes specific steps to improve employee accountability in this effort. This review will assess:</p> <p>(1) whether the Department has established implementation timeframes for instituting leadership climate surveys, mandatory training, and complaint prevention and investigation reforms required by the government-wide Strategic Plan to Advance Diversity, Equity, Inclusion and Accessibility and (2) the extent to which the Department has established outcome-based metrics to assess progress for these activities.</p>	
Bureau of Information Management (IRM)	<p><i>Inspection of Bureau of Information Management's Mobile and Remote Access Division</i></p> <p>The Bureau of Information Management's Mobile and Remote Access Division oversees mobile device and access management and programs that support remote access to OpenNet, such as GO Virtual and GO Browser. The office formulates, coordinates, and recommends policies concerning new messaging technologies, including those related to IT security for mobile IT devices and systems for remote access. The significant expansion of employee telework during the COVID-19 pandemic increased the Department's reliance on remote access systems that pose potential risks to the Department from an IT security perspective which, if not mitigated, could lead to compromise of data and unauthorized access to systems by malicious actors. This inspection will determine whether: (1) IRM has policy and operational guidance in place related to mobile device management and remote access to Department enterprise systems; and (2) the Department has effective IT security controls for mobile devices and remote access systems, such as GO Virtual and GO Browser.</p>	Winter 2022

Appendix A: Abbreviations and Definitions

Identifier	Definition
AUD	Office of Audits
COVID-19	Coronavirus Disease 2019
IRM	Bureau of Information Resource Management
ISP	Office of Inspections
OIG	Office of Inspector General
USAGM	U.S. Agency for Global Media



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