



Recommendations Issued by the Office  
of the Inspector General that were  
Not Closed as of June 30, 2024



24-084

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**JULY 2024**

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# EXECUTIVE SUMMARY

## Recommendations Issued by the Office of the Inspector General that were Not Closed as of June 30, 2024

The attached report contains information about recommendations from the Department of Justice (DOJ) Office of the Inspector General's (OIG) audits, evaluations, reviews, and other reports that the OIG had not closed as of June 30, 2024. To more accurately represent the number of recommendations that have not been closed and the reports in which the recommendations were made, such recommendations that DOJ determined contain information that is limited official use or classified will be indicated as follows: "[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]" DOJ may identify a recommendation as containing limited official use or classified information if it determines, for example, that its disclosure to unauthorized individuals could negatively impact law enforcement operations, sources, or methods; expose operational vulnerabilities; or harm national security.

The status of each recommendation is subject to change due to DOJ's ongoing efforts to implement them, and the OIG's independent review of information about those efforts. Specifically, a recommendation identified as not closed as of June 30, 2024, may now be closed as a result of actions taken after that date. Although DOJ may have taken steps to implement the recommendations listed in this report, including by partially remedying the questioned costs associated with a recommendation, a recommendation is not considered closed until it has been fully implemented. Please note that DOJ has provided updates for certain recommendations prior to the date of this report that are still under review by the OIG. In addition, the OIG sometimes issues recommendations with multiple subparts in order to better track their implementation. Therefore, the recommendations in the attached report list subparts as separate items. The report also includes recommendations to a non-DOJ federal agency that contracted with the OIG to audit its information technology systems pursuant to the Federal Information Security Modernization Act (FISMA). As a result, the non-DOJ federal agency is responsible for implementing its recommendations, which are included in the statistics described below.

The following categories are used to describe the status of the recommendations in the report:

**Response Not Yet Due** – DOJ's or the non-DOJ federal agency's initial response to the recommendation is not yet due.

**Resolved** – DOJ or the non-DOJ federal agency has agreed to implement the recommendation or has proposed actions that will address the recommendation.

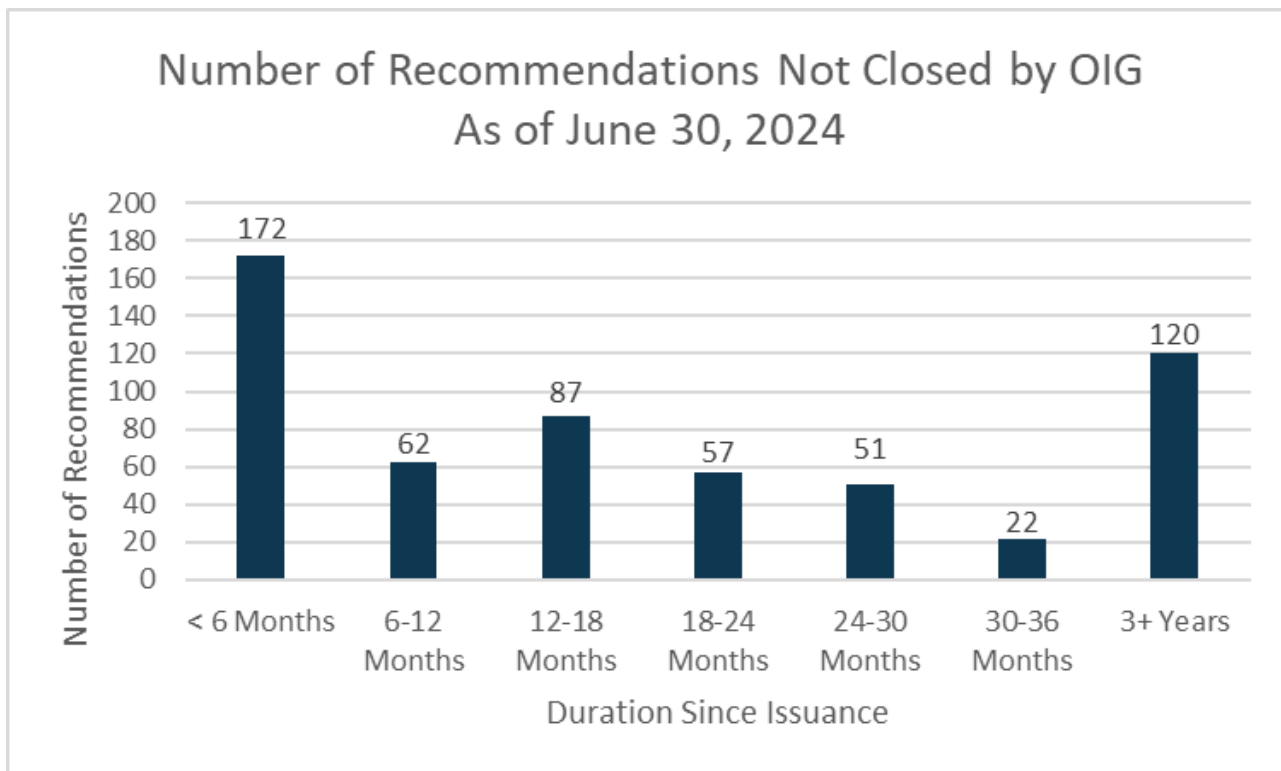
**Unresolved** – The initial deadline for DOJ's or the non-DOJ federal agency's response to the recommendation has passed, and it has not agreed to implement the recommendation or has not proposed actions that will

address the recommendation. The OIG may also convert a recommendation from “Resolved” to “Unresolved” if it determines that DOJ or the non-DOJ federal agency is not making sufficient progress towards implementation.

**On Hold/Pending with OIG** – The completion of the OIG’s assessment of the status of the recommendation is on hold or pending due to ongoing reviews or other factors.

As of June 30, 2024, the OIG had not closed 571 recommendations, which the OIG associated with the following statuses at that time: Resolved (460 recommendations), On Hold/Pending with OIG (108 recommendations), and Response Not Yet Due (3 recommendations).

The information in the following chart is derived from the attached report and includes recommendations that are in the categories described above as of June 30, 2024.



Source: DOJ OIG

The OIG’s assessment of the most significant recommendations that have not been closed is included in our Semiannual Reports, which are available at <https://oig.justice.gov/semiannual/>.

# Acronyms and Abbreviations

## Department of Justice Components

ALLDOJ	Department of Justice
ATF	Bureau of Alcohol, Tobacco, Firearms and Explosives
ATR	Antitrust Division
BOP	Federal Bureau of Prisons
COPS	Office of Community Oriented Policing Services
CRM	Criminal Division
CRT	Civil Rights Division
DEA	Drug Enforcement Administration
EOIR	Executive Office for Immigration Review
EOUSA	Executive Office for U.S. Attorneys
FBI	Federal Bureau of Investigation
FPI	Federal Prison Industries, Inc.
JMD	Justice Management Division
NSD	National Security Division
ODAG	Office of the Deputy Attorney General
OJP	Office of Justice Programs
OVW	Office on Violence Against Women
TAX	Tax Division
USMS	U.S. Marshals Service
USNCB	United States National Central Bureau

## Non-DOJ Federal Agency

CSOSA	Court Services and Offender Supervision Agency
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# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
1	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Montana Board of Crime Control, Helena, Montana</u>	OJP	06/05/2024	1	Resolved	Work with Montana BCC to enhance its existing policies and procedures to include clearly defined, measurable, and VOCA-compliant subrecipient monitoring requirements for the timing and frequency of desk reviews and on-site visits.
2	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Montana Board of Crime Control, Helena, Montana</u>	OJP	06/05/2024	2	Resolved	Work with Montana BCC to design and implement a monitoring plan that clearly demonstrates how planned and completed activities will fulfill its risk-based monitoring requirements.
3	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Montana Board of Crime Control, Helena, Montana</u>	OJP	06/05/2024	3	Resolved	Work with Montana BCC to enhance its existing policies and procedures to provide substantive guidance on the nature and scope of activities monitoring personnel are expected to perform and to ensure desk reviews and on-site visits are adequately documented and the records maintained.
4	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Montana Board of Crime Control, Helena, Montana</u>	OJP	06/05/2024	4	Resolved	Work with Montana BCC to reassess its existing policies and practices for reviewing subrecipient expenditures to ensure Montana BCC has adequate controls in place for the review of subrecipient expenditures to ensure they are properly approved, allowable, and adequately supported.
5	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Montana Board of Crime Control, Helena, Montana</u>	OJP	06/05/2024	5	Resolved	Work with Montana BCC to enhance its existing policies and procedures to address the issuance of management decision letters and ensure corrective action is taken on related subrecipient single audit report findings.
6	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Montana Board of Crime Control, Helena, Montana</u>	OJP	06/05/2024	6	Resolved	Work with Montana BCC to enhance its existing policies and procedures to ensure subrecipient Performance Measurement Tool (PMT) data is verified as complete and accurate.
7	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Oklahoma District Attorneys Council, Oklahoma City, Oklahoma</u>	OJP	06/04/2024	1	Resolved	Ensure that the Oklahoma DAC develops and implements policies and procedures to ensure accurate Federal Financial Reports (FFR) are submitted and based on the accounting records.

Questioned costs represent gross amounts, and consequently costs that were questioned for more than one reason may appear in multiple recommendations. Please refer to the schedule of dollar-related findings in the relevant report to identify net questioned costs.

# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
8	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Oklahoma District Attorneys Council, Oklahoma City, Oklahoma</u>	OJP	06/04/2024	2	Resolved	Coordinate with the Oklahoma DAC to develop a mechanism to ensure subrecipient monitoring is completed timely and in accordance with Victims of Crime Act (VOCA) guidelines and Oklahoma DAC policy.
9	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Oklahoma District Attorneys Council, Oklahoma City, Oklahoma</u>	OJP	06/04/2024	3	Resolved	Require the Oklahoma DAC to develop a plan to conduct a site visit of those subrecipients that did not receive a review in the past 24 months, as required, with priority given to those subrecipients that did not receive a site visit within our audit scope.
10	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Oklahoma District Attorneys Council, Oklahoma City, Oklahoma</u>	OJP	06/04/2024	4	Resolved	Require the Oklahoma DAC to develop and implement written policies and procedures to ensure subrecipient single audit reports are obtained and reviewed, management decisions are issued, and corrective action is taken on subrecipient single audit report findings related to VOCA funding.
11	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Oklahoma District Attorneys Council, Oklahoma City, Oklahoma</u>	OJP	06/04/2024	5	Resolved	Ensure the Oklahoma DAC enhances its subrecipient monitoring to include verification procedures for subrecipient-reported performance data.

# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
12	<u>The Department of Justice's Compliance with Whistleblower Protections for Employees with a Security Clearance</u>	ODAG	05/14/2024	1	Response Not Yet Due	Department revise DOJ Instruction 1700.00.01 to:1.Ensure that there is a process for employees to file a retaliation claim with the OIG when a security clearance review or suspension lasts longer than 1 year;2.Ensure that employees are notified in writing of their right to file a retaliation claim with the OIG when a security clearance review or suspension lasts longer than 1 year;3.Ensure that employees who have had their security clearance suspended, revoked, or denied, and have made a retaliation claim, have an opportunity, to the extent practicable, to "retain their government employment status" during a security investigation; and4.Put in place a process to review the monthly reports that DOJ Instruction 1700.00.01 requires of components in suspension cases exceeding 90 days in order to assess whether components are complying with the DOJ Instruction's requirement that components "make every effort to resolve suspension cases as quickly as possible."
13	<u>The Department of Justice's Compliance with Whistleblower Protections for Employees with a Security Clearance</u>	ODAG	05/14/2024	2	Response Not Yet Due	Department direct that each component ensure that its internal policies, directives, and procedures comply with the revisions to DOJ Instruction 1700.00.01, Section 3341, and SEAD 9.
14	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by New Jersey Department of Law and Public Safety to Manavi, Inc., New Brunswick, New Jersey</u>	OJP	05/07/2024	1	Resolved	Ensure that Manavi develops and implements written policies and procedures specific to Victims of Crime Act (VOCA)-funded program operations, including securing personally identifiable information (PII) of victims, and distributes these among the relevant personnel.
15	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by New Jersey Department of Law and Public Safety to Manavi, Inc., New Brunswick, New Jersey</u>	OJP	05/07/2024	2	Resolved	Ensure that Manavi develops and implements written policies and procedures to ensure the reported numbers for the Performance Measurement Tool (PMT) are accurate.

# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
16	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by New Jersey Department of Law and Public Safety to Manavi, Inc., New Brunswick, New Jersey</u>	OJP	05/07/2024	3	Resolved	Ensure that Manavi develops and implements updated written financial policies and procedures that ensure compliance with the Department of Justice (DOJ) Grants Financial Guide and federal award requirements, including support for personnel and fringe benefit costs, consultant rates, and procurement.
17	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by New Jersey Department of Law and Public Safety to Manavi, Inc., New Brunswick, New Jersey</u>	OJP	05/07/2024	4	Resolved	Ensure that New Jersey DLPS develops and implements written policies and procedures to ensure that any victim PII is properly protected.
18	<u>Audit of the Office of Justice Programs Services and Transitional Housing for Trafficking Victims Grants Awarded to the Healing Action Network, Inc., St. Louis, Missouri</u>	OJP	05/02/2024	1	Resolved	Ensure Healing Action establishes and implements grant financial management policies and procedures to help ensure adequate administration of federal grant funds and that all relevant personnel are aware of these policies and procedures. In particular, these policies should cover, at a minimum: (1) improving internal controls over its debit card access; (2) complying with DOJ Grants Financial Guide consultant requirements, including prior approval and justification for exceeding the established daily or hourly consultant rate and adequate time and effort reports as well as ensuring its staff understand and adhere to the policies and procedures; (3) allocating costs properly to awards; (4) recording and tracking all matching cost transactions in its accounting system; (5) ensuring drawdowns are based on actual or planned expenditures within the next 10 days and are reviewed and approved by management, as well as maintaining supporting documentation for future auditing purposes; and (6) preparing and submitting accurate Federal Financial Reports (FFR). In addition, the financial policies and procedures should ensure contracted accounting activities comply with the DOJ Grants Financial Guide requirements and the terms and conditions of the



# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
19	<u>Audit of the Office of Justice Programs Services and Transitional Housing for Trafficking Victims Grants Awarded to the Healing Action Network, Inc., St. Louis, Missouri</u>	OJP	05/02/2024	2	Resolved	Remedy the \$3,925 in unallowable expenditures related to consultant costs that were not included in the approved grant budget.
20	<u>Audit of the Office of Justice Programs Services and Transitional Housing for Trafficking Victims Grants Awarded to the Healing Action Network, Inc., St. Louis, Missouri</u>	OJP	05/02/2024	3	Resolved	Remedy the \$1,181 in unallowable expenditures related to consultant costs that exceeded OJP's authorized rate.
21	<u>Audit of the Office of Justice Programs Services and Transitional Housing for Trafficking Victims Grants Awarded to the Healing Action Network, Inc., St. Louis, Missouri</u>	OJP	05/02/2024	4	Resolved	Ensure Healing Action reminds staff of its policy to obtain bids for consultant services, when necessary.
22	<u>Audit of the Office of Justice Programs Services and Transitional Housing for Trafficking Victims Grants Awarded to the Healing Action Network, Inc., St. Louis, Missouri</u>	OJP	05/02/2024	5	Resolved	Remedy the \$2,548 in unsupported expenditures related to staff cell phones, monthly bus passes, staff continuing education, and case management software costs.
23	<u>Audit of the Lincoln County Sheriff's Office's Equitable Sharing Program Activities, Troy, Missouri</u>	CRM	04/24/2024	1	Resolved	Ensure Lincoln County Sheriff's Office (LCSO) updates its property management policies and processes to fully comply with the Office of Management and Budget's (OMB) Uniform Guidance requirements and updates its property records based upon those requirements to reflect accurate asset descriptions.
24	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Colorado Division of Criminal Justice to Ralston House, Arvada, Colorado</u>	OJP	04/23/2024	1	Resolved	Ensure Ralston House develops and implements written policies and procedures to ensure personnel costs charged to the subaward are based on records that accurately reflect the VOCA work performed.
25	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Colorado Division of Criminal Justice to Ralston House, Arvada, Colorado</u>	OJP	04/23/2024	2	Resolved	Ensure that Ralston House maintains an adequate accounting system in compliance with the DOJ Grants Financial Guide, including separately and accurately tracking all federal financial assistance.
26	<u>Audit of the Metropolitan Washington Airports Authority Police Department's Equitable Sharing Activities, Dulles, Virginia</u>	CRM	03/27/2024	1	Resolved	Require the MWAA PD to design and implement a process or control to ensure it obtains the required approvals and documents the required authorization of all purchases from the equitable sharing accounts.

# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
27	<u>Audit of the Metropolitan Washington Airports Authority Police Department's Equitable Sharing Activities, Dulles, Virginia</u>	CRM	03/27/2024	2	Resolved	Ensure that the MWAA revises its policy to implement reconciliations and reviews to ensure that it accurately reports DOJ equitable sharing expenditures on the Schedule of Expenditures of Federal Awards (SEFA) prior to filing its Single Audit Reports.
28	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/19/2024	1	Resolved	Coordinate with the Ohio AG to reexamine the Ohio AG's funding strategy, to include periodically conducting updated needs assessments and establishing an underserved population category definition.
29	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/19/2024	2	Resolved	Coordinate with the Ohio AG to consider whether a formal, more inclusive solicitation strategy needs to be developed based upon the results of the Ohio AG's reexamination of its funding strategy in <u>Recommendation Number 1</u>
30	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/19/2024	3	Resolved	Ensure the Ohio AG's subaward packages include all current, required grant information.
31	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/19/2024	4	Resolved	Ensure the Ohio AG revises its subrecipient monitoring policy to help ensure appropriate monitoring activities are conducted in compliance with federal requirements and that all relevant personnel are aware of this policy. In particular, the subrecipient monitoring policy should cover, at a minimum: (1) the programmatic monitoring of subrecipients; (2) subrecipient compliance with award special conditions; (3) risk assessment criteria and timeliness; (4) subrecipient single audit review, including issuing management decisions and appropriate corrective actions when necessary; and (5) documentation to support that subrecipient performance data is complete and accurate.
32	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/19/2024	5	Resolved	Require the Ohio AG to bring into alignment its subrecipient risk assessment policy and the tool designed to assess subrecipient risk.

# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
33	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/19/2024	6	Resolved	Ensure the Ohio AG revises its financial policies and procedures to help ensure adequate administration of federal award funds and that all relevant personnel are aware of these policies. In particular, the financial policies and procedures should cover, at a minimum: (1) completing appropriate Subgrant Award Report (SAR) submissions; (2) drawdown-related reconciliation procedures, which ensure that funds are spent or returned to DOJ within 10 days of being drawn down; and (3) matching cost reporting on Federal Financial Reports (FFR) to help ensure the information reported is reconciled to supporting data, reviewed by appropriate personnel, and that data supporting the amounts reported is maintained.
34	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/19/2024	7	Resolved	Ensure the Ohio AG develops a mechanism to complete subrecipient monitoring timely and in accordance with its policy.
35	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/19/2024	8	Resolved	Require the Ohio AG to develop a plan to conduct a site visit and/or desk review of those subrecipients that did not receive a review in the past 24 months, as required, with priority given to those subrecipients that did not receive a site visit and/or desk review within our audit scope.
36	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/19/2024	9	Resolved	Remedy the \$68,674 in unsupported subrecipient personnel expenditures.
37	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/19/2024	10	Resolved	Coordinate with the Ohio AG to ensure that the Ohio AG properly executes financial and programmatic monitoring of its subrecipients in compliance with Ohio AG policies and forms, to include that supporting documentation of each monitoring activity is collected, reviewed, and maintained.
38	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/19/2024	11	Resolved	Coordinate with the Ohio AG on the appropriate and reasonable submission of Subgrant Award Reports (SAR) for its previously awarded VOCA subgrants.

# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
39	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/19/2024	12	Resolved	Require the Ohio AG to update its written policy to cover current matching cost requirements and match waiver procedures, including expectations for the reporting of matching costs when match waivers have been issued.
40	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Arizona Department of Public Safety, Phoenix, Arizona</u>	OJP	03/18/2024	1	Resolved	Coordinate with the Arizona DPS to enhance its subgrant awarding policies and procedures to ensure compliance with victim assistance priority area funding requirements.
41	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Arizona Department of Public Safety, Phoenix, Arizona</u>	OJP	03/18/2024	2	Resolved	Coordinate with the Arizona DPS to enhance its grant administration policies and procedures to ensure that regular desk reviews and on-site monitoring of subawards is conducted for all subrecipients in accordance with the VOCA Guidelines and establish a plan to perform on-site monitoring for those subawards that have not received an on-site monitoring visit within the last 2 years.
42	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Arizona Department of Public Safety, Phoenix, Arizona</u>	OJP	03/18/2024	3	Resolved	Require the Arizona DPS to develop and implement policies and procedures in compliance with the Uniform Guidance, which requires pass-through entities issue management decisions and ensure corrective action is taken on related subrecipient single audit report findings.
43	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Arizona Department of Public Safety, Phoenix, Arizona</u>	OJP	03/18/2024	4	Resolved	Coordinate with the Arizona DPS to enhance its grant administration policies and procedures to ensure its SAR data is complete and accurate.
44	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Arizona Department of Public Safety, Phoenix, Arizona</u>	OJP	03/18/2024	5	Resolved	Coordinate with the Arizona DPS to develop and implement policies and procedures to comply with the VOCA Guidelines and notify the OVC if it plans to use a portion of administrative funds for training purposes.
45	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Arizona Department of Public Safety, Phoenix, Arizona</u>	OJP	03/18/2024	6	Resolved	Coordinate with the Arizona DPS to develop and implement policies and procedures to ensure accurate FFRs are submitted and based on the accounting records.

# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
46	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Arizona Department of Public Safety, Phoenix, Arizona</u>	OJP	03/18/2024	7	Resolved	Coordinate with the Arizona DPS to ensure that it updates match waiver policies to incorporate match waivers resulting from national emergencies.
47	<u>Audit of the Bureau of Justice Assistance Comprehensive Opioid, Stimulant, and Substance Abuse Program</u>	OJP	03/12/2024	1	Resolved	Establish policies and procedures requiring all criteria, preferences, and special considerations used to evaluate COSSAP grant applications are applied to all applicants and are based on accurate, appropriately verified information.
48	<u>Audit of the Bureau of Justice Assistance Comprehensive Opioid, Stimulant, and Substance Abuse Program</u>	OJP	03/12/2024	2	Resolved	Adequately describe within the COSSAP solicitation all criteria, preferences, and special considerations used to evaluate COSSAP grant applications.
49	<u>Audit of the Bureau of Justice Assistance Comprehensive Opioid, Stimulant, and Substance Abuse Program</u>	OJP	03/12/2024	3	Resolved	Coordinate with COSSAP recipients to determine whether goals and objectives need to be modified and if technical assistance is needed to ensure that COSSAP goals and objectives are accomplished.
50	<u>Audit of the Bureau of Justice Assistance Comprehensive Opioid, Stimulant, and Substance Abuse Program</u>	OJP	03/12/2024	4	Resolved	Submit its COSSAP evaluation report to Congress and to publish the results upon the clearance from the Department.
51	<u>Audit of the Bureau of Justice Assistance Comprehensive Opioid, Stimulant, and Substance Abuse Program</u>	OJP	03/12/2024	5	Resolved	Assess its coordination and collaboration efforts to include an analysis of how the assessment results can assist BJA in achieving COSSAP goals and objectives.
52	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Mentoring Opportunities for Youth Initiative Grants Awarded to Sea Research Foundation, Inc., Mystic, Connecticut</u>	OJP	03/05/2024	1	Resolved	Establish and execute procedures to monitor and confirm its subrecipients' compliance with OJP's Determination of Suitability special condition.
53	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Mentoring Opportunities for Youth Initiative Grants Awarded to Sea Research Foundation, Inc., Mystic, Connecticut</u>	OJP	03/05/2024	2	Resolved	Remedy \$41,035 in unsupported fringe benefit costs charged to the 2018-JU-FX-0025 and 15PJDP-21-GG-03589-MENT grants.

# Department of Justice Office of the Inspector General - Recommendations Not Closed

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
54	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Mentoring Opportunities for Youth Initiative Grants Awarded to Sea Research Foundation, Inc., Mystic, Connecticut</u>	OJP	03/05/2024	3	Resolved	Remedy \$114,300 in unsupported training costs charged to the 2018-JU-FX-0025 and 15PJDP-21-GG-03589-MENT grants.
55	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Mentoring Opportunities for Youth Initiative Grants Awarded to Sea Research Foundation, Inc., Mystic, Connecticut</u>	OJP	03/05/2024	4	Resolved	Implement written policies and procedures that result in : (1) charging fringe benefits and training costs based on actual, allocated costs to federal grants; (2) using of actual, allocated direct costs in its calculations of indirect cost and recording these expenditures in its financial management system; (3) determining drawdowns using actual cost data from its financial management system, (4) adequately tracking its expenditures against its approved budget and ensuring that SRF's federal financial reports are accurately completed using official financial accounting records containing all actual grant expenditures incurred for the reporting period.
56	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Mentoring Opportunities for Youth Initiative Grants Awarded to Sea Research Foundation, Inc., Mystic, Connecticut</u>	OJP	03/05/2024	5	Resolved	Adhere to its written policy and procedure that require properly classifying and allocating all grant-related expenditures in its financial management system.
57	<u>Audit of the Federal Bureau of Investigation's Information Security Management Program Pursuant to the Federal Information Security Modernization Act Fiscal Year 2023</u>	FBI	02/27/2024	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
58	<u>Audit of the Federal Bureau of Investigation's Information Security Management Program Pursuant to the Federal Information Security Modernization Act Fiscal Year 2023</u>	FBI	02/27/2024	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
59	<u>Audit of the Federal Bureau of Investigation's Information Security Management Program Pursuant to the Federal Information Security Modernization Act Fiscal Year 2023</u>	FBI	02/27/2024	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
60	<u>Audit of the Federal Bureau of Investigation's Information Security Management Program Pursuant to the Federal Information Security Modernization Act Fiscal Year 2023</u>	FBI	02/27/2024	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
61	<u>Audit of the Federal Bureau of Investigation's Information Security Management Program Pursuant to the Federal Information Security Modernization Act Fiscal Year 2023</u>	FBI	02/27/2024	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
62	<u>Audit of the Federal Bureau of Investigation's Information Security Management Program Pursuant to the Federal Information Security Modernization Act Fiscal Year 2023</u>	FBI	02/27/2024	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
63	<u>Audit of the Federal Bureau of Investigation's Information Security Management Program Pursuant to the Federal Information Security Modernization Act Fiscal Year 2023</u>	FBI	02/27/2024	7	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
64	<u>Audit of the Federal Bureau of Investigation's Information Security Management Program Pursuant to the Federal Information Security Modernization Act Fiscal Year 2023</u>	FBI	02/27/2024	8	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
65	<u>Audit of the Federal Bureau of Investigation's Information Security Management Program Pursuant to the Federal Information Security Modernization Act Fiscal Year 2023</u>	FBI	02/27/2024	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
66	<u>Audit of the Federal Bureau of Investigation's Information Security Management Program Pursuant to the Federal Information Security Modernization Act Fiscal Year 2023</u>	FBI	02/27/2024	10	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
67	<u>Audit of the Federal Bureau of Investigation's Information Security Management Program Pursuant to the Federal Information Security Modernization Act Fiscal Year 2023</u>	FBI	02/27/2024	11	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
68	<u>Audit of the Federal Bureau of Investigation's Information Security Management Program Pursuant to the Federal Information Security Modernization Act Fiscal Year 2023</u>	FBI	02/27/2024	12	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
69	<u>Notification of Concerns Regarding Federal Bureau of Prisons' Policies Pertaining to Special Housing Unit Logs Used to Record Mandatory Rounds and the Retention Period for the Original Logs</u>	BOP	02/21/2024	1	Resolved	BOP should create a standard form for BOP staff to use to document mandatory rounds in SHUs, or should establish baseline standards and a standard identifier for the SHU round forms used by different institutions.
70	<u>Notification of Concerns Regarding Federal Bureau of Prisons' Policies Pertaining to Special Housing Unit Logs Used to Record Mandatory Rounds and the Retention Period for the Original Logs</u>	BOP	02/21/2024	2	Resolved	BOP should reassess its policies to ensure that SHU round documentation is preserved for the possible use of such documentation as original evidence in criminal and administrative investigations and proceedings. As part of this reassessment, the BOP should, among other things, consider (1) adjusting its records retention schedule for SHU round forms; and (2) amending its policies to ensure that staff responding to crime scenes associated with inmate misconduct also collect evidence of potentially related staff misconduct, such as SHU round documentation, and account for the possible use of such evidence as original evidence in criminal and administrative investigations and proceedings.



# Department of Justice Office of the Inspector General - Recommendations Not Closed

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
71	<u>Notification of Concerns Regarding Federal Bureau of Prisons' Policies Pertaining to Special Housing Unit Logs Used to Record Mandatory Rounds and the Retention Period for the Original Logs</u>	BOP	02/21/2024	3	Resolved	BOP should modify its policies regarding SHU round forms, including BOP Program Statement 5500.14, Section 310, to refer employees to the document retention schedule for SHU round forms, or otherwise ensure that its employees across all institutions are aware of the retention schedule.
72	<u>Notification of Concerns Regarding Federal Bureau of Prisons' Policies Pertaining to Special Housing Unit Logs Used to Record Mandatory Rounds and the Retention Period for the Original Logs</u>	BOP	02/21/2024	4	Resolved	BOP should ensure that all Special Investigative Services Staff, Executive Management Staff, staff involved with evidence collection and preservation, and other relevant staff are trained/advised on how to preserve SHU logs and to recognize when they may be needed as original evidence in criminal or administrative investigations and proceedings, e.g., when there is an escape or death in custody and the original log may reflect who purportedly conducted round counts during the pertinent time period.
73	<u>Audit of the United States Marshals Service's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	USMS	02/21/2024	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
74	<u>Audit of the United States Marshals Service's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	USMS	02/21/2024	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
75	<u>Audit of the United States Marshals Service's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	USMS	02/21/2024	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
76	<u>Audit of the United States Marshals Service's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	USMS	02/21/2024	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
77	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CSOSA	02/21/2024	1	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
78	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CSOSA	02/21/2024	2	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
79	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CSOSA	02/21/2024	3	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
80	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CSOSA	02/21/2024	4	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
81	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CSOSA	02/21/2024	5	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
82	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CSOSA	02/21/2024	6	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]

# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
83	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CSOSA	02/21/2024	7	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
84	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CSOSA	02/21/2024	8	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
85	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CSOSA	02/21/2024	9	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
86	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CSOSA	02/21/2024	10	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
87	<u>Evaluation of Issues Surrounding Inmate Deaths in Federal Bureau of Prisons Institutions</u>	BOP	02/14/2024	1	Resolved	Develop strategies to ensure that staff assign accurate, consistent, and timely Mental Health Care Level designations to inmates.
88	<u>Evaluation of Issues Surrounding Inmate Deaths in Federal Bureau of Prisons Institutions</u>	BOP	02/14/2024	2	Resolved	Ensure that all institutions conduct required mock suicide drills, and develop strategies to increase staff participation in those drills.

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(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
89	<u>Evaluation of Issues Surrounding Inmate Deaths in Federal Bureau of Prisons Institutions</u>	BOP	02/14/2024	3	Resolved	Ensure that all appropriate staff are trained in automated external defibrillator use and that automated external defibrillators are strategically placed, readily available, and regularly checked to ensure that they are in working order at each BOP institution.
90	<u>Evaluation of Issues Surrounding Inmate Deaths in Federal Bureau of Prisons Institutions</u>	BOP	02/14/2024	4	Resolved	Ensure that cut-down tools in working order are accessible to staff in each housing unit at each institution, that staff are trained on proper use of the tool, and that the BOP determines whether staff should be issued and required to keep their own cut-down tool on their duty belt during their entire shift.
91	<u>Evaluation of Issues Surrounding Inmate Deaths in Federal Bureau of Prisons Institutions</u>	BOP	02/14/2024	5	Resolved	Ensure that each institution has a sufficient number of maneuverable gurneys in strategic locations to provide proper medical response during inmate transport.
92	<u>Evaluation of Issues Surrounding Inmate Deaths in Federal Bureau of Prisons Institutions</u>	BOP	02/14/2024	6	Resolved	Issue standard, enterprise-wide guidance and training to staff on using the radio to communicate clear, descriptive information during inmate medical emergencies.
93	<u>Evaluation of Issues Surrounding Inmate Deaths in Federal Bureau of Prisons Institutions</u>	BOP	02/14/2024	7	Resolved	Ensure that staff receive both the initial and refresher naloxone training and are fully prepared to administer naloxone to an unresponsive inmate suspected of having experienced a drug overdose.
94	<u>Evaluation of Issues Surrounding Inmate Deaths in Federal Bureau of Prisons Institutions</u>	BOP	02/14/2024	8	Resolved	Ensure that all Evidence Recovery Teams are properly trained on post-incident evidence recovery protocols.
95	<u>Evaluation of Issues Surrounding Inmate Deaths in Federal Bureau of Prisons Institutions</u>	BOP	02/14/2024	9	Resolved	Develop procedures to ensure that all required death-related records are completed and collected consistently and in accordance with established deadlines.

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(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
96	<u>Evaluation of Issues Surrounding Inmate Deaths in Federal Bureau of Prisons Institutions</u>	BOP	02/14/2024	10	Resolved	Assess the benefit and feasibility of expanding its policy requiring After Action Reviews to include reviews of all inmate homicides and deaths by accidental and unknown factors, not just for inmate suicides.
97	<u>Evaluation of Issues Surrounding Inmate Deaths in Federal Bureau of Prisons Institutions</u>	BOP	02/14/2024	11	Resolved	Clarify responsibility for tracking at an enterprise level the reports and recommendations required in the wake of an inmate death by suicide, homicide, accident, or unknown factors, and assess the information contained therein for broader trends, applicability, and implementation.
98	<u>Evaluation of Issues Surrounding Inmate Deaths in Federal Bureau of Prisons Institutions</u>	BOP	02/14/2024	12	Resolved	Evaluate existing electronic devices used for inmate screening to identify whether they are functioning as intended, and, if necessary, implement any needed <del>adjustments or upgrades</del>
99	<u>Audit of the United States Trustees Program's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	USTP	02/14/2024	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
100	<u>Audit of the United States Trustees Program's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	USTP	02/14/2024	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
101	<u>Audit of the United States Trustees Program's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	USTP	02/14/2024	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
102	<u>Audit of the United States Trustees Program's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	USTP	02/14/2024	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
103	<u>Audit of the Criminal Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CRM	02/14/2024	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
104	<u>Audit of the Criminal Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CRM	02/14/2024	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
105	<u>Audit of the Criminal Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CRM	02/14/2024	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
106	<u>Audit of the Criminal Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CRM	02/14/2024	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
107	<u>Audit of the Criminal Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CRM	02/14/2024	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
108	<u>Audit of the Criminal Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CRM	02/14/2024	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
109	<u>Audit of the Criminal Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CRM	02/14/2024	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
110	<u>Audit of the Criminal Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CRM	02/14/2024	10	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
111	<u>Audit of the Criminal Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CRM	02/14/2024	11	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
112	<u>Audit of the Criminal Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CRM	02/14/2024	12	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
113	<u>Audit of the Criminal Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CRM	02/14/2024	13	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
114	<u>Audit of the Criminal Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CRM	02/14/2024	14	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
115	<u>Audit of the Criminal Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	CRM	02/14/2024	15	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
116	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	ATF	02/13/2024	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
117	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	ATF	02/13/2024	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
118	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	ATF	02/13/2024	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
119	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	ATF	02/13/2024	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
120	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	ATF	02/13/2024	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
121	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	ATF	02/13/2024	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
122	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	ATF	02/13/2024	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]



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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
123	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	ATF	02/13/2024	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
124	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	ATF	02/13/2024	9	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
125	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	ATF	02/13/2024	10	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
126	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	ATF	02/13/2024	11	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
127	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	ATF	02/13/2024	12	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
128	<u>Audit of the Justice Management Division's Fix National Instant Criminal Background Check System Disposition Reporting System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	JMD	02/13/2024	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

# Department of Justice Office of the Inspector General - Recommendations Not Closed

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
129	<u>Audit of the Justice Management Division's Fix National Instant Criminal Background Check System Disposition Reporting System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	JMD	02/13/2024	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
130	<u>Audit of the Justice Management Division's Fix National Instant Criminal Background Check System Disposition Reporting System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	JMD	02/13/2024	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
131	<u>Audit of the Justice Management Division's Fix National Instant Criminal Background Check System Disposition Reporting System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	JMD	02/13/2024	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
132	<u>Audit of the Justice Management Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	JMD	02/13/2024	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
133	<u>Audit of the Justice Management Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	JMD	02/13/2024	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
134	<u>Audit of the Justice Management Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	JMD	02/13/2024	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
135	<u>Audit of the Justice Management Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	JMD	02/13/2024	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

# Department of Justice Office of the Inspector General - Recommendations Not Closed

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
136	<u>Audit of the Justice Management Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	JMD	02/13/2024	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
137	<u>Audit of the Justice Management Division's Information Security Management Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2023</u>	JMD	02/13/2024	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
138	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Guam Office of the Attorney General, Tamuning, Guam</u>	OJP	02/06/2024	1	Resolved	Ensure the Guam OAG implements its plan to formally assess victim service needs in Guam.
139	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Guam Office of the Attorney General, Tamuning, Guam</u>	OJP	02/06/2024	2	Resolved	Ensure the Guam OAG maintains documentation to support its methodology for allocating at least 10 percent of the total grant funds to priority funding program areas as required by OJP.
140	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Guam Office of the Attorney General, Tamuning, Guam</u>	OJP	02/06/2024	3	Resolved	Ensure the Guam OAG is accurately reporting VOCA victim assistance grant-related expenditures on its SEFA.
141	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Guam Office of the Attorney General, Tamuning, Guam</u>	OJP	02/06/2024	4	Resolved	Work with the Guam OAG to remedy \$3,897 in unapproved questioned costs.
142	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Guam Office of the Attorney General, Tamuning, Guam</u>	OJP	02/06/2024	5	Resolved	Require the Guam OAG to ensure its subrecipients are adequately accounting for VOCA grant funds.
143	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Guam Office of the Attorney General, Tamuning, Guam</u>	OJP	02/06/2024	6	Resolved	Require the Guam OAG to ensure that its subrecipients are in compliance with the Uniform Guidance single audit requirements.

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(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
144	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Guam Office of the Attorney General, Tamuning, Guam</u>	OJP	02/06/2024	7	Resolved	Ensure the Guam OAG implements procedures to perform periodic verification of the performance data submitted by subrecipients.
145	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Guam Office of the Attorney General, Tamuning, Guam</u>	OJP	02/06/2024	8	Resolved	Ensure the Guam OAG conducts its outstanding subrecipient monitoring reviews and maintains adequate documentation of subrecipient monitoring as required by the Guam OAG and VOCA guidelines.
146	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Maryland Governor's Office of Crime Prevention, Youth, and Victim Services to the University of Maryland Prince George's Hospital Center, Largo, Maryland</u>	OJP	02/05/2024	3	Resolved	Require the Maryland Governor's Office to enhance and implement procedures to ensure compliance with Uniform Guidance requirements related to subrecipient single audit findings, and issuance of management decisions.
147	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Maryland Governor's Office of Crime Prevention, Youth, and Victim Services to the University of Maryland Prince George's Hospital Center, Largo, Maryland</u>	OJP	02/05/2024	1a	Resolved	Work with UM PGHC to develop, implement, and disseminate written policies and procedures specific to the VOCA-funded program administration.  a. the VOCA-funded program administration.
148	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Maryland Governor's Office of Crime Prevention, Youth, and Victim Services to the University of Maryland Prince George's Hospital Center, Largo, Maryland</u>	OJP	02/05/2024	1b	Resolved	Work with UM PGHC to develop, implement, and disseminate written policies and procedures specific to ridesharing services. Such policies and procedures should include, but may not be limited to, safeguarding the confidentiality and privacy of victim information and conducting periodic reviews to ensure the account is being used only for official purposes.  b. ridesharing services. Such policies and procedures should include, but may not be limited to, safeguarding the confidentiality and privacy of victim information and conducting periodic reviews to ensure the account is being used only for official purposes.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
149	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Maryland Governor's Office of Crime Prevention, Youth, and Victim Services to the University of Maryland Prince George's Hospital Center, Largo, Maryland</u>	OJP	02/05/2024	1c	Resolved	<p>Work with UM PGHC to develop, implement, and disseminate written policies and procedures specific to the process for tracking, validating, and reporting program accomplishments. Such policies and procedures should also ensure that UM PGHC documents and maintains adequate records that support the claimed program accomplishments.</p> <p>c. the process for tracking, validating, and reporting program accomplishments. Such policies and procedures should also ensure that UM PGHC documents and maintains adequate records that</p>
150	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Maryland Governor's Office of Crime Prevention, Youth, and Victim Services to the University of Maryland Prince George's Hospital Center, Largo, Maryland</u>	OJP	02/05/2024	1d	Resolved	<p>Work with UM PGHC to develop, implement, and disseminate written policies and procedures specific to compliance with subaward single audit requirements and take appropriate and timely action to resolve relevant and existing single audit findings.</p> <p>d. compliance with subaward single audit requirements and take appropriate and timely action to resolve relevant and existing single audit findings.</p>
151	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Maryland Governor's Office of Crime Prevention, Youth, and Victim Services to the University of Maryland Prince George's Hospital Center, Largo, Maryland</u>	OJP	02/05/2024	2a	Resolved	<p>Work with UM PGHC to develop, implement, and disseminate written policies and procedures specific to compliance with subaward single audit requirements and take appropriate and timely action to resolve relevant and existing single audit findings.</p> <p>a. remedy the \$35,208 in unsupported UM PGHC salary and fringe benefit costs.</p>

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
152	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Maryland Governor's Office of Crime Prevention, Youth, and Victim Services to the University of Maryland Prince George's Hospital Center, Largo, Maryland</u>	OJP	02/05/2024	2b	Resolved	Work with UM PGHC to ensure that UM PGHC implements an adequate system of internal control for personnel costs, which provides reasonable assurance that the charges are accurate, allowable, and properly allocated.  b. ensure that UM PGHC implements an adequate system of internal control for personnel costs, which provides reasonable assurance that the charges are accurate, allowable, and properly allocated.
153	<u>Audit of Office of Justice Programs Victim Assistance Funds Subawarded by the Georgia Criminal Justice Coordinating Council to the Southern Crescent Sexual Assault and Child Advocacy Center, Hampton, Georgia</u>	OJP	01/31/2024	1	Resolved	Ensure that Southern Crescent complies with the requirement to submit an adjustment request prior to any substantial budget changes.
154	<u>Audit of Office of Justice Programs Victim Assistance Funds Subawarded by the Georgia Criminal Justice Coordinating Council to the Southern Crescent Sexual Assault and Child Advocacy Center, Hampton, Georgia</u>	OJP	01/31/2024	2	Resolved	Require Southern Crescent to ensure applicable policies are followed so that VOCA timesheets are completed and approved accurately and in a timely manner.
155	<u>Notification of Concerns with the Policies and Procedures Regarding the Handling and Safeguarding of Physical Evidence at United States Attorney's Offices</u>	EOUSA	01/25/2024	1	Resolved	Revise Justice Manual Title 9 to reference the Title 3 policy regarding USAO handling of evidence in criminal matters and cases, and otherwise ensure that the policy regarding USAO handling of evidence in criminal matters and cases is made known to all relevant USAO employees.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
156	<u>Notification of Concerns with the Policies and Procedures Regarding the Handling and Safeguarding of Physical Evidence at United States Attorney's Offices</u>	EOUSA	01/25/2024	2	Resolved	Reassess and revise the policy regarding handling evidence in criminal matters and cases to address the concerns identified in this memorandum. Specifically, at a minimum, these revisions should require USAOs, when storing physical evidence, to maintain documentation identifying the particular USAO employees who handled or had access to physical evidence and set forth baseline standards for how USAOs should ensure any location in which physical evidence is stored is secure and only accessible to individuals with a need to access such physical evidence.
157	<u>Notification of Concerns with the Policies and Procedures Regarding the Handling and Safeguarding of Physical Evidence at United States Attorney's Offices</u>	EOUSA	01/25/2024	3	Resolved	Provide training to relevant USAO employees regarding the safe handling, permissible storage, and documentation of physical evidence in criminal cases.
158	<u>Audit of Office of Justice Programs STOP School Violence Grants Awarded to the Lockport City School District, Lockport, New York</u>	OJP	01/24/2024	1	Resolved	Work with LCSD to create and implement written policies and procedures with the necessary guidance to assist staff in preparing accurate progress reports that avoid duplication and inconsistent interpretation.
159	<u>Audit of Office of Justice Programs STOP School Violence Grants Awarded to the Lockport City School District, Lockport, New York</u>	OJP	01/24/2024	2	Resolved	Coordinate with LCSD to enhance written policies and procedures for documenting consultant expenditures to include time and effort reports.
160	<u>Audit of the United States Trustee Program's Administration of the Panel Trustee and Debtor Audit Programs and Associated Procurements Awarded to Tronconi Segarra &amp; Associates LLP</u>	EOUST	01/22/2024	1	Resolved	Evaluate the process for setting materiality thresholds to ensure relevant USTP personnel are consulted and establish a schedule to periodically reassess the thresholds provided to contractors to help ensure debtor audit reports identify—and USTP personnel follow up on—the most significant issues

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
161	<u>Audit of the United States Trustee Program's Administration of the Panel Trustee and Debtor Audit Programs and Associated Procurements Awarded to Tronconi Segarra &amp; Associates LLP</u>	EOUST	01/22/2024	2	Resolved	Develop a strategy focused on aligning funding levels and the statutory requirements for debtor audits.
162	<u>Audit of the United States Trustee Program's Administration of the Panel Trustee and Debtor Audit Programs and Associated Procurements Awarded to Tronconi Segarra &amp; Associates LLP</u>	EOUST	01/22/2024	3	Resolved	Implement a risk-based methodology for selecting exception audits that extends beyond solely evaluating variances from the statistical norm of the judicial district, to include a broader set of risk factors.
163	<u>Audit of the United States Trustee Program's Administration of the Panel Trustee and Debtor Audit Programs and Associated Procurements Awarded to Tronconi Segarra &amp; Associates LLP</u>	EOUST	01/22/2024	4	Resolved	Establish a control to ensure that contract costs are charged against the award to which they directly relate, in accordance with the FAR.
164	<u>Audit of the United States Trustee Program's Administration of the Panel Trustee and Debtor Audit Programs and Associated Procurements Awarded to Tronconi Segarra &amp; Associates LLP</u>	EOUST	01/22/2024	5	Resolved	Ensure that adequate quality assurance procedures are being performed to confirm that Tronconi is complying with the relevant SOW requirements.
165	<u>Audit of the United States Trustee Program's Administration of the Panel Trustee and Debtor Audit Programs and Associated Procurements Awarded to Tronconi Segarra &amp; Associates LLP</u>	EOUST	01/22/2024	6	Resolved	Establish a control to ensure that the proper inspection clauses in FAR 52.246 are included in future awards.
166	<u>Audit of the United States Trustee Program's Administration of the Panel Trustee and Debtor Audit Programs and Associated Procurements Awarded to Tronconi Segarra &amp; Associates LLP</u>	EOUST	01/22/2024	7	Resolved	Complete overdue performance evaluations in CPARS for Tronconi and establish a control to help ensure that future performance evaluations are completed in CPARS at least annually and at the time a contract or order is completed.



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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
167	<u>Audit of the United States Trustee Program's Administration of the Panel Trustee and Debtor Audit Programs and Associated Procurements Awarded to Tronconi Segarra &amp; Associates LLP</u>	EOUST	01/22/2024	8	Resolved	Document the delineation of the roles and responsibilities of USTP personnel and its contractors in requesting, approving, and documenting debtor audit extensions.
168	<u>Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2023</u>	ALLDOJ	01/03/2024	2	On Hold/Pending with OIG	Continue to enhance the design and implementation of process level controls to be more specific in how the control should be performed, including standard criteria to consider in the performance of the control and sufficiently document the control so it can be consistently performed. Once controls are fully designed and implemented, the BOP should monitor the operating effectiveness of the controls. (Updated)
169	<u>Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2023</u>	ALLDOJ	01/03/2024	4	On Hold/Pending with OIG	Assess reconciliation, financial reporting review, and other monitoring controls to increase the precision with which period end financial reporting is performed, including the identification and investigation of significant variances for all financial statements. (New)
170	<u>Audit of the Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2023</u>	JMD	01/03/2024	1	On Hold/Pending with OIG	Finalize and implement its Asset Forfeiture Financial Management Guide to reinforce the accounting requirements for AFP participants to adhere to timely and accurate data input to the AFP asset system, including forfeiture decisions that directly impact the recognition of revenue, and the accounting requirements for the reporting of transactions in Note 7, Forfeited and Seized Property, Net. In addition, management should more clearly define the investigative action that should be used as the seizure date for purposes of actual or constructive custody and valuation of seized property. (Updated)
171	<u>Audit of the Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2023</u>	JMD	01/03/2024	4	On Hold/Pending with OIG	Formally document the evaluation results of the out-of-period seizure analysis to include a consideration of materiality and the impact on the current and prior period financial statements. (Updated)

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
172	<u>Audit of the Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2023</u>	JMD	01/03/2024	5	On Hold/Pending with OIG	Re-evaluate aged seized digital assets to consider whether risks of misstatement related to accurate valuation, existence, and ownership of assets have been adequately addressed considering the evolving nature of digital asset seizures. Based on the results of the evaluation, design and implement processes, procedures, and controls to appropriately account for aged digital assets. (New)
173	<u>Notification of Concerns Regarding the Federal Bureau of Prisons' Use of Temporary Secure Enclosures with Limited Space for Lengthy Time Periods</u>	BOP	12/12/2023	1	Resolved	Determine whether a secure enclosure in which an inmate's movement is significantly restricted due to its size is considered a restraint and, if so, update its policies to make this clear.
174	<u>Notification of Concerns Regarding the Federal Bureau of Prisons' Use of Temporary Secure Enclosures with Limited Space for Lengthy Time Periods</u>	BOP	12/12/2023	2	Resolved	Amend its policies to address secure enclosures, including the appropriate use(s) of a secure enclosure, the physical dimensions of an appropriate secure enclosure, the approvals required for using secure enclosures as temporary holding cells, how inmates should be monitored and treated while confined in secure enclosures, and how long an inmate may be kept in a secure enclosure.
175	<u>Review of an Office of Justice Programs Grant Awarded to the United States Institute Against Human Trafficking, Tampa, Florida</u>	OJP	11/20/2023	1	Resolved	Remedy the \$301,222 in unsupported questioned costs for Grant Number 2019-VM-BX-00014.
176	<u>Review of an Office of Justice Programs Grant Awarded to the United States Institute Against Human Trafficking, Tampa, Florida</u>	OJP	11/20/2023	2	Resolved	Remedy the remaining balance of Grant Number 2019-VM-BX-0014 totaling \$191,690 in funds to be put to better use.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
177	<u>Audit of the Federal Bureau of Prisons' Contract Awarded to the American Correctional Association</u>	BOP	11/15/2023	1	Resolved	Prior to awarding a new contract for accreditation services: (a) perform an analysis of its disparate reaccreditation policy and practices and ensure they are in alignment with BOP expectations for the level of independence employed and how reaccreditation enhances BOP operations and programs and instills confidence in BOP facility administration; and (b) ensure any policy, contract, and procedure documents are updated as necessary and appropriate.
178	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Minnesota Department of Public Safety Office of Justice Programs to Women of Nations, Incorporated, Saint Paul, Minnesota</u>	OJP	09/26/2023	3	Resolved	Remedy the \$136,107 in unsupported salary and fringe benefit costs associated with unapproved timesheets.
179	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Minnesota Department of Public Safety Office of Justice Programs to Women of Nations, Incorporated, Saint Paul, Minnesota</u>	OJP	09/26/2023	4	Resolved	Remedy the \$58,080 in unsupported personnel costs associated with the Medical Professional and Mental Health Professional.
180	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Minnesota Department of Public Safety Office of Justice Programs to Women of Nations, Incorporated, Saint Paul, Minnesota</u>	OJP	09/26/2023	6	Resolved	Require the Minnesota OJP to ensure that adequate corrective action has been taken related to WON's Fiscal Year (FY) 2020 single audit report deficiencies, including ensuring that identified questioned costs have been appropriately remedied.
181	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the North Dakota Department of Corrections and Rehabilitation, Bismarck, North Dakota</u>	OJP	09/26/2023	1	Resolved	Ensure that the North Dakota DCR establishes an ongoing plan to increase its outreach for its victim compensation program.
182	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the North Dakota Department of Corrections and Rehabilitation, Bismarck, North Dakota</u>	OJP	09/26/2023	2	Resolved	Coordinate with the North Dakota DCR to enhance its policies and procedures to ensure that notifications to victims relating to denial or reduction of claims are maintained in the case files.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
183	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the North Dakota Department of Corrections and Rehabilitation, Bismarck, North Dakota</u>	OJP	09/26/2023	3	Resolved	Work with the North Dakota DCR to determine if its FY 2019 crime victim certification form accurately reflected activity and payments and take necessary steps to recover any awarded funds as appropriate.
184	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the North Dakota Department of Corrections and Rehabilitation, Bismarck, North Dakota</u>	OJP	09/26/2023	4	Resolved	Coordinate with the North Dakota DCR to enhance its policies and procedures to help ensure the submission of complete and accurate annual State Certification Forms.
185	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the North Dakota Department of Corrections and Rehabilitation, Bismarck, North Dakota</u>	OJP	09/26/2023	5	Resolved	Coordinate with the North Dakota DCR to enhance its controls, such as having a secondary review and approval process prior to the submission of the annual State Certification Form.
186	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the North Dakota Department of Corrections and Rehabilitation, Bismarck, North Dakota</u>	OJP	09/26/2023	6	Resolved	Remedy \$5,048 in unsupported questioned costs.
187	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the North Dakota Department of Corrections and Rehabilitation, Bismarck, North Dakota</u>	OJP	09/26/2023	7	Resolved	Coordinate with the North Dakota DCR to enhance controls to help ensure the accuracy of claim reviews and proposed payments.
188	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Oregon Department of Justice to J Bar J Youth Services, Bend, Oregon</u>	OJP	09/25/2023	1	Resolved	Ensure that J Bar J establish written policies and procedures related to programmatic progress tracking and reporting and distribute these among the relevant officials and staff.
189	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Oregon Department of Justice to J Bar J Youth Services, Bend, Oregon</u>	OJP	09/25/2023	3	Resolved	Remedy the \$6,601 in unapproved employee bonuses charged to the subaward.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
190	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Oregon Department of Justice to J Bar J Youth Services, Bend, Oregon</u>	OJP	09/25/2023	4	Resolved	Require J Bar J obtain its subgrantee's timekeeping records for the entire period of this grant, determine any amount overcharged, and remedy accordingly.
191	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Oregon Department of Justice to J Bar J Youth Services, Bend, Oregon</u>	OJP	09/25/2023	5	Resolved	Ensure that J Bar J implements procedures to ensure that invoices received from J Bar J's subgrantee are accurate and reflective of the work performed.
192	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Oregon Department of Justice to J Bar J Youth Services, Bend, Oregon</u>	OJP	09/25/2023	6	Resolved	Ensure that J Bar J enhance its procedures to incorporate controls to validate the accuracy and appropriateness of in-kind match contributions to federal awards.
193	<u>Audit of the Department of Justice's Law Enforcement and Corrections Components' Use-of-Force Policies</u>	ODAG	09/25/2023	1	Resolved	Incorporate the 2021 Restraint and "No Knock" Guidance in a DOJ Directive or another appropriate format to ensure permanence.
194	<u>Audit of the Department of Justice's Law Enforcement and Corrections Components' Use-of-Force Policies</u>	ODAG	09/25/2023	2	Resolved	In coordination with the BOP and USMS: (a) evaluate what use-of-force requirements and restrictions should apply to custodial situations, and (b) ensure these requirements are incorporated into DOJ, BOP, and USMS policies.
195	<u>Audit of the Department of Justice's Law Enforcement and Corrections Components' Use-of-Force Policies</u>	ODAG	09/25/2023	3	Resolved	Evaluate components' determination on the applicability of DOJ's 2021 Restraint and "No Knock" Guidance and 2022 Use-of-Force Policy to task force officers and contractors acting in a law enforcement capacity to ensure that legal and operational risks are adequately mitigated.
196	<u>Audit of the Department of Justice's Law Enforcement and Corrections Components' Use-of-Force Policies</u>	ODAG	09/25/2023	4	Resolved	Ensure components' training programs align with and accomplish the DOJ's expectations for use-of-force requirements and consider developing a framework for components to coordinate on best practices and opportunities for improvement.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
197	<u>Audit of the Department of Justice's Law Enforcement and Corrections Components' Use-of-Force Policies</u>	BOP	09/25/2023	5	Resolved	In consultation with the Department, update its policies to: (a) meet the Department's intent for the 2022 Use-of-Force Policy to apply to BOP non-custodial operations and interactions with the public; and (b) fully comply with the Department's 2021 Restraint and "No Knock" Guidance, to include a ban on physical chokeholds and carotid restraints.
198	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Guam Office of the Attorney General, Tamuning, Guam</u>	OJP	09/19/2023	4	Resolved	Remedy \$2,554 in unsupported victim compensation payments.
199	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Guam Office of the Attorney General, Tamuning, Guam</u>	OJP	09/19/2023	6	Resolved	Ensure the Guam OAG requests funds based upon immediate disbursement or reimbursement needs and times drawdown requests to ensure that the federal cash on hand is the minimum needed for reimbursements or disbursements made immediately or within 10 days.
200	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to the Blackstone Valley Advocacy Center, Pawtucket, Rhode Island</u>	OJP	09/18/2023	1	Resolved	Ensure that (1) BVAC's transitional housing victim assistance program complies with federal award requirements, including that subrecipients shall provide VOCA-funded direct services at no charge unless otherwise approved, and (2) BVAC, if necessary, develops and implements updated written program policies and procedures related to the delivery of transitional housing services.
201	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to the Blackstone Valley Advocacy Center, Pawtucket, Rhode Island</u>	OJP	09/18/2023	2	Resolved	Ensure BVAC develops and implements updated written financial policies and procedures that ensure compliance with the DOJ Grants Financial Guide and federal award requirements, including personnel and fringe benefit costs, program income, and other subaward expenditures.
202	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to the Blackstone Valley Advocacy Center, Pawtucket, Rhode Island</u>	OJP	09/18/2023	3	Resolved	Remedy the total reported \$28,500 in unallowable costs for BVAC-owned rental units.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
203	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to the Blackstone Valley Advocacy Center, Pawtucket, Rhode Island</u>	OJP	09/18/2023	4	Resolved	Remedy the total reported \$20,589 in unallowable costs for rent, utilities, and depreciation for idle units.
204	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to the Blackstone Valley Advocacy Center, Pawtucket, Rhode Island</u>	OJP	09/18/2023	5	Resolved	Remedy \$19,206 in award costs associated with the unapproved program income.
205	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to the Blackstone Valley Advocacy Center, Pawtucket, Rhode Island</u>	OJP	09/18/2023	6	Resolved	Remedy the total reported \$15,066 in unsupported costs for the facilities maintenance provider.
206	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to the Blackstone Valley Advocacy Center, Pawtucket, Rhode Island</u>	OJP	09/18/2023	7	Resolved	Remedy the total reported \$3,680 in unsupported phone and internet costs.
207	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to the Blackstone Valley Advocacy Center, Pawtucket, Rhode Island</u>	OJP	09/18/2023	8	Resolved	Require PSGAO to develop and implement written policies and procedures that ensure PSGAO issues management decisions and ensures corrective action on its subrecipient single audit report findings
208	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to the Blackstone Valley Advocacy Center, Pawtucket, Rhode Island</u>	OJP	09/18/2023	9	Resolved	Work with PSGAO to develop and implement written policies and procedures that ensure PSGAO compliance with the VOCA Fix requirements.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
209	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Enterprise Standard Architecture V Task Order Awarded to Leidos, Inc.</u>	ATF	09/13/2023	1	Resolved	Involve all participating federal components in a review of its KPIs, revise those KPIs that need clarification, include disincentives where appropriate, retain supporting documentation on the development of the performance measures, and work with Leidos to modify the contract.
210	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Enterprise Standard Architecture V Task Order Awarded to Leidos, Inc.</u>	ATF	09/13/2023	2	Resolved	Implement procedures to conduct periodic assessments to determine how current KPIs could be modified and whether new KPIs should be added to adequately address the government's need.
211	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Enterprise Standard Architecture V Task Order Awarded to Leidos, Inc.</u>	ATF	09/13/2023	3	Resolved	Develop procedures for future acquisitions that fully engage federal components in ensuring their concerns are adequately addressed prior to contract award and that includes controls to review and ensure the contract structure meets participating components' mission requirements.
212	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Enterprise Standard Architecture V Task Order Awarded to Leidos, Inc.</u>	ATF	09/13/2023	5	Resolved	Work with Leidos to ensure its monthly status reports accurately summarize its performance, technical progress, and challenges, as required.
213	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Enterprise Standard Architecture V Task Order Awarded to Leidos, Inc.</u>	ATF	09/13/2023	6	Resolved	Implement procedures to ensure federal components review and identify inaccuracies and incompleteness in Leidos' monthly status reports.
214	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Enterprise Standard Architecture V Task Order Awarded to Leidos, Inc.</u>	ATF	09/13/2023	8	Resolved	Implement procedures to perform forecasting and risk assessments with the program owners during the acquisition planning phase and throughout the task order performance, in compliance with the FAR.
215	<u>Audit of the Federal Bureau of Prisons' Sole-Source Contract Actions</u>	BOP	09/06/2023	1	Resolved	Consider the appropriate personnel needed to monitor and oversee its facilities-related contract actions and incorporate their roles and contract surveillance responsibilities into meaningful monitoring plans, such as Quality Assurance Surveillance Plans (QASP) or equivalent documents.



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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
216	<u>Audit of the Federal Bureau of Prisons' Sole-Source Contract Actions</u>	BOP	09/06/2023	2	Resolved	Ensure that certifying officials (i.e., Procurement Section Chief, Requesting Official, and Cost Center Manager) verify that all mandatory elements—as identified on the Request for Contract Action (RCA) Transmittal—have been completed prior to approving a procurement to proceed.
217	<u>Audit of the Federal Bureau of Prisons' Sole-Source Contract Actions</u>	BOP	09/06/2023	3	Resolved	Design and implement a process or control to ensure that contracting officials (e.g., Contracting Officers-COs, Contract Specialists, and Contracting Officer's Representatives-CORs) have access to contract documents and information pertinent to their contract-related responsibilities, and provide any necessary training and guidance on accessing and using this information.
218	<u>Audit of the Federal Bureau of Prisons' Sole-Source Contract Actions</u>	BOP	09/06/2023	4	Resolved	Retrain its contracting officials (e.g., COs, Contract Specialists, and CORs) and BOP personnel who assist them (e.g., health services and facilities personnel in task monitor equivalent positions) on Federal Acquisition Regulation (FAR) and internal policies and procedures related to acquisition planning and contract file maintenance.
219	<u>Audit of the Federal Bureau of Prisons' Sole-Source Contract Actions</u>	BOP	09/06/2023	6	Resolved	Design and implement a control to help ensure that: (a) appropriate contract types and formats are used (e.g., that Purchase Orders-POs are not used in inappropriate situations) and (b) acquisition planning team members, including contracting officials, understand how to apply the BOP's historical experience on similar procurements as well as the best practices outlined in the Office of Management and Budget's (OMB) January 2021 memorandum on Procurement Administrative Lead Time (PALT) for all contract actions that exceed the Simplified Acquisition Threshold (SAT).

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
220	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Drug Enforcement Administration's Use of Polygraph Examinations in Pre-employment Vetting</u>	DEA	08/22/2023	1	Resolved	Ensure compliance with DEA policy by no longer hiring applicants for the four core series positions (Special Agent, Intelligence Research Specialist, Diversion Investigator, and Forensic Chemist) who have not fully and successfully completed the pre-employment polygraph examination.
221	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Drug Enforcement Administration's Use of Polygraph Examinations in Pre-employment Vetting</u>	DEA	08/22/2023	3	Resolved	Mitigate the risks associated with having hired individuals identified in Recommendation 2, including ensuring all such DEA personnel satisfy the polygraph examination requirement when applying for positions within DEA, in accordance with DEA policy.
222	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Drug Enforcement Administration's Use of Polygraph Examinations in Pre-employment Vetting</u>	DEA	08/22/2023	4	Resolved	Ensure DEA Task Force Officers who have not successfully completed the pre-employment polygraph examination are returned to their parent law enforcement agency, in accordance with DEA policy.
223	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Drug Enforcement Administration's Use of Polygraph Examinations in Pre-employment Vetting</u>	DEA	08/22/2023	5	Resolved	Implement clear policy designed to prevent inappropriate influence and potential conflicts of interest in the DEA's pre-employment polygraph process, including a prohibition on anyone with a direct or indirect connection to a candidate for employment contacting the polygraph examiner during the employment process.
224	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to Refugee Dream Center, Providence, Rhode Island</u>	OJP	08/07/2023	1	Resolved	Ensure RDC develops and implements written policies and procedures: (1) specific to VOCA-funded program operations and distribute these among the relevant staff; and (2) specific to the performance reporting of VOCA-funded services and distributes this guidance to relevant staff.
225	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to Refugee Dream Center, Providence, Rhode Island</u>	OJP	08/07/2023	2	Resolved	Ensure RDC's case management system effectively identifies victims served through the Breaking Barriers program and develops a method to accurately report its services provided.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
226	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to Refugee Dream Center, Providence, Rhode Island</u>	OJP	08/07/2023	3	Resolved	Ensure RDC develops and implements written policies and procedures to ensure compliance with the DOJ Grants Financial Guide and federal award requirements, including financial reporting, subaward expenditures, and matching costs.
227	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to Refugee Dream Center, Providence, Rhode Island</u>	OJP	08/07/2023	4	Resolved	Ensure RDC develops and implements written policies and procedures to ensure salaries and wages expenses charged to the subaward are based on records that accurately reflect the work performed and these records are supported by a system of internal control that provides reasonable assurance that the charges are accurate, allowable, and properly allocated.
228	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to Refugee Dream Center, Providence, Rhode Island</u>	OJP	08/07/2023	5	Resolved	Remedy \$342,048 in unsupported costs for the personnel and fringe benefits charged to the subaward.
229	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to Refugee Dream Center, Providence, Rhode Island</u>	OJP	08/07/2023	6	Resolved	Remedy \$114,046 in unsupported costs for the match requirement.
230	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Rhode Island Public Safety Grant Administration Office to Refugee Dream Center, Providence, Rhode Island</u>	OJP	08/07/2023	7	Resolved	Work with PSGAO to develop and implement written policies and procedures that ensure the Executive Director's (or equivalent) time and effort reports are reviewed, accurate, allowable, and properly allocated, and to ensure PSGAO subrecipients receive notification of the requirement.
231	<u>Audit of the Office of Justice Programs Office for Victims of Crime Cooperative Agreement Awarded to the Sonoma County District Attorney's Office, Santa Rosa, California</u>	OJP	07/10/2023	1	Resolved	Coordinate with the SCDAO to ensure that progress reports accurately reflect activities as required and submit corrected progress reports as necessary.
232	<u>Audit of the Office of Justice Programs Office for Victims of Crime Cooperative Agreement Awarded to the Sonoma County District Attorney's Office, Santa Rosa, California</u>	OJP	07/10/2023	3	Resolved	Work with the SCDAO to ensure that all award activities, including funding and expenditures are accurately allocated to the award in its accounting system, and to implement controls to prevent commingling of award financial activity as soon as possible.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
233	<u>Audit of the Office of Justice Programs Office for Victims of Crime Cooperative Agreement Awarded to the Sonoma County District Attorney's Office, Santa Rosa, California</u>	OJP	07/10/2023	5	Resolved	Work with the SCDAO to ensure supporting documents pertinent to the award, such as evidence of review and approval of an expense prior to payment, are properly retained and to strengthen controls that ensure award-funded gift card purchases adhere to the County of Sonoma's purchase card policy and Sonoma County District Attorney's policy and procedure manual on gift
234	<u>Audit of the Office of Justice Programs Office for Victims of Crime Cooperative Agreement Awarded to the Sonoma County District Attorney's Office, Santa Rosa, California</u>	OJP	07/10/2023	8	Resolved	Remedy \$1,777 in unallowable indirect costs.
235	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Georgia Criminal Justice Coordinating Council to Women Moving On, Inc., Decatur, Georgia</u>	OJP	06/28/2023	2	Resolved	Ensure that the Georgia CJCC require that appropriate WMO officials receive training in the financial management and administration of DOJ grants, fraud awareness, the appropriate use of the DOJ Grants Financial Guide including standards related to conflicts of interest, and the applicable VOCA program guidance.
236	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Georgia Criminal Justice Coordinating Council to Women Moving On, Inc., Decatur, Georgia</u>	OJP	06/28/2023	3	Resolved	Remedy \$76,929 in unsupported costs charged to the subawards.
237	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Georgia Criminal Justice Coordinating Council to Women Moving On, Inc., Decatur, Georgia</u>	OJP	06/28/2023	4	Resolved	Remedy \$8,813 in unallowable costs charged to the subawards.
238	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Georgia Criminal Justice Coordinating Council to Women Moving On, Inc., Decatur, Georgia</u>	OJP	06/28/2023	1a	Resolved	Work with the Georgia CJCC and WMO to develop and implement comprehensive written policies and procedures to help ensure WMO's compliance with the DOJ Grants Financial Guide and federal award requirements. Specifically, the policies should ensure: a. the Executive Director's time and effort is documented, reviewed by the Board of Directors, and maintained available for any future review by the Georgia CJCC or other external monitoring;

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
239	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Georgia Criminal Justice Coordinating Council to Women Moving On, Inc., Decatur, Georgia</u>	OJP	06/28/2023	1b	Resolved	Work with the Georgia CJCC and WMO to develop and implement comprehensive written policies and procedures to help ensure WMO's compliance with the DOJ Grants Financial Guide and federal award requirements. Specifically, the policies should ensure: b. WMO's established expectation for the use and supervisory review of timesheets is formalized in WMO's policies and procedures
240	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Georgia Criminal Justice Coordinating Council to Women Moving On, Inc., Decatur, Georgia</u>	OJP	06/28/2023	1c	Resolved	Work with the Georgia CJCC and WMO to develop and implement comprehensive written policies and procedures to help ensure WMO's compliance with the DOJ Grants Financial Guide and federal award requirements. Specifically, the policies should ensure: c. the proper authorization and disbursement of funds
241	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Georgia Criminal Justice Coordinating Council to Women Moving On, Inc., Decatur, Georgia</u>	OJP	06/28/2023	1d	Resolved	Work with the Georgia CJCC and WMO to develop and implement comprehensive written policies and procedures to help ensure WMO's compliance with the DOJ Grants Financial Guide and federal award requirements. Specifically, the policies should ensure: d. the segregation of duties for the authorization of disbursements, preparation of checks, and signature of checks.
242	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Georgia Criminal Justice Coordinating Council to Women Moving On, Inc., Decatur, Georgia</u>	OJP	06/28/2023	1e	Resolved	Work with the Georgia CJCC and WMO to develop and implement comprehensive written policies and procedures to help ensure WMO's compliance with the DOJ Grants Financial Guide and federal award requirements. Specifically, the policies should ensure: e. the proper authorization for making electronic payments

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
243	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Georgia Criminal Justice Coordinating Council to Women Moving On, Inc., Decatur, Georgia</u>	OJP	06/28/2023	1f	Resolved	Work with the Georgia CJCC and WMO to develop and implement comprehensive written policies and procedures to help ensure WMO's compliance with the DOJ Grants Financial Guide and federal award requirements. Specifically, the policies should ensure: f. the appropriate use of debit and credit cards
244	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Georgia Criminal Justice Coordinating Council to Women Moving On, Inc., Decatur, Georgia</u>	OJP	06/28/2023	1g	Resolved	Work with the Georgia CJCC and WMO to develop and implement comprehensive written policies and procedures to help ensure WMO's compliance with the DOJ Grants Financial Guide and federal award requirements. Specifically, the policies should ensure: g. the proper documentation of evidence to support payments to or on-behalf of the victims; and
245	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Georgia Criminal Justice Coordinating Council to Women Moving On, Inc., Decatur, Georgia</u>	OJP	06/28/2023	1h	Resolved	Work with the Georgia CJCC and WMO to develop and implement comprehensive written policies and procedures to help ensure WMO's compliance with the DOJ Grants Financial Guide and federal award requirements. Specifically, the policies should ensure: h. decisions related to the use of funds are free of undisclosed personal or organizational conflicts of interest.
246	<u>Investigation and Review of the Federal Bureau of Prisons' Custody, Care, and Supervision of Jeffrey Epstein at the Metropolitan Correctional Center in New York, New York</u>	BOP	06/27/2023	1	Resolved	1. The BOP should implement a process for assigning a cellmate following suicide watch or psychological observation, with criteria for exceptions based on the particular individual or security considerations.
247	<u>Investigation and Review of the Federal Bureau of Prisons' Custody, Care, and Supervision of Jeffrey Epstein at the Metropolitan Correctional Center in New York, New York</u>	BOP	06/27/2023	2	Resolved	2. The BOP should establish procedures to ensure inmates at high risk for suicide and for whom a cellmate is recommended will continue to have a cellmate until the recommendation is changed or rescinded, including establishing a contingency plan for cellmate re-assignment, with criteria for exceptions based on the particular individual or security considerations.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
248	<u>Investigation and Review of the Federal Bureau of Prisons' Custody, Care, and Supervision of Jeffrey Epstein at the Metropolitan Correctional Center in New York, New York</u>	BOP	06/27/2023	3	Resolved	3. The BOP should evaluate its current process for obtaining and documenting approval for social or legal visits while an inmate is on suicide watch or psychological observation, which allows for institution-specific variations in the process, and provide guidance on standard components that each institution should include in its process to mitigate security issues that can arise when an inmate is on suicide watch or psychological observation.
249	<u>Investigation and Review of the Federal Bureau of Prisons' Custody, Care, and Supervision of Jeffrey Epstein at the Metropolitan Correctional Center in New York, New York</u>	BOP	06/27/2023	4	Resolved	4. The BOP should evaluate its methods of accounting for inmate whereabouts and wellbeing and make changes as may be appropriate to improve those methods through policy, training, or other measures.
250	<u>Investigation and Review of the Federal Bureau of Prisons' Custody, Care, and Supervision of Jeffrey Epstein at the Metropolitan Correctional Center in New York, New York</u>	BOP	06/27/2023	5	Resolved	5. BOP policy should clarify what is required of a Lieutenant when conducting a round.
251	<u>Investigation and Review of the Federal Bureau of Prisons' Custody, Care, and Supervision of Jeffrey Epstein at the Metropolitan Correctional Center in New York, New York</u>	BOP	06/27/2023	6	Resolved	6. The BOP should continue to develop and implement plans to address staffing shortages at its prisons.
252	<u>Investigation and Review of the Federal Bureau of Prisons' Custody, Care, and Supervision of Jeffrey Epstein at the Metropolitan Correctional Center in New York, New York</u>	BOP	06/27/2023	7	Resolved	7. The BOP should evaluate its cell search procedures and make changes as may be appropriate to improve those procedures through policy, training, or other measures.
253	<u>Investigation and Review of the Federal Bureau of Prisons' Custody, Care, and Supervision of Jeffrey Epstein at the Metropolitan Correctional Center in New York, New York</u>	BOP	06/27/2023	8	Resolved	8. The BOP should enhance existing policies regarding institutional security camera systems to ensure they specifically state that such systems must have the capacity to record video and that BOP institutions must conduct regular security camera system functionality checks.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
254	<u>Notification of Concerns Regarding Federal Bureau of Prison Policies Pertaining to the Use of Oleoresin Capsicum Aerosol Spray on Inmates with Certain Pre-Existing Medical Conditions</u>	BOP	06/07/2023	2	Resolved	The BOP should amend the language contained in Program Statement 5566.06, Use of Force and Application of Restraints, so as to make clear which provisions and implementing information (including whether any requirements exist to consult with qualified health personnel) pertain to the deployment of OC spray.
255	<u>Notification of Concerns Regarding Federal Bureau of Prison Policies Pertaining to the Use of Oleoresin Capsicum Aerosol Spray on Inmates with Certain Pre-Existing Medical Conditions</u>	BOP	06/07/2023	1a	Resolved	The BOP should amend the language contained in Correctional Services Manual, § 103, Section 1, so as to clarify whether the requirement to consult with medical staff prior to the use of OC spray on an inmate with a known history of respiratory or cardiovascular disease, chronic dermatitis, or psychosis applies to only calculated uses of force or both calculated and immediate uses of force.a. If, in response to recommendation 1, above, the BOP clarifies that the requirement to consult with medical staff prior to the use of OC spray on an inmate with a known history of respiratory or cardiovascular disease, chronic dermatitis, or psychosis applies in the context of an immediate use of force, then the BOP should also clarify within the Correctional Services Manual, § 103, what constitutes an acceptable consultation with medical staff in such a situation. (If, based on the response to recommendation 1, there is no requirement to consult with medical staff prior to using OC spray in the context of an immediate use of force, then this recommendation (recommendation 1.a.) becomes moot and there is no need for the BOP to respond to it.)



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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
256	<u>Notification of Concerns Regarding Federal Bureau of Prison Policies Pertaining to the Use of Oleoresin Capsicum Aerosol Spray on Inmates with Certain Pre-Existing Medical Conditions</u>	BOP	06/07/2023	1b	Resolved	The BOP should amend the language contained in Correctional Services Manual, § 103, Section 1, so as to clarify whether the requirement to consult with medical staff prior to the use of OC spray on an inmate with a known history of respiratory or cardiovascular disease, chronic dermatitis, or psychosis applies to only calculated uses of force or both calculated and immediate uses of force.b. If, in response to recommendation 1, above, the BOP clarifies that the requirement to consult with medical staff prior to the use of OC spray on an inmate with a known history of respiratory or cardiovascular disease, chronic dermatitis, or psychosis applies in the context of an immediate use of force, then the BOP should also clarify within the Correctional Services Manual, § 103, whether BOP staff must both consult with medical staff prior to the use of OC spray on an inmate with a known history of respiratory or cardiovascular disease, chronic dermatitis, or psychosis and avoid the use of OC spray on such an inmate unless other means of control have been attempted or deemed likely to be ineffective. (If, based on the response to recommendation 1, there is no requirement to consult with medical staff prior to using OC spray in the context of an immediate use of force, then this recommendation (recommendation 1.b.) becomes moot and there is no need for the BOP to respond to it.)
257	<u>Audit of the Department of Justice's Strategy to Address the Domestic Violent Extremism Threat</u>	ODAG	06/05/2023	1	Resolved	Identify ways in which the Domestic Terrorism Executive Committee (DTEC) could be used more effectively for its components and federal law enforcement partners, to include better defining the DTEC's purpose, raising its profile with internal stakeholders, and ensuring it is evaluating lessons learned from its efforts.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
258	<u>Audit of the Department of Justice's Strategy to Address the Domestic Violent Extremism Threat</u>	ODAG	06/05/2023	2	Resolved	Evaluate its various initiatives and efforts that relate to addressing the DVE threat to ensure that they are effective, cohesive, and used strategically to inform DOJ's approach and determine if there is value in establishing an internal DOJ-wide strategy or other strategic framework to define, guide, and coordinate its varied DVE-related efforts.
259	<u>Audit of the Department of Justice's Strategy to Address the Domestic Violent Extremism Threat</u>	ODAG	06/05/2023	3	Resolved	Build on the NSD DT Unit's structure and continue to determine how or if the DT Unit should be empowered to coordinate and provide leadership across DOJ components on DOJ-wide strategic efforts to address DVE.
260	<u>Audit of the Department of Justice's Strategy to Address the Domestic Violent Extremism Threat</u>	ODAG	06/05/2023	4	Resolved	Evaluate DOJ components' implementation of the November 2022 Justice Manual revisions to ensure that federal prosecutors comply with the NSD CTS notification and coordination requirements and have a consistent understanding of what constitutes a DVE-related case.
261	<u>Audit of the Department of Justice's Strategy to Address the Domestic Violent Extremism Threat</u>	ODAG	06/05/2023	5	Resolved	Provide guidance to all DOJ law enforcement components to ensure there is a consistent understanding of identifying cases with a DVE nexus.
262	<u>Audit of the Department of Justice's Strategy to Address the Domestic Violent Extremism Threat</u>	ODAG	06/05/2023	6	Resolved	Continue to enhance its mechanisms to routinely evaluate the efficacy and impact of its DVE efforts, to include: (a) identifying any unintended consequences on how its DVE-related efforts are perceived by the public and external stakeholders; (b) assessing and mitigating any privacy and civil liberties risks with assistance from OPCL; and (c) ensuring resources are sufficiently aligned throughout the Department to address the threat.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
263	<u>Audit of the Department of Justice's Strategy to Address the Domestic Violent Extremism Threat</u>	ODAG	06/05/2023	7	Resolved	Evaluate its various tracking efforts for DVE-related case data to ensure that it has a holistic view of the threat and a consistent process for capturing, interpreting, and reporting DVE-related case data.
264	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Colorado Division of Criminal Justice to the Rose Andom Center, Denver, Colorado</u>	OJP	05/24/2023	2	Resolved	Coordinate with RAC to remedy the \$6,950 in unsupported costs, related to \$1,196 in unsupported other direct costs and \$5,754 in unsupported costs reimbursed in excess of total expenditures.
265	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Colorado Division of Criminal Justice to the Rose Andom Center, Denver, Colorado</u>	OJP	05/24/2023	3	Resolved	Coordinate with RAC to ensure indirect costs are accurately accounted for in RAC's VOCA accounting records.
266	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Colorado Division of Criminal Justice to the Rose Andom Center, Denver, Colorado</u>	OJP	05/24/2023	4	Resolved	Coordinate with RAC to ensure that financial reports submitted are accurate and fully supported by the general ledger.
267	<u>Limited-Scope Review of the Federal Bureau of Prisons' Strategies to Identify, Communicate, and Remedy Operational Issues</u>	BOP	05/03/2023	1	Resolved	Determine whether an alternative method for reporting the results of a program review is appropriate, and implement that method. If the BOP does not implement a new method for reporting the results of a program review, require that program review reports include a clear justification as to why a program area received the assigned rating
268	<u>Limited-Scope Review of the Federal Bureau of Prisons' Strategies to Identify, Communicate, and Remedy Operational Issues</u>	BOP	05/03/2023	3	Resolved	Implement methods to reduce the notice given to institutions in advance of a program review so the BOP can, when it deems appropriate, conduct more program reviews with limited advance notice.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
269	<u>Limited-Scope Review of the Federal Bureau of Prisons' Strategies to Identify, Communicate, and Remedy Operational Issues</u>	BOP	05/03/2023	4	Resolved	Develop a specific, multiyear plan for how the BOP will evaluate its ongoing and proposed changes to the employee discipline process, as well as key performance indicators, by year, to decrease the backlog of its employee misconduct cases and adjudications.
270	<u>Limited-Scope Review of the Federal Bureau of Prisons' Strategies to Identify, Communicate, and Remedy Operational Issues</u>	BOP	05/03/2023	5	Resolved	Develop and implement a reliable method to calculate appropriate staffing levels at the enterprise and institution levels. Such a method should seek to baseline appropriate staffing levels for the current inmate population and be flexible to account for future population changes overall and among institutions, as well as other factors (e.g., institution security level and layout) that determine appropriate staffing levels. Once such a method is developed, communicate the need to align funding levels with appropriate staffing levels to executive and legislative branch stakeholders.
271	<u>Audit of the Federal Bureau of Prisons' Efforts to Maintain and Construct Institutions</u>	BOP	05/02/2023	1	Resolved	Develop an infrastructure strategy to increase the overall effectiveness of facilities management. The strategy should align infrastructure decisions with the BOP's mission; include one or more methodologies for allocating resources; and include short, medium, and long-range planning goals. As part of this strategy, the BOP should consider incorporating a portfolio-based repair model; a retention or disposal decision model; and business case analyses for new construction, as appropriate.
272	<u>Audit of the Federal Bureau of Prisons' Efforts to Maintain and Construct Institutions</u>	BOP	05/02/2023	2	Resolved	Develop and implement key performance indicators to track whether the BOP is meeting its infrastructure goals.
273	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Risk-Based Inspection Selection Processes and Administrative Actions Issued to Federal Firearms Licensees</u>	ATF	04/19/2023	1	Resolved	Examine its domain assessment process - including the Top 100 Initiative and recall inspection activities - and refine its strategies for identifying and tracking risks, deploying resources, and accomplishing goals.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
274	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Risk-Based Inspection Selection Processes and Administrative Actions Issued to Federal Firearms Licensees</u>	ATF	04/19/2023	2	Resolved	Refine the CGIC performance review process to include sufficient information to allow for an assessment of the outcomes and effectiveness of the CGIC intelligence that is shared with Industry Operations.
275	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Risk-Based Inspection Selection Processes and Administrative Actions Issued to Federal Firearms Licensees</u>	ATF	04/19/2023	3	Resolved	Memorialize in policy and procedures how CGIA can and should be used for its Industry Operations activities, including providing guidance and examples for how CGIA can be used by IOIs to prepare for an inspection.
276	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Risk-Based Inspection Selection Processes and Administrative Actions Issued to Federal Firearms Licensees</u>	ATF	04/19/2023	4	Resolved	Establish a recurring process to assess the risk indicators that contribute to the selection of FFLs for inspection and evaluate the results of the inspections to ensure that field divisions are effectively identifying high-risk FFLs.
277	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Risk-Based Inspection Selection Processes and Administrative Actions Issued to Federal Firearms Licensees</u>	ATF	04/19/2023	11	Resolved	Implement a process to conduct ongoing formal, data-based, comprehensive reviews of inspections results to ensure consistent application of the standards and assist ATF in reaching its inspection program goals of reducing the possibility of firearms being diverted from legal commerce and maintaining the traceability of firearms.
278	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Risk-Based Inspection Selection Processes and Administrative Actions Issued to Federal Firearms Licensees</u>	ATF	04/19/2023	12	Resolved	Adopt mechanisms to improve the accuracy of its information-sharing data and the completeness of its information about the outcomes of internal and external referrals and use this information to better assess the value and effectiveness of its information sharing practices, with the goal of providing enhanced oversight of FFLs.
279	<u>Review of Concerns Raised Related to the United States Marshals Service's Implementation of Executive Order 14006</u>	ODAG	03/21/2023	1	Resolved	Ensure that the projected costs and benefits of all available options for housing detainees are fully disclosed to responsible officials and stakeholders when making decisions pertaining to EO 14006.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
280	<u>Review of Concerns Raised Related to the United States Marshals Service's Implementation of Executive Order 14006</u>	USMS	03/21/2023	1	Resolved	Ensure that the projected costs and benefits of all available options for housing detainees are fully disclosed to responsible officials and stakeholders when making decisions pertaining to EO 14006.
281	<u>Review of Concerns Raised Related to the United States Marshals Service's Implementation of Executive Order 14006</u>	ODAG	03/21/2023	2	Resolved	Maintain documentation of any associated decisions—and the related justifications—for the appropriate method for housing detainees, which should describe the rationale for those decisions.
282	<u>Review of Concerns Raised Related to the United States Marshals Service's Implementation of Executive Order 14006</u>	USMS	03/21/2023	2	Resolved	Maintain documentation of any associated decisions—and the related justifications—for the appropriate method for housing detainees, which should describe the rationale for those decisions.
283	<u>Capstone Review of the Federal Bureau of Prisons' Response to the Coronavirus Disease 2019 Pandemic</u>	BOP	03/20/2023	1	Resolved	Conduct a thorough assessment of single-celling policies and processes, including those applicable to inmates housed in quarantine and medical isolation units and to inmates vulnerable to suicide.
284	<u>Capstone Review of the Federal Bureau of Prisons' Response to the Coronavirus Disease 2019 Pandemic</u>	BOP	03/20/2023	2	Resolved	Ensure that actions, including any policy revisions, the BOP takes to close the two open recommendations from our 2017 restrictive housing report that reference single-celling also apply to single-celling during quarantine and medical isolation.
285	<u>Capstone Review of the Federal Bureau of Prisons' Response to the Coronavirus Disease 2019 Pandemic</u>	BOP	03/20/2023	4	Resolved	Explore options for permanent changes to facility infrastructures that would allow for better implementation of social distancing and other infection control measures.
286	<u>Capstone Review of the Federal Bureau of Prisons' Response to the Coronavirus Disease 2019 Pandemic</u>	BOP	03/20/2023	6	Resolved	Immediately update guidance regarding (1) when staff should notify the families of inmates who become seriously ill or die, including a specific timeframe, and (2) uniform criteria for what constitutes a serious illness.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
287	<u>Capstone Review of the Federal Bureau of Prisons' Response to the Coronavirus Disease 2019 Pandemic</u>	BOP	03/20/2023	7	Resolved	Ensure that inmate family information, or the inmate emergency contact form, is updated according to policy and readily available for BOP staff who need to notify next of kin in cases of inmate serious illness or death.
288	<u>Capstone Review of the Federal Bureau of Prisons' Response to the Coronavirus Disease 2019 Pandemic</u>	BOP	03/20/2023	10	Resolved	Assess how to improve staff and inmate compliance with healthcare protective equipment measures at its facilities and issue clear guidance to facilities about the importance of compliance.
289	<u>Audit of the Office of Justice Programs' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	OJP	03/15/2023	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
290	<u>Audit of the Office of Justice Programs' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	OJP	03/15/2023	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
291	<u>Audit of the Environment and Natural Resources Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	ENRD	03/15/2023	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
292	<u>Audit of the Federal Bureau of Prisons' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	BOP	03/08/2023	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
293	<u>Audit of the Federal Bureau of Prisons' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	BOP	03/08/2023	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
294	<u>Audit of the Federal Bureau of Prisons' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	BOP	03/08/2023	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
295	<u>Audit of the Federal Prison Industries, Inc. Annual Financial Statements Fiscal Year 2022</u>	FPI	03/06/2023	1	On Hold/Pending with OIG	Manage, design and implement control activities to review the population of inventory items that need to be adjusted for and recorded as revenue under Topic 606 on a timely basis. (New)
296	<u>Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2022</u>	ALLDOJ	03/06/2023	1	On Hold/Pending with OIG	Recruit individuals with relevant financial management and/or accounting skills, and train existing resources on financial management standards, concepts, policies, and procedures. (New)
297	<u>Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2022</u>	ALLDOJ	03/06/2023	4	On Hold/Pending with OIG	Design and implement a control for the Department and the components to monitor and evaluate significant changes to operations or financial reporting processes that will identify and respond to financial reporting risks, such as the adoption of new accounting policies and procedures, and implementations and conversions of financially relevant systems. (Updated)
298	<u>Audit of the Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2022</u>	JMD	03/06/2023	2	On Hold/Pending with OIG	Develop new and/or enhance existing process controls for participating agencies and monitoring controls within the Asset Forfeiture Management Staff to ensure participating agencies accurately and timely record forfeiture and seizure transactions. (Updated)
299	<u>Evaluation of the Federal Bureau of Prisons' Efforts to Address Sexual Harassment and Sexual Assault Committed by Inmates Toward Staff</u>	BOP	02/22/2023	1	Resolved	Add fields to the Discipline and Administration Reintegration Tracking System that can be easily queried to identify the type of victim (inmate, staff, or other) and gender of the victim for all incidents involving prohibited acts by inmates, and identify the specific inmate behavior under each prohibited act code.
300	<u>Evaluation of the Federal Bureau of Prisons' Efforts to Address Sexual Harassment and Sexual Assault Committed by Inmates Toward Staff</u>	BOP	02/22/2023	2	Resolved	Ensure that staff are trained on the updated fields in the Discipline and Administration Reintegration Tracking System and that staff understand which inmate behaviors are associated with each prohibited act code, as well how to articulate the behavior in the corresponding field.



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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
301	<u>Evaluation of the Federal Bureau of Prisons' Efforts to Address Sexual Harassment and Sexual Assault Committed by Inmates Toward Staff</u>	BOP	02/22/2023	3	Resolved	Ensure that policies and program statements that address inmate-on-staff sexual harassment are consistent with updates to systems and data tracking mechanisms.
302	<u>Evaluation of the Federal Bureau of Prisons' Efforts to Address Sexual Harassment and Sexual Assault Committed by Inmates Toward Staff</u>	BOP	02/22/2023	4	Resolved	Conduct regular risk assessments of the prevalence and severity of inmate-on-staff sexual harassment within individual institutions and across BOP institutions, with a particular emphasis on the risks for female staff.
303	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Delaware Criminal Justice Council to ContactLifeline, Inc., Wilmington, Delaware</u>	OJP	02/21/2023	2	Resolved	Work with CLL to develop and implement comprehensive written policies and procedures to help ensure CLL's compliance with the DOJ Grants Financial Guide and federal award requirements, including financial reporting, subaward expenditures (payroll and non-payroll), and matching costs.
304	<u>Audit of the Office of Justice Programs Victim Assistance Funds Subawarded by the Delaware Criminal Justice Council to ContactLifeline, Inc., Wilmington, Delaware</u>	OJP	02/21/2023	3	Resolved	Remedy \$127,915 in unsupported costs for the personnel and fringe benefits charged to the subawards.
305	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	FBI	02/08/2023	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
306	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	FBI	02/08/2023	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
307	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	FBI	02/08/2023	12	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
308	<u>Audit of a Pretrial Services Agency's System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	CSOSA	01/25/2023	1	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
309	<u>Audit of a Pretrial Services Agency's System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	CSOSA	01/25/2023	2	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
310	<u>Audit of a Pretrial Services Agency's System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	CSOSA	01/25/2023	3	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
311	<u>Audit of a Pretrial Services Agency's System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	CSOSA	01/25/2023	4	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
312	<u>Audit of a Pretrial Services Agency's System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	CSOSA	01/25/2023	5	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
313	<u>Audit of a Pretrial Services Agency's System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	CSOSA	01/25/2023	6	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
314	<u>Audit of a Pretrial Services Agency's System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	CSOSA	01/25/2023	7	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
315	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	CSOSA	01/25/2023	1	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
316	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	CSOSA	01/25/2023	2	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
317	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	CSOSA	01/25/2023	3	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
318	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	CSOSA	01/25/2023	4	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
319	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	CSOSA	01/25/2023	5	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
320	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	CSOSA	01/25/2023	6	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
321	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2022</u>	CSOSA	01/25/2023	7	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]

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(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
322	<u>Evaluation of the U.S. Marshals Service's Pharmaceutical Drug Costs and Procurement Process</u>	USMS	12/20/2022	1	Resolved	Conduct additional market research to test the existing assumption that combining Pharmacy Program and non-Pharmacy Program tasks into one contract is the most cost-effective method to provide quality healthcare to USMS detainees.
323	<u>Management Advisory Memorandum for the Director of the Federal Bureau of Investigation (FBI) Regarding Needed Improvements to the FBI's Response to Changing Operational Technologies</u>	FBI	12/20/2022	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
324	<u>Management Advisory Memorandum for the Director of the Federal Bureau of Investigation (FBI) Regarding Needed Improvements to the FBI's Response to Changing Operational Technologies</u>	FBI	12/20/2022	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
325	<u>Audit of the Federal Bureau of Investigation's National Security Undercover Operations</u>	FBI	12/19/2022	2	Resolved	(U) Transfer to the Stagehand program the field division FSL already used by Stagehand or determine an alternative approach that gives Stagehand appropriate control over its staffing to ensure the operational effectiveness, continuity, and security of the Stagehand program.
326	<u>Audit of the Federal Bureau of Investigation's National Security Undercover Operations</u>	FBI	12/19/2022	5	Resolved	Formally assess the issue of technical tradecraft and determine whether new or revised policy concerning the training of operational security is needed for both current and future FBI employees who will be working in undercover or other covert capacities...(the rest of this recommendation is S/NF)
327	<u>Audit of the Federal Bureau of Investigation's National Security Undercover Operations</u>	FBI	12/19/2022	7	Resolved	(U) Work with NSD and other Department stakeholders to develop an integrated system for tracking requests for AGEs and Otherwise Illegal Activity from initiation through final approval that can meet the FBI's and the Department's operational needs, provide visibility about the status of a given request. and identify specific and systemic delays in the AGE and Otherwise Illegal Activity approval process.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
328	<u>Audit of the Federal Bureau of Investigation's National Security Undercover Operations</u>	FBI	12/19/2022	9	Resolved	(U) Establish reasonable and attainable qualifications for UCCs to better ensure UCCs have appropriate undercover experience, grade level, and adequate time to effectively conduct their undercover-related duties. Once the UCC qualifications are established, the FBI should update its policies and procedures to reflect the new requirements
329	<u>Audit of the Federal Bureau of Investigation's National Security Undercover Operations</u>	FBI	12/19/2022	10	Resolved	(U) Develop a comprehensive training plan for both UCEs and OCEs that includes certification, annual refresher training, and advanced training in specialty skills, managed by NCOS.
330	<u>Investigation and Review of the Federal Bureau of Prisons' Handling of the Transfer of Inmate James "Whitey" Bulger</u>	BOP	12/07/2022	1	Resolved	Reassess its policies to incorporate enhanced communication among personnel involved in inmate transfer decisions.
331	<u>Investigation and Review of the Federal Bureau of Prisons' Handling of the Transfer of Inmate James "Whitey" Bulger</u>	BOP	12/07/2022	2	Resolved	Ensure that its written procedures and practices regarding medical transfer codes and paperwork are consistent.
332	<u>Investigation and Review of the Federal Bureau of Prisons' Handling of the Transfer of Inmate James "Whitey" Bulger</u>	BOP	12/07/2022	4	Resolved	Consider modifying its policies to require BOP personnel to review an inmate's medical records before making decisions that impact the inmate's medical care.
333	<u>Investigation and Review of the Federal Bureau of Prisons' Handling of the Transfer of Inmate James "Whitey" Bulger</u>	BOP	12/07/2022	5	Resolved	Modify its policies to require reviews of inmate medical care levels either upon specified changes in inmates' medical care or at regular intervals, such as annually, and reassess its policies to incorporate enhanced communication among clinical providers involved in inmate medical care level decisions.
334	<u>Investigation and Review of the Federal Bureau of Prisons' Handling of the Transfer of Inmate James "Whitey" Bulger</u>	BOP	12/07/2022	6	Resolved	Closely examine the Medical Care Level Guidelines and Medical Classification Algorithm for needed clarity and improvement.

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(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
335	<u>Investigation and Review of the Federal Bureau of Prisons' Handling of the Transfer of Inmate James "Whitey" Bulger</u>	BOP	12/07/2022	7	Resolved	Modify the Medical Care Level Guidelines to address how an inmate's noncompliance with medical treatment should impact the inmate's medical care level classification, regardless of the patient's reason for noncompliance.
336	<u>Investigation and Review of the Federal Bureau of Prisons' Handling of the Transfer of Inmate James "Whitey" Bulger</u>	BOP	12/07/2022	8	Resolved	Consider limiting the number of BOP personnel who receive notification of an inmate's impending transfer, especially for Broad Publicity and other high-risk inmates. For example, the BOP should consider discontinuing distribution of transfer information to group email inboxes accessible by numerous personnel, many of whom would not have reason to be notified of
337	<u>Investigation and Review of the Federal Bureau of Prisons' Handling of the Transfer of Inmate James "Whitey" Bulger</u>	BOP	12/07/2022	9	Resolved	Reassess and clarify the BOP's policies regarding maintaining the confidentiality of information regarding designations, redesignations, and transfers of inmates, and provide training to BOP employees on these policies
338	<u>Investigation and Review of the Federal Bureau of Prisons' Handling of the Transfer of Inmate James "Whitey" Bulger</u>	BOP	12/07/2022	10	Resolved	Reassess its policies regarding assessing and ensuring the security of inmates at the time of designation, redesignation, and transfer and consider (a) adding specific criteria for BOP officials within DSCC, OMDT, and Central Office to consider before approving the designation and redesignation of Broad Publicity and other CIM inmates; (b) whether changes should be made to the criteria for considering an inmate to be a member, leader, associate, former member, or drop-out of Organized Crime; (c) requiring that additional categories of inmates, such as inmates of a certain level of public notoriety, be referred to Senior Intelligence Designators or the Central Office Intelligence Office, prior to transfer; and (d) adding steps for receiving institutions to take to plan for the arrival of inmates of a certain level of public notoriety

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
339	<u>Investigation and Review of the Federal Bureau of Prisons' Handling of the Transfer of Inmate James "Whitey" Bulger</u>	BOP	12/07/2022	11	Resolved	Create specific procedures for assigning inmates to units within facilities, which may include security considerations, SIS approval, and approval from the warden or other high-level officials.
340	<u>Evaluation of Gender Equity in the Federal Bureau of Investigation's Training Process for New Special Agents and Intelligence Analysts at the FBI Academy</u>	FBI	12/05/2022	1	Resolved	Maintain, review, and analyze data related to Suitability Notations, Trainee Review Boards, and trainee dismissals to identify and address potential equity concerns.
341	<u>Evaluation of Gender Equity in the Federal Bureau of Investigation's Training Process for New Special Agents and Intelligence Analysts at the FBI Academy</u>	FBI	12/05/2022	3	Resolved	Collect and evaluate trainee feedback and use it to address concerns about inequitable treatment and inconsistency in instruction and evaluation.
342	<u>Evaluation of Gender Equity in the Federal Bureau of Investigation's Training Process for New Special Agents and Intelligence Analysts at the FBI Academy</u>	FBI	12/05/2022	6	Resolved	Develop additional internal controls for tactical training—such as reviewing multiple instructors' evaluations or video recordings of an exercise to ensure consistency in trainee evaluations—to reduce the potential for bias.
343	<u>Evaluation of Gender Equity in the Federal Bureau of Investigation's Training Process for New Special Agents and Intelligence Analysts at the FBI Academy</u>	FBI	12/05/2022	7	Resolved	Evaluate, develop, and implement a recruitment plan with methods to increase the recruitment of women as certified tactical and defensive tactics instructors.
344	<u>Audit of the Executive Office for Immigration Review's Electronic Case Management System Awards</u>	JMD	11/01/2022	4	Resolved	Provide guidance to acquisition planning team members, including EOIR OIT and contracting officials, on how to apply the best practices outlined in OMB's January 2021 memorandum on Procurement Administrative Lead Times for all procurement vehicles. Such guidance should incorporate an oversight framework by which JMD PSS and EOIR contracting officials adopt practices that will help them to monitor and reduce the time between the initial contract action solicitation and award dates.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
345	<u>Audit of the Justice Management Division's Administration of Shared Information Technology Costs through the Working Capital Fund</u>	JMD	09/28/2022	1	Resolved	Implement a policy identifying criteria for the inclusion of a service within the Working Capital Fund (WCF); once the criteria are formalized, JMD should evaluate and ensure that the services currently included in the WCF are appropriate, meet the documented criteria, and are clearly presented to the WCF Board for discussion of any necessary changes.
346	<u>Audit of the Justice Management Division's Administration of Shared Information Technology Costs through the Working Capital Fund</u>	JMD	09/28/2022	2	Resolved	Review the Office of the Chief Information Officer's (OCIO) cost allocation methodology and update as necessary to ensure that component cost allocations are sensible, equitable, and commensurate with benefits of the services provided.
347	<u>Audit of the Justice Management Division's Administration of Shared Information Technology Costs through the Working Capital Fund</u>	JMD	09/28/2022	3	Resolved	Update its policy to include specific criteria for identifying "basic activities" appropriate to be included in a Rate Memo and reevaluate OCIO's Rate Memos to ensure that the selected services reflect a consistent approach and "basic activities."
348	<u>Audit of the Justice Management Division's Administration of Shared Information Technology Costs through the Working Capital Fund</u>	JMD	09/28/2022	5	Resolved	Implement a formal process for the CIO Council and WCF Board of Directors to coordinate to improve collaboration and information sharing about the financial and service provision aspects of implementing IT initiatives.
349	<u>Audit of the Justice Management Division's Administration of Shared Information Technology Costs through the Working Capital Fund</u>	JMD	09/28/2022	6	Resolved	Evaluate procedures for providing components with their expected charges to ensure that components have sufficient information to evaluate the use and associated costs for IT services, as well as enough time to provide feedback and obtain support for any discrepancies.



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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
350	<u>Audit of the Justice Management Division's Administration of Shared Information Technology Costs through the Working Capital Fund</u>	JMD	09/28/2022	10	Resolved	Evaluate processes to ensure that JMD and OCIO meet the intended requirements of the WCF Handbook, including the implementation of the annual WCF Customer Survey and the development of a comprehensive IT Service Catalog.
351	<u>Audit of the Justice Management Division's Administration of Shared Information Technology Costs through the Working Capital Fund</u>	JMD	09/28/2022	11	Resolved	Evaluate the administrative operations of OCIO, as well as the information and outcomes of the working groups to enhance the financial management structure and access to clear and complete WCF IT service information.
352	<u>Audit of the Roles and Responsibilities of the Federal Bureau of Investigation's Office of the General Counsel in National Security Matters</u>	ODAG	09/28/2022	4	Resolved	Evaluate whether the Attorney General Guidelines and other existing criteria can be improved by clarifying responsibilities in areas of overlap and more clearly delineating lines of authority between NSCLB, as agency counsel, and NSD, as counsel representing the United States in national security-related proceedings. In instances where the ODAG identifies ambiguity on certain roles and responsibilities, it should clearly define and delegate the authority to the appropriate entity, especially in areas related to the roles, responsibilities, coordination, and communication pertaining to prosecutorial decision-making.
353	<u>Audit of the Roles and Responsibilities of the Federal Bureau of Investigation's Office of the General Counsel in National Security Matters</u>	ODAG	09/28/2022	5	Resolved	Examine the current distribution of roles and responsibilities for conducting NSRs. If the ODAG determines that an alternative oversight structure would be more effective, ensure all stakeholders receive training on their roles and responsibilities and that the outdated NSR standard operating procedures are updated accordingly.
354	<u>Evaluation of the Federal Bureau of Prisons' Policy Development Process</u>	BOP	09/28/2022	2	Resolved	Continue to explore ways to enhance policy negotiation capabilities under current labor contracts.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
355	<u>Evaluation of the Federal Bureau of Prisons' Policy Development Process</u>	BOP	09/28/2022	3	Resolved	Establish comprehensive performance metrics to assess the effectiveness of its policy development and negotiation processes.
356	<u>Evaluation of the Federal Bureau of Prisons' Policy Development Process</u>	BOP	09/28/2022	5	Resolved	Enhance policy tracking requirements to consistently capture information for all policies, including BOP official time and costs associated with different policy development initiatives.
357	<u>Management Advisory Memorandum: Notification of Concerns Resulting from Multiple Office of the Inspector General Reviews Related to the Federal Bureau of Prisons Strategy for its Medical Services Contracts</u>	BOP	09/26/2022	1a	Resolved	Create and implement guidance for a QASP or QASP equivalent to use as the basis to monitor performance under medical services contracts that contains: (1) measurable performance standards to ensure desirable contract requirement outcomes including those related to quality and timeliness of care; and (2) standards for maintaining documentation related to the ratings in the QASP.
358	<u>Management Advisory Memorandum: Notification of Concerns Resulting from Multiple Office of the Inspector General Reviews Related to the Federal Bureau of Prisons Strategy for its Medical Services Contracts</u>	BOP	09/26/2022	1c	Resolved	Create and implement strategies to leverage inmate healthcare utilization data that the OIG recommended to collect in 2016, which would enable the BOP to determine the cost effectiveness of alternative medical services contract structures.
359	<u>Management Advisory Memorandum: Notification of Concerns Resulting from Multiple Office of the Inspector General Reviews Related to the Federal Bureau of Prisons Strategy for its Medical Services Contracts</u>	BOP	09/26/2022	1f	Resolved	Create and implement a uniform or universal billing review and approval process to: (1) ensure medical claims are properly supported and (2) improve timeliness of processing medical invoices.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
360	<u>Management Advisory Memorandum: Notification of Concerns Resulting from Multiple Office of the Inspector General Reviews Related to the Federal Bureau of Prisons Strategy for its Medical Services Contracts</u>	BOP	09/26/2022	1g	Resolved	Create and implement a timeline for utilizing the medical claims adjudication vendor contract awarded in December 2019 to process and ensure healthcare claims are accurate and complete
361	<u>Audit of the Federal Bureau of Prisons' Procurements Awarded to NaphCare, Inc. for Medical Services Provided to Residential Reentry Management Branch Inmates</u>	BOP	09/21/2022	1	Resolved	Enhance policies and procedures to ensure appropriate contract vehicles are used and that adequate acquisition planning and market research is conducted for RRMB medical services awards. This includes: (1) ensuring an adequate acquisition plan is used for each procurement when required; (2) conducting extensive market research that considers industry sources and pricing; and (3) properly documenting and evaluating price and/or cost to the government, to include an assessment of price reasonableness for each offeror; the quality of care received; and past performance of each offeror.
362	<u>Audit of the Federal Bureau of Prisons' Procurements Awarded to NaphCare, Inc. for Medical Services Provided to Residential Reentry Management Branch Inmates</u>	BOP	09/21/2022	3	Resolved	Reduce RRMB medical services expenses by implementing a strategy that considers: (1) incentivizing contractors to reduce medical claims by structuring awards in a way that eliminates premiums on healthcare costs; (2) structuring awards in a way that does not commit the BOP to reimburse contractors at Medicare rates when the provider bills are less than the Medicare rate; (3) whether the BOP should have a more central role in negotiating out-of-network costs; (4) how the BOP can minimize invoicing errors and improper use of CPT codes; and (5) cost saving mechanisms for pharmaceutical costs and interest costs resulting from late payments.
363	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Firearm Disposal Practices</u>	ATF	09/19/2022	1	Resolved	Implement the necessary staffing changes recommended by the NDB Working Group.

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(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
364	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Firearm Disposal Practices</u>	ATF	09/19/2022	2	Resolved	Implement the NDB Working Group recommendation to remove all items held for storage at the NDB facility that are unrelated to the NDB mission, including evidence from the ATF's Operation Fast and Furious firearms trafficking investigation.
365	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Firearm Disposal Practices</u>	ATF	09/19/2022	3	Resolved	Revise the NDB SOP to require that all interior and exterior doors equipped with PIV card readers, and both roll-up doors, remain closed except when actively moving bulky items between the loading bay and processing areas of the facility.
366	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Firearm Disposal Practices</u>	ATF	09/19/2022	4	Resolved	Ensure that all NDB employees comply with the NDB SOP related to proper facility access controls.
367	<u>Audit of the Office of Justice Programs National Institute of Justice Using Artificial Intelligence Technologies to Expose Darknet Opioid Traffickers Grant Awarded to the West Virginia University Research Corporation, Morgantown, West Virginia</u>	OJP	09/06/2022	3	Resolved	Work with WVURC to establish protocols to check and verify reported research team progress and accomplishments.
368	<u>Audit of the Office of Justice Programs National Institute of Justice Using Artificial Intelligence Technologies to Expose Darknet Opioid Traffickers Grant Awarded to the West Virginia University Research Corporation, Morgantown, West Virginia</u>	OJP	09/06/2022	6	Resolved	Work with WVURC to strengthen its conflict-of-interest disclosure policies.
369	<u>Audit of the Office of Justice Programs National Institute of Justice Using Artificial Intelligence Technologies to Expose Darknet Opioid Traffickers Grant Awarded to the West Virginia University Research Corporation, Morgantown, West Virginia</u>	OJP	09/06/2022	7	Resolved	Clarify the scope and nature of foreign activities and individuals that merit research grant recipient disclosure.

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(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
370	<u>Audit of the Department's Cyber Supply Chain Risk Management Efforts</u>	JMD	07/06/2022	1	Resolved	Coordinate with the BOP and FPI, EOUSA, JMD, NSD, and USMS, and other Department components that are subject to JMD's C-SCRM requirements and whose compliance statuses are unknown, to ensure they maintain or develop the procedures and controls necessary to comply with JMD's C-SCRM requirements; incorporate into its C-SCRM program steps to monitor and verify Department compliance with its guidance through periodic outreach, communication, and the establishment of internal controls; and enhance Department awareness of its C-SCRM program, such as through training.
371	<u>Audit of the Department's Cyber Supply Chain Risk Management Efforts</u>	JMD	07/06/2022	2	Resolved	Ensure that its C-SCRM strategy consolidates the existing requirements, including for wireless communications platforms; is refreshed periodically to reflect the latest requirements, standards, and best practices; includes a periodic re-assessment of the Department systems that are most vulnerable or that would cause the greatest organizational impact if compromised; and includes processes that better promote transparency and communication of C-SCRM results to Department components.
372	<u>Audit of the Department's Cyber Supply Chain Risk Management Efforts</u>	JMD	07/06/2022	4	Resolved	Develop policies and procedures that enable it to establish viable mitigation options that are descriptive, actionable, and tailored to the user environment and operational contexts, to be included in risk determination letters as needed; and that it establishes internal controls to monitor Department fulfillment of the mitigating actions.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
373	<u>Audit of the Department's Cyber Supply Chain Risk Management Efforts</u>	FBI	07/06/2022	6	Resolved	Enhance its policies, procedures, training and communication, and/or internal controls for the requisition and government purchase card systems to better ensure that purchasing officials understand the C-SCRM requirements and so that applicable requisitions and purchase requests undergo C-SCRM procedures, as required; and develop policies, procedures, and/or internal controls to periodically monitor FBI compliance by identifying and remedying purchases that improperly bypassed the process.
374	<u>Audit of the Department's Cyber Supply Chain Risk Management Efforts</u>	FBI	07/06/2022	11	Resolved	Ensure mitigation actions for ICT products, especially mission-critical ICT products or services, are descriptive, actionable, and tailored to the user environment and operational contexts (including its anonymity of procurement statement); and work with OCIO and the SCRM Unit to create and resource a continuous monitoring program that monitors C-SCRM risks across the FBI, ensures that users understand and follow C-SCRM mitigations identified in the product vulnerability and procurement risk assessments, and develops procedures to periodically monitor and assess user compliance with its C-SCRM mitigation actions.
375	<u>Audit of the Department's Cyber Supply Chain Risk Management Efforts</u>	FBI	07/06/2022	15	Resolved	Ensure that ASU updates its vendor threat assessment process to incorporate IC Standard 731-02's required threat level definitions, and that JMD adopts the FBI's revised approach to ensure intra-Department consistency.
376	<u>Audit of the Department's Cyber Supply Chain Risk Management Efforts</u>	JMD	07/06/2022	15	Resolved	Ensure that ASU updates its vendor threat assessment process to incorporate IC Standard 731-02's required threat level definitions, and that JMD adopts the FBI's revised approach to ensure intra-Department consistency.

# Department of Justice Office of the Inspector General - Recommendations Not Closed

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
377	<u>Audit of the Department's Cyber Supply Chain Risk Management Efforts</u>	FBI	07/06/2022	16	Resolved	Establish policies, procedures, and internal controls that ensure they follow Congressional requirements to apply C-SCRM procedures to new FISMA reportable IT systems designated high- or moderate-impact and report C-SCRM statistics to the OIG. FBI and JMD should also contact CNSS to determine if submission of an annual report on the progress and effectiveness of their C-SCRM capabilities remains an active requirement, and if so, establish policies and procedures to ensure that they submit—either separately or jointly—these reports to CNSS annually, in accordance with CNSS Directive 505.
378	<u>Audit of the Department's Cyber Supply Chain Risk Management Efforts</u>	JMD	07/06/2022	16	Resolved	Establish policies, procedures, and internal controls that ensure they follow Congressional requirements to apply C-SCRM procedures to new FISMA reportable IT systems designated high- or moderate-impact and report C-SCRM statistics to the OIG. FBI and JMD should also contact CNSS to determine if submission of an annual report on the progress and effectiveness of their C-SCRM capabilities remains an active requirement, and if so, establish policies and procedures to ensure that they submit—either separately or jointly—these reports to CNSS annually, in accordance with CNSS Directive 505.
379	<u>Limited-Scope Inspection and Review of Video Teleconference Use for Immigration Hearings</u>	EOIR	06/21/2022	1	Resolved	Ensure that video teleconference (VTC) upgrades in EOIR courtrooms are on schedule and that recent increases to the required minimum bandwidth for immigration courts are sufficient to meet the current needs of the courts.
380	<u>Limited-Scope Inspection and Review of Video Teleconference Use for Immigration Hearings</u>	EOIR	06/21/2022	6	Resolved	Continue to explore equipment and services that would make simultaneous interpretation more universally available for immigration hearings.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
381	<u>Limited-Scope Inspection and Review of Video Teleconference Use for Immigration Hearings</u>	EOIR	06/21/2022	11	Resolved	Continue to pursue methods of improving pre-hearing document transmission, particularly for respondents who are unrepresented and on the MPP docket
382	<u>Management Advisory Memorandum: Notification of Concerns with the Absence of a Policy Regarding FBI Employees Emailing Child Sexual Abuse Material and Other Contraband</u>	FBI	05/26/2022	1	Resolved	The FBI should clarify its policies regarding the approved methods for transmitting child sexual abuse material (CSAM) and other contraband to prosecutors and other government employees with a need to view the material in their official capacity.
383	<u>Audit of the Office of Community Oriented Policing Services Anti-Heroin Task Force Program</u>	COPS	05/04/2022	2	Resolved	Update the AHTF Program application guide with the revised performance measures and implement processes to assess the outcome of its AHTF Program performance measures.
384	<u>Audit of the Office of Community Oriented Policing Services Anti-Heroin Task Force Program</u>	COPS	05/04/2022	3	Resolved	Establish procedures to enhance the review process of progress reports to analyze the completeness, accuracy, and success of the AHTF Program.
385	<u>Audit of the Office of Community Oriented Policing Services Anti-Heroin Task Force Program</u>	COPS	05/04/2022	4	Resolved	Meet with responsible officials at other DOJ components to assess ways to strengthen information-sharing and coordination related to heroin and opioid programs.
386	<u>Audit of the Office on Violence Against Women Domestic Violence Homicide Prevention Demonstration Initiative Phase Two Cooperative Agreement Awarded to Winnebago County, Rockford, Illinois</u>	OVW	03/30/2022	1	Resolved	Remedy the \$1,393 in unsupported personnel costs and require Winnebago County to provide documentation to support that the remaining salary and fringe benefits charged to the grant for the Site Coordinator position were fully supported and allowable under the terms and conditions of the award.
387	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	FBI	03/30/2022	12	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]



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(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
388	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	FBI	03/30/2022	13	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
389	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	FBI	03/30/2022	14	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
390	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	FBI	03/30/2022	25	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
391	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	FBI	03/30/2022	27	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
392	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	FBI	03/30/2022	28	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
393	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	FBI	03/30/2022	32	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
394	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	FBI	03/30/2022	33	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
395	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	FBI	03/30/2022	34	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
396	<u>Audit of a Court Services and Offender Supervision Agency's System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	CSOSA	03/23/2022	1	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
397	<u>Audit of a Court Services and Offender Supervision Agency's System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	CSOSA	03/23/2022	2	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
398	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	CSOSA	03/23/2022	10	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
399	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	CSOSA	03/23/2022	11	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
400	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	CSOSA	03/23/2022	12	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
401	<u>Audit of the Federal Bureau of Prisons Comprehensive Medical Services Contracts Awarded to the University of Massachusetts Medical School</u>	BOP	03/16/2022	3	Resolved	Ensure that written acquisition plans, including milestones, are completed for CMS acquisitions and ensure that the established milestones are monitored and any delays, and associated causes and steps taken to address delays, are documented in the contract file.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
402	<u>Audit of the Federal Bureau of Prisons Comprehensive Medical Services Contracts Awarded to the University of Massachusetts Medical School</u>	BOP	03/16/2022	8	Resolved	Ensure that BOP staff delegated performance surveillance responsibilities complete required performance reporting tasks and maintain supporting documentation for the contractor's ratings.
403	<u>Audit of the Federal Bureau of Prisons Comprehensive Medical Services Contracts Awarded to the University of Massachusetts Medical School</u>	BOP	03/16/2022	10	Resolved	Implement a reliable, consistent process throughout all BOP facilities to monitor wait times outside inmate appointments and the causes for cancelled or rescheduled appointments in order to ensure that inmates receive timely medical care.
404	<u>Audit of the Federal Bureau of Prisons Comprehensive Medical Services Contracts Awarded to the University of Massachusetts Medical School</u>	BOP	03/16/2022	11	Resolved	Implement specific policies and procedures for reviewing billing submitted using Medicare-based rates, and that the BOP ensure that facilities utilize the third-party adjudication vendor.
405	<u>Audit of the Federal Bureau of Prisons Comprehensive Medical Services Contracts Awarded to the University of Massachusetts Medical School</u>	BOP	03/16/2022	12	Resolved	Implement Bureau-wide policies and standards for CMS contract billings, to include appropriate supporting documentation, at all facilities.
406	<u>Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	DEA	03/14/2022	10	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
407	<u>Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	DEA	03/14/2022	11	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
408	<u>Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2021</u>	DEA	03/14/2022	12	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
409	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to Red Wind Consulting, Inc., Colorado Springs, Colorado</u>	OVW	03/09/2022	2	Resolved	Ensure that Red Wind enhances its accounting system and the accounting policies and procedures to ensure that it maintains complete and accurate accounting records for the awards.
410	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to Red Wind Consulting, Inc., Colorado Springs, Colorado</u>	OVW	03/09/2022	4	Resolved	Ensure Red Wind updates and enforces the BOD Bylaws.
411	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to Red Wind Consulting, Inc., Colorado Springs, Colorado</u>	OVW	03/09/2022	9	Resolved	Remedy the \$229,845 of unsupported costs for excess funds drawn down through March 20, 2021.
412	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to Red Wind Consulting, Inc., Colorado Springs, Colorado</u>	OVW	03/09/2022	11	Resolved	Require Red Wind to correct and resubmit, as necessary, any inaccurate FFRs for awards that are still ongoing.
413	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to Red Wind Consulting, Inc., Colorado Springs, Colorado</u>	OVW	03/09/2022	5a	Resolved	Remedy \$2,963 in unallowable salary costs.
414	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to Red Wind Consulting, Inc., Colorado Springs, Colorado</u>	OVW	03/09/2022	5b	Resolved	Remedy \$691 in unsupported expenditures related to staff travel costs.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
415	<a href="#"><u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to Red Wind Consulting, Inc., Colorado Springs, Colorado</u></a>	OVW	03/09/2022	5b	Resolved	Remedy \$1,622 in unallowable expenditures related to staff travel costs.
416	<a href="#"><u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to Red Wind Consulting, Inc., Colorado Springs, Colorado</u></a>	OVW	03/09/2022	5c	Resolved	Remedy \$32,405 in unallowable contractor and subrecipient questioned costs.
417	<a href="#"><u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to Red Wind Consulting, Inc., Colorado Springs, Colorado</u></a>	OVW	03/09/2022	5c	Resolved	Remedy \$40,850 in unsupported contractor and subrecipient questioned costs.
418	<a href="#"><u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to Red Wind Consulting, Inc., Colorado Springs, Colorado</u></a>	OVW	03/09/2022	5d	Resolved	Remedy \$600 in unsupported other direct questioned costs.
419	<a href="#"><u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to Red Wind Consulting, Inc., Colorado Springs, Colorado</u></a>	OVW	03/09/2022	5d	Resolved	Remedy \$1,999 in unallowable other direct questioned costs.
420	<a href="#"><u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project, New York, New York</u></a>	OVW	02/09/2022	1	Resolved	Ensure AVP implements written performance reporting policies and procedures to ensure its reports are accurate, submitted timely, and reflect an appropriate share of accomplishments towards achieving federally assisted activities.
421	<a href="#"><u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project, New York, New York</u></a>	OVW	02/09/2022	2	Resolved	Ensure AVP implements policies and procedures to ensure compliance with its federal grant award conditions.
422	<a href="#"><u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project, New York, New York</u></a>	OVW	02/09/2022	3	Resolved	Ensure AVP implements written federal grant administration policies and procedures to ensure compliance with the DOJ Grants Financial Guide and other federal grant award requirements.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
423	<a href="#"><u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project, New York, New York</u></a>	OVW	02/09/2022	4	Resolved	Remedy \$601,578 in unsupported personnel expenditures.
424	<a href="#"><u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project, New York, New York</u></a>	OVW	02/09/2022	5	Resolved	Remedy \$4,848 in unallowable pre-award personnel and fringe expenditures
425	<a href="#"><u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project, New York, New York</u></a>	OVW	02/09/2022	6	Resolved	Ensure AVP implements written policies and procedures to ensure personnel expenditures charged to its federal grants are based on records that accurately reflect work performed and support a reasonable allocation or distribution of costs among specific activities or cost objectives when recipients work on multiple grant programs or cost activities.
426	<a href="#"><u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project, New York, New York</u></a>	OVW	02/09/2022	7	Resolved	Remedy \$78,667 in unsupported fringe benefits expenditures
427	<a href="#"><u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project, New York, New York</u></a>	OVW	02/09/2022	8	Resolved	Ensure AVP implements written policies and procedures to ensure fringe benefit expenditures are accurate and calculated correctly.
428	<a href="#"><u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project, New York, New York</u></a>	OVW	02/09/2022	9	Resolved	Remedy \$67,831 in unsupported other direct costs.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
429	<u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project, New York, New York</u>	OVW	02/09/2022	10	Resolved	Ensure AVP implements written policies and procedures to ensure program costs are approved by OVW, allocable to the grant, and supported with adequate documentation necessary to demonstrate compliance with its purchasing procedures and the federal grant requirements.
430	<u>Audit of the Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2021</u>	JMD	12/21/2021	3	On Hold/Pending with OIG	Enhance existing processes to analyze potential out-of-period revenue transactions, and formally document the evaluation results to include a consideration of materiality and the impact on the prior period financial statements. (New)
431	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Monitoring of 3-D Firearm Printing Technology</u>	ATF	12/20/2021	4	Resolved	Establish expanded protocols to more effectively collect data on recovered firearms made entirely using 3-D printers and "hybrid" firearms made with a 3-D printed frame or receiver. This action may include modification of ATF's case management or firearm tracing systems to permit specific designation of such recovered firearms.
432	<u>Management Advisory Memorandum: Notification of Needed Upgrades to the Federal Bureau of Prisons' Security Camera System</u>	BOP	10/27/2021	1	Resolved	Develop a comprehensive strategic plan for transitioning to a fully digital security camera system that, among other things:a. identifies enhancements needed to address camera functionality and coverage deficiencies, b. provides cost projections and the BOP appropriations account to fund the upgrades, andc. includes an estimated timeline for completion of the work.
433	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	4	Resolved	Ensure that FBI OPR has the information it needs to adjudicate all cases by ensuring that every FBI misconduct investigation is completed, regardless of whether the subject separates.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
434	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	5	Resolved	Write a memorandum for each misconduct file documenting a substantiation decision and the evidence supporting it in all cases in which an employee separates under inquiry.
435	<u>Audit of the Federal Bureau of Investigation's Efforts to Notify Victims of Child Sexual Abuse Material</u>	FBI	09/20/2021	4	Resolved	Ensure all identified series either have Notification Preference Forms on file or maintain complete information on the status of efforts to acquire the forms.
436	<u>Audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program</u>	CRM	09/13/2021	9	Resolved	Provide targeted training for financial personnel and those responsible for the administration of equitable sharing funds.
437	<u>Audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program</u>	CRM	09/13/2021	10	Resolved	Develop web-based training, or other remote mediums, that would allow for all participating agencies to receive training on a recurring basis.
438	<u>Audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program</u>	CRM	09/13/2021	11	Resolved	Develop a training schedule that mandates cyclical training for all participating agencies, with advanced training to mitigate the risk of funds being misspent for those agencies identified as higher risk.
439	<u>Notification of Concerns Regarding Lack of Department Policy Requiring Express Authorization of Department Attorneys to Participate in the Criminal or Civil Investigation or Prosecution of Former Clients</u>	ODAG	08/24/2021	1	Resolved	The Department should modify existing policy concerning a DOJ attorney's participation in a criminal or civil investigation or prosecution in which a former client is a witness, subject, target, defendant, or party, to ensure that any such participation does not cause a reasonable person to question that attorney's impartiality.



# Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of June 30, 2024)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
440	<u>Notification of Concerns Regarding Lack of Department Policy Requiring Express Authorization of Department Attorneys to Participate in the Criminal or Civil Investigation or Prosecution of Former Clients</u>	ODAG	08/24/2021	2	Resolved	The Department should consider what requirements, guidance, and/or training should be provided to any incoming Department attorneys entering service regarding their handling of potential conflicts arising from their representation of clients arising from their representation of clients prior to joining DOJ.
441	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Department of Justice's Human Resources Policies</u>	JMD	08/02/2021	1	Resolved	Conduct a review to identify all areas where DOJ policies do not reflect current regulations and OPM guidance and requirements and establish a plan of action that includes a timeline for addressing the identified deficiencies and gaps in Department policy.
442	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Department of Justice's Human Resources Policies</u>	JMD	08/02/2021	2	Resolved	Ensure that it regularly monitors and updates the Department's HR policies, to include: (a) converting interim policy to permanent policy within 1 year, as applicable; and (b) deconflicting policies that contradict or supersede other policies.
443	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Department of Justice's Human Resources Policies</u>	JMD	08/02/2021	3	Resolved	Evaluate its process for reviewing and updating the Department's HR policies every 5 years to determine if more frequent periodic reviews may be necessary.
444	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Department of Justice's Human Resources Policies</u>	JMD	08/02/2021	4	Resolved	Prioritize its efforts to consolidate the Department's HR policies in a centralized location accessible to components and incorporate the relevant policies into DOJ Order 1200.1, as appropriate.
445	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/13/2021	3	Resolved	Develop a policy describing the circumstances, if any, under which telephonic interviews of alleged child abuse victims, including adults who had allegedly been victims of abuse as children, are appropriate.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
446	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/13/2021	4	Resolved	Train FBI employees on the policies discussed in the first three recommendations and any changes made to them.
447	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/13/2021	1a	Resolved	Reassess its policies to more precisely describe for FBI employees when they are required to promptly contact and coordinate with applicable state and local law enforcement and social service agencies after receiving allegations of crimes against children that potentially fall under state jurisdiction, even when the allegations also potentially fall within the FBI's jurisdiction.
448	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/13/2021	1d	Resolved	Reassess its policies to describe the circumstances under which victim services should be offered during Pre-Assessment or Assessment activities, such as when these phases take longer than expected, when a victim is interviewed as part of these phases, or when an initial complaint is transferred between field offices.
449	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/13/2021	2c	Resolved	Clarify its policies as to what type of file FBI employees should use to retain documentation received during Pre-Assessment activities that continue for more than 5 days.
450	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	1b	Resolved	Take steps to address its persistent chaplaincy shortages and lack of diversity of religious affiliations represented within the BOP's chaplaincy by: b. Reconsidering its age restriction for chaplains and coordinate with the Department of Justice to determine if age waivers should be expanded to a broader group of chaplaincy applicants.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
451	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	3a	Resolved	Ensure safe and robust chapel libraries throughout the BOP by: a. Performing a comprehensive review of the Chapel Library Database (CLD) at the CSB to strengthen existing policy or develop new policy that ensures an accurate inventory and timely review of newly introduced English and foreign language texts with suitability determinations that can more easily be shared among all BOP institutions.
452	<u>Management Advisory Memorandum : Notification of Security Concerns at the Federal Bureau of Prisons' Camp Locations</u>	BOP	06/07/2021	1	Resolved	The BOP should ensure that alarms on all exterior building doors in FPCs and SPCs are in working condition and should take appropriate measures to prevent them from being susceptible to tampering.
453	<u>Management Advisory Memorandum : Notification of Security Concerns at the Federal Bureau of Prisons' Camp Locations</u>	BOP	06/07/2021	2	Resolved	The BOP should ensure that all existing video cameras in FPCs and SPCs are in working condition.
454	<u>Management Advisory Memorandum : Notification of Security Concerns at the Federal Bureau of Prisons' Camp Locations</u>	BOP	06/07/2021	3	Resolved	The BOP should install video cameras on all exterior building doors at FPCs and SPCs, so that BOP Control Officers can observe the doors while Camp Officers are occupied with performing their duties, such as inmate counts.
455	<u>Audit of the Drug Enforcement Administration's Laboratory Information Management System Support Contracts</u>	DEA	06/01/2021	5	Resolved	Examine ways to implement the use of secure, internal network connections to transfer data between LIMS and other work systems with the goal of implementing a more secure method of data transfer than relying on external storage devices.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
456	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities, Chicago, Illinois</u>	CRM	05/19/2021	5	Resolved	Require the Chicago PD to perform an in-depth review of its equitable sharing financial activity and either: (1) provide detailed documentation to support its existing FY 2019 and FY 2020 ESAC submissions, or (2) submit amended ESACs along with detailed documentation to support the balance and expenditure figures reported.
457	<u>Audit of a Court Services and Offender Supervision Agency System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	1	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
458	<u>Audit of a Court Services and Offender Supervision Agency System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	2	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
459	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	5	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
460	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	6	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
461	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	9	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
462	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	10	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
463	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	1	Resolved	Clarify officer safety-related training requirements for operational personnel, including Task Force Officers, and ensure that the requirements are clearly established in policy.
464	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	2	Resolved	Ensure that the Training Division provides consistent and prompt assistance to those districts that do not have an assigned Tactical Training Officer.
465	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	3	Resolved	Ensure that all Tactical Training Officers receive skills sustainment training.
466	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	4	Resolved	Establish methods to evaluate whether the Tactical Training Officer Program is accomplishing its objectives.
467	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	5	Resolved	Ensure that the new After-Action Review policy is implemented so that all critical incidents stemming from operations led by and/or involving U.S. Marshals Service personnel are reviewed for lessons learned and used to update and adjust officer safety-related training.
468	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	6	Resolved	Consider including other Department of Justice law enforcement components in operational reviews of critical incidents, including but not limited to the new After-Action Review process, to allow for mutual awareness and improvements to officer safety-related training across components.
469	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	7	Resolved	Evaluate whether modifications to training are needed to adequately cover open space encounters and to better support small teams, and, if so, develop and implement methods to ensure that training adequately addresses these.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
470	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	2	Resolved	Expand the EOIR Court & Appeals System (ECAS) to all immigration courts, and continue to pursue efforts to make ECAS mandatory. Until ECAS is fully deployed, EOIR should consider whether it can continue permitting email filings without increasing the risk to staff during the pandemic. In particular, EOIR should assess the feasibility of having staff scan paper filings into electronic files rather than print emailed filings and whether this would reduce the need for staff to report to work in person. If EOIR permits email filings, EOIR should ensure that users receive confirmation of receipt of filing and are not unfairly restricted by page limits during the ongoing COVID-19 pandemic in all courts that do not have ECAS.
471	<u>Audit of the United States Marshals Service's Business Process Management Platform System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	USMS	03/29/2021	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
472	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
473	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
474	<u>Review of the Department of Justice's Planning and Implementation of Its Zero Tolerance Policy and Its Coordination With the Departments of Homeland Security and Health and Human Services</u>	USMS	01/13/2021	2	Resolved	Establish guidance and procedures for U.S. Marshals Service staff to follow in working with the Department of Health and Human Services' Office of Refugee Resettlement case workers to facilitate communication between family unit adults separated from associated family unit minors, especially parents in U.S. Marshals Service custody and their children in Office of Refugee Resettlement custody.
475	<u>Review of the Department of Justice's Planning and Implementation of Its Zero Tolerance Policy and Its Coordination With the Departments of Homeland Security and Health and Human Services</u>	ODAG	01/13/2021	3a	Resolved	Work with the Department of Health and Human Services' Office of Refugee Resettlement and the Department of Homeland Security to develop a formal interagency agreement (such as a memorandum of understanding) regarding the facilitation of communication between separated children in Office of Refugee Resettlement custody and their parents in U.S. Marshals Service custody.
476	<u>Review of the Department of Justice's Planning and Implementation of Its Zero Tolerance Policy and Its Coordination With the Departments of Homeland Security and Health and Human Services</u>	USMS	01/13/2021	3b	Resolved	Work with the Department of Health and Human Services' Office of Refugee Resettlement and the Department of Homeland Security to develop a formal interagency agreement (such as a memorandum of understanding) regarding the facilitation of communication between separated children in Office of Refugee Resettlement custody and their parents in U.S. Marshals Service custody.
477	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	6	Resolved	Ensure that its Correctional Services Manual includes policy on the required number of perimeter patrol vehicles at all types of institutions and implement a policy on the circumstances necessitating changes to the required number of perimeter patrol vehicles.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
478	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	7	Resolved	Establish a policy on the required assignment and use of Roving Alarm Notification System (RANS) hand-held radios.
479	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	8	Resolved	Consider developing a national policy requiring institutional assessments of U.S. Penitentiaries (USP) on a prescribed, regular basis.
480	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	9	Resolved	Improve the institutional assessment process by ensuring the Institutional Assessment Guidelines are comprehensive and include all necessary perimeter security aspects, ensuring the assessment reports are consistent in form and content, and requiring reviewed facilities to respond to any recommendations in the assessment reports.
481	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	15	On Hold/Pending with OIG	Implement the preventative and detective internal controls over PGI (developing PGI spend plans, timely 90-day reviews, and quarterly headquarters examination of financial activity) as prescribed by the Department's Risk Mitigation Policy Memorandum, the AG FBI Undercover Guidelines, and the Agents Manual.
482	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	ODAG	06/16/2020	16	Resolved	Formally determine whether the policies within the AG FBI Undercover Guidelines apply, in their entirety or in specific instances, to all DOJ law enforcement components; or if the Department must issue new guidance to govern undercover operations that are initiated by DOJ law enforcement components outside of the FBI.
483	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	ODAG	06/16/2020	17	Resolved	Ensure that the DEA's policies reflect necessary measures and controls stipulated in applicable Department undercover guidance.



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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
484	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	8	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
485	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	9	Resolved	Eliminate the automatic delivery of email to high-risk inmates.
486	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	12	Resolved	Establish controls that mitigate the risk of inmates communicating with unknown and un-vetted parties and take steps, including the utilization of available technological features as found in TRULINCS, to reduce the risk of mass emails being received by high-risk inmates, including terrorist inmates.
487	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	13	Resolved	Establish a TRUFONE control that allows the system to alert BOP management of any calls that were not completely monitored.
488	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	15	Resolved	Review cellblock conversation monitoring policy, procedures, and capabilities to determine whether and how improvements can be made to achieve security goals, including improvement of audio monitoring systems.
489	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	16	Resolved	Revisit its social communication monitoring policy for high-risk inmates, including terrorist inmates, to better ensure that all visits between terrorist inmates and their visitors are sufficiently monitored.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
490	<u>Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	USNCB	03/16/2020	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
491	<u>Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	USNCB	03/16/2020	11	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
492	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	5	Resolved	In conjunction with the efforts to address Recommendation Number 2, examine current field office initiatives that provide an ongoing mechanism to revisit subjects of closed assessments and investigations. This examination should identify any legal, policy, and civil liberties implications so that a decision can be made as to whether all FBI field offices should undertake similar initiatives.
493	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	1	Resolved	Establish in policy or guidance the mission, role, and functions of the Senior Federal Law Enforcement Official, including qualifications, the appropriate components from which to select the official, and how a Senior Federal Law Enforcement Official coordinates with the ESF-13 program during a critical incident response.
494	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	2	Resolved	Ensure that the Senior Federal Law Enforcement Official cadre participates in National Level Exercises, when applicable, and ESF-13 Table Top Exercises.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
495	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	4	Resolved	Reevaluate ESF-13's staffing to determine appropriate permanent staffing requirements and responsibilities.
496	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	4	Resolved	Address the problems with the administration and assessment of CHSs identified in the report. (see items 4a. through 4f. on pages 415 and 416)
497	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	6	Resolved	Ensure that appropriate training on DIOG § 4 is provided to emphasize the constitutional implications of certain monitoring situations and to ensure that agents account for these concerns, both in the tasking of CHSs and in the way they document interactions with and tasking of CHSs.
498	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	1a	Resolved	Ensure that adequate procedures are in place for the Office of Intelligence (OI) to obtain all relevant and accurate information, including access to Confidential Human Source (CHS) information, needed to prepare FISA applications and renewal applications. This effort should include revising items 1a. through 1d. on page 415 of report.
499	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	ODAG	12/09/2019	1b	Resolved	Ensure that adequate procedures are in place for the Office of Intelligence (OI) to obtain all relevant and accurate information, including access to Confidential Human Source (CHS) information, needed to prepare FISA applications and renewal applications. This effort should include revising items 1a. through 1d. on page 415 of the report.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
500	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	2a	Resolved	Evaluate which types of Sensitive Investigative Matters (SIM) require advance notification to a senior Department official, such as the Deputy Attorney General, in addition to the notifications currently required for SIMs, especially for case openings that implicate core First Amendment activity and raise policy considerations or heighten enterprise risk, and establish implementing policies and guidance, as necessary.
501	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	ODAG	12/09/2019	2b	Resolved	Evaluate which types of Sensitive Investigative Matters (SIM) require advance notification to a senior Department official, such as the Deputy Attorney General, in addition to the notifications currently required for SIMs, especially for case openings that implicate core First Amendment activity and raise policy considerations or heighten enterprise risk, and establish implementing policies and guidance, as necessary.
502	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	DEA	09/30/2019	4	Resolved	Require that all suspicious orders reports be sent to DEA headquarters.
503	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	1	Resolved	Complete the heating, ventilation, and cooling equipment upgrade subproject at Metropolitan Detention Center Brooklyn and ensure that the equipment is capable of maintaining temperatures at BOP targets. Further, ensure that upgraded hardware and Building Management System software allow facilities staff to accurately monitor building temperatures and heating, ventilation, and cooling equipment performance.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
504	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	2	Resolved	Take further action to diagnose the sources of temperature regulation issues and remedy them, if the upgraded Metropolitan Detention Center Brooklyn heating, ventilation, and cooling system cannot maintain building temperatures at BOP targets.
505	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	4	Resolved	Ensure that, in the absence of Building Management System software, institutions use a consistent and sound method to measure and document temperatures and record all maintenance performed on heating, ventilation, and cooling equipment.
506	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	7	Resolved	Require institutions to maintain a list of inmates who use continuous positive airway pressure machines, or other electronic medical devices, in their cells so that institution staff can make every effort to accommodate those inmates in the event of a power outage.
507	<u>Audit of the Department of Justice's Use of Immigration Sponsorship Programs</u>	DEA	06/11/2019	8	Resolved	Implement methods to accurately and completely track all foreign national sponsorship information for individual foreign nationals, including expiration dates.
508	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	1	Resolved	Ensure there are appropriate logic controls for data that is manually input into Cyber Guardian and CyNERGY, and that CyNERGY's data input is as automated as appropriate.
509	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	6	Resolved	Ensure that all victims of cybercrime are informed of their rights under the Attorney General Guidelines for Victim and Witness Assistance, Crime Victims' Rights Act, and Victims' Rights and Restitution Act, as appropriate.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
510	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	8	Resolved	Update Cyber Division Policy Guide 0853PG to include a minimum requirement for information that should be included in a victim notification and in victim notification leads, to ensure the consistency and effectiveness of victim notifications.
511	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	9	Resolved	Ensure Victim Contact Planning Calls are conducted for all cyber incidents that are labeled "Medium and above" on the National Security Council's Cyber Incidents Severity Schema.
512	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	10	Resolved	Pursue a mutually agreeable solution with OHS for ensuring all victim notification data is entered into Cyber Guardian.
513	<u>Procedural Reform Recommendation for the U.S. Marshals Service</u>	USMS	02/13/2019	4	Resolved	Develop and implement a "terminal leave" policy that provides that under no circumstances shall a proposed or final determination on a personnel action, whether based on deficient performance or misconduct, be delayed or not taken so that an employee can accumulate service time, whether by remaining on duty, while on administrative leave, while on leave without pay, or while on annual leave, in order to attain eligibility for benefits, including but not limited to retirement benefits.
514	<u>Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013</u>	OJP	12/18/2018	4	Resolved	Conduct a study on data collected under the Death in Custody Reporting Act of 2013 as described in the statute and submit a report on the study to Congress as soon as practicable.
515	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	FBI	06/25/2018	2c	Resolved	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
516	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	2	Resolved	Consider making explicit that, except in situations where the law requires or permits disclosure, an investigating agency cannot publicly announce its recommended charging decision prior to consulting with the Attorney General, Deputy Attorney General, U.S. Attorney, or his or her designee, and cannot proceed without the approval of one of these officials.
517	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	4	Resolved	Consider providing guidance to agents and prosecutors concerning the taking of overt investigative steps, indictments, public announcements, or other actions that could impact an election.
518	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	5	Resolved	Take steps to improve the retention and monitoring of text messages Department-wide
519	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	3a	Resolved	Consider adopting a policy addressing the appropriateness of Department employees discussing the conduct of uncharged individuals in public statements.
520	<u>Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations</u>	FBI	03/29/2018	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
521	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	2	Resolved	Ensure that the adjudication vendor is able to reproduce on demand all necessary data elements used to adjudicate the claims (e.g., DRG, all procedure codes, and drug information). The universe of claims data should be available to BOP on a national scale in a format that allows for thorough analysis and oversight regardless of institution.
522	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	1a	Resolved	Move immediately to require all CMS contractors to submit electronic claims.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
523	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	1b	Resolved	Ensure those claims are properly analyzed and maintained by BOP's adjudication vendor.
524	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	1c	Resolved	Enforce existing contract language that requires the adjudication vendor to perform fraud analytics and report any indicators of fraud to the BOP.
525	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	3	Resolved	Pursue technological solutions to mitigate the need for, or reduce the risk of, stand-alone systems.
526	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	5	Resolved	Ensure user activity monitoring (UAM) coverage over all classified systems and networks and identify a component to maintain an accurate inventory of all information technology assets that have user activity monitoring coverage.
527	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	3	Resolved	Track all inmates in single-cell confinement and monitor, as appropriate, the cumulative amount of time that inmates with mental illness spend in restrictive housing, including single-cell confinement.
528	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	4	Resolved	Identify all forms of restrictive housing utilized throughout its institutions and ensure that all local policies are updated to reflect standards for all inmates in restrictive housing consistent with established nationwide policies.
529	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	15	Resolved	Conduct a comprehensive review of U.S. Penitentiary Lewisburg's Special Management Unit that addresses the staffing, treatment, conditions of confinement, and performance metrics of the program.



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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
530	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	ODAG	05/24/2017	7	Resolved	Determine whether revisions to the post-shooting incident procedures should be made across the Department's law enforcement components to address the issue of shooting incidents outside the United States by a foreign LEO working on a joint law enforcement operation with a DOJ component. We also recommend that the Deputy Attorney General consider whether revisions to the components' post-shooting incident procedures should be made to ensure that the requirements are appropriate and consistent across the Department's law enforcement components.
531	<u>Audit of the Office of Justice Programs' Tribal Justice Systems Infrastructure Program</u>	OJP	01/18/2017	3a	Resolved	Remedy \$10,720,232 in unallowable costs awarded to the Nisqually Tribe to fund a correctional facility that was not funded or used in conformity with the statutory authority of the TJSIP, and that was inappropriately built with the intention of being a profit-generating facility.
532	<u>A Review of the FBI's Use of Section 215 Orders for Business Records in 2012-2014</u>	FBI	09/29/2016	1	Response Not Yet Due	Continue to pursue ways to make the business records process more efficient, particularly for applications related to cyber cases.
533	<u>A Review of ATF's Undercover Storefront Operations</u>	ODAG	09/08/2016	10	Resolved	Promptly design and implement a plan that ensures that its law enforcement and detention components comply with the Rehabilitation Act of 1973.
534	<u>Review of the Federal Bureau of Prisons' Release Preparation Program</u>	BOP	08/30/2016	1	Resolved	Establish a standardized list of courses, covering at least the Release Preparation Program's core categories, as designated by the BOP, to enhance the consistency of Release Preparation Program curricula across BOP institutions.
535	<u>Review of the Federal Bureau of Prisons' Release Preparation Program</u>	BOP	08/30/2016	5	Resolved	Explore the use of incentives and other methods to increase inmate participation and completion rates for the Institution Release Preparation Programs.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
536	<u>Audit of the Office of Justice Programs Human Trafficking and the Office on Violence Against Women Legal Assistance Awards to the Asian Pacific Islander Legal Outreach, San Francisco, California</u>	OVW	08/22/2016	4	Resolved	Ensure that APILO identifies actual costs paid to its subrecipients, provides adequate support for those expenditures and properly supports these invoices with supportable rates that represent costs of actual services.
537	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	3	Resolved	Develop uniform guidelines and criteria for conducting random staff pat searches across all institutions that require a minimum frequency and duration for search events to ensure that appropriate numbers of staff on each shift are searched with appropriate frequency.
538	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	4	Resolved	Define what quantities, if any, of tobacco and related tobacco products should be authorized for staff to bring into institutions for personal use.
539	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	5	Resolved	Restrict the size and content of personal property that staff may bring into BOP institutions.
540	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	6	Resolved	Establish procedures whereby all post-declaration items discovered during staff screening procedures are documented, along with the identity of the staff member involved, as well as more explicit guidelines for when the items should be confiscated and when such instances or a series of them should be referred for investigation and corrective action.
541	<u>The Federal Bureau of Prisons' Reimbursement Rates for Outside Medical Care</u>	BOP	06/08/2016	3	Resolved	Improve the collection and analysis of utilization data for inmate medical care to better understand the services that inmates need and the impact it has on the BOP's medical spending.
542	<u>Summary of a Review of the Management and Operations of an FBI Recreation Association</u>	FBI	05/10/2016	1	On Hold/Pending with OIG	Temporarily shut down the stores operated by the FBIRA NY until they are in compliance with New York State law and FBI Policy.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
543	<u>Summary of a Review of the Management and Operations of an FBI Recreation Association</u>	FBI	05/10/2016	2	On Hold/Pending with OIG	Revise and update CPD 0465D, including prohibiting FBIRA Board Members from using personal financial instruments or accounts in connection with FBIRAs, clearly delineating permissible and impermissible uses of FBIRA resources, and requiring accountability for RA funds.
544	<u>Summary of a Review of the Management and Operations of an FBI Recreation Association</u>	FBI	05/10/2016	3	On Hold/Pending with OIG	Provide appropriate training and guidance to FBIRA directors and FBI Field Division managers.
545	<u>Audit of the Office of Justice Programs Grant Awarded to the Housing Authority of Plainfield, Plainfield, New Jersey</u>	OJP	03/29/2016	1	On Hold/Pending with OIG	Remedy \$244,233 in unsupported grant funds.
546	<u>Audit of the Office of Justice Programs Correctional Systems and Correctional Alternatives on Tribal Lands Program Grants Awarded to the Navajo Division of Public Safety, Window Rock, Arizona</u>	OJP	09/28/2015	9	Resolved	Remedy \$32,034,623 in unallowable expenditures associated with excessive building sizes for Grant Numbers 2009-ST-B9-0089 and 2009-ST-B9-0100.
547	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	38	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
548	<u>Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons</u>	BOP	05/05/2015	7	Resolved	Develop sections in release preparation courses that address the post-incarceration medical care and retirement needs of aging inmates.
549	<u>The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components</u>	ODAG	03/25/2015	5	Resolved	Ensure that the Department's zero tolerance policy on sexual harassment is enforced in the law enforcement components and that the components' tables of offenses and penalties are complimentary and consistent with respect to sexual harassment.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
550	<u>The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components</u>	ODAG	03/25/2015	7	Resolved	All four law enforcement components, in coordination with ODAG, should acquire and implement technology and establish procedures to effectively preserve text messages and images for a reasonable period of time, and components should make this information available to misconduct investigators and, as appropriate, for discovery purposes.
551	<u>The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components</u>	ODAG	03/25/2015	8	Resolved	All four law enforcement components, in coordination with ODAG, should take concrete steps to acquire and implement technology to be able to, as appropriate in the circumstances, proactively monitor text message and image data for potential misconduct.
552	<u>A Review of ATF's Investigation of Jean Baptiste Kingery</u>	ODAG	10/30/2014	1	Resolved	Engage with the leadership at the Department of Homeland Security, ICE, and CBP in an effort to identify and develop opportunities to improve these important and highly consequential relationships.
553	<u>Information Handling and Sharing Prior to the April 15, 2013 Boston Marathon Bombings</u>	FBI	04/10/2014	1	Resolved	Clarify the circumstances under which JTTF personnel may change the display status of a TECS record, particularly in closed cases.
554	<u>A Review of ATF's Operation Fast and Furious and Related Matters</u>	FBI	09/19/2012	4	Resolved	Review the policies and procedures of its other law enforcement components to ensure that they are sufficient to address the concerns we have identified in the conduct of Operations Wide Receiver and Fast and Furious, particular regarding oversight of sensitive and major cases, the authorization and oversight of "otherwise illegal activity," and the use of information in situations where the law enforcement component also has a regulatory function.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
555	<u>A Review of the Federal Bureau of Investigation's Activities Under Section 702 of the Foreign Intelligence Surveillance Act Amendments Act of 2008</u>	FBI	09/12/2012	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
556	<u>A Review of the Federal Bureau of Investigation's Activities Under Section 702 of the Foreign Intelligence Surveillance Act Amendments Act of 2008</u>	FBI	09/12/2012	7	Resolved	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
557	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	2	Resolved	Establish Procedures to Track Source of Facts Provided to the Public and Congress.
558	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	3	On Hold/Pending with OIG	Require Identification of Federal Crime as Part of Documenting Predication.
559	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	4	On Hold/Pending with OIG	Consider Revising Attorney General's Guidelines and DIOG to Reinstate Prohibition on Retention of Irrelevant First Amendment Material from Public Events.
560	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	5	On Hold/Pending with OIG	Clarify When First Amendment Cases Should Be Classified as "Acts of Terrorism" Matters.
561	<u>Report on the President's Surveillance Program</u>	FBI	07/10/2009	1	On Hold/Pending with OIG	Assess its discovery obligations regarding Stellar Wind-derived information in international terrorism prosecutions.
562	<u>Report on the President's Surveillance Program</u>	FBI	07/10/2009	2	On Hold/Pending with OIG	Carefully consider whether it must re-examine past cases to see whether potentially discoverable but undisclosed Rule 16 or Brady material was collected by the NSA under the program, and take appropriate steps to ensure that it has complied with its discovery obligations in such cases.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
563	<u>Report on the President's Surveillance Program</u>	NSD	07/10/2009	3	On Hold/Pending with OIG	As part of the [Redacted] project, the Justice Department's National Security Division (NSD), working with the FBI, should collect information about the quantity of telephone numbers and e-mail addresses disseminated to FBI field offices that are assigned as Action leads and that require offices to conduct threat assessments.
564	<u>Report on the President's Surveillance Program</u>	NSD	07/10/2009	4	On Hold/Pending with OIG	Consistent with NSD's current oversight activities and as part of its periodic reviews of national security investigations at FBI Headquarters and field offices, NSD should review a representative sampling [Redacted] leads to those offices.
565	<u>Report on the President's Surveillance Program</u>	FBI	07/10/2009	5	On Hold/Pending with OIG	In coordination with the NSA, implement a procedure to identify Stellar Wind-derived information that may be associated with international terrorism cases currently pending or likely to be brought in the future and evaluate whether such information should be disclosed in light of the government's discovery obligations under Rule 16 and Brady.
566	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	1	On Hold/Pending with OIG	Continue its FBI Headquarters-managed asset validation review process and provide sufficient resources for the Analytical Unit to devote to these reviews.
567	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	2	On Hold/Pending with OIG	Require that any analytical products relating to the asset, together with red flags, derogatory reporting, anomalies, and other counterintelligence concerns be documented in a subsection of the asset's file.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 6/30/24	Recommendation Description
568	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	3	On Hold/Pending with OIG	Require the field SSA, the ASAC, and the FBI Headquarters SSA responsible for each asset to signify that they have reviewed the entries in this subsection as part of the routine file review or of semi-annual or annual asset re-evaluations. If anomalies exist, the SSA should note what action has been taken with respect to them, or explain why no action is necessary, and the ASAC's agreement should be noted.
569	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	6	On Hold/Pending with OIG	Require agents to record in the asset file any documents passed and all matters discussed with the asset, as well as each person who was present for the meeting.
570	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	7	On Hold/Pending with OIG	Require alternate case agents to meet with the source on a regular basis, together with the case agent.
571	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	8	On Hold/Pending with OIG	Limit the number of years any Special Agent can continue as an asset's handler. Exceptions should be allowed for good cause only.