



Recommendations Issued by the Office of the
Inspector General that were Not Closed as of
February 28, 2022



22-060

MARCH 2022



EXECUTIVE SUMMARY

Recommendations Issued by the Office of the Inspector General that were Not Closed as of February 28, 2022

The attached report contains information about recommendations from the Department of Justice (DOJ) Office of the Inspector General's (OIG) audits, evaluations, reviews, and other reports that the OIG had not closed as of February 28, 2022. To more accurately represent the number of recommendations that have not been closed and the reports in which the recommendations were made, such recommendations that DOJ determined contain information that is limited official use or classified will be indicated as follows: "[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]" DOJ may identify a recommendation as containing limited official use or classified information if it determines, for example, that its disclosure to unauthorized individuals could negatively impact law enforcement operations, sources, or methods; expose operational vulnerabilities; or harm national security.

The status of each recommendation is subject to change due to DOJ's ongoing efforts to implement them, and the OIG's independent review of information about those efforts. Specifically, a recommendation identified as not closed as of February 28, 2022, may now be closed as a result of actions taken after that date. Although DOJ may have taken steps to implement the recommendations listed in this report, including by partially remedying the questioned costs associated with a recommendation, a recommendation is not considered closed until it has been fully implemented. Please note that DOJ has provided updates for certain recommendations prior to the date of this report that are still under review by the OIG. In addition, the OIG sometimes issues recommendations with multiple subparts in order to better track their implementation. Therefore, the recommendations in the attached report list subparts as separate items. The report also includes recommendations to a non-DOJ federal agency that contracted with the OIG to audit its information technology systems pursuant to the Federal Information Security Modernization Act (FISMA). As a result, the non-DOJ federal agency is responsible for implementing its recommendations, which are included in the statistics described below.

The following categories are used to describe the status of the recommendations in the report:

Response Not Yet Due – DOJ's or the non-DOJ federal agency's initial response to the recommendation is not yet due.

Resolved – DOJ or the non-DOJ federal agency has agreed to implement the recommendation or has proposed actions that will address the recommendation.

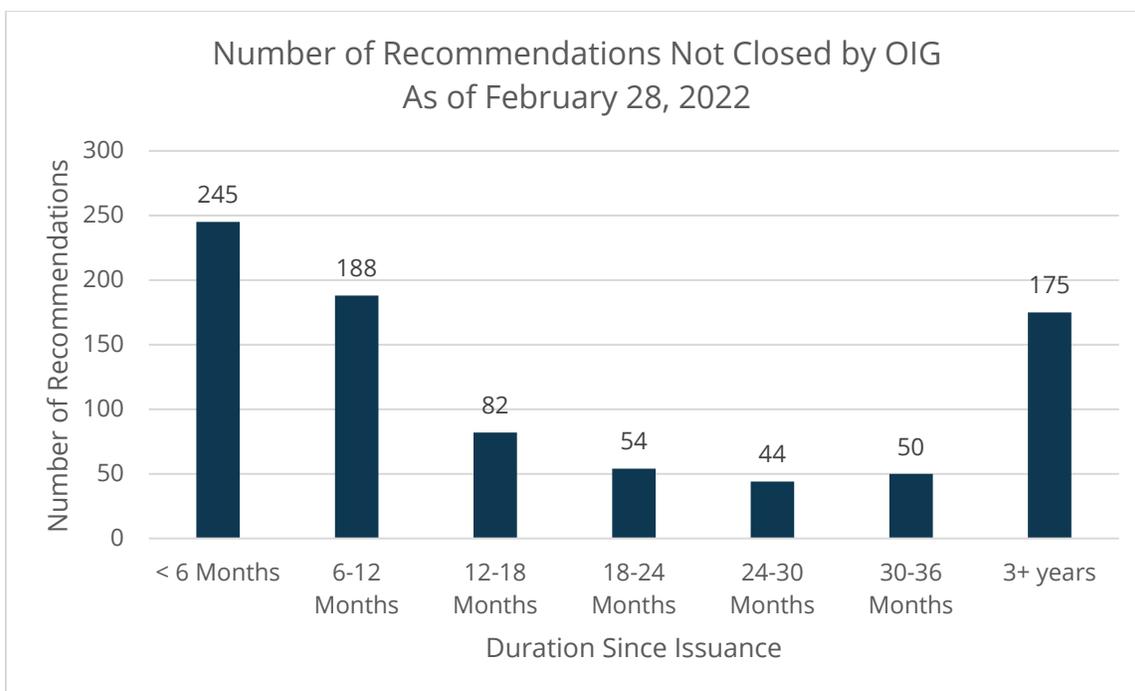
Unresolved – The initial deadline for DOJ's or the non-DOJ federal agency's response to the recommendation has passed, and it has not agreed to implement the recommendation or has not proposed actions that will

address the recommendation. The OIG may also convert a recommendation from “Resolved” to “Unresolved” if it determines that DOJ or the non-DOJ federal agency is not making sufficient progress towards implementation.

On Hold/Pending with OIG – The completion of the OIG’s assessment of the status of the recommendation is on hold or pending due to ongoing reviews or other factors.

As of February 28, 2022, the OIG had not closed 838 recommendations, which the OIG associated with the following statuses at that time: Resolved (753 recommendations), On Hold/Pending with OIG (82 recommendations), Response Not Yet Due (2 recommendations), and Unresolved (1 recommendation).

The information in the following chart is derived from the attached report and includes recommendations that are in the categories described above as of February 28, 2022.



Source: DOJ OIG

The OIG’s assessment of the most significant recommendations that have not been closed is included in our Semiannual Reports, which are available at <https://oig.justice.gov/semiannual/>.

Acronyms and Abbreviations

Department of Justice Components

ALLDOJ	Department of Justice
ATF	Bureau of Alcohol, Tobacco, Firearms and Explosives
BOP	Federal Bureau of Prisons
COPS	Office of Community Oriented Policing Services
CRM	Criminal Division
CRT	Civil Rights Division
DEA	Drug Enforcement Administration
ENRD	Environment and Natural Resources Division
EOIR	Executive Office for Immigration Review
EOUSA	Executive Office for U.S. Attorneys
FBI	Federal Bureau of Investigation
FPI	Federal Prison Industries, Inc.
JMD	Justice Management Division
NSD	National Security Division
ODAG	Office of the Deputy Attorney General
OJP	Office of Justice Programs
OVW	Office on Violence Against Women
TAX	Tax Division
USMS	U.S. Marshals Service
USNCB	United States National Central Bureau

Non-DOJ Federal Agency

CSOSA	Court Services and Offender Supervision Agency
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Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
1	<u>Audit of the Federal Bureau of Investigation's Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC</u>	FBI	02/28/2022	2	Resolved	Evaluate and update its policies and procedures to ensure that its contracting officials and OCIO personnel assigned to the acquisition planning team coordinate to develop meaningful QASPs in conjunction with the SOW for future biometric algorithm support contracts.
2	<u>Audit of the Federal Bureau of Investigation's Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC</u>	FBI	02/28/2022	4	Resolved	For future option years and procurements related to this award, select a procurement vehicle suitable for acquisitions that exceed the SAT; and ensure that the selected vehicle conforms to the uniform contract format in FAR Subpart 15.204-1, which requires agencies to include certain clauses to ensure accountability for performance, privacy, worker protections, and intellectual property, thereby mitigating legal and financial exposure.
3	<u>Audit of the Federal Bureau of Investigation's Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC</u>	FBI	02/28/2022	6	Resolved	Evaluate and implement procedures to ensure acquisition personnel: a. adhere to the schedule for mandatory completion of contractor performance evaluations, via CPARS. b. include all mandatory evaluation criteria in accordance with the FAR and FBI ARG; and c. ensure that the evaluation period corresponds to the performance period in the signed contract documents.
4	<u>Audit of the Federal Bureau of Investigation's Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC</u>	FBI	02/28/2022	7	Resolved	Develop a process to ensure that contracting officials and security personnel coordinate regarding contract worker onboarding. Coordination efforts should position contracting officials to have information on contract worker background check and briefing status readily available in the contract files.
5	<u>Audit of the Federal Bureau of Investigation's Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC</u>	FBI	02/28/2022	1a	Resolved	Update its policies and procedures to: a. provide guidance to acquisition planning team members, including contracting officials, on how to apply the best practices outlined in OMB's January 2021 memorandum on Procurement Acquisition Lead Times (PALT) for all contract actions above the Simplified Acquisition Threshold (SAT).

Questioned costs represent gross amounts, and consequently costs that were questioned for more than one reason may appear in multiple recommendations. Please refer to the schedule of dollar-related findings in the relevant report to identify net questioned costs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
6	<u>Audit of the Federal Bureau of Investigation's Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC</u>	FBI	02/28/2022	1b	Resolved	Update its policies and procedures to: b. ensure: (1) adherence to FAR clause 52.203-17, FAR Subpart 3.9, and 41 U.S.C. § 4712; and (2) that contracting officials understand that these requirements apply to all acquisitions above the SAT. In addressing this recommendation, the FBI should coordinate with JMD to ensure any new or updated policies comport with evolving DOJ whistleblower protection guidance for contractors.
7	<u>Audit of the Federal Bureau of Investigation's Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC</u>	FBI	02/28/2022	1c	Resolved	Update its policies and procedures to: c. include guidelines for succession planning to demonstrate that historical knowledge of the June 2018 PO is preserved in a manner that facilitates effective, continuous administration and oversight of the June 2018 PO.
8	<u>Audit of the Federal Bureau of Investigation's Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC</u>	FBI	02/28/2022	1d	Resolved	Update its policies and procedures to: d. to ensure comportment with FAR requirements for a proper invoice and retrain contracting officials on the updated policies for acquisition vehicles and accompanying SOWs.
9	<u>Audit of the Federal Bureau of Investigation's Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC</u>	FBI	02/28/2022	1e	Resolved	Update its policies and procedures to: e. ensure that government property is adequately considered during acquisition planning to facilitate COs: (1) inserting the appropriate clauses into the contract and (2) designing and implementing post-award monitoring protocols.
10	<u>Audit of the Federal Bureau of Investigation's Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC</u>	FBI	02/28/2022	3a	Resolved	Enhance its policies and procedures: a. on cost and price analysis policies and procedures to require that contracting officials document all relevant supporting information, such as data sources, underlying assumptions, and methodologies, in accordance with the FAR.
11	<u>Audit of the Federal Bureau of Investigation's Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC</u>	FBI	02/28/2022	3b	Resolved	Enhance its policies and procedures: b. to survey the market proactively and more regularly for viable options to anticipate threats and other developments.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
12	<u>Audit of the Federal Bureau of Investigation's Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC</u>	FBI	02/28/2022	3c	Resolved	Enhance its policies and procedures: c. to include lead time minimums for clarity and realism and require contracting officials to notify program officials of expiring contracts in a timely manner.
13	<u>Audit of the Federal Bureau of Investigation's Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC</u>	FBI	02/28/2022	5a	Resolved	Train contracting officials on: a. the tenets of a complete contract file that complies with FAR requirements and internal policies regarding contract file maintenance, training, and document retention.
14	<u>Audit of the Federal Bureau of Investigation's Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC</u>	FBI	02/28/2022	5b	Resolved	Train contracting officials on: b. policies and procedures (i.e., ARG) related to FPDS-NG reporting and certification requirements and enhance policies and procedures to improve the timeliness, accuracy, and completeness of the contract data reported in FPDS-NG.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
15	<u>Notification of Concerns Regarding Potential Conflicts of Interest and Appearance Issues When the FBI Assigns or Delegates Internal Affairs Investigations to FBI Employees Who Have Professional Relationships or Friendships with the Subject or Witnesses of the Investigation</u>	FBI	2/28/2022	1	Resolved	<p>The FBI should take steps to prevent conflicts of interest and appearances of conflicts of interest in Internal Affairs Investigations (IAI). To accomplish this, the FBI should consider:</p> <ul style="list-style-type: none"> a. Adopting a policy or practice of not assigning FBI employees to conduct IAIs of subjects within field offices or divisions in which they are currently employed, or, if the relevant field office or division has multiple squads or sections, not assigning FBI employees to conduct IAIs of subjects within the same squad of the field office or section of the division in which they are currently employed; b. Adopting a policy or practice of not assigning FBI employees to conduct IAIs of supervisors within their chain of command; c. Ensuring INSD employees are trained on the types of conflicts of interests that require recusal from conducting IAIs, such as current or prior professional relationships or friendships with the subject of the IAI or potential witnesses who may be interviewed in connection with the IAI; d. Requiring non-INSD FBI employees, before being delegated an IAI, to sign an attestation regarding potential conflicts of interest, including whether the FBI employee currently has or previously had a professional relationship or friendship with the subject of the IAI or potential known witnesses who may be interviewed in connection with the IAI; e. Requiring FBI employees to whom IAIs are being assigned or delegated to notify the INSD if they become aware before or during the course of the investigation of a subject or witness with whom they have a professional relationship or friendship, or become aware of other circumstances that might raise a question regarding their impartiality; f. Notifying FBI employees to whom IAIs are being assigned or delegated of the types of circumstances that would require them to consult with a designated agency ethics official pursuant to 5 C.F.R. § 2635.502.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
16	<u>Management Advisory Memorandum of Concerns Identified in the Federal Bureau of Prisons' Acquisition and Administration of Procurements Awarded to NaphCare, Inc. for Medical Services Provided to Community Corrections Management Inmates</u>	BOP	02/22/2022	1	Resolved	Enhance its procurement process for acquiring medical services provided to CCM inmates to ensure compliance with the FAR and BOP policy and procedures. This includes: (1) coordinating with all relevant divisions within the BOP to ensure sufficient timeframes for acquisition planning that incorporates proper requirements into procurement awards; and (2) ensuring that adequate competition is used for medical services procurements, unless a sole-source procurement is adequately justified in compliance with the FAR.
17	<u>Management Advisory Memorandum of Concerns Identified in the Federal Bureau of Prisons' Acquisition and Administration of Procurements Awarded to NaphCare, Inc. for Medical Services Provided to Community Corrections Management Inmates</u>	BOP	02/22/2022	2	Resolved	Enhance policies and procedures to ensure that qualified contracting officials review and approve contractor invoices submitted and paid for medical services provided to CCM inmates.
18	<u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project</u>	OVW	02/09/2022	1	Resolved	Ensure AVP implements written performance reporting policies and procedures to ensure its reports are accurate, submitted timely, and reflect an appropriate share of accomplishments towards achieving federally assisted activities.
19	<u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project</u>	OVW	02/09/2022	2	Resolved	Ensure AVP implements policies and procedures to ensure compliance with its federal grant award conditions.
20	<u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project</u>	OVW	02/09/2022	3	Resolved	Ensure AVP implements written federal grant administration policies and procedures to ensure compliance with the DOJ Grants Financial Guide and other federal grant award requirements.
21	<u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project</u>	OVW	02/09/2022	4	Resolved	Remedy \$601,578 in unsupported personnel expenditures.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
22	<u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project</u>	OVW	02/09/2022	5	Resolved	Remedy \$4,848 in unallowable pre-award personnel and fringe expenditures
23	<u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project</u>	OVW	02/09/2022	6	Resolved	Ensure AVP implements written policies and procedures to ensure personnel expenditures charged to its federal grants are based on records that accurately reflect work performed and support a reasonable allocation or distribution of costs among specific activities or cost objectives when recipients work on multiple grant programs or cost activities.
24	<u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project</u>	OVW	02/09/2022	7	Resolved	Remedy \$78,667 in unsupported fringe benefits expenditures
25	<u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project</u>	OVW	02/09/2022	8	Resolved	Ensure AVP implements written policies and procedures to ensure fringe benefit expenditures are accurate and calculated correctly.
26	<u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project</u>	OVW	02/09/2022	9	Resolved	Remedy \$67,831 in unsupported other direct costs.
27	<u>Audit of the Office on Violence Against Women Legal Assistance for Victims Grant Awarded to the New York City Gay and Lesbian Anti-Violence Project</u>	OVW	02/09/2022	10	Resolved	Ensure AVP implements written policies and procedures to ensure program costs are approved by OVW, allocable to the grant, and supported with adequate documentation necessary to demonstrate compliance with its purchasing procedures and the federal grant requirements.
28	<u>Audit of the Executive Office for United States Attorneys' Contracts Awarded to Cherokee Nation 3S, LLC for Legal and Other Support Services</u>	EOUSA	02/07/2022	1	Resolved	Ensure its COR develops a contractor evaluation schedule, documents the results of those evaluations, and provides the results to CN3S as required by the contract.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
29	<u>Audit of the Executive Office for United States Attorneys' Contracts Awarded to Cherokee Nation 3S, LLC for Legal and Other Support Services</u>	EOUSA	02/07/2022	2	Resolved	Ensure that a work plan for the MMIP Coordinator is developed and implemented and that contractor performance is evaluated as required by the contract.
30	<u>Audit of the Executive Office for United States Attorneys' Contracts Awarded to Cherokee Nation 3S, LLC for Legal and Other Support Services</u>	EOUSA	02/07/2022	3	Resolved	Review its contracts with CN3S and ensure that adequate contractor performance monitoring and quality assurance requirements are included on future similar contract vehicles.
31	<u>Audit of the Executive Office for United States Attorneys' Contracts Awarded to Cherokee Nation 3S, LLC for Legal and Other Support Services</u>	EOUSA	02/07/2022	4	Resolved	Ensure that contractor performance evaluations are completed and entered into CPARS in a timely manner as required by the FAR.
32	<u>Audit of the Executive Office for United States Attorneys' Contracts Awarded to Cherokee Nation 3S, LLC for Legal and Other Support Services</u>	EOUSA	02/07/2022	5	Resolved	Develop and implement a meaningful QASP or equivalent that would serve as a framework for post-award administration and oversight.
33	<u>Audit of the Executive Office for United States Attorneys' Contracts Awarded to Cherokee Nation 3S, LLC for Legal and Other Support Services</u>	EOUSA	02/07/2022	6	Resolved	Work with the Department of Labor, and as necessary CN3S, to include referrals to ensure CN3S develops and implements written procedures to ensure that contractor personnel, whether employed by CN3S or a subcontractor, are paid at least the minimum wage established by DOL WD.
34	<u>Audit of the Executive Office for United States Attorneys' Contracts Awarded to Cherokee Nation 3S, LLC for Legal and Other Support Services</u>	EOUSA	02/07/2022	7	Resolved	Work with the Department of Labor, and as necessary CN3S, to include referrals to ensure CN3S identifies and remedies any amounts owed to contractor personnel for incorrect wage rates, whether employed by CN3S or its subcontractor.
35	<u>Audit of the Executive Office for United States Attorneys' Contracts Awarded to Cherokee Nation 3S, LLC for Legal and Other Support Services</u>	EOUSA	02/07/2022	8	Resolved	Develop and implement policies and procedures to ensure DOL WDs are incorporated into its contracts when exercising option years.
36	<u>Audit of the Executive Office for United States Attorneys' Contracts Awarded to Cherokee Nation 3S, LLC for Legal and Other Support Services</u>	EOUSA	02/07/2022	9	Resolved	Work with the Department of Labor, and as necessary CN3S, to include referrals to ensure CN3S identifies and remedies any amounts owed to contractor personnel for incorrect H&W rates associated with the 2018 contract.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
37	<u>Audit of the Executive Office for United States Attorneys' Contracts Awarded to Cherokee Nation 3S, LLC for Legal and Other Support Services</u>	EOUSA	02/07/2022	10	Resolved	Modify the 2018 and 2020 contracts to include FAR clause 52.203-17 as required by federal policy.
38	<u>Audit of the Executive Office for United States Attorneys' Contracts Awarded to Cherokee Nation 3S, LLC for Legal and Other Support Services</u>	EOUSA	02/07/2022	11	Resolved	Ensure the COs assigned to the two contracts comply with the requirements in PGD 16-05, including: (1) provide contractors with the "Whistleblower Information for DOJ Contractors, Subcontractors, and Grantees" document (Whistleblower Information document); (2) direct the contractors and subcontractors to distribute the Whistleblower Information document to their employees; and (3) direct the contractor to provide an affirmative response notifying the DOJ of their successful distribution of the Whistleblower Information document to its employees, which should be added to the contract file.
39	<u>Audit of the Executive Office for United States Attorneys' Contracts Awarded to Cherokee Nation 3S, LLC for Legal and Other Support Services</u>	EOUSA	02/07/2022	12	Resolved	Work with CN3S to remedy \$4,160 in unsupported contractor hours billed and paid.
40	<u>Audit of the Executive Office for United States Attorneys' Contracts Awarded to Cherokee Nation 3S, LLC for Legal and Other Support Services</u>	EOUSA	02/07/2022	13	Resolved	Ensure EOUSA staff are complying with its own policies and procedures for receiving, reviewing, and processing contractor invoices, which includes ensuring that contractor invoices are adequately supported, and contractor timesheets are properly authorized.
41	<u>Audit of the Office of Justice Programs Grant Awarded to the Northwest New Jersey Community Action Partnership Phillipsburg, New Jersey</u>	OJP	01/31/2022	1	Resolved	Remedy \$10,843 in personnel costs associated with unsupported salary and fringe expenditures.
42	<u>Audit of the Office of Justice Programs Grant Awarded to the Northwest New Jersey Community Action Partnership Phillipsburg, New Jersey</u>	OJP	01/31/2022	2	Resolved	Ensures NORWESCAP develops and adheres to policies and procedures to adequately analyze and document the reasonableness of equipment when it uses grant funding to make equipment expenditures negotiated to a third party.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

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43	<u>Audit of the Office of Justice Programs Grant Awarded to the Northwest New Jersey Community Action Partnership Phillipsburg, New Jersey</u>	OJP	01/31/2022	3	Resolved	Remedy \$39,290 in unsupported equipment expenses that lacked an adequate justification of price demonstrating the costs were reasonable.
44	<u>Audit of the Office of Justice Programs Grant Awarded to the Northwest New Jersey Community Action Partnership Phillipsburg, New Jersey</u>	OJP	01/31/2022	4	Resolved	Ensures NORWESCAP adheres to policies and procedures to document compliance with federal procurement standards related to consultant expenditures including procedures to establish rate reasonableness and collection of adequate time and effort reports to support consultant expenditures.
45	<u>Audit of the Office of Justice Programs Grant Awarded to the Northwest New Jersey Community Action Partnership Phillipsburg, New Jersey</u>	OJP	01/31/2022	5	Resolved	Remedies \$24,625 in unsupported questioned costs related to consultant expenditures not supported by time and effort reports.
46	<u>Audit of the Office of Justice Programs Grant Awarded to the Northwest New Jersey Community Action Partnership Phillipsburg, New Jersey</u>	OJP	01/31/2022	6	Resolved	Ensures NORWESCAP adheres to policies and procedures to maintain records sufficient to detail the history of procurements that demonstrate compliance with federal procurement standards.
47	<u>Audit of the Office of Justice Programs Grant Awarded to the Northwest New Jersey Community Action Partnership Phillipsburg, New Jersey</u>	OJP	01/31/2022	7	Resolved	Remedies \$34,345 in unsupported contract expenditures that lacked sufficient documentation regarding the history of procurement.
48	<u>Audit of the Phelps County Sheriff's Department's Equitable Sharing Program Activities, Rolla, Missouri</u>	CRM	01/25/2022	1	Resolved	Ensure that the Phelps County SD develops, documents, and implements internal controls regarding its management of the Equitable Sharing Program that, at a minimum, meet the requirements of the 2018 Equitable Sharing Guide.
49	<u>Audit of the Phelps County Sheriff's Department's Equitable Sharing Program Activities, Rolla, Missouri</u>	CRM	01/25/2022	2	Resolved	Ensure the Phelps County SD separately accounts for its DOJ Equitable Sharing funds.
50	<u>Audit of the Phelps County Sheriff's Department's Equitable Sharing Program Activities, Rolla, Missouri</u>	CRM	01/25/2022	3	Resolved	Ensure that appropriate Phelps County personnel have access to and are properly trained in accessing the eShare Portal so that receipts can be properly identified, reconciled, and accounted for in a timely manner.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
51	<u>Audit of the Phelps County Sheriff's Department's Equitable Sharing Program Activities, Rolla, Missouri</u>	CRM	01/25/2022	4	Resolved	Remedy the \$4,744 in unsupported questioned costs.
52	<u>Audit of the Phelps County Sheriff's Department's Equitable Sharing Program Activities, Rolla, Missouri</u>	CRM	01/25/2022	5	Resolved	Ensure the Phelps County SD develops and implements procedures regarding the use of SAM to ensure compliance with equitable sharing guidance and that its prospective vendors are eligible to receive payment from federal sources.
53	<u>Audit of the Phelps County Sheriff's Department's Equitable Sharing Program Activities, Rolla, Missouri</u>	CRM	01/25/2022	6	Resolved	Ensure the Phelps County SD's property management practices result in an inventory system that accurately and adequately tracks property purchased with DOJ equitable sharing funds.
54	<u>Audit of the Phelps County Sheriff's Department's Equitable Sharing Program Activities, Rolla, Missouri</u>	CRM	01/25/2022	7	Resolved	Ensure the Phelps County SD develops formal, written ESAC report preparation procedures to help ensure consistent, accurate, and timely completion of ESAC reports.
55	<u>Audit of the Phelps County Sheriff's Department's Equitable Sharing Program Activities, Rolla, Missouri</u>	CRM	01/25/2022	8	Resolved	Determine whether the Phelps County SD should submit corrected ESAC reports for FYs 2018 through 2020.
56	<u>Audit of the Phelps County Sheriff's Department's Equitable Sharing Program Activities, Rolla, Missouri</u>	CRM	01/25/2022	9	Resolved	Require the Phelps County SD to establish procedures to ensure the appropriate and correct reporting of DOJ equitable sharing expenditures in its Single Audit Reports.
57	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Abused Adult Resource Center, Bismarck, North Dakota</u>	OVW	01/18/2022	1	Resolved	Coordinate with AARC to ensure performance reports submitted are accurate and fully supported.
58	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Abused Adult Resource Center, Bismarck, North Dakota</u>	OVW	01/18/2022	2	Resolved	Coordinate with AARC to ensure it develops and implements policies and procedures that include specific language regarding indirect costs and SAM verification.
59	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Abused Adult Resource Center, Bismarck, North Dakota</u>	OVW	01/18/2022	3	Resolved	Remedy \$6,860 in unsupported questioned costs related to \$4,542 in unsupported salary and fringe benefit personnel costs, and \$2,318 in unsupported other direct costs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
60	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Abused Adult Resource Center, Bismarck, North Dakota</u>	OVW	01/18/2022	4	Resolved	Coordinate with AARC to remedy \$4,132 in unallowable questioned other direct costs.
61	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Abused Adult Resource Center, Bismarck, North Dakota</u>	OVW	01/18/2022	5	Resolved	Coordinate with AARC to ensure it develops policies and procedures to ensure it discloses conflicts of interest.
62	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Abused Adult Resource Center, Bismarck, North Dakota</u>	OVW	01/18/2022	6	Resolved	Remedy the \$22,267 in unsupported questioned costs related to excess drawdowns.
63	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Abused Adult Resource Center, Bismarck, North Dakota</u>	OVW	01/18/2022	7	Resolved	Coordinate with AARC to ensure FFRs submitted are accurate and fully supported.
64	<u>Management Advisory Memorandum: Recommendation Regarding Lack of Department of Justice Process for Promotion of White House Liaison</u>	JMD	01/05/2022	1	Response Not Yet Due	JMD consider developing protocols for White House Liaison promotions that specify who should sign the Form 1019 in the place of the White House Liaison; ensure the personnel action is properly authorized; and establish when, or whether, the White House Liaison should be recused from the process.
65	<u>Audit of the Office of Justice Programs Cooperative Agreement Awarded to Prince George's County Government Upper Marlboro, Maryland</u>	OJP	01/04/2022	1	Resolved	Coordinate with PGPD to implement policies and procedures to report accurate performance metrics, supported by valid and auditable source documents, for future DOJ awards.
66	<u>Audit of the Office of Justice Programs Cooperative Agreement Awarded to Prince George's County Government Upper Marlboro, Maryland</u>	OJP	01/04/2022	2	Resolved	Require the County to implement policies and procedures to verify, for future DOJ awards, that contractors, consultants, and individuals it plans to conduct business with have not been precluded from receiving federal funds.
67	<u>Audit of the Office of Justice Programs Cooperative Agreement Awarded to Prince George's County Government Upper Marlboro, Maryland</u>	OJP	01/04/2022	3	Resolved	Require that PGPD work with the County's Office of Finance to ensure that PGSAO reconciles award expenses to the general ledger prior to making a reimbursement request for these costs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
68	<u>Audit of the Office of Justice Programs Cooperative Agreement Awarded to Prince George's County Government Upper Marlboro, Maryland</u>	OJP	01/04/2022	4	Resolved	Remedy \$13,902 in questioned costs related to unallowable overtime charges.
69	<u>Audit of the Office of Justice Programs Cooperative Agreement Awarded to Prince George's County Government Upper Marlboro, Maryland</u>	OJP	01/04/2022	5	Resolved	Remedy \$10,723 in questioned costs related to unallowable fringe benefit charges.
70	<u>Audit of the Office of Justice Programs Cooperative Agreement Awarded to Prince George's County Government Upper Marlboro, Maryland</u>	OJP	01/04/2022	6	Resolved	Require PGPD to implement a policy and procedure to ensure matching costs are properly supported by the award close-out date. Such action must ensure that PGPD met its matching requirement and tracks all matching transactions in the County's financial system.
71	<u>Audit of the Orange County Sheriff's Department's Equitable Sharing Program Activities, Santa Ana, California</u>	CRM	01/03/2022	1	Resolved	Require OCSD to revise its ESAC reporting procedures to include reconciliation of the beginning and ending balances of its equitable sharing funds.
72	<u>Audit of the Orange County Sheriff's Department's Equitable Sharing Program Activities, Santa Ana, California</u>	CRM	01/03/2022	2	Resolved	Require OCSD to submit amended FYs 2018, 2019, and 2020 ESACs to reflect accurate balances of its equitable sharing accounting records.
73	<u>Audit of the Orange County Sheriff's Department's Equitable Sharing Program Activities, Santa Ana, California</u>	CRM	01/03/2022	3	Resolved	Work with OCSD to remedy the \$6,991 in unallowable renovation questioned costs.
74	<u>Audit of the Orange County Sheriff's Department's Equitable Sharing Program Activities, Santa Ana, California</u>	CRM	01/03/2022	4	Resolved	Ensure that OCSD establish policies and procedures to ensure that recurring journal voucher transactions are properly reviewed for compliance with the Equitable Sharing Guide.
75	<u>Audit of the Orange County Sheriff's Department's Equitable Sharing Program Activities, Santa Ana, California</u>	CRM	01/03/2022	5	Resolved	Ensure that OCSD implement policies and procedures to ensure timely and accurate physical inventories are conducted of all property purchased with equitable sharing funds, to include controlled assets, as appropriate.
76	<u>Audit of the Orange County Sheriff's Department's Equitable Sharing Program Activities, Santa Ana, California</u>	CRM	01/03/2022	6	Resolved	Ensure that OCSD implement controls to ensure suspension and debarment verification is performed and documented on vendors paid with equitable sharing funds, to include training vendors.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
77	<u>Audit of the Orange County Sheriff's Department's Equitable Sharing Program Activities, Santa Ana, California</u>	CRM	01/03/2022	7	Resolved	Ensure that OCSD make the necessary adjustments to maintain its equitable sharing funds in the same manner as appropriated funds.
78	<u>Audit of the Federal Prison Industries, Inc. Annual Financial Statements Fiscal Year 2021</u>	FPI	12/22/2021	1	Resolved	Design and implement policies and procedures to assess changes in accounting standards. (Updated)
79	<u>Audit of the Federal Prison Industries, Inc. Annual Financial Statements Fiscal Year 2021</u>	FPI	12/22/2021	2	Resolved	Enhance its training programs to enable staff to identify and respond to required accounting principles. (Updated)
80	<u>Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2021</u>	ALLDOJ	12/22/2021	1	Resolved	Design and implement a control for Justice Management Division (JMD) and the components to monitor and evaluate significant changes to operations or financial reporting processes within the Department that will identify, analyze, and respond to financial reporting risks, such as the adoption of new accounting standards, implementations and conversions of financially relevant systems, and utilization of new services organizations supporting the Department. (New)
81	<u>Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2021</u>	ALLDOJ	12/22/2021	2	Resolved	Design and implement effective internal control processes for JMD and the components to review and monitor service organization control risks to ensure that ineffective controls performed by service organizations are evaluated and appropriate compensating controls are designed and implemented. (New)
82	<u>Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2021</u>	ALLDOJ	12/22/2021	3	Resolved	Design, implement, and execute policies and procedures for JMD and the components to identify and investigate significant variances across all certified financial statements and notes. (New)
83	<u>Audit of the Federal Bureau of Prisons Annual Financial Statements Fiscal Year 2021</u>	BOP	12/22/2021	1	Resolved	Continue to provide training to personnel over existing policies and procedures related to financial statement preparation and review, as started at year-end, including when there are changes in personnel. (New)
84	<u>Audit of the Federal Bureau of Prisons Annual Financial Statements Fiscal Year 2021</u>	BOP	12/22/2021	2	Resolved	Continue to ensure reviews are performed by individuals with the appropriate knowledge and experience, as started at year-end. (New)

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
85	<u>Audit of the Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2021</u>	JMD	12/22/2021	1	Resolved	Finalize and implement its Asset Forfeiture Financial Management Guide to clarify accounting requirements for Asset Forfeiture Program participants to adhere to timely data input to Consolidated Asset Tracking System, including forfeiture decisions that directly impact the recognition of revenue. (New)
86	<u>Audit of the Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2021</u>	JMD	12/22/2021	2	Resolved	Develop new and/or enhance existing process controls for participating agencies and monitoring controls within the Asset Forfeiture Management Staff to ensure participating agencies timely record transactions. (New)
87	<u>Audit of the Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2021</u>	JMD	12/22/2021	3	Resolved	Enhance existing processes to analyze potential out-of-period revenue transactions, and formally document the evaluation results to include a consideration of materiality and the impact on the prior period financial statements. (New)
88	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Kentucky Office of Claims and Appeals, Frankfort, Kentucky</u>	OJP	12/20/2021	1	Resolved	Require the Kentucky OCA to develop and implement procedures for accurately completing its Crime Victim Compensation State Certification Forms.
89	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Kentucky Office of Claims and Appeals, Frankfort, Kentucky</u>	OJP	12/20/2021	2	Resolved	Require the Kentucky OCA to develop and implement policies and procedures that ensure it retains grant-related records in accordance with the Department of Justice (DOJ) Grants Financial Guide.
90	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Kentucky Office of Claims and Appeals, Frankfort, Kentucky</u>	OJP	12/20/2021	3	Resolved	Require the Kentucky OCA to develop and implement policies and procedures to monitor compliance with award conditions.
91	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Kentucky Office of Claims and Appeals, Frankfort, Kentucky</u>	OJP	12/20/2021	4	Resolved	Remedy the \$13,003 in unsupported costs related to two approved claims paid with FY 2016 grant funds that lacked sufficient supporting documentation.
92	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Kentucky Office of Claims and Appeals, Frankfort, Kentucky</u>	OJP	12/20/2021	5	Resolved	Work with the Kentucky OCA to assess the accuracy of the financial reports related to the 2016, 2017, and 2018 grants, and, if appropriate, require the Kentucky OCA to submit corrected financial reports for those that were previously misstated.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
93	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Kentucky Office of Claims and Appeals, Frankfort, Kentucky</u>	OJP	12/20/2021	6	Resolved	Require the Kentucky OCA to develop and implement policies and procedures for financial reporting that ensure the Kentucky OCA reports actual expenditures rather than drawdowns.
94	<u>Audit of Office of Justice Programs Cooperative Agreement Awarded to the Alamo Area Rape Crisis Center, dba the Rape Crisis Center, San Antonio, Texas</u>	OJP	12/13/2021	1	Resolved	Coordinate with RCC to ensure it develops and implements financial policies and procedures that include specific language regarding matching costs, contract award and management, and performance measurement and outcome assessment.
95	<u>Audit of Office of Justice Programs Cooperative Agreement Awarded to the Alamo Area Rape Crisis Center, dba the Rape Crisis Center, San Antonio, Texas</u>	OJP	12/13/2021	2	Resolved	Coordinate with RCC to remedy the \$235,336 in unsupported questioned costs related to \$566 in unsupported personnel costs, \$56,084 in unsupported contractor costs, \$50,036 in unsupported other direct costs, and \$128,650 in unsupported matching costs.
96	<u>Audit of Office of Justice Programs Cooperative Agreement Awarded to the Alamo Area Rape Crisis Center, dba the Rape Crisis Center, San Antonio, Texas</u>	OJP	12/13/2021	3	Resolved	Coordinate with RCC to remedy the \$101,321 in unallowable questioned costs related to \$36,010 in unallowable personnel costs, \$47,865 in unallowable contractor costs, and \$17,446 in unallowable other direct costs.
97	<u>Audit of Office of Justice Programs Cooperative Agreement Awarded to the Alamo Area Rape Crisis Center, dba the Rape Crisis Center, San Antonio, Texas</u>	OJP	12/13/2021	4	Resolved	Coordinate with RCC to ensure that current contract agreements are maintained for all contractors and consultants, contractors and consultants provide detailed invoices for actual services rendered prior to payment, it receives prior approval from OJP to exceed the standard consultant rate, and implements specific policies and procedures to adequately maintain all award documentation.
98	<u>Audit of Office of Justice Programs Cooperative Agreement Awarded to the Alamo Area Rape Crisis Center, dba the Rape Crisis Center, San Antonio, Texas</u>	OJP	12/13/2021	5	Resolved	Coordinate with RCC to ensure that it meets its matching requirement, maintains accounting records to track matching costs charged to the award, and implements new policies and procedures to ensure matching costs are properly supported.
99	<u>Audit of Office of Justice Programs Cooperative Agreement Awarded to the Alamo Area Rape Crisis Center, dba the Rape Crisis Center, San Antonio, Texas</u>	OJP	12/13/2021	6	Resolved	Coordinate with RCC to develop policies and procedures that ensures information reported in FFRs is accurate and supported.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
100	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Camden County Police Department, Camden, New Jersey</u>	COPS	11/17/2021	1	Resolved	Ensure CCPD maintains adequate documentation that reflects the grant-funded officers' progress and achievements in enhancing CCPD's community policing efforts, particularly within the primary focus and problem area.
101	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Camden County Police Department, Camden, New Jersey</u>	COPS	11/17/2021	2	Resolved	Ensure CCPD develops and implements policies and procedures to ensure accomplishments reported in its progress reports are adequately supported and accurately reflect grant activities during the reporting period.
102	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Camden County Police Department, Camden, New Jersey</u>	COPS	11/17/2021	3	Resolved	Ensure CCPD develops and implements policies and procedures to ensure sworn officer positions awarded under the CHP award are retained with state or local funds for a minimum of 12 months following the conclusion of 36 months of federal funding for each position.
103	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Camden County Police Department, Camden, New Jersey</u>	COPS	11/17/2021	4	Resolved	Require CCPD to establish a financial system that corrects internal control deficiencies and produces consistent, accurate, reliable, and verifiable reporting.
104	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Camden County Police Department, Camden, New Jersey</u>	COPS	11/17/2021	5	Resolved	Ensure CCPD develops and implements written policies and procedures for grant administration, including accounting and recordkeeping, to ensure compliance with applicable laws, regulations, and award terms and conditions.,
105	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Camden County Police Department, Camden, New Jersey</u>	COPS	11/17/2021	6	Resolved	Remedy the \$4,062,015 in unsupported questioned costs associated with CCPD's inadequate accounting records.
106	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Camden County Police Department, Camden, New Jersey</u>	COPS	11/17/2021	7	Resolved	Remedy \$291,411 in unallowable questioned costs associated with CCPD's excess drawdowns.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
107	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Camden County Police Department, Camden, New Jersey</u>	COPS	11/17/2021	8	Resolved	Remedy \$1,354,005 in questioned costs, representing unsupported required local match costs for both grants.
108	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Camden County Police Department, Camden, New Jersey</u>	COPS	11/17/2021	9	Resolved	Require CCPD to develop and implement policies and procedures to ensure accurate accounting of grant expenditures and local match, including payroll and fringe benefit expenditures, and ensure that records clearly demonstrate the local match contribution amount, source, and date.
109	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Camden County Police Department, Camden, New Jersey</u>	COPS	11/17/2021	10	Resolved	Ensure CCPD develops and implements written policies and procedures to ensure underlying records reconcile with the official accounting records to comply with budget management requirements.
110	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Camden County Police Department, Camden, New Jersey</u>	COPS	11/17/2021	11	Resolved	Ensure CCPD develops and implements written policies and procedures for drawing down award funds based on actual payroll data from the Camden County financial management system and retains that documentation.
111	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Camden County Police Department, Camden, New Jersey</u>	COPS	11/17/2021	12	Resolved	Ensure CCPD develops and implements written policies and procedures to ensure project costs, both federal share expenditures and local match expenditures, are adequately supported and reconciled with Camden County's financial management system.
112	<u>Audit of Superfund Activities in the Environment and Natural Resources Division for Fiscal Years 2019 and 2020</u>	ENRD	11/16/2021	1	Resolved	We recommend that ENRD remedy \$73,421 in questioned costs pertaining to unallowable case determination.
113	<u>Audit of Superfund Activities in the Environment and Natural Resources Division for Fiscal Years 2019 and 2020</u>	ENRD	11/16/2021	2	Resolved	We recommend that ENRD perform periodic reviews of cases within each section to confirm Superfund case designations.
114	<u>Management Advisory Memorandum: Impact of the Failure to Conduct Formal Policy Negotiations on the Federal Bureau of Prisons' Implementation of the FIRST STEP Act and Closure of Office of the Inspector General Recommendations</u>	BOP	11/15/2021	2	Resolved	Describe how the BOP will prioritize all policies pending negotiation with the national union, including those related to the FIRST STEP Act, and effectively reduce the backlog of policies slated to be negotiated.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
115	<u>Management Advisory Memorandum: Notification of Needed Upgrades to the Federal Bureau of Prisons' Security Camera System</u>	BOP	10/27/2021	1	Resolved	Develop a comprehensive strategic plan for transitioning to a fully digital security camera system that, among other things: a. identifies enhancements needed to address camera functionality and coverage deficiencies, b. provides cost projections and the BOP appropriations account to fund the upgrades, and c. includes an estimated timeline for completion of the work.
116	<u>Audit of the Federal Bureau of Investigation's Execution of Its Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons</u>	FBI	09/29/2021	4	Resolved	Develop and implement policy that describes the expectations for supervisory review of Woods Files. Specifically, this policy should clearly convey the requirement for reviewers to confirm support for all statements of fact in each application and provide for better evidence of the supervisory review process that goes beyond simply signing the Woods Form. As part of this policy modification, the FBI should also consider options for incorporating an element of independent verification of the Woods File during the FISA application process.
117	<u>Audit of the Federal Bureau of Investigation's Execution of Its Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons</u>	FBI	09/29/2021	5	Resolved	Provide NSD OI the results of the CDC accuracy reviews as they are completed and incorporate this requirement into appropriate FBI policy.
118	<u>Audit of the Federal Bureau of Investigation's Execution of Its Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons</u>	FBI	09/29/2021	6	Resolved	Enhance the CDC accuracy review process to ensure necessary corrective actions are, in fact, taken to address deficiencies identified in CDC accuracy reviews and that these actions are documented.
119	<u>Audit of the Federal Bureau of Investigation's Execution of Its Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons</u>	FBI	09/29/2021	7	Resolved	Designate a headquarters entity with responsibility for ensuring accountability and efficacy of the Woods Procedures across the FBI.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
120	<u>Audit of the Federal Bureau of Investigation's Execution of Its Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons</u>	NSD	09/29/2021	9	Resolved	Ensure NSD OI's oversight efforts (such as its trends report activities) incorporate a timely, strategic assessment of identified errors from accuracy reviews, including analysis of potential underlying causes, and actively monitor FBI efforts to address NSD OI's recommendations.
121	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	1	Resolved	Update FBI policy to cover summary dismissal, including the criteria for its use, as well as the procedural and oversight processes that differentiate summary dismissal from other adverse disciplinary actions.
122	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	2	Resolved	Update FBI policy to cover last chance agreements, including eligibility criteria and standard conditions included in the agreements.
123	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	4	Resolved	Ensure that FBI OPR has the information it needs to adjudicate all cases by ensuring that every FBI misconduct investigation is completed, regardless of whether the subject separates.
124	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	5	Resolved	Write a memorandum for each misconduct file documenting a substantiation decision and the evidence supporting it in all cases in which an employee separates under inquiry.
125	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	6	Resolved	Assess the capabilities of available electronic recordkeeping platforms and identify ways for the FBI's Office of Professional Responsibility to improve its efficiency through increased use of one or more of these platforms.
126	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	1	Resolved	Ensure that the VCCB develop an operational manual to include the procedures regarding the processes for handling victim compensation claims from receipt of application to final distribution of payments, record retention requirements, and other processes performed within the VCCB.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
127	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	2	Resolved	Ensure the VCCB establish controls and develop formalized procedures for preparing its annual Crime Victim State Certification Form and retaining copies of submitted certifications and documentation supporting the reported information.
128	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	3	Resolved	Work with the VCCB to determine if its 2015 – 2020 Crime Victim State Certification Forms accurately reflected all activity and payments and take necessary steps to recover any awarded funds as appropriate.
129	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	4	Resolved	Ensure that the VCCB take immediate steps to address the issues we identified by documenting procedures for compiling its annual and quarterly performance reports and ensure that the information reported is accurate and fully supported.
130	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	5	Resolved	Ensure that the VCCB establishes procedures for monitoring its compliance with grant special conditions.
131	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	6	Resolved	Work with the VCCB to ensure that records retention procedures are developed and implemented so that important and required documentation is retained in accordance with applicable criteria, and to ensure that the VCCB updates its grant ledgers to reflect all activity including any adjustments for cancelled grant expenditures.
132	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	7	Resolved	Work with the VCCB to remedy \$242,288 in questioned costs related to unsupported victim compensation payments.
133	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	8	Resolved	Ensure that the VCCB follow applicable guidance regarding expense category limitations.
134	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	9	Resolved	Work with the VCCB to remedy \$6,540 in questioned costs related to unallowable victim compensation payments.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
135	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	1	Resolved	In coordination with JMD, implement policies and procedures to ensure that contracting duties are: (1) retained by Tax Division contracting officers; or (2) appropriately designated to other qualified Tax Division personnel who have received a written designation letter and training commensurate with the duties being designated.
136	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	2	Resolved	Determine whether the Tax Division Guidance is needed, and if it is, update the guidance and ensure trial attorneys have and use the updated guidance as intended.
137	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	3	Resolved	Ensure that it develops written acquisition plans, in accordance with Federal Acquisition Regulation (FAR) requirements, for its expert witness services contracts.
138	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	4	Resolved	Ensure its expert witness services contracts are supported with adequate and documented market research in accordance with FAR requirements.
139	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	5	Resolved	Ensure that its contracting officers conduct adequate price reasonableness determinations and obtain certified cost or pricing data, where necessary, to ensure the Tax Division is in compliance with the FAR and is receiving a fair and reasonable price for expert witness services.
140	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	6	Resolved	Incorporate the mandatory whistleblower protections in all ongoing expert witness services contracts greater than \$250,000 as prescribed in FAR Subpart 3.908-9 and ensure the necessary whistleblower protections are incorporated on future contracts.
141	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	7	Resolved	Require future invoices for contract expert witness services to contain adequate descriptions that clearly tie to contract deliverables.
142	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	8	Resolved	Reiterate to all staff involved in the review and approval of invoices their responsibilities in the invoice review process and ensure this staff has all necessary guidance and policy to fully execute their responsibilities.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
143	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	9	Resolved	Remedy the \$9,350 in unsupported expert witness services costs.
144	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	10	Resolved	Remedy the \$13,016 in unallowable labor costs and \$1,930 in unallowable travel costs.
145	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	11	Resolved	Ensure quality assurance surveillance plans are developed and documented in compliance with FAR requirements and support the Tax Division's efforts to receive the services in accordance with the contract terms and conditions listed in the statement of work.
146	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	13	Resolved	Ensure that appropriate official contracting personnel are involved in future contract modifications to reduce the risk of FAR non-compliance.
147	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	14	Resolved	Establish guidance to enhance its invoice review process to include a determination of whether the services provided are expert witness services or litigative consulting.
148	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	15	Resolved	Disseminate JMD guidance on the use of the Fees and Expenses for Witnesses (FEW) Appropriation to all relevant staff, including its trial attorneys.
149	<u>Review of the Institutional Hearing and Removal Program Expansion for Federal Inmates</u>	JMD	09/22/2021	1	Resolved	Develop performance metrics to assess the effect of the Institutional Hearing and Removal Program expansion and assess the program itself, including the roles and responsibilities each agency will have related to performance metrics, data collection, and evaluation.
150	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc., Houma, Louisiana</u>	OVW	09/21/2021	1	Resolved	Ensure that The Haven develops and implements procedures to ensure subrecipient data required for performance reports is obtained timely for use in performance reports.
151	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc., Houma, Louisiana</u>	OVW	09/21/2021	2	Resolved	Ensure that The Haven obtains training and assistance on procedures for reporting performance data.
152	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc., Houma, Louisiana</u>	OVW	09/21/2021	3	Resolved	Ensure that The Haven submits its client selection criteria for approval for the Legal Assistance Program grant.
153	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc., Houma, Louisiana</u>	OVW	09/21/2021	4	Resolved	Ensure that The Haven develops and implements written policies and procedures for subrecipient performance and financial monitoring.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
154	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc., Houma, Louisiana</u>	OVW	09/21/2021	5	Resolved	Ensure that The Haven maintains documentation for all communication with its subrecipients.
155	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc., Houma, Louisiana</u>	OVW	09/21/2021	6	Resolved	Ensure that The Haven develops and implements policies and procedures to document its calculation of indirect costs in accordance with the DOJ Grants Financial Guide.
156	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc., Houma, Louisiana</u>	OVW	09/21/2021	7	Resolved	Ensure that The Haven modifies its accounting system to ensure that requirements for recording and reporting on grant transactions are met and records are properly maintained.
157	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc., Houma, Louisiana</u>	OVW	09/21/2021	8	Resolved	Require The Haven develop and implement procedures to ensure that both required signatures are provided for checks greater than \$1,000.
158	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc., Houma, Louisiana</u>	OVW	09/21/2021	9	Resolved	Ensure that The Haven submits a Grant Adjustment Modification (GAM) if it intends to carry out the proposed scope changes to ensure completion of its project goals and objectives.
159	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc., Houma, Louisiana</u>	OVW	09/21/2021	10	Resolved	Ensure that The Haven promptly assess its planned grant expenditures for the remaining 9 months of the Legal Assistance Program grant and request approval for a no-cost extension of the grant period, if necessary.
160	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc., Houma, Louisiana</u>	OVW	09/21/2021	11	Resolved	Ensure that The Haven develops and implements written policies and procedures for preparing and submitting drawdown requests and clearly defines the roles and responsibilities for its staff.
161	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc., Houma, Louisiana</u>	OVW	09/21/2021	12	Resolved	Ensure that all staff responsible for grant financial management are trained on policies and procedures for preparing drawdowns.
162	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc., Houma, Louisiana</u>	OVW	09/21/2021	13	Resolved	Require The Haven to correct the Federal Financial Reports (FFRs).
163	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc., Houma, Louisiana</u>	OVW	09/21/2021	14	Resolved	Ensure The Haven develops and implements policies and procedures to ensure that FFRs are accurate and timely submitted.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
164	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	1	Resolved	Clarify its reporting requirements to ensure AEAP grantees are properly reporting metrics on performance reports.
165	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	2	Resolved	Ensure that its AEAP performance reporting template requires the grantee to identify the period of performance for which performance data is being reported.
166	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	3	Resolved	Develop and implement guidance for AEAP grantees relating to the appropriate use of CVF program funding (including victim assistance, victim compensation, and AEAP) when responding to a mass violence incident in accordance with the DOJ Grants Financial Guide.
167	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	4	Resolved	Implement controls to ensure adequate separation of duties for the VOCP.
168	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	5	Resolved	Ensure the VOCP website, including its victim applications, contain accurate information for the public.
169	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	6	Resolved	Determine if its state certification forms included amounts that were overstated, resulting in funds awarded in error, and if so to take the necessary steps to recover those funds.
170	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	7	Resolved	Submit a corrected FY 2019 state certification form to ensure that the FY 2021 victim compensation award to the NDHHS is correct.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
171	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	8	Resolved	Develop and implement procedures to ensure state certification forms are completed accurately, and that documentation used to prepare the forms are being maintained as required by federal and NDHHS policy.
172	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	9	Resolved	Develop and implement a plan to spend or return the remaining AEAP grant funds in a judicious and timely manner.
173	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	10	Resolved	Ensure that it maintains support for the performance data used at the time of reporting to OVC and thereby enable reconciliation of reported data with its internal records.
174	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	11	Resolved	Establish and implement internal controls to ensure its performance reports are accurate.
175	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	12	Resolved	Review its process for the denial of rape and sexual assault claims and ensure that victims of rape or sexual assault are properly informed of how to receive victim compensation benefits.
176	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	13	Resolved	Ensure its Financial Points of Contact complete OJP's required trainings and evidence of those trainings are maintained as required by federal policy.
177	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	14	Resolved	Develop and implement controls to help mitigate the risk of duplicate payments and remedy \$2,536 in duplicate victim compensation payments.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
178	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	15	Resolved	Properly track and account for all federal funds awarded, drawn down, and expended, including VOCA victim compensation and AEAP grant funds.
179	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	16	Resolved	Ensure federal funds budgeted and received for one project are not used to support another and accurate budget categories are utilized to identify all grant-related costs in its accounting records.
180	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	17	Resolved	Implement controls to ensure adequate user rights and permissions are established in its claims database.
181	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	18	Resolved	Document, track, and review users' access to the claims database and ensure all former employees and contractors no longer have access to the system.
182	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	19	Resolved	Remedy \$24,521 in unallowable victim compensation payments.
183	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	20	Resolved	Implement controls to ensure victim compensation claims are accurate and supported, and adequate documentation to support the claim is being maintained.
184	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	21	Resolved	Remedy \$8,664 in unsupported victim compensation payments.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
185	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	22	Resolved	Remedy \$248 in unallowable subrecipient non-personnel expenditures.
186	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	22	Resolved	Remedy \$41,007 in unsupported subrecipient non-personnel expenditure.
187	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	23	Resolved	Remedy \$79,479 in unsupported subrecipient personnel expenditures.
188	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	24	Resolved	Remedy \$14,241 in unallowable subrecipient personnel expenditures.
189	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	25	Resolved	Remedy \$190,400 in unsupported administrative costs.
190	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	26	Resolved	Develop and implement a plan to review all subrecipients' AEAP programmatic and fiscal activities during on-site visits.
191	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	27	Resolved	Increase monitoring of high-risk subrecipients as required by the NDHHS's policy.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
192	<u>Audit of the Federal Bureau of Investigation's Efforts to Notify Victims of Child Sexual Abuse Material</u>	FBI	09/20/2021	1	Resolved	Develop and implement clearly defined procedures that establish a process by which all current and future victims of child sexual abuse material are properly notified of their rights in each case where their image appears, consistent with federal law and the Attorney General Guidelines (AG Guidelines).
193	<u>Audit of the Federal Bureau of Investigation's Efforts to Notify Victims of Child Sexual Abuse Material</u>	FBI	09/20/2021	2	Resolved	Complete the in-depth review of all series that the FBI told the OIG it was performing as part of its mitigation plan to determine whether distribution of images has occurred, and use the results of the review to provide, where possible, notification to all victims.
194	<u>Audit of the Federal Bureau of Investigation's Efforts to Notify Victims of Child Sexual Abuse Material</u>	FBI	09/20/2021	3	Resolved	Update the publicly available information for victims of child sexual abuse material to ensure the information provided is consistent with its current procedures for notification to all victims.
195	<u>Audit of the Federal Bureau of Investigation's Efforts to Notify Victims of Child Sexual Abuse Material</u>	FBI	09/20/2021	4	Resolved	Ensure all identified series either have Notification Preference Forms on file or maintain complete information on the status of efforts to acquire the forms.
196	<u>Audit of the Federal Bureau of Investigation's Efforts to Notify Victims of Child Sexual Abuse Material</u>	FBI	09/20/2021	5	Resolved	Establish a standard process for obtaining victim information that is readily available from sources other than completed Notification Preference Forms to achieve a more comprehensive tracking system for victims.
197	<u>Audit of the Federal Bureau of Investigation's Efforts to Notify Victims of Child Sexual Abuse Material</u>	FBI	09/20/2021	6	Resolved	Ensure the use of a single tracking system, such as the Child Pornography Victim Assistance Program (CPVA) tracking system or another system, as the: (1) comprehensive, reliable, and central repository for all victim information, to include information available from other sources such as Sentinel; and (2) source of all reports regarding the status of victim notification information and preferences.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
198	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	DEA	09/20/2021	4	Resolved	Assess the different needs of end-users of covert audio and video equipment to identify appropriate performance measures to be included in future contracts. Each agency should consider cost and availability of items procured, timely ordering and delivery of equipment, and other relevant factors.
199	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	ATF	09/20/2021	4	Resolved	Assess the different needs of end-users of covert audio and video equipment to identify appropriate performance measures to be included in future contracts. Each agency should consider cost and availability of items procured, timely ordering and delivery of equipment, and other relevant factors.
200	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	4	Resolved	Assess the different needs of end-users of covert audio and video equipment to identify appropriate performance measures to be included in future contracts. Each agency should consider cost and availability of items procured, timely ordering and delivery of equipment, and other relevant factors.
201	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	5	Resolved	Develop and implement a quality assurance surveillance plan for audio and video equipment contracts, as required by the FAR. This plan should ensure adequate oversight of contractor performance and expenditures incurred under the contract.
202	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	6	Resolved	Complete the wall-to-wall inventory originally scheduled in 2020 to ensure that all items purchased from ADS are properly tracked in each agency's property management system according to agency policy.
203	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	DEA	09/20/2021	7	Resolved	Improve processes to ensure that security risks are adequately assessed during acquisition planning, and appropriately mitigate those risks after the contract has been executed.
204	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	7	Resolved	Improve processes to ensure that security risks are adequately assessed during acquisition planning, and appropriately mitigate those risks after the contract has been executed.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
205	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	DEA	09/20/2021	8	Resolved	Implement policies and procedures to ensure that future contracts appropriately address the risks and performance outcomes identified during acquisition planning.
206	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	8	Resolved	Implement policies and procedures to ensure that future contracts appropriately address the risks and performance outcomes identified during acquisition planning.
207	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	9	Resolved	Enhance policies and procedures to ensure that orders containing time-and-materials elements include negotiated labor rates that identify the contractor's wages, overhead, general and administrative expenses, profit, and actual costs of materials. The FBI should ensure that these contracts are compliant with all FAR requirements.
208	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	10	Resolved	Remedy \$1,475,388 in unsupported time-and-materials costs incurred under the contract by reviewing each delivery order, accompanying invoices, and cost information from ADS to determine: (1) the price reasonableness of each expense; and (2) further detail on the expense incurred, including which portion of the <u>expense is cost versus profit.</u>
209	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	DEA	09/20/2021	12	Resolved	Review and revise, as appropriate, its policies and procedures to ensure that a qualified contracting official reviews and approves all invoices for audio and video equipment.
210	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	DEA	09/20/2021	13	Resolved	Review and revise, as appropriate, its policies and procedures to ensure that CORs are completing the contracting duties delegated by the contracting officer and meet the requirements of existing DEA guidance.
211	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	1	Resolved	Ensure that when the Kentucky JPSC identifies the need to alter its funding plans in order to spend the entire VOCA award, the Kentucky JPSC creates a plan to reallocate VOCA funding that complies with Uniform Guidance requirements, to include notifying subrecipients prior to the reallocation of funding.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
212	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	2	Resolved	Coordinate with the Kentucky JPSC to reexamine the appropriateness of the Kentucky JPSC's subaward for its new statewide project to ensure it is a good use of federal funds.
213	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	3	Resolved	Provide the Kentucky JPSC with an appropriate level of technical assistance to identify ways that it can facilitate and enhance the subaward process to improve the timeliness of award notifications.
214	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	5	Resolved	Remedy the \$24,105 of unallowable personnel administrative costs.
215	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	6	Resolved	Remedy the \$129,646 of unsupported non-personnel administrative expenditures.
216	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	7	Resolved	Remedy the \$7,698 of unallowable non-personnel administrative expenditures.
217	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	8	Resolved	Remedy the \$377,509 of unsupported subrecipient expenditures.
218	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	9	Resolved	Remedy the \$47,619 of unallowable subrecipients expenditures.
219	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	10	Resolved	Ensure that the Kentucky JPSC implements a formal written policy documenting its drawdown process, which should account for reconciling the amounts to be drawn down to supporting expenditure data, review by appropriate personnel, and maintaining adequate supporting documentation for amounts drawn down.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
220	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	11	Resolved	Remedy the unsupported costs of \$214,787, \$390,364, and \$132,615, totaling \$737,766, for excess funds drawn down through September 16, 2020, on the 2016, 2017, and 2018 VOCA awards, respectively, plus any additional excess funds drawn down since that date.
221	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	12	Resolved	Remedy the \$236,442 of unsupported matching costs.
222	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	13	Resolved	Require the Kentucky JPSC to implement a formal written policy regarding its FFR preparation process to help ensure the information being reported is reconciled to supporting data and is reviewed by appropriate personnel and that data supporting the amounts reported is maintained.
223	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	14	Resolved	Require the Kentucky JPSC to correct and resubmit, as necessary, any inaccurate FFRs for grants that are still ongoing.
224	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	15	Resolved	Ensure that the Kentucky JPSC conducts its programmatic and financial site visits in a timely manner in compliance with its monitoring policy.
225	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	16	Resolved	Ensure that the Kentucky JPSC monitors subrecipient audit findings and, when appropriate, issues management decisions for relevant findings reported in subrecipients' single audits.
226	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	17	Resolved	Coordinate with the Kentucky JPSC to reiterate through training of its grants management staff the importance of financial requirements to help ensure a more robust financial review and provide reasonable assurance that subrecipient charges to VOCA grants are supported and in compliance with grant requirements.
227	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	18	Resolved	Ensure that the Kentucky JPSC evaluates the potential conflict of interest arising from a contract between a subrecipient and a related organization and determine if action is required.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
228	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	19	Resolved	Ensure that the Kentucky JPSC revises its monitoring policies and procedures to include a review of potential conflicts of interest.
229	<u>Audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program</u>	CRM	09/13/2021	1	Resolved	Enhance its efforts in addressing participating agencies that fail to meet the ESAC submission deadlines. This includes enhancing its communication efforts with agencies as soon as a submission deadline is missed and working more closely with agencies to ensure compliance with submission deadlines.
230	<u>Audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program</u>	CRM	09/13/2021	2	Resolved	Amend its policies to add meaningful consequences for agencies that fail to submit timely ESACs.
231	<u>Audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program</u>	CRM	09/13/2021	3	Resolved	Make modifications to the RIM that creates a more comprehensive determination of risk and considers other risk factors such as the timeliness of ESAC submissions and agency attendance of training.
232	<u>Audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program</u>	CRM	09/13/2021	4	Resolved	Focus more of its compliance efforts on the highest-risk agencies identified in the RIM.
233	<u>Audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program</u>	CRM	09/13/2021	6	Resolved	Implement a centralized system for tracking all review recommendations, including those that identify questioned costs.
234	<u>Audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program</u>	CRM	09/13/2021	7	Resolved	Make improvements to its SOP to include specific details as to the situations and factors considered when making determinations regarding misspent funds. This update to the SOP should also include specific requirements for documenting the factors considered and the rationale for determinations made.
235	<u>Audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program</u>	CRM	09/13/2021	9	Resolved	Provide targeted training for financial personnel and those responsible for the administration of equitable sharing funds.
236	<u>Audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program</u>	CRM	09/13/2021	10	Resolved	Develop web-based training, or other remote mediums, that would allow for all participating agencies to receive training on a recurring basis.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
237	<u>Audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program</u>	CRM	09/13/2021	11	Resolved	Develop a training schedule that mandates cyclical training for all participating agencies, with advanced training to mitigate the risk of funds being misspent for those agencies identified as higher risk.
238	<u>Audit of the United States Marshals Service's Awarding and Administration of Sole-Source Contracts</u>	USMS	09/13/2021	1	Resolved	Complete its evaluation of the creation of a central, electronic contract filing system, and develop and implement procedures sufficient to ensure all contract files and documentation are maintained as required, and readily accessible through the system.
239	<u>Audit of the United States Marshals Service's Awarding and Administration of Sole-Source Contracts</u>	USMS	09/13/2021	2	Resolved	Update its internal audit plan to include reviews of intergovernmental agreements (IGA) to ensure they are being issued and administered consistent with USMS procurement policy and other related laws and regulations.
240	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	7	Resolved	Remedy \$8,602 in unsupported direct costs including \$3,039 under Award Number 2015-TA-AX-K020, \$2,745 under Award Number 2016-TA-AX-K009, and 3 transactions, totaling \$2,819 under Award Number 2017-TA-AX-K028.
241	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	8	Resolved	Coordinate with Inspire Action to enhance its policy and procedures to ensure compliance with the DOJ Grants Financial Guide as it relates to gratuity.
242	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	9	Resolved	Remedy \$1,637 in unallowable direct costs including \$430 under Award Number 2016-TA-AX-K009, and \$1,207 under Award Number 2017-TA-AX-K028.
243	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	12	Resolved	Remedy \$31,414 in unsupported contractual costs, including \$3,502 under Award Number 2015-TA-AX-K020, \$10,805 under Award Number 2016-TA-AX-K009, \$17,106 under Award Number 2017-TA-AX-K028.
244	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	13	Resolved	Remedy the \$12,393 in unallowable contractual costs including \$3,193 under Award Number 2015-TA-AX-K020, \$4,000 under Award Number 2016-TA-AX-K009, and \$5,200 under Award Number 2017-TA-AX-K028.
245	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	17	Resolved	Remedy \$18,393 in unsupported subrecipient costs, including \$16,250 from Award Number 2015-TA-AX-K020 and \$2,143 from Award Number 2017-TA-AX-K028.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
246	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	3	Resolved	Review its updated Foreign Counterparts policy and determine the best process for incorporating guidance and internal controls over the risk assessment and suitability determination process, as well as a structure for the continual review of funding to and activities performed by these partners.
247	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	7	Resolved	Ensure that each Country Office with an SIU or Non-SIU VU develops written protocol documents for the processing of payments using operational funds and provides these documents to OFP. In addition, ensure that information regarding all sources of funding provided to the SIU and Non-SIU VU Programs is made available to program officials, relevant stakeholders, and decision makers.
248	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	8	Resolved	Confer with appropriate Department officials to ensure that the DEA's interpretation and related actions fulfill the OMB requirements to accurately report the amount of foreign assistance provided to various host nation law enforcement entities.
249	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	9	Resolved	Review its processes and determine the most effective method for tracking and assessing SIU and Non-SIU VU performance and accomplishments in order to demonstrate the collective success of the programs.
250	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	10a	Resolved	Evaluate SIUNet to determine what updates are necessary to enhance internal controls over SIU and Non-SIU VU data.
251	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	10b	Resolved	Evaluate SIUNet to identify necessary enhancements that will allow OFP to fulfill oversight responsibilities and to obtain a more comprehensive view of Country Offices' management of SIUs and Non-SIU VUs.
252	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	1a	Resolved	Conduct a comprehensive risk assessment of DEA's efforts to provide assistance to foreign law enforcement units to determine what programmatic improvements that are necessary to enhance oversight of DEA sensitive information and bilateral operations.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
253	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	1b	Resolved	Conduct a comprehensive risk assessment of DEA's efforts to provide assistance to foreign law enforcement to develop a robust and prospective progress for performing after-action reviews of critical incidents involving foreign counterparts to ensure involvement of necessary headquarters components.
254	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	2a	Resolved	Improve its process for reporting and tracking critical incidents involving DEA-supported foreign law enforcement units by reinforcing to DEA Country Offices involved with SIUs and Non-SIU VUs the requirements and processes for critical incident and threat reporting and incorporating controls and requirements for reporting incidents involving any DEA-supported foreign law enforcement units that conduct bilateral operations and share information with the DEA.
255	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	2b	Resolved	Improve its process for reporting and tracking critical incidents involving DEA-supported foreign law enforcement units by implementing procedures that articulate specific roles and responsibilities within DEA headquarters to track critical incidents associated with DEA-supported foreign law enforcement units, monitor outcomes, and assess incidents to identify possible indicators of systemic issues that may require oversight enhancements and program improvements.
256	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	4a	Resolved	In regard to DEA-supported foreign law enforcement units operating outside of the DEA's formal SIU and Non-SIU VU programs—such as, but not limited to, units referred to generically as “vetted units”—coordinate with DEA Country Offices to obtain a full list of all foreign law enforcement units that have received any DEA- supported funding, training, equipment, or vetting.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
257	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	4b	Resolved	In regard to DEA-supported foreign law enforcement units operating outside of the DEA's formal SIU and Non-SIU VU programs—such as, but not limited to, units referred to generically as “vetted units”—coordinate with DEA Country Offices to determine the construct and purpose of these units.
258	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	4c	Resolved	In regard to DEA-supported foreign law enforcement units operating outside of the DEA's formal SIU and Non-SIU VU programs—such as, but not limited to, units referred to generically as “vetted units”—coordinate with DEA Country Offices to determine what conditions must be met by the “vetted unit” prior to receiving DEA or other U.S. government funding.
259	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	4d	Resolved	In regard to DEA-supported foreign law enforcement units operating outside of the DEA's formal SIU and Non-SIU VU programs—such as, but not limited to, units referred to generically as “vetted units”—coordinate with DEA Country Offices to determine what DEA policy or program area is responsible for headquarters oversight and management of these units.
260	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	5a	Resolved	Verify that all SIUs and Non-SIU VUs have necessary, current, and complete host nation agreements in place by evaluating SIU and Non-SIU VU policies to ensure they account for adequate oversight of all agreements.
261	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	5b	Resolved	Verify that all SIUs and Non-SIU VUs have necessary, current, and complete host nation agreements in place by determining whether to incorporate a process for program officials, relevant stakeholders, and decision makers to obtain, review, and monitor all MOUs for all SIUs and Non-SIU VUs going forward.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
262	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	6a	Resolved	Conduct a comprehensive review of all JWIPs installed and utilized by DEA-supported foreign law enforcement units to verify host nation agreement for the bilateral use of this equipment and identify the universe of foreign nationals who are trained on and have access to the JWIPs.
263	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	6b	Resolved	Conduct a comprehensive review of all JWIPs installed and utilized by DEA-supported foreign law enforcement units to develop a process and related controls to maintain and monitor host nation JWIP agreements and the universe of foreign nationals who are trained on and have access to the JWIPs.
264	<u>Notification of Concerns Regarding Lack of Department Policy Requiring Express Authorization of Department Attorneys to Participate in the Criminal or Civil Investigation or Prosecution of Former Clients</u>	ODAG	08/24/2021	1	Resolved	The Department should modify existing policy concerning a DOJ attorney's participation in a criminal or civil investigation or prosecution in which a former client is a witness, subject, target, defendant, or party, to ensure that any such participation does not cause a reasonable person to question that attorney's impartiality.
265	<u>Notification of Concerns Regarding Lack of Department Policy Requiring Express Authorization of Department Attorneys to Participate in the Criminal or Civil Investigation or Prosecution of Former Clients</u>	ODAG	08/24/2021	2	Resolved	The Department should consider what requirements, guidance, and/or training should be provided to any incoming Department attorneys entering service regarding their handling of potential conflicts arising from their representation of clients arising from their representation of clients prior to joining DOJ.
266	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Youth Collaboratory, Pittsburgh, Pennsylvania</u>	OJP	08/09/2021	1	Resolved	Ensure Youth Collaboratory improves its documentation to demonstrate its subrecipients are adhering to OJP's determination of suitability requirements.
267	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Texas Office of the Attorney General, Austin, Texas</u>	OJP	08/02/2021	2	Resolved	Work with the Texas OAG to determine the appropriate amount of funds, if any, to be reimbursed.
268	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Department of Justice's Human Resources Policies</u>	JMD	08/02/2021	1	Resolved	Conduct a review to identify all areas where DOJ policies do not reflect current regulations and OPM guidance and requirements and establish a plan of action that includes a timeline for addressing the identified deficiencies and gaps in Department policy.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
269	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Department of Justice's Human Resources Policies</u>	JMD	08/02/2021	2	Resolved	Ensure that it regularly monitors and updates the Department's HR policies, to include: (a) converting interim policy to permanent policy within 1 year, as applicable; and (b) deconflicting policies that contradict or supersede other policies.
270	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Department of Justice's Human Resources Policies</u>	JMD	08/02/2021	3	Resolved	Evaluate its process for reviewing and updating the Department's HR policies every 5 years to determine if more frequent periodic reviews may be necessary.
271	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Department of Justice's Human Resources Policies</u>	JMD	08/02/2021	4	Resolved	Prioritize its efforts to consolidate the Department's HR policies in a centralized location accessible to components and incorporate the relevant policies into DOJ Order 1200.1, as appropriate.
272	<u>Audit of the Criminal Division's Process for Incoming Mutual Legal Assistance Requests</u>	CRM	07/21/2021	3	Resolved	Develop a 3-5 year hiring and retention plan that considers OIA's challenges related to attrition and staffing, including posting vacancy announcement for planned departures, staffing for the Management and Administration team, and that also anticipates the growing demand for MLA requests, and the increased responsibilities under the forthcoming CLOUD Act agreements.
273	<u>Audit of the Criminal Division's Process for Incoming Mutual Legal Assistance Requests</u>	CRM	07/21/2021	4	Resolved	Coordinate with OIA to ensure it has adopted appropriate strategies to help reduce delays caused by supervisory review for the Cyber team, including updating its Deskbook or create specific policy for attorneys defining the standards attorneys need to meet to receive approval to file their own legal products; assessing the sufficiency of training opportunities for Cyber team attorneys; and assessing whether supervisory reviews are optimally delegated.
274	<u>Audit of the Criminal Division's Process for Incoming Mutual Legal Assistance Requests</u>	CRM	07/21/2021	5	Resolved	Develop a plan to evaluate replacing OIA's Oracle database, including requests for ALS funds to meet OIA's primary technology requirement, the modernization of OIA's case tracking and management system.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
275	<u>Audit of the Criminal Division's Process for Incoming Mutual Legal Assistance Requests</u>	CRM	07/21/2021	6	Resolved	Coordinate with CRM ITM to ensure OIA has access to CRM's Oracle Apex platform and support the automation of OIA's team trackers and leadership dashboards.
276	<u>Audit of the Criminal Division's Process for Incoming Mutual Legal Assistance Requests</u>	CRM	07/21/2021	7	Resolved	Coordinate with OIA to develop a plan to improve its training and outreach efforts including considering the creation of an external site of resources for foreign authorities.
277	<u>Audit of Selected Aspects of the Federal Bureau of Investigation's National Instant Criminal Background Check System</u>	FBI	07/14/2021	1	Resolved	Strengthen controls over the sale of firearms to out-of-state purchasers by updating the NICS background check to verify age requirements of an out-of-state firearm purchaser's state of residence and state of sale to ensure basic age eligibility.
278	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	1	Resolved	Ensure that the MNWC develop and implement a formal written process for reliably and accurately demonstrating that program goals and objectives are achieved, including a plan to solicit feedback from tribal domestic violence offices and to analyze feedback related to on-site T&TA.
279	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	2	Resolved	Coordinate with the MNWC to develop and implement formal written performance reporting policies and procedures to ensure that valid source documentation is maintained to support all data collected for each performance measure reported in its progress reports.
280	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	3	Resolved	Remedy the \$27,103 in expenditures incurred as a result of a special condition noncompliance, specifically: \$1,576 under Grant Number 2015-IW-AX-0010 and \$25,527 under Grant Number 2017-IW-AX-0018.
281	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	4	Resolved	Coordinate with the MNWC to develop and implement procedures to ensure compliance with all award special conditions.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
282	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	5	Resolved	Coordinate with the MNWC to ensure that going forward, MNWC has updated its accounting system to track income and expenditures by funding source.
283	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	6	Resolved	Ensure that MNWC update its policies and procedures to include a process for reviewing grant accounting records for duplicate expenditures, and rectifying all duplicate transactions identified.
284	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	7	Resolved	Ensure that the MNWC review accounting records to determine actual total income and total expenses under Grant Number 2017-IW-AX-0018.
285	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	8	Resolved	Coordinate with the MNWC to ensure it has developed effective controls for safeguarding federal funds such as clarifying signature requirements and performing routine and timely bank reconciliations.
286	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	9	Resolved	Ensure the MNWC develops formal written policies and procedures that ensures compliance with debarment and suspension requirements.
287	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	10	Resolved	Ensure that the MNWC develop written standards covering conflicts of interest and employee participation in selection, award, and administration of contracts.
288	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	11	Resolved	Ensure that the MNWC document its process for checking conflicts of interest and for verifying that contracts are not awarded to contractors or individuals on the List of Parties Excluded from Federal Procurement and Non-procurement Programs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
289	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	12	Resolved	Coordinate with the MNWC to ensure that the MNWC adopt policies and procedures that adequately address segregation of duties for procurement.
290	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	13	Resolved	Remedy the \$5,860 in unallowable personnel and fringe benefit costs under Grant Number 2016-IW-AX-0008.
291	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	14	Resolved	Remedy the \$27,892 in unsupported personnel and fringe benefit costs, specifically: a. \$13,794 under Grant Number 2015-IW-AX-0010; b. \$5,942 under Grant Number 2016-IW-AX-0008; and c. \$8,156 under Grant Number 2017-IW-AX-0018.
292	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	15	Resolved	Remedy the \$38,088 in unallowable travel costs, specifically: a. \$1,873 under Grant Number 2015-IW-AX-0010; b. \$32,760 under Grant Number 2016-IW-AX-0008; and c. \$3,455 under Grant Number 2017-IW-AX-0018.
293	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	16	Resolved	Remedy the \$83,277 in unsupported travel costs, specifically: a. \$27,410 under Grant Number 2015-IW-AX-0010; b. \$46,957 under Grant Number 2016-IW-AX-0008; and c. \$8,910 under Grant Number 2017-IW-AX-0018.
294	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	17	Resolved	Coordinate with the MNWC to enhance its travel procedure to ensure that all travelers requesting travel reimbursement submit documents to support travel expenses and to verify attendance at conferences, trainings, and meetings.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
295	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	18	Resolved	Remedy the \$16,656 in unallowable consulting fees and travel, specifically: a. \$8,085 under Grant Number 2016-IW-AX-0008; and b. \$8,571 under Grant Number 2017-IW-AX-0018.
296	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	19	Resolved	Remedy the \$23,836 in unsupported consulting fees and travel, specifically: a. \$6,665 under Grant Number 2015-IW-AX-0010; b. \$13,135 under Grant Number 2016-IW-AX-0008; and c. \$4,036 under Grant Number 2017-IW-AX-0018.
297	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	20	Resolved	Remedy, the \$15,119 in unallowable other direct costs and associated fees, specifically: a. \$280 under Grant Number 2015-IW-AX-0010; b. \$11,501 under Grant Number 2016-IW-AX-0008; and c. \$3,339 under Grant Number 2017-IW-AX-0018.
298	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	21	Resolved	Remedy the \$36,741 in unsupported other direct costs, specifically: a. \$17,942 under Grant Number 2015-IW-AX-0010; b. \$11,485 under Grant Number 2016-IW-AX-0008; and c. \$7,314 under Grant Number 2017-IW-AX-0018.
299	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	22	Resolved	Remedy the \$13,226 in unallowable supply costs and associated fees, specifically: a. \$2,709 under Grant Number 2015-IW-AX-0010; and b. \$10,517 under Grant Number 2016-IW-AX-0008.
300	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	23	Resolved	Coordinate with the MNWC to enhance its procurement procedures to ensure that federal funds are only used for allowable supplies, and outreach and awareness items and services.
301	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	24	Resolved	Remedy the \$5,395 in unsupported supply costs, specifically: a. \$1,986 under Grant Number 2015-IW-AX-0010; and b. \$3,409 under Grant Number 2016-IW-AX-0008.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
302	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	25	Resolved	Remedy the \$9,644 in unsupported equipment costs under Grant Number 2015-IW-AX-0010.
303	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	27	Resolved	Coordinate with the MNWC to ensure that it has updated its accounting system to ensure that actual expenditures can be compared to budgeted amounts for current and future grant awards and to ensure that the MNWC does not violate the 10-percent rule.
304	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	28	Resolved	Ensure MNWC develops formal written drawdown policies and procedures to ensure compliance with the DOJ Grants Financial Guide.
305	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	29	Resolved	Remedy the \$83,151 in expenditures incurred after the grants had ended, specifically: a. \$42,148 under Grant Number 2015-IW-AX-0010; and b. \$41,003 under Grant Number 2016-IW-AX-0008.
306	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	30	Resolved	Ensure that the MNWC implement policies and procedures to ensure the proper accounting and classification of award funds by establishing a system to adequately record, monitor, and track funds in order to properly report the correct amount of expenditures on its FFRs.
307	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	31	Resolved	Ensure that the MNWC establishes policies and procedures for accurately reporting program income and requesting approval from OVW program managers to add program income, if any, to awards.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
308	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/14/2021	3	Resolved	Develop a policy describing the circumstances, if any, under which telephonic interviews of alleged child abuse victims, including adults who had allegedly been victims of abuse as children, are appropriate.
309	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/14/2021	4	Resolved	Train FBI employees on the policies discussed in the first three recommendations and any changes made to them.
310	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/14/2021	1a	Resolved	Reassess its policies to more precisely describe for FBI employees when they are required to promptly contact and coordinate with applicable state and local law enforcement and social service agencies after receiving allegations of crimes against children that potentially fall under state jurisdiction, even when the allegations also potentially fall within the FBI's jurisdiction.
311	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/14/2021	1c	Resolved	Reassess its policies to clarify when interviews by Child/Adolescent Forensic Interviewers (CAFI) should be conducted of children and adults reporting allegations of abuse they experienced as children.
312	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/14/2021	1d	Unresolved	Reassess its policies to describe the circumstances under which victim services should be offered during Pre-Assessment or Assessment activities, such as when these phases take longer than expected, when a victim is interviewed as part of these phases, or when an initial complaint is transferred between field offices.
313	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/14/2021	2a	Resolved	Clarify its policies as to the type of approval required (including who is required to provide approval) when a supervisor conducts investigative activity or completes documentation that would require supervisory approval when conducted by a nonsupervisory Special Agent.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
314	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/14/2021	2c	Resolved	Clarify its policies as to what type of file FBI employees should use to retain documentation received during Pre-Assessment activities that continue for more than 5 days; and (d) if not, whether FBI employees should open an Assessment when the employees need more than 5 days to assess whether there are alleged violations of federal law and which field office has venue.
315	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OJP	07/13/2021	1	Resolved	Coordinate with White Bison to develop policies and procedures to ensure progress reports are accurate and fully supported.
316	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OJP	07/13/2021	2	Resolved	Remedy \$24,669 in unsupported questioned costs, related to \$16,854 in unsupported salary and fringe benefit personnel costs, \$6,155 in unsupported contractor costs, and \$1,660 in unsupported other direct costs.
317	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OJP	07/13/2021	3	Resolved	Remedy \$24,150 in unallowable costs, related to \$4,571 in unallowable questioned costs related to travel, accounting, and contractor expenses and \$19,579 in overallocated unallowable indirect costs.
318	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OJP	07/13/2021	4	Resolved	Ensure White Bison develops and implements policies and procedures for performance reporting, indirect costs, FFRs, drawdowns, and SAM verification.
319	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OVW	07/13/2021	4	Resolved	Ensure White Bison develops and implements policies and procedures for performance reporting, indirect costs, FFRs, drawdowns, and SAM verification.
320	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OJP	07/13/2021	5	Resolved	Ensure that White Bison develops policies and procedures to ensure it discloses any potential conflicts of interest.
321	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OVW	07/13/2021	5	Resolved	Ensure that White Bison develops policies and procedures to ensure it discloses any potential conflicts of interest.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
322	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OJP	07/13/2021	6	Resolved	Ensure White Bison implements and develops policies and procedures to ensure FFRs are accurate and supported.
323	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OVW	07/13/2021	6	Resolved	Ensure White Bison implements and develops policies and procedures to ensure FFRs are accurate and supported.
324	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	1a	Resolved	Take steps to address its persistent chaplaincy shortages and lack of diversity of religious affiliations represented within the BOP's chaplaincy by: a. Reassessing the existing education requirement for chaplaincy eligibility to enhance the prospect of attaining the BOP's target chaplain staffing level, or evaluate the benefits of implementing religion-specific educational requirements to account for differing requirements among religions.
325	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	1b	Resolved	Take steps to address its persistent chaplaincy shortages and lack of diversity of religious affiliations represented within the BOP's chaplaincy by: b. Reconsidering its age restriction for chaplains and coordinate with the Department of Justice to determine if age waivers should be expanded to a broader group of chaplaincy applicants.
326	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	1c	Resolved	Take steps to address its persistent chaplaincy shortages and lack of diversity of religious affiliations represented within the BOP's chaplaincy by: c. Reviewing the current Chaplain Trainee Program model to expand opportunities for current BOP staff and qualified external applicants with flexibilities that would avoid disqualifying otherwise suitable candidates due to restrictive educational barriers or pastoral experience requirements

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
327	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	2a	Resolved	Address the security weaknesses that result from its understaffed, overburdened, and diversity-challenged chaplaincy by: a. Strengthening existing policy to include clear guidance about when inmates may be permitted to lead religious services, which inmates should be prohibited from leading services, and minimum monitoring requirements for religious programs or services led by inmates.
328	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	2b	Resolved	Address the security weaknesses that result from its understaffed, overburdened, and diversity-challenged chaplaincy by: b. Determining the institutions without remote audio and video monitoring equipment in spaces where religious services are conducted and determine the feasibility of installing such equipment, or if the BOP is unable to install monitoring equipment at these facilities, it should take steps to ensure adequate monitoring is performed.
329	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	2c	Resolved	Address the security weaknesses that result from its understaffed, overburdened, and diversity-challenged chaplaincy by: c. Reexamine its practice of prohibiting recording audio and video feeds from chaplaincy services spaces alongside relevant federal law and regulations to help ensure that religious services are monitored to the greatest extent possible and are not misused by some inmates to engage in prohibited conduct.
330	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	2d	Resolved	Address the security weaknesses that result from its understaffed, overburdened, and diversity-challenged chaplaincy by: d. Ensuring that established security procedures regarding faith group lockers are followed and that the BOP policy includes clear procedures for inmate access.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
331	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	3a	Resolved	Ensure safe and robust chapel libraries throughout the BOP by: a. Performing a comprehensive review of the Chapel Library Database (CLD) at the CSB to strengthen existing policy or develop new policy that ensures an accurate inventory and timely review of newly introduced English and foreign language texts with suitability determinations that can more easily be shared among all BOP institutions.
332	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	3b	Resolved	Ensure safe and robust chapel libraries throughout the BOP by: b. Providing resources to assist chaplains in evaluating existing library materials, including foreign language media, that have not been previously reviewed and entered into the CLD to better ensure that those titles are added to the CLD or its replacement (if applicable).
333	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	3c	Resolved	Ensure safe and robust chapel libraries throughout the BOP by: c. Developing a centralized repository for BOP Chaplaincy Services related advisories to better ensure that important guidance for chaplains is current and consistent and provide chaplains with clear instructions for proper handling and disposal of religious texts deemed inappropriate for inmate use.
334	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	4a	Resolved	Improve its oversight of its minimally vetted chaplaincy services volunteers to better address the risk of inappropriate contact with inmates and to prevent rehiring of volunteers who were previously found to violate BOP policy by: a. Conducting a periodic analysis of volunteer contact information through its TRUVIEW system and clearly document any exception that was granted to specific volunteers, such as those in mentoring and transitional programs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
335	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	4b	Resolved	Improve its oversight of its minimally vetted chaplaincy services volunteers to better address the risk of inappropriate contact with inmates and to prevent rehiring of volunteers who were previously found to violate BOP policy by: b. Ensuring its volunteers and inmates are aware of its policy on prohibited contact and apply increased scrutiny to endorsements offered by previously disciplined volunteers.
336	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	4c	Resolved	Improve its oversight of its minimally vetted chaplaincy services volunteers to better address the risk of inappropriate contact with inmates and to prevent rehiring of volunteers who were previously found to violate BOP policy by: c. Ensuring that NAVS volunteer data profiles are accurate, complete, updated, and contain all necessary information so that volunteers terminated for violating BOP policy cannot seek volunteer roles at other BOP institutions.
337	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	5b	Resolved	Take steps to better support existing chaplaincy services staff and enable them to focus on their primary function of providing spiritual guidance by: b. Developing a sustainable strategy to mitigate staffing shortages caused by chaplaincy absences due to anticipatable periods of extended leave, such as military leave.
338	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	5c	Resolved	Take steps to better support existing chaplaincy services staff and enable them to focus on their primary function of providing spiritual guidance by: c. Removing responsibility for SMR program duties from field chaplains and centralizing it within the CSB to include the primary responsibility for researching and answering field chaplaincy inquires and to gain greater awareness of issues facing field chaplains.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
339	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	5d	Resolved	Take steps to better support existing chaplaincy services staff and enable them to focus on their primary function of providing spiritual guidance by: d. Leveraging existing resources and exploring potential enhancements and additional technological solutions for providing religious services, such as live streams, as an alternative to riskier options such as inmate-led services, which require stricter monitoring, and to enable greater diversity in the denominations for which services can be provided to a broader population of inmates.
340	<u>Audit of the Office of Justice Programs Internet Crimes Against Children Task Force Cooperative Agreement Awarded to the Montana Division of Criminal Investigation, Helena, Montana</u>	OJP	06/28/2021	2	Resolved	Establish formal guidance to all OJJDP ICAC Task Force Program funding recipients on the: (1) proper classification of affiliate agencies and (2) monitoring requirements for the lead ICAC task force agency on their affiliates. OJP should also ensure that the lead ICAC task force agency develop policies based on OJP's formal guidance.
341	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	1	Resolved	Remedy the \$886,495 in questioned costs related to the fact that there is no indication that OCADVSA achieved the stated goals and objectives of the closed awards.
342	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	2	Resolved	Coordinate with OCADVSA to ensure that it implements corrective actions and demonstrates documented progress for achieving the goals and objectives of its open award.
343	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	5	Resolved	Coordinate with OCADVSA to remedy the \$714,684 in unsupported questioned costs related to \$526,630 in unsupported personnel costs, \$30,418 in unsupported contractor costs, and \$157,636 in unsupported other direct costs.
344	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	7	Resolved	Remedy the \$107,413 in unallowable questioned costs related to the \$20,140 in unallowable contractor costs and \$87,273 in unallowable other direct costs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
345	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	11	Resolved	Coordinate with OCADVSA to develop policies and procedures that ensures information reported in FFRs is accurate and supported.
346	<u>Audit of the Department of Justice Policy on Body Worn Cameras</u>	ODAG	06/23/2021	3	Resolved	Coordinate with the Components, and any other DOJ agencies to whom a BWC program may apply, to assess the suitability of a single contract involving either multiple DOJ components or multiple federal agencies so as to leverage the purchasing power of the federal government to ensure maximum cost savings.
347	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice, Decatur, Georgia</u>	OJP	06/22/2021	3	Resolved	Ensure that the GDJJ develops and implements procedures to ensure that each progress report contains accurate information supported by verifiable documentation.
348	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice, Decatur, Georgia</u>	OJP	06/22/2021	21	Resolved	Ensure that the GDJJ updated procedures for drawdowns properly describe the appropriate support for each drawdown and all GDJJ staff involved in drawdown activities are fully trained in the updated procedures.
349	<u>Audit of the U.S. Marshals Service Judicial Security Activities</u>	USMS	06/15/2021	1	Resolved	Review OPI's Protective Intelligence Enterprise Reformation Plan and protective intelligence capabilities at other DOJ components such as the FBI and determine and pursue the actions necessary to achieve desired threat identification, assessment, and mitigation capabilities.
350	<u>Audit of the U.S. Marshals Service Judicial Security Activities</u>	USMS	06/15/2021	3	Resolved	Update the policies and standard operating procedures guiding its protective intelligence and threat assessment to ensure they align with approved practices.
351	<u>Audit of the U.S. Marshals Service Judicial Security Activities</u>	USMS	06/15/2021	4	Resolved	Establish policy guiding its proactive threat identification practices.
352	<u>Audit of the U.S. Marshals Service Judicial Security Activities</u>	USMS	06/15/2021	7	Resolved	Use its Publication 94 to develop a standard agenda of key topics for required annual security briefings to USMS-protected persons and revise its Judicial Security Policy Directive to require that these topics be briefed annually to each individual under its protection.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
353	<u>Audit of the U.S. Marshals Service Judicial Security Activities</u>	USMS	06/15/2021	8	Resolved	Revise its Judicial Security Policy Directive to require districts to use Form USM-50Z to track completion of required annual security briefings to USMS-protected persons and retain those records, by fiscal year, for a period of at least 3 years.
354	<u>Management Advisory Memorandum : Notification of Security Concerns at the Federal Bureau of Prisons' Camp Locations</u>	BOP	06/07/2021	1	Resolved	The BOP should ensure that alarms on all exterior building doors in FPCs and SPCs are in working condition and should take appropriate measures to prevent them from being susceptible to tampering.
355	<u>Management Advisory Memorandum : Notification of Security Concerns at the Federal Bureau of Prisons' Camp Locations</u>	BOP	06/07/2021	2	Resolved	The BOP should ensure that all existing video cameras in FPCs and SPCs are in working condition.
356	<u>Management Advisory Memorandum : Notification of Security Concerns at the Federal Bureau of Prisons' Camp Locations</u>	BOP	06/07/2021	3	Resolved	The BOP should install video cameras on all exterior building doors at FPCs and SPCs, so that BOP Control Officers can observe the doors while Camp Officers are occupied with performing their duties, such as inmate counts.
357	<u>Audit of the Drug Enforcement Administration's Laboratory Information Management System Support Contracts</u>	DEA	06/01/2021	1	Resolved	Evaluate and update its acquisition planning documentation requirements to ensure that future acquisition processes delineate adequately the timeline required to complete an acquisition in accordance with the complexity of the award.
358	<u>Audit of the Drug Enforcement Administration's Laboratory Information Management System Support Contracts</u>	DEA	06/01/2021	2	Resolved	Evaluate and update its policies and procedures to ensure that all individuals that assist the CO with contract monitoring, such as CORs and product owners, receive written delegation from the CO and appropriate training in regard to their roles and responsibilities in accordance with the FAR, OMB Memorandum on the FAC-COR and DEA's internal policy.
359	<u>Audit of the Drug Enforcement Administration's Laboratory Information Management System Support Contracts</u>	DEA	06/01/2021	3	Resolved	Evaluate and update its policies and procedures to ensure that its contracting officials create timely QASPs and ensure that delegated personnel follow such QASPs in conjunction with the SOWs for T&M contracts.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
360	<u>Audit of the Drug Enforcement Administration's Laboratory Information Management System Support Contracts</u>	DEA	06/01/2021	5	Resolved	Examine ways to implement the use of secure, internal network connections to transfer data between LIMS and other work systems with the goal of implementing a more secure method of data transfer than relying on external storage devices.
361	<u>Audit of the Drug Enforcement Administration's Laboratory Information Management System Support Contracts</u>	DEA	06/01/2021	6	Resolved	Design and implement a process to continually monitor its contracts to ensure the COs verify that contractors inform their workers of whistleblower rights and protections; and provide evidence that all existing contracts above the SAT have been modified to include the whistleblower provision and follow-up documentation obtained by the CO as required by internal policy.
362	<u>Audit of the Drug Enforcement Administration's Laboratory Information Management System Support Contracts</u>	DEA	06/01/2021	7	Resolved	Evaluate and update policies and procedures to ensure that billings and payments for service contracts are compliant with the FAR, DEA policies, and contract terms, ensuring that: (1) invoices submitted by the contractors contain all contract requirements (e.g. CLIN, contract workers' hours billed to their assigned labor categories); and (2) contract workers obtain the appropriate DEA official's approval prior to working hours in excess of those contractually allotted.
363	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities, Chicago, Illinois</u>	CRM	05/19/2021	1	Resolved	Require the Chicago PD to institute over its DOJ Equitable Sharing Program an effective management structure and formal, written policies and procedures that clearly lay out roles and responsibilities designed to achieve organizational objectives and establish standard accounting procedures and internal controls to help ensure compliance with the DOJ guidelines set forth to manage equitably shared funds and tangible property.
364	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities, Chicago, Illinois</u>	CRM	05/19/2021	2	Resolved	Require the Chicago PD to provide evidence that the Chicago PD's expenditures of DOJ equitable sharing program funds are being properly approved.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
365	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities, Chicago, Illinois</u>	CRM	05/19/2021	3	Resolved	Require the Chicago PD to institute controls to ensure compliance with the program guidance that prohibits any investment-related losses from being allocated to or deducted from the equitable sharing account.
366	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities, Chicago, Illinois</u>	CRM	05/19/2021	4	Resolved	Require the Chicago PD to enhance its equitable sharing receipt recognition process, to include regularly reconciling its DOJ equitable sharing accounting records to the information in the eShare Portal.
367	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities, Chicago, Illinois</u>	CRM	05/19/2021	5	Resolved	Require the Chicago PD to perform an in-depth review of its equitable sharing financial activity and either: (1) provide detailed documentation to support its existing FY 2019 and FY 2020 ESAC submissions, or (2) submit amended ESACs along with detailed documentation to support the balance and expenditure figures reported.
368	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities, Chicago, Illinois</u>	CRM	05/19/2021	6	Resolved	Require the Chicago PD to revise its expenditure tracking process. This revised process should include controls to ensure that the Chicago PD: (1) properly tracks all DOJ equitable sharing expenditures by category, (2) accurately reports on the ESAC the correct figures as reflected in its financial system, and (3) retains supporting documentation for the amounts reported on the ESAC.
369	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities, Chicago, Illinois</u>	CRM	05/19/2021	7	Resolved	Review the \$49,273 in construction costs paid in 2019 and, if appropriate, require the Chicago PD to remedy this amount that did not receive prior approval by MLARS.
370	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities, Chicago, Illinois</u>	CRM	05/19/2021	8	Resolved	Identify and, if appropriate, require the Chicago PD to remedy any additional unapproved construction costs that were incurred prior to or after our audit review period.
371	<u>Audit of the Office on Violence Against Women Technical Assistance Awards to the National Center for State Courts, Williamsburg, Virginia</u>	OVW	05/10/2021	2	Resolved	Ensure that the NCSC implement policies to strengthen and ensure adherence to its subrecipient selection procedures and train employees on the proper sub-awardee classification.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
372	<u>Audit of the Office on Violence Against Women Technical Assistance Awards to the National Center for State Courts, Williamsburg, Virginia</u>	OVW	05/10/2021	8	Resolved	Remedy a total of \$5,392 in unallowable personnel costs.
373	<u>Audit of the Office on Violence Against Women Technical Assistance Awards to the National Center for State Courts, Williamsburg, Virginia</u>	OVW	05/10/2021	10	Resolved	Remedy a total of \$4,342 in unallowable travel assistance costs.
374	<u>Audit of the Office on Violence Against Women Technical Assistance Awards to the National Center for State Courts, Williamsburg, Virginia</u>	OVW	05/10/2021	12	Resolved	Remedy \$1,109 in award funds to a better use for the K054 award.
375	<u>Audit of a Court Services and Offender Supervision Agency System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	1	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
376	<u>Audit of a Court Services and Offender Supervision Agency System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	2	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
377	<u>Audit of a Court Services and Offender Supervision Agency System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	3	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
378	<u>Audit of a Court Services and Offender Supervision Agency System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	4	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
379	<u>Audit of a Court Services and Offender Supervision Agency System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	5	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
380	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	2	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
381	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	5	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
382	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	6	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
383	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	9	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
384	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	10	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
385	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	JMD	05/05/2021	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
386	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	JMD	05/05/2021	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
387	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	1	Resolved	Clarify officer safety-related training requirements for operational personnel, including Task Force Officers, and ensure that the requirements are clearly established in policy.
388	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	2	Resolved	Ensure that the Training Division provides consistent and prompt assistance to those districts that do not have an assigned Tactical Training Officer.
389	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	3	Resolved	Ensure that all Tactical Training Officers receive skills sustainment training.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
390	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	4	Resolved	Establish methods to evaluate whether the Tactical Training Officer Program is accomplishing its objectives.
391	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	5	Resolved	Ensure that the new After-Action Review policy is implemented so that all critical incidents stemming from operations led by and/or involving U.S. Marshals Service personnel are reviewed for lessons learned and used to update and adjust officer safety-related training.
392	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	6	Resolved	Consider including other Department of Justice law enforcement components in operational reviews of critical incidents, including but not limited to the new After-Action Review process, to allow for mutual awareness and improvements to officer safety-related training across components.
393	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	7	Resolved	Evaluate whether modifications to training are needed to adequately cover open space encounters and to better support small teams, and, if so, develop and implement methods to ensure that training adequately addresses these.
394	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	1	Resolved	Ensure that immigration judges are responsive in a timely manner to requests for continuances by respondents who assert that they have recently experienced symptoms of or have been exposed to COVID-19, and encourage immigration judges to fully consider continuance requests.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
395	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	2	Resolved	Expand the EOIR Court & Appeals System (ECAS) to all immigration courts, and continue to pursue efforts to make ECAS mandatory. Until ECAS is fully deployed, EOIR should consider whether it can continue permitting email filings without increasing the risk to staff during the pandemic. In particular, EOIR should assess the feasibility of having staff scan paper filings into electronic files rather than print emailed filings and whether this would reduce the need for staff to report to work in person. If EOIR permits email filings, EOIR should ensure that users receive confirmation of receipt of filing and are not unfairly restricted by page limits during the ongoing COVID-19 pandemic in all courts that do not have ECAS.
396	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	3	Resolved	Develop a plan to ensure maximum telework capability for all positions and staff in locations affected by the COVID-19 pandemic, or in the event of a future pandemic or similar conditions, and ensure that it procures sufficient equipment and addresses software limitations to enable the broadest possible telework.
397	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	4	Resolved	Develop methods to ensure that immigration courts and EOIR offices are following social distancing guidelines during the ongoing pandemic and in the event of any future pandemic.
398	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	5	Resolved	Ensure that EOIR has a plan in place to order and maintain appropriate stocks of personal protective equipment for employees reporting to EOIR workspaces and other parties appearing for immigration proceedings.
399	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	6	Resolved	Clearly communicate with staff regarding COVID-19, including concerning when government standards change and what information EOIR is permitted to share regarding potential exposure.
400	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	7	Resolved	Coordinate with other agencies in non-Department of Justice buildings housing EOIR courts on making announcements about potential COVID-19 exposure.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
401	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	8	Resolved	Ensure that its communication plan and notice procedures for respondents and representatives are effective in reaching the intended audience, including Migrant Protection Protocols respondents, unaccompanied minors, and respondents who may be quarantined during the pandemic.
402	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	9	Resolved	Update EOIR's Continuity of Operations Plan and pandemic plan based on experience during COVID-19, and adjust the plans to prepare for the future.
403	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/30/2021	1	Resolved	Ensure the Ohio AG's internal policy and guidance better address situations in which one individual is entitled to submit multiple claims related to the same criminal incident that impacted multiple victims.
404	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/30/2021	2	Resolved	Ensure the Ohio AG's internal policy and procedures address conflicts of interest.
405	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/30/2021	3	Resolved	Ensure the Ohio AG develops formalized policies and procedures for preparing the annual state certification forms.
406	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/30/2021	4	Resolved	Ensure the Ohio AG establishes policies and procedures to monitor compliance with award special conditions.
407	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/30/2021	5	Resolved	Require the Ohio AG enhance its policies and procedures to explicitly state, specifically for payments held in abeyance for minor-aged individuals, that all financial records, supporting documents, statistical records, and all other records pertinent to the award are to be retained for a period of 3 years from the date of submission of the final expenditure report for the grant from which the award was paid.
408	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/30/2021	6	Resolved	Ensure that the Ohio AG employs a practice of strategic planning and consideration for future liabilities against its victim compensation program.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
409	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/30/2021	7	Resolved	Require the Ohio AG to bring its policy in alignment with DOJ guidance requiring drawdowns to be based on actual or planned expenditures within the next 10 days and not on estimated amounts from historical expenses.
410	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/30/2021	8	Resolved	Ensure that the Ohio AG aligns its practice of preparing drawdown requests to be in accordance with its written policies.
411	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General, Columbus, Ohio</u>	OJP	03/30/2021	9	Resolved	Work with the Ohio AG to determine whether the Ohio AG earned interest in excess of federal limitations and, if so, to determine the amount of interest owed due to excess cash that was on hand in each of the audited grants.
412	<u>Audit of the United States Marshals Service's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	USMS	03/29/2021	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
413	<u>Audit of the United States Marshals Service's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	USMS	03/29/2021	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
414	<u>Audit of the United States Marshals Service's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	USMS	03/29/2021	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
415	<u>Audit of the United States Marshals Service's Business Process Management Platform System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	USMS	03/29/2021	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
416	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
417	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
418	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
419	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
420	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
421	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
422	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
423	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
424	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
425	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
426	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
427	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
428	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
429	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	7	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
430	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	8	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
431	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
432	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	10	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
433	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	11	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
434	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	ATF	02/22/2021	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
435	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	ATF	02/22/2021	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
436	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	ATF	02/22/2021	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
437	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	ATF	02/22/2021	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
438	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	ATF	02/22/2021	10	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
439	<u>Notification of Insider Threat Risk at the Department of Justice and the Drug Enforcement Administration</u>	ODAG	02/17/2021	3	Resolved	Examine the benefits and assess the value of expanding the background investigation and suitability process for both prospective employees and prospective contract employees of all DOJ components to include asking the prospective employee or contract employee questions about associations with individuals known or suspected to be involved in drug trafficking or other criminal activity, in addition to the questions on the SF-86 background questionnaire.
440	<u>Review of the Department of Justice's Planning and Implementation of Its Zero Tolerance Policy and Its Coordination With the Departments of Homeland Security and Health and Human Services</u>	ODAG	01/13/2021	1	Resolved	Prior to issuing a significant policy affecting multiple Department of Justice components, other Executive Branch agencies, or the courts, coordinate directly with affected stakeholders to ensure effective implementation.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
441	<u>Review of the Department of Justice's Planning and Implementation of Its Zero Tolerance Policy and Its Coordination With the Departments of Homeland Security and Health and Human Services</u>	USMS	01/13/2021	2	Resolved	Establish guidance and procedures for U.S. Marshals Service staff to follow in working with the Department of Health and Human Services' Office of Refugee Resettlement case workers to facilitate communication between family unit adults separated from associated family unit minors, especially parents in U.S. Marshals Service custody and their children in Office of Refugee Resettlement custody.
442	<u>Review of the Department of Justice's Planning and Implementation of Its Zero Tolerance Policy and Its Coordination With the Departments of Homeland Security and Health and Human Services</u>	ODAG	01/13/2021	3a	Resolved	Work with the Department of Health and Human Services' Office of Refugee Resettlement and the Department of Homeland Security to develop a formal interagency agreement (such as a memorandum of understanding) regarding the facilitation of communication between separated children in Office of Refugee Resettlement custody and their parents in U.S. Marshals Service custody.
443	<u>Review of the Department of Justice's Planning and Implementation of Its Zero Tolerance Policy and Its Coordination With the Departments of Homeland Security and Health and Human Services</u>	USMS	01/13/2021	3b	Resolved	Work with the Department of Health and Human Services' Office of Refugee Resettlement and the Department of Homeland Security to develop a formal interagency agreement (such as a memorandum of understanding) regarding the facilitation of communication between separated children in Office of Refugee Resettlement custody and their parents in U.S. Marshals Service custody.
444	<u>Audit of the West Virginia State Police Department's Equitable Sharing Program Activities, South Charleston, West Virginia</u>	CRM	01/05/2021	1	Resolved	Ensure that the WVSP train personnel responsible for overseeing and administering equitable sharing funds and equipment on equitable sharing restrictions.
445	<u>Audit of the Office on Violence Against Women Grants Awarded to the South Dakota Coalition Ending Domestic and Sexual Violence, Pierre, South Dakota</u>	OVW	01/04/2021	4	Resolved	Remedy the \$27,677 in unallowable questions costs related to the \$14,280 in unallowable direct and contractor costs; \$4,207 in unallowable equipment costs; and \$9,190 in expenditures charged after the end date of the grant.
446	<u>Audit of the Office on Violence Against Women Grants Awarded to the South Dakota Coalition Ending Domestic and Sexual Violence, Pierre, South Dakota</u>	OVW	01/04/2021	5	Resolved	Remedy the \$149,325 in unsupported questioned costs related to the \$80,824 in unsupported direct and contractor costs; \$6,055 in unsupported personnel costs; and \$62,446 in excess drawdowns.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
447	<u>Examination of Federal Bureau of Prisons' Purchase Card Transactions</u>	BOP	12/21/2020	2	Resolved	Require written explanations to be documented for purchase card transactions that do not meet the sustainability and mandatory source requirements.
448	<u>Examination of Federal Bureau of Prisons' Purchase Card Transactions</u>	BOP	12/21/2020	4	Resolved	Enhance monitoring controls to ensure that purchase cards are not issued to new cardholders prior to them completing the required training and purchase cards are suspended for existing cardholders who have not met the biennial training requirement.
449	<u>Audit of the Office of Justice Programs Grant Awarded to Illuminate Colorado, Denver, Colorado</u>	OJP	12/21/2020	6	Resolved	Remedy \$22,175 in unsupported questioned costs.
450	<u>Audit of the Federal Bureau of Investigation's Strategy and Efforts to Disrupt Illegal Dark Web Activities</u>	FBI	12/16/2020	2	Resolved	<p>Develop a coordinated FBI-wide dark web approach that assesses enterprise-level needs, while considering the unique needs of its investigative and support units. At a minimum, this approach should address:</p> <ul style="list-style-type: none"> a. ambiguous or overlapping investigative responsibilities; b. baseline data collection guidelines to track operational units' dark web investigative efforts that position the FBI to provide useful and accurate information to internal and external stakeholders; c. processes to enhance and consolidate investigative tool development and acquisition efforts in a manner that addresses the FBI's and potentially Department's dark web needs in amore cost-effective manner, and to centralize the FBI's tools useful to dark web investigations to allow visibility to and access by other FBI components; and d. a process to centralize and eliminate outdated or redundant dark web training offerings and inform FBI personnel of the availability of dark web training across all applicable FBI divisions.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
451	<u>Audit of the Federal Bureau of Investigation's Strategy and Efforts to Disrupt Illegal Dark Web Activities</u>	FBI	12/16/2020	5	Resolved	Supplement its FBI-wide deconfliction policy with, or separately develop, a formal oversight process to ensure that investigative data encountered on the dark web is properly entered into the DICE deconfliction system.
452	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	4	Resolved	Ensure ICJIA adequately communicates to its subrecipients its policies or interpretations affecting the allowability of subrecipient costs.
453	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	5	Resolved	Require that ICJIA employs an adequate and reliable process for tracking grant spending by priority area.
454	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	7	Resolved	Ensure that ICJIA establishes policies and procedures for complete and appropriate SAR submissions.
455	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	8	Resolved	Coordinate with ICJIA on the appropriate and reasonable submission of SARs for its previously awarded VOCA subgrants.
456	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	13	Resolved	Remedy the \$504,795 of questioned unsupported personnel administrative costs.
457	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	14	Resolved	Remedy the total \$64,211 of unallowable non-personnel administrative costs.
458	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	15	Resolved	Ensure that ICJIA's policies identify the types of agreements available and distinguish the required monitoring commensurate with those agreement types.
459	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	17	Resolved	Coordinate with ICJIA to identify the total amount of interest earned by VOCA funds drawn down in advance and remedy those funds as appropriate.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
460	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	18	Resolved	Remedy the total \$40,773 of unsupported match.
461	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	1	Resolved	Establish and implement a policy to require officials to review all institution-specific design changes to perimeter security features to determine if the design change is necessary at other institutions.
462	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	2	Resolved	Establish a formalized policy for sharing important information pertaining to perimeter security issues and vulnerabilities to the necessary personnel at all potentially affected institutions.
463	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	3	Resolved	Establish adequate controls that ensure its personnel, including subject matter experts, perform a thorough analysis of its perimeter security when making changes to or implementing new features and designs.
464	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	4	Resolved	Implement a policy clearly designating the required operating mode of the lethal/non-lethal fence, authorized instances when the operating mode should be changed, and who is authorized to change the operating mode.
465	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	5	Resolved	Enhance its oversight of perimeter security activities by periodically reviewing lethal/non-lethal fence reports to ensure the fence is operating in accordance with the newly implemented policy referenced in recommendation number 4.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
466	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	6	Resolved	Ensure that its Correctional Services Manual includes policy on the required number of perimeter patrol vehicles at all types of institutions and implement a policy on the circumstances necessitating changes to the required number of perimeter patrol vehicles.
467	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	7	Resolved	Establish a policy on the required assignment and use of Roving Alarm Notification System (RANS) hand-held radios.
468	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	8	Resolved	Consider developing a national policy requiring institutional assessments of U.S. Penitentiaries (USP) on a prescribed, regular basis.
469	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	9	Resolved	Improve the institutional assessment process by ensuring the Institutional Assessment Guidelines are comprehensive and include all necessary perimeter security aspects, ensuring the assessment reports are consistent in form and content, and requiring reviewed facilities to respond to any recommendations in the assessment reports.
470	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	10	Resolved	Evaluate the current taut wire and lethal/non-lethal fences at USPs and ensure the respective Technical Design Guidelines reflect the BOP's current perimeter security strategy.
471	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	11	Resolved	Routinely review the Technical Design Guidelines to ensure they reflect the BOP's minimum operational security expectations and reflect updates necessitated by enhancements or modifications.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
472	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	14	Resolved	Establish procedures to ensure Contracting Officers conduct appropriately comprehensive price proposal analyses, including properly documenting its evaluation of cost line items and expected time to complete a project, as well as what contract type is best suited for the circumstances at-hand.
473	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	15	Resolved	Reiterate to Contracting Officers the Federal Acquisition Regulation (FAR) requirement to safeguard the financial interests of the United States in its contractual relationships and ensure its Contracting Officers are aware of the newly established policy related to price proposal analyses referenced in recommendation number 14.
474	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	16	Resolved	Develop procedures on the expectations for completing adequate Independent Government Cost Estimates (IGCE), including that contract files contain sufficient documentation supporting IGCEs, and ensure those preparing IGCEs are aware of the expectations.
475	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
476	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
477	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
478	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
479	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
480	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
481	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
482	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
483	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	9	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
484	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	10	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
485	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	11	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
486	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	1	Resolved	Review its Subject Matter Expert (SME) contract in its entirety to ensure that: (1) the non-personal services contract, as it is being administered, is in compliance with Federal Acquisition Regulation (FAR) requirements and FBI policy; (2) proper contract oversight controls and responsibilities have been established, including proper oversight of SMEs and the recruiting, interviewing, and hiring of SMEs; and (3) SMEs are not performing inherently governmental functions, which are prohibited by the FAR.
487	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	6	Resolved	Ensure that the FBI Office of General Counsel's contract review include a review of all task order Statement of Works (SOW) over a designated threshold amount associated with the master acquisition package.
488	<u>Audit of the Executive Office for Immigration Review Recognition and Accreditation Program</u>	EOIR	09/21/2020	1	Resolved	Ensure that the Office of Legal Access Program's (OLAP) application files contain sufficient documentation demonstrating that eligibility requirements for admittance into the Recognition and Accreditation Program (Program) have been satisfied.
489	<u>Audit of the Executive Office for Immigration Review Recognition and Accreditation Program</u>	EOIR	09/21/2020	3	Resolved	Study the feasibility of and, if feasible, implement a minimum, periodic requirement for completing the legal immigration and trial and advocacy training described in the rules for Recognition of Organizations and Accreditation of Non-Attorney Representatives (Program Regulation).
490	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	6	Resolved	Enhance its policies and procedures to ensure that all expert witness contractors maintain a written and running bibliography of all information sources used during the life of the contract to ensure the proper preservation of working materials.
491	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	7	Resolved	Implement policies and procedures to ensure that any contract changes identified under FAR Subpart 43.301 are authorized using a formal contract modification that is approved by a qualified ENRD contracting official.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
492	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	8	Resolved	Enhance its internal controls to mitigate the risk that the FEW Appropriation could be misused. These controls should be reflective of the requirements outlined in JMD's 2018 Expert Witness Instruction and any additional guidance that has been issued by JMD. Finally, ENRD should ensure that any policies and procedures created to address this recommendation are appropriately disseminated to ENRD contracting and litigation staff, and that appropriate training on the subject is provided to ENRD staff.
493	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	5a	Resolved	Implement policies and procedures to ensure adequate contract oversight, monitoring, and administration of its expert witness contracts, including ensuring that ENRD contracting officials develop and implement a quality assurance surveillance plan for its expert witness contracts, <u>as required by the FAR.</u>
494	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	5b	Resolved	Implement policies and procedures to ensure adequate contract oversight, monitoring, and administration of its expert witness contracts, including ensuring that ENRD contracting officials maintain the proper documentation in each expert witness contract file, including resumes, travel authorizations, and confidentiality agreements that are signed prior to when the contractor begins work under the <u>contract.</u>
495	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	5c	Resolved	Implement policies and procedures to ensure adequate contract oversight, monitoring, and administration of its expert witness contracts, including ensuring that ENRD contracting officials document an analysis of pricing and <u>support for increases in contract ceilings.</u>
496	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	5d	Resolved	Implement policies and procedures to ensure adequate contract oversight, monitoring, and administration of its expert witness contracts, including ensuring that ENRD contracting officials ensure subcontract agreements comply with ENRD's Standard Expert Witness Terms and Conditions, as well as additional guidance identified in the prime expert witness contract.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
497	<u>Audit of the Department of Justice's Efforts to Protect Federal Bureau of Prisons Facilities Against Threats Posed by Unmanned Aircraft Systems</u>	BOP	09/14/2020	3	Resolved	Identify best practices and provide training for relevant staff on how to safely approach and secure recovered drones.
498	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	6	Resolved	Coordinate with the WA DOC on the appropriate and reasonable submission of SARs for its previously awarded VOCA subawards; and implement procedures to ensure that SARs are submitted accurately and in a timely manner to OVC.
499	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	9	Resolved	Ensure that the WA DOC and its pass-through agencies revise their financial monitoring policies and procedures to include the review of fiscal related matters to mitigate the risk of commingling and unsupported subrecipient Requests for Reimbursement.
500	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	3	Resolved	Work with ICJI to ensure the State Certification Forms for FY 2015, FY 2016, and FY 2018 are corrected, to determine whether the FY 2019 State Certification Form is accurate, and to take the necessary steps to remedy any funds awarded in error related to the deficiencies in the FY 2015 and FY 2016 State Certification Forms.
501	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	10	Resolved	Remedy \$8,398 in excess administrative expenditures representing expenses beyond the 5-percent limit charged to the FY 2016 grant.
502	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	11	Resolved	Remedy the unsupported payroll expenditures totaling \$9,324 and work with ICJI to identify and remedy any additional excess hours charged to the grants under audit.
503	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	13	Resolved	Work with ICJI to determine whether current drawdowns on the FY 2017 grant are in excess of ICJI's expenditures eligible for federal reimbursement, and, if so, to remedy the excess cash on hand and take the necessary steps to determine whether any interest is owed due to excess cash on hand.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
504	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	14	Resolved	Work with ICJI to assess the accuracy of the financial reports related to the 2015, 2016, and 2017 grants, and, if appropriate, require ICJI to submit corrected financial reports for those that were previously misstated.
505	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	15	Resolved	Ensure ICJI implements appropriate policies and practices for timely submission of federal financial reports.
506	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	3	Resolved	Require that the DCJS develop and implement a written policy and tracking procedure to ensure VOCA funds are disbursed in accordance with the priority funding requirement.
507	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	5	Resolved	Ensure the DCJS submits SARs for all of its previously awarded VOCA subawards, reconciling against award records maintained by the DCJS outside of PMT.
508	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	8	Resolved	Ensure the DCJS enhances its written policies to provide a formal continuity in its grant management, such as through a succession plan and cross training between its programmatic and financial personnel.
509	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	9	Resolved	Remedy a total of \$5,375 in unsupported costs.
510	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	10	Resolved	Remedy a total of \$18,240 in unallowable costs.
511	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	17	Resolved	Remedy \$14,308 in unsupported subrecipient matching costs.
512	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	18	Resolved	Work with the DCJS to strengthen its implementation of written policies and procedures that guide how DCJS personnel should compile accurate FFRs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
513	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	19	Resolved	Require that the DCJS implements a comprehensive monitoring plan that: (a) ensures that all subrecipients receive site visits as required by the monitoring policy; (b) documents and maintains the results of monitoring activities; and (c) trains grant monitors on various aspects of the monitoring plan, to include: completing risk assessments, types of monitoring, conducting subrecipient site visits, and the importance of documenting and maintaining complete records of monitoring efforts.
514	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	20	Resolved	Require that the DCJS strengthens its financial monitoring policies and staff training specifically with regard to reimbursing subrecipients.
515	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	22	Resolved	Ensure the DCJS has adequate monitoring policies and procedures to provide assurance that subrecipients are reporting accurate performance information.
516	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	6	Resolved	Remedy the \$4,503,266 in unsupported questioned costs.
517	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	7	Resolved	Remedy the \$1,121,734 in funds to be put to better use.
518	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	8	Resolved	Remedy \$1,250,000 in unsupported questioned local match costs.
519	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	9	Resolved	Work with ECSSO to ensure that the match is met for grant 2017-UL-WX-0014.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
520	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	7	Resolved	Ensure that GEO has properly trained all of its employees involved in the transportation of detainees, completes all training required by 42 U.S.C. § 13726b and 28 CFR Part 97, and maintains complete training records including the curriculum and student attendance records.
521	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	8	Resolved	Put in place adequate controls to ensure that employees involved in detainee transportation at USMS contract detention facilities have completed the training required by federal law.
522	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	15	Resolved	Implement the preventative and detective internal controls over PGI (developing PGI spend plans, timely 90-day reviews, and quarterly headquarters examination of financial activity) as prescribed by the Department's Risk Mitigation Policy Memorandum, the AG FBI Undercover Guidelines, and the Agents Manual.
523	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	ODAG	06/16/2020	16	Resolved	Formally determine whether the policies within the AG FBI Undercover Guidelines apply, in their entirety or in specific instances, to all DOJ law enforcement components; or if the Department must issue new guidance to govern undercover operations that are initiated by DOJ law enforcement components outside of the FBI.
524	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	ODAG	06/16/2020	17	Resolved	Ensure that the DEA's policies reflect necessary measures and controls stipulated in applicable Department undercover guidance.
525	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	ODAG	06/16/2020	19	Resolved	Determine whether the USAOs have implemented a process for coordinating with other prosecutorial offices when sensitive investigative activities, such as the DEA's AGEOs, are likely to span jurisdictional boundaries, as required by the Department Risk Mitigation Policy Memorandum.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
526	<u>Notification of Concerns Identified with the Federal Bureau of Prisons' Procurement of Food Products</u>	BOP	06/08/2020	1	Resolved	Develop a quality assurance plan applicable to all institutions to mitigate the risk that a vendor could deliver a substandard product to the BOP. The BOP should consider including within this plan the following three-pronged approach: a. consistent on-site visual, weight, and other types of inspections before acceptance of delivery; b. a structured system of random laboratory testing of food products to ensure they meet USDA, U.S. Food and Drug Administration (FDA), contractual, or other applicable standards; and c. a requirement that with every food delivery and/or related claim, vendors submit a written certification that the products meet the contract specifications and have been processed or produced in accordance with USDA, FDA, or other regulatory standards, as applicable.
527	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	3	Resolved	Coordinate with COVA to ensure it develops and implements new policies and procedures that include specific language regarding separation of duties, procurement, verifying that its vendors are not debarred or suspended from doing business with the federal government, approving and paying expenditures, matching costs, indirect costs, and performance measurement and outcome assessment.
528	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	6	Resolved	Remedy the \$7,373 in unallowable questioned costs related to the \$5,840 in unallowable personnel costs and \$1,533 in unallowable other direct costs.
529	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	7	Resolved	Remedy the \$207,953 in unsupported questioned costs related to the \$12,418 in unsupported personnel costs and \$195,535 in unsupported other direct costs.
530	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	9	Resolved	Remedy the \$259,827 in unsupported matching costs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
531	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	8	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
532	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
533	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	8	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
534	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
535	<u>Management Advisory Memorandum for the Director of the Federal Bureau of Investigation Regarding the Execution of Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons</u>	FBI	03/30/2020	1	Resolved	Institute a requirement that it, in coordination with NSD, systematically and regularly examine the results of past and future accuracy reviews to identify patterns or trends in identified errors so that the FBI can enhance training to improve agents' performance in completing the Woods Procedures, or improve policies to help ensure the accuracy of FISA applications.
536	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	8	Resolved	Remedy the remaining \$248,973 of the \$256,975 in unsupported matching costs.
537	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	9	Resolved	Coordinate with RST to ensure it meets its matching requirements, tracks all matching transactions on its general ledgers, and implements new policies and procedures to ensure matching costs are properly supported.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
538	<u>Review of the Office of Justice Programs' Corrective Actions to Address Dollar-Related Audit Recommendations</u>	OJP	03/24/2020	3	Resolved	Evaluate the risks posed by the proportion of unallowable questioned costs OJP retroactively approves, determine enhancements to its enforcement practices for unallowable questioned costs identified in audits and reviews, and periodically review grant terms for which OJP routinely approves deviations through Grant Adjustment Notices and retroactive approvals and identify strategies that better ensure grant recipients are spending grant funds for allowable purposes.
539	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	5	Resolved	Review the quality of the telephone monitoring equipment at institutions requiring FBI monitoring of inmates under a SAM directive, and work with the FBI to make improvements to ensure effective monitoring can be conducted with the equipment at each of those institutions.
540	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	6	Resolved	Assess the sound quality in BOP visiting rooms utilized by terrorist inmates subject to Special Administrative Measure (SAM) directives and improve the microphones in any identified facilities with inadequate equipment so that the FBI can effectively perform its monitoring as required under the SAM directive and the BOP can perform similar monitoring of its terrorist and other high-risk inmates.
541	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	7	Resolved	Work with the Department to establish procedures to prevent terrorist inmates from viewing discovery materials in the presence of other inmates and consider additional steps to minimize the risk that discovery material containing radical or harmful content can be inappropriately shared with other inmates.
542	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	8	Resolved	Establish a standard that will help ensure general population emails are monitored in a consistent manner and establish a policy that allows staff to forward for translation general population emails in accordance with this standard.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
543	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	9	Resolved	Eliminate the automatic delivery of email to high-risk inmates.
544	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	12	Resolved	Establish controls that mitigate the risk of inmates communicating with unknown and un-vetted parties and take steps, including the utilization of available technological features as found in TRULINCS, to reduce the risk of mass emails being received by high-risk inmates, including terrorist inmates.
545	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	13	Resolved	Establish a TRUFONE control that allows the system to alert BOP management of any calls that were not completely monitored.
546	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	14	Resolved	Reassess its TRUFONE controls and take steps to prevent terrorist and other high-risk inmates from communicating with unknown and unapproved contacts.
547	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	15	Resolved	Review cellblock conversation monitoring policy, procedures, and capabilities to determine whether and how improvements can be made to achieve security goals, including improvement of audio monitoring systems.
548	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	16	Resolved	Revisit its social communication monitoring policy for high-risk inmates, including terrorist inmates, to better ensure that all visits between terrorist inmates and their visitors are sufficiently monitored.
549	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	ODAG	03/24/2020	18	Resolved	Determine whether the BOP should conduct an inventory of the books and publications found in the cells of all terrorist inmates and provide it to the FBI for review.
550	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	ODAG	03/24/2020	19	Resolved	Develop a mechanism to notify the BOP of all terrorists in its custody, including those whose convictions are under a sealed U.S. court order.
551	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	3	Resolved	Ensures that complete documentation of lost and stolen FBI firearms is maintained, including all pertinent information such as the firearm make, model, and serial number.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
552	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	4	Resolved	Ensure that the status of all lost or stolen firearms that are subsequently recovered is accurately reflected in AMS.
553	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	5	Resolved	Amend its policy for tracking ammunition to require that all rounds of each type of ammunition be tracked using handwritten logs that detail the date, caliber, quantity in or out, purpose of the ammunition transaction, and remaining balance; and require all ammunition tracking logs to be retained for at least 3 years.
554	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	6	Resolved	Revise its current policy to require its offices to conduct a complete inventory of all ammunition on hand on an annual basis to ensure all recorded ammunition inventory balances are accurate, and to retain those records for at least 3 years.
555	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	7	Resolved	Establish policy requiring that less lethal munitions and diversionary devices must be stored in a secure area, with access limited to designated personnel.
556	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	8	Resolved	Establish policy for tracking less lethal munitions and diversionary devices that requires all quantities of each type of less lethal munition and diversionary device to be tracked using handwritten logs that detail the date, quantity in or out, purpose of the transaction, and remaining balance; and require all less lethal munition and diversionary device tracking logs to be retained for at least 3 years.
557	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	9	Resolved	Require its offices to conduct a complete physical inventory of all less lethal munitions and diversionary devices on an annual basis and retain those records for at least 3 years.
558	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	10	Resolved	Amend its policy requiring all quantities of each type of explosive material be tracked by its SABTs using handwritten logs that detail the date, quantity in or out, purpose of the transaction, and remaining balance; and retain all explosives tracking records for at least 3 years.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
559	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	11	Resolved	Require its offices to conduct a complete physical inventory of all explosives on an annual basis and retain those records for at least 3 years.
560	<u>Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	USNCB	03/16/2020	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
561	<u>Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	USNCB	03/16/2020	9	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
562	<u>Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	USNCB	03/16/2020	11	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
563	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	1	Resolved	Identify and document lessons learned from the Deputy Director's Closed Guardian Review and disseminate the results to senior headquarters and field office leadership to help ensure the underlying problems related to procedures, implementation, and oversight of this endeavor do not occur in future large-scale internal reviews and initiatives.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
564	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	2	Resolved	Assess the legal, policy, and civil liberties issues implicated by the FBI's Office of General Counsel (OGC) guidance related to database checks during the Deputy Director's Closed Guardian Review, as well as Counterterrorism Division (CTD) and field offices' execution of the review in contrast to this guidance, and determine what, if any, follow-up action is necessary. If the FBI's OGC guidance was required by policy, determine whether it may be appropriate and consistent with applicable law to amend FBI policy to permit follow-up inquiries of closed assessments in certain circumstances.
565	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	3	Resolved	Review the use of TECS alerts in Guardian assessments and provide clear guidance to CTD and field offices about when such use is appropriate.
566	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	4	Resolved	Ensure field offices are fully aware of when to seek certain forms of legal process in connection with Guardian assessments and collaborate with CTD to discuss their coordination with USAOs and other options for obtaining records.
567	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	5	Resolved	In conjunction with the efforts to address Recommendation Number 2, examine current field office initiatives that provide an ongoing mechanism to revisit subjects of closed assessments and investigations. This examination should identify any legal, policy, and civil liberties implications so that a decision can be made as to whether all FBI field offices should undertake similar initiatives.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
568	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	6	Resolved	Review existing guidance for processing and systematically categorizing, assigning, and assessing threats and suspicious activities in the Guardian system that are related to counterterrorism threat-to-life incidents, and develop any additional policies and procedures required. Once completed, ensure that this information is disseminated to the Criminal Investigative Division (CID) for review and deploy cross-training between CTD and CID for appropriately conducting assessments of threats-to-life.
569	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	7	Resolved	Develop and implement a comprehensive strategy for assessing and mitigating threats with subjects who have mental health concerns, which incorporates a requirement for field offices to establish a plan to utilize the resources and legal techniques specific to their area of responsibility to address this emerging threat, and deploy a training module to provide subject mitigation techniques when dealing with individuals suffering from mental health problems.
570	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	ODAG	02/20/2020	1	Resolved	In consultation with the appropriate Department components and other federal stakeholders: formally assess the risks and benefits of seeking to obtain Big 4 pricing for pharmaceutical purchases, as well as the authority to cap reimbursement for outside medical care at the Medicare rate, for the Department and all of its components, and, if warranted by the assessments, develop a plan to obtain such pricing and/or authority, including timeframes and assignments of responsibility for pursuing the plan.
571	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	2	Resolved	Establish and issue to institutions purchasing guidelines to help identify the lowest price drugs when Pharmaceutical Prime Vendor Program drugs are out of stock or unavailable, and consider including the drug purchasing hierarchy in the Health Services Program Review Guidelines for Pharmacy Services.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
572	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	3	Resolved	Ensure that institutions follow federal procurement regulations to compete all drug purchases equal to or greater than the micro-purchase threshold.
573	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	4	Resolved	Ensure that institutions' compliance with cost-efficient drug procurement practices is monitored through program review.
574	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	5	Resolved	Ensure that institutions track and report to the Central Office all of their drug purchases, particularly those from non-prime vendor sources, and capture details such as source, purchase date, quantity, and price.
575	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	6	Resolved	Require that institutions place orders for out-of-stock drugs through the prime vendor, or otherwise implement a method for notifying the prime vendor when needed drugs are unavailable that also ensures that the BOP is receiving all credits to which it is contractually entitled.
576	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	7	Resolved	Assess the costs and benefits of the programs that are on hold or limited due to resources, including pharmacy clinics, Central Fill and Distribution, and the BOP Mail Order Pharmacy, and determine whether expansion or initiation of these programs would be helpful to control long-term costs.
577	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	9	Resolved	Assess the costs and benefits of requiring institutions to implement universal, voluntary opt-out Hepatitis C testing, and determine whether implementing this policy would be appropriate.
578	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	1	Resolved	Establish in policy or guidance the mission, role, and functions of the Senior Federal Law Enforcement Official, including qualifications, the appropriate components from which to select the official, and how a Senior Federal Law Enforcement Official coordinates with the ESF-13 program during a critical incident response.
579	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	2	Resolved	Ensure that the Senior Federal Law Enforcement Official cadre participates in National Level Exercises, when applicable, and ESF-13 Table Top Exercises.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
580	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	3	Resolved	Reevaluate ESF-13's funding process and operational requirements to determine appropriate funding mechanisms and cost sharing.
581	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	4	Resolved	Reevaluate ESF-13's staffing to determine appropriate permanent staffing requirements and responsibilities.
582	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	4	Resolved	Address the problems with the administration and assessment of CHSs identified in the report. (see items 4a. through 4f. on pages 415 and 416)
583	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	6	Resolved	Ensure that appropriate training on DIOG § 4 is provided to emphasize the constitutional implications of certain monitoring situations and to ensure that agents account for these concerns, both in the tasking of CHSs and in the way they document interactions with and tasking of CHSs.
584	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	1a	Resolved	Ensure that adequate procedures are in place for the Office of Intelligence (OI) to obtain all relevant and accurate information, including access to Confidential Human Source (CHS) information, needed to prepare FISA applications and renewal applications. This effort should include revising items 1a. through 1d. on page 415 of report.
585	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	ODAG	12/09/2019	1b	Resolved	Ensure that adequate procedures are in place for the Office of Intelligence (OI) to obtain all relevant and accurate information, including access to Confidential Human Source (CHS) information, needed to prepare FISA applications and renewal applications. This effort should include revising items 1a. through 1d. on page 415 of the report.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
586	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	2a	Resolved	Evaluate which types of Sensitive Investigative Matters (SIM) require advance notification to a senior Department official, such as the Deputy Attorney General, in addition to the notifications currently required for SIMs, especially for case openings that implicate core First Amendment activity and raise policy considerations or heighten enterprise risk, and establish implementing policies and guidance, as necessary.
587	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	ODAG	12/09/2019	2b	Resolved	Evaluate which types of Sensitive Investigative Matters (SIM) require advance notification to a senior Department official, such as the Deputy Attorney General, in addition to the notifications currently required for SIMs, especially for case openings that implicate core First Amendment activity and raise policy considerations or heighten enterprise risk, and establish implementing policies and guidance, as necessary.
588	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	1	Resolved	Ensure that the DI designs, implements, and adheres to validation policies and procedures for its long-term CHSs that comply with the AG Guidelines, or coordinate with the Department to seek revisions to the AG Guidelines, as necessary.
589	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	2	Resolved	Dedicate sufficient and appropriately trained personnel to ensure that long-term CHS validations, including backlogged long-term CHS validations, are conducted in accordance with the requirements of the AG Guidelines.
590	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	3	Resolved	Coordinate with the Department and update, as necessary, its long-term CHS validation report to ensure that it addresses the appropriate scope of review and memorializes any validation personnel's conclusions or recommendations.
591	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	5	Resolved	Develop and implement an automated workflow in Delta to ensure that all handling agents request and document SAC approval or disapproval for the continued handling of CHSs in excess of 5 years.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
592	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	6	Resolved	Update its Policy Guide to formally incorporate its Validation Manual in accordance with the IPO Policy Directive to ensure current validation processes and procedures are in compliance with AG Guidelines requirements.
593	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	7	Resolved	Ensure all validation process roles and responsibilities are defined and field office personnel receive adequate training on the validation processes.
594	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	8	Resolved	Reengineer its process for CHS validation to ensure that the CHSs with the greatest risk factors are selected, that those selections are independently assessed by headquarters, and that continued CHS use determinations receive appropriate headquarters scrutiny.
595	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	9	Resolved	Ensure that headquarters validation personnel document their analyses, conclusions, and recommendations in validation reports.
596	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	10	Resolved	Update its Validation Manual to ensure that its annual CHS review process is accurately documented and review and update its annual CHS report to ensure that it sufficiently addresses CHS risks, provide field offices guidance on the updates, and stress to field offices the important of the annual CHS report in the FBI's validation process.
597	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	11	Resolved	Develop and implement a policy that clearly informs FBI personnel of the acceptable platforms for communicating with CHSs and provide training to its workforce on the policy.
598	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	13	Resolved	Take actions to mitigate its gaps in CHS coverage by prioritizing the development of its new threat intelligence and CHS coverage system and ensure that the policies and procedures for its use are documented and accompanied by detailed training on the new system.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
599	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	14	Resolved	Consult with all proposed stakeholders that will be responsible for providing data to the new system, identify any other data integrity issues, and document the policies and procedures for ongoing data quality monitoring of its new threat intelligence and CHS coverage system.
600	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	15	Resolved	Coordinate to ensure the composition of the HSRC is sufficient and appropriate and includes the requisite skills and knowledge to approve the continued use of FBI's long-term CHSs and seek revisions to the AG Guidelines, as necessary, to memorialize any changes in the composition.
601	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	16	Resolved	Coordinate to consider establishing additional HSRCs or increasing the frequency of the HSRC meetings until the backlog of CHSs awaiting HSRC approval for continued use is eliminated.
602	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	DEA	09/30/2019	1	Resolved	Develop a national prescription opioid enforcement strategy that encompasses the work of all DEA field divisions tasked with combating the diversion of controlled substances, and establish performance metrics to measure the strategy's progress.
603	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	DEA	09/30/2019	3	Resolved	Implement electronic prescribing for all controlled substance prescriptions.
604	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	DEA	09/30/2019	4	Resolved	Require that all suspicious orders reports be sent to DEA headquarters.
605	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	DEA	09/30/2019	5	Resolved	Take steps to ensure that DEA diversion control personnel responsible for adjudicating registrant reapplications are fully informed of the applicants' history resulting in a prior registration being revoked by DEA, surrendering a prior registration for cause, losing a state medical license, or other conduct which may threaten the public health and safety by improving information provided to such personnel about the standards to apply in making decisions on such applications.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
606	<u>Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin</u>	OJP	09/27/2019	7	Resolved	Remedy \$89,412 in unsupported subrecipient costs.
607	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	1	Resolved	Complete the heating, ventilation, and cooling equipment upgrade subproject at Metropolitan Detention Center Brooklyn and ensure that the equipment is capable of maintaining temperatures at BOP targets. Further, ensure that upgraded hardware and Building Management System software allow facilities staff to accurately monitor building temperatures and heating, ventilation, and cooling equipment performance.
608	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	2	Resolved	Take further action to diagnose the sources of temperature regulation issues and remedy them, if the upgraded Metropolitan Detention Center Brooklyn heating, ventilation, and cooling system cannot maintain building temperatures at BOP targets.
609	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	4	Resolved	Ensure that, in the absence of Building Management System software, institutions use a consistent and sound method to measure and document temperatures and record all maintenance performed on heating, ventilation, and cooling equipment.
610	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	5	Resolved	Require all institutions to develop alternative legal visiting plans that ensure that inmates can access legal counsel as soon as is safely possible after a facilities issue or other disruptive event.
611	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	6	Resolved	Update visitation policies to describe alternative legal visiting arrangements, when legal visiting is restricted under 28 C.F.R. § 540.40, to ensure pretrial inmates may access legal counsel under 28 C.F.R. § 551.117.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
612	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	7	Resolved	Require institutions to maintain a list of inmates who use continuous positive airway pressure machines, or other electronic medical devices, in their cells so that institution staff can make every effort to accommodate those inmates in the event of a power outage.
613	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	9	Resolved	Provide institutions additional guidance on how and when staff should inform defense counsel, the courts, inmates' families, and the public about disruptive events affecting the conditions of confinement and institution management decisions that restrict legal and social visiting.
614	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	1	Resolved	Develop and implement its own written policy, consistent with existing departmental policies, to address how Southwest border agents should deconflict investigative targets and events and share relevant information with each other.
615	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	2	Resolved	Ensure that each agency has a copy of the other's deconfliction policy and that all agents understand the expectations for interagency deconfliction and information sharing.
616	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	3	Resolved	Provide training to Southwest border Federal Bureau of Investigation and Homeland Security Investigations agents on the existing Department of Justice and Department of Homeland Security deconfliction policies and mandatory systems.
617	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	4	Resolved	Develop and implement a plan to increase awareness among Federal Bureau of Investigation and Homeland Security Investigations agents of each agency's mission, statutory authorities, and criminal investigative priorities.
618	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	5	Resolved	Develop a memorandum of understanding or similar written agreement governing Federal Bureau of Investigation and Homeland Security Investigations operations on overlapping criminal investigative areas.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
619	<u>Audit of the United States Marshals Service's Justice Prisoner and Alien Transportation System</u>	USMS	07/24/2019	3	Resolved	Continue to work with the Federal Bureau of Prisons to test its electronic movement packet system capabilities to communicate with JPATS on prisoner movement information.
620	<u>Audit of the Department of Justice's Use of Immigration Sponsorship Programs</u>	DEA	06/11/2019	8	Resolved	Implement methods to accurately and completely track all foreign national sponsorship information for individual foreign nationals, including expiration dates.
621	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	1	Resolved	Ensure there are appropriate logic controls for data that is manually input into Cyber Guardian and CyNERGY, and that CyNERGY's data input is as automated as appropriate.
622	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	2	Resolved	Strengthen controls for ensuring victim notifications are tracked in Cyber Guardian, to include agents using "Victim Notification" leads in Sentinel as required by Cyber Division Policy Guide 0853PG.
623	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	3	Resolved	Ensure that agents index "Victims" in Sentinel as required by the Indexing User Manual for Sentinel to support FBI investigative and administrative matters.
624	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	4	Resolved	Ensure that all cyber victim notifications conducted in the course of restricted investigations are appropriately tracked in Cyber Guardian.
625	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	5	Resolved	Clearly define what constitutes a victim of cybercrime for the purposes of indexing victims in Sentinel and notifying victims of their rights under the Attorney General Guidelines for Victim and Witness Assistance, as appropriate.
626	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	6	Resolved	Ensure that all victims of cybercrime are informed of their rights under the Attorney General Guidelines for Victim and Witness Assistance, Crime Victims' Rights Act, and Victims' Rights and Restitution Act, as appropriate.
627	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	7	Resolved	Establish timeliness standards in the Cyber Division Policy Guide 0853PG for cyber victim notifications, as appropriate.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
628	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	8	Resolved	Update Cyber Division Policy Guide 0853PG to include a minimum requirement for information that should be included in a victim notification and in victim notification leads, to ensure the consistency and effectiveness of victim notifications.
629	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	9	Resolved	Ensure Victim Contact Planning Calls are conducted for all cyber incidents that are labeled "Medium and above" on the National Security Council's Cyber Incidents Severity Schema.
630	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	10	Resolved	Pursue a mutually agreeable solution with OHS for ensuring all victim notification data is entered into Cyber Guardian.
631	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	11	Resolved	Coordinate with NSA to identify and implement an automated solution to streamline the post-publication requests for unclassified information in order to conduct timely and useful victim notifications.
632	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	12	Resolved	Implement controls to ensure that all users of Cyber Guardian, and subsequently CyNERGY, are certified to handle Protected Critical Infrastructure Information.
633	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	ODAG	03/29/2019	13	Resolved	Coordinate with the FBI's Cyber Division and update, as necessary, the Attorney General Guidelines for Victim and Witness Assistance to incorporate the nuances of cyber victims.
634	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
635	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
636	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
637	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
638	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
639	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	7	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
640	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	8	On Hold/Pending with OIG	Develop legally supportable criteria for retention of all [REDACTED] bulk data collected by use of administrative subpoenas, and policies for the disposition of such [REDACTED] bulk data.
641	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
642	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	10	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
643	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	11	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
644	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	12	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
645	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	ODAG	03/28/2019	13	On Hold/Pending with OIG	Ensure that a comprehensive review is conducted of the DEA's "parallel construction" policies and practices with respect to [REDACTED] investigative products to ensure that these policies and practices do not conflict with the government's discovery and disclosure obligations in criminal cases, or Department policy on this subject.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
646	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	14	On Hold/Pending with OIG	In the interim, and subject to the results of Recommendation #13, the Department's and the DEA's guidance and training materials regarding "parallel construction," including SOD/ [REDACTED] investigative products and [REDACTED] investigative products, should be clarified to clearly state that "parallel construction" does not negate adherence to discovery and disclosure obligations in criminal cases, if applicable. These guidance and training materials should further make explicit that, if discovery requirements threaten disclosure of the program, prosecutors may seek to protect the program through appropriate process, such as protective orders or ex parte proceedings, and that, depending on the circumstances, the government may eventually be required to choose between disclosure or dismissal, but that "parallel construction" cannot be utilized as a substantive substitute for otherwise applicable discovery and disclosure requirements.
647	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	15	On Hold/Pending with OIG	Review and update its delegations to ensure that Section 876(a) authority has been properly delegated to the officials who are reviewing and signing [REDACTED] subpoenas.
648	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	16	On Hold/Pending with OIG	Take steps to ensure that all changes to DEA policies, guidance, or procedures adopted as a result of implementing the foregoing recommendations are disseminated widely and readily available to DEA employees and other users of the programs, as appropriate [REDACTED]. All such changes should be incorporated into the DEA Agents Manual and periodic training provided to users of the relevant programs and to SOD and NS personnel, as appropriate.
649	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	FBI	03/26/2019	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
650	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	JMD	03/18/2019	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
651	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	4	Resolved	Remedy the \$168,679 in unsupported reimbursed expenditures related to conductor payments.
652	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	3a	Resolved	Remedy the \$760,199 in unallowable reimbursed grant expenditures due to CCSD's lack of adherence to OJP requirements, implementation of a program that severely deviated from the grant's approved scope and intent, and inadequate progress in achieving grant goals and objectives.
653	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	3b	Resolved	Remedy the \$33,743 in unallowable reimbursed expenditures associated with the Data Management Coordinator salary because CCSD did not achieve the required level of data collection to accomplish the grant objectives.
654	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	3c	Resolved	Remedy the \$35,642 in unallowable reimbursed expenses associated with expenditures that were not in the NIJ-approved budget, including an unapproved administrative support position, website development from an unapproved vendor, conductor trainings by unapproved vendors, background checks, consultant travel, and data plans for the tablet computers.
655	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	3d	Resolved	Remedy the \$112,697 in unallowable expenditures incurred prior to the allowable period of performance.
656	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	5a	Resolved	Put to better use the \$598,663 in unreimbursed CCSD expenditures that are unallowable due to lack of adherence to OJP requirements, implementation of a program that severely deviated from the grant's approved scope and intent, and inadequate progress in achieving grant goals and objectives.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
657	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	5b	Resolved	Put to better use the \$9,863 in unreimbursed expenditures associated with the Data Management Coordinator salary because CCSD did not achieve the required level of data collection to accomplish grant objectives.
658	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	5c	Resolved	Put to better use the \$16,291 in unreimbursed expenses associated with expenditures that were not in the NIJ-approved budget, including conductor trainings by unapproved vendors, background checks, and data plans for the tablet computers.
659	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	5d	Resolved	Put to better use the \$110,154 in not yet reimbursed expenditures related to conductor payments that were unsupported.
660	<u>Audit of Efforts to Safeguard Minors in Department of Justice Youth-Centered Programs</u>	ODAG	03/13/2019	2	Resolved	Leverage and coordinate existing law enforcement tools, to the extent permissible by law, to facilitate screening that effectively mitigates the risk of improper individuals interacting with youth through DOJ programs.
661	<u>Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	DEA	03/12/2019	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
662	<u>Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC</u>	FBI	03/11/2019	8	Resolved	Implement policies and procedures to review tolls incurred by TFOs to determine the appropriateness of tolls for official business or commuting.
663	<u>Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC</u>	FBI	03/11/2019	9	Resolved	Implement policy regarding the use of express tolls and transponders.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
664	<u>Procedural Reform Recommendation for the U.S. Marshals Service</u>	USMS	02/13/2019	4	Resolved	Develop and implement a "terminal leave" policy that provides that under no circumstances shall a proposed or final determination on a personnel action, whether based on deficient performance or misconduct, be delayed or not taken so that an employee can accumulate service time, whether by remaining on duty, while on administrative leave, while on leave without pay, or while on annual leave, in order to attain eligibility for benefits, including but not limited to retirement benefits.
665	<u>Audit of the Office on Violence Against Women Training and Technical Assistance Program</u>	OVW	02/13/2019	7	Resolved	Develop and implement guidance to prevent unnecessary or inappropriate use of the DOJ maximum consultant rate.
666	<u>Audit of the Office on Violence Against Women Training and Technical Assistance Program</u>	OVW	02/13/2019	10	Resolved	Develop and implement policies to ensure that all content produced under the TA Initiative is made available to the recipient community, and the public, as appropriate.
667	<u>Audit of the Office on Violence Against Women Training and Technical Assistance Program</u>	OVW	02/13/2019	12	Resolved	Develop and implement policies to ensure that resources funded by the TA Initiative are effectively catalogued for future use.
668	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Nueva Esperanza, Inc., Philadelphia, Pennsylvania</u>	OJP	02/12/2019	1a	Resolved	Remedy \$42,315 in unsupported consultant costs, which includes unsupported questioned costs totaling \$42,315 for consultant charges not supported with time and effort reports, as required.
669	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Nueva Esperanza, Inc., Philadelphia, Pennsylvania</u>	OJP	02/12/2019	1b	Resolved	Remedy \$42,315 in unsupported consultant costs, which includes unsupported questioned costs totaling \$42,315 in charges for consultants who Esperanza could not support were paid reasonable rates, as required.
670	<u>Procedural Reform Recommendation for the Federal Bureau of Investigation</u>	FBI	02/12/2019	1	Resolved	Amend the existing FBI Policy Directive to formally designate an entity to be responsible for text message collection and retention.
671	<u>Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013</u>	OJP	12/18/2018	4	Resolved	Conduct a study on data collected under the Death in Custody Reporting Act of 2013 as described in the statute and submit a report on the study to Congress as soon as practicable.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
672	<u>Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013</u>	FBI	12/18/2018	3a	Resolved	Work together with the Office of Justice Programs (OJP) to identify and implement death in custody data collection best practices and reduce duplicative data collection efforts.
673	<u>Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013</u>	OJP	12/18/2018	3b	Resolved	Work together with the Federal Bureau of Investigation (FBI) to identify and implement death in custody data collection best practices and reduce duplicative data collection efforts.
674	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	14	Resolved	Remedy \$180,348 in unsupported grant expenditures under Grant Number 2014-XV-BX-K031 regarding lack of support for program accomplishments from November 2014 through July 2016.
675	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	13a	Resolved	Remedy \$23,934 in unsupported grant expenditures regarding the lack of support for program accomplishments and activities that did not support program goals and objectives that occurred before certain Project Coordinators started under the Adult and Juvenile Court Programs under Grant Number 2014-AX-BX-0022 from February 2016 through November 13, 2016.
676	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	13b	Resolved	Remedy \$168,415 in unsupported grant expenditures regarding the lack of support for program accomplishments and activities that did not support program goals and objectives that occurred before certain Project Coordinators started under the Adult and Juvenile Court Programs under Grant Number 2014-TY-FX-0008, from February 2015 through October 2, 2016.
677	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	15b	Resolved	Remedy \$26,057 in unallowable grant expenditures that occurred before the related special conditions were removed under Grant Number 2014-XV-BX-K031.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
678	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	16a	Resolved	Remedy \$5,883 in unsupported personnel and associated fringe costs related to the lack of time and effort reports under Grant Number 2014-AC-BX-0022.
679	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	16b	Resolved	Remedy \$6,764 in unsupported personnel and associated fringe costs related to the lack of time and effort reports under Grant Number 2014-TY-FX-0008.
680	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	16c	Resolved	Remedy \$1,642 in unsupported personnel and associated fringe costs related to the lack of time and effort report under Grant Number 2014-XV-BX-K031.
681	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	18a	Resolved	Remedy \$7,072 in unallowable travel costs under Grant Number 2014-AC-BX-0022.
682	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	18c	Resolved	Remedy \$4,262 in unallowable travel costs under Grant Number 2014-XV-BX-K031.
683	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	19a	Resolved	Remedy \$2,964 in unsupported travel costs under Grant Number 2014-AC-BX-0022.
684	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	19b	Resolved	Remedy \$2,880 in unsupported travel costs under Grant Number 2014-TY-FX-0008.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
685	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	19c	Resolved	Remedy \$490 in unsupported travel costs under Grant Number 2014-XV-BX-K031.
686	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	21a	Resolved	Remedy \$1,199 in unallowable supply costs under Grant Number 2014-AC-BX-0022.
687	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	21c	Resolved	Remedy \$9,278 in unallowable supply costs under Grant Number 2014-XV-BX-K031.
688	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	22a	Resolved	Remedy \$375 in unsupported supply costs under Grant Number 2014-TY-FX-0008.
689	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	22b	Resolved	Remedy \$652 in unsupported supply costs under Grant Number 2014-XV-BX-K031.
690	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	23b	Resolved	Remedy \$4,172 in unallowable contractor and consultant costs under Grant Number 2014-XV-BX-K031
691	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	24b	Resolved	Remedy \$1,625 in unsupported contractor and consultant costs under Grant Number 2014-XV-BX-K031.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
692	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	25a	Resolved	Remedy \$362 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-AC-BX-0022.
693	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	25c	Resolved	Remedy \$3,632 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-XV-BX-K031.
694	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	FBI	06/25/2018	1c	Resolved	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.
695	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	USMS	06/25/2018	1d	Resolved	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.
696	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	FBI	06/25/2018	2c	Resolved	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.
697	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	USMS	06/25/2018	3d	Resolved	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.
698	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	ATF	06/25/2018	4a	Resolved	Identify and take steps to address barriers to advancement for women within the component and among different job types.
699	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	2	Resolved	Consider making explicit that, except in situations where the law requires or permits disclosure, an investigating agency cannot publicly announce its recommended charging decision prior to consulting with the Attorney General, Deputy Attorney General, U.S. Attorney, or his or her designee, and cannot proceed without the approval of one of these officials.
700	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	4	Resolved	Consider providing guidance to agents and prosecutors concerning the taking of overt investigative steps, indictments, public announcements, or other actions that could impact an election.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
701	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	5	Resolved	Take steps to improve the retention and monitoring of text messages Department-wide
702	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	9	Resolved	Department ethics officials include the review of campaign donations for possible conflict issues when Department employees or their spouses run for public office.
703	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	1a	Resolved	Consider developing practice guidance that would assist investigators and prosecutors in identifying the general risks with and alternatives to permitting a witness to attend a voluntary interview of another witness, in particular when the witness is serving as counsel for the other witness.
704	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	FBI	06/14/2018	1b	Resolved	Consider developing practice guidance that would assist investigators and prosecutors in identifying the general risks with and alternatives to permitting a witness to attend a voluntary interview of another witness, in particular when the witness is serving as counsel for the other witness.
705	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	3a	Resolved	Consider adopting a policy addressing the appropriateness of Department employees discussing the conduct of uncharged individuals in public statements.
706	<u>Audit of the United States Marshals Service's Property and Asset Control Enterprise Solution Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	USMS	03/30/2018	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
707	<u>Audit of the United States Marshals Service's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	USMS	03/30/2018	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
708	<u>Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations</u>	FBI	03/29/2018	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
709	<u>Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations</u>	FBI	03/29/2018	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

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710	<u>Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations</u>	FBI	03/29/2018	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
711	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
712	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	14	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
713	<u>Audit of the Department of Justice's Efforts to Address Patterns or Practices of Police Misconduct and Provide Technical Assistance on Accountability Reform to Police Departments</u>	ODAG	02/13/2018	14	Resolved	Clarify the circumstances in which a component is responsible for designating an incident to be "high-profile" under the March 2016 guidance.
714	<u>Audit of the Department of Justice's Efforts to Address Patterns or Practices of Police Misconduct and Provide Technical Assistance on Accountability Reform to Police Departments</u>	ODAG	02/13/2018	15	Resolved	Develop procedures detailing the circumstances when notification and coordination with the relevant U.S. Attorney's Office is appropriate in jurisdictions where technical assistance will be provided by the OJP, COPS Office, or CRS.
715	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	2	Resolved	Ensure that the adjudication vendor is able to reproduce on demand all necessary data elements used to adjudicate the claims (e.g., DRG, all procedure codes, and drug information). The universe of claims data should be available to BOP on a national scale in a format that allows for thorough analysis and oversight regardless of institution.
716	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	1a	Resolved	Move immediately to require all CMS contractors to submit electronic claims.
717	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	1b	Resolved	Ensure those claims are properly analyzed and maintained by BOP's adjudication vendor.
718	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	1c	Resolved	Enforce existing contract language that requires the adjudication vendor to perform fraud analytics and report any indicators of fraud to the BOP.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
719	<u>Review of the Department's Tribal Law Enforcement Efforts Pursuant to the Tribal Law and Order Act of 2010</u>	ODAG	12/14/2017	1	Resolved	Update the 2010 policy memoranda to U.S. Attorneys and heads of components to incorporate Tribal Law and Order Act mandates.
720	<u>Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014</u>	ALLDOJ	11/07/2017	3	Resolved	Ensure all accounting entry corrections are addressed prior to submission of file B.
721	<u>Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014</u>	ALLDOJ	11/07/2017	7	Resolved	Continue its efforts to implement the Unified Financial Management System (UFMS) in order to submit supported and accurate data to beta.USASpending.gov and to be in compliance with OMB Memorandum M-15-12.
722	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	1	On Hold/Pending with OIG	Track, summarize, and annually report InTP performance metrics as required.
723	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	2	On Hold/Pending with OIG	Ensure that leads and referrals concerning insider threats are handled and monitored in a systematic way, including making sure that leads go to the appropriate point of contact at each internal FBI component.
724	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	3	On Hold/Pending with OIG	Pursue technological solutions to mitigate the need for, or reduce the risk of, stand-alone systems.
725	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	4	On Hold/Pending with OIG	Conduct a comprehensive inventory of classified networks, systems, applications, and other information technology assets and identify a component responsible for maintaining the inventory.
726	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	5	On Hold/Pending with OIG	Ensure user activity monitoring (UAM) coverage over all classified systems and networks and identify a component to maintain an accurate inventory of all information technology assets that have user activity monitoring coverage.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
727	<u>Report of Investigation of the Actions of Former DEA Leadership in Connection with the Reinstatement of a Security Clearance</u>	ODAG	09/07/2017	2	Resolved	Amend or supplement the Department Security Officer's delegation of authority to clarify that for the purpose of security adjudications, SPMs report solely to the Department Security Officer, and not to senior officials within the components.
728	<u>Management Advisory Memorandum: Recommendations for a Department of Justice Policy Establishing Standards for its Security Offices to Review Misconduct Investigations for Security Clearance Adjudications</u>	ODAG	09/06/2017	1	Resolved	Issue or clarify policies to require DOJ security offices to routinely request relevant misconduct-related materials from relevant DOJ misconduct offices for consideration in connection with security clearance adjudications. Such policies should include a clear definition of relevant misconduct-related materials that ensures that security offices receive the necessary and appropriate information to make fully-informed decisions.
729	<u>Management Advisory Memorandum: Recommendations for a Department of Justice Policy Establishing Standards for its Security Offices to Review Misconduct Investigations for Security Clearance Adjudications</u>	ODAG	09/06/2017	2	Resolved	Issue or clarify policies to require DOJ misconduct offices to provide such relevant misconduct-related materials to DOJ security offices or OPM to ensure timely and informed security clearance adjudications, whether those materials arise as a result of a misconduct investigation or are requested in connection with a security clearance adjudication.
730	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	1	Resolved	Establish in policy the circumstances that warrant the placement of inmates in single-cell confinement while maintaining institutional and inmate safety and security and ensuring appropriate, meaningful human contact and out-of-cell opportunities to mitigate mental health concerns.
731	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	2	Resolved	Define and establish in policy extended placement in measureable terms.
732	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	3	Resolved	Track all inmates in single-cell confinement and monitor, as appropriate, the cumulative amount of time that inmates with mental illness spend in restrictive housing, including single-cell confinement.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
733	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	4	Resolved	Identify all forms of restrictive housing utilized throughout its institutions and ensure that all local policies are updated to reflect standards for all inmates in restrictive housing consistent with established nationwide policies.
734	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	5	Resolved	Evaluate and limit as appropriate the consecutive amount of time that inmates with serious mental illness may spend in restrictive housing.
735	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	15	Resolved	Conduct a comprehensive review of U.S. Penitentiary Lewisburg's Special Management Unit that addresses the staffing, treatment, conditions of confinement, and performance metrics of the program.
736	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	ODAG	05/24/2017	7	On Hold/Pending with OIG	Determine whether revisions to the post-shooting incident procedures should be made across the Department's law enforcement components to address the issue of shooting incidents outside the United States by a foreign LEO working on a joint law enforcement operation with a DOJ component. We also recommend that the Deputy Attorney General consider whether revisions to the components' post-shooting incident procedures should be made to ensure that the requirements are appropriate and consistent across the Department's law enforcement components.
737	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	6	Resolved	Ensure that FBIC completes all planned objectives for Grant Number 2012-IC-BX-0007.
738	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	10	Resolved	Ensure that the FBIC has procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program and those specified in the solicitation and award documents.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
739	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OVW	05/10/2017	10	Resolved	Ensure that the FBIC has procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program and those specified in the solicitation and award documents.
740	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	15	Resolved	Ensure that the FBIC has a process to ensure that federal cash on hand is the minimum needed for disbursements to be made immediately or within 10 days and, if the funds are not spent or disbursed within 10 days, the FBIC must return them to the awarding agency.
741	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OVW	05/10/2017	15	Resolved	Ensure that the FBIC has a process to ensure that federal cash on hand is the minimum needed for disbursements to be made immediately or within 10 days and, if the funds are not spent or disbursed within 10 days, the FBIC must return them to the awarding agency.
742	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	7a	Resolved	Assess the FBIC's ability to complete the program goals prior to the end of the award and ensure program sustainability for Grant Number 2014-CZ-BX-0013.
743	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	7b	Resolved	Assess the FBIC's ability to complete the program goals for Grant Number 2015-AC-BX-0011 prior to the end of the award.
744	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2016</u>	JMD	03/27/2017	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
745	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2016</u>	JMD	03/27/2017	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
746	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	1	On Hold/Pending with OIG	Remedy \$59,590 in unsupported drug buy expenditures from FY 2011 through FY 2015 as identified.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
747	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	3	On Hold/Pending with OIG	Remedy \$43,851 in unsupported overtime expenditures from FY 2011 through FY 2015 as identified.
748	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	4	On Hold/Pending with OIG	Remedy \$73,329 of garage expenditures identified as unsupported.
749	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	6	On Hold/Pending with OIG	Remedy \$110,548 of unsupported supplies and equipment purchased not properly procured under the Franklin County purchasing policy.
750	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	7	On Hold/Pending with OIG	Remedy \$45,061 in unsupported telecommunication's expenditures.
751	<u>Audit of the Office on Violence Against Women Encourage Arrest Policies and Enforcement of Protection Orders Program Grants Awarded to Bucks County, Pennsylvania</u>	OVW	02/14/2017	6	Resolved	Remedy \$626,133 in unsupported personnel and fringe benefits of subrecipient expenditures.
752	<u>Audit of the Office on Violence Against Women Encourage Arrest Policies and Enforcement of Protection Orders Program Grants Awarded to Bucks County, Pennsylvania</u>	OVW	02/14/2017	8	Resolved	Remedy \$72,000 in unsupported consultant expenses.
753	<u>Audit of the Office of Justice Programs' Tribal Justice Systems Infrastructure Program</u>	OJP	01/18/2017	3a	Resolved	Remedy \$10,720,232 in unallowable costs awarded to the Nisqually Tribe to fund a correctional facility that was not funded or used in conformity with the statutory authority of the TJSIP, and that was inappropriately built with the intention of being a profit-generating facility.
754	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	3	Resolved	Remedy \$2,549 in inadequately supported questioned costs relating to grant expenditures
755	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	4	Resolved	Remedy \$21,311 for unallowable salary and associated fringe benefits.
756	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	5	Resolved	Remedy \$24,149 in inadequately supported salary and fringe benefits.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
757	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	6	Resolved	Remedy \$1,085 in inadequately supported fringe benefits (health insurance premiums).
758	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	8	Resolved	Remedy \$284,785 in inadequately supported LGPD and CUPO costs.
759	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	9a	Resolved	Remedy \$4,511 in unallowable Probation Officer costs.
760	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	9b	Resolved	Remedy \$7,076 in unallowable counselor costs for payments more than the contracted rate.
761	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	9c	Resolved	Remedy \$6,520 in unallowable counselor costs payments for no-shows and cancellations of scheduled victim counseling sessions.
762	<u>A Review of the FBI's Use of Section 215 Orders for Business Records in 2012-2014</u>	FBI	09/29/2016	1	Response Not Yet Due	Continue to pursue ways to make the business records process more efficient, particularly for applications related to cyber cases.
763	<u>A Review of ATF's Undercover Storefront Operations</u>	ODAG	09/08/2016	10	Resolved	Promptly design and implement a plan that ensures that its law enforcement and detention components comply with the Rehabilitation Act of 1973.
764	<u>Review of the Federal Bureau of Prisons' Release Preparation Program</u>	BOP	08/30/2016	1	Resolved	Establish a standardized list of courses, covering at least the Release Preparation Program's core categories, as designated by the BOP, to enhance the consistency of Release Preparation Program curricula across BOP institutions.
765	<u>Review of the Federal Bureau of Prisons' Release Preparation Program</u>	BOP	08/30/2016	2	Resolved	Consider implementing the use of validated assessment tools to assess specific inmate programming needs.
766	<u>Review of the Federal Bureau of Prisons' Release Preparation Program</u>	BOP	08/30/2016	5	Resolved	Explore the use of incentives and other methods to increase inmate participation and completion rates for the Institution Release Preparation Programs.
767	<u>Review of the Federal Bureau of Prisons' Release Preparation Program</u>	BOP	08/30/2016	7	Resolved	Establish a mechanism to assess the extent that, through the Release Preparation Program, inmates gain relevant skills and knowledge to prepare them for successful reentry to society.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
768	<u>Audit of the Office of Justice Programs Human Trafficking and the Office on Violence Against Women Legal Assistance Awards to the Asian Pacific Islander Legal Outreach, San Francisco, California</u>	OVW	08/22/2016	4	Resolved	Ensure that APILO identifies actual costs paid to its subrecipients, provides adequate support for those expenditures and properly supports these invoices with supportable rates that represent costs of actual services.
769	<u>Audit of the Federal Bureau of Investigation's Cyber Threat Prioritization</u>	FBI	07/20/2016	1	On Hold/Pending with OIG	Utilize an algorithmic, data driven, and objective methodology in the scoping and prioritization of cyber threat sets, including: <ul style="list-style-type: none"> • Document policies and procedures and provide training for the use of the methodology, including who should enter the data and how the data should be used in prioritizing cyber threat sets. • Ensure that the results of the threat ranking tool are updated automatically through integration with Sentinel and updated manually at least every 30 days so that emerging threat sets can be identified and mitigated in a timely manner.
770	<u>Audit of the Federal Bureau of Investigation's Cyber Threat Prioritization</u>	FBI	07/20/2016	2	On Hold/Pending with OIG	Develop and implement a record keeping system that tracks agent time utilization by threat set.
771	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	3	Resolved	Develop uniform guidelines and criteria for conducting random staff pat searches across all institutions that require a minimum frequency and duration for search events to ensure that appropriate numbers of staff on each shift are searched with appropriate frequency.
772	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	4	Resolved	Define what quantities, if any, of tobacco and related tobacco products should be authorized for staff to bring into institutions for personal use.
773	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	5	Resolved	Restrict the size and content of personal property that staff may bring into BOP institutions.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
774	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	6	Resolved	Establish procedures whereby all post-declaration items discovered during staff screening procedures are documented, along with the identity of the staff member involved, as well as more explicit guidelines for when the items should be confiscated and when such instances or a series of them should be referred for investigation and corrective action.
775	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	11	Resolved	Evaluate the existing security camera system to identify needed upgrades, including to ensure [REDACTED.]
776	<u>The Federal Bureau of Prisons' Reimbursement Rates for Outside Medical Care</u>	BOP	06/08/2016	3	Resolved	Improve the collection and analysis of utilization data for inmate medical care to better understand the services that inmates need and the impact it has on the BOP's medical spending.
777	<u>Summary of a Review of the Management and Operations of an FBI Recreation Association</u>	FBI	05/10/2016	1	Resolved	Temporarily shut down the stores operated by the FBIRA-NY until they are in compliance with New York State law and FBI Policy.
778	<u>Summary of a Review of the Management and Operations of an FBI Recreation Association</u>	FBI	05/10/2016	2	Resolved	Revise and update CPD 0465D, including prohibiting FBIRA Board Members from using personal financial instruments or accounts in connection with FBIRAs, clearly delineating permissible and impermissible uses of FBIRA resources, and requiring accountability for RA funds.
779	<u>Summary of a Review of the Management and Operations of an FBI Recreation Association</u>	FBI	05/10/2016	3	Resolved	Provide appropriate training and guidance to FBIRA directors and FBI Field Division managers.
780	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota</u>	OVW	04/06/2016	6c	Resolved	Remedy the \$84,383 for grant expenditures with insufficient documentation incurred while under the fiscal agent.
781	<u>Audit of the Office of Justice Programs Grant Awarded to the Housing Authority of Plainfield, Plainfield, New Jersey</u>	OJP	03/29/2016	1	Resolved	Remedy \$244,233 in unsupported grant funds.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
782	<u>Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana</u>	OVW	11/09/2015	4	Resolved	Remedy the \$30,353 in grant reimbursements claimed for costs that were unallowable under the terms and conditions of the awards.
783	<u>Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana</u>	OVW	11/09/2015	2b	Resolved	Remedy the \$872,246 in grant reimbursements expended on personnel costs that are not supported by detailed time and attendance records.
784	<u>Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana</u>	OVW	11/09/2015	2c	Resolved	Remedy the \$45,840 in grant reimbursements claimed for costs which are unsupported by grant documentation.
785	<u>Audit of the Office of Justice Programs Correctional Systems and Correctional Alternatives on Tribal Lands Program Grants Awarded to the Navajo Division of Public Safety, Window Rock, Arizona</u>	OJP	09/28/2015	9	Resolved	Remedy \$32,034,623 in unallowable expenditures associated with excessive building sizes for Grant Numbers 2009-ST-B9-0089 and 2009-ST-B9-0100.
786	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	4	Resolved	Ensure the NIJC has policies and procedures in place to ensure expenses are properly approved before payment; consultant agreements are in place and time and effort reports are submitted to support payments; signature pages are maintained for each training event where per diem is distributed; an indirect cost rate is approved before incurring indirect costs; and compliance with all grant special conditions.
787	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	8	Resolved	Ensure that the NIJC implements a process to submit FFRs that accurately reflect expenditures for each reporting period.
788	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	9	Resolved	Ensure that the NIJC determines the amount of the total tuition and registration fees collected from the training events partially funded with grant funds that should be considered program income for Grant Number 2011-VF-GX-K020 and either uses the program income for grant purposes or returns generated income to OJP.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
789	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	2d	Resolved	Remedy \$24,785 in grant reimbursements from Grant Number 2010-IC-BX-K051, \$118,362 in grant reimbursements from Grant Number 2011-IP-BX-K001, and \$93,109 in grant reimbursements from Grant Number 2011-VF-GX-K020 that were paid for indirect costs without an approved indirect cost rate.
790	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	3a	Resolved	Remedy \$216,460 in grant reimbursements from Grant Number 2011-IP-BX-K001 for payments to a consultant that were not within the requirements of the MOU or OJP Financial Guide.
791	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	3b	Resolved	Remedy \$18,900 in grant reimbursements from Grant Number 2010-IC-BX-K051 and \$74,508 from Grant Number 2011-VF-GX-K020 for payments to consultants without sufficient time and effort reports.
792	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	3c	Resolved	Remedy \$56,334 in unsupported costs related to the following issues: \$9,865 in grant reimbursements from Grant Number 2010-IC-BX-K051, \$43,084 from Grant Number 2011-IP-BX-K001, and \$3,385 from Grant Number 2011-VF-GX-K020 for payments to consultants without an agreement.
793	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	13	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
794	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	37	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
795	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	38	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
796	<u>Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons</u>	BOP	05/05/2015	5	Resolved	Study the feasibility of creating units, institutions, or other structures specifically for aging inmates in those institutions with high concentrations of aging inmates.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
797	<u>Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons</u>	BOP	05/05/2015	6	Resolved	Systematically identify programming needs of aging inmates and develop programs and activities to meet those needs.
798	<u>Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons</u>	BOP	05/05/2015	7	Resolved	Develop sections in release preparation courses that address the post-incarceration medical care and retirement needs of aging inmates.
799	<u>The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components</u>	ODAG	03/25/2015	5	Resolved	Ensure that the Department's zero tolerance policy on sexual harassment is enforced in the law enforcement components and that the components' tables of offenses and penalties are complimentary and consistent with respect to sexual harassment.
800	<u>The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components</u>	ODAG	03/25/2015	7	Resolved	All four law enforcement components, in coordination with ODAG, should acquire and implement technology and establish procedures to effectively preserve text messages and images for a reasonable period of time, and components should make this information available to misconduct investigators and, as appropriate, for discovery purposes.
801	<u>The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components</u>	ODAG	03/25/2015	8	Resolved	All four law enforcement components, in coordination with ODAG, should take concrete steps to acquire and implement technology to be able to, as appropriate in the circumstances, proactively monitor text message and image data for potential misconduct.
802	<u>Review of the Drug Enforcement Administration's Use of Cold Consent Encounters at Mass Transportation Facilities</u>	DEA	01/28/2015	1	Resolved	Consider how to determine if cold consent encounters are being conducted in an impartial manner, including reinstating the collection of racial and other demographic data and how it could be used to make that assessment.
803	<u>Review of the Drug Enforcement Administration's Use of Cold Consent Encounters at Mass Transportation Facilities</u>	DEA	01/28/2015	2	Resolved	Develop a way to track cold consent encounters and their results and use the information collected to gain a better understanding of whether and under what circumstances they are an effective use of law enforcement resources.
804	<u>Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho</u>	OVW	12/15/2014	1	Resolved	Remedy the \$166,469 in excess drawdowns.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
805	<u>Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho</u>	OVW	12/15/2014	2	Resolved	Remedy the \$29,583 in unsupported costs due to missing files or inadequate documentation.
806	<u>Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho</u>	OVW	12/15/2014	3	Resolved	Remedy the \$1,096 in unallowable costs related to IRS fines.
807	<u>Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho</u>	OVW	12/15/2014	4	Resolved	Remedy the \$6,758 in unallowable unbudgeted personnel costs.
808	<u>A Review of ATF's Investigation of Jean Baptiste Kingery</u>	ODAG	10/30/2014	1	Resolved	Engage with the leadership at the Department of Homeland Security, ICE, and CBP in an effort to identify and develop opportunities to improve these important and highly consequential relationships.
809	<u>A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations</u>	FBI	08/14/2014	3	On Hold/Pending with OIG	Notify the President's Intelligence Oversight Board concerning the unauthorized collections found in this review containing [redacted-classified] from two providers and seek guidance on whether the FBI should undertake the effort necessary to identify and remove similar unauthorized collections that likely remain in many FBI case files.
810	<u>A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations</u>	FBI	08/14/2014	8	On Hold/Pending with OIG	Revive their efforts to bring about a legislative amendment to Section 2709 by submitting another proposal that defines the phrase "toll billing records."
811	<u>A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations</u>	FBI	08/14/2014	9	On Hold/Pending with OIG	Take steps to ensure that it does not request or obtain "associated" records without a separate determination and certification of relevance to an authorized national security investigation.
812	<u>The Drug Enforcement Administration's Adjudication of Registrant Actions</u>	DEA	05/20/2014	2	Resolved	Establish policy and procedures, including timeliness guidelines for forwarding a case to the Office of the Administrator for final decision when a hearing is waived or terminated.
813	<u>Information Handling and Sharing Prior to the April 15, 2013 Boston Marathon Bombings</u>	FBI	04/10/2014	1	Resolved	Clarify the circumstances under which JTTF personnel may change the display status of a TECS record, particularly in closed cases.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 2/28/22	Recommendation Description
814	<u>A Review of ATF's Operation Fast and Furious and Related Matters</u>	FBI	09/19/2012	4	Resolved	Review the policies and procedures of its other law enforcement components to ensure that they are sufficient to address the concerns we have identified in the conduct of Operations Wide Receiver and Fast and Furious, particular regarding oversight of sensitive and major cases, the authorization and oversight of "otherwise illegal activity," and the use of information in situations where the law enforcement component also has a regulatory function.
815	<u>A Review of the Federal Bureau of Investigation's Activities Under Section 702 of the Foreign Intelligence Surveillance Act Amendments Act of 2008</u>	FBI	09/12/2012	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
816	<u>A Review of the Federal Bureau of Investigation's Activities Under Section 702 of the Foreign Intelligence Surveillance Act Amendments Act of 2008</u>	FBI	09/12/2012	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
817	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	2	Resolved	Remedy the \$2,282,513 in expenditures for equipment not adequately supported or safeguarded by a property management system with periodic inventories.
818	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	3	Resolved	Ensure Newark implement and adhere to policies and procedures for submitting timely FSRs and accurate progress reports.
819	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	4	Resolved	Ensure that Newark implements and adheres to policies and procedures to adequately administer grant funding that address our concerns over the related internal controls. These include, but should not be limited to the following: adhering to procurement regulations, approving grant expenditures in accordance with applicable budgets, and safeguarding equipment.
820	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	1a	Resolved	Remedy unallowable expenditures resulting from project changes that were not approved by COPS and failure to achieve the voice communication objective of the grant (net project costs of \$3,539,432).

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

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821	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	1b	Resolved	Remedy unallowable expenditures resulting from purchase of equipment not competitively procured and not authorized for purchase under the New Jersey Cooperative Purchasing Program (net expenditures of \$2,777,569).
822	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	1c	Resolved	Remedy unallowable expenditures resulting from purchase of a mobile communications command center vehicle procured in a manner that likely hindered an open and competitive bid process (\$626,221).
823	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	2	On Hold/Pending with OIG	Establish Procedures to Track Source of Facts Provided to the Public and Congress.
824	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	3	On Hold/Pending with OIG	Require Identification of Federal Crime as Part of Documenting Predication.
825	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	4	On Hold/Pending with OIG	Consider Revising Attorney General's Guidelines and DIOG to Reinstate Prohibition on Retention of Irrelevant First Amendment Material from Public Events.
826	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	5	On Hold/Pending with OIG	Clarify When First Amendment Cases Should Be Classified as "Acts of Terrorism" Matters.
827	<u>Report on the President's Surveillance Program</u>	FBI	07/10/2009	1	On Hold/Pending with OIG	Assess its discovery obligations regarding Stellar Wind-derived information in international terrorism prosecutions.
828	<u>Report on the President's Surveillance Program</u>	FBI	07/10/2009	2	On Hold/Pending with OIG	Carefully consider whether it must re-examine past cases to see whether potentially discoverable but undisclosed Rule 16 or Brady material was collected by the NSA under the program, and take appropriate steps to ensure that it has complied with its discovery obligations in such cases.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

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829	<u>Report on the President's Surveillance Program</u>	NSD	07/10/2009	3	On Hold/Pending with OIG	As part of the [Redacted] project, the Justice Department's National Security Division (NSD), working with the FBI, should collect information about the quantity of telephone numbers and e-mail addresses disseminated to FBI field offices that are assigned as Action leads and that require offices to conduct threat assessments.
830	<u>Report on the President's Surveillance Program</u>	NSD	07/10/2009	4	On Hold/Pending with OIG	Consistent with NSD's current oversight activities and as part of its periodic reviews of national security investigations at FBI Headquarters and field offices, NSD should review a representative sampling [Redacted] leads to those offices.
831	<u>Report on the President's Surveillance Program</u>	FBI	07/10/2009	5	On Hold/Pending with OIG	In coordination with the NSA, implement a procedure to identify Stellar Wind-derived information that may be associated with international terrorism cases currently pending or likely to be brought in the future and evaluate whether such information should be disclosed in light of the government's discovery obligations under Rule 16 and Brady.
832	<u>A Review of the FBI's Use of National Security Letters: Assessment of Corrective Actions and Examination of NSL Usage in 2006</u>	FBI	03/17/2008	2	Resolved	Implement measures to verify the accuracy of data entry into the new NSL data system by including periodic reviews of a sample of NSLs in the database to ensure that the training provided on data entry to the support staff of the FBI OGC National Security Law Branch (NSLB), other Headquarters divisions, and field personnel is successfully applied in practice and has reduced or eliminated data entry errors.
833	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	1	On Hold/Pending with OIG	Continue its FBI Headquarters-managed asset validation review process and provide sufficient resources for the Analytical Unit to devote to these reviews.
834	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	2	On Hold/Pending with OIG	Require that any analytical products relating to the asset, together with red flags, derogatory reporting, anomalies, and other counterintelligence concerns be documented in a subsection of the asset's file.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of February 28, 2022)

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835	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	3	On Hold/Pending with OIG	Require the field SSA, the ASAC, and the FBI Headquarters SSA responsible for each asset to signify that they have reviewed the entries in this subsection as part of the routine file review or of semi-annual or annual asset re-evaluations. If anomalies exist, the SSA should note what action has been taken with respect to them, or explain why no action is necessary, and the ASAC's agreement should be noted.
836	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	6	On Hold/Pending with OIG	Require agents to record in the asset file any documents passed and all matters discussed with the asset, as well as each person who was present for the meeting.
837	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	7	On Hold/Pending with OIG	Require alternate case agents to meet with the source on a regular basis, together with the case agent.
838	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	8	On Hold/Pending with OIG	Limit the number of years any Special Agent can continue as an asset's handler. Exceptions should be allowed for good cause only.