



Recommendations Issued by the Office of the
Inspector General that were Not Closed as of
September 30, 2021



22-010

NOVEMBER 2021



EXECUTIVE SUMMARY

Recommendations Issued by the Office of the Inspector General that were Not Closed as of September 30, 2021

The attached report contains information about recommendations from the Department of Justice (DOJ) Office of the Inspector General's (OIG) audits, evaluations, reviews, and other reports that the OIG had not closed as of September 30, 2021. To more accurately represent the number of recommendations that have not been closed and the reports in which the recommendations were made, such recommendations that DOJ determined contain information that is limited official use or classified will be indicated as follows: "[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]" DOJ may identify a recommendation as containing limited official use or classified information if it determines, for example, that its disclosure to unauthorized individuals could negatively impact law enforcement operations, sources, or methods; expose operational vulnerabilities; or harm national security.

The status of each recommendation is subject to change due to DOJ's ongoing efforts to implement them, and the OIG's independent review of information about those efforts. Specifically, a recommendation identified as not closed as of September 30, 2021, may now be closed as a result of actions taken after that date. Although DOJ may have taken steps to implement the recommendations listed in this report, including by partially remedying the questioned costs associated with a recommendation, a recommendation is not considered closed until it has been fully implemented. Please note that DOJ has provided updates for certain recommendations prior to the date of this report that are still under review by the OIG. In addition, the OIG sometimes issues recommendations with multiple subparts in order to better track their implementation. Therefore, the recommendations in the attached report list subparts as separate items. The report also includes recommendations to a non-DOJ federal agency that contracted with the OIG to audit its information technology systems pursuant to the Federal Information Security Modernization Act (FISMA). As a result, the non-DOJ federal agency is responsible for implementing its recommendations, which are included in the statistics described below.

The following categories are used to describe the status of the recommendations in the report:

Response Not Yet Due – DOJ's or the non-DOJ federal agency's initial response to the recommendation is not yet due.

Resolved – DOJ or the non-DOJ federal agency has agreed to implement the recommendation or has proposed actions that will address the recommendation.

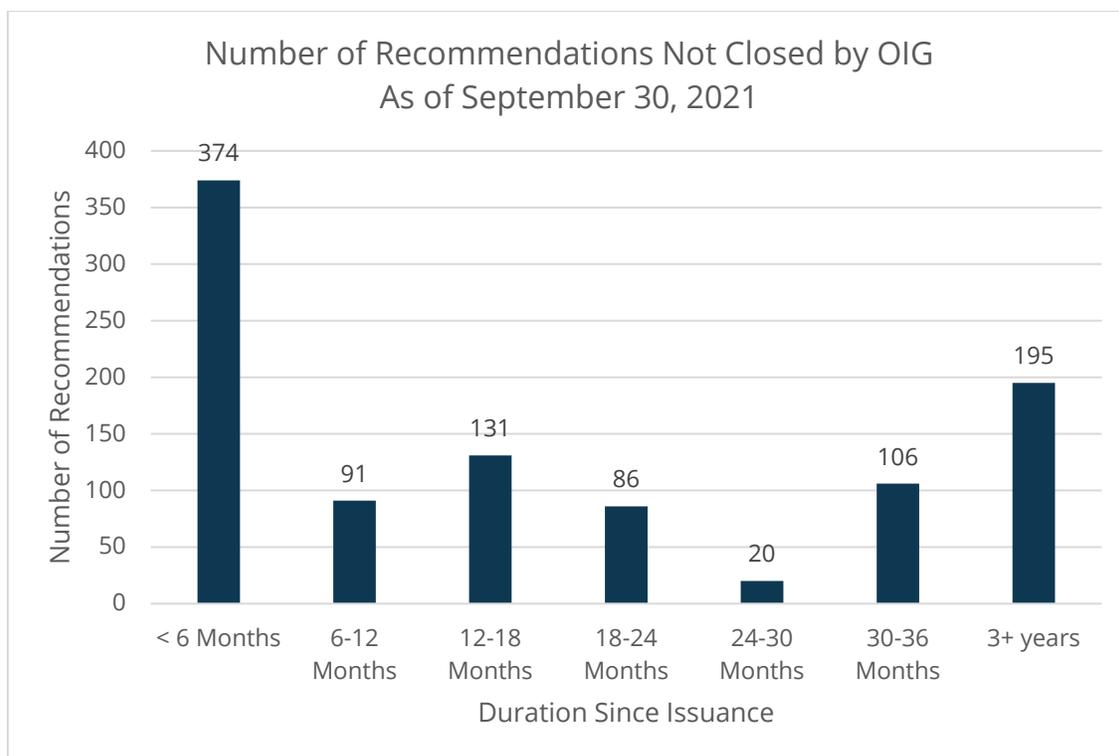
Unresolved – The initial deadline for DOJ's or the non-DOJ federal agency's response to the recommendation has passed, and it has not agreed to implement the recommendation or has not proposed actions that will

address the recommendation. The OIG may also convert a recommendation from “Resolved” to “Unresolved” if it determines that DOJ or the non-DOJ federal agency is not making sufficient progress towards implementation.

On Hold/Pending with OIG – The completion of the OIG’s assessment of the status of the recommendation is on hold or pending due to ongoing reviews or other factors.

As of September 30, 2021, the OIG had not closed 1003 recommendations, which the OIG associated with the following statuses at that time: Resolved (881 recommendations), On Hold/Pending with OIG (118 recommendations), Response Not Yet Due (3 recommendations), and Unresolved (1 recommendation).

The information in the following chart is derived from the attached report and includes recommendations that are in the categories described above as of September 30, 2021.



Source: DOJ OIG

The OIG’s assessment of the most significant recommendations that have not been closed is included in our Semiannual Reports, which are available at <https://oig.justice.gov/semiannual/>.

Acronyms and Abbreviations

Department of Justice Components

ALLDOJ	Department of Justice
ATF	Bureau of Alcohol, Tobacco, Firearms and Explosives
BOP	Federal Bureau of Prisons
COPS	Office of Community Oriented Policing Services
CRM	Criminal Division
CRT	Civil Rights Division
DEA	Drug Enforcement Administration
ENRD	Environment and Natural Resources Division
EOIR	Executive Office for Immigration Review
EOUSA	Executive Office for U.S. Attorneys
FBI	Federal Bureau of Investigation
FPI	Federal Prison Industries, Inc.
JMD	Justice Management Division
NSD	National Security Division
OAG	Office of the Attorney General
ODAG	Office of the Deputy Attorney General
OJP	Office of Justice Programs
OWW	Office on Violence Against Women
TAX	Tax Division
USMS	U.S. Marshals Service
USNCB	United States National Central Bureau

Non-DOJ Federal Agency

CSOSA	Court Services and Offender Supervision Agency
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Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
1	<u>Review of the Office of Justice Programs' Administration of CARES Act Funding</u>	OJP	09/29/2021	1	Resolved	Assess the extent to which dissatisfaction with current performance reporting is limited to the transition to JustGrants, and, if necessary, provide clarification to the CESF community as to the type of information BJA expects to receive on its semi-annual progress reports in the future.
2	<u>Review of the Office of Justice Programs' Administration of CARES Act Funding</u>	OJP	09/29/2021	2	Resolved	Ensure it maintains records of staff who attend, and do not attend, program-specific training.
3	<u>Review of the Office of Justice Programs' Administration of CARES Act Funding</u>	OJP	09/29/2021	3	Resolved	Consider whether follow-up training opportunities for the CESF award managers is warranted.
4	<u>Audit of the Federal Bureau of Investigation's Execution of Its Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons</u>	FBI	09/29/2021	4	Resolved	Develop and implement policy that describes the expectations for supervisory review of Woods Files. Specifically, this policy should clearly convey the requirement for reviewers to confirm support for all statements of fact in each application and provide for better evidence of the supervisory review process that goes beyond simply signing the Woods Form. As part of this policy modification, the FBI should also consider options for incorporating an element of independent verification of the Woods File during the FISA application process.
5	<u>Audit of the Federal Bureau of Investigation's Execution of Its Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons</u>	FBI	09/29/2021	5	Resolved	Provide NSD OI the results of the CDC accuracy reviews as they are completed and incorporate this requirement into appropriate FBI policy.
6	<u>Audit of the Federal Bureau of Investigation's Execution of Its Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons</u>	FBI	09/29/2021	6	Resolved	Enhance the CDC accuracy review process to ensure necessary corrective actions are, in fact, taken to address deficiencies identified in CDC accuracy reviews and that these actions are documented.
7	<u>Audit of the Federal Bureau of Investigation's Execution of Its Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons</u>	FBI	09/29/2021	7	Resolved	Designate a headquarters entity with responsibility for ensuring accountability and efficacy of the Woods Procedures across the FBI.

Questioned costs represent gross amounts, and consequently costs that were questioned for more than one reason may appear in multiple recommendations. Please refer to the schedule of dollar-related findings in the relevant report to identify net questioned costs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
8	<u>Audit of the Federal Bureau of Investigation's Execution of Its Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons</u>	NSD	09/29/2021	9	Resolved	Ensure NSD OI's oversight efforts (such as its trends report activities) incorporate a timely, strategic assessment of identified errors from accuracy reviews, including analysis of potential underlying causes, and actively monitor FBI efforts to address NSD OI's recommendations.
9	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	1	Resolved	Update FBI policy to cover summary dismissal, including the criteria for its use, as well as the procedural and oversight processes that differentiate summary dismissal from other adverse disciplinary actions.
10	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	2	Resolved	Update FBI policy to cover last chance agreements, including eligibility criteria and standard conditions included in the agreements.
11	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	3	Resolved	Update FBI policy to clearly specify whether there is a maximum duration for disciplinary suspensions and, if so, the maximum such duration, as well as the reason for the policy.
12	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	4	Resolved	Ensure that FBI OPR has the information it needs to adjudicate all cases by ensuring that every FBI misconduct investigation is completed, regardless of whether the subject separates.
13	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	5	Resolved	Write a memorandum for each misconduct file documenting a substantiation decision and the evidence supporting it in all cases in which an employee separates under inquiry.
14	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	6	Resolved	Assess the capabilities of available electronic recordkeeping platforms and identify ways for the FBI's Office of Professional Responsibility to improve its efficiency through increased use of one or more of these platforms.
15	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	7	Resolved	Increase availability of training and information on the FBI's Office of Professional Responsibility processes for FBI employees, including through the FBI Office of Professional Responsibility intranet site.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
16	<u>Review of the Federal Bureau of Investigation's Adjudication Process for Misconduct Investigations</u>	FBI	09/28/2021	8	Resolved	Standardize and update training materials for new FBI Office of Professional Responsibility adjudicators, and consider adding operational training for adjudicators without FBI field experience.
17	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	1	Resolved	Ensure that the VCCB develop an operational manual to include the procedures regarding the processes for handling victim compensation claims from receipt of application to final distribution of payments, recorded retention requirements, and other processes performed within the VCCB.
18	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	2	Resolved	Ensure that the VCCB establish controls and develop formalized procedures for preparing its annual Crime Victim State Certification Form and retaining copies of submitted certifications and documentation supporting the reported information.
19	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	3	Resolved	Work with the VCCB to determine if its 2015 -2020 Crime Victim State Certification Forms accurately reflected all activity and payments, and take necessary steps to recover any awarded funds, as appropriate.
20	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	4	Resolved	Ensure that the VCCB take immediate steps to address the issues we identified by documenting procedures for compiling its annual and quarterly performance reports, and ensure that the information reported is accurate and fully supported.
21	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	5	Resolved	Ensure that the VCCB establishes procedures for monitoring its compliance with grant special conditions.
22	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	6	Resolved	Work with the VCCB to ensure that records retention procedures are developed and implemented so that important and required documentation is retained in accordance with applicable criteria, and to ensure that the VCCB updates its grant ledgers to reflect all activity including any adjustments for cancelled grant expenditures.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
23	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	7	Resolved	Work with the VCCB to remedy \$242,288 in questioned costs related to unsupported victim compensation payments.
24	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	8	Resolved	Ensure that the VCCB follow applicable guidance regarding expense category limitations.
25	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the State of Alaska, Violent Crimes Compensation Board, Anchorage, Alaska</u>	OJP	09/22/2021	9	Resolved	Work with the VCCB to remedy \$6,540 in questioned costs related to unallowable victim compensation payments.
26	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	1	Resolved	In coordination with JMD, implement policies and procedures to ensure that contracting duties are: (1) retained by Tax Division contracting officers; or (2) appropriately designated to other qualified Tax Division personnel who have received a written designation letter and training commensurate with the duties being designated.
27	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	2	Resolved	Determine whether the Tax Division Guidance is needed, and if it is, update the guidance and ensure trial attorneys have and use the updated guidance as intended.
28	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	3	Resolved	Ensure that it develops written acquisition plans, in accordance with Federal Acquisition Regulation (FAR) requirements, for its expert witness services contracts.
29	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	4	Resolved	Ensure its expert witness services contracts are supported with adequate and documented market research in accordance with FAR requirements.
30	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	5	Resolved	Ensure that its contracting officers conduct adequate price reasonableness determinations and obtain certified cost or pricing data, where necessary, to ensure the Tax Division is in compliance with the FAR and is receiving a fair and reasonable price for expert witness services.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
31	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	6	Resolved	Incorporate the mandatory whistleblower protections in all ongoing expert witness services contracts greater than \$250,000 as prescribed in FAR Subpart 3.908-9 and ensure the necessary whistleblower protections are incorporated on future contracts.
32	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	7	Resolved	Require future invoices for contract expert witness services to contain adequate descriptions that clearly tie to contract deliverables.
33	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	8	Resolved	Reiterate to all staff involved in the review and approval of invoices their responsibilities in the invoice review process and ensure this staff has all necessary guidance and policy to fully execute their responsibilities.
34	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	9	Resolved	Remedy the \$9,350 in unsupported expert witness services costs.
35	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	10	Resolved	Remedy the \$13,016 in unallowable labor costs and \$1,930 in unallowable travel costs.
36	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	11	Resolved	Ensure quality assurance surveillance plans are developed and documented in compliance with FAR requirements and support the Tax Division's efforts to receive the services in accordance with the contract terms and conditions listed in the statement of work.
37	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	12	Resolved	Establish policy requiring past performance evaluations to be conducted in accordance with FAR Subpart 42.15, if JMD's deviation request is not approved.
38	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	13	Resolved	Ensure that appropriate official contracting personnel are involved in future contract modifications to reduce the risk of FAR non-compliance.
39	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	14	Resolved	Establish guidance to enhance its invoice review process to include a determination of whether the services provided are expert witness services or litigative consulting.
40	<u>Audit of Certain Tax Division Contracts Awarded for Expert Witness Services</u>	TAX	09/22/2021	15	Resolved	Disseminate JMD guidance on the use of the Fees and Expenses for Witnesses (FEW) Appropriation to all relevant staff, including its trial attorneys.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
41	<u>Review of the Institutional Hearing and Removal Program Expansion for Federal Inmates</u>	JMD	09/22/2021	1	Resolved	Develop performance metrics to assess the effect of the Institutional Hearing and Removal Program expansion and assess the program itself, including the roles and responsibilities each agency will have related to performance metrics, data collection, and evaluation.
42	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc.</u>	OVW	09/21/2021	1	Resolved	Ensure that The Haven develops and implements procedures to ensure subrecipient data required for performance reports is obtained timely for use in performance reports.
43	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc.</u>	OVW	09/21/2021	2	Resolved	Ensure that The Haven obtains training and assistance on procedures for reporting performance data.
44	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc.</u>	OVW	09/21/2021	3	Resolved	Ensure that The Haven submits its client selection criteria for approval for the Legal Assistance Program grant.
45	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc.</u>	OVW	09/21/2021	4	Resolved	Ensure that The Haven develops and implements written policies and procedures for subrecipient performance and financial monitoring.
46	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc.</u>	OVW	09/21/2021	5	Resolved	Ensure that The Haven maintains documentation for all communication with its subrecipients.
47	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc.</u>	OVW	09/21/2021	6	Resolved	Ensure that The Haven develops and implements policies and procedures to document its calculation of indirect costs in accordance with the DOJ Grants Financial Guide.
48	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc.</u>	OVW	09/21/2021	7	Resolved	Ensure that The Haven modifies its accounting system to ensure that requirements for recording and reporting on grant transactions are met and records are properly maintained.
49	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc.</u>	OVW	09/21/2021	8	Resolved	Require The Haven develop and implement procedures to ensure that both required signatures are provided for checks greater than \$1,000.
50	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc.</u>	OVW	09/21/2021	9	Resolved	Ensure that The Haven submits a Grant Adjustment Modification (GAM) if it intends to carry out the proposed scope changes to ensure completion of its project goals and objectives.
51	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc.</u>	OVW	09/21/2021	10	Resolved	Ensure that The Haven promptly assess its planned grant expenditures for the remaining 9 months of the Legal Assistance Program grant and request approval for a no-cost extension of the grant period, if necessary.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
52	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc.</u>	OVW	09/21/2021	11	Resolved	Ensure that The Haven develops and implements written policies and procedures for preparing and submitting drawdown requests and clearly defines the roles and responsibilities for its staff.
53	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc.</u>	OVW	09/21/2021	12	Resolved	Ensure that all staff responsible for grant financial management are trained on policies and procedures for preparing drawdowns.
54	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc.</u>	OVW	09/21/2021	13	Resolved	Require The Haven to correct the Federal Financial Reports (FFRs).
55	<u>Audit of the Office on Violence Against Women Grants Awarded to The Haven, Inc.</u>	OVW	09/21/2021	14	Resolved	Ensure The Haven develops and implements policies and procedures to ensure that FFRs are accurate and timely submitted.
56	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	1	Resolved	Clarify its reporting requirements to ensure AEAP grantees are properly reporting metrics on performance reports.
57	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	2	Resolved	Ensure that its AEAP performance reporting template requires the grantee to identify the period of performance for which performance data is being reported.
58	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	3	Resolved	Develop and implement guidance for AEAP grantees relating to the appropriate use of CVF program funding (including victim assistance, victim compensation, and AEAP) when responding to a mass violence incident in accordance with the DOJ Grants Financial Guide.
59	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	4	Resolved	Implement controls to ensure adequate separation of duties for the VOCP.
60	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	5	Resolved	Ensure the VOCP website, including its victim applications, contain accurate information for the public.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
61	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	6	Resolved	Determine if its state certification forms included amounts that were overstated, resulting in funds awarded in error, and if so to take the necessary steps to recover those funds.
62	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	7	Resolved	Submit a corrected FY 2019 state certification form to ensure that the FY 2021 victim compensation award to the NDHHS is correct.
63	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	8	Resolved	Develop and implement procedures to ensure state certification forms are completed accurately, and that documentation used to prepare the forms are being maintained as required by federal and NDHHS policy.
64	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	9	Resolved	Develop and implement a plan to spend or return the remaining AEAP grant funds in a judicious and timely manner.
65	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	10	Resolved	Ensure that it maintains support for the performance data used at the time of reporting to OVC and thereby enable reconciliation of reported data with its internal records.
66	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	11	Resolved	Establish and implement internal controls to ensure its performance reports are accurate.
67	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	12	Resolved	Review its process for the denial of rape and sexual assault claims and ensure that victims of rape or sexual assault are properly informed of how to receive victim compensation benefits.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

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68	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	13	Resolved	Ensure its Financial Points of Contact complete OJP's required trainings and evidence of those trainings are maintained as required by federal policy.
69	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	14	Resolved	Develop and implement controls to help mitigate the risk of duplicate payments and remedy \$2,536 in duplicate victim compensation payments.
70	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	15	Resolved	Properly track and account for all federal funds awarded, drawn down, and expended, including VOCA victim compensation and AEAP grant funds.
71	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	16	Resolved	Ensure federal funds budgeted and received for one project are not used to support another and accurate budget categories are utilized to identify all grant-related costs in its accounting records.
72	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	17	Resolved	Implement controls to ensure adequate user rights and permissions are established in its claims database.
73	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	18	Resolved	Document, track, and review users' access to the claims database and ensure all former employees and contractors no longer have access to the system.
74	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	19	Resolved	Remedy \$24,521 in unallowable victim compensation payments.

Department of Justice Office of the Inspector General - Recommendations Not Closed

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75	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	20	Resolved	Implement controls to ensure victim compensation claims are accurate and supported, and adequate documentation to support the claim is being maintained.
76	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	21	Resolved	Remedy \$8,664 in unsupported victim compensation payments.
77	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	22	Resolved	Remedy \$41,007 in unsupported subrecipient non-personnel expenditures and \$248 in unallowable subrecipient non-personnel expenditures.
78	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	23	Resolved	Remedy \$79,479 in unsupported subrecipient personnel expenditures.
79	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	24	Resolved	Remedy \$14,241 in unallowable subrecipient personnel expenditures.
80	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	25	Resolved	Remedy \$190,400 in unsupported administrative costs.
81	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	26	Resolved	Develop and implement a plan to review all subrecipients' AEAP programmatic and fiscal activities during on-site visits.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
82	<u>Audit of the Office of Justice Programs Victim Compensation and Emergency Assistance Grants Awarded to the State of Nevada Department of Health and Human Services, Carson City, Nevada</u>	OJP	09/21/2021	27	Resolved	Increase monitoring of high-risk subrecipients as required by the NDHHS's policy.
83	<u>Audit of the Federal Bureau of Investigation's Efforts to Notify Victims of Child Sexual Abuse Material</u>	FBI	09/20/2021	1	Resolved	Develop and implement clearly defined procedures that establish a process by which all current and future victims of child sexual abuse material are properly notified of their rights in each case where their image appears, consistent with federal law and the Attorney General Guidelines (AG Guidelines).
84	<u>Audit of the Federal Bureau of Investigation's Efforts to Notify Victims of Child Sexual Abuse Material</u>	FBI	09/20/2021	2	Resolved	Complete the in-depth review of all series that the FBI told the OIG it was performing as part of its mitigation plan to determine whether distribution of images has occurred, and use the results of the review to provide, where possible, notification to all victims.
85	<u>Audit of the Federal Bureau of Investigation's Efforts to Notify Victims of Child Sexual Abuse Material</u>	FBI	09/20/2021	3	Resolved	Update the publicly available information for victims of child sexual abuse material to ensure the information provided is consistent with its current procedures for notification to all victims.
86	<u>Audit of the Federal Bureau of Investigation's Efforts to Notify Victims of Child Sexual Abuse Material</u>	FBI	09/20/2021	4	Resolved	Ensure all identified series either have Notification Preference Forms on file or maintain complete information on the status of efforts to acquire the forms.
87	<u>Audit of the Federal Bureau of Investigation's Efforts to Notify Victims of Child Sexual Abuse Material</u>	FBI	09/20/2021	5	Resolved	Establish a standard process for obtaining victim information that is readily available from sources other than completed Notification Preference Forms to achieve a more comprehensive tracking system for victims.
88	<u>Audit of the Federal Bureau of Investigation's Efforts to Notify Victims of Child Sexual Abuse Material</u>	FBI	09/20/2021	6	Resolved	Ensure the use of a single tracking system, such as the Child Pornography Victim Assistance Program (CPVA) tracking system or another system, as the: (1) comprehensive, reliable, and central repository for all victim information, to include information available from other sources such as Sentinel; and (2) source of all reports regarding the status of victim notification information and preferences.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
89	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	ATF	09/20/2021	1	Resolved	Leverage the DOJ's purchasing power by continuing to collaborate on future acquisitions for the same or similar equipment to identify potential cost savings, increase value and efficiencies, and eliminate administrative redundancies.
90	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	DEA	09/20/2021	1	Resolved	Leverage the DOJ's purchasing power by continuing to collaborate on future acquisitions for the same or similar equipment to identify potential cost savings, increase value and efficiencies, and eliminate administrative redundancies.
91	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	1	Resolved	Leverage the DOJ's purchasing power by continuing to collaborate on future acquisitions for the same or similar equipment to identify potential cost savings, increase value and efficiencies, and eliminate administrative redundancies.
92	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	ATF	09/20/2021	2	Resolved	Review and revise, as appropriate, policies and procedures to ensure that contract expenditures for audio and video equipment are compliant with the FAR and other applicable criteria.
93	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	2	Resolved	Review and revise, as appropriate, policies and procedures to ensure that contract expenditures for audio and video equipment are compliant with the FAR and other applicable criteria.
94	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	ATF	09/20/2021	3	Resolved	Implement policies and procedures to ensure contractor performance is adequately monitored and appropriate measures are taken when the contractor is not sufficiently meeting standards outlined in each contract.
95	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	DEA	09/20/2021	3	Resolved	Implement policies and procedures to ensure contractor performance is adequately monitored and appropriate measures are taken when the contractor is not sufficiently meeting standards outlined in each contract.
96	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	3	Resolved	Implement policies and procedures to ensure contractor performance is adequately monitored and appropriate measures are taken when the contractor is not sufficiently meeting standards outlined in each contract.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
97	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	ATF	09/20/2021	4	Resolved	Assess the different needs of end-users of covert audio and video equipment to identify appropriate performance measures to be included in future contracts. Each agency should consider cost and availability of items procured, timely ordering and delivery of equipment, and other relevant factors.
98	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	DEA	09/20/2021	4	Resolved	Assess the different needs of end-users of covert audio and video equipment to identify appropriate performance measures to be included in future contracts. Each agency should consider cost and availability of items procured, timely ordering and delivery of equipment, and other relevant factors.
99	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	4	Resolved	Assess the different needs of end-users of covert audio and video equipment to identify appropriate performance measures to be included in future contracts. Each agency should consider cost and availability of items procured, timely ordering and delivery of equipment, and other relevant factors.
100	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	ATF	09/20/2021	5	Resolved	Develop and implement a quality assurance surveillance plan for audio and video equipment contracts, as required by the FAR. This plan should ensure adequate oversight of contractor performance and expenditures incurred under the contract.
101	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	5	Resolved	Develop and implement a quality assurance surveillance plan for audio and video equipment contracts, as required by the FAR. This plan should ensure adequate oversight of contractor performance and expenditures incurred under the contract.
102	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	6	Resolved	Complete the wall-to-wall inventory originally scheduled in 2020 to ensure that all items purchased from ADS are properly tracked in each agency's property management system according to agency policy.
103	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	DEA	09/20/2021	7	Resolved	Improve processes to ensure that security risks are adequately assessed during acquisition planning, and appropriately mitigate those risks after the contract has been executed.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
104	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	7	Resolved	Improve processes to ensure that security risks are adequately assessed during acquisition planning, and appropriately mitigate those risks after the contract has been executed.
105	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	DEA	09/20/2021	8	Resolved	Implement policies and procedures to ensure that future contracts appropriately address the risks and performance outcomes identified during acquisition planning.
106	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	8	Resolved	Implement policies and procedures to ensure that future contracts appropriately address the risks and performance outcomes identified during acquisition planning.
107	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	9	Resolved	Enhance policies and procedures to ensure that orders containing time-and-materials elements include negotiated labor rates that identify the contractor's wages, overhead, general and administrative expenses, profit, and actual costs of materials. The FBI should ensure that these contracts are compliant with all FAR requirements.
108	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	FBI	09/20/2021	10	Resolved	Remedy \$1,475,388 in unsupported time-and-materials costs incurred under the contract by reviewing each delivery order, accompanying invoices, and cost information from ADS to determine: (1) the price reasonableness of each expense; and (2) further detail on the expense incurred, including which portion of the expense is cost versus profit.
109	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	DEA	09/20/2021	11	Resolved	Remedy \$13,560 in unallowable equipment costs resulting from payments to the contractor not approved in the contract.
110	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	DEA	09/20/2021	12	Resolved	Review and revise, as appropriate, its policies and procedures to ensure that a qualified contracting official reviews and approves all invoices for audio and video equipment.
111	<u>Audit of the Department of Justice's Contracts Awarded to Adaptive Digital Systems, Inc. for Covert Audio and Video Equipment</u>	DEA	09/20/2021	13	Resolved	Review and revise, as appropriate, its policies and procedures to ensure that CORs are completing the contracting duties delegated by the contracting officer and meet the requirements of existing DEA guidance.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
112	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	1	Resolved	Ensure that when the Kentucky JPSC identifies the need to alter its funding plans in order to spend the entire VOCA award, the Kentucky JPSC creates a plan to reallocate VOCA funding that complies with Uniform Guidance requirements, to include notifying subrecipients prior to the reallocation of funding.
113	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	2	Resolved	Coordinate with the Kentucky JPSC to reexamine the appropriateness of the Kentucky JPSC's subaward for its new statewide project to ensure it is a good use of federal funds.
114	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	3	Resolved	Provide the Kentucky JPSC with an appropriate level of technical assistance to identify ways that it can facilitate and enhance the subaward process to improve the timeliness of award notifications.
115	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	5	Resolved	Remedy the \$24,105 of unallowable personnel administrative costs.
116	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	6	Resolved	Remedy the \$129,646 of unsupported non-personnel administrative expenditures.
117	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	7	Resolved	Remedy the \$7,698 of unallowable non-personnel administrative expenditures.
118	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	8	Resolved	Remedy the \$377,509 of unsupported subrecipient expenditures.
119	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	9	Resolved	Remedy the \$47,619 of unallowable subrecipients expenditures.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
120	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	10	Resolved	Ensure that the Kentucky JPSC implements a formal written policy documenting its drawdown process, which should account for reconciling the amounts to be drawn down to supporting expenditure data, review by appropriate personnel, and maintaining adequate supporting documentation for amounts drawn down.
121	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	11	Resolved	Remedy the unsupported costs of \$214,787, \$390,364, and \$132,615, totaling \$737,766, for excess funds drawn down through September 16, 2020, on the 2016, 2017, and 2018 VOCA awards, respectively, plus any additional excess funds drawn down since that date.
122	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	12	Resolved	Remedy the \$236,442 of unsupported matching costs.
123	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	13	Resolved	Require the Kentucky JPSC to implement a formal written policy regarding its FFR preparation process to help ensure the information being reported is reconciled to supporting data and is reviewed by appropriate personnel and that data supporting the amounts reported is maintained.
124	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	14	Resolved	Require the Kentucky JPSC to correct and resubmit, as necessary, any inaccurate FFRs for grants that are still ongoing.
125	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	15	Resolved	Ensure that the Kentucky JPSC conducts its programmatic and financial site visits in a timely manner in compliance with its monitoring policy.
126	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	16	Resolved	Ensure that the Kentucky JPSC monitors subrecipient audit findings and, when appropriate, issues management decisions for relevant findings reported in subrecipients' single audits.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
127	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	17	Resolved	Coordinate with the Kentucky JPSC to reiterate through training of its grants management staff the importance of financial requirements to help ensure a more robust financial review and provide reasonable assurance that subrecipient charges to VOCA grants are supported and in compliance with grant requirements.
128	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	18	Resolved	Ensure that the Kentucky JPSC evaluates the potential conflict of interest arising from a contract between a subrecipient and a related organization and determine if action is required.
129	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Kentucky Justice and Public Safety Cabinet, Frankfort, Kentucky</u>	OJP	09/14/2021	19	Resolved	Ensure that the Kentucky JPSC revises its monitoring policies and procedures to include a review of potential conflicts of interest.
130	<u>Audit of the United States Marshals Service's Awarding and Administration of Sole-Source Contracts</u>	USMS	09/13/2021	1	Resolved	Complete its evaluation of the creation of a central, electronic contract filing system, and develop and implement procedures sufficient to ensure all contract files and documentation are maintained as required, and readily accessible through the system.
131	<u>Audit of the United States Marshals Service's Awarding and Administration of Sole-Source Contracts</u>	USMS	09/13/2021	2	Resolved	Update its internal audit plan to include reviews of intergovernmental agreements (IGA) to ensure they are being issued and administered consistent with USMS procurement policy and other related laws and regulations.
132	<u>Audit of the United States Marshals Service's Awarding and Administration of Sole-Source Contracts</u>	USMS	09/13/2021	3	Resolved	Coordinate with the Justice Management Division (JMD) to ensure any new or updated policies align with evolving the Department of Justice (DOJ) whistleblower protection guidance for contractors.
133	<u>Audit of the Bureau of Justice Assistance 2020 Republican Presidential Candidate Nominating Convention Grant Awarded to Charlotte, North Carolina</u>	OJP	09/08/2021	1	Resolved	Ensure that Charlotte implements processes or procedures that adequately document the grant-funded activities of employees paid from grant funds.
134	<u>Audit of the Bureau of Justice Assistance 2020 Republican Presidential Candidate Nominating Convention Grant Awarded to Charlotte, North Carolina</u>	OJP	09/08/2021	2	Resolved	Remedy \$5,838 in unsupported personnel and fringe benefits costs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
135	<u>Audit of the Bureau of Justice Assistance 2020 Republican Presidential Candidate Nominating Convention Grant Awarded to Charlotte, North Carolina</u>	OJP	09/08/2021	3	Resolved	Consider requiring contracts reimbursed from award funds to offer greater flexibility to host cities when emergency situations require termination.
136	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	1	Resolved	Coordinate with Inspire Action to assess which individual training events should be in person or remotely in order to maximize taxpayer value.
137	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	2	Resolved	Coordinate with Inspire Action to develop policies and procedures to ensure that valid and auditable source documentation is maintained to support program performance and progress reports.
138	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	3	Resolved	Coordinate with Inspire Action to enhance its financial policies and procedures related to separation of duties for: (a) approval of expenditures involving Inspire Action personnel, (b) signature authority for award disbursements, and (c) signature authority for contractual obligations.
139	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	4	Resolved	Coordinate with Inspire Action to enhance policies and procedures to address potential organizational conflicts of interest.
140	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	5	Resolved	Coordinate with Inspire Action to enhance its policies and procedures related to verifying that entities awarded government funds are not suspended or debarred.
141	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	6	Resolved	Coordinate with Inspire Action to ensure that travel reimbursements are not paid until all required forms are submitted and approved.
142	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	7	Resolved	Remedy \$8,602 in unsupported direct costs including \$3,039 under Award Number 2015-TA-AX-K020, \$2,745 under Award Number 2016-TA-AX-K009, and 3 transactions, totaling \$2,819 under Award Number 2017-TA-AX-K028.
143	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	8	Resolved	Coordinate with Inspire Action to enhance its policy and procedures to ensure compliance with the DOJ Grants Financial Guide as it relates to gratuity.
144	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	9	Resolved	Remedy \$1,637 in unallowable direct costs including \$430 under Award Number 2016-TA-AX-K009, and \$1,207 under Award Number 2017-TA-AX-K028.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
145	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	10	Resolved	Coordinate with Inspire Action in order to develop policies and procedures that ensure all contract actions, including solicitation, collection, and selection of bids, are documented and supported.
146	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	11	Resolved	Coordinate with Inspire Action to develop policies and procedures to ensure adequate competition for awarded contracts.
147	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	12	Resolved	Remedy \$31,414 in unsupported contractual costs, including \$3,502 under Award Number 2015-TA-AX-K020, \$10,805 under Award Number 2016-TA-AX-K009, \$17,106 under Award Number 2017-TA-AX-K028.
148	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	13	Resolved	Remedy the \$12,393 in unallowable contractual costs including \$3,193 under Award Number 2015-TA-AX-K020, \$4,000 under Award Number 2016-TA-AX-K009, and \$5,200 under Award Number 2017-TA-AX-K028.
149	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	14	Resolved	Coordinate with Inspire Action to enhance policy and procedures to ensure all required documentation is obtained and reviewed prior to paying consultant invoices and payments are made in accordance with contract terms and conditions.
150	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	15	Resolved	Coordinate with Inspire Action to implement policies and procedures to ensure that the Inspire Action's subrecipient agreements contain the proper elements required by the DOJ Grants Financial Guide.
151	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	16	Resolved	Coordinate with Inspire Action to enhance existing policies and procedures to ensure that Inspire Action conducts adequate oversight and monitoring of its subrecipients as outlined in the DOJ Grants Financial Guide.
152	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	17	Resolved	Remedy \$18,393 in unsupported subrecipient costs, including \$16,250 from Award Number 2015-TA-AX-K020 and \$2,143 from Award Number 2017-TA-AX-K028.
153	<u>Audit of the Office on Violence Against Women Grants Awarded to Inspire Action for Social Change, Montana City, Montana</u>	OVW	09/08/2021	18	Resolved	Coordinate with Inspire Action to develop policies and procedures to ensure that consultants are paid within the allowable rates outlined in the DOJ Grants Financial Guide.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
154	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention Grant Awarded to the National Children's Advocacy Center, Huntsville, Alabama</u>	OJP	09/07/2021	1	Resolved	Ensure the NCAC develops and implements procedures to reflect actual indirect costs in its Federal Financial Reports (FFR).
155	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention Grant Awarded to the National Children's Advocacy Center, Huntsville, Alabama</u>	OJP	09/07/2021	2	Resolved	Ensure the NCAC provides all relevant staff with training regarding the procedures developed for properly reporting indirect costs.
156	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention Grant Awarded to the National Children's Advocacy Center, Huntsville, Alabama</u>	OJP	09/07/2021	3	Resolved	Ensure the NCAC accurately reflects the cumulative total program income of \$8,007 and its appropriate use in accordance with the deduction alternative in its final FFR.
157	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention Grant Awarded to the National Children's Advocacy Center, Huntsville, Alabama</u>	OJP	09/07/2021	4	Resolved	Coordinate with the NCAC to remedy the \$8,007 in program income.
158	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention Grant Awarded to the National Children's Advocacy Center, Huntsville, Alabama</u>	OJP	09/07/2021	5	Resolved	Ensure that the NCAC trains its staff on requirements for accounting for and reporting program income.
159	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention Grant Awarded to the National Children's Advocacy Center, Huntsville, Alabama</u>	OJP	09/07/2021	6	Resolved	Ensure that the NCAC trains its staff on the appropriate process for requesting OJP approval for earning program income resulting from grant activities.
160	<u>Audit of the Office of Juvenile Justice and Delinquency Prevention Grant Awarded to the National Children's Advocacy Center, Huntsville, Alabama</u>	OJP	09/07/2021	7	Resolved	Ensure that the NCAC develops and implements procedures for maintaining adequate support for all reported program income.
161	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	3	Resolved	Review its updated Foreign Counterparts policy and determine the best process for incorporating guidance and internal controls over the risk assessment and suitability determination process, as well as a structure for the continual review of funding to and activities performed by these partners.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
162	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	7	Resolved	Ensure that each Country Office with an SIU or Non-SIU VU develops written protocol documents for the processing of payments using operational funds and provides these documents to OFP. In addition, ensure that information regarding all sources of funding provided to the SIU and Non-SIU VU Programs is made available to program officials, relevant stakeholders, and decision makers.
163	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	8	Resolved	Confer with appropriate Department officials to ensure that the DEA's interpretation and related actions fulfill the OMB requirements to accurately report the amount of foreign assistance provided to various host nation law enforcement entities.
164	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	9	Resolved	Review its processes and determine the most effective method for tracking and assessing SIU and Non-SIU VU performance and accomplishments in order to demonstrate the collective success of the programs.
165	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	10a	Resolved	Evaluate SIUNet to determine what updates are necessary to enhance internal controls over SIU and Non-SIU VU data.
166	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	10b	Resolved	Evaluate SIUNet to identify necessary enhancements that will allow OFP to fulfill oversight responsibilities and to obtain a more comprehensive view of Country Offices' management of SIUs and Non-SIU VUs.
167	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	1a	Resolved	Conduct a comprehensive risk assessment of DEA's efforts to provide assistance to foreign law enforcement units to determine what programmatic improvements that are necessary to enhance oversight of DEA sensitive information and bilateral operations.
168	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	1b	Resolved	Conduct a comprehensive risk assessment of DEA's efforts to provide assistance to foreign law enforcement to develop a robust and prospective progress for performing after-action reviews of critical incidents involving foreign counterparts to ensure involvement of necessary headquarters components.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
169	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	2a	Resolved	Improve its process for reporting and tracking critical incidents involving DEA-supported foreign law enforcement units by reinforcing to DEA Country Offices involved with SIUs and Non-SIU VUs the requirements and processes for critical incident and threat reporting and incorporating controls and requirements for reporting incidents involving any DEA-supported foreign law enforcement units that conduct bilateral operations and share information with the DEA.
170	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	2b	Resolved	Improve its process for reporting and tracking critical incidents involving DEA-supported foreign law enforcement units by implementing procedures that articulate specific roles and responsibilities within DEA headquarters to track critical incidents associated with DEA-supported foreign law enforcement units, monitor outcomes, and assess incidents to identify possible indicators of systemic issues that may require oversight enhancements and program improvements.
171	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	4a	Resolved	In regard to DEA-supported foreign law enforcement units operating outside of the DEA's formal SIU and Non-SIU VU programs—such as, but not limited to, units referred to generically as “vetted units”—coordinate with DEA Country Offices to obtain a full list of all foreign law enforcement units that have received any DEA- supported funding, training, equipment, or vetting.
172	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	4b	Resolved	In regard to DEA-supported foreign law enforcement units operating outside of the DEA's formal SIU and Non-SIU VU programs—such as, but not limited to, units referred to generically as “vetted units”—coordinate with DEA Country Offices to determine the construct and purpose of these units.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
173	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	4c	Resolved	In regard to DEA-supported foreign law enforcement units operating outside of the DEA's formal SIU and Non-SIU VU programs—such as, but not limited to, units referred to generically as “vetted units”—coordinate with DEA Country Offices to determine what conditions must be met by the “vetted unit” prior to receiving DEA or other U.S. government funding.
174	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	4d	Resolved	In regard to DEA-supported foreign law enforcement units operating outside of the DEA's formal SIU and Non-SIU VU programs—such as, but not limited to, units referred to generically as “vetted units”—coordinate with DEA Country Offices to determine what DEA policy or program area is responsible for headquarters oversight and management of these units.
175	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	5a	Resolved	Verify that all SIUs and Non-SIU VUs have necessary, current, and complete host nation agreements in place by evaluating SIU and Non-SIU VU policies to ensure they account for adequate oversight of all agreements.
176	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	5b	Resolved	Verify that all SIUs and Non-SIU VUs have necessary, current, and complete host nation agreements in place by determining whether to incorporate a process for program officials, relevant stakeholders, and decision makers to obtain, review, and monitor all MOUs for all SIUs and Non-SIU VUs going forward.
177	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	6a	Resolved	Conduct a comprehensive review of all JWIPs installed and utilized by DEA-supported foreign law enforcement units to verify host nation agreement for the bilateral use of this equipment and identify the universe of foreign nationals who are trained on and have access to the JWIPs.
178	<u>Audit of the Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units</u>	DEA	08/25/2021	6b	Resolved	Conduct a comprehensive review of all JWIPs installed and utilized by DEA-supported foreign law enforcement units to develop a process and related controls to maintain and monitor host nation JWIP agreements and the universe of foreign nationals who are trained on and have access to the JWIPs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
179	<u>Notification of Concerns Regarding Lack of Department Policy Requiring Express Authorization of Department Attorneys to Participate in the Criminal or Civil Investigation or Prosecution of Former Clients</u>	ODAG	08/24/2021	1	Response Not Yet Due	The Department should modify existing policy concerning a DOJ attorney's participation in a criminal or civil investigation or prosecution in which a former client is a witness, subject, target, defendant, or party, to ensure that any such participation does not cause a reasonable person to question that attorney's impartiality.
180	<u>Notification of Concerns Regarding Lack of Department Policy Requiring Express Authorization of Department Attorneys to Participate in the Criminal or Civil Investigation or Prosecution of Former Clients</u>	ODAG	08/24/2021	2	Response Not Yet Due	The Department should consider what requirements, guidance, and/or training should be provided to any incoming Department attorneys entering service regarding their handling of potential conflicts arising from their representation of clients arising from their representation of clients prior to joining DOJ.
181	<u>Notification of Urgent Security Concerns Involving Staff Entering BOP Facilities</u>	BOP	08/11/2021	2	Resolved	The BOP should ensure that its facilities are properly staffed to ensure that all staff and their belongings are properly searched in accordance with BOP policy before staff enter BOP facilities.
182	<u>Notification of Urgent Security Concerns Involving Staff Entering BOP Facilities</u>	BOP	08/11/2021	3	Resolved	The BOP should ensure that any staff members observed attempting to circumvent the search policy are stopped and screened prior to entering the secure parts of BOP facilities.
183	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Youth Collaboratory, Pittsburgh, Pennsylvania</u>	OJP	08/09/2021	1	Resolved	Ensure Youth Collaboratory improves its documentation to demonstrate its subrecipients are adhering to OJP's determination of suitability requirements.
184	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Youth Collaboratory, Pittsburgh, Pennsylvania</u>	OJP	08/09/2021	2	Resolved	Ensure Youth Collaboratory improves its policies and procedures related to monitoring subrecipient expenditures to include documentation that expenditure support reconciles to reimbursement requests.
185	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Youth Collaboratory, Pittsburgh, Pennsylvania</u>	OJP	08/09/2021	3	Resolved	Ensure Youth Collaboratory implements controls to ensure that grants are charged for salary rates that are reasonable and commensurate for the underlying functions approved in the grant budget.
186	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Youth Collaboratory, Pittsburgh, Pennsylvania</u>	OJP	08/09/2021	4	Resolved	Ensure Youth Collaboratory strengthens its policies and procedures related to retaining time and effort reports and ensure the compensation is reasonable and consistent with similar services in the marketplace.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
187	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Texas Office of the Attorney General, Austin, Texas</u>	OJP	08/02/2021	1	Resolved	Ensure the Texas OAG updates its policies and procedures to ensure that only the restitution attributable to claims compensated by the VOCA program are included as restitution recoveries on the state certification forms.
188	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Texas Office of the Attorney General, Austin, Texas</u>	OJP	08/02/2021	2	Resolved	Work with the Texas OAG to determine the appropriate amount of funds, if any, to be reimbursed.
189	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Department of Justice's Human Resources Policies</u>	JMD	08/02/2021	1	Resolved	Conduct a review to identify all areas where DOJ policies do not reflect current regulations and OPM guidance and requirements and establish a plan of action that includes a timeline for addressing the identified deficiencies and gaps in Department policy.
190	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Department of Justice's Human Resources Policies</u>	JMD	08/02/2021	2	Resolved	Ensure that it regularly monitors and updates the Department's HR policies, to include: (a) converting interim policy to permanent policy within 1 year, as applicable; and (b) deconflicting policies that contradict or supersede other policies.
191	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Department of Justice's Human Resources Policies</u>	JMD	08/02/2021	3	Resolved	Evaluate its process for reviewing and updating the Department's HR policies every 5 years to determine if more frequent periodic reviews may be necessary.
192	<u>Management Advisory Memorandum: Notification of Concerns Identified in the Department of Justice's Human Resources Policies</u>	JMD	08/02/2021	4	Resolved	Prioritize its efforts to consolidate the Department's HR policies in a centralized location accessible to components and incorporate the relevant policies into DOJ Order 1200.1, as appropriate.
193	<u>Notification of Concerns regarding Use of Photographs of FBI Employees for Online Undercover Operations</u>	FBI	07/30/2021	1	Resolved	Create a policy concerning the use of photographs of FBI employees who are not certified undercover employees or certified online covert employees for online undercover operations.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
194	<u>Notification of Concerns regarding Use of Photographs of FBI Employees for Online Undercover Operations</u>	FBI	07/30/2021	2	Resolved	If the policy in Recommendation 1 states that it is permissible to use photographs of FBI employees who are not certified undercover employees or certified online covert employees for online undercover operations, the policy should address the following considerations: a. Management notification and approval of the use of photographs of FBI employees for online undercover operations; b. Guidelines regarding the appearance of FBI employees in photographs used in online undercover operations; c. Documentation regarding the use of photographs of FBI employees in online undercover operations, including which photographs are used, the websites on which they are posted, and the dates and times of postings; and 3 d. Written consent from FBI employees appearing in photographs for online undercover operations, after the employees are fully informed about the FBI policy and the potential risks surrounding the use of their photographs for online undercover operations.
195	<u>Notification of Concerns regarding Use of Photographs of FBI Employees for Online Undercover Operations</u>	FBI	07/30/2021	3	Resolved	Other guidelines the FBI deems necessary to ensure photographs of FBI employees for online undercover operations are used safely and appropriately
196	<u>Audit of the Criminal Division's Process for Incoming Mutual Legal Assistance Requests</u>	EOUSA	07/21/2021	1	Resolved	Review current and projected caseloads with OIA to assess whether dedicating additional USAONDCA personnel to support the MLA mission would help OIA manage the increasing demand of incoming MLA requests for electronic evidence.
197	<u>Audit of the Criminal Division's Process for Incoming Mutual Legal Assistance Requests</u>	CRM	07/21/2021	2	Resolved	Coordinate with OIA to conduct a caseload assessment for OIA attorneys and IASs that accounts for changes from the reform initiative, and to develop a strategy to ensure that caseloads remain manageable for OIA personnel.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
198	<u>Audit of the Criminal Division's Process for Incoming Mutual Legal Assistance Requests</u>	CRM	07/21/2021	3	Resolved	Develop a 3-5 year hiring and retention plan that considers OIA's challenges related to attrition and staffing, including posting vacancy announcement for planned departures, staffing for the Management and Administration team, and that also anticipates the growing demand for MLA requests, and the increased responsibilities under the forthcoming CLOUD Act agreements.
199	<u>Audit of the Criminal Division's Process for Incoming Mutual Legal Assistance Requests</u>	CRM	07/21/2021	4	Resolved	Coordinate with OIA to ensure it has adopted appropriate strategies to help reduce delays caused by supervisory review for the Cyber team, including updating its Deskbook or create specific policy for attorneys defining the standards attorneys need to meet to receive approval to file their own legal products; assessing the sufficiency of training opportunities for Cyber team attorneys; and assessing whether supervisory reviews are optimally delegated.
200	<u>Audit of the Criminal Division's Process for Incoming Mutual Legal Assistance Requests</u>	CRM	07/21/2021	5	Resolved	Develop a plan to evaluate replacing OIA's Oracle database, including requests for ALS funds to meet OIA's primary technology requirement, the modernization of OIA's case tracking and management system.
201	<u>Audit of the Criminal Division's Process for Incoming Mutual Legal Assistance Requests</u>	CRM	07/21/2021	6	Resolved	Coordinate with CRM ITM to ensure OIA has access to CRM's Oracle Apex platform and support the automation of OIA's team trackers and leadership dashboards.
202	<u>Audit of the Criminal Division's Process for Incoming Mutual Legal Assistance Requests</u>	CRM	07/21/2021	7	Resolved	Coordinate with OIA to develop a plan to improve its training and outreach efforts including considering the creation of an external site of resources for foreign authorities.
203	<u>Audit of Selected Aspects of the Federal Bureau of Investigation's National Instant Criminal Background Check System</u>	FBI	07/14/2021	1	Resolved	Strengthen controls over the sale of firearms to out-of-state purchasers by updating the NICS background check to verify age requirements of an out-of-state firearm purchaser's state of residence and state of sale to ensure basic age eligibility.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
204	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	1	Resolved	Ensure that the MNWC develop and implement a formal written process for reliably and accurately demonstrating that program goals and objectives are achieved, including a plan to solicit feedback from tribal domestic violence offices and to analyze feedback related to on-site T&TA.
205	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	2	Resolved	Coordinate with the MNWC to develop and implement formal written performance reporting policies and procedures to ensure that valid source documentation is maintained to support all data collected for each performance measure reported in its progress reports.
206	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	3	Resolved	Remedy the \$27,103 in expenditures incurred as a result of a special condition noncompliance, specifically: \$1,576 under Grant Number 2015-IW-AX-0010 and \$25,527 under Grant Number 2017-IW-AX-0018.
207	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	4	Resolved	Coordinate with the MNWC to develop and implement procedures to ensure compliance with all award special conditions.
208	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	5	Resolved	Coordinate with the MNWC to ensure that going forward, MNWC has updated its accounting system to track income and expenditures by funding source.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
209	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	6	Resolved	Ensure that MNWC update its policies and procedures to include a process for reviewing grant accounting records for duplicate expenditures, and rectifying all duplicate transactions identified.
210	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	7	Resolved	Ensure that the MNWC review accounting records to determine actual total income and total expenses under Grant Number 2017-IW-AX-0018.
211	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	8	Resolved	Coordinate with the MNWC to ensure it has developed effective controls for safeguarding federal funds such as clarifying signature requirements and performing routine and timely bank reconciliations.
212	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	9	Resolved	Ensure the MNWC develops formal written policies and procedures that ensures compliance with debarment and suspension requirements.
213	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	10	Resolved	Ensure that the MNWC develop written standards covering conflicts of interest and employee participation in selection, award, and administration of contracts.
214	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	11	Resolved	Ensure that the MNWC document its process for checking conflicts of interest and for verifying that contracts are not awarded to contractors or individuals on the List of Parties Excluded from Federal Procurement and Non-procurement Programs.
215	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	12	Resolved	Coordinate with the MNWC to ensure that the MNWC adopt policies and procedures that adequately address segregation of duties for procurement.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
216	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	13	Resolved	Remedy the \$5,860 in unallowable personnel and fringe benefit costs under Grant Number 2016-IW-AX-0008.
217	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	14	Resolved	Remedy the \$27,892 in unsupported personnel and fringe benefit costs, specifically: a. \$13,794 under Grant Number 2015-IW-AX-0010; b. \$5,942 under Grant Number 2016-IW-AX-0008; and c. \$8,156 under Grant Number 2017-IW-AX-0018.
218	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	15	Resolved	Remedy the \$38,088 in unallowable travel costs, specifically: a. \$1,873 under Grant Number 2015-IW-AX-0010; b. \$32,760 under Grant Number 2016-IW-AX-0008; and c. \$3,455 under Grant Number 2017-IW-AX-0018.
219	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	16	Resolved	Remedy the \$83,277 in unsupported travel costs, specifically: a. \$27,410 under Grant Number 2015-IW-AX-0010; b. \$46,957 under Grant Number 2016-IW-AX-0008; and c. \$8,910 under Grant Number 2017-IW-AX-0018.
220	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	17	Resolved	Coordinate with the MNWC to enhance its travel procedure to ensure that all travelers requesting travel reimbursement submit documents to support travel expenses and to verify attendance at conferences, trainings, and meetings.
221	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	18	Resolved	Remedy the \$16,656 in unallowable consulting fees and travel, specifically: a. \$8,085 under Grant Number 2016-IW-AX-0008; and b. \$8,571 under Grant Number 2017-IW-AX-0018.
222	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	19	Resolved	Remedy the \$23,836 in unsupported consulting fees and travel, specifically: a. \$6,665 under Grant Number 2015-IW-AX-0010; b. \$13,135 under Grant Number 2016-IW-AX-0008; and c. \$4,036 under Grant Number 2017-IW-AX-0018.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
223	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	20	Resolved	Remedy, the \$15,119 in unallowable other direct costs and associated fees, specifically: a. \$280 under Grant Number 2015-IW-AX-0010; b. \$11,501 under Grant Number 2016-IW-AX-0008; and c. \$3,339 under Grant Number 2017-IW-AX-0018.
224	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	21	Resolved	Remedy the \$36,741 in unsupported other direct costs, specifically: a. \$17,942 under Grant Number 2015-IW-AX-0010; b. \$11,485 under Grant Number 2016-IW-AX-0008; and c. \$7,314 under Grant Number 2017-IW-AX-0018.
225	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	22	Resolved	Remedy the \$13,226 in unallowable supply costs and associated fees, specifically: a. \$2,709 under Grant Number 2015-IW-AX-0010; and b. \$10,517 under Grant Number 2016-IW-AX-0008.
226	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	23	Resolved	Coordinate with the MNWC to enhance its procurement procedures to ensure that federal funds are only used for allowable supplies, and outreach and awareness items and services.
227	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	24	Resolved	Remedy the \$5,395 in unsupported supply costs, specifically: a. \$1,986 under Grant Number 2015-IW-AX-0010; and b. \$3,409 under Grant Number 2016-IW-AX-0008.
228	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	25	Resolved	Remedy the \$9,644 in unsupported equipment costs under Grant Number 2015-IW-AX-0010.
229	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	26	Resolved	Remedy the \$4,100 in unallowable construction under Grant Number 2016-IW-AX-0008.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
230	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	27	Resolved	Coordinate with the MNWC to ensure that it has updated its accounting system to ensure that actual expenditures can be compared to budgeted amounts for current and future grant awards and to ensure that the MNWC does not violate the 10-percent rule.
231	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	28	Resolved	Ensure MNWC develops formal written drawdown policies and procedures to ensure compliance with the DOJ Grants Financial Guide.
232	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	29	Resolved	Remedy the \$83,151 in expenditures incurred after the grants had ended, specifically: a. \$42,148 under Grant Number 2015-IW-AX-0010; and b. \$41,003 under Grant Number 2016-IW-AX-0008.
233	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	30	Resolved	Ensure that the MNWC implement policies and procedures to ensure the proper accounting and classification of award funds by establishing a system to adequately record, monitor, and track funds in order to properly report the correct amount of expenditures on its FFRs.
234	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalitions Program Grants Awarded to the Montana Native Women's Coalition, Billings, Montana</u>	OVW	07/14/2021	31	Resolved	Ensure that the MNWC establishes policies and procedures for accurately reporting program income and requesting approval from OVW program managers to add program income, if any, to awards.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
235	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/14/2021	1	Resolved	Reassess its policies to: (a) a. more precisely describe for FBI employees when they are required to promptly contact and coordinate with applicable state and local law enforcement and social service agencies after receiving allegations of crimes against children that potentially fall under state jurisdiction, even when the allegations also potentially fall within the FBI's jurisdiction; (b) require FBI employees to confirm receipt of transfers between field offices of certain categories of complaints, such as complaints of serious or multi-victim sexual abuse; (c) c. clarify when interviews by Child/Adolescent Forensic Interviewers (CAFI) should be conducted of children and adults reporting allegations of abuse they experienced as children; and (d) d. describe the circumstances under which victim services should be offered during Pre-Assessment or Assessment activities, such as when these phases take longer than expected, when a victim is interviewed as part of these phases, or when an initial complaint is transferred between field offices.
236	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/14/2021	2	Resolved	Clarify its policies as to: (a) the type of approval required (including who is required to provide approval) when a supervisor conducts investigative activity or completes documentation that would require supervisory approval when conducted by a nonsupervisory Special Agent; (b) whether Pre-Assessment activities can continue for more than 5 days; (c) if so, what type of file FBI employees should use to retain documentation received during Pre-Assessment activities that continue for more than 5 days; and (d) if not, whether FBI employees should open an Assessment when the employees need more than 5 days to assess whether there are alleged violations of federal law and which field office has venue.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
237	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/14/2021	3	Resolved	Develop a policy describing the circumstances, if any, under which telephonic interviews of alleged child abuse victims, including adults who had allegedly been victims of abuse as children, are appropriate.
238	<u>Investigation and Review of the Federal Bureau of Investigation's Handling of Allegations of Sexual Abuse by Former USA Gymnastics Physician Lawrence Gerard Nassar</u>	FBI	07/14/2021	4	Resolved	Train FBI employees on the policies discussed in the first three recommendations and any changes made to them.
239	<u>Audit of Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OJP	07/13/2021	1	Resolved	Coordinate with White Bison to develop policies and procedures to ensure progress reports are accurate and fully supported.
240	<u>Audit of Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OJP	07/13/2021	2	Resolved	Remedy \$24,669 in unsupported questioned costs, related to \$16,854 in unsupported salary and fringe benefit personnel costs, \$6,155 in unsupported contractor costs, and \$1,660 in unsupported other direct costs.
241	<u>Audit of Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OJP	07/13/2021	3	Resolved	Remedy \$24,150 in unallowable costs, related to \$4,571 in unallowable questioned costs related to travel, accounting, and contractor expenses and \$19,579 in overallocated unallowable indirect costs.
242	<u>Audit of Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OJP	07/13/2021	4	Resolved	Ensure White Bison develops and implements policies and procedures for performance reporting, indirect costs, FFRs, drawdowns, and SAM verification.
243	<u>Audit of Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OVW	07/13/2021	4	Resolved	Ensure White Bison develops and implements policies and procedures for performance reporting, indirect costs, FFRs, drawdowns, and SAM verification.
244	<u>Audit of Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OJP	07/13/2021	5	Resolved	Ensure that White Bison develops policies and procedures to ensure it discloses any potential conflicts of interest.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
245	<u>Audit of Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OVW	07/13/2021	5	Resolved	Ensure that White Bison develops policies and procedures to ensure it discloses any potential conflicts of interest.
246	<u>Audit of Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OJP	07/13/2021	6	Resolved	Ensure White Bison implements and develops policies and procedures to ensure FFRs are accurate and supported.
247	<u>Audit of Office of Justice Programs and Office on Violence Against Women Cooperative Agreements Awarded to White Bison, Inc., Colorado Springs, Colorado</u>	OVW	07/13/2021	6	Resolved	Ensure White Bison implements and develops policies and procedures to ensure FFRs are accurate and supported.
248	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	1a	Resolved	Take steps to address its persistent chaplaincy shortages and lack of diversity of religious affiliations represented within the BOP's chaplaincy by: a. Reassessing the existing education requirement for chaplaincy eligibility to enhance the prospect of attaining the BOP's target chaplain staffing level, or evaluate the benefits of implementing religion-specific educational requirements to account for differing requirements among religions.
249	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	1b	Resolved	Take steps to address its persistent chaplaincy shortages and lack of diversity of religious affiliations represented within the BOP's chaplaincy by: b. Reconsidering its age restriction for chaplains and coordinate with the Department of Justice to determine if age waivers should be expanded to a broader group of chaplaincy applicants.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
250	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	1c	Resolved	Take steps to address its persistent chaplaincy shortages and lack of diversity of religious affiliations represented within the BOP's chaplaincy by: c. Reviewing the current Chaplain Trainee Program model to expand opportunities for current BOP staff and qualified external applicants with flexibilities that would avoid disqualifying otherwise suitable candidates due to restrictive educational barriers or pastoral experience requirements
251	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	2a	Resolved	Address the security weaknesses that result from its understaffed, overburdened, and diversity-challenged chaplaincy by: a. Strengthening existing policy to include clear guidance about when inmates may be permitted to lead religious services, which inmates should be prohibited from leading services, and minimum monitoring requirements for religious programs or services led by inmates.
252	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	2b	Resolved	Address the security weaknesses that result from its understaffed, overburdened, and diversity-challenged chaplaincy by: b. Determining the institutions without remote audio and video monitoring equipment in spaces where religious services are conducted and determine the feasibility of installing such equipment, or if the BOP is unable to install monitoring equipment at these facilities, it should take steps to ensure adequate monitoring is performed.
253	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	2c	Resolved	Address the security weaknesses that result from its understaffed, overburdened, and diversity-challenged chaplaincy by: c. Reexamine its practice of prohibiting recording audio and video feeds from chaplaincy services spaces alongside relevant federal law and regulations to help ensure that religious services are monitored to the greatest extent possible and are not misused by some inmates to engage in prohibited conduct.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
254	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	2d	Resolved	Address the security weaknesses that result from its understaffed, overburdened, and diversity-challenged chaplaincy by: d. Ensuring that established security procedures regarding faith group lockers are followed and that the BOP policy includes clear procedures for inmate access.
255	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	3a	Resolved	Ensure safe and robust chapel libraries throughout the BOP by: a. Performing a comprehensive review of the Chapel Library Database (CLD) at the CSB to strengthen existing policy or develop new policy that ensures an accurate inventory and timely review of newly introduced English and foreign language texts with suitability determinations that can more easily be shared among all BOP institutions.
256	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	3b	Resolved	Ensure safe and robust chapel libraries throughout the BOP by: b. Providing resources to assist chaplains in evaluating existing library materials, including foreign language media, that have not been previously reviewed and entered into the CLD to better ensure that those titles are added to the CLD or its replacement (if applicable).
257	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	3c	Resolved	Ensure safe and robust chapel libraries throughout the BOP by: c. Developing a centralized repository for BOP Chaplaincy Services related advisories to better ensure that important guidance for chaplains is current and consistent and provide chaplains with clear instructions for proper handling and disposal of religious texts deemed inappropriate for inmate use.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
258	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	4a	Resolved	Improve its oversight of its minimally vetted chaplaincy services volunteers to better address the risk of inappropriate contact with inmates and to prevent rehiring of volunteers who were previously found to violate BOP policy by: a. Conducting a periodic analysis of volunteer contact information through its TRUVIEW system and clearly document any exception that was granted to specific volunteers, such as those in mentoring and transitional programs.
259	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	4b	Resolved	Improve its oversight of its minimally vetted chaplaincy services volunteers to better address the risk of inappropriate contact with inmates and to prevent rehiring of volunteers who were previously found to violate BOP policy by: b. Ensuring its volunteers and inmates are aware of its policy on prohibited contact and apply increased scrutiny to endorsements offered by previously disciplined volunteers.
260	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	4c	Resolved	Improve its oversight of its minimally vetted chaplaincy services volunteers to better address the risk of inappropriate contact with inmates and to prevent rehiring of volunteers who were previously found to violate BOP policy by: c. Ensuring that NAVS volunteer data profiles are accurate, complete, updated, and contain all necessary information so that volunteers terminated for violating BOP policy cannot seek volunteer roles at other BOP institutions.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
261	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	5a	Resolved	Take steps to better support existing chaplaincy services staff and enable them to focus on their primary function of providing spiritual guidance by a. Requiring the CSB to develop a strategy to strengthen its viable volunteer ranks by establishing relationships with local, regional, and national religious organizations that can assist in providing guidance and information to their members on volunteering at BOP institutions at the community level.
262	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	5b	Resolved	Take steps to better support existing chaplaincy services staff and enable them to focus on their primary function of providing spiritual guidance by: b. Developing a sustainable strategy to mitigate staffing shortages caused by chaplaincy absences due to anticipatable periods of extended leave, such as military leave.
263	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	5c	Resolved	Take steps to better support existing chaplaincy services staff and enable them to focus on their primary function of providing spiritual guidance by: c. Removing responsibility for SMR program duties from field chaplains and centralizing it within the CSB to include the primary responsibility for researching and answering field chaplaincy inquires and to gain greater awareness of issues facing field chaplains.
264	<u>Audit of the Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program</u>	BOP	07/06/2021	5d	Resolved	Take steps to better support existing chaplaincy services staff and enable them to focus on their primary function of providing spiritual guidance by: d. Leveraging existing resources and exploring potential enhancements and additional technological solutions for providing religious services, such as live streams, as an alternative to riskier options such as inmate-led services, which require stricter monitoring, and to enable greater diversity in the denominations for which services can be provided to a broader population of inmates.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
265	<u>Audit of the Office of Justice Programs Internet Crimes Against Children Task Force Cooperative Agreement Awarded to the Montana Division of Criminal Investigation, Helena, Montana</u>	OJP	06/28/2021	1	Resolved	Ensure the MTDCI establish written policies and procedures for compiling complete and accurate quantifiable performance measures for the progress reports.
266	<u>Audit of the Office of Justice Programs Internet Crimes Against Children Task Force Cooperative Agreement Awarded to the Montana Division of Criminal Investigation, Helena, Montana</u>	OJP	06/28/2021	2	Resolved	Establish formal guidance to all OJJDP ICAC Task Force Program funding recipients on the: (1) proper classification of affiliate agencies and (2) monitoring requirements for the lead ICAC task force agency on their affiliates. OJP should also ensure that the lead ICAC task force agency develop policies based on OJP's formal guidance.
267	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	1	Resolved	Remedy the \$886,495 in questioned costs related to the fact that there is no indication that OCADVSA achieved the stated goals and objectives of the closed awards.
268	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	2	Resolved	Coordinate with OCADVSA to ensure that it implements corrective actions and demonstrates documented progress for achieving the goals and objectives of its open award.
269	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	3	Resolved	Coordinate with OCADVSA to ensure that progress reports are accurate and fully supported.
270	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	4	Resolved	Coordinate with OCADVSA to ensure it develops and implements financial policies and procedures that include specific language regarding accountable property and asset management, contract award and management, payroll, and performance measurement and outcome assessment.
271	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	5	Resolved	Coordinate with OCADVSA to remedy the \$714,684 in unsupported questioned costs related to \$526,630 in unsupported personnel costs, \$30,418 in unsupported contractor costs, and \$157,636 in unsupported other direct costs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
272	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	6	Resolved	Coordinate with OCADVSA to ensure it consistently and contemporaneously records employee time and documents supervisory review and validation of employee time charged to specific OVW awards.
273	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	7	Resolved	Remedy the \$107,413 in unallowable questioned costs related to the \$20,140 in unallowable contractor costs and \$87,273 in unallowable other direct costs.
274	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	8	Resolved	Coordinate with OCADVSA to ensure that current contract agreements are maintained for all contractors, contractors provide detailed invoices prior to payment for services rendered, and implements specific policies and procedures to address procurement of contracts and consultants.
275	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	9	Resolved	Coordinate with OCADVSA to ensure it develops a consistent and reasonably documented allocation methodology and claim forms and invoices are reviewed prior to payment.
276	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	10	Resolved	Coordinate with OCADVSA to ensure it initiates a GAN for budget modifications that reallocates funds among budget categories if the proposed cumulative change is greater than 10 percent of the total award amount.
277	<u>Audit of the Office on Violence Against Women Grants Awarded to the Oklahoma Coalition Against Domestic Violence and Sexual Assault, Oklahoma City, Oklahoma</u>	OVW	06/28/2021	11	Resolved	Coordinate with OCADVSA to develop policies and procedures that ensures information reported in FFRs is accurate and supported.
278	<u>Audit of the Department of Justice Policy on Body Worn Cameras</u>	ODAG	06/23/2021	1	Resolved	Carefully reassess the lack of BWC usage by DOJ Components and pursue actions that would prepare DOJ for program implementation. This assessment should begin by determining the type of operations for which BWCs should be required for DOJ LEOs on DOJ operations, and using that determination, developing a forecast of costs associated with equipment purchases, video storage, and other resources, such as staffing.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
279	<u>Audit of the Department of Justice Policy on Body Worn Cameras</u>	ODAG	06/23/2021	2	Resolved	Coordinate with the Components to identify other cross-cutting policy issues and promulgate policy that would allow the Components to develop and implement their own BWC programs.
280	<u>Audit of the Department of Justice Policy on Body Worn Cameras</u>	ODAG	06/23/2021	3	Resolved	Coordinate with the Components, and any other DOJ agencies to whom a BWC program may apply, to assess the suitability of a single contract involving either multiple DOJ components or multiple federal agencies so as to leverage the purchasing power of the federal government to ensure <u>maximum cost savings</u> .
281	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	2	Resolved	Ensure that the GDJJ develops and implements a strategy to routinely assess and report on the reduction in youth crime and recidivism for Grant Number 2016-CZ-BX-0003 and Grant Number 2016-BX-0008 and future Department of Justice (DOJ) grants with goals of reducing recidivism.
282	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	3	Resolved	Ensure that the GDJJ develops and implements procedures to ensure that each progress report contains accurate information supported by verifiable documentation.
283	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	4	Resolved	Ensure that the GDJJ establishes policies and procedures to accomplish the required training for its points of contact within 120 days after the acceptance of each award.
284	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	5	Resolved	Ensure that GDJJ employees are fully trained on requirements for preparing a complete contract administration plan (CAP) and supervisors and manager re-emphasize to their staff the need to ensure a completed CAP is prepared for each contract award.
285	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	6	Resolved	Ensure that the GDJJ maintains documents for quarterly meetings held with its contractors and enforces the contract monitoring requirements for future grant-funded contracts.
286	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	7	Resolved	Ensure that the GDJJ, as part of its update to its contract procedures manual, includes strengthened procedures for review of contractor billings so that appropriate support is provided and assessed for all contractor costs billed and paid.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
287	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	8	Resolved	Ensure that the GDJJ develops and implements a training program for all current and future financial staff to ensure those persons fully understand federal and state grant financial requirements.
288	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	9	Resolved	Remedy \$55,384 in unallowable questioned costs for the hiring of two positions not approved in the grant budget.
289	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	10	Resolved	Remedy \$58,939 in unsupported matching costs contribution for Grant Number 2016-CZ-BX-0003.
290	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	11	Resolved	Remedy \$113,020 in unallowable questioned costs for the hiring of five support services positions not approved in the grant budget.
291	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	12	Resolved	Remedy \$223,921 in unsupported matching costs contribution for Grant Number 2016-CZ-BX-008.
292	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	13	Resolved	Ensure the GDJJ establishes and implements procedures to ensure that OJP approval is obtained prior to using grant funds for positions not approved in the grant budget.
293	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	14	Resolved	Ensure the GDJJ develops and implements procedures to ensure that both grant and matching costs-funded positions are filled and charged to the grant in accordance with the approved budget or approval for an adjustment to the budgets is sought from OJP.
294	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	15	Resolved	Remedy \$68,441 in unsupported contract costs and \$1,021 in unsupported travel costs from Grant Number 2016-CZ-BX-0003.
295	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	16	Resolved	Remedy \$42,140 in unsupported contract costs and \$1,920 in unallowable contract costs for Grant Number 2016-CZ-BX-0008.
296	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	17	Resolved	Ensure the GDJJ ensure that all staff involved with invoice processing are fully trained in the requirements for invoice processing.
297	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	18	Resolved	Remedy \$2,240 in unsupported costs for travel-related grant expenditures for Grant Number 2016-CZ-BX-0008.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
298	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	19	Resolved	Remedy \$27,087 in unsupported drawdowns for Grant Number 2016-CZ-BX-0003.
299	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	20	Resolved	Remedy \$9,938 in unsupported drawdowns for Grant Number 2016-CZ-BX-0008.
300	<u>Audit of the Office of Justice Programs Grants Awarded to the Georgia Department of Juvenile Justice</u>	OJP	06/22/2021	21	Resolved	Ensure that the GDJJ updated procedures for drawdowns properly describe the appropriate support for each drawdown and all GDJJ staff involved in drawdown activities are fully trained in the updated procedures.
301	<u>Audit of the U.S. Marshals Service Judicial Security Activities</u>	USMS	06/15/2021	1	Resolved	Review OPI's Protective Intelligence Enterprise Reformation Plan and protective intelligence capabilities at other DOJ components such as the FBI and determine and pursue the actions necessary to achieve desired threat identification, assessment, and mitigation capabilities.
302	<u>Audit of the U.S. Marshals Service Judicial Security Activities</u>	USMS	06/15/2021	2	Resolved	Assess the status and training requirements of the DTI position to determine if it meets the needs of the judicial security program and make any necessary adjustments to ensure an adequate number of DTIs are dedicated on a full-time basis to this function, are appropriately trained, and are operational.
303	<u>Audit of the U.S. Marshals Service Judicial Security Activities</u>	USMS	06/15/2021	3	Resolved	Update the policies and standard operating procedures guiding its protective intelligence and threat assessment to ensure they align with approved practices.
304	<u>Audit of the U.S. Marshals Service Judicial Security Activities</u>	USMS	06/15/2021	4	Resolved	Establish policy guiding its proactive threat identification practices.
305	<u>Audit of the U.S. Marshals Service Judicial Security Activities</u>	USMS	06/15/2021	5	Resolved	Solicit input from judges eligible to participate in the HIDS program to determine what home security features they want made available to them, [REDACTED], and determine the feasibility of incorporating those features into the next HIDS contract requirements.
306	<u>Audit of the U.S. Marshals Service Judicial Security Activities</u>	USMS	06/15/2021	6	Resolved	Explore options for upgrading current and future intrusion detection equipment to address present day security threats, as well as the needs of its users.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
307	<u>Audit of the U.S. Marshals Service Judicial Security Activities</u>	USMS	06/15/2021	7	Resolved	Use its Publication 94 to develop a standard agenda of key topics for required annual security briefings to USMS-protected persons and revise its Judicial Security Policy Directive to require that these topics be briefed annually to each individual under its protection.
308	<u>Audit of the U.S. Marshals Service Judicial Security Activities</u>	USMS	06/15/2021	8	Resolved	Revise its Judicial Security Policy Directive to require districts to use Form USM-50Z to track completion of required annual security briefings to USMS-protected persons and retain those records, by fiscal year, for a period of at least 3 years.
309	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Tennessee Department of Treasury, Nashville, Tennessee</u>	OJP	06/09/2021	1	Resolved	Remedy the \$3,000 in excess award funds associated with the FY 2016 annual certification form.
310	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the New Hampshire Department of Justice, Concord, New Hampshire</u>	OJP	06/07/2021	1	Resolved	Work with NHDOJ to enhance its performance reporting policies and procedures to ensure accomplishments funded by other federal programs are excluded from its performance reports.
311	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the New Hampshire Department of Justice, Concord, New Hampshire</u>	OJP	06/07/2021	2	Resolved	Ensure NHDOJ implements a process to review its internal controls specific to updating existing, and implementing new, policies and procedures for processing victim compensation claims so that payments are compliant with its Code of Administrative Rules for Victim Compensation and that required supporting documentation is maintained to facilitate audit and review of approved claim payments.
312	<u>Management Advisory Memorandum : Notification of Security Concerns at the Federal Bureau of Prisons' Camp Locations</u>	BOP	06/07/2021	1	Resolved	The BOP should ensure that alarms on all exterior building doors in FPCs and SPCs are in working condition and should take appropriate measures to prevent them from being susceptible to tampering.
313	<u>Management Advisory Memorandum : Notification of Security Concerns at the Federal Bureau of Prisons' Camp Locations</u>	BOP	06/07/2021	2	Resolved	The BOP should ensure that all existing video cameras in FPCs and SPCs are in working condition.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
314	<u>Management Advisory Memorandum : Notification of Security Concerns at the Federal Bureau of Prisons' Camp Locations</u>	BOP	06/07/2021	3	Resolved	The BOP should install video cameras on all exterior building doors at FPCs and SPCs, so that BOP Control Officers can observe the doors while Camp Officers are occupied with performing their duties, such as inmate counts.
315	<u>Audit of the Drug Enforcement Administration's Laboratory Information Management System Support Contracts</u>	DEA	06/01/2021	1	Resolved	Evaluate and update its acquisition planning documentation requirements to ensure that future acquisition processes delineate adequately the timeline required to complete an acquisition in accordance with the complexity of the award.
316	<u>Audit of the Drug Enforcement Administration's Laboratory Information Management System Support Contracts</u>	DEA	06/01/2021	2	Resolved	Evaluate and update its policies and procedures to ensure that all individuals that assist the CO with contract monitoring, such as CORs and product owners, receive written delegation from the CO and appropriate training in regard to their roles and responsibilities in accordance with the FAR, OMB Memorandum on the FAC-COR and DEA's internal policy.
317	<u>Audit of the Drug Enforcement Administration's Laboratory Information Management System Support Contracts</u>	DEA	06/01/2021	3	Resolved	Evaluate and update its policies and procedures to ensure that its contracting officials create timely QASPs and ensure that delegated personnel follow such QASPs in conjunction with the SOWs for T&M contracts.
318	<u>Audit of the Drug Enforcement Administration's Laboratory Information Management System Support Contracts</u>	DEA	06/01/2021	5	Resolved	Examine ways to implement the use of secure, internal network connections to transfer data between LIMS and other work systems with the goal of implementing a more secure method of data transfer than relying on external storage devices.
319	<u>Audit of the Drug Enforcement Administration's Laboratory Information Management System Support Contracts</u>	DEA	06/01/2021	6	Resolved	Design and implement a process to continually monitor its contracts to ensure the COs verify that contractors inform their workers of whistleblower rights and protections; and provide evidence that all existing contracts above the SAT have been modified to include the whistleblower provision and follow-up documentation obtained by the CO as required by internal policy.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
320	<u>Audit of the Drug Enforcement Administration's Laboratory Information Management System Support Contracts</u>	DEA	06/01/2021	7	Resolved	Evaluate and update policies and procedures to ensure that billings and payments for service contracts are compliant with the FAR, DEA policies, and contract terms, ensuring that: (1) invoices submitted by the contractors contain all contract requirements (e.g. CLIN, contract workers' hours billed to their assigned labor categories); and (2) contract workers obtain the appropriate DEA official's approval prior to working hours in excess of those contractually allotted.
321	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities; Chicago, Illinois</u>	CRM	05/19/2021	1	Resolved	Require the Chicago PD to institute over its DOJ Equitable Sharing Program an effective management structure and formal, written policies and procedures that clearly lay out roles and responsibilities designed to achieve organizational objectives and establish standard accounting procedures and internal controls to help ensure compliance with the DOJ guidelines set forth to manage equitably shared funds and tangible property.
322	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities; Chicago, Illinois</u>	CRM	05/19/2021	2	Resolved	Require the Chicago PD to provide evidence that the Chicago PD's expenditures of DOJ equitable sharing program funds are being properly approved.
323	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities; Chicago, Illinois</u>	CRM	05/19/2021	3	Resolved	Require the Chicago PD to institute controls to ensure compliance with the program guidance that prohibits any investment-related losses from being allocated to or deducted from the equitable sharing account.
324	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities; Chicago, Illinois</u>	CRM	05/19/2021	4	Resolved	Require the Chicago PD to enhance its equitable sharing receipt recognition process, to include regularly reconciling its DOJ equitable sharing accounting records to the information in the eShare Portal.
325	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities; Chicago, Illinois</u>	CRM	05/19/2021	5	Resolved	Require the Chicago PD to perform an in-depth review of its equitable sharing financial activity and either: (1) provide detailed documentation to support its existing FY 2019 and FY 2020 ESAC submissions, or (2) submit amended ESACs along with detailed documentation to support the balance and expenditure figures reported.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
326	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities; Chicago, Illinois</u>	CRM	05/19/2021	6	Resolved	Require the Chicago PD to revise its expenditure tracking process. This revised process should include controls to ensure that the Chicago PD: (1) properly tracks all DOJ equitable sharing expenditures by category, (2) accurately reports on the ESAC the correct figures as reflected in its financial system, and (3) retains supporting documentation for the amounts reported on the ESAC.
327	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities; Chicago, Illinois</u>	CRM	05/19/2021	7	Resolved	Review the \$49,273 in construction costs paid in 2019 and, if appropriate, require the Chicago PD to remedy this amount that did not receive prior approval by MLARS.
328	<u>Audit of the Chicago Police Department's Equitable Sharing Program Activities; Chicago, Illinois</u>	CRM	05/19/2021	8	Resolved	Identify and, if appropriate, require the Chicago PD to remedy any additional unapproved construction costs that were incurred prior to or after our audit review period.
329	<u>Audit of the Kansas Highway Patrol's Equitable Sharing Program Activities, Topeka, Kansas</u>	CRM	05/11/2021	1	Resolved	Determine if the additional personnel transactions classified as regular pay are allowable in accordance with the Equitable Sharing Guide, and if not, are reimbursed to the equitable sharing fund as appropriate.
330	<u>Audit of the Office on Violence Against Women Technical Assistance Awards to the National Center for State Courts, Williamsburg, Virginia</u>	OVW	05/10/2021	2	Resolved	Ensure that the NCSC implement policies to strengthen and ensure adherence to its subrecipient selection procedures and train employees on the proper sub-awardee classification.
331	<u>Audit of the Office on Violence Against Women Technical Assistance Awards to the National Center for State Courts, Williamsburg, Virginia</u>	OVW	05/10/2021	4	Resolved	Ensure that NCSC implement policies and procedures to guide accurate and supported quarterly financial reporting.
332	<u>Audit of the Office on Violence Against Women Technical Assistance Awards to the National Center for State Courts, Williamsburg, Virginia</u>	OVW	05/10/2021	8	Resolved	Remedy a total of \$5,392 in unallowable personnel costs.
333	<u>Audit of the Office on Violence Against Women Technical Assistance Awards to the National Center for State Courts, Williamsburg, Virginia</u>	OVW	05/10/2021	9	Resolved	Require the NCSC to implement policies to ensure employee travel costs comply with grant requirements.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
334	<u>Audit of the Office on Violence Against Women Technical Assistance Awards to the National Center for State Courts, Williamsburg, Virginia</u>	OVW	05/10/2021	10	Resolved	Remedy a total of \$4,342 in unallowable travel assistance costs.
335	<u>Audit of the Office on Violence Against Women Technical Assistance Awards to the National Center for State Courts, Williamsburg, Virginia</u>	OVW	05/10/2021	11	Resolved	Ensure the NCSC updates the travel assistance fund material to detail cost categories and restrictions, and properly submit the travel voucher along with supporting documentation.
336	<u>Audit of the Office on Violence Against Women Technical Assistance Awards to the National Center for State Courts, Williamsburg, Virginia</u>	OVW	05/10/2021	12	Resolved	Remedy \$1,109 in award funds to a better use for the K054 award.
337	<u>Audit of a Court Services and Offender Supervision Agency System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	1	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
338	<u>Audit of a Court Services and Offender Supervision Agency System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	2	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
339	<u>Audit of a Court Services and Offender Supervision Agency System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	3	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
340	<u>Audit of a Court Services and Offender Supervision Agency System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	4	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
341	<u>Audit of a Court Services and Offender Supervision Agency System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	5	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
342	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	1	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
343	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	2	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
344	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	3	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
345	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	4	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
346	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	5	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
347	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	6	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
348	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	7	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
349	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	8	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
350	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	9	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
351	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	10	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
352	<u>Audit of the Court Services and Offender Supervision Agency's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CSOSA	05/05/2021	11	On Hold/Pending with OIG	[Description omitted; CSOSA has determined that this recommendation contains information that is limited official use or classified.]
353	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	JMD	05/05/2021	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
354	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	JMD	05/05/2021	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
355	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	JMD	05/05/2021	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
356	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	JMD	05/05/2021	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
357	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	JMD	05/05/2021	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
358	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	JMD	05/05/2021	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
359	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	1	Resolved	Clarify officer safety-related training requirements for operational personnel, including Task Force Officers, and ensure that the requirements are clearly established in policy.
360	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	2	Resolved	Ensure that the Training Division provides consistent and prompt assistance to those districts that do not have an assigned Tactical Training Officer.
361	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	3	Resolved	Ensure that all Tactical Training Officers receive skills sustainment training.
362	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	4	Resolved	Establish methods to evaluate whether the Tactical Training Officer Program is accomplishing its objectives.
363	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	5	Resolved	Ensure that the new After-Action Review policy is implemented so that all critical incidents stemming from operations led by and/or involving U.S. Marshals Service personnel are reviewed for lessons learned and used to update and adjust officer safety-related training.
364	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	6	Resolved	Consider including other Department of Justice law enforcement components in operational reviews of critical incidents, including but not limited to the new After-Action Review process, to allow for mutual awareness and improvements to officer safety-related training across components.
365	<u>Review of the U.S. Marshals Service's Tactical Training Officer Program</u>	USMS	04/28/2021	7	Resolved	Evaluate whether modifications to training are needed to adequately cover open space encounters and to better support small teams, and, if so, develop and implement methods to ensure that training adequately addresses these
366	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	1	Resolved	Ensure that immigration judges are responsive in a timely manner to requests for continuances by respondents who assert that they have recently experienced symptoms of or have been exposed to COVID-19, and encourage immigration judges to fully consider continuance requests.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
367	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	2	Resolved	Expand the EOIR Court & Appeals System (ECAS) to all immigration courts, and continue to pursue efforts to make ECAS mandatory. Until ECAS is fully deployed, EOIR should consider whether it can continue permitting email filings without increasing the risk to staff during the pandemic. In particular, EOIR should assess the feasibility of having staff scan paper filings into electronic files rather than print emailed filings and whether this would reduce the need for staff to report to work in person. If EOIR permits email filings, EOIR should ensure that users receive confirmation of receipt of filing and are not unfairly restricted by page limits during the ongoing COVID-19 pandemic in all courts that do not have ECAS.
368	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	3	Resolved	Develop a plan to ensure maximum telework capability for all positions and staff in locations affected by the COVID-19 pandemic, or in the event of a future pandemic or similar conditions, and ensure that it procures sufficient equipment and addresses software limitations to enable the broadest possible telework.
369	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	4	Resolved	Develop methods to ensure that immigration courts and EOIR offices are following social distancing guidelines during the ongoing pandemic and in the event of any future pandemic.
370	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	5	Resolved	Ensure that EOIR has a plan in place to order and maintain appropriate stocks of personal protective equipment for employees reporting to EOIR workspaces and other parties appearing for immigration proceedings.
371	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	6	Resolved	Clearly communicate with staff regarding COVID-19, including concerning when government standards change and what information EOIR is permitted to share regarding potential exposure.
372	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	7	Resolved	Coordinate with other agencies in non-Department of Justice buildings housing EOIR courts on making announcements about potential COVID-19 exposure.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
373	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	8	Resolved	Ensure that its communication plan and notice procedures for respondents and representatives are effective in reaching the intended audience, including Migrant Protection Protocols respondents, unaccompanied minors, and respondents who may be quarantined during the pandemic.
374	<u>Limited-Scope Review of the Executive Office for Immigration Review's Response to the Coronavirus Disease 2019 Pandemic</u>	EOIR	04/21/2021	9	Resolved	Update EOIR's Continuity of Operations Plan and pandemic plan based on experience during COVID-19, and adjust the plans to prepare for the future.
375	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General's Office, Columbus, Ohio</u>	OJP	03/30/2021	1	Resolved	Ensure the Ohio AG's internal policy and guidance better address situations in which one individual is entitled to submit multiple claims related to the same criminal incident that impacted multiple victims.
376	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General's Office, Columbus, Ohio</u>	OJP	03/30/2021	2	Resolved	Ensure the Ohio AG's internal policy and procedures address conflicts of interest.
377	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General's Office, Columbus, Ohio</u>	OJP	03/30/2021	3	Resolved	Ensure the Ohio AG develops formalized policies and procedures for preparing the annual state certification forms.
378	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General's Office, Columbus, Ohio</u>	OJP	03/30/2021	4	Resolved	Ensure the Ohio AG establishes policies and procedures to monitor compliance with award special conditions.
379	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General's Office, Columbus, Ohio</u>	OJP	03/30/2021	5	Resolved	Require the Ohio AG enhance its policies and procedures to explicitly state, specifically for payments held in abeyance for minor-aged individuals, that all financial records, supporting documents, statistical records, and all other records pertinent to the award are to be retained for a period of 3 years from the date of submission of the final expenditure report for the grant from which the award was paid.
380	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General's Office, Columbus, Ohio</u>	OJP	03/30/2021	6	Resolved	Ensure that the Ohio AG employs a practice of strategic planning and consideration for future liabilities against its victim compensation program.
381	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General's Office, Columbus, Ohio</u>	OJP	03/30/2021	7	Resolved	Require the Ohio AG to bring its policy in alignment with DOJ guidance requiring drawdowns to be based on actual or planned expenditures within the next 10 days and not on estimated amounts from historical expenses.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
382	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General's Office, Columbus, Ohio</u>	OJP	03/30/2021	8	Resolved	Ensure that the Ohio AG aligns its practice of preparing drawdown requests to be in accordance with its written policies.
383	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Ohio Attorney General's Office, Columbus, Ohio</u>	OJP	03/30/2021	9	Resolved	Work with the Ohio AG to determine whether the Ohio AG earned interest in excess of federal limitations and, if so, to determine the amount of interest owed due to excess cash that was on hand in each of the audited grants.
384	<u>Audit of the United States Marshals Service's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	USMS	03/29/2021	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
385	<u>Audit of the United States Marshals Service's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	USMS	03/29/2021	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
386	<u>Audit of the United States Marshals Service's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	USMS	03/29/2021	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
387	<u>Audit of the United States Marshals Service's Business Process Management Platform System Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	USMS	03/29/2021	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
388	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
389	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
390	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
391	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
392	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
393	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
394	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
395	<u>Audit of the Civil Rights Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	CRT	03/29/2021	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
396	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
397	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
398	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
399	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
400	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
401	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
402	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	7	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
403	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	8	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
404	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
405	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	10	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
406	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	FBI	03/10/2021	11	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
407	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	ATF	02/22/2021	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
408	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	ATF	02/22/2021	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
409	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	ATF	02/22/2021	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
410	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	ATF	02/22/2021	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
411	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	ATF	02/22/2021	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
412	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	ATF	02/22/2021	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
413	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	ATF	02/22/2021	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
414	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	ATF	02/22/2021	9	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
415	<u>Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014, Fiscal Year 2020</u>	ATF	02/22/2021	10	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
416	<u>Notification of Insider Threat Risk at the Department of Justice and the Drug Enforcement Administration</u>	ODAG	02/17/2021	3	Resolved	Examine the benefits and assess the value of expanding the background investigation and suitability process for both prospective employees and prospective contract employees of all DOJ components to include asking the prospective employee or contract employee questions about associations with individuals known or suspected to be involved in drug trafficking or other criminal activity, in addition to the questions on the SF-86 background questionnaire.
417	<u>Notification of Concerns Regarding the Department of Justice's Compliance with Laws, Regulations, and Policies Regarding Whistleblower Rights and Protections for Contract Workers Supporting Department of Justice Programs</u>	JMD	02/10/2021	2	Resolved	Enhance existing internal policies and procedures to clarify: (a) when to convey whistleblower rights and protections to contract workers and (b) how to verify whether this has occurred.
418	<u>Review of the Department of Justice's Planning and Implementation of Its Zero Tolerance Policy and Its Coordination With the Departments of Homeland Security and Health and Human Services</u>	ODAG	01/13/2021	1	Resolved	Prior to issuing a significant policy affecting multiple Department of Justice components, other Executive Branch agencies, or the courts, coordinate directly with affected stakeholders to ensure effective implementation.
419	<u>Review of the Department of Justice's Planning and Implementation of Its Zero Tolerance Policy and Its Coordination With the Departments of Homeland Security and Health and Human Services</u>	USMS	01/13/2021	2	Resolved	Establish guidance and procedures for U.S. Marshals Service staff to follow in working with the Department of Health and Human Services' Office of Refugee Resettlement case workers to facilitate communication between family unit adults separated from associated family unit minors, especially parents in U.S. Marshals Service custody and their children in Office of Refugee Resettlement custody.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
420	<u>Review of the Department of Justice's Planning and Implementation of Its Zero Tolerance Policy and Its Coordination With the Departments of Homeland Security and Health and Human Services</u>	ODAG	01/13/2021	3a	Resolved	Work with the Department of Health and Human Services' Office of Refugee Resettlement and the Department of Homeland Security to develop a formal interagency agreement (such as a memorandum of understanding) regarding the facilitation of communication between separated children in Office of Refugee Resettlement custody and their parents in U.S. Marshals Service custody.
421	<u>Review of the Department of Justice's Planning and Implementation of Its Zero Tolerance Policy and Its Coordination With the Departments of Homeland Security and Health and Human Services</u>	USMS	01/13/2021	3b	Resolved	Work with the Department of Health and Human Services' Office of Refugee Resettlement and the Department of Homeland Security to develop a formal interagency agreement (such as a memorandum of understanding) regarding the facilitation of communication between separated children in Office of Refugee Resettlement custody and their parents in U.S. Marshals Service custody.
422	<u>Audit of the West Virginia State Police Department's Equitable Sharing Program Activities, South Charleston, West Virginia</u>	CRM	01/05/2021	1	Resolved	Ensure that the WVSP train personnel responsible for overseeing and administering equitable sharing funds and equipment on equitable sharing restrictions.
423	<u>Audit of the West Virginia State Police Department's Equitable Sharing Program Activities, South Charleston, West Virginia</u>	CRM	01/05/2021	2	Resolved	Require that the WVSP reconciles regularly physical inventory purchased with equitable sharing funds to the vendor-maintained electronic inventory system.
424	<u>Audit of the West Virginia State Police Department's Equitable Sharing Program Activities, South Charleston, West Virginia</u>	CRM	01/05/2021	4	Resolved	Remedy \$6,600 in unsupported questioned costs reflecting the equitable-sharing portion of the price of the eight unaccounted-for tasers.
425	<u>Audit of the Federal Prison Industries, Inc. Annual Financial Statements Fiscal Year 2020</u>	FPI	01/05/2021	1	On Hold/Pending with OIG	Implement policies and procedures to assess significant changes in their operations and enhance their training programs to enable their staff to identify and respond to required accounting principles, including FASB ASC Section 606, in the fiscal year 2021.
426	<u>Audit of the Office on Violence Against Women Grants Awarded to the South Dakota Coalition Ending Domestic and Sexual Violence, Pierre, South Dakota</u>	OVW	01/04/2021	4	Resolved	Remedy the \$27,677 in unallowable questions costs related to the \$14,280 in unallowable direct and contractor costs; \$4,207 in unallowable equipment costs; and \$9,190 in expenditures charged after the end date of the grant.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
427	<u>Audit of the Office on Violence Against Women Grants Awarded to the South Dakota Coalition Ending Domestic and Sexual Violence, Pierre, South Dakota</u>	OVW	01/04/2021	5	Resolved	Remedy the \$149,325 in unsupported questioned costs related to the \$80,824 in unsupported direct and contractor costs; \$6,055 in unsupported personnel costs; and \$62,446 in excess drawdowns.
428	<u>Examination of Federal Bureau of Prisons' Purchase Card Transactions</u>	BOP	12/21/2020	2	Resolved	Require written explanations to be documented for purchase card transactions that do not meet the sustainability and mandatory source requirements.
429	<u>Examination of Federal Bureau of Prisons' Purchase Card Transactions</u>	BOP	12/21/2020	4	Resolved	Enhance monitoring controls to ensure that purchase cards are not issued to new cardholders prior to them completing the required training and purchase cards are suspended for existing cardholders who have not met the biennial training requirement.
430	<u>Audit of the Office of Justice Programs Grant Awarded to Illuminate Colorado, Denver, Colorado</u>	OJP	12/21/2020	6	Resolved	Remedy \$22,175 in unsupported questioned costs.
431	<u>Audit of the Federal Bureau of Investigation's Strategy and Efforts to Disrupt Illegal Dark Web Activities</u>	FBI	12/16/2020	1	Resolved	Ensure that the Hi-Tech OC Unit's efforts on the dark web sufficiently target vendors trafficking fentanyl and other opioids in a manner consistent with the priorities articulated by the Deputy Attorney General.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
432	<u>Audit of the Federal Bureau of Investigation's Strategy and Efforts to Disrupt Illegal Dark Web Activities</u>	FBI	12/16/2020	2	Resolved	<p>Develop a coordinated FBI-wide dark web approach that assesses enterprise-level needs, while considering the unique needs of its investigative and support units. At a minimum, this approach should address:</p> <ul style="list-style-type: none"> a. ambiguous or overlapping investigative responsibilities; b. baseline data collection guidelines to track operational units' dark web investigative efforts that position the FBI to provide useful and accurate information to internal and external stakeholders; c. processes to enhance and consolidate investigative tool development and acquisition efforts in a manner that addresses the FBI's and potentially Department's dark web needs in amore cost-effective manner, and to centralize the FBI's tools useful to dark web investigations to allow visibility to and access by other FBI components; and d. a process to centralize and eliminate outdated or redundant dark web training offerings and inform FBI personnel of the availability of dark web training across all applicable FBI divisions.
433	<u>Audit of the Federal Bureau of Investigation's Strategy and Efforts to Disrupt Illegal Dark Web Activities</u>	FBI	12/16/2020	3	Resolved	Ensure that the CyD and CID coordinate with OTD to develop formal procedures for handling dark web [redacted].
434	<u>Audit of the Federal Bureau of Investigation's Strategy and Efforts to Disrupt Illegal Dark Web Activities</u>	FBI	12/16/2020	4	Resolved	Develop timelines to obtain feedback from remaining FBI divisions and complete its development of the FBI-wide cryptocurrency support strategy.
435	<u>Audit of the Federal Bureau of Investigation's Strategy and Efforts to Disrupt Illegal Dark Web Activities</u>	FBI	12/16/2020	5	Resolved	Supplement its FBI-wide deconfliction policy with, or separately develop, a formal oversight process to ensure that investigative data encountered on the dark web is properly entered into the DICE deconfliction system.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
436	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the California Victim Compensation Board, Sacramento, California</u>	OJP	11/09/2020	4	Resolved	Work with the CalVCB to ensure that supporting documentation is being maintained to support the medical rates being paid to service providers.
437	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the California Victim Compensation Board, Sacramento, California</u>	OJP	11/09/2020	5	Resolved	Work with the CalVCB to ensure that its CaRES2 database is accurately calculating victim income and support loss payments.
438	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	1	Resolved	Provide ICJIA with an appropriate level of technical assistance to facilitate and enhance the process used by ICJIA to effectively and efficiently award available funding commensurate with the ongoing needs of victims in Illinois.
439	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	2	Resolved	Require ICJIA to review its efforts to provide VOCA funding for legal services and determine if improvements are appropriate.
440	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	3	Resolved	Coordinate with ICJIA to reexamine the appropriateness of the ICJIA subaward for expanding InfoNet.
441	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	4	Resolved	Ensure ICJIA adequately communicates to its subrecipients its policies or interpretations affecting the allowability of subrecipient costs.
442	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	5	Resolved	Require that ICJIA employs an adequate and reliable process for tracking grant spending by priority area.
443	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	6	Resolved	Determine what action should be taken for ICJIA's 2017 award for which it is not on track to comply with the priority funding area requirement for previously underserved victims.
444	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	7	Resolved	Ensure that ICJIA establishes policies and procedures for complete and appropriate SAR submissions.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
445	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	8	Resolved	Coordinate with ICJIA on the appropriate and reasonable submission of SARs for its previously awarded VOCA subgrants.
446	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	9	Resolved	Require that ICJIA establish policies and procedures for complete and proper submission of FFATA reports.
447	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	10	Resolved	Coordinate with ICJIA on the appropriate and reasonable submission of FFATA reports for its previously awarded VOCA subrecipients.
448	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	11	Resolved	Ensure that ICJIA submits all required documentation related to discrimination findings against subrecipients of ICJIA's VOCA funds.
449	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	12	Resolved	Ensure ICJIA reiterates to its subrecipients the requirements from the Uniform Guidance related to bonuses, specifically regarding requirements for employee agreements or an established plan and equitably allocating the costs.
450	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	13	Resolved	Remedy the \$504,795 of questioned unsupported personnel administrative costs.
451	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	14	Resolved	Remedy the total \$64,211 of unallowable non-personnel administrative costs.
452	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	15	Resolved	Ensure that ICJIA's policies identify the types of agreements available and distinguish the required monitoring commensurate with those agreement types.
453	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	16	Resolved	Remedy the \$35,478 in overdrawn funds.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
454	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	17	Resolved	Coordinate with ICJIA to identify the total amount of interest earned by VOCA funds drawn down in advance and remedy those funds as appropriate.
455	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	18	Resolved	Remedy the total \$40,773 of unsupported match.
456	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	19	Resolved	Assist ICJIA in calculating the appropriate amount of program income earned based on federal government participation in the cost of the InfoNet system and ensure that these funds are remedied in an appropriate manner.
457	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	20	Resolved	Ensure ICJIA reassesses its subrecipient monitoring policies to guarantee that the policies result in an appropriate level of monitoring based on the subrecipient risks identified.
458	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	21	Resolved	Ensure ICJIA clarifies its requirements to the lead entities regarding the lead entities' assessment of subrecipient risk.
459	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	22	Resolved	Ensure ICJIA conducts fiscal audits in a timely manner and updates its subrecipient monitoring policies to include other types of adequate financial monitoring processes for instances in which completing on-site fiscal audits are not feasible.
460	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	23	Resolved	Require that ICJIA develop a process to safeguard against the drawdown of excess funds and considers the additional monitoring and reimbursement effort involved in a multi-tiered subaward structure like ICJIA uses with its pass-through lead entities.
461	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	24	Resolved	Ensure that ICJIA has a process requiring subrecipients to report their actual match activity (e.g., expenditure of funds, volunteer time expended).

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
462	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	25	Resolved	Ensure ICJIA revises its approach for reviewing match for allowability and proper support and for monitoring to verify required match amounts are met.
463	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	26	Resolved	Ensure that ICJIA requires its lead entities to have controls for ensuring third-tier recipients meet match requirements.
464	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	27	Resolved	Require ICJIA to conduct its programmatic site visits in a timely manner in compliance with its monitoring policy.
465	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Illinois Criminal Justice Information Authority, Chicago, Illinois</u>	OJP	09/30/2020	28	Resolved	Ensure that ICJIA has adequate monitoring policies to provide assurance that subrecipients are reporting accurate performance information and are receiving appropriate and consistent guidance from ICJIA.
466	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	1	Resolved	Establish and implement a policy to require officials to review all institution-specific design changes to perimeter security features to determine if the design change is necessary at other institutions.
467	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	2	Resolved	Establish a formalized policy for sharing important information pertaining to perimeter security issues and vulnerabilities to the necessary personnel at all potentially affected institutions.
468	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	3	Resolved	Establish adequate controls that ensure its personnel, including subject matter experts, perform a thorough analysis of its perimeter security when making changes to or implementing new features and designs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
469	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	4	Resolved	Implement a policy clearly designating the required operating mode of the lethal/non-lethal fence, authorized instances when the operating mode should be changed, and who is authorized to change the operating mode.
470	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	5	Resolved	Enhance its oversight of perimeter security activities by periodically reviewing lethal/non-lethal fence reports to ensure the fence is operating in accordance with the newly implemented policy referenced in recommendation number 4.
471	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	6	Resolved	Ensure that its Correctional Services Manual includes policy on the required number of perimeter patrol vehicles at all types of institutions and implement a policy on the circumstances necessitating changes to the required number of perimeter patrol vehicles.
472	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	7	Resolved	Establish a policy on the required assignment and use of Roving Alarm Notification System (RANS) hand-held radios.
473	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	8	Resolved	Consider developing a national policy requiring institutional assessments of U.S. Penitentiaries (USP) on a prescribed, regular basis.
474	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	9	Resolved	Improve the institutional assessment process by ensuring the Institutional Assessment Guidelines are comprehensive and include all necessary perimeter security aspects, ensuring the assessment reports are consistent in form and content, and requiring reviewed facilities to respond to any recommendations in the assessment reports.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
475	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	10	Resolved	Evaluate the current taut wire and lethal/non-lethal fences at USPs and ensure the respective Technical Design Guidelines reflect the BOP's current perimeter security strategy.
476	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	11	Resolved	Routinely review the Technical Design Guidelines to ensure they reflect the BOP's minimum operational security expectations and reflect updates necessitated by enhancements or modifications.
477	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	12	Resolved	Evaluate the potential for there to be other BOP facilities with similar perimeter security vulnerabilities that may need to be addressed.
478	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	14	Resolved	Establish procedures to ensure Contracting Officers conduct appropriately comprehensive price proposal analyses, including properly documenting its evaluation of cost line items and expected time to complete a project, as well as what contract type is best suited for the circumstances at-hand.
479	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	15	Resolved	Reiterate to Contracting Officers the Federal Acquisition Regulation (FAR) requirement to safeguard the financial interests of the United States in its contractual relationships and ensure its Contracting Officers are aware of the newly established policy related to price proposal analyses referenced in recommendation number 14.
480	<u>Audit of the Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries</u>	BOP	09/29/2020	16	Resolved	Develop procedures on the expectations for completing adequate Independent Government Cost Estimates (IGCE), including that contract files contain sufficient documentation supporting IGCEs, and ensure those preparing IGCEs are aware of the expectations.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
481	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
482	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
483	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
484	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
485	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
486	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
487	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
488	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
489	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	9	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
490	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	10	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
491	<u>Management Advisory: Notification of Concerns Identified in the Federal Bureau of Investigation's Contract Administration of a Certain Classified National Security Program</u>	FBI	09/25/2020	11	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
492	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	1	Resolved	Review its Subject Matter Expert (SME) contract in its entirety to ensure that: (1) the non-personal services contract, as it is being administered, is in compliance with Federal Acquisition Regulation (FAR) requirements and FBI policy; (2) proper contract oversight controls and responsibilities have been established, including proper oversight of SMEs and the recruiting, interviewing, and hiring of SMEs; and (3) SMEs are not performing inherently governmental functions, which are prohibited by the FAR.
493	<u>Audit of the Federal Bureau of Investigation's Contract Awarded to TUVA, LLC for Subject Matter Expert Services</u>	FBI	09/25/2020	6	Resolved	Ensure that the FBI Office of General Counsel's contract review include a review of all task order Statement of Works (SOW) over a designated threshold amount associated with the master acquisition package.
494	<u>Audit of the Executive Office for Immigration Review Recognition and Accreditation Program</u>	EOIR	09/21/2020	1	Resolved	Ensure that the Office of Legal Access Program's (OLAP) application files contain sufficient documentation demonstrating that eligibility requirements for admittance into the Recognition and Accreditation Program (Program) have been satisfied.
495	<u>Audit of the Executive Office for Immigration Review Recognition and Accreditation Program</u>	EOIR	09/21/2020	2	Resolved	Require accreditation applicants to submit a certificate of completion, or other similarly verifiable record, for all training courses completed.
496	<u>Audit of the Executive Office for Immigration Review Recognition and Accreditation Program</u>	EOIR	09/21/2020	3	Resolved	Study the feasibility of and, if feasible, implement a minimum, periodic requirement for completing the legal immigration and trial and advocacy training described in the rules for Recognition of Organizations and Accreditation of Non-Attorney Representatives (Program Regulation).

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
497	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	1	Resolved	Implement policies and procedures to ensure that contracting duties are: (1) retained by ENRD contracting officers; or (2) appropriately delegated to other qualified ENRD officials that have received a written delegation letter and training commensurate of the duties being delegated.
498	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	6	Resolved	Enhance its policies and procedures to ensure that all expert witness contractors maintain a written and running bibliography of all information sources used during the life of the contract to ensure the proper preservation of working materials.
499	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	7	Resolved	Implement policies and procedures to ensure that any contract changes identified under FAR Subpart 43.301 are authorized using a formal contract modification that is approved by a qualified ENRD contracting official.
500	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	8	Resolved	Enhance its internal controls to mitigate the risk that the FEW Appropriation could be misused. These controls should be reflective of the requirements outlined in JMD's 2018 Expert Witness Instruction and any additional guidance that has been issued by JMD. Finally, ENRD should ensure that any policies and procedures created to address this recommendation are appropriately disseminated to ENRD contracting and litigation staff, and that appropriate training on the subject is provided to ENRD staff.
501	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	JMD	09/18/2020	9	Resolved	Ensure that the Expert Witness Instruction is compliant with FAR Subpart 42.15 related to contractor performance evaluations.
502	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	5a	Resolved	Implement policies and procedures to ensure adequate contract oversight, monitoring, and administration of its expert witness contracts, including ensuring that ENRD contracting officials develop and implement a quality assurance surveillance plan for its expert witness contracts, as required by the FAR.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
503	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	5b	Resolved	Implement policies and procedures to ensure adequate contract oversight, monitoring, and administration of its expert witness contracts, including ensuring that ENRD contracting officials maintain the proper documentation in each expert witness contract file, including resumes, travel authorizations, and confidentiality agreements that are signed prior to when the contractor begins work under the contract.
504	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	5c	Resolved	Implement policies and procedures to ensure adequate contract oversight, monitoring, and administration of its expert witness contracts, including ensuring that ENRD contracting officials document an analysis of pricing and support for increases in contract ceilings.
505	<u>Audit of the Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts</u>	ENRD	09/18/2020	5d	Resolved	Implement policies and procedures to ensure adequate contract oversight, monitoring, and administration of its expert witness contracts, including ensuring that ENRD contracting officials ensure subcontract agreements comply with ENRD's Standard Expert Witness Terms and Conditions, as well as additional guidance identified in the prime expert witness contract.
506	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Florida Department of Legal Affairs, Tallahassee, Florida</u>	OJP	09/16/2020	4	Resolved	Remedy the \$74,340 in unallowable subrecipient rental costs.
507	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Florida Department of Legal Affairs, Tallahassee, Florida</u>	OJP	09/16/2020	6	Resolved	Remedy the \$6,500 in unallowable rental costs related to match.
508	<u>Audit of the Department of Justice's Efforts to Protect Federal Bureau of Prisons Facilities Against Threats Posed by Unmanned Aircraft Systems</u>	BOP	09/14/2020	3	Resolved	Identify best practices and provide training for relevant staff on how to safely approach and secure recovered drones.
509	<u>Audit of the Department of Justice's Efforts to Protect Federal Bureau of Prisons Facilities Against Threats Posed by Unmanned Aircraft Systems</u>	ODAG	09/14/2020	6	Resolved	Continue to work with the BOP on identifying opportunities to maximize the efficiency of BOP requests to deploy protective measures at BOP facilities, while still meeting all purposes of the AG Guidance and the requirements of the statute on which it is based.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
510	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	2	Resolved	Ensure that the WA DOC develop a uniform policy for competitively-bid grant applications, outlining baseline bid review procedures.
511	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	4	Resolved	Ensure that the WA DOC develop a comprehensive grants administration manual for OCVA to facilitate consistent administration of VOCA funds and compliance with the DOJ Grants Financial Guide.
512	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	6	Resolved	Coordinate with the WA DOC on the appropriate and reasonable submission of SARs for its previously awarded VOCA subawards; and implement procedures to ensure that SARs are submitted accurately and in a timely manner to OVC.
513	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	7	Resolved	Remedy \$70,207 in inadequately supported expenditures.
514	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	8	Resolved	Remedy \$3,312 of unsupported match contributions.
515	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	9	Resolved	Ensure that the WA DOC and its pass-through agencies revise their financial monitoring policies and procedures to include the review of fiscal related matters to mitigate the risk of commingling and unsupported subrecipient Requests for Reimbursement.
516	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	10	Resolved	Ensure the DSHS update its annual renewal application process of emergency domestic violence shelters to require a copy of the most recent annual safety inspection report from the local fire department.
517	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Washington Department of Commerce, Olympia, Washington</u>	OJP	09/11/2020	11	Resolved	Ensure OCVA revise its CVSC service standards to include onsite monitoring plans.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
518	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	2	Resolved	Coordinate with ICJI to determine whether further enhancement to ICJI's outreach education program is necessary to ensure more people throughout the state of Indiana learn about the victim compensation program and the benefits available, as well as to ensure that law enforcement agencies throughout the state are aware of the program and its requirements.
519	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	3	Resolved	Work with ICJI to ensure the State Certification Forms for FY 2015, FY 2016, and FY 2018 are corrected, to determine whether the FY 2019 State Certification Form is accurate, and to take the necessary steps to remedy any funds awarded in error related to the deficiencies in the FY 2015 and FY 2016 State Certification Forms.
520	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	5	Resolved	Ensure that ICJI takes appropriate action to enhance performance reporting activities and produce accurate performance reports, and if appropriate, require ICJI to submit corrected performance reports for the FY 2015 through FY 2017 grants.
521	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	6	Resolved	Ensure ICJI implements formal procedures and trains staff to help ensure that financial transactions are posted correctly and completely.
522	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	7	Resolved	Remedy \$20,000 in unsupported questioned costs.
523	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	8	Resolved	Remedy \$15,000 in unallowable questioned costs related to one approved claim submitted after the 2-year state-imposed deadline for claims applications.
524	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	10	Resolved	Remedy \$8,398 in excess administrative expenditures representing expenses beyond the 5-percent limit charged to the FY 2016 grant.
525	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	11	Resolved	Remedy the unsupported payroll expenditures totaling \$9,324 and work with ICJI to identify and remedy any additional excess hours charged to the grants under audit.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
526	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	13	Resolved	Work with ICJI to determine whether current drawdowns on the FY 2017 grant are in excess of ICJI's expenditures eligible for federal reimbursement, and, if so, to remedy the excess cash on hand and take the necessary steps to determine whether any interest is owed due to excess cash on hand.
527	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	14	Resolved	Work with ICJI to assess the accuracy of the financial reports related to the 2015, 2016, and 2017 grants, and, if appropriate, require ICJI to submit corrected financial reports for those that were previously misstated.
528	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Indiana Criminal Justice Institute, Indianapolis, Indiana</u>	OJP	09/01/2020	15	Resolved	Ensure ICJI implements appropriate policies and practices for timely submission of federal financial reports.
529	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	1	Resolved	Ensure the DCJS has a written policy over its subaward selection process.
530	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	2	Resolved	Ensure that the DCJS develops subaward agreement requirements with VDSS to include the Federal award information, special conditions, and applicable compliance requirements.
531	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	3	Resolved	Require that the DCJS develop and implement a written policy and tracking procedure to ensure VOCA funds are disbursed in accordance with the priority funding requirement.
532	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	4	Resolved	Ensure the DCJS establishes a process to submit complete and accurate annual performance reports.
533	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	5	Resolved	Ensure the DCJS submits SARs for all of its previously awarded VOCA subawards, reconciling against award records maintained by the DCJS outside of PMT.
534	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	6	Resolved	Require that the DCJS train its subrecipients on fraud awareness and reporting, to include the OIG hotline's availability.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
535	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	7	Resolved	Ensure the DCJS continues its effort to segregate grant financial activities.
536	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	8	Resolved	Ensure the DCJS enhances its written policies to provide a formal continuity in its grant management, such as through a succession plan and cross training between its programmatic and financial personnel.
537	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	9	Resolved	Remedy a total of \$5,375 in unsupported costs.
538	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	10	Resolved	Remedy a total of \$18,240 in unallowable costs.
539	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	11	Resolved	Ensure the DCJS: (a) informs pass-through recipients of the administrative cost restrictions; (b) clearly tracks administration costs and payments for both the DCJS and VDSS; and (c) monitors spending statewide against the administrative cost limit.
540	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	14	Resolved	Assess how the DCJS fully implemented the updated drawdown policy.
541	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	15	Resolved	Ensure the DCJS assesses its drawdowns of the FY 2017 award to determine if funds need to be returned.
542	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	16	Resolved	Work with the DCJS to develop and implement procedures to: (a) track compliance with the VOCA match requirement, (b) document subrecipient-reported matching, and (c) reconcile state and subrecipient match contributions respectively reported in its grants management and accounting systems.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
543	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	17	Resolved	Remedy \$14,308 in unsupported subrecipient matching costs.
544	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	18	Resolved	Work with the DCJS to strengthen its implementation of written policies and procedures that guide how DCJS personnel should compile accurate FFRs.
545	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	19	Resolved	Require that the DCJS implements a comprehensive monitoring plan that: (a) ensures that all subrecipients receive site visits as required by the monitoring policy; (b) documents and maintains the results of monitoring activities; and (c) trains grant monitors on various aspects of the monitoring plan, to include: completing risk assessments, types of monitoring, conducting subrecipient site visits, and the importance of documenting and maintaining complete records of monitoring efforts.
546	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	20	Resolved	Require that the DCJS strengthens its financial monitoring policies and staff training specifically with regard to reimbursing subrecipients.
547	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	21	Resolved	Ensure the DCJS informs and provides training to its subrecipients on how to compile and prorate performance reporting data that accurately reflects activity supported with VOCA funds.
548	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Virginia Department of Criminal Justice Services, Richmond, Virginia</u>	OJP	09/01/2020	22	Resolved	Ensure the DCJS has adequate monitoring policies and procedures to provide assurance that subrecipients are reporting accurate performance information.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
549	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	1	Resolved	Ensure ECSO develops and implements policies and procedures to make certain it maintains supporting documentation for staffing levels (local and grant-funded) identified in its grant applications and over the grant period. Specifically, ECSO should track the names, positions, and total number of locally funded officers and separately track grant-funded officers from the grant award date through grant closure.
550	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	2	Resolved	Ensure ECSO implements policies and procedures to ensure that it meets the hiring date requirements for the officers it funds with COPS grants.
551	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	3	Resolved	Ensure ECSO submits progress reports that accurately reflect grant activities during the reporting period.
552	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	4	Resolved	Require ECSO to establish a financial system that corrects significant internal control deficiencies and produces consistent, accurate, reliable, and verifiable reporting.
553	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	5	Resolved	Ensure ECSO develops and implements written policies and procedures for grant administration, including accounting and recordkeeping, to ensure compliance with applicable laws, regulations, and award terms and conditions.
554	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	6	Resolved	Remedy the \$4,503,266 in unsupported questioned costs.
555	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	7	Resolved	Remedy the \$1,121,734 in funds to be put to better use.
556	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	8	Resolved	Remedy \$1,250,000 in unsupported questioned local match costs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
557	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	9	Resolved	Work with ECSO to ensure that the match is met for grant 2017-UL-WX-0014.
558	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	10	Resolved	Require ECSO to develop and implement policies and procedures to ensure accurate accounting of grant expenditures, including payroll and fringe benefit expenditures, as well as maintaining records that clearly demonstrate an appropriate source of the local match, the amount of the local match, and when the local match was contributed.
559	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	11	Resolved	Ensure ECSO develops and implements written policies and procedures for budget management and reconciliation of supporting documentation with Essex County's financial management system.
560	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	12	Resolved	Ensure ECSO develops and implements written policies and procedures for drawing down award funds based on actual payroll data from the Essex County financial management system.
561	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	13	Resolved	Ensure ECSO develops and implements written policies and procedures to ensure project costs, both federal share expenditures and local match expenditures, are adequately supported and reconciled with Essex County's financial management system.
562	<u>Audit of the Office of Community Oriented Policing Services Hiring Program Grants Awarded to the Essex County Sheriff's Office, Newark, New Jersey</u>	COPS	08/18/2020	14	Resolved	Ensure ECSO develops and implements written policies and procedures to timely submit financial reports on a quarterly basis.
563	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Loveioy, Georgia</u>	USMS	07/22/2020	5	Resolved	Evaluate how it can best ensure that excess commissary funds are used to benefit detainees and that the funds do not accumulate unreasonably.
564	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Loveioy, Georgia</u>	USMS	07/22/2020	6	Resolved	Include in all of its detention contracts a legally-appropriate mechanism by which, upon termination of each contract, excess commissary funds will be used in a manner that is solely for the benefit of detainees.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
565	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	7	Resolved	Ensure that GEO has properly trained all of its employees involved in the transportation of detainees, completes all training required by 42 U.S.C. § 13726b and 28 CFR Part 97, and maintains complete training records including the curriculum and student attendance records.
566	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	8	Resolved	Put in place adequate controls to ensure that employees involved in detainee transportation at USMS contract detention facilities have completed the training required by federal law.
567	<u>Audit of the United States Marshals Service's Contract Awarded to The GEO Group, Incorporated to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia</u>	USMS	07/22/2020	10	Resolved	Create a standard or other requirement regarding the appropriate use of ladders in contract facilities that contain bunk beds.
568	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the New Jersey Department of Law and Public Safety, Trenton, New Jersey</u>	OJP	07/08/2020	1	Resolved	Provide NJ DLPS with an appropriate level of technical assistance to facilitate and enhance the process used by NJ DLPS to effectively and efficiently award available funding commensurate with the ongoing needs of NJ victims.
569	<u>Management Advisory Memorandum of Concerns Identified During Mock Exercises by Federal Bureau of Prisons Special Operation Response Teams</u>	BOP	06/17/2020	2	Resolved	Develop comprehensive guidelines governing mock exercises. The OIG recommends that these comprehensive guidelines include, among other things, the following: a. All mock exercises should be required to have at least one monitor whose sole role is to be the designated Safety Officer. The Safety Officer should be present onsite during the exercise, responsible for preventing team members from going outside of training boundaries, and responsible for stopping scenarios when they go off script or otherwise compromise the safety of employees involved in the exercise and other employees in the institution. b. All mock exercises should be filmed for live video and recorded for training purposes and evaluation.
570	<u>Management Advisory Memorandum of Concerns Identified During Mock Exercises by Federal Bureau of Prisons Special Operation Response Teams</u>	BOP	06/17/2020	4	Resolved	All SORT members and designated training monitors should receive remedial training on SORT policy and use of force applications during mock training and certification exercises.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
571	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	1	Resolved	Formalize a procedure to develop, verify, and disseminate all required AGEO financial and performance reports to Congress and the Attorney General, as outlined in the authorizing statute, to bring it in compliance with the law. In addition, these reports should provide appropriate context for the statistics associated with AGEOs so that direct and indirect accomplishments are clearly identified and can be assessed separately.
572	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	DEA	06/16/2020	15	Resolved	Implement the preventative and detective internal controls over PGI (developing PGI spend plans, timely 90-day reviews, and quarterly headquarters examination of financial activity) as prescribed by the Department's Risk Mitigation Policy Memorandum, the AG FBI Undercover Guidelines, and the Agents Manual.
573	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	ODAG	06/16/2020	16	Resolved	Formally determine whether the policies within the AG FBI Undercover Guidelines apply, in their entirety or in specific instances, to all DOJ law enforcement components; or if the Department must issue new guidance to govern undercover operations that are initiated by DOJ law enforcement components outside of the FBI.
574	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	ODAG	06/16/2020	17	Resolved	Ensure that the DEA's policies reflect necessary measures and controls stipulated in applicable Department undercover guidance.
575	<u>Audit of the Drug Enforcement Administration's Income-Generating, Undercover Operations</u>	ODAG	06/16/2020	19	Resolved	Determine whether the USAOs have implemented a process for coordinating with other prosecutorial offices when sensitive investigative activities, such as the DEA's AGEOs, are likely to span jurisdictional boundaries, as required by the Department Risk Mitigation Policy Memorandum.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
576	<u>Notification of Concerns Identified with the Federal Bureau of Prisons' Procurement of Food Products</u>	BOP	06/08/2020	1	Resolved	Develop a quality assurance plan applicable to all institutions to mitigate the risk that a vendor could deliver a substandard product to the BOP. The BOP should consider including within this plan the following three-pronged approach: a. consistent on-site visual, weight, and other types of inspections before acceptance of delivery; b. a structured system of random laboratory testing of food products to ensure they meet USDA, U.S. Food and Drug Administration (FDA), contractual, or other applicable standards; and c. a requirement that with every food delivery and/or related claim, vendors submit a written certification that the products meet the contract specifications and have been processed or produced in accordance with USDA, FDA, or other regulatory standards, as applicable.
577	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	3	Resolved	Coordinate with COVA to ensure it develops and implements new policies and procedures that include specific language regarding separation of duties, procurement, verifying that its vendors are not debarred or suspended from doing business with the federal government, approving and paying expenditures, matching costs, indirect costs, and performance measurement and outcome assessment.
578	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	6	Resolved	Remedy the \$7,373 in unallowable questioned costs related to the \$5,840 in unallowable personnel costs and \$1,533 in unallowable other direct costs.
579	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	7	Resolved	Remedy the \$207,953 in unsupported questioned costs related to the \$12,418 in unsupported personnel costs and \$195,535 in unsupported other direct costs.
580	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to the Colorado Organization for Victim Assistance, Denver, Colorado</u>	OJP	05/26/2020	9	Resolved	Remedy the \$259,827 in unsupported matching costs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
581	<u>Audit of the Federal Bureau of Prisons' Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	BOP	05/18/2020	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
582	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
583	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
584	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
585	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
586	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
587	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
588	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	7	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
589	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	8	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
590	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
591	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	JMD	04/28/2020	10	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
592	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
593	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
594	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	8	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
595	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
596	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	FBI	03/31/2020	10	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
597	<u>Management Advisory Memorandum for the Director of the Federal Bureau of Investigation Regarding the Execution of Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons</u>	FBI	03/30/2020	1	Resolved	Institute a requirement that it, in coordination with NSD, systematically and regularly examine the results of past and future accuracy reviews to identify patterns or trends in identified errors so that the FBI can enhance training to improve agents' performance in completing the Woods Procedures, or improve policies to help ensure the accuracy of FISA applications.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
598	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	4	Resolved	Remedy the \$24,969 in unallowable questioned costs related to the \$10,702 in unallowable personnel costs, \$9,689 in unallowable contractor and consultant costs, and \$4,578 in unallowable other direct costs.
599	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	8	Resolved	Remedy the remaining \$248,973 of the \$256,975 in unsupported matching costs.
600	<u>Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas</u>	OJP	03/30/2020	9	Resolved	Coordinate with RST to ensure it meets its matching requirements, tracks all matching transactions on its general ledgers, and implements new policies and procedures to ensure matching costs are properly supported.
601	<u>Review of the Office of Justice Programs' Corrective Actions to Address Dollar-Related Audit Recommendations</u>	OJP	03/24/2020	3	Resolved	Evaluate the risks posed by the proportion of unallowable questioned costs OJP retroactively approves, determine enhancements to its enforcement practices for unallowable questioned costs identified in audits and reviews, and periodically review grant terms for which OJP routinely approves deviations through Grant Adjustment Notices and retroactive approvals and identify strategies that better ensure grant recipients are spending grant funds for allowable purposes.
602	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	4	Resolved	Work with the Department to develop a complete universe of previously unidentified terrorist inmates and obtain information from the Department that will help the BOP determine if the 46 released inmates we identified meet its definition of a terrorist. If any of them do, then we recommend that the BOP add them to its historical list of formerly incarcerated terrorists to make it accurate and notify the FBI of their release.
603	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	5	Resolved	Review the quality of the telephone monitoring equipment at institutions requiring FBI monitoring of inmates under a SAM directive, and work with the FBI to make improvements to ensure effective monitoring can be conducted with the equipment at each of those institutions.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
604	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	6	Resolved	Assess the sound quality in BOP visiting rooms utilized by terrorist inmates subject to Special Administrative Measure (SAM) directives and improve the microphones in any identified facilities with inadequate equipment so that the FBI can effectively perform its monitoring as required under the SAM directive and the BOP can perform similar monitoring of its terrorist and other high-risk inmates.
605	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	7	Resolved	Work with the Department to establish procedures to prevent terrorist inmates from viewing discovery materials in the presence of other inmates and consider additional steps to minimize the risk that discovery material containing radical or harmful content can be inappropriately shared with other inmates.
606	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	8	Resolved	Establish a standard that will help ensure general population emails are monitored in a consistent manner and establish a policy that allows staff to forward for translation general population emails in accordance with this standard.
607	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	9	Resolved	Eliminate the automatic delivery of email to high-risk inmates.
608	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	11	Resolved	Create a more thorough and useful national list of key words, assess available technology that could assist in identifying concerning email communications, and develop a mechanism in TRULINCS to automatically notify staff of any emails that require closer scrutiny.
609	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	12	Resolved	Establish controls that mitigate the risk of inmates communicating with unknown and un-vetted parties and take steps, including the utilization of available technological features as found in TRULINCS, to reduce the risk of mass emails being received by high-risk inmates, including terrorist inmates.
610	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	13	Resolved	Establish a TRUFONE control that allows the system to alert BOP management of any calls that were not completely monitored.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
611	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	14	Resolved	Reassess its TRUFONE controls and take steps to prevent terrorist and other high-risk inmates from communicating with unknown and unapproved contacts.
612	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	15	Resolved	Review cellblock conversation monitoring policy, procedures, and capabilities to determine whether and how improvements can be made to achieve security goals, including improvement of audio monitoring systems.
613	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	16	Resolved	Revisit its social communication monitoring policy for high-risk inmates, including terrorist inmates, to better ensure that all visits between terrorist inmates and their visitors are sufficiently monitored.
614	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	BOP	03/24/2020	17	Resolved	Establish timelines for staff to nominate terrorist inmates for inclusion on the Posted Picture File and for Wardens to approve or deny the nominations.
615	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	ODAG	03/24/2020	18	Resolved	Determine whether the BOP should conduct an inventory of the books and publications found in the cells of all terrorist inmates and provide it to the FBI for review.
616	<u>Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization</u>	ODAG	03/24/2020	19	Resolved	Develop a mechanism to notify the BOP of all terrorists in its custody, including those whose convictions are under a sealed U.S. court order.
617	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	1	Resolved	Ensure all firearms in its custody are properly entered into the appropriate property management system.
618	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	3	Resolved	Ensures that complete documentation of lost and stolen FBI firearms is maintained, including all pertinent information such as the firearm make, model, and serial number.
619	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	4	Resolved	Ensure that the status of all lost or stolen firearms that are subsequently recovered is accurately reflected in AMS.
620	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	5	Resolved	Amend its policy for tracking ammunition to require that all rounds of each type of ammunition be tracked using handwritten logs that detail the date, caliber, quantity in or out, purpose of the ammunition transaction, and remaining balance; and require all ammunition tracking logs to be retained for at least 3 years.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
621	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	6	Resolved	Revise its current policy to require its offices to conduct a complete inventory of all ammunition on hand on an annual basis to ensure all recorded ammunition inventory balances are accurate, and to retain those records for at least 3 years.
622	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	7	Resolved	Establish policy requiring that less lethal munitions and diversionary devices must be stored in a secure area, with access limited to designated personnel.
623	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	8	Resolved	Establish policy for tracking less lethal munitions and diversionary devices that requires all quantities of each type of less lethal munition and diversionary device to be tracked using handwritten logs that detail the date, quantity in or out, purpose of the transaction, and remaining balance; and require all less lethal munition and diversionary device tracking logs to be retained for at least 3 years.
624	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	9	Resolved	Require its offices to conduct a complete physical inventory of all less lethal munitions and diversionary devices on an annual basis and retain those records for at least 3 years.
625	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	10	Resolved	Amend its policy requiring all quantities of each type of explosive material be tracked by its SABTs using handwritten logs that detail the date, quantity in or out, purpose of the transaction, and remaining balance; and retain all explosives tracking records for at least 3 years.
626	<u>Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives</u>	FBI	03/23/2020	11	Resolved	Require its offices to conduct a complete physical inventory of all explosives on an annual basis and retain those records for at least 3 years.
627	<u>Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	USNCB	03/16/2020	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
628	<u>Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	USNCB	03/16/2020	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
629	<u>Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	USNCB	03/16/2020	9	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
630	<u>Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	USNCB	03/16/2020	11	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
631	<u>Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019</u>	TAX	03/04/2020	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
632	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	1	Resolved	Identify and document lessons learned from the Deputy Director's Closed Guardian Review and disseminate the results to senior headquarters and field office leadership to help ensure the underlying problems related to procedures, implementation, and oversight of this endeavor do not occur in future large-scale internal reviews and initiatives.
633	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	2	Resolved	Assess the legal, policy, and civil liberties issues implicated by the FBI's Office of General Counsel (OGC) guidance related to database checks during the Deputy Director's Closed Guardian Review, as well as Counterterrorism Division (CTD) and field offices' execution of the review in contrast to this guidance, and determine what, if any, follow-up action is necessary. If the FBI's OGC guidance was required by policy, determine whether it may be appropriate and consistent with applicable law to amend FBI policy to permit follow-up inquiries of closed assessments in certain circumstances.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
634	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	3	Resolved	Review the use of TECS alerts in Guardian assessments and provide clear guidance to CTD and field offices about when such use is appropriate.
635	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	4	Resolved	Ensure field offices are fully aware of when to seek certain forms of legal process in connection with Guardian assessments and collaborate with CTD to discuss their coordination with USAOs and other options for obtaining records.
636	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	5	Resolved	In conjunction with the efforts to address Recommendation Number 2, examine current field office initiatives that provide an ongoing mechanism to revisit subjects of closed assessments and investigations. This examination should identify any legal, policy, and civil liberties implications so that a decision can be made as to whether all FBI field offices should undertake similar initiatives.
637	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	6	Resolved	Review existing guidance for processing and systematically categorizing, assigning, and assessing threats and suspicious activities in the Guardian system that are related to counterterrorism threat-to-life incidents, and develop any additional policies and procedures required. Once completed, ensure that this information is disseminated to the Criminal Investigative Division (CID) for review and deploy cross-training between CTD and CID for appropriately conducting assessments of threats-to-life.
638	<u>Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments</u>	FBI	03/03/2020	7	Resolved	Develop and implement a comprehensive strategy for assessing and mitigating threats with subjects who have mental health concerns, which incorporates a requirement for field offices to establish a plan to utilize the resources and legal techniques specific to their area of responsibility to address this emerging threat, and deploy a training module to provide subject mitigation techniques when dealing with individuals suffering from mental health problems.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
639	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	ODAG	02/20/2020	1	Resolved	In consultation with the appropriate Department components and other federal stakeholders: formally assess the risks and benefits of seeking to obtain Big 4 pricing for pharmaceutical purchases, as well as the authority to cap reimbursement for outside medical care at the Medicare rate, for the Department and all of its components, and, if warranted by the assessments, develop a plan to obtain such pricing and/or authority, including timeframes and assignments of responsibility for pursuing the plan.
640	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	2	Resolved	Establish and issue to institutions purchasing guidelines to help identify the lowest price drugs when Pharmaceutical Prime Vendor Program drugs are out of stock or unavailable, and consider including the drug purchasing hierarchy in the Health Services Program Review Guidelines for Pharmacy Services.
641	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	3	Resolved	Ensure that institutions follow federal procurement regulations to compete all drug purchases equal to or greater than the micro-purchase threshold.
642	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	4	Resolved	Ensure that institutions' compliance with cost-efficient drug procurement practices is monitored through program review.
643	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	5	Resolved	Ensure that institutions track and report to the Central Office all of their drug purchases, particularly those from non-prime vendor sources, and capture details such as source, purchase date, quantity, and price.
644	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	6	Resolved	Require that institutions place orders for out-of-stock drugs through the prime vendor, or otherwise implement a method for notifying the prime vendor when needed drugs are unavailable that also ensures that the BOP is receiving all credits to which it is contractually entitled.
645	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	7	Resolved	Assess the costs and benefits of the programs that are on hold or limited due to resources, including pharmacy clinics, Central Fill and Distribution, and the BOP Mail Order Pharmacy, and determine whether expansion or initiation of these programs would be helpful to control long-term costs.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
646	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	8	Resolved	Complete and implement the Hepatitis C dashboard that will allow the BOP to accurately track and report Hepatitis C testing, diagnoses, priority levels, and treatment, and require institutions to maintain it.
647	<u>Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement</u>	BOP	02/20/2020	9	Resolved	Assess the costs and benefits of requiring institutions to implement universal, voluntary opt-out Hepatitis C testing, and determine whether implementing this policy would be appropriate.
648	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	1	Resolved	Establish in policy or guidance the mission, role, and functions of the Senior Federal Law Enforcement Official, including qualifications, the appropriate components from which to select the official, and how a Senior Federal Law Enforcement Official coordinates with the ESF-13 program during a critical incident response.
649	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	2	Resolved	Ensure that the Senior Federal Law Enforcement Official cadre participates in National Level Exercises, when applicable, and ESF-13 Table Top Exercises.
650	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	3	Resolved	Reevaluate ESF-13's funding process and operational requirements to determine appropriate funding mechanisms and cost sharing.
651	<u>Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13</u>	ODAG	02/11/2020	4	Resolved	Reevaluate ESF-13's staffing to determine appropriate permanent staffing requirements and responsibilities.
652	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons on Ensuring That Contractor and Grantee Employees Are Notified of Whistleblower Rights and Remedies</u>	BOP	01/24/2020	1	Resolved	Take steps to ensure that its contractors and any grantees are aware of the whistleblower protections that federal law provides for employees of federal contractors and grantees. Specifically, the BOP should ensure that its contractors, subcontractors, and grantees inform their employees in writing of the rights and remedies provided by § 4712, as required by the law and the FAR.
653	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons on Ensuring That Contractor and Grantee Employees Are Notified of Whistleblower Rights and Remedies</u>	BOP	01/24/2020	2	Resolved	Take steps to ensure that its contractors and any grantees take appropriate actions to conform their internal policies to federal law.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
654	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	4	On Hold/Pending with OIG	Address the problems with the administration and assessment of CHSs identified in the report. (see items 4a. through 4f. on pages 415 and 416)
655	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	6	On Hold/Pending with OIG	Ensure that appropriate training on DIOG § 4 is provided to emphasize the constitutional implications of certain monitoring situations and to ensure that agents account for these concerns, both in the tasking of CHSs and in the way they document interactions with and tasking of CHSs.
656	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	7	On Hold/Pending with OIG	Establish a policy regarding the use of defensive and transition briefings for investigative purposes, including the factors to be considered and approval by senior leaders at the FBI with notice to a senior Department official, such as the Deputy Attorney General.
657	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	9	On Hold/Pending with OIG	Review the performance of all employees who had responsibility for the preparation, Woods review, or approval of the FISA applications, as well as the managers, supervisors, and senior officials in the chain of command of the Carter Page investigation, for any action deemed appropriate.
658	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	1a	On Hold/Pending with OIG	Ensure that adequate procedures are in place for the Office of Intelligence (OI) to obtain all relevant and accurate information, including access to Confidential Human Source (CHS) information, needed to prepare FISA applications and renewal applications. This effort should include revising items 1a. through 1d. on page 415 of report.
659	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	ODAG	12/09/2019	1b	On Hold/Pending with OIG	Ensure that adequate procedures are in place for the Office of Intelligence (OI) to obtain all relevant and accurate information, including access to Confidential Human Source (CHS) information, needed to prepare FISA applications and renewal applications. This effort should include revising items 1a. through 1d. on page 415 of the report.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
660	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	FBI	12/09/2019	2a	On Hold/Pending with OIG	Evaluate which types of Sensitive Investigative Matters (SIM) require advance notification to a senior Department official, such as the Deputy Attorney General, in addition to the notifications currently required for SIMs, especially for case openings that implicate core First Amendment activity and raise policy considerations or heighten enterprise risk, and establish implementing policies and guidance, as necessary.
661	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	ODAG	12/09/2019	2b	On Hold/Pending with OIG	Evaluate which types of Sensitive Investigative Matters (SIM) require advance notification to a senior Department official, such as the Deputy Attorney General, in addition to the notifications currently required for SIMs, especially for case openings that implicate core First Amendment activity and raise policy considerations or heighten enterprise risk, and establish implementing policies and guidance, as necessary.
662	<u>Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation</u>	ODAG	12/09/2019	5b	On Hold/Pending with OIG	Clarify the terms in their policies. (Refer to 5a. and 5b. on page 416 of the report for terms listed)
663	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	1	Resolved	Ensure that the DI designs, implements, and adheres to validation policies and procedures for its long-term CHSs that comply with the AG Guidelines, or coordinate with the Department to seek revisions to the AG Guidelines, as necessary.
664	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	2	Resolved	Dedicate sufficient and appropriately trained personnel to ensure that long-term CHS validations, including backlogged long-term CHS validations, are conducted in accordance with the requirements of the AG Guidelines.
665	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	3	Resolved	Coordinate with the Department and update, as necessary, its long-term CHS validation report to ensure that it addresses the appropriate scope of review and memorializes any validation personnel's conclusions or recommendations.
666	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	5	Resolved	Develop and implement an automated workflow in Delta to ensure that all handling agents request and document SAC approval or disapproval for the continued handling of CHSs in excess of 5 years.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
667	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	6	Resolved	Update its Policy Guide to formally incorporate its Validation Manual in accordance with the IPO Policy Directive to ensure current validation processes and procedures are in compliance with AG Guidelines requirements.
668	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	7	Resolved	Ensure all validation process roles and responsibilities are defined and field office personnel receive adequate training on the validation processes.
669	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	8	Resolved	Reengineer its process for CHS validation to ensure that the CHSs with the greatest risk factors are selected, that those selections are independently assessed by headquarters, and that continued CHS use determinations receive appropriate headquarters scrutiny.
670	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	9	Resolved	Ensure that headquarters validation personnel document their analyses, conclusions, and recommendations in validation reports.
671	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	10	Resolved	Update its Validation Manual to ensure that its annual CHS review process is accurately documented and review and update its annual CHS report to ensure that it sufficiently addresses CHS risks, provide field offices guidance on the updates, and stress to field offices the important of the annual CHS report in the FBI's validation process.
672	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	11	Resolved	Develop and implement a policy that clearly informs FBI personnel of the acceptable platforms for communicating with CHSs and provide training to its workforce on the policy.
673	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	13	Resolved	Take actions to mitigate its gaps in CHS coverage by prioritizing the development of its new threat intelligence and CHS coverage system and ensure that the policies and procedures for its use are documented and accompanied by detailed training on the new system.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
674	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	14	Resolved	Consult with all proposed stakeholders that will be responsible for providing data to the new system, identify any other data integrity issues, and document the policies and procedures for ongoing data quality monitoring of its new threat intelligence and CHS coverage system.
675	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	15	Resolved	Coordinate to ensure the composition of the HSRC is sufficient and appropriate and includes the requisite skills and knowledge to approve the continued use of FBI's long-term CHSs and seek revisions to the AG Guidelines, as necessary, to memorialize any changes in the composition.
676	<u>Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes</u>	FBI	11/18/2019	16	Resolved	Coordinate to consider establishing additional HSRCs or increasing the frequency of the HSRC meetings until the backlog of CHSs awaiting HSRC approval for continued use is eliminated.
677	<u>Audit of the U.S. Department of Justice's Fiscal Year 2019 Compliance with the Digital Accountability and Transparency Act of 2014</u>	ALLDOJ	11/06/2019	2	On Hold/Pending with OIG	Establish control activities to ensure sufficient field lengths, as permitted by the DAIMS, are used for the consolidated files, and perform additional edit checks in the consolidation process to ensure that data from the component files are accurately and completely captured in the consolidated files. (New)
678	<u>Audit of the U.S. Department of Justice's Fiscal Year 2019 Compliance with the Digital Accountability and Transparency Act of 2014</u>	ALLDOJ	11/06/2019	5	On Hold/Pending with OIG	Instruct Department contracting officers and grant officials on the definitions of the data elements and their proper recording in FPDS-NG and FABS and emphasize the importance of accurately inputting data into FPDS-NG and FABS. (New)
679	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	DEA	09/30/2019	1	Resolved	Develop a national prescription opioid enforcement strategy that encompasses the work of all DEA field divisions tasked with combating the diversion of controlled substances, and establish performance metrics to measure the strategy's progress.
680	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	DEA	09/30/2019	3	Resolved	Implement electronic prescribing for all controlled substance prescriptions.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
681	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	DEA	09/30/2019	4	Resolved	Require that all suspicious orders reports be sent to DEA headquarters.
682	<u>Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids</u>	DEA	09/30/2019	5	Resolved	Take steps to ensure that DEA diversion control personnel responsible for adjudicating registrant reapplications are fully informed of the applicants' history resulting in a prior registration being revoked by DEA, surrendering a prior registration for cause, losing a state medical license, or other conduct which may threaten the public health and safety by improving information provided to such personnel about the standards to apply in making decisions on such applications.
683	<u>Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island</u>	OJP	09/27/2019	5a	Resolved	Remedy \$1,434,081 in unsupported contractual costs that lacked an adequate justification of price demonstrating the costs were reasonable.
684	<u>Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island</u>	OJP	09/27/2019	5c	Resolved	Remedy \$447,490 in unsupported consultant costs that were not adequately supported with time and effort reports.
685	<u>Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin</u>	OJP	09/27/2019	7	Resolved	Remedy \$89,412 in unsupported subrecipient costs.
686	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	1	Resolved	Complete the heating, ventilation, and cooling equipment upgrade subproject at Metropolitan Detention Center Brooklyn and ensure that the equipment is capable of maintaining temperatures at BOP targets. Further, ensure that upgraded hardware and Building Management System software allow facilities staff to accurately monitor building temperatures and heating, ventilation, and cooling equipment performance.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
687	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	2	Resolved	Take further action to diagnose the sources of temperature regulation issues and remedy them, if the upgraded Metropolitan Detention Center Brooklyn heating, ventilation, and cooling system cannot maintain building temperatures at BOP targets.
688	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	4	Resolved	Ensure that, in the absence of Building Management System software, institutions use a consistent and sound method to measure and document temperatures and record all maintenance performed on heating, ventilation, and cooling equipment.
689	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	5	Resolved	Require all institutions to develop alternative legal visiting plans that ensure that inmates can access legal counsel as soon as is safely possible after a facilities issue or other disruptive event.
690	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	6	Resolved	Update visitation policies to describe alternative legal visiting arrangements, when legal visiting is restricted under 28 C.F.R. § 540.40, to ensure pretrial inmates may access legal counsel under 28 C.F.R. § 551.117.
691	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	7	Resolved	Require institutions to maintain a list of inmates who use continuous positive airway pressure machines, or other electronic medical devices, in their cells so that institution staff can make every effort to accommodate those inmates in the event of a power outage.
692	<u>Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates</u>	BOP	09/25/2019	9	Resolved	Provide institutions additional guidance on how and when staff should inform defense counsel, the courts, inmates' families, and the public about disruptive events affecting the conditions of confinement and institution management decisions that restrict legal and social visiting.
693	<u>Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Rhode Island Office of the General Treasurer, Providence, Rhode Island</u>	OJP	08/06/2019	3	Resolved	Work with RIOGT to determine if its state certifications for FY 2013-2017 were overstated, resulting in funds awarded in error, and, if so, to take the necessary steps to recover those funds.
694	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	9	Resolved	Remedy the \$95,599 of unsupported WI DOJ administrative expenditures.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
695	<u>Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin</u>	OJP	07/31/2019	14	Resolved	Remedy the \$80,482 of unsupported WI DOJ subrecipient matching costs.
696	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	1	Resolved	Develop and implement its own written policy, consistent with existing departmental policies, to address how Southwest border agents should deconflict investigative targets and events and share relevant information with each other.
697	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	2	Resolved	Ensure that each agency has a copy of the other's deconfliction policy and that all agents understand the expectations for interagency deconfliction and information sharing.
698	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	3	Resolved	Provide training to Southwest border Federal Bureau of Investigation and Homeland Security Investigations agents on the existing Department of Justice and Department of Homeland Security deconfliction policies and mandatory systems.
699	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	4	Resolved	Develop and implement a plan to increase awareness among Federal Bureau of Investigation and Homeland Security Investigations agents of each agency's mission, statutory authorities, and criminal investigative priorities.
700	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	FBI	07/31/2019	5	Resolved	Develop a memorandum of understanding or similar written agreement governing Federal Bureau of Investigation and Homeland Security Investigations operations on overlapping criminal investigative areas.
701	<u>Audit of the United States Marshals Service's Justice Prisoner and Alien Transportation System</u>	USMS	07/24/2019	3	Resolved	Continue to work with the Federal Bureau of Prisons to test its electronic movement packet system capabilities to communicate with JPATS on prisoner movement information.
702	<u>Audit of the Department of Justice's Use of Immigration Sponsorship Programs</u>	DEA	06/11/2019	8	Resolved	Implement methods to accurately and completely track all foreign national sponsorship information for individual foreign nationals, including expiration dates.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
703	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	1	Resolved	Ensure there are appropriate logic controls for data that is manually input into Cyber Guardian and CyNERGY, and that CyNERGY's data input is as automated as appropriate.
704	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	2	Resolved	Strengthen controls for ensuring victim notifications are tracked in Cyber Guardian, to include agents using "Victim Notification" leads in Sentinel as required by Cyber Division Policy Guide 0853PG.
705	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	3	Resolved	Ensure that agents index "Victims" in Sentinel as required by the Indexing User Manual for Sentinel to support FBI investigative and administrative matters.
706	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	4	Resolved	Ensure that all cyber victim notifications conducted in the course of restricted investigations are appropriately tracked in Cyber Guardian.
707	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	5	Resolved	Clearly define what constitutes a victim of cybercrime for the purposes of indexing victims in Sentinel and notifying victims of their rights under the Attorney General Guidelines for Victim and Witness Assistance, as appropriate.
708	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	6	Resolved	Ensure that all victims of cybercrime are informed of their rights under the Attorney General Guidelines for Victim and Witness Assistance, Crime Victims' Rights Act, and Victims' Rights and Restitution Act, as appropriate.
709	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	7	Resolved	Establish timeliness standards in the Cyber Division Policy Guide 0853PG for cyber victim notifications, as appropriate.
710	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	8	Resolved	Update Cyber Division Policy Guide 0853PG to include a minimum requirement for information that should be included in a victim notification and in victim notification leads, to ensure the consistency and effectiveness of victim notifications.
711	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	9	Resolved	Ensure Victim Contact Planning Calls are conducted for all cyber incidents that are labeled "Medium and above" on the National Security Council's Cyber Incidents Severity Schema.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
712	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	10	Resolved	Pursue a mutually agreeable solution with OHS for ensuring all victim notification data is entered into Cyber Guardian.
713	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	11	Resolved	Coordinate with NSA to identify and implement an automated solution to streamline the post-publication requests for unclassified information in order to conduct timely and useful victim notifications.
714	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	FBI	03/29/2019	12	Resolved	Implement controls to ensure that all users of Cyber Guardian, and subsequently CyNERGY, are certified to handle Protected Critical Infrastructure Information.
715	<u>Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process</u>	ODAG	03/29/2019	13	Resolved	Coordinate with the FBI's Cyber Division and update, as necessary, the Attorney General Guidelines for Victim and Witness Assistance to incorporate the nuances of cyber victims.
716	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
717	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
718	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
719	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
720	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
721	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
722	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	8	Resolved	Develop legally supportable criteria for retention of all [REDACTED] bulk data collected by use of administrative subpoenas, and policies for the disposition of such [REDACTED] bulk data.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
723	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	9	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
724	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	10	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
725	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	11	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
726	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	12	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
727	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	ODAG	03/28/2019	13	On Hold/Pending with OIG	Ensure that a comprehensive review is conducted of the DEA's "parallel construction" policies and practices with respect to [REDACTED] investigative products to ensure that these policies and practices do not conflict with the government's discovery and disclosure obligations in criminal cases, or Department policy on this subject.
728	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	14	Resolved	In the interim, and subject to the results of Recommendation #13, the Department's and the DEA's guidance and training materials regarding "parallel construction," including SOD/ [REDACTED] investigative products and [REDACTED] investigative products, should be clarified to clearly state that "parallel construction" does not negate adherence to discovery and disclosure obligations in criminal cases, if applicable. These guidance and training materials should further make explicit that, if discovery requirements threaten disclosure of the program, prosecutors may seek to protect the program through appropriate process, such as protective orders or ex parte proceedings, and that, depending on the circumstances, the government may eventually be required to choose between disclosure or dismissal, but that "parallel construction" cannot be utilized as a substantive substitute for otherwise applicable discovery and disclosure requirements.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
729	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	15	Resolved	Review and update its delegations to ensure that Section 876(a) authority has been properly delegated to the officials who are reviewing and signing [REDACTED] subpoenas.
730	<u>A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data</u>	DEA	03/28/2019	16	Resolved	Take steps to ensure that all changes to DEA policies, guidance, or procedures adopted as a result of implementing the foregoing recommendations are disseminated widely and readily available to DEA employees and other users of the programs, as appropriate [REDACTED]. All such changes should be incorporated into the DEA Agents Manual and periodic training provided to users of the relevant programs and to SOD and NS personnel, as appropriate.
731	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	FBI	03/26/2019	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
732	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	FBI	03/26/2019	11	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
733	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Southwest Center for Law and Policy, Tucson, Arizona</u>	OVW	03/25/2019	4	Resolved	Remedy the \$398 in unallowable costs related to noncompliance with award special conditions.
734	<u>Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Southwest Center for Law and Policy, Tucson, Arizona</u>	OVW	03/25/2019	5	Resolved	Remedy the \$131,532 in unallowable questioned costs related to the \$62,089 in unallowable personnel costs, \$47,436 in unallowable contractor and consultant costs, and \$22,007 in unallowable other direct costs.
735	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	JMD	03/18/2019	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
736	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	4	Resolved	Remedy the \$168,679 in unsupported reimbursed expenditures related to conductor payments.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
737	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	3a	Resolved	Remedy the \$760,199 in unallowable reimbursed grant expenditures due to CCSD's lack of adherence to OJP requirements, implementation of a program that severely deviated from the grant's approved scope and intent, and inadequate progress in achieving grant goals and objectives.
738	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	3b	Resolved	Remedy the \$33,743 in unallowable reimbursed expenditures associated with the Data Management Coordinator salary because CCSD did not achieve the required level of data collection to accomplish the grant objectives.
739	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	3c	Resolved	Remedy the \$35,642 in unallowable reimbursed expenses associated with expenditures that were not in the NIJ-approved budget, including an unapproved administrative support position, website development from an unapproved vendor, conductor trainings by unapproved vendors, background checks, consultant travel, and data plans for the tablet computers.
740	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	3d	Resolved	Remedy the \$112,697 in unallowable expenditures incurred prior to the allowable period of performance.
741	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	5a	Resolved	Put to better use the \$598,663 in unreimbursed CCSD expenditures that are unallowable due to lack of adherence to OJP requirements, implementation of a program that severely deviated from the grant's approved scope and intent, and inadequate progress in achieving grant goals and objectives.
742	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	5b	Resolved	Put to better use the \$9,863 in unreimbursed expenditures associated with the Data Management Coordinator salary because CCSD did not achieve the required level of data collection to accomplish grant objectives.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
743	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	5c	Resolved	Put to better use the \$16,291 in unreimbursed expenses associated with expenditures that were not in the NIJ-approved budget, including conductor trainings by unapproved vendors, background checks, and data plans for the tablet computers.
744	<u>Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio</u>	OJP	03/13/2019	5d	Resolved	Put to better use the \$110,154 in not yet reimbursed expenditures related to conductor payments that were unsupported.
745	<u>Audit of Efforts to Safeguard Minors in Department of Justice Youth-Centered Programs</u>	ODAG	03/13/2019	2	Resolved	Leverage and coordinate existing law enforcement tools, to the extent permissible by law, to facilitate screening that effectively mitigates the risk of improper individuals interacting with youth through DOJ programs.
746	<u>Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018</u>	DEA	03/12/2019	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
747	<u>Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC</u>	FBI	03/11/2019	8	Resolved	Implement policies and procedures to review tolls incurred by TFOs to determine the appropriateness of tolls for official business or commuting.
748	<u>Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC</u>	FBI	03/11/2019	9	Resolved	Implement policy regarding the use of express tolls and transponders.
749	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	1	Resolved	Ensure that Wiconi implements policies and procedures that require Wiconi employees to submit a certified voucher or reconciliation after travel is complete to attest to the accuracy and validity of expenses incurred during work-related travel.
750	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	2	Resolved	Ensure that Wiconi implements policies and procedures to ensure that payment for expenses incurred by Wiconi are remitted in a reasonable timeframe in order to minimize the risk of incurring overdue penalties.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
751	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	3	Resolved	Ensure that Wiconi enforce its existing policy related to the authorization of award expenditures, which includes: (1) ensuring that all disbursements are approved by a member of the Board of Director's who has reviewed the request; (2) ensuring all mileage and per diem reimbursements are completed by the employee requesting the reimbursement, and subsequently authorized by the Executive Director; and (3) ensuring that the proper official authorizes timesheets and requests for annual and sick leave.
752	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	4	Resolved	Ensure that Wiconi implements policies and procedures to ensure that all purchases are properly requested, authorized, and documented prior to when the purchase is initiated, including approving purchases involving credit cards, store accounts, and other credit accounts prior to purchase.
753	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	5	Resolved	Ensure that Wiconi implements policies and procedures that require Wiconi to properly maintain property records, which should include an inventory log containing: (1) a description of the property; (2) a serial number or other identification number; (3) the source of the property; (4) the acquisition date; (5) the cost of the property; (6) the location of the property; and (7) the use and condition of the property. This policy should ensure that a physical inventory is completed once every two years, which further minimizes the risk of transposing equipment information when tracking items on an inventory log.
754	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	6	Resolved	Ensure that Wiconi implements policies and procedures to ensure that procurement of accountable equipment or property is conducted in open, free, and fair competition, which includes ensuring that items purchased over \$5,000 are competitively bid with 3 quotations, as mandated by Wiconi's existing financial policies. This policy should ensure that the procurement process for equipment is adequately documented.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
755	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	7	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately procures contract agreements in compliance with the procurement standards set forth in the Uniform Guidance, which should include a formal process for: (1) soliciting contracts that allows for open, free, and fair competition; (2) properly selecting and authorizing consultants and contractors; (3) verifying consultant and contractor performance; and (4) maintaining sufficient documentation to detail the history of the procurement.
756	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	8	Resolved	Ensure that Wiconi implements policies and procedures that contain an adequate segregation of duties for expenses incurred, which ensures that the official that prepares a transaction request, including a time and effort report, is different than the official that authorizes the payment. Specific to soliciting contract agreements, these policies and procedures should ensure that no one person is responsible for executing the entire procurement transaction alone, which includes identifying a needed project, creating a project solicitation, receiving and reviewing bids, awarding the contract, reviewing the contractor's work, and paying the contractor.
757	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	9	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately administers contract agreements, which includes ensuring that: (1) contracts are properly signed by both parties who agree on the terms and conditions of the contract prior to when any services are rendered; and (2) ensuring that both parties agree to any contract modifications or amendments, and that those changes are adequately documented.
758	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	10	Resolved	Ensure that Wiconi promptly discloses all real and apparent conflicts of interest in writing to the awarding agency under each of its federal awards, as well as the cognizant Federal audit agency, as mandated by the DOJ Grants Financial Guide.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
759	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	11	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately addresses conflicts of interest, both real and apparent, as they arise, which includes: (1) implementing a documented process to check for organizational conflicts of interest with potential contractors; (2) promptly reporting all potential conflicts of interest to the awarding agency and cognizant Federal audit agency, and/or proposed or actual actions regarding each irregularity; and (3) enhancing existing Wiconi policy to ensure that written standards of conduct covering conflict of interest and employee participation in selection, award, and administration of contracts is adequately followed.
760	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	12	Resolved	Ensure that Wiconi implements an effective system for adequately and reliably measuring program performance and accomplishments for all future awards for purposes other than shelter services. This includes award programs specific to children's mentoring and counseling.
761	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	13	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi maintains and verifies valid and auditable source documentation that supports performance measures reported in the semi-annual progress reports.
762	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	14	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi complies with award special conditions.
763	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	15	Resolved	Ensure that Wiconi implements policies and procedures to ensure that federal cash on hand is the minimum needed for disbursement to be made immediately or within 10 days, and, if the funds are not spent or disbursed within 10 days, Wiconi must return them to the awarding agency as required by the DOJ Grants Financial Guide.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
764	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	16	Resolved	Ensure that Wiconi implements policies and procedures to ensure the proper accounting and classification of award funds by establishing a system to adequately record, monitor, and track funds, including matching costs, according to project category in order to: (1) maintain program accounts that enable separate identification and accounting for funds applied to each budget category included within the approved award and to prevent the commingling of funds; (2) consistently classify expenses using a clear and precise methodology; (3) ensure lump sum payments to credit accounts are broken down by individual expense, receipt, or invoice; and (4) properly report the correct amount of expenditures on its Federal Financial Reports (FFRs).
765	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	17	Resolved	Ensure that all payroll expenditures at Wiconi are supported by a system of internal controls that provide reasonable assurance that all payroll charges are accurate, reliable, allowable, and properly allocated. This system should ensure that any alterations to payroll records are properly authorized and reflect the actual time worked.
766	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	18	Resolved	Ensure that Wiconi enforces its existing policy and award terms and conditions related to employee work schedules and benefits, which includes ensuring that: (1) Wiconi employees are held accountable to approved work schedules; (2) any hours worked in excess of a regular 40 hour work week is authorized by the appropriate officials; (3) all regularly scheduled holidays are recognized either on the actual holiday, or one day before or after the holiday if the employee is scheduled to work; (4) incentive awards are given only to those that are eligible; (5) employees who receive fringe benefits are working at least 35 hours per week, as mandated by existing policy; and (6) the proper official authorizes timesheets and requests for annual and sick leave.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
767	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	19	Resolved	Ensure that Wiconi implements policies and procedures to ensure that the accurate amount of annual and sick leave is allocated to each employee, which includes: (1) ensuring that employees only accrue leave based on their regularly scheduled hours; (2) ensuring that all scheduled leave is deducted from each respective employee's balance of leave hours; and (3) ensuring that any administrative leave taken due to an emergency or inclement weather is approved by the appropriate official.
768	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	20	Resolved	Ensure that Wiconi revise its current policy related to holiday, bereavement, and wellness leave to only include leave that is reasonable and justified.
769	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	21	Resolved	Ensure that Wiconi completes required single audits in compliance with 2 C.F.R. 200, or the current Uniform Guidance.
770	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	54	Resolved	Remedy \$11,205 in unallowable personnel and fringe benefit costs associated with employees that are not listed in the approved award budget.
771	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	55	Resolved	Remedy \$30,619 in unallowable personnel and fringe benefit costs that were incorrectly allocated to the award.
772	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	56	Resolved	Remedy \$27,168 in additional unallowable fringe benefit costs resulting from expenses that are not listed in the approved award budget and are double billed to the award ledger.
773	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	57	Resolved	Remedy \$10,636 in unallowable consulting costs that were not listed in the approved award budget.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
774	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	58	Resolved	Remedy \$7,129 in unallowable consulting costs that were paid prior to when the consulting services were rendered (i.e. retainer fees).
775	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	59	Resolved	Remedy \$727 in unallowable consulting costs resulting from costs that were erroneously charged to the award.
776	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	60	Resolved	Remedy \$7,220 in unallowable equipment costs that were not listed in the approved award budget.
777	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	61	Resolved	Remedy \$24,974 in unallowable other direct costs that were not listed in the approved award budget.
778	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	62	Resolved	Remedy \$4,668 in unallowable other direct costs that are considered unreasonable or excessive.
779	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	63	Resolved	Remedy \$6,376 in unallowable other direct costs that were charged to the incorrect award.
780	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	64	Resolved	Remedy \$10,640 in unallowable other direct costs that were erroneously charged to the award.
781	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	65	Resolved	Remedy \$1,753 in unallowable other direct costs that were double charged to the award.
782	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	66	Resolved	Remedy \$4,061 in unallowable expenditures incurred after the award closeout date under Award Number 2013-CY-AX-K020.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
783	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	69	Resolved	Remedy \$24,796 in unsupported consulting costs.
784	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	70	Resolved	Remedy \$34,833 in unsupported equipment expenditures.
785	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	71	Resolved	Remedy \$99,645 in unsupported other direct costs.
786	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	72	Resolved	Remedy \$54,880 in unsupported expenditures purchased using a credit account, which are a result of: (1) unauthorized purchases; (2) limited assurance that expenses are properly allocated to the appropriate award; (3) insufficient detail in the accounting records to properly track and monitor expenses; and (4) purchases for purposes unassociated with award activities.
787	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	73	Resolved	Remedy \$255,474 in unsupported drawdowns under Award Number 2013 CY AX-K020 resulting from extensive mismanagement of award funds, as well as limited supportable progress towards the completion of award goals and objectives.
788	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	74	Resolved	Remedy \$712,224 in unsupported drawdowns resulting from: (1) extensive mismanagement of award funds; (2) limited supportable progress towards the completion of award goals and objectives; and (3) a duplication of DOJ funding for similar costs and award goals and objectives. This includes \$454,000 under Award Number 2013-TW-AX-0016, \$80,409 under Award Number 2014-KT-AX-0007, \$105,615 under Award Number 2015-WR-AX-0031, and \$72,200 under Award Number 2016-TW-AX-0024.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
789	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	75	Resolved	Remedy and put to better use \$1,216,775 in award funds duplicative of existing DOJ funding for similar costs and award objectives, which includes the remaining \$244,591 in funds obligated against Award Number 2014-KT-AX-0007, the \$444,385 in funds obligated against Award Number 2015-WR-AX-0031, and \$527,800 in funds obligated against Award Number 2016-TW-AX-0024.
790	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	76	Resolved	Remedy and put to better use the remaining \$89,013 in funds obligated against Award Number 2013-CY-AX-K020, which has expired but has not yet been closed.
791	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	77	Resolved	Provide additional monitoring and oversight of Wiconi to ensure that the award goals and objectives are adequately met under Award Number 2016-WH-AX-0022.
792	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	78	Resolved	Ensure that Wiconi implements policies and procedures to ensure that any donations or other sources of revenue related to its projects are adequately tracked and accounted for, which includes establishing and maintaining program accounts that enable separate identification and accounting for receipt and disposition of all funds.
793	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	67a	Resolved	Remedy \$11,805 in unallowable excess drawdowns associated with award reimbursements not supported by expenditures under Award Number 2012-TW-AX-0016.
794	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	67b	Resolved	Remedy \$15,844 in unallowable excess drawdowns associated with award reimbursements not supported by expenditures under Award Number 2013-TW-AX-0016.
795	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	68a	Resolved	Remedy \$1,214,404 in unsupported personnel and fringe benefit costs resulting from unreliable payroll records.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
796	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	68b	Resolved	Remedy \$38,961 in unsupported personnel and fringe benefit costs resulting from costs with missing or invalid supporting documentation.
797	<u>Procedural Reform Recommendation for the U.S. Marshals Service</u>	USMS	02/13/2019	2	Resolved	Develop timeliness standards for completing adverse action proposals and decisions.
798	<u>Procedural Reform Recommendation for the U.S. Marshals Service</u>	USMS	02/13/2019	3	Resolved	Ensure that any settlement agreement entered into by the USMS in adverse personnel action matters adequately accounts for the seriousness of the substantiated employee misconduct, includes a tangible element of employee accountability, and is approved at a level of leadership commensurate with the employee's position, the nature of the substantiated misconduct, and the initially proposed penalty.
799	<u>Procedural Reform Recommendation for the U.S. Marshals Service</u>	USMS	02/13/2019	4	Resolved	Develop and implement a "terminal leave" policy that provides that under no circumstances shall a proposed or final determination on a personnel action, whether based on deficient performance or misconduct, be delayed or not taken so that an employee can accumulate service time, whether by remaining on duty, while on administrative leave, while on leave without pay, or while on annual leave, in order to attain eligibility for benefits, including but not limited to retirement benefits.
800	<u>Audit of the Office on Violence Against Women Training and Technical Assistance Program</u>	OVW	02/13/2019	7	Resolved	Develop and implement guidance to prevent unnecessary or inappropriate use of the DOJ maximum consultant rate.
801	<u>Audit of the Office on Violence Against Women Training and Technical Assistance Program</u>	OVW	02/13/2019	10	Resolved	Develop and implement policies to ensure that all content produced under the TA Initiative is made available to the recipient community, and the public, as appropriate.
802	<u>Audit of the Office on Violence Against Women Training and Technical Assistance Program</u>	OVW	02/13/2019	12	Resolved	Develop and implement policies to ensure that resources funded by the TA Initiative are effectively catalogued for future use.
803	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Nueva Esperanza, Inc., Philadelphia, Pennsylvania</u>	OJP	02/12/2019	1a	Resolved	Remedy \$42,315 in unsupported consultant costs, which includes unsupported questioned costs totaling \$42,315 for consultant charges not supported with time and effort reports, as required.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
804	<u>Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Nueva Esperanza, Inc., Philadelphia, Pennsylvania</u>	OJP	02/12/2019	1b	Resolved	Remedy \$42,315 in unsupported consultant costs, which includes unsupported questioned costs totaling \$42,315 in charges for consultants who Esperanza could not support were paid reasonable rates, as required.
805	<u>Procedural Reform Recommendation for the Federal Bureau of Investigation</u>	FBI	02/12/2019	1	Resolved	Amend the existing FBI Policy Directive to formally designate an entity to be responsible for text message collection and retention.
806	<u>Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013</u>	OJP	12/18/2018	4	Resolved	Conduct a study on data collected under the Death in Custody Reporting Act of 2013 as described in the statute and submit a report on the study to Congress as soon as practicable.
807	<u>Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013</u>	FBI	12/18/2018	3a	Resolved	Work together with the Office of Justice Programs (OJP) to identify and implement death in custody data collection best practices and reduce duplicative data collection efforts.
808	<u>Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013</u>	OJP	12/18/2018	3b	Resolved	Work together with the Federal Bureau of Investigation (FBI) to identify and implement death in custody data collection best practices and reduce duplicative data collection efforts.
809	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	14	Resolved	Remedy \$180,348 in unsupported grant expenditures under Grant Number 2014-XV-BX-K031 regarding lack of support for program accomplishments from November 2014 through July 2016.
810	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	13a	Resolved	Remedy \$23,934 in unsupported grant expenditures regarding the lack of support for program accomplishments and activities that did not support program goals and objectives that occurred before certain Project Coordinators started under the Adult and Juvenile Court Programs under Grant Number 2014-AX-BX-0022 from February 2016 through November 13, 2016.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
811	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	13b	Resolved	Remedy \$168,415 in unsupported grant expenditures regarding the lack of support for program accomplishments and activities that did not support program goals and objectives that occurred before certain Project Coordinators started under the Adult and Juvenile Court Programs under Grant Number 2014-TY-FX-0008, from February 2015 through October 2, 2016.
812	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	15b	Resolved	Remedy \$26,057 in unallowable grant expenditures that occurred before the related special conditions were removed under Grant Number 2014-XV-BX-K031.
813	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	16a	Resolved	Remedy \$5,883 in unsupported personnel and associated fringe costs related to the lack of time and effort reports under Grant Number 2014-AC-BX-0022.
814	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	16b	Resolved	Remedy \$6,764 in unsupported personnel and associated fringe costs related to the lack of time and effort reports under Grant Number 2014-TY-FX-0008.
815	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	16c	Resolved	Remedy \$1,642 in unsupported personnel and associated fringe costs related to the lack of time and effort report under Grant Number 2014-XV-BX-K031.
816	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	18a	Resolved	Remedy \$7,072 in unallowable travel costs under Grant Number 2014-AC-BX-0022.
817	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	18c	Resolved	Remedy \$4,262 in unallowable travel costs under Grant Number 2014-XV-BX-K031.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
818	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	19a	Resolved	Remedy \$2,964 in unsupported travel costs under Grant Number 2014-AC-BX-0022.
819	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	19b	Resolved	Remedy \$2,880 in unsupported travel costs under Grant Number 2014-TY-FX-0008.
820	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	19c	Resolved	Remedy \$490 in unsupported travel costs under Grant Number 2014-XV-BX-K031.
821	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	21a	Resolved	Remedy \$1,199 in unallowable supply costs under Grant Number 2014-AC-BX-0022.
822	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	21c	Resolved	Remedy \$9,278 in unallowable supply costs under Grant Number 2014-XV-BX-K031.
823	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	22a	Resolved	Remedy \$375 in unsupported supply costs under Grant Number 2014-TY-FX-0008.
824	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	22b	Resolved	Remedy \$652 in unsupported supply costs under Grant Number 2014-XV-BX-K031.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
825	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	23b	Resolved	Remedy \$4,172 in unallowable contractor and consultant costs under Grant Number 2014-XV-BX-K031
826	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	24b	Resolved	Remedy \$1,625 in unsupported contractor and consultant costs under Grant Number 2014-XV-BX-K031.
827	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	25a	Resolved	Remedy \$362 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-AC-BX-0022.
828	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	25c	Resolved	Remedy \$3,632 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-XV-BX-K031.
829	<u>Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population</u>	BOP	09/18/2018	10	Resolved	Explore options to procure female Special Housing Unit space closer to Federal Correctional Institution Danbury.
830	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	FBI	06/25/2018	1c	Resolved	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.
831	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	USMS	06/25/2018	1d	Resolved	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.
832	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	DEA	06/25/2018	2b	Resolved	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.
833	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	FBI	06/25/2018	2c	Resolved	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.
834	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	USMS	06/25/2018	2d	Resolved	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
835	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	ATF	06/25/2018	3a	Resolved	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.
836	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	FBI	06/25/2018	3c	Resolved	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.
837	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	USMS	06/25/2018	3d	Resolved	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.
838	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	ATF	06/25/2018	4a	Resolved	Identify and take steps to address barriers to advancement for women within the component and among different job types.
839	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	USMS	06/25/2018	4d	Resolved	Identify and take steps to address barriers to advancement for women within the component and among different job types.
840	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	ATF	06/25/2018	5a	Resolved	Develop and implement methods to improve the objectivity and transparency of the merit promotion process.
841	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	USMS	06/25/2018	5d	Resolved	Develop and implement methods to improve the objectivity and transparency of the merit promotion process.
842	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	ATF	06/25/2018	6a	Resolved	Develop and implement methods to address perceptions of stigmatization and retaliation associated with the Equal Employment Opportunity complaint process.
843	<u>Review of Gender Equity in the Department's Law Enforcement Components</u>	DEA	06/25/2018	6b	Resolved	Develop and implement methods to address perceptions of stigmatization and retaliation associated with the Equal Employment Opportunity complaint process.
844	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	2	On Hold/Pending with OIG	Consider making explicit that, except in situations where the law requires or permits disclosure, an investigating agency cannot publicly announce its recommended charging decision prior to consulting with the Attorney General, Deputy Attorney General, U.S. Attorney, or his or her designee, and cannot proceed without the approval of one of these officials.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
845	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	4	On Hold/Pending with OIG	Consider providing guidance to agents and prosecutors concerning the taking of overt investigative steps, indictments, public announcements, or other actions that could impact an election.
846	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	5	On Hold/Pending with OIG	Take steps to improve the retention and monitoring of text messages Department-wide
847	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	9	On Hold/Pending with OIG	Department ethics officials include the review of campaign donations for possible conflict issues when Department employees or their spouses run for public office.
848	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	1a	On Hold/Pending with OIG	Consider developing practice guidance that would assist investigators and prosecutors in identifying the general risks with and alternatives to permitting a witness to attend a voluntary interview of another witness, in particular when the witness is serving as counsel for the other witness.
849	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	FBI	06/14/2018	1b	Resolved	Consider developing practice guidance that would assist investigators and prosecutors in identifying the general risks with and alternatives to permitting a witness to attend a voluntary interview of another witness, in particular when the witness is serving as counsel for the other witness.
850	<u>A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election</u>	ODAG	06/14/2018	3a	On Hold/Pending with OIG	Consider adopting a policy addressing the appropriateness of Department employees discussing the conduct of uncharged individuals in public statements.
851	<u>Audit of the United States Marshals Service's Property and Asset Control Enterprise Solution Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	USMS	03/30/2018	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
852	<u>Audit of the United States Marshals Service's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	USMS	03/30/2018	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
853	<u>Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations</u>	FBI	03/29/2018	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
854	<u>Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations</u>	FBI	03/29/2018	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
855	<u>Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations</u>	FBI	03/29/2018	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
856	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
857	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
858	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
859	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	14	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
860	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	27	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
861	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	28	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
862	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017</u>	FBI	03/09/2018	29	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
863	<u>Audit of the Department of Justice's Efforts to Address Patterns or Practices of Police Misconduct and Provide Technical Assistance on Accountability Reform to Police Departments</u>	ODAG	02/13/2018	14	Resolved	Clarify the circumstances in which a component is responsible for designating an incident to be "high-profile" under the March 2016 guidance.
864	<u>Audit of the Department of Justice's Efforts to Address Patterns or Practices of Police Misconduct and Provide Technical Assistance on Accountability Reform to Police Departments</u>	ODAG	02/13/2018	15	Resolved	Develop procedures detailing the circumstances when notification and coordination with the relevant U.S. Attorney's Office is appropriate in jurisdictions where technical assistance will be provided by the OJP, COPS Office, or CRS.
865	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	2	Resolved	Ensure that the adjudication vendor is able to reproduce on demand all necessary data elements used to adjudicate the claims (e.g., DRG, all procedure codes, and drug information). The universe of claims data should be available to BOP on a national scale in a format that allows for thorough analysis and oversight regardless of institution.
866	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	1a	Resolved	Move immediately to require all CMS contractors to submit electronic claims.
867	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	1b	Resolved	Ensure those claims are properly analyzed and maintained by BOP's adjudication vendor.
868	<u>Procedural Reform Recommendation for the Federal Bureau of Prisons</u>	BOP	12/20/2017	1c	Resolved	Enforce existing contract language that requires the adjudication vendor to perform fraud analytics and report any indicators of fraud to the BOP.
869	<u>Review of the Department's Tribal Law Enforcement Efforts Pursuant to the Tribal Law and Order Act of 2010</u>	ODAG	12/14/2017	1	Resolved	Update the 2010 policy memoranda to U.S. Attorneys and heads of components to incorporate Tribal Law and Order Act mandates.
870	<u>Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014</u>	ALLDOJ	11/07/2017	2	On Hold/Pending with OIG	Ensure all applicable components are aware of and are following the reporting timelines for the Federal Procurement Data System as required by the Federal Acquisition Regulations for procurement awards, and the newly established Financial Assistance Broker System for financial assistance awards.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
871	<u>Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014</u>	ALLDOJ	11/07/2017	3	On Hold/Pending with OIG	Ensure all accounting entry corrections are addressed prior to submission of file B.
872	<u>Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014</u>	ALLDOJ	11/07/2017	6	On Hold/Pending with OIG	Review all validation warnings generated by the DATA Act broker system prior to submission and Senior Accountable Official certification, to ensure that the data submitted is accurate, and in compliance with the DAIMS instructions.
873	<u>Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014</u>	ALLDOJ	11/07/2017	7	On Hold/Pending with OIG	Continue its efforts to implement the Unified Financial Management System (UFMS) in order to submit supported and accurate data to beta.USASpending.gov and to be in compliance with OMB Memorandum M-15-12.
874	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	1	On Hold/Pending with OIG	Track, summarize, and annually report InTP performance metrics as required.
875	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	2	On Hold/Pending with OIG	Ensure that leads and referrals concerning insider threats are handled and monitored in a systematic way, including making sure that leads go to the appropriate point of contact at each internal FBI component.
876	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	3	On Hold/Pending with OIG	Pursue technological solutions to mitigate the need for, or reduce the risk of, stand-alone systems.
877	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	4	On Hold/Pending with OIG	Conduct a comprehensive inventory of classified networks, systems, applications, and other information technology assets and identify a component responsible for maintaining the inventory.
878	<u>Audit of the Federal Bureau of Investigation's Insider Threat Program</u>	FBI	09/22/2017	5	On Hold/Pending with OIG	Ensure user activity monitoring (UAM) coverage over all classified systems and networks and identify a component to maintain an accurate inventory of all information technology assets that have user activity monitoring coverage.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
879	<u>Report of Investigation of the Actions of Former DEA Leadership in Connection with the Reinstatement of a Security Clearance</u>	ODAG	09/07/2017	2	Resolved	Amend or supplement the Department Security Officer's delegation of authority to clarify that for the purpose of security adjudications, SPMs report solely to the Department Security Officer, and not to senior officials within the components.
880	<u>Management Advisory Memorandum: Recommendations for a Department of Justice Policy Establishing Standards for its Security Offices to Review Misconduct Investigations for Security Clearance Adjudications</u>	ODAG	09/06/2017	1	Resolved	Issue or clarify policies to require DOJ security offices to routinely request relevant misconduct-related materials from relevant DOJ misconduct offices for consideration in connection with security clearance adjudications. Such policies should include a clear definition of relevant misconduct-related materials that ensures that security offices receive the necessary and appropriate information to <u>make fully-informed decisions.</u>
881	<u>Management Advisory Memorandum: Recommendations for a Department of Justice Policy Establishing Standards for its Security Offices to Review Misconduct Investigations for Security Clearance Adjudications</u>	ODAG	09/06/2017	2	Resolved	Issue or clarify policies to require DOJ misconduct offices to provide such relevant misconduct-related materials to DOJ security offices or OPM to ensure timely and informed security clearance adjudications, whether those materials arise as a result of a misconduct investigation or are requested in connection with a security clearance adjudication.
882	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	1	Resolved	Establish in policy the circumstances that warrant the placement of inmates in single-cell confinement while maintaining institutional and inmate safety and security and ensuring appropriate, meaningful human contact and out-of-cell opportunities to mitigate mental health concerns.
883	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	2	Resolved	Define and establish in policy extended placement in measureable terms.
884	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	3	Resolved	Track all inmates in single-cell confinement and monitor, as appropriate, the cumulative amount of time that inmates with mental illness spend in restrictive housing, including single-cell confinement.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
885	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	4	Resolved	Identify all forms of restrictive housing utilized throughout its institutions and ensure that all local policies are updated to reflect standards for all inmates in restrictive housing consistent with established nationwide policies.
886	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	5	Resolved	Evaluate and limit as appropriate the consecutive amount of time that inmates with serious mental illness may spend in restrictive housing.
887	<u>Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness</u>	BOP	07/11/2017	15	Resolved	Conduct a comprehensive review of U.S. Penitentiary Lewisburg's Special Management Unit that addresses the staffing, treatment, conditions of confinement, and performance metrics of the program.
888	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	2	Resolved	Establish protocols that will ensure that, in joint operations abroad in which critical incidents are possible, appropriate and sufficient mechanisms are in place in the event of a critical incident to support the law enforcement personnel on the ground (including the availability of additional forces and airlift support), provide for the processing of the crime scene without prolonged delay, and allow for any and all search and rescue missions that may become necessary.
889	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	5	Resolved	Revise DEA's post-shooting incident procedures to include a requirement that will ensure that, when delegated to the field, the supervisory agent assigned to conduct the investigation will be someone outside the supervisory chain or program of the shooter and relevant witnesses.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
890	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	ODAG	05/24/2017	7	Resolved	<p>Determine whether revisions to the post-shooting incident procedures should be made across the Department's law enforcement components to address the issue of shooting incidents outside the United States by a foreign LEO working on a joint law enforcement operation with a DOJ component. We also recommend that the Deputy Attorney General consider whether revisions to the components' post-shooting incident procedures should be made to ensure that the requirements are appropriate and consistent across the Department's law enforcement components.</p>

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
891	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	8	Resolved	<p>In connection with future counternarcotics operations outside the United States, DEA should work with the relevant U.S. Embassy and host nation partners to develop a specific protocol, in advance of the operation, that will determine which entity or entities will investigate a shooting or other critical incident that occurs during the operation; the scope and requirements of such an investigation; what information will be shared between and among the relevant entities for use in such investigation and when that will occur; and the time-frame and procedures for sharing of the results of the investigation. Such protocols should ensure timely access to relevant information by the Chief of Mission, as well as whatever entity or entities is or are involved in the investigation of any shooting or other critical incident, and include a procedure to identify and resolve conflicting evidence or investigative gaps when more than one such entity is involved in the investigation. To the extent DEA and the COM determine that certain information should not be provided to the host nation, DEA should work with the Embassy to ensure that any investigation conducted by the host nation receives sufficient information to allow for a meaningful and thorough review of the relevant facts. DEA should not undertake future joint counternarcotics operations with its foreign counterparts outside the United States in instances where it is unable to reach agreement with the U.S. Embassy and its foreign counterparts in advance on such basic post-incident protocols, at least in circumstances where shootings or other critical incidents are a possibility.</p>

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
892	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	6a	Resolved	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific requirements for the inspection of all weapons of DEA personnel and task force officers to ensure that all such weapons fired during the shooting are identified and that all weapons not fired are identified. All DEA personnel and task force officers should understand that weapons checks must be done as soon as it is practical to do so and procedures should specify how such weapons checks must be conducted, including whether a standard load procedure or other mechanism is required to ensure that missing rounds will be identified.
893	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	6b	Resolved	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific guidance to the supervisory special agent or inspector assigned to investigate the incident regarding the appropriate steps that should be taken to investigate the incident in addition to the collection of relevant documents.
894	<u>A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras</u>	DEA	05/24/2017	6c	Resolved	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific requirements for the conduct of interviews and preparation and collection of witness statements.
895	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	6	Resolved	Ensure that FBIC completes all planned objectives for Grant Number 2012-IC-BX-0007.
896	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	10	Resolved	Ensure that the FBIC has procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program and those specified in the solicitation and award documents.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
897	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OVW	05/10/2017	10	Resolved	Ensure that the FBIC has procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program and those specified in the solicitation and award documents.
898	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	15	Resolved	Ensure that the FBIC has a process to ensure that federal cash on hand is the minimum needed for disbursements to be made immediately or within 10 days and, if the funds are not spent or disbursed within 10 days, the FBIC must return them to the awarding agency.
899	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OVW	05/10/2017	15	Resolved	Ensure that the FBIC has a process to ensure that federal cash on hand is the minimum needed for disbursements to be made immediately or within 10 days and, if the funds are not spent or disbursed within 10 days, the FBIC must return them to the awarding agency.
900	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	7a	Resolved	Assess the FBIC's ability to complete the program goals prior to the end of the award and ensure program sustainability for Grant Number 2014-CZ-BX-0013.
901	<u>Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana</u>	OJP	05/10/2017	7b	Resolved	Assess the FBIC's ability to complete the program goals for Grant Number 2015-AC-BX-0011 prior to the end of the award.
902	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2016</u>	JMD	03/27/2017	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
903	<u>Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2016</u>	JMD	03/27/2017	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
904	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	1	On Hold/Pending with OIG	Remedy \$59,590 in unsupported drug buy expenditures from FY 2011 through FY 2015 as identified.
905	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	3	On Hold/Pending with OIG	Remedy \$43,851 in unsupported overtime expenditures from FY 2011 through FY 2015 as identified.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
906	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	4	On Hold/Pending with OIG	Remedy \$73,329 of garage expenditures identified as unsupported.
907	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	6	On Hold/Pending with OIG	Remedy \$110,548 of unsupported supplies and equipment purchased not properly procured under the Franklin County purchasing policy.
908	<u>Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York</u>	CRM	03/08/2017	7	On Hold/Pending with OIG	Remedy \$45,061 in unsupported telecommunication's expenditures.
909	<u>Audit of the Office on Violence Against Women Encourage Arrest Policies and Enforcement of Protection Orders Program Grants Awarded to Bucks County, Pennsylvania</u>	OVW	02/14/2017	6	Resolved	Remedy \$626,133 in unsupported personnel and fringe benefits of subrecipient expenditures.
910	<u>Audit of the Office on Violence Against Women Encourage Arrest Policies and Enforcement of Protection Orders Program Grants Awarded to Bucks County, Pennsylvania</u>	OVW	02/14/2017	8	Resolved	Remedy \$72,000 in unsupported consultant expenses.
911	<u>Audit of the Office of Justice Programs' Tribal Justice Systems Infrastructure Program</u>	OJP	01/18/2017	3a	Resolved	Remedy \$10,720,232 in unallowable costs awarded to the Nisqually Tribe to fund a correctional facility that was not funded or used in conformity with the statutory authority of the TJSIP, and that was inappropriately built with the intention of being a profit-generating facility.
912	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	3	Resolved	Remedy \$2,549 in inadequately supported questioned costs relating to grant expenditures
913	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	4	Resolved	Remedy \$21,311 for unallowable salary and associated fringe benefits.
914	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	5	Resolved	Remedy \$24,149 in inadequately supported salary and fringe benefits.
915	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	6	Resolved	Remedy \$1,085 in inadequately supported fringe benefits (health insurance premiums).

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
916	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	8	Resolved	Remedy \$284,785 in inadequately supported LGPD and CUPO costs.
917	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	9a	Resolved	Remedy \$4,511 in unallowable Probation Officer costs.
918	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	9b	Resolved	Remedy \$7,076 in unallowable counselor costs for payments more than the contracted rate.
919	<u>Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon</u>	OVW	01/09/2017	9c	Resolved	Remedy \$6,520 in unallowable counselor costs payments for no-shows and cancellations of scheduled victim counseling sessions.
920	<u>A Review of the FBI's Use of Section 215 Orders for Business Records in 2012-2014</u>	FBI	09/29/2016	1	Response Not Yet Due	Continue to pursue ways to make the business records process more efficient, particularly for applications related to cyber cases.
921	<u>A Review of ATF's Undercover Storefront Operations</u>	ODAG	09/08/2016	10	Resolved	Promptly design and implement a plan that ensures that its law enforcement and detention components comply with the Rehabilitation Act of 1973.
922	<u>Review of the Federal Bureau of Prisons' Release Preparation Program</u>	BOP	08/30/2016	1	Resolved	Establish a standardized list of courses, covering at least the Release Preparation Program's core categories, as designated by the BOP, to enhance the consistency of Release Preparation Program curricula across BOP institutions.
923	<u>Review of the Federal Bureau of Prisons' Release Preparation Program</u>	BOP	08/30/2016	2	Resolved	Consider implementing the use of validated assessment tools to assess specific inmate programming needs.
924	<u>Review of the Federal Bureau of Prisons' Release Preparation Program</u>	BOP	08/30/2016	5	Resolved	Explore the use of incentives and other methods to increase inmate participation and completion rates for the Institution Release Preparation Programs.
925	<u>Review of the Federal Bureau of Prisons' Release Preparation Program</u>	BOP	08/30/2016	7	Resolved	Establish a mechanism to assess the extent that, through the Release Preparation Program, inmates gain relevant skills and knowledge to prepare them for successful reentry to society.
926	<u>Audit of the Office of Justice Programs Human Trafficking and the Office on Violence Against Women Legal Assistance Awards to the Asian Pacific Islander Legal Outreach, San Francisco, California</u>	OVW	08/22/2016	4	Resolved	Ensure that APILO identifies actual costs paid to its subrecipients, provides adequate support for those expenditures and properly supports these invoices with supportable rates that represent costs of actual services.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
927	<u>Audit of the Federal Bureau of Investigation's Cyber Threat Prioritization</u>	FBI	07/20/2016	1	On Hold/Pending with OIG	Utilize an algorithmic, data driven, and objective methodology in the scoping and prioritization of cyber threat sets, including: <ul style="list-style-type: none"> • Document policies and procedures and provide training for the use of the methodology, including who should enter the data and how the data should be used in prioritizing cyber threat sets. • Ensure that the results of the threat ranking tool are updated automatically through integration with Sentinel and updated manually at least every 30 days so that emerging threat sets can be identified and mitigated in a timely manner.
928	<u>Audit of the Federal Bureau of Investigation's Cyber Threat Prioritization</u>	FBI	07/20/2016	2	On Hold/Pending with OIG	Develop and implement a record keeping system that tracks agent time utilization by threat set.
929	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	3	Resolved	Develop uniform guidelines and criteria for conducting random staff pat searches across all institutions that require a minimum frequency and duration for search events to ensure that appropriate numbers of staff on each shift are searched with appropriate frequency.
930	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	4	Resolved	Define what quantities, if any, of tobacco and related tobacco products should be authorized for staff to bring into institutions for personal use.
931	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	5	Resolved	Restrict the size and content of personal property that staff may bring into BOP institutions.
932	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	6	Resolved	Establish procedures whereby all post-declaration items discovered during staff screening procedures are documented, along with the identity of the staff member involved, as well as more explicit guidelines for when the items should be confiscated and when such instances or a series of them should be referred for investigation and corrective action.
933	<u>Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts</u>	BOP	06/28/2016	11	Resolved	Evaluate the existing security camera system to identify needed upgrades, including to ensure [REDACTED.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
934	<u>The Federal Bureau of Prisons' Reimbursement Rates for Outside Medical Care</u>	BOP	06/08/2016	3	Resolved	Improve the collection and analysis of utilization data for inmate medical care to better understand the services that inmates need and the impact it has on the BOP's medical spending.
935	<u>Summary of a Review of the Management and Operations of an FBI Recreation Association</u>	FBI	05/10/2016	1	Resolved	Temporarily shut down the stores operated by the FBIRA-NY until they are in compliance with New York State law and FBI Policy.
936	<u>Summary of a Review of the Management and Operations of an FBI Recreation Association</u>	FBI	05/10/2016	2	Resolved	Revise and update CPD 0465D, including prohibiting FBIRA Board Members from using personal financial instruments or accounts in connection with FBIRAs, clearly delineating permissible and impermissible uses of FBIRA resources, and requiring accountability for RA funds.
937	<u>Summary of a Review of the Management and Operations of an FBI Recreation Association</u>	FBI	05/10/2016	3	Resolved	Provide appropriate training and guidance to FBIRA directors and FBI Field Division managers.
938	<u>Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota</u>	OVW	04/06/2016	6c	Resolved	Remedy the \$84,383 for grant expenditures with insufficient documentation incurred while under the fiscal agent.
939	<u>Audit of the Office of Justice Programs Grant Awarded to the Housing Authority of Plainfield, Plainfield, New Jersey</u>	OJP	03/29/2016	1	Resolved	Remedy \$244,233 in unsupported grant funds.
940	<u>Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana</u>	OVW	11/09/2015	3	Resolved	Remedy the \$44,311 in grant reimbursements claimed for personnel costs not in the OVW-approved budgets.
941	<u>Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana</u>	OVW	11/09/2015	4	Resolved	Remedy the \$30,353 in grant reimbursements claimed for costs that were unallowable under the terms and conditions of the awards.
942	<u>Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana</u>	OVW	11/09/2015	5	Resolved	Remedy the \$29,675 in grant reimbursements claimed for rent the DCDV paid to itself for a building it owns.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
943	<u>Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana</u>	OVW	11/09/2015	2b	Resolved	Remedy the \$872,246 in grant reimbursements expended on personnel costs that are not supported by detailed time and attendance records.
944	<u>Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana</u>	OVW	11/09/2015	2c	Resolved	Remedy the \$45,840 in grant reimbursements claimed for costs which are unsupported by grant documentation.
945	<u>Audit of the Office of Justice Programs Correctional Systems and Correctional Alternatives on Tribal Lands Program Grants Awarded to the Navajo Division of Public Safety, Window Rock, Arizona</u>	OJP	09/28/2015	9	Resolved	Remedy \$32,034,623 in unallowable expenditures associated with excessive building sizes for Grant Numbers 2009-ST-B9-0089 and 2009-ST-B9-0100.
946	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	4	Resolved	Ensure the NIJC has policies and procedures in place to ensure expenses are properly approved before payment; consultant agreements are in place and time and effort reports are submitted to support payments; signature pages are maintained for each training event where per diem is distributed; an indirect cost rate is approved before incurring indirect costs; and compliance with all grant special conditions.
947	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	8	Resolved	Ensure that the NIJC implements a process to submit FFRs that accurately reflect expenditures for each reporting period.
948	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	9	Resolved	Ensure that the NIJC determines the amount of the total tuition and registration fees collected from the training events partially funded with grant funds that should be considered program income for Grant Number 2011-VF-GX-K020 and either uses the program income for grant purposes or returns generated income to OJP.
949	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	2d	Resolved	Remedy \$24,785 in grant reimbursements from Grant Number 2010-IC-BX-K051, \$118,362 in grant reimbursements from Grant Number 2011-IP-BX-K001, and \$93,109 in grant reimbursements from Grant Number 2011-VF-GX-K020 that were paid for indirect costs without an approved indirect cost rate.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
950	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	3a	Resolved	Remedy \$216,460 in grant reimbursements from Grant Number 2011-IP-BX-K001 for payments to a consultant that were not within the requirements of the MOU or OJP Financial Guide.
951	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	3b	Resolved	Remedy \$18,900 in grant reimbursements from Grant Number 2010-IC-BX-K051 and \$74,508 from Grant Number 2011-VF-GX-K020 for payments to consultants without sufficient time and effort reports.
952	<u>Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California</u>	OJP	09/23/2015	3c	Resolved	Remedy \$56,334 in unsupported costs related to the following issues: \$9,865 in grant reimbursements from Grant Number 2010-IC-BX-K051, \$43,084 from Grant Number 2011-IP-BX-K001, and \$3,385 from Grant Number 2011-VF-GX-K020 for payments to consultants without an agreement.
953	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	13	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
954	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	24	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
955	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	37	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
956	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	38	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
957	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	39	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
958	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	40	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
959	<u>Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2014</u>	FBI	06/30/2015	41	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
960	<u>Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons</u>	BOP	05/05/2015	5	Resolved	Study the feasibility of creating units, institutions, or other structures specifically for aging inmates in those institutions with high concentrations of aging inmates.
961	<u>Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons</u>	BOP	05/05/2015	6	Resolved	Systematically identify programming needs of aging inmates and develop programs and activities to meet those needs.
962	<u>Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons</u>	BOP	05/05/2015	7	Resolved	Develop sections in release preparation courses that address the post-incarceration medical care and retirement needs of aging inmates.
963	<u>The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components</u>	ODAG	03/25/2015	5	Resolved	Ensure that the Department's zero tolerance policy on sexual harassment is enforced in the law enforcement components and that the components' tables of offenses and penalties are complimentary and consistent with respect to sexual harassment.
964	<u>The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components</u>	ODAG	03/25/2015	7	Resolved	All four law enforcement components, in coordination with ODAG, should acquire and implement technology and establish procedures to effectively preserve text messages and images for a reasonable period of time, and components should make this information available to misconduct investigators and, as appropriate, for discovery purposes.
965	<u>The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components</u>	ODAG	03/25/2015	8	Resolved	All four law enforcement components, in coordination with ODAG, should take concrete steps to acquire and implement technology to be able to, as appropriate in the circumstances, proactively monitor text message and image data for potential misconduct.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
966	<u>Review of the Drug Enforcement Administration's Use of Cold Consent Encounters at Mass Transportation Facilities</u>	DEA	01/28/2015	1	Resolved	Consider how to determine if cold consent encounters are being conducted in an impartial manner, including reinstating the collection of racial and other demographic data and how it could be used to make that assessment.
967	<u>Review of the Drug Enforcement Administration's Use of Cold Consent Encounters at Mass Transportation Facilities</u>	DEA	01/28/2015	2	Resolved	Develop a way to track cold consent encounters and their results and use the information collected to gain a better understanding of whether and under what circumstances they are an effective use of law enforcement resources.
968	<u>Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho</u>	OVW	12/15/2014	1	Resolved	Remedy the \$166,469 in excess drawdowns.
969	<u>Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho</u>	OVW	12/15/2014	2	Resolved	Remedy the \$29,583 in unsupported costs due to missing files or inadequate documentation.
970	<u>Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho</u>	OVW	12/15/2014	3	Resolved	Remedy the \$1,096 in unallowable costs related to IRS fines.
971	<u>Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho</u>	OVW	12/15/2014	4	Resolved	Remedy the \$6,758 in unallowable unbudgeted personnel costs.
972	<u>A Review of ATF's Investigation of Jean Baptiste Kingery</u>	ODAG	10/30/2014	1	Resolved	Engage with the leadership at the Department of Homeland Security, ICE, and CBP in an effort to identify and develop opportunities to improve these important and highly consequential relationships.
973	<u>A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations</u>	FBI	08/14/2014	3	On Hold/Pending with OIG	Notify the President's Intelligence Oversight Board concerning the unauthorized collections found in this review containing [redacted-classified] from two providers and seek guidance on whether the FBI should undertake the effort necessary to identify and remove similar unauthorized collections that likely remain in many FBI case files.
974	<u>A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations</u>	FBI	08/14/2014	8	On Hold/Pending with OIG	Revive their efforts to bring about a legislative amendment to Section 2709 by submitting another proposal that defines the phrase "toll billing records."

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
975	<u>A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations</u>	FBI	08/14/2014	9	On Hold/Pending with OIG	Take steps to ensure that it does not request or obtain "associated" records without a separate determination and certification of relevance to an authorized national security investigation.
976	<u>The Drug Enforcement Administration's Adjudication of Registrant Actions</u>	DEA	05/20/2014	2	Resolved	Establish policy and procedures, including timeliness guidelines for forwarding a case to the Office of the Administrator for final decision when a hearing is waived or terminated.
977	<u>Information Handling and Sharing Prior to the April 15, 2013 Boston Marathon Bombings</u>	FBI	04/10/2014	1	On Hold/Pending with OIG	Clarify the circumstances under which JTTF personnel may change the display status of a TECS record, particularly in closed cases.
978	<u>A Review of ATF's Operation Fast and Furious and Related Matters</u>	FBI	09/19/2012	4	Resolved	Review the policies and procedures of its other law enforcement components to ensure that they are sufficient to address the concerns we have identified in the conduct of Operations Wide Receiver and Fast and Furious, particular regarding oversight of sensitive and major cases, the authorization and oversight of "otherwise illegal activity," and the use of information in situations where the law enforcement component also has a regulatory function.
979	<u>A Review of the Federal Bureau of Investigation's Activities Under Section 702 of the Foreign Intelligence Surveillance Act Amendments Act of 2008</u>	FBI	09/12/2012	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
980	<u>A Review of the Federal Bureau of Investigation's Activities Under Section 702 of the Foreign Intelligence Surveillance Act Amendments Act of 2008</u>	FBI	09/12/2012	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
981	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	2	Resolved	Remedy the \$2,282,513 in expenditures for equipment not adequately supported or safeguarded by a property management system with periodic inventories.
982	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	3	Resolved	Ensure Newark implement and adhere to policies and procedures for submitting timely FSRs and accurate progress reports.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
983	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	4	Resolved	Ensure that Newark implements and adheres to policies and procedures to adequately administer grant funding that address our concerns over the related internal controls. These include, but should not be limited to the following: adhering to procurement regulations, approving grant expenditures in accordance with applicable budgets, and <u>safeguarding equipment</u> .
984	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	1a	Resolved	Remedy unallowable expenditures resulting from project changes that were not approved by COPS and failure to achieve the voice communication objective of the grant (net project costs of \$3,539,432).
985	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	1b	Resolved	Remedy unallowable expenditures resulting from purchase of equipment not competitively procured and not authorized for purchase under the New Jersey Cooperative Purchasing Program (net expenditures of \$2,777,569).
986	<u>Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey</u>	COPS	07/10/2012	1c	Resolved	Remedy unallowable expenditures resulting from purchase of a mobile communications command center vehicle procured in a manner that likely hindered an open and competitive bid process (\$626,221).
987	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	2	Resolved	Establish Procedures to Track Source of Facts Provided to the Public and Congress.
988	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	3	Resolved	Require Identification of Federal Crime as Part of Documenting Predication.
989	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	4	Resolved	Consider Revising Attorney General's Guidelines and DIOG to Reinstate Prohibition on Retention of Irrelevant First Amendment Material from Public Events.
990	<u>A Review of the FBI's Investigations of Certain Domestic Advocacy Groups</u>	FBI	09/20/2010	5	Resolved	Clarify When First Amendment Cases Should Be Classified as "Acts of Terrorism" Matters.
991	<u>Report on the President's Surveillance Program</u>	FBI	07/10/2009	1	On Hold/Pending with OIG	Assess its discovery obligations regarding Stellar Wind-derived information in international terrorism prosecutions.
992	<u>Report on the President's Surveillance Program</u>	FBI	07/10/2009	2	On Hold/Pending with OIG	Carefully consider whether it must re-examine past cases to see whether potentially discoverable but undisclosed Rule 16 or Brady material was collected by the NSA under the program, and take appropriate steps to ensure that it has complied with its discovery obligations in such cases.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
993	<u>Report on the President's Surveillance Program</u>	NSD	07/10/2009	3	On Hold/Pending with OIG	As part of the [Redacted] project, the Justice Department's National Security Division (NSD), working with the FBI, should collect information about the quantity of telephone numbers and e-mail addresses disseminated to FBI field offices that are assigned as Action leads and that require offices to conduct threat assessments.
994	<u>Report on the President's Surveillance Program</u>	NSD	07/10/2009	4	On Hold/Pending with OIG	Consistent with NSD's current oversight activities and as part of its periodic reviews of national security investigations at FBI Headquarters and field offices, NSD should review a representative sampling [Redacted] leads to those offices.
995	<u>Report on the President's Surveillance Program</u>	FBI	07/10/2009	5	On Hold/Pending with OIG	In coordination with the NSA, implement a procedure to identify Stellar Wind-derived information that may be associated with international terrorism cases currently pending or likely to be brought in the future and evaluate whether such information should be disclosed in light of the government's discovery obligations under Rule 16 and Brady.
996	<u>An Investigation of Allegations of Politicized Hiring by Monica Goodling and Other Staff in the Office of the Attorney General</u>	OAG	07/28/2008	1	Unresolved	Clarify its policies regarding the use of political or ideological affiliations to select career attorney candidates for temporary details within the Department.
997	<u>A Review of the FBI's Use of National Security Letters: Assessment of Corrective Actions and Examination of NSL Usage in 2006</u>	FBI	03/17/2008	2	On Hold/Pending with OIG	Implement measures to verify the accuracy of data entry into the new NSL data system by including periodic reviews of a sample of NSLs in the database to ensure that the training provided on data entry to the support staff of the FBI OGC National Security Law Branch (NSLB), other Headquarters divisions, and field personnel is successfully applied in practice and has reduced or eliminated data entry errors.
998	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	1	On Hold/Pending with OIG	Continue its FBI Headquarters-managed asset validation review process and provide sufficient resources for the Analytical Unit to devote to these reviews.
999	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	2	On Hold/Pending with OIG	Require that any analytical products relating to the asset, together with red flags, derogatory reporting, anomalies, and other counterintelligence concerns be documented in a subsection of the asset's file.

Department of Justice Office of the Inspector General - Recommendations Not Closed

(As of September 30, 2021)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 9/30/21	Recommendation Description
1000	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	3	On Hold/Pending with OIG	Require the field SSA, the ASAC, and the FBI Headquarters SSA responsible for each asset to signify that they have reviewed the entries in this subsection as part of the routine file review or of semi-annual or annual asset re-evaluations. If anomalies exist, the SSA should note what action has been taken with respect to them, or explain why no action is necessary, and the ASAC's agreement should be noted.
1001	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	6	On Hold/Pending with OIG	Require agents to record in the asset file any documents passed and all matters discussed with the asset, as well as each person who was present for the meeting.
1002	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	7	On Hold/Pending with OIG	Require alternate case agents to meet with the source on a regular basis, together with the case agent.
1003	<u>A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung</u>	FBI	05/01/2006	8	On Hold/Pending with OIG	Limit the number of years any Special Agent can continue as an asset's handler. Exceptions should be allowed for good cause only.