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INSPECTOR GENERAL

U.S. Department of Defense



COMPENDIUM OF OPEN OFFICE OF INSPECTOR GENERAL RECOMMENDATIONS TO THE DEPARTMENT OF DEFENSE

as of March 31, 2019

INTEGRITY ★ INDEPENDENCE ★ EXCELLENCE

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MISSION

To detect and deter fraud, waste, and abuse
in Department of Defense programs and operations;
Promote the economy, efficiency, and effectiveness of the DoD; and
Help ensure ethical conduct throughout the DoD

VISION

Engaged oversight professionals dedicated
to improving the DoD



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**INSPECTOR GENERAL
DEPARTMENT OF DEFENSE
4800 MARK CENTER DRIVE
ALEXANDRIA, VIRGINIA 22350-1500**

July 22, 2019

MEMORANDUM FOR ACTING SECRETARY OF DEFENSE

SUBJECT: Compendium of Open Office of Inspector General Recommendations to the Department of Defense

The DoD Office of Inspector General (DoD OIG) is issuing its third annual Compendium of Open Office of Inspector General Recommendations to the Department of Defense. As of March 31, 2019, there were 1,581 open DoD OIG recommendations, 41 of which have identified potential monetary benefits totaling \$4.8 billion. DoD management has agreed to take corrective actions on 1,481 of those recommendations. For the remaining 100 open recommendations, the DoD OIG and DoD Components have not agreed on corrective actions that meet the intent of the recommendation.

DoD management continues to work with the DoD OIG to address open recommendations. As a result of these efforts, the DoD has provided supporting documentation that led to the closure of over 1,300 recommendations in the past two years, since the Compendium's original issuance in 2017. However, 961 recommendations reported in previous Compendiums remain open, and the DoD OIG has made an additional 766 recommendations in audit and evaluation reports that we have issued since the previous Compendium, of which 620 are currently open. In addition, 80 of the open recommendations are at least 5 years old, a 42 percent increase over the number of recommendations that were reported as at least 5 years old in last year's Compendium. This trend indicates that the DoD must continue to emphasize addressing open recommendations, particularly the most important ones issued in previous years.

In addition to the recommendations discussed above, during the audits of the DoD's and DoD Components' FY 2018 financial statements, auditors issued over 2,500 notifications of findings and recommendations that included more than 6,500 recommendations. These recommendations related to material weaknesses, significant deficiencies, and non-compliance with laws and regulations. While these financial statement recommendations are not listed in this Compendium, we have included a chapter in the Compendium that discusses these recommendations, as well as the process that the DoD OIG and independent public accounting firms will use to follow up on those recommendations.

We appreciate the continued focus from DoD management on implementing open recommendations. In our mission to help improve DoD operations and to detect and deter waste, fraud, and abuse, the DoD OIG will continue to make and track recommendations and corrective actions.

Thank you for your support of the work of the DoD OIG. Please let us know if you have any questions about this Compendium.

A handwritten signature in black ink that reads "Glenn A. Fine". The signature is written in a cursive style with a large initial 'G'.

Glenn A. Fine
Principal Deputy Inspector General,
Performing the Duties of
the Inspector General

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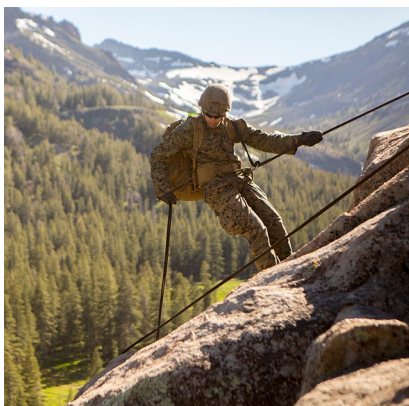
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INTRODUCTION

The Department of Defense Office of Inspector General (DoD OIG) provides independent oversight of DoD programs and activities, in accordance with the Inspector General Act. Our mission is to detect and deter waste, fraud, and abuse in DoD programs and operations; promote the economy, efficiency, and effectiveness of the DoD; and help ensure ethical conduct throughout the DoD.

Pursuant to this mission, the DoD OIG conducts independent audits, evaluations, and investigations of DoD programs, operations, and personnel. Our audits, evaluations, and investigations result in reports that contain recommendations to improve program management and operations and to address fraud, abuse, mismanagement, and waste of DoD funds.

The DoD OIG tracks the status of recommendations made in audit, evaluation, and investigation reports to ensure that management actions are taken to implement the report's agreed-upon recommendations. Timely implementation of agreed-upon corrective actions is critical for DoD Components to improve the efficiency and effectiveness of DoD programs and operations, as well as to ensure integrity and accountability, reduce costs, manage risks, realize monetary benefits, and improve management processes.

In 2017, the DoD OIG issued its first Compendium of Open Recommendations to the DoD. The purpose of the Compendium was to summarize DoD OIG recommendations issued to DoD Components and to provide transparency on the number and status of open recommendation. An open recommendation is a recommendation made in a previously issued DoD OIG report for which corrective actions have not been completed. The 2017 Compendium identified 1,298 open recommendations, as of March 31, 2017, all but 47 of which the DoD had agreed to implement.

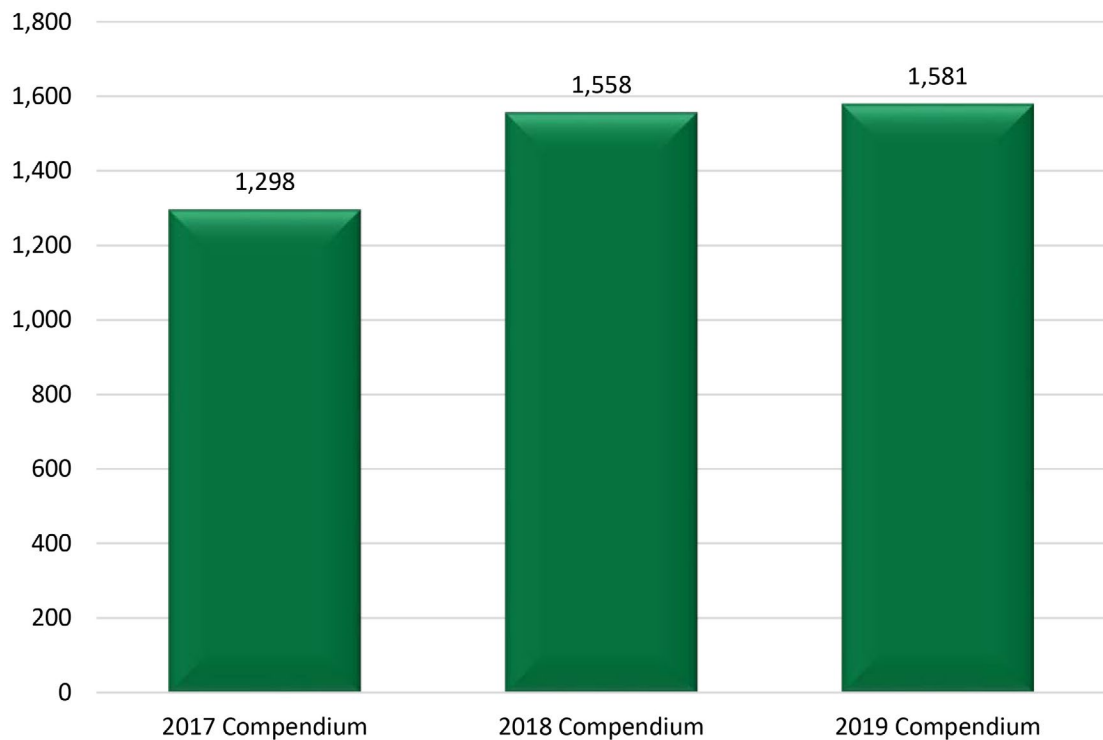
INTRODUCTION

Our second Compendium, issued in 2018, identified 1,558 open recommendations, as of March 31, 2018. The second compendium noted that 421 had been closed since the previous year, but because we had continued to make recommendations in new reports, the number of open recommendations had increased from 1,298 to 1,558.

This year, the number of open recommendations remained stable. As of March 31, 2019, the DoD had 1,581 open recommendations. Of the 1,581 open recommendations, all but 100 were agreed to by the DoD. The 1,581 open recommendations include 41 recommendations with \$4.8 billion of potential monetary benefits, if implemented.

Figure 1 illustrates the number of open recommendations that have been reported in each Compendium.

Figure 1. Number of Open Recommendations Reported in Compendiums



Source: The DoD OIG.

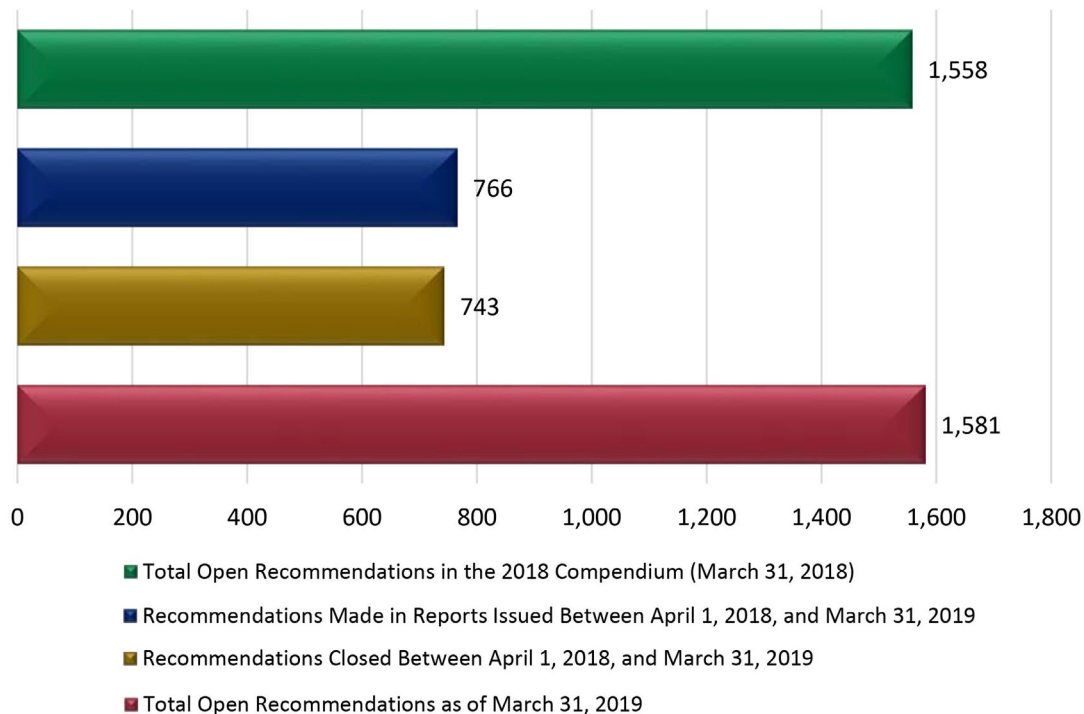
INTRODUCTION

The DoD OIG and the DoD have continued efforts to improve communication about the open recommendations and the implementation of recommendations. The DoD OIG routinely meets with the Military Services and other DoD Components to provide information regarding their open recommendations and to consider information from the components about corrective action to close the recommendations.

DoD management has taken action or provided documentation that enabled the DoD OIG to close 597 of the 1,558 (38 percent) recommendations listed in the 2018 Compendium, including 7 of the 25 (28 percent) identified as high-priority, and 12 of the 33 (36 percent) with potential monetary benefits, totaling more than \$1 billion.

The DoD OIG made 766 new recommendations in reports issued between April 1, 2018, and March 31, 2019. During this same timeframe, the DoD OIG closed 743 recommendations, based on information provided by DoD management. The remaining 1,581 open recommendations are reported in this Compendium. Figure 2 summarizes the number of recommendations opened and closed by the OIG since last year's Compendium.

Figure 2. Number of Open Recommendations



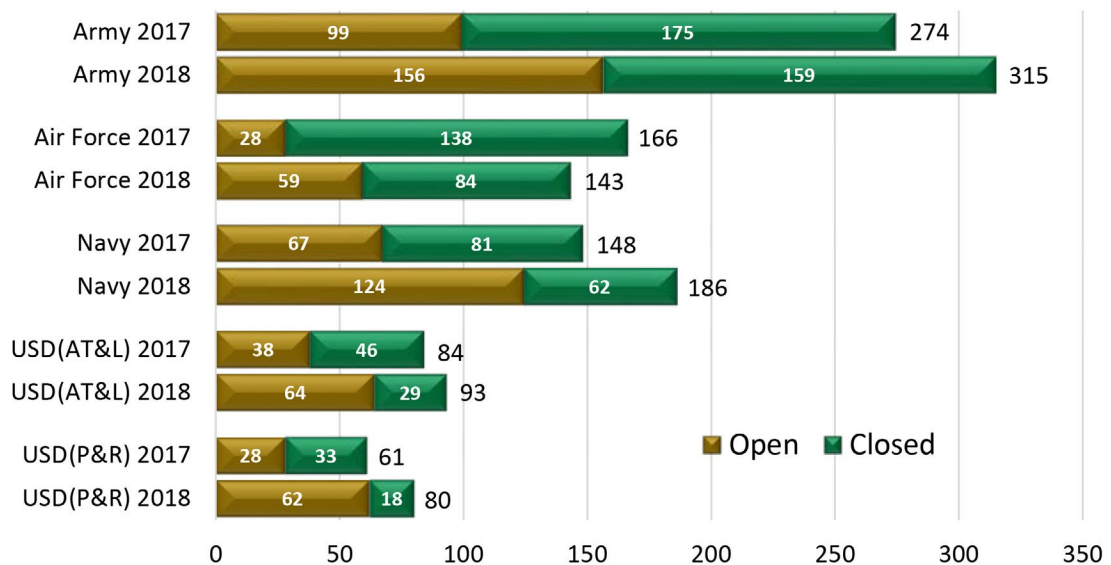
Source: The DoD OIG.

INTRODUCTION

In both the 2017 and 2018 Compendiums, we reported that the five DoD Components with the most open recommendations were the Army, Air Force, Navy, Under Secretary of Defense for Acquisition and Sustainment (USD[A&S]), and Under Secretary of Defense for Personnel and Readiness (USD[P&R]).¹

Figure 3 shows the progress these five DoD Components have made in closing the recommendations reported in the 2017 and 2018 Compendiums. Since March 31, 2017, these five Components have collectively closed 825 open recommendations that were identified in the two prior Compendiums.

Figure 3. Number of Recommendations Closed by the Five DoD Components With the Highest Number of Open Recommendations in the 2017 and 2018 Compendiums



Source: The DoD OIG.

The DoD has made significant progress on the recommendations since the issuance of the original Compendium, closing approximately 1,300 recommendations, including 44 recommendations with \$32.5 billion in potential monetary benefits. Although progress has been made, we believe that DoD leadership should continue to focus attention on the implementation of open recommendations, with particular attention on unresolved and aged recommendations.

¹ The 2017 and 2018 Compendiums identified the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics as a DoD Component with the most open recommendations. As of February 1, 2018, this was reorganized into two offices: (1) the Office of the Under Secretary of Defense for Research and Engineering, and (2) the Office of the Under Secretary of Defense for Acquisition and Sustainment (USD[A&S]). The vast majority of the open recommendations were reassigned to USD(A&S), which remains on our list of DoD Components with the most open recommendations.

INTRODUCTION

2019 Compendium

The 2019 Compendium lists the number, type, age, and status of 1,581 open recommendations, as of March 31, 2019.

In this Compendium, we highlight the 30 high-priority open recommendations made in DoD OIG reports issued between August 2014 and March 2019 that we believe, if implemented, have the potential to result in significant improvements to DoD operations.² We provide, in each summary of the open recommendations, an overview of the DoD OIG project that produced the recommendations and why they are important. The summaries also include the implementation status of the recommendations and a description of information required to close each recommendation. Recommendations that are not included in our list of high-priority recommendations are still important and warrant the full attention of the DoD.

This Compendium also summarizes 41 recommendations made in 30 OIG reports issued between May 2008 and March 2019 that identified \$4.8 billion in potential monetary benefits that the DoD could achieve based on management implementation of these recommendations.

We also identify the 80 recommendations that have been open for at least 5 years. These recommendations were issued to 20 DoD Components.

In addition, this Compendium identifies 100 recommendations for which the DoD OIG and DoD Components have not agreed on corrective actions that, if implemented, would resolve the deficiencies identified by the associated findings. For 77 of the 100 recommendations, DoD management has either not provided a response or the response did not adequately address the recommendation. For the remaining 23 recommendations, DoD management disagreed with the recommendation. DoD Components could greatly reduce the number of unresolved recommendations by either clarifying or being more specific in their responses to the recommendations or proposing alternative actions to address the associated findings.

In FY 2018, the DoD OIG and five independent public accounting (IPA) firms overseen by the DoD OIG auditors performed financial statement audits to determine if the DoD's and DoD Components' financial statements were accurately presented. The DoD OIG audited the consolidated DoD Agency-Wide Basic Financial Statements. This effort

² Of these high-priority recommendations, 20 are summarized in Chapter 1, and 10 are summarized in Appendix B.

INTRODUCTION

resulted in over 2,500 notifications of findings and recommendations (NFRs) by the auditors related to the DoD's financial statements. These 2,500 NFRs contained over 6,500 specific recommendations to address findings identified in the auditors' opinion reports.

The auditors also issued over 1,500 additional recommendations related to material weaknesses, significant deficiencies, and non-compliance with laws and regulations in their reports on internal controls over financial reporting and compliance with laws, regulations, contracts and grant agreements.

For the first time, this Compendium includes information related to these audits and their recommendations.

We also include information related to classified open recommendations in Appendixes B, C, and D of this Compendium. These classified Appendixes will be provided to appropriate officials in the DoD and congressional committees.

We believe that DoD senior managers should continue to focus attention on implementing open recommendations and ensure that prompt resolution and action is taken, as required by DoD Instruction 7650.03.³ In particular, DoD managers should:

- clearly state whether management agrees with the recommendation in its response to the draft report;
- provide a specific action plan with milestones on how the recommendation will be implemented when management agrees with the recommendation;
- propose alternative corrective actions to address underlying issues when management agrees that there is an issue that needs to be addressed, but does not agree with the DoD OIG recommended solution;
- provide a rationale and supporting documentation when DoD management disagrees with a finding or recommendation;
- communicate with the DoD OIG if management has questions about the intent of a recommendation or the adequacy of its intended actions; and
- when requesting closure of a recommendation, provide: (1) a written explanation of the action taken to implement each recommendation, and (2) documentation to support the actions that have been taken.

³ DoD Instruction 7650.03, "Follow-up on Government Accountability Office (GAO), Inspector General of the Department of Defense (IG DoD), and Internal Audit Reports," December 18, 2014.



SUMMARY OF OPEN RECOMMENDATIONS

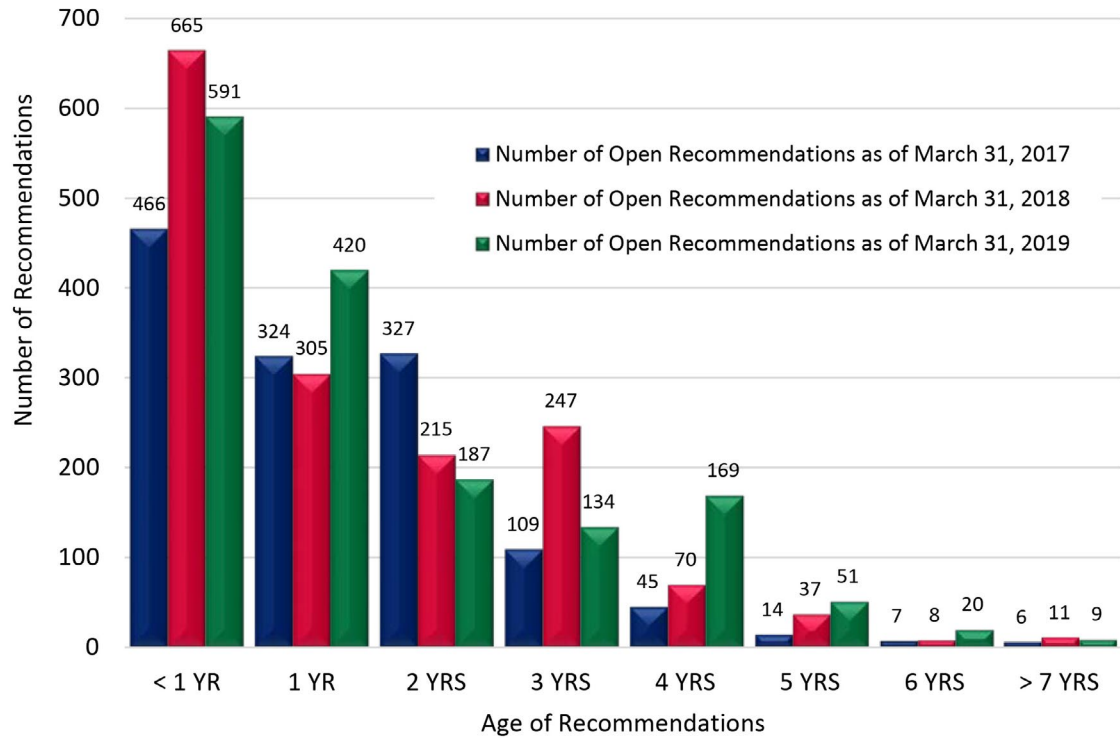
As of March 31, 2019, there were 1,581 open recommendations from OIG reports, with the age of the open recommendations shown in Figure 4.⁴ Of the 1,581 recommendations, 210 are classified and listed in Appendixes B, C, and D.

Since the first Compendium was issued in 2017, the number of recommendations that are at least 5 years old has nearly tripled from 27 to 80. Although there are different reasons for the aging of recommendations, we determined that one of the biggest challenges pertains to the revision or implementation of policies, procedures, and guidance. Of the 80 recommendations, 23 were related to revising or implementing policy and guidance. Other factors that contribute to the aging of recommendations include the development and implementation of systems and recommendations and ongoing litigation. Additionally, the DoD OIG is currently reviewing 24 of the 80 recommendations that are more than 5 years old as part of followup audits or evaluations. For example, on May 13, 2019, the DoD OIG announced a followup audit to determine the status of 10 recommendations and whether corrective actions were taken in response to Report No. DODIG-2013-130, "Army Needs to Improve Controls and Audit Trails for the General Fund Enterprise Business System Acquire-to-Retire Business Process," and Report No. DODIG-2014-090, "Improvements Needed in the General Fund Enterprise Business System Budget-to-Report Business Process." The DoD OIG does not close recommendations that are being reviewed as part of a followup audit or evaluation until after field work verification is completed.

⁴ For tracking purposes, recommendations made to multiple Components are split into individual recommendations for each Component. For example, one recommendation made to the Army, Navy, and Air Force would equate to three recommendations for tracking purposes.

SUMMARY OF OPEN RECOMMENDATIONS

Figure 4. Number and Age of Open Recommendations as of March 31, 2019



Source: The DoD OIG.

Of the 1,581 open recommendations, DoD management has agreed to take corrective actions on 1,481 recommendations. The remaining 100 open recommendations are considered unresolved until the DoD OIG and DoD Components reach an agreement on the report recommendation and the planned corrective actions to implement or address those recommendations.

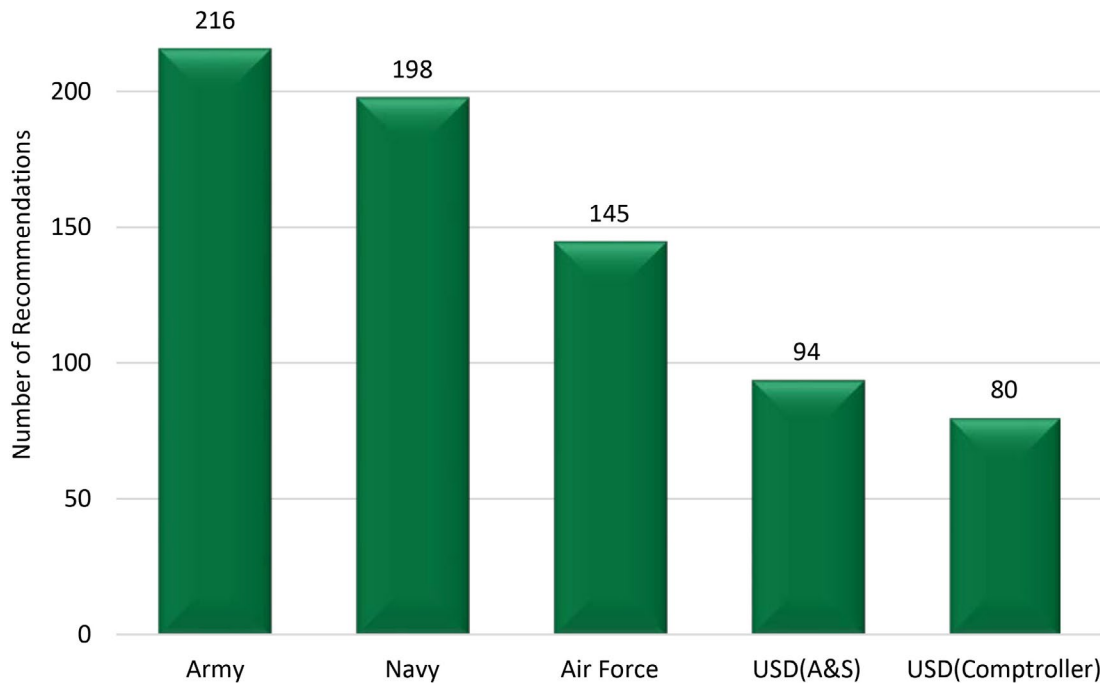
In addition, we believe that the DoD could achieve \$4.8 billion in potential monetary benefits by implementing 41 of the 1,581 recommendations.

The 1,581 open recommendations were issued to 48 DoD Components through 319 reports. Figure 5 presents the five DoD Components with the most open recommendations. These five Components collectively have 733 open recommendations, which represent 46 percent of all open recommendations. While four of the DoD Components have had the most open recommendations in all three Compendiums, the USD(P&R) is no longer in the Top 5 and has been replaced by the USD(Comptroller) (USD[C]). The DoD OIG issued a significantly higher number

SUMMARY OF OPEN RECOMMENDATIONS

of recommendations to the USD(C) in reports issued since the 2018 Compendium, while the number of recommendations closed by the USD(C) has remained relatively steady. Between April 1, 2017, and March 31, 2018, the DoD OIG made 28 new recommendations to the USD(C), while 52 new recommendations were addressed to the USD(C) since the 2018 Compendium. Additionally, the USD(C) has recently taken responsibility for 9 recommendations that were originally addressed to DFAS. The USD(C) has closed 17 recommendations since March 31, 2018. On the other hand, the DoD OIG has made fewer recommendations to the USD(P&R), with only 3 recommendations addressed to USD(P&R) since the last Compendium compared to 48 in the prior year. The USD(P&R) has closed 18 recommendations since the 2018 Compendium was issued on March 31, 2018.

Figure 5. DoD Components With the Most Open Recommendations, as of March 31, 2019



Source: The DoD OIG.

Recommendations made in DoD OIG reports cover a variety of topic areas, such as logistics, intelligence, and finance and accounting. The 1,581 open recommendations are broken into the following nine topic areas.

SUMMARY OF OPEN RECOMMENDATIONS

Logistics

There are 220 open recommendations related to logistics, covering such issues as maintenance and sustainment of military systems; the U.S. Air Forces in Europe plans for the procurement and pre-positioning of deployable Air Base Kits; and U.S. and coalition plans and efforts to train, advise, assist, and equip Afghan and Iraqi forces.

The logistics topic area focuses on the planning and carrying out of the movement and maintenance of military forces. The aspects of military operations that deal with the design, development, acquisition, storage, distribution, maintenance, evacuation, and disposition of material; transportation; housing of military personnel; acquisition or construction, maintenance, operation, and disposition of facilities; and acquisition or furnishing of services and medical and health support are within the logistics topic area.

Intelligence

There are 218 open intelligence recommendations related to issues such as Combatant Commands' intelligence internal communications processes; intelligence support to protect U.S. Nuclear Weapons in Europe; and airborne intelligence, surveillance, and reconnaissance processing, exploitation, and dissemination processes for Operation Inherent Resolve.

The intelligence topic area relates to the collection, processing, evaluation, analysis, and interpretation of available information concerning national security issues, foreign nations, hostile or potentially hostile forces or elements, or military operations.

Acquisition Programs

There are 237 open acquisition program recommendations, such as the DoD's actions taken to implement the Cybersecurity Information Sharing Act of 2015; the Navy and Marine Corps backup aircraft and depot maintenance float for ground combat and tactical vehicles; the Integrated Tactical Warning/Attack Assessment System; and the management of government-owned property supporting the F-35 program.

The acquisition programs topic area focuses on the formulation and oversight of contracting strategies that support the procurement of defense acquisition programs, automated information systems, and special interest projects for the DoD. Acquisition programs include the management of major and non-major defense acquisition program cost, schedule, and performance in accordance with the program requirements.

SUMMARY OF OPEN RECOMMENDATIONS

Finance and Accounting

There are 264 open finance and accounting recommendations, including accounting and financial reporting for the military housing privatization initiative; DoD FY 2017 compliance with the Improper Payments Elimination and Recovery Act requirements; and the DoD financial management and accounting systems' solution for providing auditable financial statement information.

The finance and accounting topic area focuses on the process of reporting on and oversight of the DoD's financial transactions resulting from its operations, including the distribution and control of DoD funds and tracking of costs and obligations.

Information Technology Resources

There are 276 information technology open recommendations related to such issues as physical access and general application controls of DoD information technology systems; cybersecurity and protection against cyberattacks on critical infrastructure; development of Standard Operating Procedures for granting access, assigning and elevating privileges, and deactivating users; and the consolidation of DoD Components' data centers.

The information technology and resources topic area focuses on the use of any equipment or system for storing, retrieving, controlling, and sending information. This category includes protection of transmitted information and related resources, such as personnel, equipment, funds, and any system or subsystem.

Contractor Oversight

There are 158 open recommendations related to contractor oversight, such as the DoD reporting of charge card misuse to OMB; DoD personnel's assessment of contractor performance through performance assessment reports; management of energy savings performance contracts; development of training; and quality assurance surveillance plans.

The contractor oversight topic area focuses on the oversight and integration of contractor personnel and associated equipment providing support to DoD operations. Contractor oversight includes efforts to ensure that supplies and services are delivered in accordance with the terms and conditions of the contract.

SUMMARY OF OPEN RECOMMENDATIONS

Health Care and Morale

There are 81 open recommendations that include issues such as access to care at selected military treatment facilities; the DoD Suicide Event Report data quality assessment; the Department of the Navy civilian pay budget process; and delinquent medical service claims.

The health care and morale topic area focuses on the measures to improve or maintain the mental and physical well-being of DoD personnel and their families to enable a healthy and fit force, prevent injury and illness, and protect individuals from health hazards.

Construction and Installation Support

There are 55 open recommendations related to issues such as controls over the requirements development process for military construction projects; the DoD's implementation of recommendations on screening and access controls for general public tenants leasing housing on military installations; and the Fort Bliss hospital replacement military construction project.

The construction and installation support topic area focuses on the management and oversight of military installations worldwide, including the construction, alteration, conversion, or extension of military installations.

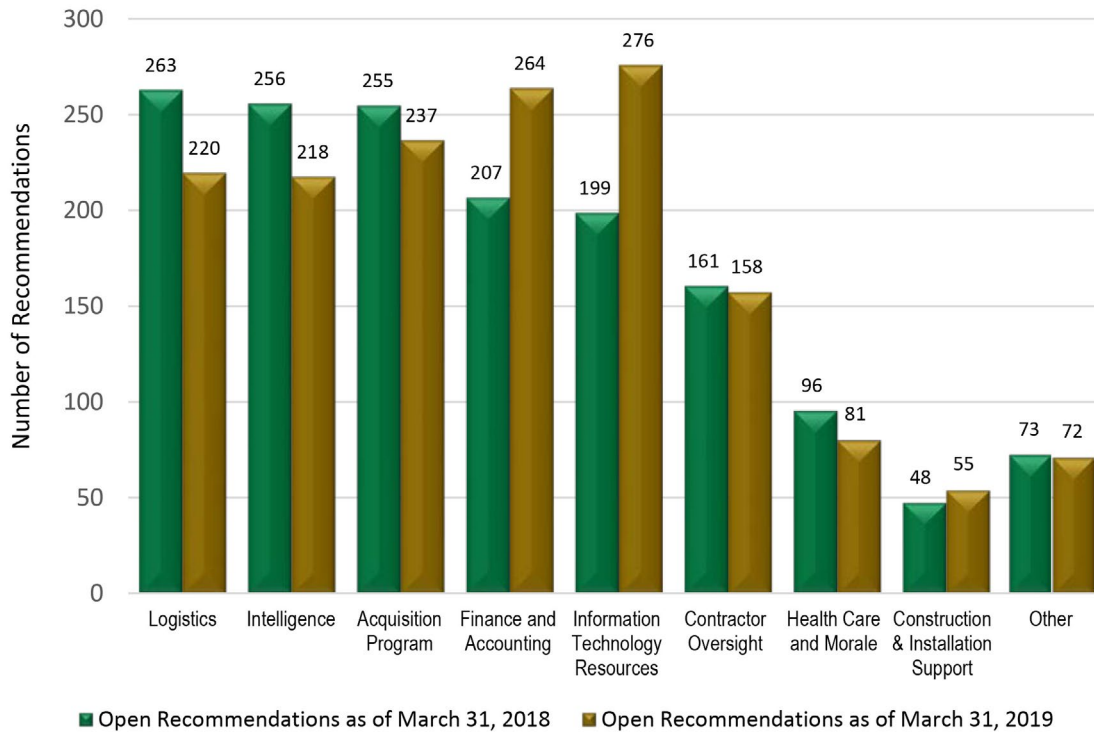
Other

Some DoD OIG recommendations, such as recommendations related to the environment, assessment of U.S. military cemeteries, and external peer reviews of DoD agencies, do not fall into any of the previously discussed topic areas. There are 72 recommendations in this category concerning issues such as the Department of the Navy's qualified recycling programs; the operation of U.S. Military cemeteries; external peer reviews of DoD audit organizations; the operation, maintenance, and structural stability of DoD dams; and updates to the DoD Recovered Chemical Warfare Materiel Program Guidance.

SUMMARY OF OPEN RECOMMENDATIONS

Figure 6 shows the number of open recommendations by topic area.

Figure 6. Total Open Recommendations by Topic Area



Source: The DoD OIG.



BACKGROUND ON OIG FOLLOWUP PROCESS

DoD management and the DoD OIG share the responsibility to follow up on recommendations. DoD managers are responsible for implementing recommendations promptly. At the same time, the DoD OIG follows up to assess whether the agreed-upon corrective action is taken and meets the intent of the recommendation.

Policy for OIG Followup Process on Recommendations

The Inspector General Act of 1978 requires the DoD OIG to conduct independent audits, evaluations, and investigations of agency programs and operations.

Public Law 104-106, "National Defense Authorization Act for FY 1996," requires the Secretary of Defense to make management decisions on all DoD OIG findings and audit recommendations within a maximum of 6 months after an audit report is issued. The Act also requires the Secretary of Defense to complete final action on each DoD management decision within 12 months after report issuance. If action is not completed within 12 months, the DoD OIG must identify the overdue actions in its Semiannual Report to Congress until final action on the DoD management decision is completed.

Office of Management and Budget (OMB) Circular A-50 Revised, "Audit Follow-Up," September 29, 1982, defines followup as an integral part of good management and a shared responsibility between agency management officials and auditors. According to the Circular, implementation of OIG recommendations in a timely manner is essential to the DoD improving efficiency and effectiveness of programs and operations, as well as achieving integrity and accountability goals, reducing costs, managing risks, and

BACKGROUND ON OIG FOLLOWUP PROCESS

improving management processes. The Circular requires each agency to establish systems to assure the prompt and proper resolution and implementation of audit recommendations, and it also requires that resolution be made within a maximum of 6 months after a final report is issued.

Resolution for most audits and evaluations is defined as the point at which the DoD OIG and agency management or contracting officials agree on the action to be taken in response to the findings and recommendations.

DoD Instruction 7650.03 requires the DoD OIG to evaluate corrective actions taken by DoD Components on DoD OIG reports. The Instruction states that the DoD OIG oversees and coordinates followup programs within the DoD and that heads of DoD Components will work with the DoD OIG to resolve disagreements between their respective DoD Components and the DoD OIG. Disagreements that are not resolved at a lower management level may be submitted to the Deputy Secretary of Defense for decision.

In January 2019, the “Good Accounting Obligation in Government Act” was signed into law. This law requires each Federal agency, in its annual budget justification submitted to Congress, to report on the implementation status of open Government Accountability Office and OIG recommendations. Each agency must also report why the recommendations have not been fully implemented. The sponsors of this law have indicated that it is intended to stop wasteful spending in Federal agencies, bring accountability, and save taxpayer dollars.

Additionally, the Council of the Inspectors General on Integrity and Efficiency is developing a database that will include open recommendations from participating OIGs across the U.S. Government. The intent of this database is to improve the public’s access to OIG reports and to establish a public repository of information about open OIG recommendations.

BACKGROUND ON OIG FOLLOWUP PROCESS

Recommendation Followup Process

Before a recommendation from a DoD OIG audit, evaluation, or investigative review is completed, the DoD OIG requests the views of DoD management regarding the report's findings, conclusions, and recommendations. DoD management is asked to provide written comments to the formal draft report, normally within 30 days of the draft report issue date. These written comments should:

- indicate whether DoD management agrees or disagrees with each finding and recommendation in the report;
- describe and provide the completion dates for corrective actions taken or planned;
- explain specific reasons for each disagreement; and
- propose alternative actions, if appropriate.

The DoD OIG also requests that DoD management comment on the potential monetary benefits identified in the report.

The DoD OIG reviews management comments to ensure that management adequately addresses the report's recommendations. The DoD OIG uses the following categories to describe management's comments to individual recommendations.

- **Unresolved** – Management has not agreed to implement the recommendation or has not proposed actions that will address the recommendation.
- **Resolved** – Management has agreed to implement the recommendation or has proposed alternative actions that will adequately address the underlying finding that generated the recommendation.
- **Closed** – The DoD OIG has verified that the agreed-upon corrective actions were implemented.

If DoD management agrees with the recommendations in the report, it should also provide information on the corrective actions that have been taken or are planned to be taken to implement the recommendation, and it should include estimated completion dates that will address the recommendations. The DoD OIG then assesses the corrective actions taken or proposed corrective actions and determines if they sufficiently meet the intent of the recommendations. In the final report, the DoD OIG evaluates and

BACKGROUND ON OIG FOLLOWUP PROCESS

summarizes the DoD management response to each finding and recommendation and provides a response to management's comments, including its comments on the recommendations as well as a brief description of the documentation that must be provided to close each recommendation.

After the DoD OIG categorizes each recommendation as unresolved, resolved, or closed, the final report is placed in the followup process. In this process, the DoD OIG monitors the status of DoD management actions to implement corrective actions and periodically requests updates from DoD management on the implementation status of open recommendations. The DoD OIG also reports data related to open recommendations in the OIG's Semiannual Report to Congress.

Recommendations are generally closed only after the DoD OIG has either:

- reviewed information or supporting documentation provided by DoD management and concluded that agreed-upon corrective actions have been completed, or
- performed field verification to confirm that the stated corrective actions were taken.

Recommendations may also be closed when they are overtaken by events, are no longer relevant, or if implementation is not feasible. Although infrequent, closed recommendations may be reopened if we subsequently conclude that documentation provided by the DoD Component does not show the recommendation has been fully implemented.

However, if DoD management has not agreed to implement the recommendations or has not proposed alternative actions that will address the recommendations, the recommendations are considered unresolved and remain open. For example, when DoD management disagrees with the recommendation or when comments do not provide a complete response, the DoD OIG may request additional comments in the final report and coordinate with DoD management to address the missing information.

Generally, DoD management is requested to provide additional written comments to the final report within 30 days of the final report issue date. If DoD management does not provide comments to the final report, disagrees with the recommendations, or its comments do not fully address the recommendations, the recommendations remain unresolved. When DoD management disagrees with the recommendations and does not provide an acceptable alternate solution, the DoD OIG will start the resolution process and elevate the recommendation through multiple levels of management, as necessary.

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Ultimately, any unresolved recommendation can be elevated to the Deputy Secretary of Defense (or other levels of Office of the Secretary of Defense management below the Deputy Secretary) if resolution is not reached. Resolution of recommendations is generally achieved at lower levels of management. However, the Deputy Secretary has final decision making authority on unresolved issues and the decision is binding on all parties. Nevertheless, this authority does not infringe on the DoD OIG's statutory independence and responsibility to inform the Secretary of Defense and Congress concerning significant problems, abuses, and deficiencies related to DoD programs and operations, or management decisions with which the DoD OIG disagrees.

In addition to the report followup process described above, the DoD OIG conducts followup audits and evaluations. The purpose of these reviews is to verify that corrective action has actually occurred, as agreed to in the DoD management response to the draft and final reports or during the resolution process, and to determine whether the problems identified in the findings still exist. The DoD OIG typically dedicates 10 percent of projects in its oversight plan to followup reviews.

Role of the DoD Chief Management Officer in the Followup Process

The Chief Management Officer (CMO) is responsible for ensuring that business transformation policies and programs are designed and managed to improve performance standards, efficiency, and effectiveness within the DoD. Consistent with this responsibility to improve the operation and efficiency of the DoD, the Secretary of Defense tasked the Office of the Chief Management Officer (OCMO) to assist in facilitating, tracking, and reporting on the implementation and closure of DoD OIG recommendations.

In response to this tasking, the CMO has assigned staff to work with DoD management to ensure progress in implementing DoD OIG recommendations and improving the management and quality of the DoD's responses to the OIG.

As a part of this effort, OCMO staff meet monthly with the DoD OIG and DoD senior leaders to discuss the Components' plans for implementing agreed-upon corrective actions and for providing the DoD OIG with the necessary documentation to support that corrective actions were completed. Since these meetings were initiated, the DoD OIG and DoD senior leaders have reviewed the status of approximately 700 recommendations.

BACKGROUND ON OIG FOLLOWUP PROCESS

The meetings have facilitated open communication, increased coordination between the DoD and the OIG, clarified business practices and expectations, and established a mutually agreed upon way forward for closing open recommendations.

In addition to holding monthly meetings, OCMO staff works closely with DoD Components to improve responses to the OIG and regularly reports progress to DoD leaders — including the Deputy Secretary of Defense — on the Components' implementation of DoD OIG open recommendations.

Top DoD Management and Performance Challenges

Each year, the DoD OIG drafts a document explaining what we regard as the top management and performance challenges facing the DoD, which we have identified based on our oversight work, research, and judgment; oversight work done by other Components within the DoD; input from DoD leaders; and oversight projects from the Government Accountability Office.

The following are the top 10 management and performance challenges for FY 2019.

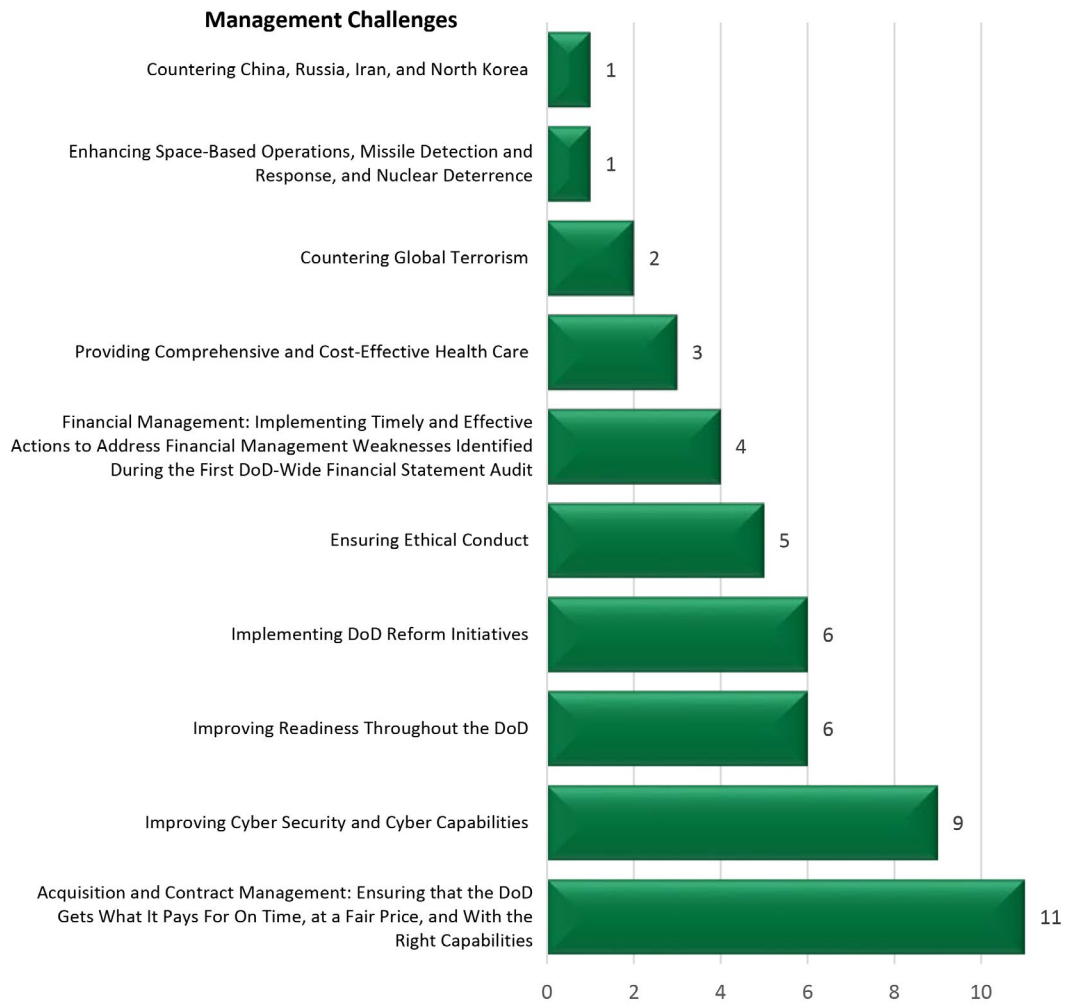
1. Implementing DoD Reform Initiatives
2. Countering China, Russia, Iran, and North Korea
3. Countering Global Terrorism
4. Financial Management: Implementing Timely and Effective Actions to Address Financial Management Weaknesses Identified During the First DoD-Wide Financial Statement Audit
5. Improving Cyber Security and Cyber Capabilities
6. Ensuring Ethical Conduct
7. Enhancing Space-Based Operations, Missile Detection and Response, and Nuclear Deterrence
8. Improving Readiness Throughout the DoD
9. Acquisition and Contract Management: Ensuring that the DoD Gets What It Pays For On Time, at a Fair Price, and With the Right Capabilities
10. Providing Comprehensive and Cost-Effective Health Care

The DoD OIG uses this document as a planning tool to determine where to allocate its audit, evaluation, and investigative resources. The DoD OIG also uses the top management and performance challenges as a guide for determining future oversight projects. While not all projects planned or undertaken address one of the challenges, the majority address at least one and some more than one.

BACKGROUND ON OIG FOLLOWUP PROCESS

Each of the high-priority open recommendations in this Compendium lists the related management and performance challenge. These open recommendations coincide with the 2019 top management and performance challenges. Figure 7 shows the number of high-priority open recommendations by FY 2019 Performance and Management Challenge.

Figure 7. High-Priority Open Recommendations by Management Challenge



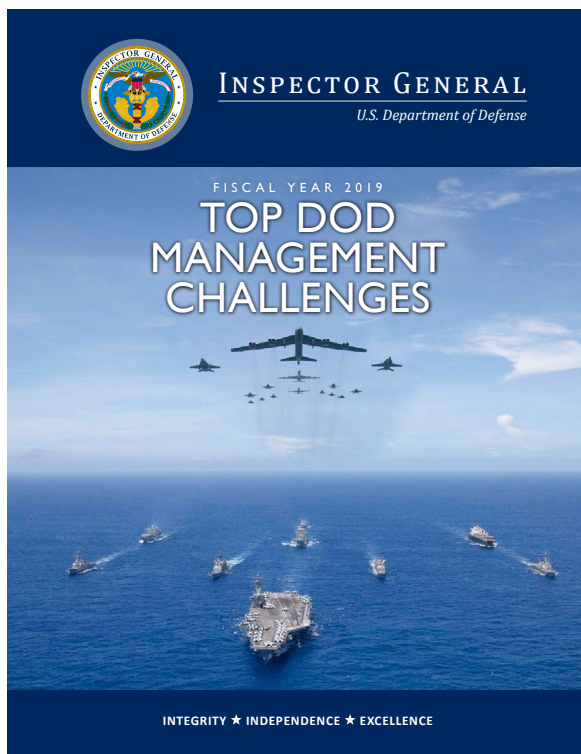
Source: The DoD OIG.

Note: For eight recommendations, more than one management challenge was identified.

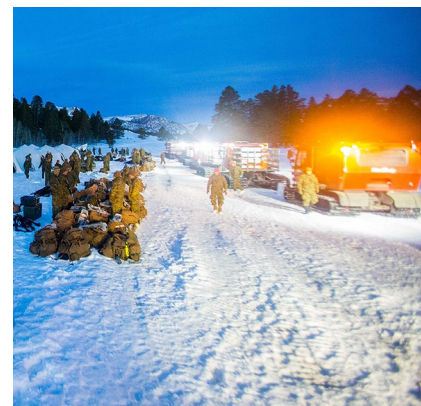
BACKGROUND ON OIG FOLLOWUP PROCESS

For additional information about the top management and performance challenges facing the DoD, visit: <http://www.dodig.mil/Reports/Top-DoD-Management-Challenges/>.

When describing the high-priority open recommendations in this Compendium, we identify the DoD management and performance challenge(s) to which the recommendation relates.



Additional information about each recommendation discussed in the Compendium can be obtained by reviewing the associated reports that are available online at the DoD OIG website: <http://www.dodig.mil/reports.html/>.



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High-Priority Open Recommendations

The DoD OIG identified 30 open recommendations that it believes the DoD should prioritize to implement its corrective actions in a timely manner. This section of the report describes 20 unclassified recommendations; 10 recommendations made in classified reports are summarized in Appendix B. We considered these recommendations high-priority based on the recommendations' potential for improving the effectiveness of DoD operations, financial statements, or contract oversight, or for achieving cost savings.

The first three reports listed include the six recommendations that we consider most significant to the DoD. The subsequent reports are listed chronologically, in the order they were issued.

The following 20 summaries of high-priority open recommendations, and the 10 classified summaries in Appendix B, each contain:

- the title of the report,
- the management and performance challenge most directly related to the report,
- the objective of the report,
- the key findings in the report,
- the key open recommendations,

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- Management's response to the recommendations, which was provided to the DoD OIG when the final report was issued,
- the implementation status of each recommendation as of March 31, 2019,
- information required or requested from the DoD for the DoD OIG to close the recommendation, and
- an explanation of why the recommendations are important.



Airman observes aircraft on the flightline.

Source: U.S. Air Force.

Report No. DODIG-2019-060, “Review of Parts Purchased From TransDigm Group, Inc.,” February 25, 2019

FY 2019 Management Challenge – Acquisition and Contract Management:

Ensuring that the DoD Gets What It Pays For on Time, at a Fair Price, and With the Right Capabilities

Objective: The objective of this audit was to determine whether the DoD purchased parts at fair and reasonable prices from TransDigm Group, Inc.

The DoD OIG reviewed a sample of 47 parts purchased by the DoD from TransDigm on 113 contracts between January 2015 and January 2017, with a total value of \$29.7 million. The DoD OIG reviewed the price reasonableness determination for 47 of the 113 contracts, one for each part, to determine how DoD contracting officers established a fair and reasonable price and whether DoD contracting officers requested and received certified or uncertified cost data. The DoD OIG performed cost analyses on the parts and determined what the Defense Logistics Agency and the Army could have paid for them had TransDigm provided the uncertified cost data. The DoD OIG applied this cost data to all 113 contracts to determine the amount of excess profit that the Defense Logistics Agency and the Army paid to TransDigm between January 2015 and January 2017.

Finding: The DoD OIG determined that TransDigm earned excess profit on 46 of the 47 parts purchased by the Defense Logistics Agency and the Army. This occurred even though contracting officers followed the Federal Acquisition Regulation (FAR) and Defense Federal Acquisition Regulation Supplement (DFARS)-allowed procedures when they determined that prices were fair and reasonable for the 47 parts at the time of contract award. However, when the DoD OIG compared the awarded prices for the 47 parts on 113 contracts to TransDigm’s uncertified cost data, our analysis determined that only one part purchased under one contract was awarded with a reasonable profit of 11 percent. The remaining 112 contracts had profit percentages ranging from 17 to 4,451 percent for 46 parts. The DoD OIG considered profit percentages of 15 percent or below to be reasonable.

Contracting officers used FAR and DFARS-allowed pricing methods, including historical price analysis, competition, and cost analysis, to determine whether prices were fair and reasonable for the 47 parts. However, historical price analysis and competition was unreliable in identifying when TransDigm was charging excess profit because:

- prices for parts had become inflated over time, and some parts appeared to be inflated at the time the Government first purchased the part, further compounding the excess profits; and
- TransDigm was the only manufacturer at the time for the majority of the parts competitively awarded, giving TransDigm the opportunity to set the market price for those parts because other competitors planned to buy the parts from TransDigm before selling them to the Defense Logistics Agency.

Performing cost analysis using certified or uncertified cost data is the most reliable way to determine whether a price is fair and reasonable. The one contract in our sample awarded with a reasonable profit was the only contract for which the contracting officer used cost data to determine price reasonableness. Contracting officers are required to obtain certified cost data before awarding contracts above the Truth in Negotiation Act threshold and can request uncertified costs data for those below it. However, contracting officers are often prevented from obtaining uncertified cost data for the following reasons.

- The FAR enables sole-source providers and manufacturers of spare parts to avoid providing uncertified cost data, even when requested, because of the less stringent requirements for awarding small dollar value contracts and commercial item contracts.
- There is no specific requirement in the FAR or DFARS that requires or compels contractors to provide certified or uncertified cost data to the contracting officer when requested before the contract is awarded.
- Statutory and regulatory requirements discourage contracting officers from asking for uncertified cost data when determining whether a price is fair and reasonable.

The DoD OIG determined that for 112 contracts, TransDigm earned \$16.1 million in excess profit for 46 parts it sold to the DLA and the Army for \$26.2 million between January 2015 and January 2017. In addition, the DoD could continue paying excess profits on parts purchased from sole-source manufacturers and providers of spare parts if statutory and regulatory requirements continue to discourage contracting officers from requesting uncertified cost data and allow contractors to avoid providing uncertified cost data when requested.

Recommendation 4.a: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director examine the United States Code; Federal Acquisition Regulation; Defense Federal Acquisition Regulation Supplement; and the Defense Federal Acquisition Regulation Supplement Procedures, Guidance, and Information to determine whether changes are needed in the acquisition process of parts produced or provided from a sole source to ensure that contracting officers obtain uncertified cost data when requested and that the DoD receives full and fair value in return for its expenditures.

Recommendation 4.d: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director incorporate the requirements from the revised policy memorandum on “Access to Records with Exclusive Distributors/Dealers” into the Defense Federal Acquisition Regulation Supplement and the Defense Federal Acquisition Regulation Supplement Procedures, Guidance, and Information.

Recommendation 4.e: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director establish a team of functional experts to analyze data reported as a result of the revised and updated memorandum on “Access to Records with Exclusive Distributors/Dealers.” The team of functional experts would:

1. assess parts and contractors deemed to be at high risk for unreasonable pricing and identify trends, and
2. perform price analysis and cost analysis of high risk parts to identify lower cost alternatives or fair and reasonable pricing for future procurements.

Management Response to the Recommendations: The Defense Pricing and Contracting Acting Principal Director agreed with Recommendation 4.a, stating that this review has been accomplished as part of a comprehensive review of all commercial prescriptions and clauses in the Federal Acquisition Regulation and Defense Federal Acquisition Regulation Supplement, and Procedures, Guidance, and Information to implement recent legislation regarding commerciality and determination of price reasonableness.

The Acting Principal Director stated that the review identified no panacea to force uncooperative contractors to provide uncertified cost and pricing data and concluded that legislative changes would be required to provide partial protection from practices described in the report. He suggested that one legislative proposal would require Original Equipment Manufacturers to offer the DoD first right of refusal when selling licensing rights for a sole-source item.

The Defense Pricing and Contracting Acting Principal Director agreed with Recommendation 4.d, stating that he directed his staff to update the November 7, 2007, policy reform memorandum on “Access to Records with Exclusive Distributors/Dealers” and will direct his staff to amend the Defense Federal Acquisition Regulation Supplement, as appropriate, to reflect the revised memorandum. The Acting Principal Director also agreed with Recommendation 4.e, stating that he will recommend that the Under Secretary of Defense for Acquisition and Sustainment request that a group of experts from the Military Departments, Defense Logistics Agency, and the Defense Contract Management Agency identify and share information regarding contractors found to be high risk for unreasonable pricing and perform price and cost analysis on high-risk parts.

Implementation Status: The Defense Pricing and Contracting Acting Principal Director rescinded and replaced the 2007 memorandum with a new memorandum issued on March 22, 2019, titled, “Process and Reporting Requirements Pertaining to Contractor Denial of Contracting Officer Requests for Data Other than Certified Cost or Pricing Data.” The memorandum stated that Heads of Contracting Activities are required to report, quarterly, contractors who fail to comply with contracting officer’s request for cost data to support price reasonableness determination. The Defense Pricing and Contracting Acting Principal Director also created a standardized process and format for reporting the information required in the memorandum. The Defense Pricing and Contracting Acting Principal Director made progress in establishing a team of functional experts to conduct a review of information reported under the memorandum by requesting nominations for individuals from throughout the DoD to participate on the team. In addition to Recommendations 4.a, 4.d, and 4.e, this report contained six other recommendations related to seeking \$16.1 million in voluntary refunds from TransDigm for excess profits identified in the DoD OIG report, which TransDigm agreed to refund. Of the nine recommendations, two are closed, and seven are resolved but remain open.

Information Required to Close the Recommendations: The DoD OIG will close Recommendation 4.a once it receives documentation detailing the results of the examination of statutory and regulatory requirements. This review should include changes needed to the acquisition process of parts produced or provided from a sole source to ensure that contracting officers obtain uncertified cost data when requested and that the DoD receives full and fair value in return for its expenditures.

The DoD OIG will close Recommendation 4.d once it receives the amended Defense Federal Acquisition Regulation Supplement and verifies that it incorporates the expanded requirements of the Defense Pricing and Contracting policy memorandum, "Process and Reporting Requirements Pertaining to Contractor Denials of Contracting Officer Requests for Data Other than Certified Cost or Pricing Data," that was issued on March 22, 2019.

The DoD OIG will close Recommendation 4.e once it receives documentation that the team of functional experts has been established and is assessing parts and contractors deemed to be high risk for unreasonable pricing and performing price and cost analyses of high-risk parts to identify lower cost alternatives.

These recommendations have been open 1 month. This is the first year these recommendations have appeared on the Compendium's list of high-priority recommendations.

Why the Recommendations are Important: The DoD OIG considers the recommendations other than 4.a as an interim fix to help DoD acquisition officials cope with the holes in the statutory and regulatory acquisition guidance for sole-sourced spare parts. The adjustments to the "Access to Records with Exclusive Distributors/Dealers" policy memorandum should help the Defense Pricing and Contracting Principal Director identify at risk parts for unreasonable pricing and contractors that routinely deny uncertified cost data to contracting officers. The team of functional experts should be receiving the data obtained as a result of the new memorandum, which should result in the team identifying lower cost alternatives or fair and reasonable pricing for future procurements. However, a more permanent fix is needed in the statutory and regulatory guidance governing how cost data for sole-sourced spare parts is obtained. Without a change in legislation or regulation, the DoD could continue paying excess profits on parts purchased from sole-source manufacturers and providers of spare parts. Lowering the cost of future procurements of spare parts through both the interim and statutory and regulatory changes could result in significant savings to the DoD.

Report No. DODIG-2015-016, “Department of Defense Suicide Event Report Data Quality Assessment,” November 14, 2014

FY 2019 Management Challenges – Providing Comprehensive and Cost-Effective Health Care

Improving Readiness Throughout the DoD

Implementing DoD Reform Initiatives

Objective: The objective of this assessment was to determine why the Calendar Year (CY) 2011 Department of Defense Suicide Event Report (DoDSER) Annual Report had a high number of “don’t know/data unavailable” responses to questionnaire items.

Finding: The DoD OIG determined that the CY 2011 DoDSER did not consistently include highly relevant information from other sources, such as medical records, law enforcement investigations, or command investigations, that would provide a better understanding of the circumstances and stressors related to suicidal behavior. This occurred because DoD policy did not require a multidisciplinary approach to gathering data for the DoDSER submission.

The CY 2011 DoDSER had a high number of “don’t know/data unavailable” responses in numerous critical data fields, such as, “Did the decedent have a family history of mental illness?” and “Prior to the event, was the decedent seen by a Military Treatment Facility?” This occurred because individuals assigned to prepare the DoDSER lacked awareness of how or where to obtain that information. Additionally, Line of Duty Investigating Officers, investigative agencies, and suicide prevention offices, who were likely to have been the sources of information that was reported as “don’t know/data unavailable,” often failed to share this information with those preparing the DoDSER submission.⁵

Recommendation 2.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness publish guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoDSER submissions. For each suicide death, the board should:

- be a locally (command or installation level) chartered board with defined task, purpose, and outcome for each suicide death review;

⁵ A line of duty investigation is typically conducted in the event of the death of a service member to determine if the death occurred while the individual was in a duty status. The investigation is typically assigned to a service member as an additional duty. The line of duty investigating officer’s determination has an impact on the deceased service member’s survivor benefits received.

- include participation by unit leadership, medical and mental health organizations, and Military Criminal Investigative organizations; and
- articulate the requirement to appropriately share information (for example, medical and law enforcement reports) from ongoing investigations.

Recommendation 4.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness authorize senior commanders to produce unit/installation reports to better understand suicide trends, make informed local suicide prevention policy, and relate their trends to Service and DoD trends.

Management Response to the Recommendations: The Principal Deputy for the Under Secretary of Defense for Personnel and Readiness, responding for the USD(P&R), agreed with the recommendations, stating that it would draft guidance for coordination by April 2015.

Implementation Status: In March 2016, the USD(P&R) reported that it anticipated implementing the recommendation in fall 2016 with the publication of the DoD Instruction 6490.aa, "Defense Suicide Prevention Program." On February 2, 2017, the Instruction was in formal coordination, with anticipated completion in spring 2017. The Acting Director of the Defense Suicide Prevention Office responded to our followup inquiry on February 26, 2018, and stated that DoD Instruction 6490.16 (under a new number) was published on November 6, 2017. He further stated that language to support the recommendations are included in sections 2.16 and 2.18, which assigns the responsibilities to the Secretaries of the Military Departments and Military Service Chiefs, respectively. However, the DoD OIG determined that these sections did not provide guidance requiring that suicide event boards take a multidisciplinary approach to obtain data for DoDSER submissions. In addition, the Instruction did not provide guidance or authorize senior commanders to produce unit or installation reports to better understand suicide trends, make informal local suicide prevention policy, and relate their trends to Service and DoD levels. On April 20, 2018, the DoD OIG notified the USD(P&R) that DoDI 6490.16 was deficient and requested that USD(P&R) provide corrective actions taken to address the deficiency in DoDI 6490.16. On January 3, 2019, the DoD OIG followed up with USD(P&R) personnel, who stated that they were working on a response. As of March 31, 2019, the DoD OIG has not received an updated response from the USD(P&R). In addition to Recommendations 2.a and 4.a, this report contained 17 other recommendations related to the DoDSER submission process, data quality, and data sharing. Of the 19 recommendations in the report, 10 are closed and 9 are resolved but remain open.

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Information Required to Close the Recommendations: The DoD OIG will close Recommendations 2.a and 4.a after we verify that the USD(P&R) has taken action to revise DoD Instruction 6490.16 to require that suicide event boards take a multidisciplinary approach to obtain data for DoDSER submissions and allow senior commanders to produce unit and installation reports to better understand suicide trends, make informal local suicide prevention policy, and relate their trends to Service and DoD levels, and the DoD OIG has verified that the actions meet the intent of the recommendations. These recommendations have been open 4 years and 5 months. This is the third consecutive year that recommendation 2.a has appeared on the Compendium’s list of high-priority recommendations. However, Recommendation 4.a was added to this year’s Compendium’s list of high-priority recommendations.

Why the Recommendations are Important: DoDSER accuracy and completeness suffered from a high number of “don’t know/data unavailable” responses because DoDSER submissions did not reflect information obtained during Service suicide prevention lessons-learned processes. Without a comprehensive and complete DoDSER submission, the DoD will have difficulty conducting accurate trend or causal analysis necessary for developing effective suicide prevention policy and programs to reduce suicide rates across the force. Additionally, having an enhanced understanding of suicide risk factors would better inform DoD policy makers and could ultimately improve Service suicide prevention programs.

Two Army soldiers ready to “Reach Out A Hand” as part of a suicide prevention campaign.

Source: U.S. Army.



Report No. DODIG-2016-026, "Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions," November 24, 2015 (Full Report is Classified)

FY 2019 Management Challenge – Improving Cyber Security and Cyber Capabilities
Implementing DoD Reform Initiatives

Objective: The objective of this audit was to determine whether Cyber Mission Force (CMF) teams had adequate facilities, equipment, and capabilities (cyber tools and programs) to effectively perform their missions.

- (FOUO)** [REDACTED]
1. **(FOUO)** [REDACTED]
 2. **(FOUO)** [REDACTED]
 3. **(FOUO)** [REDACTED]

(FOUO) Finding: [REDACTED]

Recommendation 1: The DoD OIG recommended that the Commander for U.S. Cyber Command, the U.S. Army and U.S. Air Force Chiefs of Staff, the Chief of Naval Operations, and the Commandant of the Marine Corps develop a doctrine, organization, training, material, leadership and education, personnel, facilities, and policy framework (DOTMLPF-P) that addresses strategies to build, grow, and sustain the Cyber Mission Force.

The DOTMLPF-P is a process for developing a framework to determine the acceptability, suitability, and feasibility of a proposed force design change and capability solution as part of the Joint Capabilities Integration and Development System.⁶

(FOUO) Management Response to the Recommendation: [REDACTED]

[REDACTED]

(FOUO) Implementation Status: In October 2018, the Army provided a classified update detailing corrective actions taken to implement the recommendation. In addition, the Navy and Air Force provided classified documentation describing their frameworks for sustaining the CMF. Based on the documentation provided by the Army, Navy, and Air Force, we closed Recommendation 1 for those Services. The Marine Corps also provided a classified update; however, the actions taken were not sufficient to close the recommendation. Although the DoD OIG informed the Marine Corps in October 2018 of the additional documentation required to close this recommendation, the Marine Corps has not provided an additional update. As of March 31, 2019, we also have not received a status update on corrective actions taken by U.S. Cyber Command to address Recommendation 1. In addition to Recommendation 1, this report contained 11 other recommendations related to developing cyber capabilities across the CMF, developing a baseline of defensive capabilities needed by Cyber Protection Teams, and providing Army-fielded Cyber Protection Teams [REDACTED]

[REDACTED] Of the 12 recommendations, 6 are closed and 6 are resolved but remain open.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation 1 after we receive documentation showing that the Commander for U.S. Cyber Command and the Commandant of the Marine Corps have developed DOTMLPF-Ps that address building, growing, and sustaining the CMF. This recommendation has been open 3 years and 4 months. This is the third consecutive year the recommendation has appeared on the Compendium's list of high-priority recommendations.

⁶ The Joint Capabilities Integration and Development System supports the Chairman of the Joint Chiefs of Staff in identifying, assessing, validating, and prioritizing joint military capability requirements.

(FOUO) Why the Recommendation is Important: [REDACTED]

[REDACTED] According to the Commander for U.S. Cyber Command, the CMF is essential to achieving the objectives of the DoD Cyber Strategy. The DOTMLPF-P framework identifies capability gaps and the resources needed to execute and sustain new operational or mission requirements. The DoD began building the CMF in late 2012 to meet new DoD cyberspace mission requirements directed by the President. [REDACTED]

[REDACTED]



Army soldier inspects network equipment for potential vulnerabilities.

Source: U.S. Army.

Report No. DODIG-2014-101, “Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight,” August 13, 2014

FY 2019 Management Challenges – Acquisition and Contract Management:

Ensuring that the DoD Gets What It Pays For On Time, at a Fair Price, and With the Right Capabilities

Providing Comprehensive and Cost Effective Health Care

Objective: The objective of this audit was to determine whether the Military Services and selected military medical treatment facilities were effectively managing medical service accounts (MSAs) at Brooke Army Medical Center (BAMC) that were more than 180 days delinquent by transferring the debt to the appropriate debt collection agency or actively pursuing collection.

The Army military treatment facility Uniform Business Office (UBO) is responsible for billing and collecting funds for medical and dental services from Uniformed Services beneficiaries, civilian emergency patients, and other patients who are authorized to receive treatment in a military treatment facility. According to DoD Regulation 7000.14-R, “DoD Financial Management Regulation” (DoD FMR), volume 16, “Department of Defense Debt Management,” January 2016, DoD Components must take prompt and aggressive action to recover and collect debts owed to the DoD and must continue to propose followup actions, as necessary, to ensure debts are collected.

Finding: The DoD OIG determined that BAMC UBO management did not effectively manage delinquent MSAs. As of May 29, 2013, there were 15,106 MSAs at BAMC, valued at \$73.1 million, that were delinquent more than 180 days and were not transferred to the U.S. Treasury for collection. The DoD OIG reviewed 25 of the highest dollar MSAs, valued at \$11 million, and found that the BAMC UBO did not transfer 24 of those accounts, valued at \$10.4 million, to the U.S. Treasury for collection after the account was 180 days delinquent.⁷ In addition, BAMC UBO management did not pursue collection for 20 of the delinquent MSAs, valued at \$8.8 million. The large number of delinquent MSAs, including the sample items reviewed, existed because BAMC UBO management did not have a system in place to monitor the delinquent MSAs, prioritize the aging accounts, and notify staff of the MSAs requiring followup.

⁷ BAMC did not pursue one MSA because it was part of an ongoing court case.

As a result, BAMC UBO missed opportunities to collect approximately \$10.4 million in delinquent payments due on 24 of the 25 highest dollar delinquent MSAs. These are funds that could be applied to administrative, operating, and equipment costs; readiness training; or trauma consortium activities. Unless BAMC UBO management takes prompt and aggressive actions to pursue collection of the delinquent debt among the MSAs the DoD OIG reviewed, including the \$62.5 million for the thousands of additional delinquent MSAs that the DoD OIG did not specifically review, and makes improvements to its collection process, they will continue to incur rising delinquent balances for future MSAs and miss opportunities to put these funds to better use.

Recommendation 1.b: The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.

Management Response to the Recommendation: The U.S. Army Medical Command (MEDCOM) Chief of Staff, responding for the BAMC Commander, agreed with the recommendation, stating that the BAMC UBO reassigned two MSA clerks to work exclusively on aged accounts to process and transfer them to the U.S. Treasury within 18 months. The Chief of Staff also stated that the BAMC UBO is working to hire additional MSA clerks to mitigate current claims from aging into the 180-day backlog. BAMC planned to complete processing and transferring the backlog by January 1, 2016. The MEDCOM Chief of Staff also expressed concern that, to transfer debt for patients requesting debt compromise, which is the acceptance of a lesser amount of money as full settlement of the patient's debt, the Treasury required a financial assessment before the debt could be transferred to the Treasury. However, according to the Chief of Staff, BAMC UBO did not have the staff or expertise to conduct these financial assessments. The Chief of Staff is seeking additional guidance from the Office of the Secretary of the Army on how to proceed with these claims.

Implementation Status: Although MEDCOM provided additional staff to assist, the BAMC UBO did not process and transfer all of the delinquent MSA backlog by January 1, 2016. On February 6, 2018, BAMC UBO personnel stated that they were taking action to improve processes and were working to close the open delinquent MSAs; however, UBO personnel stated they were not tracking the status of the over 15,000 delinquent MSAs identified at the time of the report.

The DoD OIG performed a followup audit to determine whether the Office of the Under Secretary of Defense (Health Affairs), the Defense Health Agency (DHA), and the Services implemented actions to correct problems identified in six prior DoD OIG reports related to the collection of outstanding balances of MSAs for patients authorized to receive care in military medical treatment facilities and summarize systemic issues regarding delinquent MSAs.⁸ The DoD OIG followup audit determined that, although the medical treatment facilities improved the billing process for MSA accounts with the implementation of the Armed Forces Billing and Collection Utilization Solution (ABACUS) and corrective actions from prior audit recommendations, additional improvements are needed to review and pursue collections on all open and delinquent accounts.⁹ Specifically, the Services did not review and pursue collections for 27,149 open delinquent accounts, valued at \$77.7 million (which includes 15,106 MSAs at BAMC, valued at \$73.1 million), identified in Report Nos. DODIG-2014-101 and DODIG-2016-079. This occurred because UBO management for all Services did not implement the proposed corrective actions for all recommendations made in the prior audit reports, including pursuing the collection of \$73.1 million in delinquent accounts identified in our previous report.

In their response to the recommendations made in the followup audit report, the DHA Deputy Director stated that the DHA UBO will coordinate with BAMC, through MEDCOM management, to develop a plan to review and process the delinquent debt.

Between July 2013 and January 2017, the DoD OIG conducted eight audits addressing oversight and management of MSAs by the Office of the Under Secretary of Defense, the Military Services, and selected military medical treatment facilities. Those audits resulted in eight reports that made a total of 78 recommendations. Of those recommendations, 48 recommendations were closed, 24 are resolved and open, and 6 remain unresolved.

⁸ Report No. DODIG-2019-038, "Followup of Delinquent Medical Service Account Audits," December 19, 2018.

⁹ ABACUS replaced the billing function in the Composite Health Care System in September 2015. ABACUS allows medical treatment facilities to manage billing and collection activities for the Uniform Business Offices' cost recovery programs. ABACUS provides electronic billing, interagency invoicing, and visibility into medical cost recovery activities, including delinquent debt.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation 1.b when BAMC UBO personnel provide us evidence that they have researched, reviewed, and pursued collection on all 15,106 delinquent MSAs, valued at \$73.1 million, that were open at the time of the audit. This recommendation has been open 4 years and 7 months. This is the second consecutive year this recommendation has appeared on the Compendium's list of high-priority recommendations.

Why the Recommendation is Important: MEDCOM could potentially recover \$73.1 million in delinquent payments and, if these processes are not fixed, the backlog will continue to grow and additional funds will go uncollected.



Ophthalmology resident preps a patient for cataract surgery at Brooke Army Medical Center, Fort Sam Houston, Texas.

Source: U.S. Army.

Report No. DODIG-2015-114, “Navy Officials Did Not Consistently Comply With Requirements for Assessing Contractor Performance,” May 1, 2015

FY 2019 Management Challenge – Acquisition and Contract Management:
Ensuring that the DoD Gets What It Pays For On Time, at a Fair Price,
and With the Right Capabilities

Objective: The objective of this audit was to determine whether Navy officials completed comprehensive and timely contractor performance assessment reports (PARs).

Finding: The DoD OIG determined that Navy officials did not consistently comply with requirements for evaluating past contractor performance when registering contracts and preparing PARs. The purpose of a PAR is to provide source selection officials with information on contractor past performance. Navy officials stated that not registering the contracts was an error. As a result, U.S. Government source selection officials did not have access to timely, accurate, and complete contractor performance assessment information needed to make informed decisions related to future contract awards or other acquisition matters.

The DoD OIG previously reported similar issues related to PARs and made recommendations regarding timeliness. For example, Under Secretary of Defense for Acquisition, Technology, and Logistics memorandum, “Past Performance Assessment Reporting,” January 9, 2009, issued in response to DoD OIG Report No. D-2008-057, “Contractor Past Performance Information,” February 29, 2008, requires DoD officials to register contracts that meet reporting thresholds and prepare PARs for contracts requiring assessments within 120 days of the end of the evaluation period.

Recommendation 4.a: The DoD OIG recommended that the Commanders of the Naval Air Systems Command and the Naval Sea Systems Command and the Naval Supply Systems Command Commanding Officer, Fleet Logistics Center Norfolk, develop and implement procedures that require the preparation of performance assessment reports that meet the 120-day requirement in the Under Secretary of Defense for Acquisition, Technology, and Logistics policy.

Management Response to the Recommendation: The Naval Sea Systems Command (NAVSEA) Commander agreed with the recommendation, stating that Contractor Performance Assessment Reporting System (CPARS) procedures promulgated under NAVSEA Instruction 4355.8A, "Contractor Performance Assessment Reporting System," October 7, 2008, would be updated to include the 120-day CPARS reporting requirement.

Implementation Status: In August 2016, the NAVSEA Commander responded to our followup inquiry memo, stating that SEA 06M (Acquisition and Commonality Directorate) was drafting a policy memorandum for SEA 00/00B (NAVSEA Executive Director) issuance that would state that NAVSEA business units shall complete CPARS within 120 days of the end of the contract performance period. On March 3, 2018, NAVSEA IG's Office stated that it evaluated procedures and timelines and updated training available at the Federal level and determined that they are sufficient for timely and accurate completion of contract past performance evaluations; therefore, a revision to NAVSEA Instruction 4355.8A was no longer necessary. In addition, NAVSEA stated that NAVSEA Instruction 4200.17F had been updated to assign Contracting Officer's Representatives (CORs) the responsibility for completing CPARS for assigned contracts.

The DoD OIG notified NAVSEA in April 2018 that these actions were insufficient because they did not address the 120-day CPARS reporting requirement or provide evidence that NAVSEA was consistently complying with requirements for evaluating contractor past performance when registering contracts and preparing PARs. Currently, the policy allows 60 days for the contractor to review the assessment. Therefore, DoD officials have 60 days from the end of a period of performance to prepare, review, and send the assessment to the contractor. However, the assessments typically are not completed within the required timeframe. Therefore, the DoD OIG recommendation is to establish specific timeframes within the 60 days (of the overall 120) for the Approving Official Representative (AOR) to complete the assessment and the Approving Official to review the AOR's write-up and make changes and any other agency reviews before it needs to be sent to the contractor. The current policy does not state how or when the AORs and AOs should coordinate within that 60-day window.

In January 2019, the DoD OIG requested a status update. NAVSEA IG's office requested an extension to March 15, 2019, and indicated that it was working on a response; however, as of March 31, 2019, the DoD OIG has not received NAVSEA's response. In addition to Recommendation 4.a, this report contained 10 other recommendations relating to contract registration and PAR procedures. Of the 11 recommendations, 6 are closed and 5 are resolved but remain open.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation 4.a once we verify that the NAVSEA policy memorandum includes the 120-day CPARS reporting requirement and NAVSEA has provided evidence that it is consistently implementing procedures that require the preparation of CPARS within the 120-day reporting requirement. This recommendation has been open 3 years and 11 months. This is the second consecutive year this recommendation has appeared on the Compendium's list of high-priority recommendations.

Why the Recommendation is Important: Contracting officials need to have current information on the performance of contractors. U.S. Government source selection officials need access to timely, accurate, and complete contractor performance assessment information so that they can make informed decisions when considering prospective contractors for the contract award. Without this information, source selection officials throughout the U.S. Government risk selecting contractors for future contracts that are not capable of performing the work or have a history of underperforming. By having this information up front, contracting officials will be better informed and less likely to select a contractor that has been unresponsive or unreliable in the past.

CHAPTER 1

Report No. DODIG-2018-018, “Implementation of the DoD Leahy Law Regarding Allegations of Child Sexual Abuse by Members of the Afghan National Defense and Security Forces,” November 16, 2017

FY 2019 Management Challenge – Countering Global Terrorism

Objective: The objective of this assessment was to evaluate the implementation of the DoD Leahy Law regarding child sexual abuse as it applies to DoD interaction with, and support and funding of, the Afghan Security Ministries and the Afghan National Defense and Security Forces (ANDSF).

The term “Leahy Law” refers to two statutory provisions prohibiting the U.S. Government from using funds for assistance to units of foreign security forces that have committed Gross Violations of Human Rights (GVHR).¹⁰ According to the Foreign Assistance Act of 1961, as amended, a “gross violation of human rights” includes torture or cruel, inhuman, or degrading treatment or punishment; prolonged detention without charges and trial; causing the disappearance of persons by the abduction and clandestine detention of those persons; and other flagrant denial of the right to life, liberty, or the security of a person. Section 362, title 10, United States Code, which the DoD OIG referred to as the “DoD Leahy Law,” provides that no funds made available to the DoD may be used for any training, equipment, or other assistance for a unit of a foreign security force if the Secretary of Defense has credible information that the unit has committed a gross violation of human rights.

Finding: The DoD OIG determined that the Office of the Under Secretary of Defense for Policy (OUSD[P]) did not have standardized guidance or a process for determining whether information supporting GVHR allegations were credible. This occurred because:

- the phrase “credible information” was not defined as it applies to the DoD Leahy Law;
- there was no DoD or OUSD(P) guidance for determining whether credible information existed; and
- the OUSD(P) did not require or maintain any documentation pertaining to whether or how information was determined to be credible.

¹⁰ The limitations on the use of DoD funds is codified in title 10, section 362, United States Code. The limitation on assistance to security forces is codified in title 22, section 2378d, United States Code.

As a result, the issues identified created the risk of inconsistent credibility determinations that, in the absence of clearly articulated guidance, could adversely affect the DoD's ability to comply with the DoD Leahy Law.

Recommendation B.2: The DoD OIG recommended that the Secretary of Defense establish a specific process by which DoD Leahy Law credible information determinations are made.

Recommendation B.3: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia establish and implement a records management policy for all alleged gross violations of human rights in Afghanistan. Specifically, this policy should require the Deputy Assistant to maintain documentation sufficient to identify how and why credible information determinations were made and to clearly identify what credibility determinations were made in each case.

Management Response to the Recommendations: The Assistant Secretary of Defense for International Security Affairs, performing the duties of the Under Secretary of Defense for Policy, responding for the Secretary of Defense, agreed with Recommendation B.2. He stated that the Under Secretary of Defense for Policy was directed to develop and implement detailed procedures on GVHR reporting within the DoD and that those procedures are addressed in a draft of DoD Instruction 2110.A, "Implementation of DoD Leahy Law Restrictions on Assistance to Foreign Security Forces," which was undergoing interagency review. He also stated that the definition of "credible information" would be adapted from the Department of State Leahy Vetting Guide and included in DoD Instruction 2110.A. He further stated that the DoD would develop a checklist outlining a specific process by which GVHR credible information determinations were made in Afghanistan. He also stated that the Office of the Deputy Assistant Secretary of Defense for Stability and Humanitarian Affairs would issue a clarification memorandum on the application of the DoD Leahy Law in Afghanistan that would include the checklist for the GVHR credibility determination process.

In response to Recommendation B.3, the Assistant Secretary of Defense for International Security Affairs, performing the duties of the Under Secretary of Defense for Policy, stated that the USD(P) agreed with Recommendation B.3 and that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia had created and launched a central database accessible to all stakeholders in July 2017 to record allegations of GVHR by ANDSF and document the credibility determinations for each report.

Implementation Status: On January 8, 2019, the DoD OIG issued a followup inquiry to the Office of the Under Secretary of Defense for Policy to obtain a status update on corrective actions taken to address these recommendations. The OSD(P) responded that it was drafting an update to the Secretary of Defense guidance on the implementation of the Leahy Law in Afghanistan. OSD(P) also stated that it intended to finalize the guidance by February 15, 2019, to include a process chart for GVHR credibility determination. As of March 31, 2019, OSD(P) continues to work toward finalizing and implementing changes to the Leahy Law in Afghanistan. In addition to Recommendations B.2 and B.3, this report contained six other recommendations related to developing and implementing detailed procedures on gross violation of human rights reporting within the DoD, defining “credible information” as it applies to gross violation of human rights determinations and the DoD Leahy Law, and maintaining an official system to track gross violation of human rights information. All eight recommendations are resolved but remain open.

Information Required to Close the Recommendations: The DoD OIG will close Recommendation B.2 once the Office of the Deputy Assistant Secretary of Defense for Stability and Humanitarian Affairs issues a clarification memorandum on the application of the DoD Leahy Law in Afghanistan that includes the checklist for the GVHR credibility determination process. The DoD OIG will close Recommendation B.3 once the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia updates Secretary of Defense guidance on implementation of the Leahy Law in Afghanistan to include the records management policy. This recommendation has been open 1 year and 4 months. This is the second consecutive year these recommendations have appeared on the Compendium’s list of high-priority recommendations.

Why the Recommendations are Important: Implementing Recommendations B.2 and B.3 will help the DoD comply with legal requirements to withhold funding assistance when there are credible allegations of gross violations of human rights by Afghan National Defense and Security Forces, by having a process to determine whether allegations are credible and recording those credibility decisions. Furthermore, such withholding may influence behaviors of the ANDSF, as well as other foreign military and security force units that want U.S. assistance.

Report No. DODIG-2018-035, “Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations,” December 4, 2017

FY 2019 Management Challenge – Ensuring Ethical Conduct

Objective: The objective of this evaluation was to determine whether all Military Services Law Enforcement Organizations had submitted fingerprint cards and final disposition reports for service members convicted by court-martial of qualifying offenses, such as murder, rape, arson, and burglary, as required by DoD Instruction 5505.11, “Fingerprint Card and Final Disposition Report Submission Requirements.”

Finding: The DoD OIG determined that, from January 1, 2015, through December 31, 2016, the Military Services did not consistently submit fingerprint cards and final disposition reports to the Federal Bureau of Investigation (FBI) Criminal Justice Information Services (CJIS) as required by DoD Instruction 5505.11. Overall, of the 2,502 fingerprint cards required to be submitted to the FBI CJIS for inclusion in the FBI’s Next Generation Identification (NGI) database, 601 (24 percent) were not submitted. Of the 2,502 final disposition reports required to be submitted, 780 (31 percent) were not submitted.¹¹

Recommendation A.1.a: The DoD OIG recommended that the Secretary of the Army take prompt action to submit to the FBI Criminal Justice Information Service the 159 Criminal Investigation Command (CID) fingerprint cards and 264 CID final disposition reports that are not in the FBI NGI database.

Recommendation B.1.a: The DoD OIG recommended that the Secretary of the Army take prompt action to submit to the FBI Criminal Justice Information Service the 103 Installation Management Command fingerprint cards and 121 installation final disposition reports that are not in the FBI NGI database.

Recommendation C.1.a: The DoD OIG recommended that the Secretary of the Navy take prompt action to submit to the FBI Criminal Justice Information Service the 159 Naval Criminal Investigative Service (NCIS) fingerprint cards and 203 NCIS final disposition reports that are not in the FBI NGI database.

¹¹ The NGI database’s primary function is to provide the FBI with an automated fingerprint identification and criminal history reporting system. The FBI NGI database is a national computerized system for storing, comparing, and exchanging fingerprint data and criminal history information.

Recommendation D.1.a: The DoD OIG recommended that the Secretary of the Navy take prompt action to submit to the FBI Criminal Justice Information Service the 38 Navy Security Forces fingerprint cards and 40 Navy Security Forces final disposition reports that are not in the FBI NGI database.

Recommendation G.1.a: The DoD OIG recommended that the Secretary of the Navy and the Commandant of the Marine Corps take prompt action to submit to the FBI Criminal Justice Information Service the 37 fingerprint cards and 46 final disposition reports of the Marine Corps that are not on file in the FBI NGI database.

Management Response to the Recommendations: The Principal Deputy Assistant Secretary of the Army (Manpower and Reserve Affairs), performing duties for the Assistant Secretary of the Army (Manpower and Reserve Affairs), stated that the Office of the Provost Marshal General, CID, and Installation Management Command reviewed the findings and recommendations and consolidated their response. The Army agreed with recommendations A.1.a and B.1.a. Additionally, the Army indicated that it was actively reviewing data and submitting final disposition reports as quickly as possible and that the Office of the Provost Marshal General was working with affected Army commands to identify and address resourcing needs for submission of automated fingerprint cards through LiveScan technology, which is an electronic means of capturing fingerprints in a digitized format and transmitting them to the FBI.

The NCIS Deputy Director stated that NCIS agreed with Recommendation C.1.a. According to the Deputy Director, NCIS had implemented corrective actions to correct previous fingerprint submission deficiencies and to prevent further issues with submissions, including developing a “Fingerprint Verification Plan.” The Deputy Director also stated that this plan included a field office review of open cases and closed case files within the last 12 months to verify submission of fingerprint cards and an NCIS validation of the results. The Deputy Director further stated that the NCIS Headquarters, Criminal Investigation Directorate, would validate each field office submission and remit any cases requiring corrective action back to the field office for completion.

The Navy Installations Command (CNIC) Commander agreed with Recommendation D.1.a and stated that he would direct Navy Security Forces under NIC to promptly take action to submit fingerprint cards and final disposition reports that were not in the FBI CJIS database.

The Plans, Policies, and Operations Deputy Commandant, responding for the Marine Corps, stated that the Marine Corps agreed with Recommendation G.1.a. The Deputy Commandant stated that the Marine Corps was taking steps to implement the recommendation. This included tasking all installation Provost Marshal's Offices and CID offices to review all incident reporting and determine if the fingerprints of the suspect of the incident were taken and submitted to the FBI and if the disposition (command adjudication) was received from the command and submitted to the FBI. Additionally, he stated that all locations are to obtain a disposition for all suspects from the command or Staff Judge Advocate and provide those results to the FBI for the period of January 1, 2015, through October 31, 2017. The incidents referred to in this recommendation will be covered during this review.

Implementation Status: On March 11, 2019, the Air Force provided the DoD OIG with FBI criminal history reports known as "rap sheets," or a Transaction Control Number (an electronic "receipt" from the FBI CJIS Division), to demonstrate that it had submitted the missing fingerprints and disposition reports to the FBI, as required. Based on the information provided by the Air Force, the two recommendations to the Air Force regarding submission of missing Air Force Office of Special Investigations and Air Force Security Forces fingerprint cards and final disposition reports are closed. The Army, Navy, and Marine Corps continue to address this issue and provide updates on their progress in obtaining 100 percent of the fingerprint cards and disposition reports required.

In addition to Recommendations A.1.a, B.1.a, C.1.a, D.1.a and G.1.a, this report contained 57 other recommendations related to the Services performing a comprehensive review, dating back to 1998, to ensure that required fingerprint cards and disposition reports have been submitted to the FBI CJIS Division and that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (known as DNA) samples, have been submitted for inclusion in FBI databases. Of those 62 recommendations, 27 are closed and 35 are resolved but remain open. The DoD OIG is currently evaluating whether the DoD Components and law enforcement organizations complied with Federal law and DoD policy for submitting DoD criminal history record information to the FBI CJIS Division for inclusion in its criminal history databases. The DoD OIG is also evaluating whether the Services implemented corrective actions to address deficiencies related to reporting criminal history record information that were identified in previous DoD OIG reports.

Information Required to Close the Recommendations: The DoD OIG will close Recommendations A.1.a, B.1.a C.1.a, D.1.a, and G.1.a once the Army, Navy, and Marine Corps have submitted the missing fingerprint cards and final disposition reports to the FBI, as demonstrated with rap sheets or a Transaction Control Number. These recommendations have been open 1 year and 4 months. This is the second consecutive year these recommendations have appeared on the Compendium's list of high-priority recommendations.

Why the Recommendations are Important: The DoD OIG previously conducted two other evaluations, in 1997 and 2015, that found a significant number of missing fingerprint cards and final disposition reports throughout the DoD. In the 2017 evaluation, the DoD OIG again found a significant number of missing fingerprints cards and final disposition reports throughout the DoD. Although the Army, Navy, and Marine Corps reported progress in locating or collecting and then submitting fingerprints and final disposition reports to the FBI CJIS Division, they have not provided the DoD OIG the necessary documentation to demonstrate that all of the required information has been submitted. Any failure to populate FBI databases, even belatedly, with all the required fingerprint records can result in someone purchasing a weapon who should not legally be able to, as occurred in the November 2017 church shooting in Texas. This failure to populate FBI databases can also hinder criminal investigations and potentially impact law enforcement and national security interests if accurate and timely criminal history information is not available to local police officers and security clearance investigators.



Joint Light Tactical Vehicle (JLTV)

Source: U.S. Army.

Report No. DODIG-2018-113, “Army and Marine Corps Joint Light Tactical Vehicle,” May 2, 2018 (Full Report is FOUO)

FY 2019 Management Challenge – Acquisition and Contract Management:

Ensuring that the DoD Gets What It Pays For On Time, at a Fair Price, and With the Right Capabilities

Objective: The objective of this audit was to determine whether the Army and Marine Corps developed adequate test plans and demonstrated effective results to prepare the Joint Light Tactical Vehicle (JLTV) program for full-rate production.

The JLTV program is a joint Army and Marine Corps acquisition effort developed to provide the Army and Marine Corps with a general-purpose, light tactical vehicle that will deliver the optimal balance of protection, payload, and performance to enhance the effectiveness of ground combat and supporting forces. Full-rate production is a decision, following the completion of operational testing of representative initial production products, to scale up production, leading into production and deployment efforts.

(FOUO) Finding: The DoD OIG determined that the Army and Marine Corps developed adequate test plans for operational testing but had not demonstrated effective test results to prepare the JLTV program for full-rate production. Of the eight maintenance requirements that the DoD OIG reviewed that were related to vehicle performance, the following [REDACTED] were related to the [REDACTED].

- **Operational Availability** is the degree to which one can expect a piece of equipment or weapon system to work properly when required.
- **Mean Miles Between Operational Mission Failure** is the total operating miles divided by the total number of operational mission failures.
- **Mean Miles Between Hardware Mission Failure** is a subset of Mean Miles Between Operational Mission Failure that includes mission failures chargeable to contractor-furnished equipment and contractor technical and operator manuals.
- **Mean Time To Repair** is the sum of time to perform corrective maintenance divided by the total number of corrective maintenance actions during a given period of time.

(FOUO) Of these requirements, the JLTV exceeded the threshold and objective for [REDACTED] requirement, exceeded the threshold for [REDACTED] requirements, but did not meet the threshold for [REDACTED] requirement during testing.¹²

(FOUO) The requirements developer, the Marine Corps Assistant Deputy Commandant for Combat Development and Integration, acknowledged and accepted the JLTV test results. Consequently, the requirements developer did not change requirements and chose not to incorporate the [REDACTED] unmet maintenance-related vehicle performance requirements in the baseline vehicle to maintain program cost and schedule. Despite not meeting all maintenance-related performance requirements, the Joint Program Office estimates that JLTV operations and support costs will be reduced by \$8.3 billion due to better fuel usage and better reliability than expected.

(FOUO) In addition, the JLTV requirements developer did not clearly define vehicle [REDACTED] requirements; because of this, [REDACTED]

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

(FOUO) **Recommendation 1.b:** The DoD OIG recommended the Joint Program Office Project Manager Joint Light Tactical Vehicles, prior to fielding, equip all Joint Light Tactical Vehicles with an [REDACTED]

[REDACTED]

(FOUO) **Management Response to the Recommendation:** The Acting Program Executive Officer for Combat Support and Combat Service Support, responding for the Project Manager of the Joint Program Office, JLTV, agreed with the recommendation. Specifically, the Acting Program Executive Officer stated that the Joint Program Office, JLTV, remains committed to equipping all JLTVs with an [REDACTED] prior to the scheduled JLTV fielding, expected by the end of the second quarter FY 2019.

(FOUO) **Implementation Status:** On January 28, 2019, the Army's Program Executive Office Combat Support and Combat Service Support stated that they have implemented contractual requirements to ensure that all JLTVs will have [REDACTED] capability

¹² The objective is the desired operational goal associated with the performance requirement. The threshold is the minimum acceptable operational value. To meet the requirement, the JLTV needed to perform at the requirement's threshold.

(FOUO) prior to fielding and that the contractor is on schedule to meet this requirement with the first shipments by March 31, 2019. However, the contractual requirement for a [REDACTED] has not been approved by Army contracting officials. In addition, the proposed engineering change proposal requires the [REDACTED] to be retrofitted on vehicles that will be fielded in FY 2019 but does not mention the [REDACTED] requirement for vehicles that were fielded prior to FY 2019 or vehicles that will be fielded after FY 2019.

(FOUO) In addition to Recommendation 1.b, this report contained two other recommendations related to determining the additional costs required to integrate the selected [REDACTED] into the JLTVs and determining whether those costs are affordable and defining and addressing program requirements in future contracts supporting systems acquisition. Of the three recommendations, one is closed and two are resolved but remain open.

(FOUO) Information Required to Close the Recommendation: The DoD OIG will close Recommendation 1.b once we verify that the [REDACTED] is incorporated into the JLTV before fielding. This recommendation has been open 11 months. This is the first year this recommendation has appeared on the Compendium's list of high-priority recommendations.

(FOUO) Why the Recommendation is Important: The [REDACTED] [REDACTED]. The general purpose and utility configurations of the JLTV [REDACTED] [REDACTED]. [REDACTED]. During initial production, the [REDACTED] [REDACTED] to save costs, which [REDACTED] [REDACTED]. During testing, officials [REDACTED] [REDACTED]; however, the program office has not determined [REDACTED] [REDACTED].

(FOUO) In January 2019, [REDACTED] [REDACTED]. [REDACTED] [REDACTED] and [REDACTED] [REDACTED]. Therefore, program officials must develop [REDACTED] [REDACTED] and ensure that [REDACTED] [REDACTED].

Report No. DODIG-2019-062, “Audit of Management of Government-Owned Property Supporting the F-35 Program,” March 13, 2019

FY 2019 Management Challenges – Acquisition and Contract Management:

Ensuring that the DoD Gets What It Pays For On Time, at a Fair Price, and With the Right Capabilities

Financial Management: Implementing Timely and Effective Actions to Address Financial Management Weaknesses Identified During the First DoD-Wide Financial Statement Audit

Improving Readiness Throughout the DoD

Objective: The objective of this audit was to determine whether DoD personnel managed Government property supporting the F-35 Program in accordance with the Federal Acquisition Regulation, Defense Federal Acquisition Regulation Supplement, and DoD Instruction 5000.64 requirements for property accountability.

Finding: The DoD OIG found that DoD officials did not account for and manage F-35 Program Government property that was in the possession of government contractors, including recording the property in a Government accountable property system of record, as required. The only record of Government property for the F-35 Program is with the prime contractor and its subcontractor, which valued the 3.45 million pieces of property at \$2.1 billion. Specifically, F-35 Program officials did not:

- maintain a Government record of Government-Furnished Property (GFP);
- award contracts with complete GFP lists; and
- coordinate with Defense Contract Management Agency (DCMA) officials to execute contracting actions to transition Contractor-Acquired Property (CAP) to GFP as required.

Recommendation 2.c: The DoD OIG recommended that, before making a decision to begin full-rate production of the F-35, the F-35 Program Executive Officer, the component property lead, and accountable property officer reconcile all F-35 Program Government-furnished property by performing a complete inventory of delivered property and use the result of the inventory to establish a baseline property record in its accountable property system of record.¹³

¹³ Full-rate production is a decision, following the completion of operational testing of representative initial production products, to scale up production, leading to full deployment.

Recommendation 3.a: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, to reach an agreement on how to implement processes and procedures to transition F-35 Program contractor-acquired property to Government-furnished property from original contracts to subsequent contracts in accordance with the Defense Federal Acquisition Regulation Supplement before a decision to begin full-rate production of the F-35 is made.

Recommendation 3.b: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, to ensure contractor-acquired property procured on past contracts is transitioned to Government-furnished property on contracting actions as required by the Defense Federal Acquisition Regulation Supplement before a decision to begin full-rate production of the F-35 is made.

Recommendation 3.c: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, to, upon completion of Recommendation 3.a, ensure the required delivery of contractor-acquired property identified as special tooling or special test equipment for accountability and management purposes, as required by the Defense Federal Acquisition Regulation Supplement, before a decision to begin full-rate production of the F-35 is made.

Management Response to the Recommendations: The Assistant Secretary of Defense for Sustainment, responding for the Under Secretary of Defense for Acquisition and Sustainment and for the F-35 Program Executive Officer, agreed with our finding and recommendations. The Assistant Secretary agreed to perform a complete inventory of Government property but did not agree to complete the inventory before the full-rate production decision for the F-35. The Assistant Secretary stated that corrective actions are underway and will be completed by December 31, 2019, and that these actions will occur at the same time as the implementation of the F-35 Program accountable property system of record.

The Assistant Secretary also stated that the F-35 Program Office will work with the DCMA to ensure that CAP is delivered and transferred as GFP as required. Additionally, the Assistant Secretary stated that the F-35 Program Office will direct the contractor to produce an enterprise-wide property acceptance plan that will identify the most efficient methods for transitioning CAP to GFP on past contracts as well as transitioning special tooling and special test equipment currently identified as CAP to GFP.

Implementation Status: The Assistant Secretary stated that corrective actions were underway. In addition to Recommendations 2.c, 3.a, 3.b, and 3.c, this report contained six other recommendations related to reviewing the accounting and management actions of the F-35 Program Office for Government property and holding the necessary officials accountable, as appropriate, and establishing and implementing procedures for property officials to continuously input the data required by DoD Instruction 5000.64 in its accountable property system of record. All ten recommendations are resolved but remain open.

Information Required to Close the Recommendations: The DoD OIG will close these recommendations upon receipt of documentation that shows the completed baseline inventory and that the inventory is in the accountable property system of record with the understanding that the inventory may not be completed before a decision is made for full-rate production of the F-35; a copy of the agreement between the F-35 Program Office and the DCMA for how CAP will be transitioned to GFP; documentation showing that CAP was transitioned to GFP from past F-35 contracts; and documentation showing that special tooling and special test equipment currently identified as CAP was transitioned to GFP. These recommendations have been open 18 days. This is the first year the recommendations have appeared on the Compendium's list of high-priority recommendations.

Why the Recommendations are Important: For many years, the DoD has not implemented adequate procedures, or hold officials responsible, for accounting for and managing Government property for the F-35 Program. Specifically, F-35 Program officials did not: (1) maintain a Government record of GFP; (2) award contracts with complete GFP lists; and (3) coordinate with DCMA officials to execute contracting actions to transition CAP to GFP, as required. The DoD is required to use an accountable property system of record to account for and manage GFP. Although the F-35 Program Office

CHAPTER 1

obtained an accountable property system of record during our audit, the records in the system remained empty for more than a year. According to the F-35 Program Office, as of May 28, 2019, officials began inputting support equipment property into the accountable property system of record as a test case. However, the F-35 Program Office did not specify when officials would complete the test records or complete the records for all GFP. Therefore, as of May 28, 2019, the only record of Government property for the F-35 Program is with the contractor and its subcontractor, which valued the 3.45 million pieces of property located at its Fort Worth, Texas, location at \$2.1 billion.

As a result, the DoD does not have an independent record to verify the contractor-valued Government property of \$2.1 billion for the F-35 Program. Without accurate records, F-35 Program officials have no visibility over the property and have no metrics to hold the prime contractor accountable for how it manages Government property. The lack of asset visibility restricts the DoD's ability to conduct the necessary checks and balances that ensure the prime contractor is managing and spending F-35 Program funds in the Government's best interest and could impact the DoD's ability to meet its operational readiness goals for the F-35 aircraft. In addition, the lack of existence and completeness of DoD inventory (GFP) directly affects DoD financial statements. The lack of a DoD record of GFP for the F-35 Program results in an understatement of either the assets or expenses of DoD financial statements.



Status of 2018 High-Priority Open Recommendations

In our 2018 Compendium, we highlighted 25 recommendations for the DoD to prioritize. As of March 31, 2019, the DoD had implemented 7 of the 25 high-priority recommendations identified in the 2018 Compendium. Of the 25 high-priority recommendations in the 2018 Compendium, 11 are again highlighted in this Compendium. The 7 remaining recommendations that were highlighted in last year’s Compendium that are not highlighted in this year’s Compendium are still important and the DoD should continue to prioritize them. However, in this year’s Compendium we have highlighted additional areas that warrant the attention of the DoD. The table below provides a status on the 25 recommendations highlighted in the 2018 Compendium.

Table. Status of 2018 High-Priority Recommendations as of March 31, 2019

(FOUO) Status of 2018 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
1	Report No. DODIG-2014-073, “Northrop Grumman Improperly Charged Labor for the Counter Narco-Terrorism Technology Program,” May 13, 2014 (Full Report is For Official Use Only)	(FOUO) Recommendation 2: The DoD OIG recommended that the Army Contracting Command–Redstone Arsenal Executive Director review the eligibility of the [REDACTED] DynCorp International employees to determine if the employees met the labor qualifications specified in the contract and, if they did not, obtain a refund for improper labor charges or recoup from any currently owed payments due the contractor on contract W9113M-07-D-0007. Also, obtain resumes to verify that the 33 remaining employees meet the labor qualifications specified in the contract and, if they did not, obtain a refund for additional improper labor charges or recoup from any currently owed payments due the contractor on contract W9113M-07-D-0007.	(FOUO) This recommendation is resolved but remains open. Army Contracting Command-Redstone [REDACTED] Estimated completion date is June 30, 2019. This recommendation is not included in the 2019 listing of high-priority recommendations.

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Status of 2018 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
2	Report No. DODIG-2014-090, "Improvements Needed in the General Fund Enterprise Business System Budget-to-Report Business Process," July 2, 2014	<p>Recommendation 1.a: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) verify that the General Fund Enterprise Business System posting logic documentation is accurate and complete and use it to validate General Fund Enterprise Business System general ledger account postings.</p>	<p>This recommendation is resolved but remains open. The DoD OIG is awaiting the results of the Joint Interoperability Test Command's assessment of Global Combat Support System-Army's (GCSS-Army) compliance measures. Specifically, documentation of the results of the conformance testing of GCSS-Army's compliance with the most current Treasury and DoD guidance for account attributes, chart of accounts, and posting logic. No estimated completion date has been provided by the Army. A followup audit to determine the status of corrective actions was announced on May 13, 2019.</p> <p>This recommendation is not included in the 2019 listing of high-priority recommendations.</p>
3	Report No. DODIG-2014-101, "Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight," August 13, 2014	<p>Recommendation 1.b: The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.</p>	<p>This recommendation is resolved but remains open. The DoD OIG is working with DoD management to address concerns with open delinquent medical service accounts which MEDCOM corrective actions identified as no longer on the aged accounts receivable. Although DoD management is currently taking action to address the open delinquent medical service accounts of concern to the DoD OIG, no estimated completion date has been provided.</p> <p>This recommendation is included in the 2019 listing of high-priority recommendations.</p>

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Status of 2018 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
4	Report No. DODIG-2014-104, "Global Combat Support System-Army Did Not Comply With Treasury and DoD Financial Reporting Requirements," September 3, 2014	<p>Recommendation 1.a.i: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the DoD Deputy Chief Management Officer, comply with DoD Financial Management Regulation, volume 1, chapter 1, which requires his office to ensure system compliance with accounting policy, standards, and principles and internal control standards, and develop and implement processes to validate Global Combat Support System-Army (GCSS-A) by annually validating GCSS-A's timely compliance with Treasury and DoD guidance for: 1) account attributes, 2) chart of accounts, and 3) posting logic.</p>	This recommendation was closed on March 19, 2019, because the Army has processes for and continuously reviews postings within its financial systems, which includes GCSS-A posting logic and account attributes. In addition, the Army provided the guidance established to validate GCSS-A timely compliance with Treasury and DoD guidance for account attributes, chart of accounts, and posting logic.
5	Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014	<p>Recommendation 2.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness publish guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions.</p> <p>For each suicide death, the board should: 1) be a locally (command or installation level) chartered board with defined task, purpose, and outcome for each suicide death review; 2) include participation by unit leadership, medical and mental health organizations, and Military Criminal Investigative organizations; and 3) articulate the requirement to appropriately share information (for example, medical and law enforcement reports) from ongoing investigations.</p>	<p>This recommendation is resolved but remains open. The DoD OIG is awaiting revision to DoD Instruction 6490.16, "Defense Suicide Prevention Program," that specifically provides guidance requiring suicide event boards or a multidisciplinary approach to obtain data for DoDSER submissions. No estimated completion date has been provided.</p> <p>This recommendation is included in the 2019 listing of high-priority recommendations.</p>

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Status of 2018 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
6	Report No. DODIG-2015-114, "Navy Officials Did Not Consistently Comply With Requirements for Assessing Contractor Performance," May 1, 2015	<p>Recommendation 4.a: The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and the Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement procedures that require the preparation of performance assessment reports that meet the 120-day requirement in the Under Secretary of Defense for Acquisition, Technology, and Logistics policy.</p>	<p>This recommendation is resolved but remains open. The DoD OIG is awaiting documentation that the Navy addressed the 120-day requirement and evidence of the quantity of assessments over the last 5 years. No estimated completion date has been provided.</p> <p>This recommendation is included in the 2019 listing of high-priority recommendations.</p>
7	Report No. DODIG-2016-026, "Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions," November 24, 2015 (Full Report is Classified)	<p>Recommendation 1: The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps develop a doctrine, organization, training, materiel, leadership and education, personnel, facilities, and policy framework (DOTMLPF-P) that addresses the strategies to build, grow, and sustain the Cyber Mission Force. Chairman of the Joint Chiefs of Staff Instruction 3170.01H, "Joint Capabilities Integration and Development System," January 10, 2012, defines the DOTMLPF-P as a defined process to determine the acceptability, suitability, and feasibility of a proposed force design change. DOTMLPF-P is the framework for developing the design. Only after all shortfalls are addressed should senior leaders approve the new force design.</p>	<p>This recommendation is resolved but remains open. The DoD OIG is awaiting documentation that U.S. Cyber Command and the Marine Corps have developed a DOTMLPF-P that addresses building, growing, and sustaining the Cyber Mission Force. No estimated completion date has been provided.</p> <p>This recommendation is included in the 2019 listing of high-priority recommendations.</p>

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Status of 2018 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
8	Report No. DODIG-2016-072, "DoD Needs to Improve Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations," April 1, 2016 (Full Report is For Official Use Only)	Recommendation 2.a: The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force; U.S. Army Assistant Chief of Staff for Installation Management; and Commander of Navy Installations Command conduct a review of all general public tenants leasing privatized housing to ensure those tenants received a complete and adequate background check in accordance with service regulations.	This recommendation was closed on March 11, 2019, because the recommendation required the Military Departments to provide results of reviews performed using outdated guidance of general public tenants who may no longer be tenants. Therefore, the OIG made new recommendations in Report No. DODIG-2019-061 as a result of a followup audit to review background checks, using updated guidance related to conducting background checks.
9	Report No. DODIG-2016-072, "DoD Needs to Improve Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations," April 1, 2016 (Full Report is For Official Use Only)	Recommendation 2.b: The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force; U.S. Army Assistant Chief of Staff for Installation Management; and Commander of Navy Installations Command instruct security officials to conduct a badge review for general public tenants living inside the installations and re-issue badges as needed to ensure the badge expiration date aligns with the end of the tenants' lease terms.	This recommendation was closed on March 11, 2019, because the recommendation required the Military Departments to provide results of reviews performed using outdated guidance of general public tenants who may no longer be tenants. Therefore, the OIG made new recommendations in Report No. DODIG-2019-061 as a result of a followup audit to review access badge expiration dates for all general public tenants leasing privatized housing on military installations, using updated guidance related to issuing access badges.

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Status of 2018 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
10	Report No. DODIG-2016-080, "Army's Management of Gray Eagle Spare Parts Needs Improvement," April 29, 2016 (Full Report is For Official Use Only)	Recommendation A.1.b.ii: The DoD OIG recommended that the Unmanned Aircraft System Project Manager require that the Product Manager-Medium Altitude Endurance use inventory at DoD-fielded locations before purchasing additional spare parts from General Atomics on the performance-based logistics contract and production contracts and then conduct a cost-benefit analysis to determine whether it should dispose of the excess spare parts or keep the excess spare parts for future use and take the appropriate action.	This recommendation is resolved but remains open. The agreed-upon corrective actions have been completed by DoD management; however, the recovery of monetary benefits from those actions is still pending. The Product Manager-Medium Altitude Endurance conducted inventories at 6 of 18 scheduled DoD-fielded locations and identified excess materiel that was shipped to the contractor for disposal or future use. The estimated completion date for the Product Manager-Medium Altitude Endurance to conduct inventories at the remaining 12 DoD fielded locations is August 2019. The DoD OIG is awaiting the total amount of monetary benefits or realized cost savings from those actions before closing out this recommendation. This recommendation is not included in the 2019 listing of high-priority recommendations.
11	Report No. DODIG-2016-125, "Evaluation of DoD Nuclear Enterprise Governance," September 19, 2016 (Full Report is Classified)	Recommendation A.2.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics establish a two-tiered, senior-level governance structure to address nuclear weapon security requirements or include the requirements in an existing, senior-level organizational body.	This recommendation was closed on January 10, 2019, because the Under Secretary of Defense for Acquisition and Sustainment hosted the first Security and Incident Response Council and provided meeting minutes.

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Status of 2018 High-Priority Recommendations

	Report Number, Title, Publication Date	Recommendation Number and Text	Status
12	Report No. DODIG-2017-033, "Assessment of U.S. and Coalition Efforts to Train, Advise, Assist, and Equip the Kurdish Security Forces in Iraq," December 16, 2016	<p>Recommendation C: The DoD OIG recommended that the U.S. Central Command Commander in coordination with the 1st Theater Sustainment Command (1st TSC) Commander review distribution procedures to ensure all equipment items, including Iraq Train and Equip Fund-purchased and Coalition-donated, are tracked and monitored through the supply chain to ensure accountability throughout the distribution process.</p>	<p>This recommendation is resolved but remains open. The DoD OIG is awaiting documentation that the 1st Theater Sustainment Command developed an implementation plan for the Logistics Modernization Program that includes the tracking and monitoring of all the materiel flowing into U.S. Central Command area of responsibilities. This will ensure all equipment items, including equipment procured through the Iraq Train and Equip Fund, as well as that donated by other members of the Coalition, can be tracked and monitored through the supply chain, enhancing accountability throughout the distribution process. No estimated completion date has been provided.</p> <p>This recommendation is not included in the 2019 listing of high-priority recommendations.</p>
13	Report No. DODIG-2017-049, "Unclassified Report of Investigation on Allegations Relating to USCENTCOM Intelligence Products," January 31, 2017	<p>Recommendation 12: The DoD OIG recommended that the Defense Intelligence Agency (DIA) provide new Joint Intelligence Center U.S. Central Command personnel clear instructions on their direct support relationship with U.S. Central Command, including what analytical standards apply and who sets Joint Intelligence Center priorities and reporting requirements.</p>	<p>This recommendation is resolved but remains open. DIA made progress to implement this recommendation by initiating a process of conducting analyst career education courses. These courses would also emphasize that analysts understand their support relationship. The DoD OIG will close this recommendation once the DIA has provided documentation to support that 75 percent of their analysts completed the training. We anticipate this will be closed in third quarter, FY 2019.</p> <p>This recommendation is not included in the 2019 listing of high-priority recommendations.</p>

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Status of 2018 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
14	Report No. DODIG-2017-049, "Unclassified Report of Investigation on Allegations Relating to USCENTCOM Intelligence Products," January 31, 2017	Recommendation 17: The DoD OIG recommended that the Under Secretary of Defense for Intelligence should track and follow up on the Defense Intelligence Agency's training and certification of all Defense Intelligence Agency employees serving at U.S. Central Command.	This recommendation is resolved but remains open. DIA is making progress towards this goal. The DoD OIG will close this recommendation once the DIA has provided documentation to support that 75 percent of their analysts completed the training. We anticipate this will be closed in third quarter, FY 2019. This recommendation is not included in the 2019 listing of high-priority recommendations.
15	Report No. DODIG-2018-018, "Implementation of the DoD Leahy Law Regarding Allegations of Child Sexual Abuse by Members of the Afghan National Defense and Security Forces," November 16, 2017	Recommendation B.2: The DoD OIG recommended that the Secretary of Defense establish the specific process by which DoD Leahy Law credible information determinations are made.	This recommendation is resolved but remains open. The Office of the Under Secretary of Defense for Policy continues to work toward finalizing and implementing changes to the Leahy Law in Afghanistan. No estimated completion date has been provided. This recommendation is included in the 2019 listing of high-priority recommendations.
16	Report No. DODIG-2018-018, "Implementation of the DoD Leahy Law Regarding Allegations of Child Sexual Abuse by Members of the Afghan National Defense and Security Forces," November 16, 2017	Recommendation B.3: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia establish and implement a records management policy for all alleged gross violations of human rights in Afghanistan. Specifically, this policy should require the Deputy Assistant Secretary to maintain documentation sufficient to identify how and why credible information determinations were made and to clearly identify what credibility determinations were made in each case.	This recommendation is resolved but remains open. The Office of the Under Secretary of Defense for Policy continues to work toward finalizing and implementing changes to the Leahy Law in Afghanistan. No estimated completion date has been provided. This recommendation is included in the 2019 listing of high-priority recommendations.

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Status of 2018 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
17	Report No. DODIG-2018-020, "DoD Compliance With the Digital Accountability and Transparency Act of 2014," November 8, 2017	<p>Recommendation A.1.e: The DoD OIG recommended that the Senior Accountable Official responsible for the DoD's Digital Accountability and Transparency Act compliance work with the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics (Defense Procurement and Acquisition Policy) to update DoD guidance to be consistent with Office of Management and Budget and Treasury guidance.</p>	<p>This recommendation is resolved but remains open. OSD(C) continues to work with OMB and the Treasury to document Government-wide acceptable methods for determining the data used for certain data elements that have been identified for potential security concerns. No estimated completion date has been provided.</p> <p>This recommendation is not included in the 2019 listing of high-priority recommendations.</p>
18	Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017	<p>Recommendation A.1.a: The DoD OIG recommended that the Secretary of the Army take prompt action to submit to the Federal Bureau of Investigation (FBI) Criminal Justice Information Service (CJIS) the 159 Criminal Investigative Division (CID) fingerprint cards and 264 CID final dispositions reports that are not in the FBI Next Generation Identification (NGI) database.</p>	<p>This recommendation is resolved and remains open. The Army continues to work toward ensuring all fingerprint cards and final disposition reports are included in the FBI NGI database as appropriate. As of November 2018, the Army was working with CJIS to obtain background fingerprint cards for repurposing, which could take up to a year. No estimated completion date has been provided.</p> <p>This recommendation is included in the 2019 listing of high-priority recommendations.</p>

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Status of 2018 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
19	Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017	<p>Recommendation B.1.a: The DoD OIG recommended that the Secretary of the Army take prompt action to submit to the FBI CJIS the 103 Installation Management Command fingerprint cards and 121 installation final disposition reports that are not in the FBI NGI database.</p>	<p>This recommendation is resolved and remains open. The Army continues to work toward ensuring all fingerprint cards and final disposition reports are included in the FBI NGI database as appropriate. As of November 2018, Army IMCOM continued to work with CID to determine if any of the remaining missing fingerprints and final disposition reports could be obtained from other databases. Additionally, the Army is required to provide documentation to substantiate the missing fingerprints and that the seven missing disposition reports were submitted. If the remaining missing reports could not be obtained, then documentation to substantiate that the Army has exhausted all means available is required. No estimated completion date was provided.</p> <p>This recommendation is included in the 2019 listing of high-priority recommendations.</p>

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Status of 2018 High-Priority Recommendations

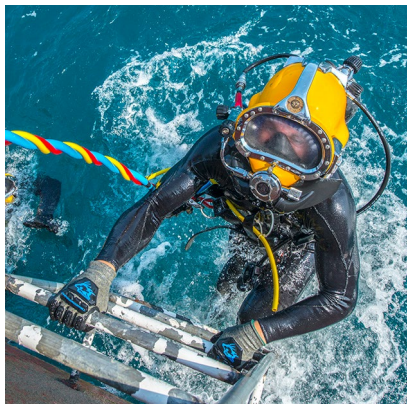
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
20	Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017	Recommendation C.1.a: The DoD OIG recommended that the Navy take prompt action to submit to the FBI CJIS the 159 Naval Criminal Investigative Service (NCIS) fingerprint cards and 203 NCIS final disposition reports that are not in the FBI NGI database.	This recommendation is resolved and remains open. The Navy continues to work toward ensuring all fingerprint cards and final disposition reports are included in the FBI NGI database as appropriate. As of August 2018, the Navy attempted to submit R-84s to FBI CJIS, which were rejected due to lack of information, primarily fingerprints. The Navy also attempted to obtain the fingerprints by submitting the information available to the FBI's NGI section which resulted in no additional information being received. No estimated completion date has been provided. This recommendation is included in the 2019 listing of high-priority recommendations.
21	Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017	Recommendation D.1.a: The DoD OIG recommended that the Navy take prompt action to submit to the FBI CJIS the 38 Navy Security Forces fingerprint cards and 40 Navy Security Forces final disposition reports that are not in the FBI NGI database.	This recommendation is resolved and remains open. The Navy continues to work toward ensuring all fingerprint cards and final disposition reports are included in the FBI NGI database as appropriate. As of August 2018, the Navy attempted to submit R-84s to FBI CJIS, which were rejected due to lack of information, primarily fingerprints. This recommendation is included in the 2019 listing of high-priority recommendations.
22	Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017	Recommendation E.1.a: The DoD OIG recommended that the Air Force take prompt action to submit to the FBI CJIS the 12 Air Force Office of Special Investigations (AFOSI) fingerprint cards and 13 AFOSI final disposition reports that are not in the FBI NGI database.	The Air Force provided documentation to substantiate the submission of all missing fingerprints and final disposition reports. The DoD OIG confirmed the actions and the recommendation was closed March 15, 2019.

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Status of 2018 High-Priority Recommendations			
	Report Number, Title, Publication Date	Recommendation Number and Text	Status
23	Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017	Recommendation G.1.a: The DoD OIG recommended that Secretary of the Navy and Commandant of the Marine Corps take prompt action to submit to the FBI CJIS the 37 fingerprint cards and 46 final disposition reports of the Marine Corps that are not on file in the FBI NGI database.	This recommendation is resolved and remains open. As of August 2018, the U.S. Marine Corps submitted documentation to substantiate that some, but not all, fingerprints and final disposition reports have been submitted to the FBI NGI database. 10 fingerprint cards were pending research with the Department of the Navy without an estimated completion date. This recommendation is included in the 2019 listing of high-priority recommendations.
24	Report No. DODIG-2018-061, "Report of Investigation: Defense POW/MIA Accounting Agency Interactions with Family Members of Corporal Joseph Hargrove, U.S. Marine Corps," January 22, 2018	Recommendation 4: The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency (DPAA), in conjunction with service casualty officers, implement a process to ensure questions and concerns from family members are adequately addressed and documented.	This recommendation was closed in December 27, 2018, because DPAA provided evidence (DPAA Sales Force Platform & Process Maps) that explained how the Salesforce platform ensures family questions and concerns are adequately addressed and documented.
25	Report No. DODIG-2018-061, "Report of Investigation: Defense POW/MIA Accounting Agency Interactions with Family Members of Corporal Joseph Hargrove, U.S. Marine Corps," January 22, 2018	Recommendation 7: The DoD OIG recommended that DPAA develop a process to ensure all material evidence believed to be personal effects belonging to a missing service member is analyzed in a timely manner and documented in appropriate reports. When appropriate, DPAA should proactively provide the information in these reports to the service casualty officer for dissemination to the family of the missing service member.	This recommendation was closed on December 27, 2018, because DPAA provided an updated copy of the DPAA Lab SOP 1.6, "General Casework Procedures" (November 29, 2018). Section 3.7.4 addressed the recommendation.

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CHAPTER 2



CHAPTER 2

Open Recommendations Containing Potential Monetary Benefits

DoD OIG reports also provide information on the estimated potential monetary benefits that can be achieved based on DoD management implementation of report recommendations. The DoD OIG staff calculates projected potential monetary benefits during the performance of an audit or evaluation and includes them as part of a recommendation when providing the report to DoD management for comments. However, reported potential monetary benefits do not always translate into an equal amount of recovered funds or savings upon further review by DoD management. This is because potential monetary benefits are estimates at a point in time based on available documentation. While potential monetary benefits may be remedied by the recovery of funds, they also may be remedied by (1) providing contemporaneous supporting documentation that was unavailable to the auditors at the time of the audit; (2) applying additional allowable costs to a project or program to offset the reported potential monetary benefit; or (3) providing a justification by a properly authorized DoD official, such as the Deputy Secretary of Defense, explaining why the recommendation containing the potential monetary benefit will not be implemented.

As of March 31, 2019, there were 41 open recommendations from 30 DoD OIG reports, with associated potential monetary benefits of \$4.8 billion. This chapter lists the 30 final reports, the 41 open recommendations, and the associated potential monetary benefits. The vast majority of the potential monetary benefits associated with the 41 open

recommendations resulted from reports related to acquisition and contract management. These recommendations affect the current and future reporting of Government assets and expenses for the F-35 Program in the DoD's financial statements, procurement of parts at fair and reasonable prices, and DoD compliance with acquisition regulations.

Potential monetary benefits can be classified as questioned costs or funds put to better use. Questioned costs are identified from an alleged violation of a law, regulation, contract, grant, cooperative agreement, or other agreements or documents governing the expenditures of funds or reimbursement of costs. Questioned costs may also be costs that were not supported by adequate documentation at the time of the DoD OIG review or unnecessary or unreasonable expenditure of funds for an intended purpose. For example, in Report No. DODIG-2018-119, the DoD OIG determined that DoD officials did not conduct sufficient voucher reviews for services provided under the Logistics Civil Augmentation Program (LOGCAP) IV contract in Afghanistan. Specifically, Army Contracting Command and Defense Contract Audit Agency (DCAA) officials did not adequately monitor all 128 LOGCAP IV vouchers submitted from 2015 to 2017 for questionable and potentially unallowable costs. As a result, the Army paid all 128 LOGCAP vouchers that the LOGCAP contractors submitted from 2015 to 2017, valued at \$2.4 billion, with little or no examination of the contractors' supporting documentation. The DoD OIG identified at least \$536 million of the \$2.4 billion billed on vouchers that were supported by questionable documentation that warranted further analysis. Specifically, the contractor provided supporting documentation for labor, employee travel, and employee bonuses that did not contain sufficient detail for the DoD OIG to determine how the contractor calculated costs. In addition, the DoD OIG identified a \$32 million voucher the contractor submitted for labor and expenses that did not include accounting transactions that supported the costs billed. Furthermore, the DoD OIG identified at least \$26 million in direct labor for employees who were not physically present in Afghanistan. The DoD OIG also identified \$422,825 in costs that, based on the description of the costs in contractor's accounting data, may not be allowable.

Funds put to better use are funds that could be used more efficiently if management takes action to implement and complete the recommendations in the report, such as reducing expenditures, de-obligating funds from programs or operations, implementing improvements to operations, or taking actions that will result in avoiding costs.

CHAPTER 2

For example, in Report No. DODIG-2018-108, the DoD OIG determined that the Defense Health Agency (DHA) overpaid for standard electric breast pumps and replacement parts for TRICARE beneficiaries in the three TRICARE regions (North, South, and West health service regions) in 2016. Specifically, the DHA overpaid for 54,006 of 59,241 breast pumps (91.2 percent) and 380,911 of 671,112 replacement parts (56.8 percent). This occurred because the DHA did not require contractors for the three TRICARE regions to use only suppliers that had fixed reimbursement rates for breast pumps and replacement parts. As a result, the DoD OIG calculated that the DHA overpaid \$16.2 million for standard electric breast pumps and replacement parts provided to TRICARE beneficiaries in all three TRICARE regions in 2016. If the DHA continues its current practice, and prices and volume stay the same, the DHA could overpay an additional \$81.2 million over the next 5 fiscal years (FYs 2019 through 2023). Implementing cost containment measures will ensure that the DHA pays reasonable prices for standard electric breast pumps and replacement parts.

Following the issuance of the 2018 Compendium, personnel from the DoD Components met with OIG personnel to ensure that appropriate actions were taken in response to the recommendations and that adequate documentation was provided to close recommendations, as appropriate. As a result of these actions, 12 of the 33 recommendations with the potential monetary benefits in the 2018 Compendium, totaling \$1 billion, have been closed. Achieved monetary benefits were \$145 million for these 12 recommendations.

Table. Open Recommendations Containing Potential Monetary Benefits as of March 31, 2019

(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2019			
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended
<p>DODIG-2019-062, Audit of Management of Government-Owned Property Supporting the F-35 Program</p> <p>Recommendation 2.c: The DoD OIG recommended that the F-35 Program Executive Officer, before the F-35 Program Office makes a decision to begin full-rate production of the F-35, ensure that the component property lead and accountable property officer reconcile all F-35 Program Government-furnished property by performing a complete inventory of delivered property and use the result of the inventory to establish a baseline property record in its accountable property system of record.</p>	\$2,087,515,481	Questioned Costs	3/13/2019
<p>DODIG-2013-100, Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan Improved, but Additional Actions are Needed</p> <p>Recommendation 1.a: The DoD OIG recommended that the Defense Logistics Agency Troop Support Commander initiate corrective actions to recover premium transportation fees and refund the Army after litigation is completed.</p>	\$631,700,000 ¹	Funds Put to Better Use	7/2/2013
<p>DODIG-2018-119, DoD Oversight of Logistics Civil Augmentation Program in Afghanistan Invoice Review and Payment</p> <p>Recommendation A.1: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director issue clarifying guidance establishing the contract administration office's responsibilities for monitoring contractor billings as a DoD best practice and update the Contingency Contracting Officer's Representative Handbook accordingly.</p>	\$594,000,000	Questioned Costs	5/11/2018

(FOUO)

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(FOUO)

Open Recommendations Containing Potential Monetary Benefits as of March 31, 2019

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended
<p>DODIG-2018-151, Military Sealift Command's Maintenance of Positioning Ships</p> <p>Recommendation 2.b: The DoD OIG recommended that the Military Sealift Command Contracting Office Director, in conjunction with the Prepositioning Program Management Office Program Manager, ensure that contracting officers appoint a qualified contracting officer's representative or contracting officer's technical representative to conduct regular surveillance of contractors at sea and during shipyard availabilities. Military Sealift Command should also ensure the contracting officer's representative or contracting officer's technical representative executes quality assurance using a quality assurance surveillance plan.</p>	\$544,743,015	Questioned Costs	9/24/2018
<p>DODIG-2014-077, Hotline Complaint Regarding the Settlement of the Pratt & Whitney Commercial Engine Cost Accounting Standards Case</p> <p>Recommendation F.5: The DoD OIG recommended that the Defense Contract Management Agency Director follow the procedures in Federal Acquisition Regulation clause 30.605, paragraphs (c) through (h), to correct the noncompliant cost accounting practice in a timely manner and ensure that (i) the cost accounting practice used by Pratt includes the actual cost of collaboration parts in the allocation base used to allocate material overhead costs to U.S. Government contracts in accordance with the rules and regulations established by the Cost Accounting Standards Board, and (ii) the U.S. Government recovers any increased costs paid to Pratt since 2005 and resulting from the contractor's use of a cost accounting practice determined by DCMA to be noncompliant with CAS 418 on June 5, 2006.</p>	\$210,968,414 ¹	Questioned Costs	5/30/2014
<p>DODIG-2019-047, Navy and Marine Corps Backup Aircraft and Depot Maintenance Float for Ground Combat and Tactical Vehicles</p> <p>Recommendation C.1: The DoD OIG recommended that the Marine Corps Installations and Logistics Deputy Commandant require Installations and Logistics officials to initiate and complete depot maintenance float allowance annual reviews and approve all depot maintenance float allowance authorization changes according to Marine Corps Order 5311.1E.</p>	\$103,000,000	Funds Put to Better Use	1/18/2019

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(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2019			
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended
<p>DODIG-2014-073, Northrop Grumman Improperly Charged Labor for the Counter Narco-Terrorism Technology Program (FOUO) Recommendation 2: The DoD OIG recommended that the Army Contracting Command–Redstone Executive Director review the eligibility of the [REDACTED] DynCorp International employees to determine if the employees met the labor qualifications specified in the contract and, if they did not, obtain a refund for improper labor charges or recoup from any currently owed payments due the contractor.</p>	\$101,445,000	Questioned Costs	5/13/2014
<p>DODIG-2018-108, TRICARE Payments for Standard Electric Breast Pumps and Replacement Parts Recommendation 1.a: The DoD OIG recommended that the Defense Health Agency Director use only suppliers that have entered into agreements that have fixed reimbursement rates to provide standard electric breast pumps and replacement parts throughout all TRICARE regions.</p>	\$81,200,000	Funds Put to Better Use	4/25/2018
<p>DODIG-2014-101, Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight Recommendation 1.b: The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.</p>	(FOUO) [REDACTED]	Funds Put to Better Use	8/13/2014
<p>DODIG-2018-110, Defense Contract Management Agency's Information Technology Contracts Recommendation A.1.a.1: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls to ensure Defense Contract Management Agency contracting officials develop contract performance work statements for service acquisitions that include performance requirements in terms of defined deliverables, contractor performance objectives and standards, and a quality assurance plan.</p>	\$57,296,830	Questioned Costs	4/25/2018

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2019

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended
<p>DODIG-2016-087, Air Force Civil Engineer Center Management of Energy Savings Performance Contracts Needs Improvement</p> <p>Recommendation 1.c: The DoD OIG recommended that the Air Force Civil Engineer Center Director validate Joint Base Charleston energy savings performance contract savings achieved for performance years 2 through 8 as statutorily mandated and recommend the contracting officer take appropriate contractual action, such as recovering unrealized guaranteed energy savings or buying out the remaining portion of the contract.</p>	\$48,951,000	Questioned Costs	5/4/2016
<p>DODIG-2017-045, Medical Service Accounts at U.S. Army Medical Command Need Additional Management Oversight</p> <p>Recommendation 1.a: The DoD OIG recommended that the Commander of U.S. Army Medical Command review the 21,742 medical service accounts that military treatment facility Uniform Business Offices determined were uncollectible to ensure that all collection efforts have been exhausted and to obtain approval from the proper authority to terminate the accounts that are uncollectible.</p>	\$40,212,000	Funds Put to Better Use	1/27/2017
<p>DODIG-2019-036, Defense Hotline Allegations Concerning the MQ-9 Block 5 Reaper Unmanned Aerial System</p> <p>Recommendation 1.b: The DoD OIG recommended that the Medium Altitude Unmanned Aerial Systems Division Senior Materiel Leader direct the MQ-9 Program Management Office to use the excess MQ-9 Block 5 aircraft spare parts before purchasing additional spare parts.</p>	\$30,900,000	Funds Put to Better Use	12/12/2018
<p>DODIG-2019-029, DoD Task Orders Issued Under One Acquisition Solution for Integrated Services Contracts</p> <p>Recommendation 2.a: The DoD OIG recommended that the Executive Director for Army Contracting Command, Redstone Arsenal, require the contracting officer to determine if the employees met the labor categories specified in task order W31P4Q-15-F-0007 and, if not, take appropriate corrective action, including the recovery of improper payments, such as recovering unrealized guaranteed energy savings or buying out the remaining portion of the contract.</p>	\$28,000,000	Questioned Costs	11/27/2018

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(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2019			
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended
<p>DODIG-2016-074, Army Contracting Officials Could Have Purchased Husky Mounted Detection System Spare Parts at Lower Prices</p> <p>Recommendation 2: The DoD OIG recommended that the Army Contracting Command–Aberdeen Proving Ground Executive Director determine and document whether it is appropriate to request a \$27 million voluntary refund from NIITEK, Inc. for sole-source Husky Mounted Detection System spare parts, in accordance with Defense Federal Acquisition Regulation Supplement Subpart 242.71, “Voluntary Refunds.”</p>	\$27,032,000	Questioned Costs	3/31/2016
<p>DODIG-2014-073, Northrop Grumman Improperly Charged Labor for the Counter Narco-Terrorism Technology Program</p> <p>Recommendation 3: The DoD OIG recommended that the Army Contracting Command–Redstone Executive Director conduct a review of the \$21.7 million in potentially excessive payments and recover improper payments or recoup from any currently owed payments due the contractor on contract W9113M-07-D-007 that were not already recovered through Recommendation 2.</p>	\$21,740,000	Questioned Costs	5/13/2014
<p>DODIG-2016-036, Management of Items in the Defense Logistic Agency’s Long-Term Storage Needs Improvement</p> <p>Recommendation B.2: The DoD OIG recommended that the Defense Logistics Agency Director determine why eligible long-term storage inventory items are not automatically recouped and correct those deficiencies in the Enterprise Business System.</p>	\$17,900,000	Questioned Costs	12/22/2015
<p>DODIG-2018-110, Defense Contract Management Agency's Information Technology Contracts</p> <p>Recommendation B.1.a.4: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors to ensure that the contracting officer or contracting officer's representative determines whether the contractor performed satisfactorily and ensure the work progressed according to the contract before approving invoices.</p>	\$17,096,393	Funds Put to Better Use	4/25/2018

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2019

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended
<p>DODIG-2012-135, Mi-17 Overhauls Had Significant Cost Overruns and Schedule Delays</p> <p>(FOUO) Recommendation A.4.a: The DoD OIG recommended that the Army Contracting Command–Redstone Contracting Officer responsible for task orders W9113M-07-D-0007-0021 and W9113M-07-D-0007-0035 make no payments on additional costs associated with the [REDACTED] requests for equitable adjustment until all costs have been determined to be reasonable, allowable, and allocable and the requisite analyses have been reviewed by the Head of the Contracting Activity.</p>	\$12,600,000	Funds Put to Better Use	9/27/2012
<p>DODIG-2019-060, Review of Parts Purchased From TransDigm Group, Inc.</p> <p>Recommendation 1.b: The DoD OIG recommended that the Defense Logistics Agency Director consider all available corrective actions with TransDigm, including, but not limited to, directing Defense Logistics Agency Land and Maritime contracting officers to seek a voluntary refund from TransDigm of 1) approximately \$0.4 million in excess profit for the one purchase that the contracting officer requested uncertified cost data for but TransDigm refused to provide, and 2) approximately \$11.1 million in excess profit for the 72 purchases that were identified as containing excess profit.</p>	\$11,521,375	Funds Put to Better Use	2/25/2019
<p>DODIG-2019-004, DoD Oversight of Bilateral Agreements With the Republic of the Philippines</p> <p>Recommendation 3.b: The DoD OIG recommended that the Commander of Marine Corps Forces, Pacific, input and track all Acquisition and Cross-Servicing Agreement transactions from October 1, 2016, to present, and all future transactions, including the 15 line items the United States Indo-Pacific Command identified, in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.</p>	\$7,288,225	Questioned Costs	11/2/2018

(FOUO)

(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2019			
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended
<p>DODIG-2018-050, Naval Facilities Engineering Command Administration of Selected Energy Savings Performance Contracts</p> <p>Recommendation B.1.a: The DoD OIG recommended that the Commander of Naval Facilities and Engineering Command direct the Naval Facilities Engineering Command Expeditionary Warfare Center contracting officer to validate contractor-proposed currency adjustments for the Commander Fleet Activities Yokosuka performance of September 1, 2008, through August 31, 2017 (performance years 1 through 9).</p>	\$6,700,000	Questioned Costs	12/19/2017
<p>DODIG-2013-123, Army Needs to Improve Mi-17 Overhaul Management and Contract Administration</p> <p>Recommendation A.2.a: The DoD OIG recommended that the U.S. Army Materiel Command Executive Deputy to the Commanding General direct contractual action to recoup up to \$6.2 million in questioned costs for advance payments to Science and Engineering Services plus applicable interest due in accordance with the Federal Acquisition Regulation.</p>	\$6,438,000	Questioned Costs	8/30/2013
<p>DODIG-2019-004, DoD Oversight of Bilateral Agreements With the Republic of the Philippines</p> <p>Recommendation 2.d: The DoD OIG recommended that the Pacific Air Forces Commander input and track all Acquisition and Cross-Servicing Agreement transactions from October 1, 2016, to present, and all future transactions, including the 57 line items the United States Indo-Pacific Command identified, in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.</p>	\$5,193,254	Questioned Costs	11/2/2018
<p>DODIG-2019-060, Review of Parts Purchased From TransDigm Group, Inc.</p> <p>Recommendation 1.a: The DoD OIG recommended that the Defense Logistics Agency Director consider all available corrective actions with TransDigm, including, but not limited to, directing Defense Logistics Agency Aviation contracting officers to seek a voluntary refund from TransDigm of 1) approximately \$2.0 million in excess profit for the 13 purchases that contracting officers requested uncertified cost data for but TransDigm refused to provide, and 2) approximately \$2.4 million in excess profit for the 23 purchases that were identified as containing excess profit.</p>	\$4,383,786	Funds Put to Better Use	2/25/2019

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2019

Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended
<p>DODIG-2016-079, Delinquent Medical Service Accounts at Landstuhl Regional Medical Center Need Additional Management Oversight</p> <p>Recommendation 1.a: The DoD OIG recommended that the Regional Health Command–Europe Commander review, research, and pursue collection on the delinquent medical service accounts that remain open.</p>	\$4,287,000	Funds Put to Better Use	4/28/2016
<p>DODIG-2019-038, Follow-up of Delinquent Medical Service Account Audits</p> <p>Recommendation A.3.a: The DoD OIG recommended that the Surgeon General of U.S. Navy Bureau of Medicine and Surgery require Naval Medical Center Portsmouth Uniform Business Office personnel to review and process the 18,898 billable accounts, valued at \$2.4 million, and determine whether all billable accounts are included in the medical treatment facility's daily reviews.</p>	\$2,400,000	Funds Put to Better Use	12/19/2018
<p>DODIG-2019-056, Accounting and Financial Reporting for the Military Housing Privatization Initiative</p> <p>Recommendation B.2: The DoD OIG recommended that the Assistant Secretary of the Army for Installations, Energy, and Environment, in coordination with the Assistant Secretary of Defense for Sustainment and any other necessary DoD organizations, rebalance the subsidy cost for the Fort Wainwright/Greely project loan guarantee after the next reestimate process, to include deobligating the \$1.8 million that the Army unnecessarily paid.</p>	\$1,800,000	Funds Put to Better Use	2/12/2019
<p>DODIG-2018-151, Military Sealift Command's Maintenance of Positioning Ships</p> <p>Recommendation 2.c: The DoD OIG recommended that the Military Sealift Command Contacting Office Director, in conjunction with the Positioning Program Management Office Program Manager, document and address future contractual deficiencies through formal, written coordination with the contractor, such as through Contract Deficiency Reports and Contractor Performance Assessment Reporting System ratings, as required by the Federal Acquisition Regulation.</p>	\$696,000	Funds Put to Better Use	9/24/2018

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Open Recommendations Containing Potential Monetary Benefits as of March 31, 2019			
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended
<p>D-2008-097, Hurricane Relief Effort Costs on the Navy Construction Capabilities Contract</p> <p>Recommendation B.1.c: The DoD OIG recommended that the Naval Facilities Engineering Command Southeast Commanding Officer request Kellogg, Brown, and Root to refund any amount paid under the material markup provisions determined to represent profit.</p>	\$639,000 ¹	Funds Put to Better Use	5/23/2008
<p>DODIG-2016-061, U.S. Army Military Surface Deployment and Distribution Command Needs to Improve its Oversight of Labor Detention Charges at Military Ocean Terminal Sunny Point</p> <p>Recommendations 1.b: The DoD OIG recommended that the Contracting Officer for the U.S. Transportation Command take action to recoup charges for time charged as safety briefings erroneously charged as labor detention time.</p>	\$635,000	Questioned Costs	3/16/2016
<p>DODIG-2019-029, DoD Task Orders Issued Under One Acquisition Solution for Integrated Services Contracts</p> <p>Recommendation 3.a: The DoD OIG recommended that the Air Force Life Cycle Management Center Commander require contracting officers to determine if the employees met the labor categories, including the six employees with no qualification documentation, specified in task orders FA8307-15-F-0012 and FA8622-15-F-8100 and, if not, take appropriate corrective action, including the recovery of improper payments.</p>	\$574,162	Questioned Costs	11/27/2018
<p>DODIG-2016-061, U.S. Army Military Surface Deployment and Distribution Command Needs to Improve its Oversight of Labor Detention Charges at Military Ocean Terminal Sunny Point</p> <p>Recommendations 3.b: The DoD OIG recommended that the Deputy Chief of Staff for Resource Management, U.S. Army Surface Deployment and Distribution Command, work with the Contracting Officer for the U.S. Transportation Command and Transportation Brigade Contracting Officer's Representatives to review time records for ongoing Stevedore and Related Terminal Services contracts to identify labor detention charges subject to recoupment and take action to recoup these costs.</p>	\$439,000	Questioned Costs	3/16/2016

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(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2019			
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended
<p>DODIG-2018-050, Naval Facilities Engineering Command Administration of Selected Energy Savings Performance Contracts</p> <p>Recommendation B.1.b.1: The DoD OIG recommended that the Commander of Naval Facilities and Engineering Command direct the Naval Facilities Engineering Command Expeditionary Warfare Center contracting officer to recover the unsupported currency fluctuation payments calculated by the audit.</p>	\$250,000	Funds Put to Better Use	12/19/2017
<p>DODIG-2014-118, Improvements Needed in Contract Award of Mi-17 Cockpit Modification Task Order</p> <p>Recommendation B.2: The DoD OIG recommended that the Director of the Army Contracting Command–Redstone Non-Standard Rotary Wing Aircraft Directorate take action to recoup payments for the sets of manuals that were not accepted or delivered.</p>	\$216,000	Questioned Costs	9/19/2014
<p>DODIG-2016-080, Army’s Management of Gray Eagle Spare Parts Needs Improvements</p> <p>Recommendation A.1.b.iv: The DoD OIG recommended that the Unmanned Aircraft System Project Manager require that the Product Manager–Medium Altitude Endurance use existing Defense Logistics Agency inventory, when possible, before purchasing the spare parts from General Atomics.</p>	(FOUO)	Funds Put to Better Use	4/29/2016
<p>DODIG-2019-060, Review of Parts Purchased From TransDigm Group, Inc.</p> <p>Recommendation 2: The DoD OIG recommended that the Army Contracting Command-Redstone Executive Director consider all available corrective actions with TransDigm, including, but not limited to, directing the Army Contracting Command-Redstone contracting officer to seek a voluntary refund from TransDigm of approximately \$0.2 million in excess profit for the one purchase that the contracting officer requested uncertified cost data for but TransDigm refused to provide.</p>	\$184,966	Funds Put to Better Use	2/25/2019

(FOUO)

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(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2019			
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended
<p>DODIG-2014-096, Improvements Needed in Contract Administration of Mi-17 Cockpit Modification Task Order (FOUO) Recommendation A: The DoD OIG recommended that the Army Contracting Command–Redstone Non-Standard Rotary Wing Aircraft Directorate Director require the contracting officer to modify the contract to remove the additional fee and recoup from the contractor the [REDACTED] unallowable fixed-fee increase (which includes the [REDACTED] the Army Contracting Command-Redstone agreed to recoup) in accordance with Federal Acquisition Regulation subpart 16.306, "Cost-plus-fixed-fee contracts."</p>	(FOUO) [REDACTED]	Questioned Costs	7/28/2014
<p>DODIG-2019-060, Review of Parts Purchased From TransDigm Group, Inc. Recommendation 3: The DoD OIG recommended that the Army Contracting Command-Aberdeen Proving Ground Executive Director consider all available corrective actions with TransDigm including, but not limited to, directing the Army Contracting Command-Aberdeen Proving Ground contracting officer to seek a voluntary refund from TransDigm of \$18,330 in excess profit for the two purchases that were identified as containing excess profit.</p>	\$18,330	Funds Put to Better Use	2/25/2019
<p>DODIG-2016-080, Army’s Management of Gray Eagle Spare Parts Needs Improvement Recommendation A.1.b.ii: The DoD OIG recommended that the Unmanned Aircraft System Project Manager require that the Product Manager–Medium Altitude Endurance use inventory at DoD-fielded locations before purchasing additional spare parts from General Atomics on the performance-based logistics contract and production contracts and then conduct a cost-benefit analysis to determine whether it should dispose of the excess spare parts or keep the excess spare parts for future use and take the appropriate action.</p>	Open ²	Funds Put to Better Use	4/29/2016

(FOUO)

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(FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2019			
Report/Recommendation	Remaining Potential Monetary Benefits	Type of Potential Monetary Benefits	Date Recommended
<p>DODIG-2019-050, Follow-up Audit on Recommendations Addressing the Army's Business Case Analysis Used to Support the Army's Transition to In-House Human Immunodeficiency Virus Testing</p> <p>Recommendation 1: The DoD OIG recommended that the U.S. Army Medical Command Chief of Staff compare the Human Immunodeficiency Virus testing services provided by the Air Force Epidemiology Laboratory to services performed under contract W81K04-19-D0003 and determine whether the Army should transition testing to the Air Force Epidemiology Laboratory when contract W81K04-15-D0006's period of performance ends on February 27, 2019, until the Army Human Immunodeficiency Virus Diagnostics and Reference Laboratory is moved into leased space and can accept the full Army Human Immunodeficiency Virus testing mission. The comparison should be completed within 30 days of this final report.</p>	Open ³	Funds Put to Better Use	1/29/2019
Total	\$4,779,500,344		

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¹ The agreed-upon corrective actions have been completed by DoD management; however, the Department's recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

² The DoD has recovered \$30 million in monetary benefits based on actions taken so far by DoD management; additional recovery of monetary benefits is expected but cannot be quantified or estimated.

³ Potential monetary benefits are anticipated but cannot be quantified or estimated.



CHAPTER 3

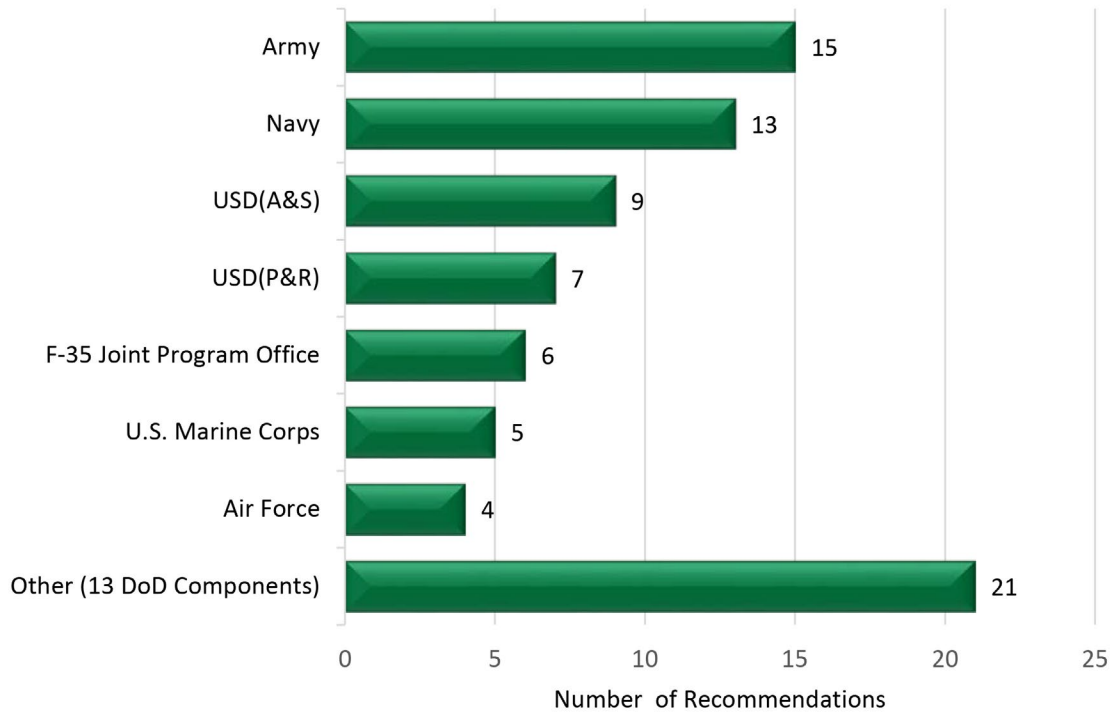
Recommendations Open for at Least 5 Years

The DoD OIG relies on documentation from the DoD Components to determine whether all agreed upon corrective actions have been implemented before closing a recommendation. However, several issues can affect the DoD Component's ability to implement recommendations in a timely manner. This chapter highlights the 80 DoD OIG recommendations that have been open for at least 5 years.

Of the 80 recommendations, 59 were issued to the following DoD Components: Department of the Army, Department of the Navy, Under Secretary of Defense for Acquisition and Sustainment, Under Secretary of Defense for Personnel and Readiness, F-35 Joint Program Office, U.S. Marine Corps, and Department of the Air Force. The remaining 21 recommendations were issued to 13 other DoD Components.

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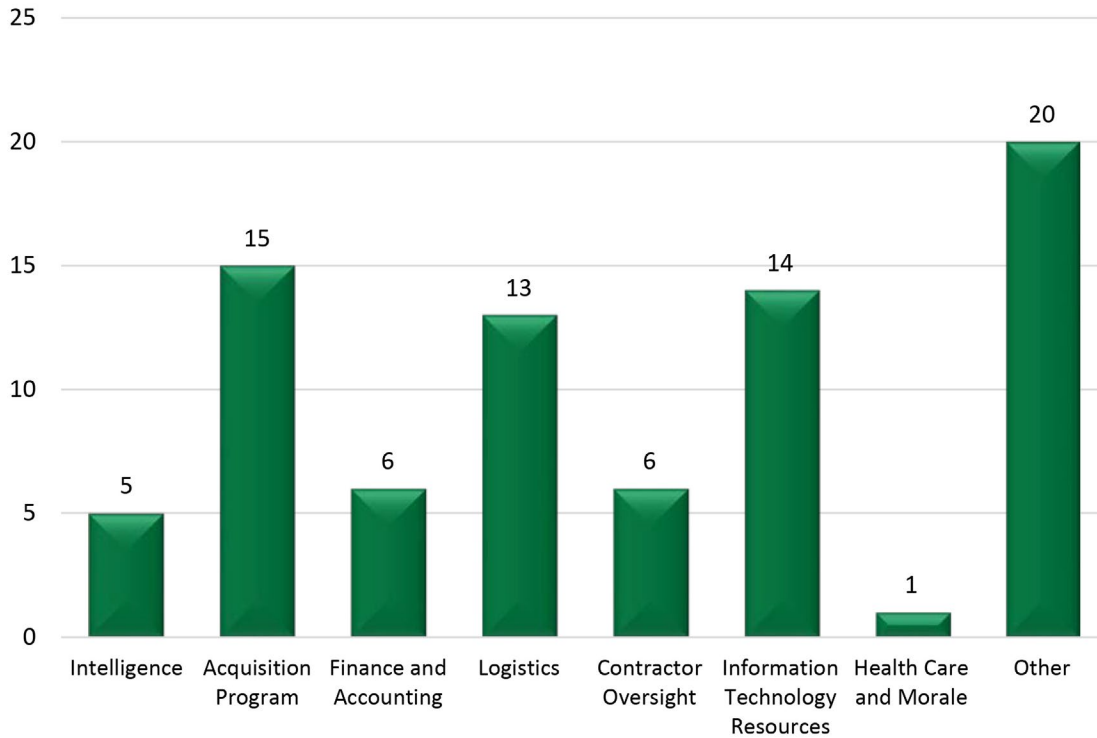
Figure 8. Number of Recommendations Open for at Least 5 years by Responsible Component



Source: The DoD OIG.

The 80 recommendations were related to the topic areas of Intelligence, Acquisition Programs, Finance and Accounting, Logistics, Contractor Oversight, Information Technology Resources, Health Care and Morale, and Other. Figure 9 illustrates the number of recommendations in each topic area. Of the 20 recommendations included in the “Other” category, 16 were related to the oversight and management of military cemeteries.

Figure 9. Number of Recommendations Open for at Least 5 years by Topic Area



Source: The DoD OIG.

Although there are different reasons for the DoD Components' inability to implement the 80 recommendations, we determined that one of the biggest challenges pertains to the revision or implementation of policies, procedures, and guidance. Of the 80 recommendations, 23 were related to revising or implementing policy. Specifically, revising or implementing policy at the DoD level also required changes to existing policies at the Component level to ensure consistent guidance across the DoD. For example, the oldest DoD OIG open recommendation was made in DoD OIG Report D-2006-077, "Human Capital: DoD Personnel Security Clearance Process at Requesting Activities," April 19, 2006. The DoD OIG recommended updates to policy for the DoD personnel security clearance program in areas such as program management responsibilities, agencies responsible for conducting personnel security investigations and investigative responsibilities, and security clearance systems. The Air Force and other DoD Components issued interim guidance closing their portions of the recommendation; however, the Army has not taken steps to implement the recommendation because it is waiting for publication of the revised DoD policy, leaving the Army recommendation

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open for almost 13 years. Appropriate coordination among DoD Components is critical to ensure that the recommended revisions to the DoD policies are made in a timely manner for the efficiency of the DoD programs and operations.

There were also recommendations that required standardized testing of protocol requirements and design modifications prior to implementing the recommendation. For example, in Report No. DoDIG-2013-079, "Advanced Combat Helmet Technical Assessment," May 29, 2013, the DoD OIG recommended that the Director of Operational Test and Evaluation and the Program Executive Office Soldier fully characterize the performance of all helmet designs included in the combat helmet test protocols. Based on helmet performance characterizations, the Director of Operational Test and Evaluation and the Program Executive Office Soldier should determine if modification to the First Article Testing and Lot Acceptance Testing protocols are appropriate. This recommendation has been open for over 5 years and although the Services have performed analysis and testing to the design of the helmet, modifications to the testing protocols are yet to be determined. Failure to modify the test protocols as needed can result in helmets that do not protect the warfighter as intended, risking life and safety.

~~(FOUO)~~ In another example, Report No. DODIG-2013-035, "Better Reporting and Certification Processes Can Improve Red Teams' Effectiveness," December 21, 2012, the DoD OIG recommended that the Commander of the 377th Air Base Wing implement, track, and validate that a Plan of Actions and Milestones has been created to correct the outstanding vulnerabilities for [REDACTED], safeguarding Personally Identifiable Information, identifying false credentials used to gain installations access, controlling actions in restricted areas, and verifying that all security weaknesses are reported. The Air Force has not provided the DoD OIG with a policy detailing the process for tracking, reporting, mitigating, or accepting the risk of system vulnerabilities; therefore, the recommendation has been open for over 6 years. The Air Force needs to ensure networks are protected from internal and external bad actors because data breaches could harm the Government by exposing confidential information. In addition, the exposure of personally identifiable information could harm warfighters by exposing their confidential information, such as name, date of birth, or social security number.

Another consistent issue that prevents the DoD Components from implementing DoD OIG recommendations is ongoing litigation. For example, in Report No. DODIG-2013-100, "Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan Improved, but Additional Actions are Needed," July 2, 2013, the DoD OIG recommended

that the Defense Logistics Agency Troop Support Commander implement corrective actions to address all outstanding recommendations from DoD OIG Report No. D-2011-047, “Improvements Needed in Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan,” March 2, 2011, to include initiating corrective actions to recover premium transportation fees and refund the Army after litigation was completed. Although the Defense Logistics Agency has performed all agreed-upon corrective actions, the recovery of monetary benefits of over \$630 million is pending the results of an ongoing Armed Services Board of Contract Appeals litigation. The Armed Services Board of Contract Appeals hearing has been postponed four times between March 2015 and January 2018. As of March 31, 2019, the hearing had not been rescheduled.

Another example of litigation delaying the implementation of DoD OIG recommendations is Report DODIG-2008-097, “Hurricane Relief Effort Costs on the Navy Construction Capabilities Contract,” May 23, 2008, in which the DoD OIG recommended that the Naval Facilities Engineering Command Southeast Commanding Officer request Kellogg, Brown, and Root to refund any amount paid under the material markup provisions determined to represent profit. The DoD OIG calculated this could result in the Navy recovering as much as \$7.2 million from Kellogg, Brown, and Root for the inappropriate payments. Currently, the recovery of monetary benefits of approximately \$639,000 million is pending the results of an ongoing Armed Services Board of Contract Appeals litigation.

While there is no standard timeframe for implementing recommendations, we believe the maximum benefits are generally achieved when recommendations are implemented within 3 years. However, some recommendations, such as those relating to cyber security, require immediate implementation because noncompliance can have far-reaching and devastating consequences. Additionally, some recommendations have critical life and safety impacts. It is critical that management take corrective actions on agreed-upon recommendations. Recommendations that relate to life, safety, National security, or cyber security should be addressed in an expeditious manner to ensure the protection of life and assets. Moreover, not taking action to correct deficiencies identified in the DoD OIG reports can adversely impact the efficiency and effectiveness of DoD programs and waste taxpayer dollars.

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Tables. Recommendations Open for at Least 5 years

The tables below list 80 recommendations that have been open for at least 5 years

Department of the Army

Report No. D-2006-077, "Human Capital: DoD Personnel Security Clearance Process at Requesting Activities," April 19, 2006

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 3.a-f: The DoD OIG recommended that the Army Deputy Chief of Staff for Intelligence; the Naval Criminal Investigative Service Director; the Air Force Director of Security Forces, Information Security; the Defense Information Systems Agency Director; and the Defense Logistics Agency Director update policies for the DoD personnel security clearance program to include the following areas:</p> <ul style="list-style-type: none"> a. program management responsibilities; b. agencies responsible for conducting personnel security investigations (PSI) and investigative responsibilities; c. security clearance systems for tracking security clearance information; d. Personnel Security Investigation submission processes; e. the relationship among the levels of security clearances, types of PSIs required for different levels of clearance, and scopes of investigations to include documentation required for each PSI; and f. training requirements for security personnel. 	12 years 11 months 12 days

Report No. DODIG-2012-041, "Evaluation of DoD Contracts Regarding Combating Trafficking in Persons: U.S. European Command and U.S. Africa Command," January 17, 2012

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology ensure that Federal Acquisition Regulation clause 52.222-50, "Combating Trafficking in Persons," is included in all contracts identified as deficient in our review.</p>	7 years 2 months 14 days

*Department of the Army (cont'd)***Report No. DODIG-2012-135, "Mi-17 Overhauls Had Significant Cost Overruns and Schedule Delays,"
September 27, 2012 (Full Report is FOUO)**

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. A.4.a: The DoD OIG recommended that the U.S. Army Contracting Command-Redstone Arsenal Contracting Officer responsible for task orders W9113M-07-D-0007-0021 and W9113M-07-D-0007-0035 make no payments on additional costs associated with the [REDACTED] requests for equitable adjustment until all costs have been determined to be reasonable, allowable, and allocable and the requisite analyses have been reviewed by the Head of the Contracting Activity in accordance with Recommendation A.3.	6 years 6 months 4 days
(FOUO)	

Report No. DODIG-2013-097, "Improvements Needed in the Oversight of the Medical-Support Services and Award-Fee Process Under the Camp As Sayliyah, Qatar, Base Operation Support Services Contract," June 26, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the U.S. Army Medical Command Commander revise Army Regulation 40-68, "Clinical Quality Management," to align the regulation with supervision requirements in Federal Acquisition Regulation Subpart 37.4.	5 years 9 months 5 days

Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June 28, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.b: The DoD OIG recommended that the Executive Director of the Army National Cemeteries Program complete the update of the "Army Post Cemeteries Way Ahead" in the Department of the Army's memorandum, dated September 11, 2012, addressing each area assessed in observation 1, including: <ol style="list-style-type: none"> 1. consolidation of all manuals into one comprehensive regulation or pamphlet, and, 2. an outline of practical guidance for Army leaders in the management, operations, maintenance, and support of Army Post Cemeteries. 	5 years 9 months 3 days
Rec. 3.b: The DoD OIG recommended that the Executive Director of the Army National Cemeteries Program, the Assistant Secretary of the Navy for Manpower and Reserve Affairs, and the Assistant Secretary of the Air Force for Manpower and Reserve Affairs update current publications to reflect Under Secretary of Defense for Personnel and Readiness and Under Secretary of Defense for Acquisition, Technology, and Logistics guidance.	5 years 9 months 3 days

CHAPTER 3

*Department of the Army (cont'd)***Report No. DODIG-2013-123, "Army Needs To Improve Mi-17 Overhaul Management and Contract Administration," August 30, 2013, (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.2.a: The DoD OIG recommended that the U.S. Army Materiel Command Executive Deputy to the Commanding General direct contractual action to recoup up to \$6.2 million in questioned costs for advance payments paid to Science and Engineering Services plus applicable interest due in accordance with the Federal Acquisition Regulation.</p>	5 years 7 months 1 day

Report No. DODIG-2013-130, "Army Needs to Improve Controls and Audit Trails for the General Fund Enterprise Business System Acquire-to-Retire Business Process," September 13, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to identify all Acquire-to-Retire functionality not in the General Fund Enterprise Business System necessary for complete Army real property management.</p>	5 years 6 months 18 days
<p>Rec. A.2: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to develop and implement the identified functionality into the General Fund Enterprise Business System, including the capability to generate an Army-wide real property universe.</p>	5 years 6 months 18 days
<p>Rec. A.6: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to perform a review of all real property data in the General Fund Enterprise Business System to ensure that the General Fund Enterprise Business System contains the correct data going forward and track the costs associated with this effort and other data cleansing efforts so they can be calculated as part of the cost of the General Fund Enterprise Business System implementation or as part of the Army's audit readiness efforts.</p>	5 years 6 months 18 days
<p>Rec. A.7.a: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to develop an integrated process within the General Fund Enterprise Business System to record construction costs from the Corps of Engineers Financial Management System in the General Fund Enterprise Business System, the Army's general ledger.</p>	5 years 6 months 18 days

Department of the Army – Report No. DODIG-2013-130 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.7.b: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to record in-house costs incurred in the construction of a real property asset to the corresponding project's construction-in-progress account.	5 years 6 months 18 days
Rec. A.10: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to develop an automated functionality for demonstrating the general ledger account postings for each business event in the General Fund Enterprise Business System.	5 years 6 months 18 days
Rec. B: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management develop a working group, consisting of the Chief of the U.S. Army Corps of Engineers and the General Fund Enterprise Business System Program Manager to perform a 100 percent review of land assets to ensure General Fund Enterprise Business System land information is correct and consistent with land data in the Real Estate Management Information System.	5 years 6 months 18 days

Report No. DODIG-2014-026, "Assessment of Arlington and Soldiers' and Airmen's Home National Cemeteries," December 20, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Army National Military Cemeteries Executive Director develop and implement a single data entry process and system for record management at the Arlington National Cemetery that captures all the burial requirements needed from initial record creation intake through burial scheduling to final interment.	5 years 3 months 11 days

CHAPTER 3

Department of the Navy**Report No. D-2008-097, "Hurricane Relief Effort Costs on the Navy Construction Capabilities Contract," May 23, 2008 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1.c: The DoD OIG recommended that the Naval Facilities Engineering Command Southeast Commanding Officer request Kellogg, Brown, and Root to refund any amount paid under the material markup provisions determined to represent profit. The DoD OIG calculated that this could result in the Navy recovering as much as \$7.2 million from Kellogg, Brown, and Root for the inappropriate payments.*	10 years 10 months 8 days

* The agreed-upon corrective actions have been completed by DoD management; however, the Department's recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

Report No. DODIG-2012-017, "U.S. Naval Academy Officials Did Not Adhere to Contracting and Gift Policies," November 7, 2011

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1.d: The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to implement the Naval Heritage and History Command inventory system, Department of Navy Heritage Asset Management System, and record all the in-kind gifts into the system.	7 years 4 months 24 days
Rec. B.3.b: The DoD OIG recommended that the Naval Heritage and History Command Director require the United States Naval Academy Museum Director to use the Naval Heritage and History Command inventory system and the Department of Navy Heritage Asset Management System.	7 years 4 months 24 days

Report No. DODIG-2012-122, "The DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access," August 29, 2012 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1.b: The DoD OIG recommended that the Assistant Secretary of the Army (Manpower and Reserve Affairs), in conjunction with the Assistant Secretary of the Army (Installations, Energy, and Environment); Commander of Headquarters Air Force Security Forces Center; Deputy Chief of Naval Operations for Fleet Readiness and Logistics; and Assistant Deputy Commandant of Plans, Policies and Operations (Security), U.S. Marine Corps, before approving physical access control systems for a location require installation security personnel to be involved during the site surveys.	6 years 7 months 2 days

*Department of the Navy (cont'd)***Report No. DODIG-2013-082, "Hotline Allegation Regarding the Failure to Take Action on Material Management and Accounting System Audit Findings," May 29, 2013**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.d: The DoD OIG recommended that the Commanding Officer, Supervisor of Shipbuilding, Conversion and Repair, re-evaluate the determination that the costs of complying with Standard 2 outweigh the benefits, and document adequate rationale for any disagreements with the auditor in accordance with DoD Instruction 7640.02, "Policy for Follow-up on Contract Audit Reports."	5 years 10 months 2 days
Rec. A.2.e: The DoD OIG recommended that the Commanding Officer and Supervisor of Shipbuilding, Conversion, and Repair, in consultation with the Defense Contract Audit Agency, reassess the appropriateness of the March 15, 2013, agreement with the contractor on the master production schedule accuracy calculation.	5 years 10 months 2 days

Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June 28, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b.(2): The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs, the Assistant Secretary of the Air Force for Manpower and Reserve Affairs, and the Executive Director of the Army National Cemeteries Program ensure training opportunities are provided for individuals with cemetery operations responsibilities.	5 years 9 months 3 days
Rec. 1.b.(3): The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs, the Assistant Secretary of the Air Force for Manpower and Reserve Affairs, and the Executive Director of the Army National Cemeteries Program develop and implement a cemetery inspection program.	5 years 9 months 3 days
Rec. 1.b.(4): The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs, the Assistant Secretary of the Air Force for Manpower and Reserve Affairs, and the Executive Director of the Army National Cemeteries Program develop and implement local cemetery management Standard Operating Procedures.	5 years 9 months 3 days
Rec. 1.b.(5): The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs, the Assistant Secretary of the Air Force for Manpower and Reserve Affairs, and the Executive Director of the Army National Cemeteries Program direct installation commanders to conduct a 100 percent record-to-graves verification.	5 years 9 months 3 days
Rec. 2.c: The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs and the Assistant Secretary of the Air Force for Manpower and Reserve Affairs update the applicable manuals of each service to reflect upcoming Under Secretary of Defense for Acquisition, Logistics, and Technology policy.	5 years 9 months 3 days

CHAPTER 3

Department of the Navy – Report No. DODIG-2013-098 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 3.b: The DoD OIG recommended that the Executive Director of the Army National Cemeteries Program, the Assistant Secretary of the Navy for Manpower and Reserve Affairs, and the Assistant Secretary of the Air Force for Manpower and Reserve Affairs update current publications to reflect Under Secretary of Defense for Personnel and Readiness and Under Secretary of Defense for Acquisition, Technology, and Logistics guidance.</p>	5 years 9 months 3 days

Report No. DODIG-2014-001, “MV-22 Squadrons Could Improve Reporting of Mission Capability Rates and Readiness,” October 23, 2013 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Naval Air Forces Commander revise Naval Air Forces Commander Instruction 4790.2A, “Naval Aviation Maintenance Program,” November 10, 2009, to include detailed procedures for maintenance officers to use to verify the accuracy and completeness of aircraft inventory reports and work orders before submission.</p>	5 years 5 months 8 days

*U.S. Marine Corps***Report No. D-2011-060, “Marine Corps Inventory of Small Arms Was Generally Accurate but Improvements Are Needed for Related Guidance and Training,” April 22, 2011**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the Deputy Commandant for Plans, Policies, and Operations update the small arms accountability guidance in Marine Corps Order 5530.14A consistent with Marine Corps Bulletin 4440 and the updates to Marine Corps Order 8300.1C.</p>	7 years 11 months 9 days

Report No. DODIG-2014-001, “MV-22 Squadrons Could Improve Reporting of Mission Capability Rates and Readiness,” October 23, 2013 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations require mandatory training and establish refresher training for all MV-22 operations personnel on how to complete readiness reports in the Defense Readiness Reporting System-Marine Corps.</p>	5 years 5 months 8 days

CHAPTER 3

U.S. Marine Corps – Report No. DODIG-2014-001 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.b.1: The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations revise the Commander's Readiness Handbook, January 2012, to include, at a minimum, detailed examples of the commander's category-level remarks and equipment condition-level remarks.	5 years 5 months 8 days
Rec. 2.b.2: The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations revise the Commander's Readiness Handbook, January 2012, to include in the Commander's Checklist, at a minimum, the equipment condition data elements and a requirement for the commander's signature on the readiness reports to attest to the accuracy of information provided.	5 years 5 months 8 days
Rec. 2.c: The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations require submission of the revised Commander's Checklist with the readiness report.	5 years 5 months 8 days

Department of the Air Force

Report No. DODIG-2013-035, "Better Reporting and Certification Processes Can Improve Red Teams' Effectiveness," December 21, 2012 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. B.7: The DoD OIG recommended that the 377th Air Base Wing Commander implement, track, and validate that a Plan of Actions and Milestones has been created to correct the outstanding vulnerabilities for [REDACTED], safeguarding Personally Identifiable Information, identifying false credentials used to gain installations access, and controlling actions in restricted areas and verify that all security weaknesses are reported.	6 years 3 months 10 days
(FOUO)	

Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June 28, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.c: The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs and the Assistant Secretary of the Air Force for Manpower and Reserve Affairs update the applicable manuals of each service to reflect upcoming Under Secretary of Defense for Acquisition, Logistics, and Technology policy.	5 years 9 months 3 days

CHAPTER 3

Department of the Air Force – Report No. DODIG-2013-098 (cont’d)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 3.b: The DoD OIG recommended that the Executive Director of the Army National Cemeteries Program, the Assistant Secretary of the Navy for Manpower and Reserve Affairs, and the Assistant Secretary of the Air Force for Manpower and Reserve Affairs update current publications to reflect Under Secretary of Defense for Personnel and Readiness and Under Secretary of Defense for Acquisition, Technology, and Logistics guidance.</p>	5 years 9 months 3 days

Report No. DODIG-2014-038, “Air Force Life Cycle Management Center Could Not Identify Actual Cost of F119 Engine Spare Parts Purchased from Pratt and Whitney,” February 10, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Air Force Life Cycle Management Center Commander develop a process to identify and document actual spare part costs for 2010 and each subsequent year on contract FA8611-08-C-2896 for use in determining fair and reasonable prices.</p>	5 years 1 month 21 days

*Under Secretary of Defense for Acquisition and Sustainment***Report No. DODIG-2012-082, “The DoD Can Improve Its Accounting for Residual Value From the Sale of U.S. Facilities in Europe,” May 4, 2012**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B: The DoD OIG recommended that the Deputy Under Secretary of Defense for Installations and Environment and the Commander for U.S. European Command revise DoD Instruction 4165.69 and U.S. European Command Instruction 4101.02, respectively, to require that future residual value settlement negotiations analyze and document how the residual value settlement amount was determined, to include at a minimum:</p> <ol style="list-style-type: none"> 1. results of an independent appraisal of the facility’s value or the reasons why it was deemed not worth performing one; 2. analysis of any agreed-upon calculation methodology used to determine the values for the land and capital improvements; 3. evaluation of any environmental remediation being claimed for reasonableness, if there is an offsetting effect on the residual value received; and 4. description of specific documents that should be maintained supporting residual value settlements and how long these documents should be maintained. 	6 years 10 months 27 days

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2012-117, "DoD Needs to Improve Controls Over Economy Act Orders with U.S. Agency for International Development," August 14, 2012**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics revise the DoD Federal Acquisition Regulation Supplement to require that DoD agencies use either a reimbursement process or a direct cite when establishing economy act orders with non DoD agencies.	6 years 7 months 17 days
Rec. A.2.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics revise the DoD Federal Acquisition Regulation Supplement to include procedures on how to properly monitor interagency acquisitions. At a minimum, these procedures should include collection and maintenance of project documentation such as contracts, task orders, statements of work, modifications, accounting data, payment vouchers, invoices, relevant correspondence, and contract completion and closeout paperwork.	6 years 7 months 17 days

Report No. DODIG-2013-031, "Audit of the F-35 Lightning II Autonomic Logistics Information Systems (ALIS)," December 10, 2012 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A: Recommendation is Classified.*	6 years 3 months 21 days
Rec. C.1: Recommendation is Classified.*	6 years 3 months 21 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2013-050, "Recovering Organizational Clothing and Individual Equipment from Civilians and Contractor Employees Remains a Challenge," February 22, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a-c: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics implement corrective actions to address all recommendations, with the exception of Recommendation 1.b, in DoD Office of Inspector General Report No. D-2010-069, "Central Issue Facilities at Fort Benning and Related Activities," June 21, 2010. Specifically:</p> <ol style="list-style-type: none"> identify civilians and contractor employees who returned from deployment but did not return organizational clothing and individual equipment; obtain unreturned organizational clothing and individual equipment or reimbursement from civilians and contractor employees; and require DoD Components to include proper language in new contracts and modify existing contracts to hold contracting companies liable for unreturned organizational clothing and individual equipment. 	6 years 1 month 9 days

CHAPTER 3

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June 28, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, publish and implement cemetery management training guidance focusing on industry standards and best practices.	5 years 9 months 3 days
Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, provide guidance for military cemetery operations and administration. The guidance should take into consideration Service components' lessons learned on installation cemetery operations and administration.	5 years 9 months 3 days

Report No. DODIG-2014-049, "DoD Considered Small Business Innovation Research Intellectual Property Protections in Phase III Contracts, but Program Improvements Are Needed," March 27, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director address inconsistencies between the Defense Federal Acquisition Regulation Supplement 252.227-7018 and the Small Business Administration Small Business Innovation Research Policy Directive regarding intellectual property protections, specifically on when the Small Business Innovation Research data protection period begins, when it can be extended, and whether the protection period can be revived after expiration.	5 years 4 days

Under Secretary of Defense for Research and Engineering

Report No. DODIG-2014-049, "DoD Considered Small Business Innovation Research Intellectual Property Protections in Phase III Contracts, but Program Improvements Are Needed," March 27, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Administrator for the DoD Office of Small Business Programs, Small Business Innovation Research Office, issue single, overarching guidance and related training for all DoD organizations to follow that will provide for the uniform application of intellectual property protections across DoD. Guidance and training should include:</p> <ol style="list-style-type: none"> 1. standard intellectual property protections within the Small Business Innovation Research Program, including the use and application of the data assertions table; and 2. when the protection period begins and when it can be extended. 	5 years 4 days

CHAPTER 3

Under Secretary of Defense for Research and Engineering – Report No. DODIG-2014-049 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.b: The DoD OIG recommended that the Administrator for the DoD Office of Small Business Programs, Small Business Innovation Research Office, issue clarifying guidance to address the requirement for organizations to provide the Small Business Administration a complete and timely notification detailing why a proposed Small Business Innovation Research Phase III contract could not be awarded to the developer. The clarifying guidance should provide a single DoD interpretation of the requirement and address reporting requirements outlined in the Small Business Administration Small Business Innovation Research Policy Directive.</p>	5 years 4 days

*Under Secretary of Defense (Comptroller)***Report No. D-2009-062, “Internal Controls Over DoD Cash and Other Monetary Assets,” March 25, 2009**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, charge a proper appropriation or coordinate with the Office of Management and Budget and the U.S. Treasury to:</p> <ol style="list-style-type: none"> 1. obtain a waiver to hold a certain amount of cash without charging an appropriation, or 2. establish a new U.S. Treasury account symbol to charge when cash is obtained from the U.S. Treasury. 	10 years 6 days
<p>Rec. A.1.b: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise DoD Financial Management Regulation 7000.14, volume 5, to reflect the implementation of Recommendation A.1.a.(1)-(2).</p>	10 years 6 days

Report No. DODIG-2013-070, “Defense Agencies Initiative Did Not Contain Some Required Data Needed to Produce Reliable Financial Statements,” April 19, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise the guidance contained in the DoD Financial Management Regulation, volume 6B, chapter 5, paragraph 050301.B. to require costs of program reported in the Statement of Net Cost to be accounted for by program costs and not by appropriation, enabling the use of the Program Indicator Code attribute.</p>	5 years 11 months 12 days

CHAPTER 3

Under Secretary of Defense for Personnel and Readiness

Report No. DODIG-2012-122, “The DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access,” August 29, 2012 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a.1: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, as the DoD Homeland Security Presidential Directive-12 Implementation Lead, coordinate and convene a meeting with the Under Secretary of Defense for Acquisition, Technology, and Logistics; the Under Secretary of Defense for Policy; the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD; the Under Secretary of Defense for Intelligence; the DoD Chief Information Officer, and the Director of Cost Assessment and Program Evaluations within 90 days to reassess and require each office responsible for the full implementation of HSPD-12 to provide oversight and accountability including the reprogramming of funding when appropriate or necessary.</p>	6 years 7 months 2 days
<p>Rec. A.1.b: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, as the DoD Homeland Security Presidential Directive-12 Implementation Lead, establish a requirement for the Services and DoD agencies to report semiannually to the Under Secretary of Defense for Personnel and Readiness on the status of their Homeland Security Presidential Directive-12 implementation efforts including compliance with the Office of Management and Budget Homeland Security Presidential Directive-12 implementation requirements.</p>	6 years 7 months 2 days

Report No. DODIG-2013-072, “Data Loss Prevention Strategy Needed for the Case Adjudication Tracking System,” April 24, 2013 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B: The DoD OIG recommended that the Director of Information Management for the Department of Army Military Intelligence and the Program Executive Officer for the Defense Logistics Agency immediately move the back-up servers to an approved location outside of the geographic region that complies with Federal and DoD information assurance requirements. If moving the back-up servers is not immediately feasible, request an interim waiver from the Designated Approving Authority and develop a time-phased plan to move the back-up servers outside of the geographic region.</p>	5 years 11 months 7 days

Under Secretary of Defense for Personnel and Readiness (cont'd)

Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June 28, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.a.(1): The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, publish and implement cemetery management training guidance focusing on industry standards and best practices.	5 years 9 months 3 days
Rec. 3.a.(2): The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and require maintenance of a standard spreadsheet of a complete record of interments.	5 years 9 months 3 days
Rec. 3.a.(3): The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop a standard for temporary grave marking.	5 years 9 months 3 days
Rec. 3.a.(4): The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness define and revamp the burial reservation system.	5 years 9 months 3 days

Under Secretary of Defense for Intelligence

Report No. DODIG-2013-112, "Assessment of DoD Long-Term Intelligence Analysis Capabilities," August 5, 2013 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Office of the Under Secretary of Defense for Intelligence (OUSD (I)), partnering with the Defense Intelligence Agency (DIA), the Combatant Commands, and the Services, develop an All-Source Analysis certification program that leads to training, developing, and retaining a more experienced and robust workforce. We recommend that such a program includes common core analytical skills and performance standards, and an enterprise-wide all-source analysis occupational-specialty career track and development program.	5 years 7 months 26 days

CHAPTER 3

National Guard Bureau

Report No. DODIG-2012-110, “Better Oversight Needed for the National Guard’s Weapons of Mass Destruction Civil Support Teams,” July 2, 2012

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1-2: The DoD OIG recommended that the National Guard Bureau-J3 Director for the Domestic Operations and Force Development Directorate develop a written oversight plan, in coordination with personnel from each Joint Force Headquarters-State, that:</p> <ol style="list-style-type: none"> 1. verifies compliance with mission reporting requirements, and 2. provides feedback to Weapons of Mass Destruction Civil Support Teams on omissions and errors. 	6 years 8 months 29 days

Report No. DODIG-2013-102, “Improved Oversight of Communications Capabilities Preparedness Needed for Domestic Emergencies,” July 1, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 4: The DoD OIG recommended that the National Guard Bureau Chief establish oversight procedures, including performance metrics, to verify that National Guard units report the readiness status of personnel and equipment for the Joint Incident Site Communications Capability system in a timely manner.</p>	5 years 8 months 30 days

U.S. Northern Command

Report No. DODIG-2014-019, “Assessment of Continental United States-Based Nuclear Response Task Force Programs,” December 3, 2013 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1: The DoD OIG recommended that the U.S. Northern Command, the Air Force Global Strike Command, and the Joint Staff determine the appropriate method of supporting the logistical and operational requirements to deploy the Air Force Global Strike Command Response Task Force. Stakeholders should consider drafting an “Execute Order” addressing the necessary requirements, capabilities, resources, and procedures.</p>	5 years 3 months 28 days
<p>Rec. C.1: The DoD OIG recommended that the U.S. Northern Command, in conjunction with the U.S. Pacific Command Commander, update the appropriate concept of operations plan and operations orders to identify clear authorities and a clear chain-of-command for nuclear weapon incidents or accidents occurring in U.S. Northern Command’s geographic area of responsibility but currently outside U.S. Northern Command’s operational area of responsibility.</p>	5 years 3 months 28 days

Director of Operational Test and Evaluation**Report No. DODIG-2013-079, “Advanced Combat Helmet Technical Assessment,” May 29, 2013**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A: The DoD OIG recommended that the Director of Operational Test and Evaluation and the Program Executive Office Soldier fully characterize the performance of all helmet designs included in the combat helmet test protocols. Performance characterization should consider threat, historical test data, prototype test data, and manufacturing capabilities. Based on helmet performance characterizations, the Director of Operational Test and Evaluation and the Program Executive Office Soldier should determine if modification to the First Article Testing and Lot Acceptance Testing protocols are appropriate.</p>	<p>5 years 10 months 2 days</p>

Assistant Secretary of Defense for Health Affairs**Report No. DODIG-2013-078, “TRICARE Management Activity Needs to Improve Oversight of Acquisition Workforce,” May 1, 2013**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, perform a comprehensive review of TRICARE Management Activity’s compliance with Recommendation 1.</p>	<p>5 years 10 months 30 days</p>

CHAPTER 3

Assistant Secretary of Defense for Homeland Defense and Global Security**Report No. DODIG-2013-119, "Better Procedures and Oversight Needed to Accurately Identify and Prioritize Task Critical Assets," August 16, 2013 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Policy update DoD Instruction 3020.45, "Defense Critical Infrastructure Program Management," April 21, 2008, to require that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs perform comprehensive Defense Critical Infrastructure Program reviews to help identify and resolve challenges in implementing the Critical Asset Identification Process across all DoD Components and Defense Infrastructure Sector Lead Agents.</p>	5 years 7 months 15 days
<p>Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense for Policy, in coordination with the DoD Chief Information Officer and the Chairman of the Joint Chiefs of Staff, develop and implement a Defense Critical Infrastructure Program net-centric approach to facilitate asset information sharing among the DoD Components and Defense Infrastructure Sector Lead Agents.</p>	5 years 7 months 15 days
<p>Rec. 2.a: The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs implement a comprehensive program review process to verify that the critical asset identification and prioritization process is working effectively for DoD Components and Defense Infrastructure Sector Lead Agents to identify, prioritize, and coordinate critical asset information that could affect each other's missions or functions.</p>	5 years 7 months 15 days
<p>Rec. 2.b: The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs require the heads of DoD Components to develop or update policies and procedures to include all Defense Critical Infrastructure Program requirements and critical asset identification process steps in DoD Manual 3020.45, volume 1, "Defense Critical Infrastructure Program: DoD Mission-Based Critical Asset Identification Process," October 24, 2008.</p>	5 years 7 months 15 days

Assistant Secretary of Defense for Public Affairs**Report No. D-2010-026, “Joint Civilian Orientation Conference Program,” December 9, 2009**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a: The DoD OIG recommended that the Assistant Secretary of Defense (Public Affairs) and the Washington Headquarters Services Director work with the DoD General Counsel to establish detailed policies and procedures for managing future Joint Civilian Orientation Conferences in compliance with section 2262, title 10, United States Code. Specifically, they should update DoD Instruction 5410.19 to provide guidance on how to effectively administer and manage the Joint Civilian Orientation Conference program. This guidance should require the development and submission of an annual budget estimate for conducting the program, including an estimate of the amount of appropriated funding needed to support DoD personnel involved in the program and the amount of reimbursable authority needed to collect fees from non-DoD participants. The Instruction should also describe refund procedures for conference fees.</p>	<p>9 years 3 months 22 days</p>

National Security Agency**Report No. DODIG-2013-085, “Cryptographic Modernization of Critical Nuclear Command, Control, and Communications Systems,” May 29, 2013 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 3: The DoD OIG recommended that the National Security Agency consolidate “Last Year of Use” and “cease key date” into a single date.</p>	<p>5 years 10 months 2 days</p>

Defense Logistics Agency**Report No. DODIG-2013-100, “Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan Improved, but Additional Actions are Needed,” July 2, 2013**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Defense Logistics Agency Troop Support Commander implement corrective actions to address all recommendations, with the exception of Recommendations A1.b, A2.a, A2.b, A2.c, A3, A4.a, A4.b, B1, and B2, in DoD OIG Report No. D-2011-047, “Improvements Needed in Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan,” March 2, 2011. Specifically, initiate corrective actions to recover premium transportation fees and refund the Army after litigation is completed.*</p>	<p>5 years 8 months 29 days</p>

* The agreed-upon corrective actions have been completed by DoD management; however, the Department’s recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

CHAPTER 3

Defense Security Cooperation Agency

Report No. DODIG-2013-005, "Performance Framework and Better Management of Resources Needed for the Ministry of Defense Advisors Program," October 23, 2012

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The Deputy Assistant Secretary of Defense for Partnership Strategy and Stability Operations, in coordination with the Commander of U.S. Combined Security Transition Command-Afghanistan, develop a performance management framework to include goals, objectives, and performance indicators to assess progress and measure program results. The performance management framework should also consider environmental and external factors that could affect the goals and objectives from being accomplished.*	6 years 5 months 8 days

* Responsibility for implementing Recommendation A.1 was transferred from ASD (SO/LIC) to DSCA.

Defense Finance and Accounting Service

Report No. DODIG-2012-107, "Defense Finance and Accounting Service Needs to Improve the Process for Reconciling the Other Defense Organizations' Fund Balance with Treasury," July 9, 2012

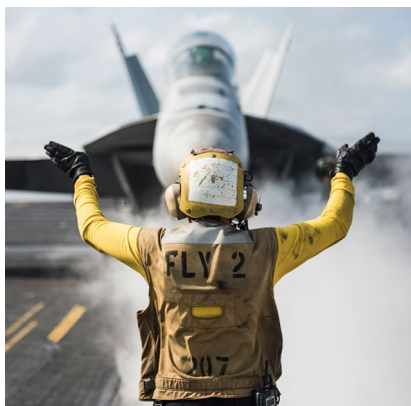
Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop a systems infrastructure that will allow personnel to readily retrieve the detailed transactions supporting all open appropriations that the Accounts Maintenance and Control branch is responsible for accounting for and reconciling on the Cash Management Report.	6 years 8 months 22 days

F-35 Joint Program Office

Report No. DODIG-2013-031, "Audit of the F-35 Lightning II Autonomic Logistics Information Systems (ALIS)," December 10, 2012 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B: Recommendation is Classified.*	6 years 3 months 21 days
Rec. C.2: Recommendation is Classified.*	6 years 3 months 21 days
Rec. D.1: Recommendation is Classified.*	6 years 3 months 21 days
Rec. D.3: Recommendation is Classified.*	6 years 3 months 21 days
Rec. D.4: Recommendation is Classified.*	6 years 3 months 21 days
Rec. D.5: Recommendation is Classified.*	6 years 3 months 21 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.



CHAPTER 4

Reports With Unresolved Recommendations

Open recommendations can be either resolved or unresolved. Resolved recommendations are those that DoD management has agreed to implement but for which it has not yet completed the agreed-upon actions. DoD OIG recommendations remain unresolved when DoD management either disagrees with the recommendation and does not propose an alternative corrective action that addresses the reported finding, or it does not adequately explain in its response to an OIG draft report what actions it plans to take to implement the recommendation.

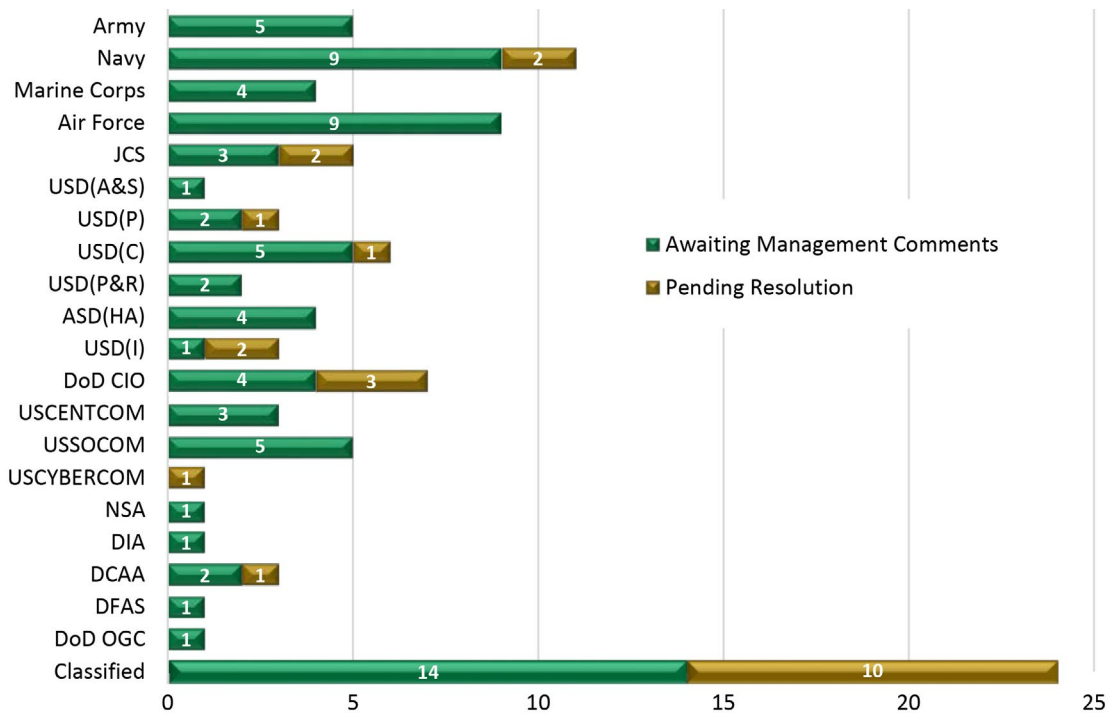
When DoD management disagrees with a recommendation, the OIG and DoD management enter a resolution process in an attempt to reach agreement on the recommendation. During the resolution process, the unresolved recommendations are elevated through DoD and OIG management and a determination of whether to continue pursuing the recommendation can be made by each level of management. If agreement is not reached on a recommendation, the DoD OIG may elevate the recommendation in dispute to the Deputy Secretary of Defense who has final decision-making authority on whether to implement a recommendation. As the final decision-making authority on recommendations, the Deputy Secretary of Defense either directs the responsible Component to take some specific action or informs the DoD OIG that the DoD considers the recommendation closed and the reason why no further action to implement the recommendation will be taken.

In addition to DoD management disagreeing with a recommendation, a recommendation may be unresolved because management's response to the recommendation does not adequately explain what actions it plans to take to implement a recommendation. For example, DoD management provides a response that is vague or noncommittal with regard to the DoD OIG recommendation (e.g., management will "consider" implementing

corrective actions), or the response does not address the DoD OIG recommendation or propose an alternative corrective action that addresses the reported finding. In such cases, the DoD OIG requests that DoD management clarify or provide additional comments to the final report that address the corrective actions that have been taken or are planned to be taken to implement the recommendations.

As of March 31, 2019, there were 100 unresolved recommendations awaiting management comments and resolution. DoD Components have not agreed to implement these recommendations and have not proposed alternative corrective action to address the recommendations. Specifically, for 77 of the 100 unresolved recommendations, DoD management has either not provided a response or the response did not fully address the recommendation. DoD Components could greatly reduce the number of unresolved recommendations by either clarifying or being more specific in their responses to the recommendations or proposing alternative actions that they suggest to address the associated findings. DoD management disagreed with the other 23 recommendations, and the DoD OIG is still working with the DoD Components to resolve these issues. Figure 10 presents a breakdown of the 100 unresolved recommendations awaiting management comments and resolution for each DoD Component.

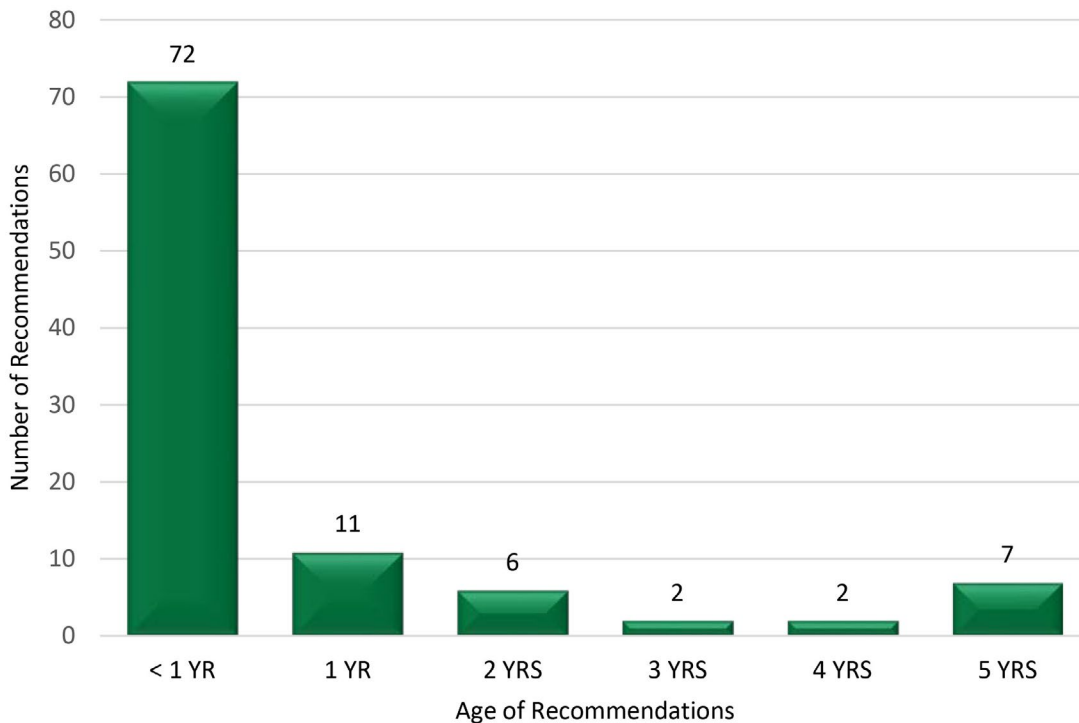
Figure 10. Unresolved Recommendations by DoD Component



Source: The DoD OIG.

Office of Management and Budget (OMB) Circular A-50 Revised, “Audit Follow-Up,” September 29, 1982, requires agency management officials and auditors to establish systems to assure the prompt and proper resolution and implementation of audit recommendations, and it also requires that resolution be made within a maximum of 6 months after a final report is issued. As of March 31, 2019, of the 100 unresolved recommendations, 72 were unresolved for less than 1 year. Of those 72 recommendations, the DoD OIG was awaiting DoD management comments for 57 recommendations, and DoD Components disagreed with the other 15 recommendations. There were also 21 recommendations that have remained unresolved between 1 and 4 years, and seven recommendations that still remain unresolved more than 5 years after the recommendations were made. Regardless of the cause, the DoD OIG and DoD management must work together to resolve unresolved recommendations. Figure 11 presents a breakdown of the 100 unresolved recommendations awaiting management comments and resolution for each DoD Component.

Figure 11. Number and Age of Unresolved Recommendations as of March 31, 2019



Source: The DoD OIG.

CHAPTER 4

Tables. Reports With Unresolved Recommendations

The tables below list 100 unresolved recommendations that remain open. Reasons for unresolved status include management responses that:

- did not fully address the intent of the recommendations (Type of Action: Management Comments), or
- did not agree to implement the recommendations; therefore, the recommendations are in the resolution process (Type of Action: Resolution).

The tables do not include the recommendation text for 6 unresolved classified recommendations.

This information is provided in Appendixes B and C.

Department of the Army

Report No. DODIG-2019-063, "Followup Audit of the Military Departments' Security Safeguards Over Secret Internet Protocol Router Network Access Points," March 18, 2019 (Full Report is Classified)

(FOUO)	Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
(FOUO)	Rec. A.1.e: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
	Rec. B.3: The DoD OIG recommended that the Army Deputy Chief of Staff for Intelligence direct Army Command officials to ensure personnel are aware of the requirement to complete end-of-day security checks.	Management Comments	13 days
(FOUO)	Rec. B.4: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO)	Rec. B.5.a: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO)	Rec. B.5.b: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
			(FOUO)

Department of the Navy**Report No. DODIG-2013-082, "Hotline Allegation Regarding the Failure to Take Action on Material Management and Accounting System Audit Findings," May 29, 2013**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. A.2.d: The DoD OIG recommended that the Commanding Officer, Supervisor of Shipbuilding, Conversion and Repair, re-evaluate the determination that the costs of complying with Standard 2 outweigh the benefits, and document adequate rationale for any disagreements with the auditor in accordance with DoD Instruction 7640.02, "Policy for Follow-up on Contract Audit Reports."	Resolution	5 years 10 months 2 days
Rec. A.2.e: The DoD OIG recommended that the Commanding Officer and Supervisor of Shipbuilding, Conversion, and Repair, in consultation with the Defense Contract Audit Agency, reassess the appropriateness of the March 15, 2013, agreement with the contractor on the master production schedule accuracy calculation.	Resolution	5 years 10 months 2 days

Report No. DODIG-2014-001, "MV-22 Squadrons Could Improve Reporting of Mission Capability Rates and Readiness," October 23, 2013 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Naval Air Forces Commander revise Naval Air Forces Commander Instruction 4790.2A, "Naval Aviation Maintenance Program," November 10, 2009, to include detailed procedures for maintenance officers to use to verify the accuracy and completeness of aircraft inventory reports and work orders before submission.	Management Comments	5 years 5 months 8 days

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. B.1: The DoD OIG recommended that the Secretary of the Navy and the Secretary of the Air Force, along with the Chairman of the Joint Chiefs of Staff, develop joint mission essential tasks lists for an explosive ordnance disposal response to a nuclear weapon incident.	Management Comments	1 year 8 months 3 days

CHAPTER 4

*Department of the Navy (cont'd)***Report No. DODIG-2019-063, "Followup Audit of the Military Departments' Security Safeguards Over Secret Internet Protocol Router Network Access Points," March 18, 2019 (Full Report is Classified)**

(FOUO) Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. A.2.b: The DoD OIG recommended that the Navy Chief Information Officer direct Navy Command officials to implement procedures to ensure that SECRET Internet Protocol Router Network access request forms are properly completed, reviewed, and approved before Navy Command officials grant users access to the SECRET Internet Protocol Router Network, ensure compliance with the processes, and maintain the SECRET Internet Protocol Router Network access request forms.	Management Comments	13 days
Rec. A.2.c: The DoD OIG recommended that the Navy Chief Information Officer direct Navy Command officials to implement processes to ensure that SECRET Internet Protocol Router Network users complete all required security training before receiving access to the network and ensure that all SECRET Internet Protocol Router Network users complete all required refresher training to maintain network access and ensure compliance with the processes.	Management Comments	13 days
(FOUO) Rec. A.2.d: The DoD OIG recommended that the Navy Chief Information Officer direct Navy Command officials to [REDACTED]	Management Comments	13 days
(FOUO) Rec. A.2.e: The DoD OIG recommended that the Navy Chief Information Officer direct Navy Command officials to [REDACTED]	Management Comments	13 days
(FOUO) Rec. B.8: The DoD OIG recommended that the [REDACTED]	Management Comments	13 days
(FOUO)		

Report No. DODIG-2019-065, "Evaluation of DoD Voting Assistance Programs for 2018," March 25, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Naval Inspector General determine if the evaluation protocol is accurately capturing naval unit compliance with the Federal Voting Assistance Program.	Management Comments	6 days

Department of the Navy (cont'd)

Report No. DODIG-2019-066, "Summary Audit of Systemic Weaknesses in the Cost of War Reports," March 22, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
<p>Rec. 2: The DoD OIG recommended that the Under Secretary of the Navy, in coordination with the Assistant Secretary of the Navy for Financial Management and Comptroller and the Deputy Commandant of the Marine Corps for Programs and Resources, develop and implement procedures to capture the required level of detail of war-related overseas contingency operation costs in the respective accounting system.</p>	Management Comments	9 days

U.S. Marine Corps

Report No. DODIG-2014-001, "MV-22 Squadrons Could Improve Reporting of Mission Capability Rates and Readiness," October 23, 2013 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations require mandatory training and establish refresher training for all MV-22 operations personnel on how to complete readiness reports in the Defense Readiness Reporting System-Marine Corps.</p>	Management Comments	5 years 5 months 8 days
<p>Rec. 2.b.1: The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations revise the Commander's Readiness Handbook, January 2012, to include, at a minimum, detailed examples of the commander's category-level remarks and equipment condition-level remarks.</p>	Management Comments	5 years 5 months 8 days
<p>Rec. 2.b.2: The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations revise the Commander's Readiness Handbook, January 2012, to include in the Commander's Checklist, at a minimum, the equipment condition data elements and a requirement for the commander's signature on the readiness reports to attest to the accuracy of information provided.</p>	Management Comments	5 years 5 months 8 days
<p>Rec. 2.c: The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations require submission of the revised Commander's Checklist with the readiness report.</p>	Management Comments	5 years 5 months 8 days

CHAPTER 4

Department of the Air Force

Report No. DODIG-2019-058, “Summary and Follow-up Report on Audits of DoD Energy Savings Performance Contracts,” February 14, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics direct Air Force energy savings performance contracting officers, and coordinate with the Air Force Civil Engineer Center Commander, to identify all active legacy Air Force energy savings performance contracts with contractor-claimed energy savings not previously validated by the Government validation.</p>	Management Comments	1 month 17 days
<p>Rec. 2.b: The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics direct Air Force energy savings performance contracting officers, and coordinate with the Air Force Civil Engineer Center Commander, to validate all past contractor-claimed energy savings included in contractor post installation and measurement and verification reports not previously validated.</p>	Management Comments	1 month 17 days

Report No. DODIG-2019-061, “Audit of the DoD’s Implementation of Recommendations on Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations,” March 7, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Commander of U.S. Army Installation Management Command and the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force, conduct a review of all general public tenants leasing privatized housing on military installations as of January 1, 2019, to ensure that those tenants receive complete and adequate background checks and that access badge expiration dates do not exceed lease expiration dates in accordance, with current Military Department guidance.</p>	Management Comments	24 days

Department of the Air Force (cont'd)

Report No. DODIG-2019-063, "Followup Audit of the Military Departments' Security Safeguards Over Secret Internet Protocol Router Network Access Points," March 18, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. A.3.a: Recommendation is Classified.	Management Comments	13 days
Rec. A.3.b: The DoD OIG recommended that the Air Force Chief Information Officer direct Air Force Command officials to implement procedures to ensure that SECRET Internet Protocol Router Network access request forms are properly completed, reviewed, and approved before Air Force Command officials grant users access to the SECRET Internet Protocol Router Network. In addition, ensure compliance with the processes and maintain the SECRET Internet Protocol Router Network access request forms.	Management Comments	13 days
Rec. A.3.c: The DoD OIG recommended that the Air Force Chief Information Officer direct Air Force Command officials to implement processes to ensure that SECRET Internet Protocol Router Network users complete all required security training before receiving access to the network and ensure that all SECRET Internet Protocol Router Network users complete all required refresher training to maintain network access and ensure compliance with the processes.	Management Comments	13 days
(FOUO) Rec. A.3.d: The DoD OIG recommended that [REDACTED]	Management Comments	13 days
(FOUO) Rec. A.3.f: The DoD OIG recommended that [REDACTED]	Management Comments	13 days
(FOUO) Rec. B.9: The DoD OIG recommended that [REDACTED]	Management Comments	13 days

(FOUO)

CHAPTER 4

Joint Chiefs of Staff

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. B.1: The DoD OIG recommended that the Secretary of the Navy and the Secretary of the Air Force, along with the Chairman of the Joint Chiefs of Staff, develop joint mission essential tasks lists for an explosive ordnance disposal response to a nuclear weapon incident.	Management Comments	1 year 8 months 3 days

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 2: Recommendation is Classified.	Resolution	1 year 1 day
Rec. 3: Recommendation is Classified.	Resolution	1 year 1 day

Report No. DODIG-2018-142, "U.S. Africa Command and U.S. European Command Integration of Operational Contract Support," August 9, 2018

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. B.2: The DoD OIG recommended the Chairman of the Joint Chiefs of Staff develop procedures to conduct periodic reviews of combatant commands' implementation of operational contract support doctrine to ensure operational contract support integration, planning, personnel and training are conducted as required.	Management Comments	7 months 22 days

Report No. DODIG-2018-159, "Evaluation of the Integrated Tactical Warning/Attack Assessment System," September 26, 2018 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 1: Recommendation is Classified.	Management Comments	6 months 5 days

Under Secretary of Defense for Acquisition and Sustainment

Report No. DODIG-2017-092, "Audit of the Defense Contract Audit Agency Field Detachment," June 14, 2017 (Full Report is FOUO)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. A.1.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office, upon completion of Recommendation A.1.a, work with the Defense Contract Audit Agency Security Officer to prioritize security vulnerabilities for remediation and establish timelines for completion.	Management Comments	1 year 9 months 17 days

Under Secretary of Defense for Policy

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 3: Recommendation is Classified.	Resolution	1 year 1 day

Report No. DODIG-2019-055, "Evaluation of Integrated Joint Special Technical Operations," February 11, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 3.a: Recommendation is Classified.	Management Comments	1 month 20 days
Rec. 3.c: Recommendation is Classified.	Management Comments	1 month 20 days

CHAPTER 4

Under Secretary of Defense (Comptroller)

Report No. DODIG-2018-120, "The Treasury Index 97 Cash Management Report," May 23, 2018

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer create individual Defense Working Capital Fund accounts at the Department of the Treasury for the Defense Information Systems Agency, Defense Logistics Agency, and Defense Finance and Accounting Service.	Management Comments	10 months 8 days
Rec. 1.d: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer develop a plan to reduce the number and dollar amount of unidentified limits used for Treasury Index 97 appropriations.	Management Comments	10 months 8 days
Rec. 1.e: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer establish metrics that report the number of transactions in unidentified limits and the total absolute dollar amount of these transactions by accounting and disbursing system monthly.	Management Comments	10 months 8 days
Rec. 1.f: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer work with the Department of the Treasury to either establish Fund Balance With Treasury accounts for select Other Defense Organizations when appropriate or establish four-digit limits for Treasury Index 97 Fund Balance With Treasury accounts in the Central Accounting and Reporting System.	Management Comments	10 months 8 days

Report No. DODIG-2019-066, "Summary Audit of Systemic Weaknesses in the Cost of War Reports," March 22, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Deputy Comptroller (Program/Budget), Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, develop and implement a review process to verify that the DoD Components develop, review, update, and implement their Cost of War standard operating procedures for accurate and consistent reporting of war-related overseas contingency operation costs.	Management Comments	9 days
Rec. 3: The DoD OIG recommended that the Deputy Comptroller (Program/Budget), Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Finance and Accounting Service Director and each Service's Assistant Secretary for Financial Management and Comptroller, develop and implement a review process to verify that DoD Components update their management tools and accounting systems to properly identify and record war-related overseas contingency operation costs.	Resolution	9 days

Under Secretary of Defense for Personnel and Readiness

Report No. DODIG-2016-002, “DoD Needs a Comprehensive Approach to Address Workplace Violence,” October 15, 2015

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, based on the results of Recommendation 1, revise current policies and procedures and integrate existing programs to develop a comprehensive DoD-wide approach to address prevention and response to workplace violence.	Management Comments	3 years 5 months 16 days
Rec. 2.b: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and issue interim, comprehensive DoD-wide policy for the prevention and response to workplace violence until the publication of final policies and procedures.	Management Comments	3 years 5 months 16 days

Under Secretary of Defense for Intelligence

Report No. DODIG-2014-060, “An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies,” April 14, 2014

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. B.4: The DoD OIG recommended that the Director of the Defense Human Resources Activity work with General Services Administration to add Excluded Parties List System/System for Award Management to the set of databases being accessed by the Automated Continuing Evaluation System.*	Management Comments	4 years 11 months 17 days

* Responsibility for implementing Recommendation B.4 was transferred from USD (P&R) to USD (I).

Report No. DODIG-2018-097, “U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans,” March 30, 2018 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 2: Recommendation is Classified.	Resolution	1 year 1 day

CHAPTER 4

Under Secretary of Defense for Intelligence (cont'd)

Report No. DODIG-2019-042, "Evaluation of Social Media Exploitation Procedures Supporting Operation Inherent Resolve," December 28, 2018

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 3.a: Recommendation is Classified.	Resolution	3 months 3 days

U.S. Central Command

Report No. DODIG-2018-157, "Followup on DoD OIG Report No. DODIG-2013-099, "Compliance with Electrical and Fire Protection Standards of U.S. Controlled and Occupied Facilities in Afghanistan," July 18, 2013 at Kandahar Airfield," September 28, 2018

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. B.1: The DoD OIG recommended that the U.S. Forces-Afghanistan Commander ensure that Qualified Fire Protection Engineers are available in the U.S. Forces-Afghanistan area of responsibility to perform oversight of fire protections systems, as required by Unified Facilities Criteria 3-600-01.	Management Comments	6 months 3 days
Rec. B.2.a: The DoD OIG recommended that the U.S. Forces-Afghanistan Commander ensure inspection, testing, and maintenance of engineered fire protection systems in density facilities, in accordance with Unified Facilities Criteria 3-601-02.	Management Comments	6 months 3 days
Rec. B.2.b: The DoD OIG recommended recommend that the U.S. Forces-Afghanistan Commander ensure inspection, testing, and maintenance of all fire protections systems in non-density facilities, in accordance with Unified Facilities Criteria 3-600-01 and Unified Facilities Criteria 3-601-02.	Management Comments	6 months 3 days

U.S. Special Operations Command

Report No. DODIG-2016-098, "Evaluation of Foreign Officer Involvement at the United States Special Operations Command," June 15, 2016 (Full Report is Classified)

(FOUO)	Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
	Rec. A.2.b: The DoD OIG recommended that the Commander of the U.S. Special Operations Command ensure that existing Annex Bs to the international agreements contain the level of detail and classification consistent with the foreign officer's actual mission requirement.*	Management Comments	2 years 9 months 16 days
	Rec. A.2.e: The DoD OIG recommended that the Commander of the U.S. Special Operations Command seek appropriate authority for the foreign intelligence officers assigned or attached to U.S. Special Operations Command and follow established procedures for the collection and exchange of intelligence in accordance with DoD Directive 5530.0.*	Management Comments	2 years 9 months 16 days
	Rec. B.1.a: The DoD OIG recommended that the Commander of the U.S. Special Operations Command discontinue the practice of Five Eye partners providing escort within Sensitive Compartmented Information Facility spaces in order to comply with Intelligence Community Directive 705, "Sensitive Compartmented Information Facilities," and DoD Manual 5105.21-V2, "Sensitive Compartmented Information (SCI) Administrative Security Manual," October 19, 2012.*	Management Comments	2 years 9 months 16 days
(FOUO)	Rec. C.1: The DoD OIG recommended that the Commander of the U.S. Special Operations Command [REDACTED]	Management Comments	2 years 9 months 16 days
	Rec. D.2: The DoD OIG recommended that the Commander of the U.S. Special Operations Command obtain automated information systems accreditations for the secure facilities that process sensitive compartmented information electronically.*	Management Comments	2 years 9 months 16 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

(FOUO)

U.S. Cyber Command

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 3: Recommendation is Classified.	Resolution	1 year 1 day

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DoD Office of the General Counsel

Report No. DODIG-2014-060, "An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies," April 14, 2014

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. A.3: The DoD OIG recommended that the DoD Office of General Counsel prepare an update to or replacement for DoD Directive 5220.6 to make it compliant with the requirements of DoD Instruction 5025.01 for accuracy and currency.	Management Comments	4 years 11 months 17 days

DoD Chief Information Officer

Report No. DODIG-2018-154, "DoD Information Technology System Repositories," September 24, 2018

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. B.1.b: The DoD OIG recommended that the DoD Chief Information Officer require DoD Components to conduct and submit a business case analysis to the DoD Chief Information Officer before selecting or renewing the use of a commercial Risk Management Framework accreditation and authorization tool, rather than using the Enterprise Mission Assurance Support Service.	Resolution	6 months 7 days
Rec. B.1.c: The DoD OIG recommended that the DoD Chief Information Officer develop a process to evaluate and approve DoD Components' business case analysis for the use of a commercial Risk Management Framework accreditation and authorization tool, rather than using the Enterprise Mission Assurance Support Service.	Resolution	6 months 7 days
Rec. B.1.d: The DoD OIG recommended that the DoD Chief Information Officer require all DoD Components to use the Enterprise Mission Assurance Support Service when the DoD develops the capability for the Enterprise Mission Assurance Support Service to maintain top secret information technology system data.	Resolution	6 months 7 days

DoD Chief Information Officer (cont'd)

Report No. DODIG-2018-163, "DoD Cyber Incident Handling Program for Mission-Critical Control Systems," September 28, 2018 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 2.b.1: The DoD OIG recommended that the Deputy Secretary of Defense ensure that the Office of Primary Responsibility develops and implements a coordinated strategy for a control system Cyber Incident Handling Program that includes, at a minimum, common procurement requirements and contractual language for inclusion in the Defense Federal Acquisition Regulation Supplement to ensure appropriate cybersecurity for outsourced control system operations and maintenance.*	Management Comments	6 months 3 days
Rec. 2.b.4: The DoD OIG recommended that the Deputy Secretary of Defense ensure that the Office of Primary Responsibility develops and implements a coordinated strategy for a control system Cyber Incident Handling Program that includes, at a minimum, a plan of action and milestones for inventorying and integrating control systems into the DoD cybersecurity vulnerability management processes.*	Management Comments	6 months 3 days

* Responsibility for implementing Recommendation 2.b.1, and 2.b.4 was transferred from the DEPSECDEF to DoD CIO.

Report No. DODIG-2019-063, "Followup Audit of the Military Departments' Security Safeguards Over Secret Internet Protocol Router Network Access Points," March 18, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
(FOUO) Rec. A.4: The DoD OIG recommended that [REDACTED]	Management Comments	13 days
Rec. B.10: The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the Under Secretary of Defense (Intelligence), ensure that the Army, Navy, and Air Force Chief Information Officers; the Army Deputy Chief of Staff for Intelligence; and the Army Provost Marshal General apply corrective actions related to physical security safeguards at each Command within their Components.	Management Comments	13 days

(FOUO)

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Assistant Secretary of Defense for Health Affairs

Report No. DODIG-2019-038, "Follow-up of Delinquent Medical Service Account Audits," December 19, 2018

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. A.1.f: The DoD OIG recommended that the Defense Health Agency Director review the current process to determine how to improve the transfer time of delinquent accounts, as well as establish and implement guidance for monitoring and processing delinquent accounts to allow for compliance with the Financial Management Regulation requirement to send 120-day delinquent accounts to the Treasury.	Management Comments	3 months 12 days
Rec. A.1.m: The DoD OIG recommended that the Defense Health Agency Director work with medical treatment facilities' Uniform Business Office management to research and review all Composite Health Care System transactions transferred to the Armed Forces Billing and Collection Utilization Solution system and identify all information that was not properly transferred to ensure that account data and status is accurate and that the accounts are billed, transferred to the Treasury, or written off as appropriate.	Management Comments	3 months 12 days
Rec. A.1.n: The DoD OIG recommended that the Defense Health Agency Director determine which reports in the Armed Forces Billing and Collection Utilization Solution system are used to identify medical service accounts for billing and reporting and require medical treatment facility Uniform Business Office personnel for all Services to review those reports to ensure the data is accurate and reliable.	Management Comments	3 months 12 days
Rec. A.1.q: The DoD OIG recommended that the Defense Health Agency Director provide additional training to Uniform Business Office personnel for processing medical service accounts in the Armed Forces Billing and Collection Utilization Solution system.	Management Comments	3 months 12 days

National Security Agency

Report No. DODIG-2019-055, "Evaluation of Integrated Joint Special Technical Operations," February 11, 2019

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 4: Recommendation is Classified.	Management Comments	1 month 20 days

Defense Intelligence Agency

Report No. DODIG-2016-098, "Evaluation of Foreign Officer Involvement at the United States Special Operations Command," June 15, 2016 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. B.2.c: The DoD OIG recommended that the Director of the Defense Intelligence Agency review the United States Special Operations Command's automated information systems accreditation.*	Management Comments	2 years 9 months 16 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Defense Contract Audit Agency

Report No. DODIG-2017-092, "Audit of the Defense Contract Audit Agency Field Detachment," June 14, 2017 (Full Report is FOUO)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. B.2.b.3: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency reassess the use of regular telework schedules to ensure adequate personnel are available to audit classified and Special Action Program contracts.	Management Comments	1 year 9 months 17 days
Rec. B.2.c: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency acquire and use a classified automated information system for conducting classified audit assignments and reports.	Resolution	1 year 9 months 17 days

Report No. DODIG-2018-028, "External Peer Review on the Defense Contract Audit Agency System Review Report," November 17, 2017

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 4: The DoD OIG recommended that the Defense Contract Audit Agency Director assess and improve the quality assurance procedures for assisting supervisors in their reviews of audits, to include ensuring that the auditor sufficiently documents the work, obtains sufficient evidence, and prepares reports that comply with Government Auditing Standards. The Director should consider requiring supervisors to complete a checklist addressing the key professional auditing standards.	Management Comments	1 year 4 months 14 days

CHAPTER 4

Defense Finance and Accounting Service

Report No. DODIG-2018-120, "The Treasury Index 97 Cash Management Report," May 23, 2018

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 3.d.3: The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis develop standard operating procedures that require Defense Finance and Accounting Service-Indianapolis to obtain written approval from the Other Defense Organizations for any adjustment made to Treasury Index 97 accounts.	Management Comments	10 months 8 days

Classified

Report No. DODIG-2019-034, "Security Controls at DoD Facilities for Protecting Ballistic Missile Defense System Technical Information," December 10, 2018 (Full Report is Classified)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to using multifactor authentication.*	Resolution	3 months 21 days
Rec. 1.a: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to using multifactor authentication.*	Management Comments	3 months 21 days
Rec. 1.b: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to mitigating vulnerabilities in a timely manner.*	Resolution	3 months 21 days
Rec. 1.b: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to mitigating vulnerabilities in a timely manner.*	Management Comments	3 months 21 days
Rec. 1.c: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to securing server racks.*	Management Comments	3 months 21 days
Rec. 1.c: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to securing server racks.*	Management Comments	3 months 21 days

Classified – Report No. DODIG-2019-034 (cont'd)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 1.d: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to protecting and monitoring data on removable media.	Resolution	3 months 21 days
Rec. 1.e: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to implementing intrusion detection controls.*	Resolution	3 months 21 days
Rec. 1.e: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to implementing intrusion detection controls.*	Management Comments	3 months 21 days
Rec. 1.f: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to requiring and maintaining justifications for accessing networks.	Management Comments	3 months 21 days
Rec. 1.g: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to implementing physical security controls.*	Resolution	3 months 21 days
Rec. 1.g: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to implementing physical security controls.*	Management Comments	3 months 21 days
Rec. 2.a: The DoD OIG recommended that the [Redacted] enforce the use of multifactor authentication to access systems that process, store, and transmit ballistic missile defense system technical information or obtain a waiver that exempts the networks from using multifactor authentication.	Management Comments	3 months 21 days
Rec. 2.b: The DoD OIG recommended that the [Redacted] encrypt ballistic missile Defense system technical information stored on removable media.	Resolution	3 months 21 days
Rec. 2.d: The DoD OIG recommended that the [Redacted] assess existing security camera placements to identify gaps in security coverage and install security cameras with [Redacted] to monitor personnel movements throughout their facilities.	Resolution	3 months 21 days
Rec. 2.e: The DoD OIG recommended that the [Redacted] develop plans of action and milestones, and take appropriate and timely steps to mitigate known vulnerabilities.	Management Comments	3 months 21 days

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Classified – Report No. DODIG-2019-034 (cont'd)

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 2.f: The DoD OIG recommended that the [Redacted] provide security refresher training to security personnel and facility occupants to ensure physical security requirements, to include challenging individuals that do not display appropriate badges, are met.	Resolution	3 months 21 days
Rec. 2.i: The DoD OIG recommended that the [Redacted] require written justification as a condition for obtaining access to all networks and systems that process, store, and transmit ballistic missile defense system technical information.	Management Comments	3 months 21 days
Rec. 4.a: The DoD OIG recommended that the Chief Information Officers for the [Redacted] encrypt ballistic missile defense system technical information stored on removable media.	Resolution	3 months 21 days
Rec. 4.c: The DoD OIG recommended that the Chief Information Officers for the [Redacted] assess existing security camera placements to identify gaps in security coverage and install security cameras with [Redacted] to monitor personnel movements throughout their facilities.	Resolution	3 months 21 days
Rec. 5.c: The DoD OIG recommended that the Chief Information Officer for the [Redacted] maintain access request forms for all users with access to networks and systems that contain ballistic missile defense system technical information and verify, at least annually, the continued need for access.	Management Comments	3 months 21 days

* This recommendation was addressed to, and remains unresolved for, more than one DoD Component.

**Report No. DODIG-2019-054, “Evaluation of Special Access Programs Industrial Security Program,”
February 11, 2019**

Recommendation Number and Text	Type of Action	Age of Recommendation on 3/31/19
Rec. 2.a: Recommendation is Classified.	Management Comments	1 month 20 days
Rec. 2.b: Recommendation is Classified.	Management Comments	1 month 20 days
Rec. 5: Recommendation is Classified.	Management Comments	1 month 20 days



CHAPTER 5

Recommendations Made in Financial Statement Audits

Introduction

Each year the DoD prepares its annual Agency Financial Report (financial report) to describe and communicate the financial position and results of operations of the DoD. Prior to FY 2018, the DoD's financial report was not fully audited because the National Defense Authorization Act of 2002 required the DoD OIG to only perform the procedures necessary to audit what the DoD asserted as audit ready. The DoD did not assert to audit readiness for its financial statements until FY 2018.

To comply with the National Defense Authorization Act of 2014, the DoD OIG ensured that the DoD's financial statements underwent a full scope audit in FY 2018. The DoD OIG, and five independent public accounting (IPA) firms overseen by the DoD OIG, performed 21 audits consisting of audit procedures on balances listed on the DoD's and DoD Components' financial statements to determine if the financial statements were accurately presented. In addition, the DoD OIG audited the consolidated DoD Agency-Wide Basic Financial Statements, which is the combination of the DoD Components' financial statements.

Notifications of Findings and Recommendations

The OIG and IPA auditors issue notifications of findings and recommendations (NFRs) during the financial statement audits to communicate the following to management in a timely manner:

- any identified weaknesses and inefficiencies in financial processes,
- the impact of these weaknesses and inefficiencies,
- the reason the weaknesses and inefficiencies exist, and
- recommendations to management on how to correct the weaknesses and inefficiencies. As with findings in a performance audit report, each financial statement NFR may contain multiple recommendations to address the identified weaknesses.

Once the auditors issue an NFR, management has an opportunity to concur or non-concur with the finding and recommendation. If management concurs, it develops corrective action plans to address the NFRs. Corrective action plans describe the specific actions management plans to take to address the findings identified in the NFRs. Additionally, corrective action plans include milestones for management's plan to develop, implement, and validate the effectiveness of the solutions to the recommendations.

Followup Process

During each year's financial statement audit, one of the OIG and IPA auditors' standard procedures is to follow up on prior year NFRs and associated corrective actions. The auditors assess management's corrective actions, and may reissue, modify, or close the NFR, depending on the auditor's findings. Prior year NFRs are considered reissued if management has not fully remediated the weaknesses or inefficiencies identified by the auditors. Prior year NFRs may be modified if the recommendations are partially implemented or if the auditors have additional findings based on audit procedures performed in the current year audit. Prior year NFRs are considered closed when management corrects the conditions identified in the NFR and the auditors verify the condition is resolved through current year testing.

NFR Database

In 2017, the DoD Chief Financial Officer (CFO) developed a centralized database to track the NFRs and the corrective actions. The database provides DoD financial managers a consolidated, centralized view on all NFRs. DoD management plans to use the information in the NFR database to prepare its Financial Improvement and Audit Remediation Plan, which describes the specific actions that the DoD plans to take to address the NFRs, interim milestones for completing these actions, and cost estimates for implementing these actions.

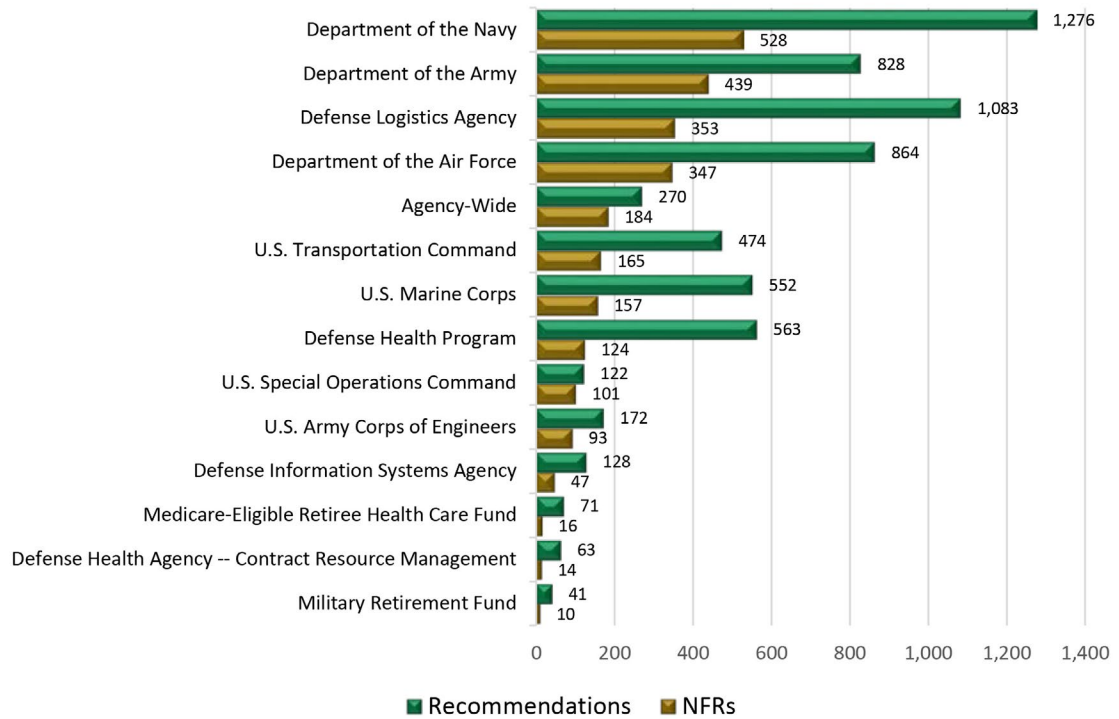
In addition, to specifically address NFRs related to Information Technology (IT) systems, in April 2018, the DoD CFO established an IT group to report on the status of IT NFRs and associated corrective action plans, identify common IT issues, share solutions, and identify instances where common solutions are needed.

Results

The DoD OIG and IPA auditors visited over 600 DoD locations, sent over 40,000 requests for documentation, and tested over 90,000 sample items for the audits of the DoD and its Components. As a result of the site visits, testing, and reviews of DoD documents, the DoD OIG and IPA auditors issued 2,578 NFRs related to the DoD's financial statements. The NFRs included 6,507 recommendations to address findings identified in the auditors' opinion reports. See Figure 12 for a summary of the NFRs and recommendations issued to each DoD Component.

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Figure 12. NFR and Recommendation Summary



Source: The DoD OIG.

Financial Statement Audit Opinion Reports

Background

The fundamental purpose of a financial statement audit is to provide independent assurance that DoD management has presented an accurate and fair view of its financial performance. The audit also identifies areas where management could improve its controls and processes to enhance its operations. As discussed above, auditors issue NFRs throughout the audit to alert management to areas where management could improve its controls and processes. In addition to the NFRs issued throughout the audit, auditors also issue three specific reports at the conclusion of the audit.

- The Opinion Report provides the auditors conclusions on whether the financial statements were fairly presented in accordance with Generally Accepted Accounting Principles.
- The Report on Internal Controls over Financial Reporting identifies any material weaknesses or significant deficiencies in internal control over financial reporting.

- The Report on Compliance with Laws, Regulations, Contracts, and Grant Agreements identifies noncompliance with selected provisions of applicable laws, regulations, contracts, and grant agreements and other matters, including the Federal Financial Management Improvement Act of 1996.

Auditors classify the weaknesses and inefficiencies in financial processes—identified in NFRs—based on the severity of the weakness. The classifications include material weaknesses, significant deficiencies, and control deficiencies.

- Material weaknesses are deficiencies or a combination of deficiencies in internal control over financial reporting that result in a reasonable possibility that management will not prevent, or detect and correct, a material misstatement in its financial statements in a timely manner.
- Significant deficiencies are similar to—however, less severe than—material weaknesses, yet are important enough to bring to management’s attention.
- Control deficiencies are noted weaknesses or deficiencies that auditors bring to the attention of management that typically do not have an impact on the financial statements but which could improve the business processes of the agency.

Auditors present material weaknesses and significant deficiencies in the auditor’s report on internal control of financial reporting. In addition, the auditors provide a report to the audited entity that identifies instances of non-compliance with laws and regulations within the DoD and the DoD Components. Auditors also have an opportunity to provide recommendations related to the material weaknesses, significant deficiencies, and noncompliance with laws and regulations. The auditor’s reports containing all these matters and recommendations, if provided, are included as a section in the entity’s annual Agency Financial Report.

Results

The DoD OIG and IPA auditors identified 157 material weaknesses across the DoD Components as a result of consolidating the findings identified in the NFRs. Many of the material weaknesses identified are consistent between the DoD Components and within the Components’ funds.¹⁴ For example, most Components have material weaknesses related to IT systems, such as inadequate access. Later in this chapter, we identify and explain six material weaknesses that, in our judgment, stand out as most significant.

¹⁴ For example, the Army General Fund and the Army Working Capital Fund are both entities within the Department of the Army. These two entities each had a material weakness relating to Fund Balance with Treasury.

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Auditors made recommendations to address nine significant deficiencies identified at various DoD Components. Significant deficiencies often address the same business processes as material weaknesses. For example, an IPA issued a material weakness to the Army in FY 2017 and determined that the Army made improvements in FY 2018. Therefore, the material weakness was downgraded to a significant deficiency in FY 2018.

In addition, we identified 41 instances where auditors issued recommendations to address the entity's non-compliance with laws and regulations. Similar to material weaknesses, many of the instances of non-compliance with laws and regulations are consistent between Components. For example, most Components did not fully comply with the Federal Managers' Financial Integrity Act of 1982 or the Federal Financial Management Improvement Act of 1996.¹⁵

In total, the DoD OIG and IPA auditors made 1,537 recommendations to address the 157 material weaknesses, 9 significant deficiencies, and 41 instances of non-compliance identified in the auditors' financial statement audit opinion reports. Generally, the opinion report recommendations are summaries of the NFR recommendations. However, the IPA auditors may also issue separate recommendations in the opinion reports. The 1,537 recommendations in the opinion reports include recommendations summarized from the NFRs and recommendations issued by the IPA auditors in the opinion reports. Figure 13 provides the number of material weaknesses, significant deficiencies, and instances of non-compliances for each of the Component audits overseen by the DoD OIG.

¹⁵ The Federal Manager's Financial Integrity Act requires the DoD to perform ongoing evaluations and report on the adequacy of its systems of internal accounting and administrative control.

The Federal Financial Management Improvement Act requires the DoD to establish and maintain financial management systems that comply substantially with the Federal financial management systems requirements, application Federal accounting standards, and the U.S Standard General Ledger at the Transaction level.

We only counted those material weaknesses, significant deficiencies, or instances of non-compliance if there were recommendations made to address those areas. For example, some entities received findings related to non-compliance in the auditor's report, but the auditor did not make any recommendations in said report. In that case, we did not count it for these purposes.

Figure 13. Summary of Audit Results per DoD Component

DoD Component	Material Weaknesses	Significant Deficiencies	Non-Compliance	Recommendations
Department of the Army	24	2	5	150
Department of the Navy	22	0	0	164
Department of the Air Force	23	2	0	213
U.S. Marine Corps	9	0	0	182
U.S. Army Corps of Engineers	3	1	1	18
Defense Health Program	13	0	23	83
Defense Information Systems Agency	9	0	0	94
Defense Logistics Agency	21	2	6	513
U.S. Special Operations Command	5	1	2	59
U.S. Transportation Command	5	0	0	47
Defense Health Agency–Contract Resource Management	0	0	0	0
Medicare-Eligible Retiree Health Care Fund	1	0	1	2
Military Retirement Fund	0	0	0	0
Agency-Wide	22	1	3	12
Total	157	9	41	1537

Significant DoD Material Weaknesses

Based on the results of the DoD's FY 2018 audits, we selected six material weaknesses that occurred across multiple Components. In our judgment, these six material weaknesses stand out as most significant and are key to obtaining a clean audit opinion.

1. Financial Management Systems and Information Technology
2. Universe of Transactions
3. Inventory

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4. Property, Plant, and Equipment
5. Fund Balance with Treasury
6. Financial Statement Compilation

Financial Management Systems and Information Technology

For FY 2018, auditors issued over 1,000 NFRs related to the DoD's financial management systems and its IT systems. Most Components had either a material weakness related to financial management systems and the IT environment or both a material weakness and an instance of non-compliance with the Federal Financial Management Improvement Act of 1996.

Within the DoD, financial transactions are rarely completed using only one IT system from the point of initiation to the point that the transactions are reported on the financial statements. In addition, DoD Components do not own and operate all of the IT systems that they use to process their financial transactions. In 2016, the DoD reported that it had nearly 400 separate IT systems to process accounting data. For example, to process and record contract payments, the Services depend on over a dozen IT systems that are owned and operated by other DoD Components.

Ineffective IT system controls can also result in significant risk to DoD operations and assets. For example, because of weak IT system controls, payments and collections could be lost, stolen, or duplicated and disruptions could occur in critical operations, such as those supporting National defense and emergency services. Across multiple DoD Components, auditors found significant control deficiencies regarding IT systems. Specifically, the auditors found that:

- Components were not monitoring sensitive user activities, including activities of privileged users;
- access rights and responsibilities were not appropriately restricted according to segregation of duties policy;
- user access was not terminated in a timely manner when the user left the organization; and
- controls had not been implemented to identify unintentional or unauthorized changes made to applications, databases, or data.

For example, an auditor found that for a specific system at the U.S. Transportation Command the segregation of duties needed to be strengthened. The auditor recommended that the U.S. Transportation Command leadership:

- formally identify and document compensating controls external to the application that is being relied upon to ensure data accuracy;
- develop, document, and formally implement compensating controls to minimize risks around individuals with full access to the system; and
- periodically evaluate whether compensating controls are still in place and operating as intended.

In another example, an auditor found that the Navy was not tracking interface errors through resolution. The auditor recommended that Navy leadership:

- develop formal interface error handling procedures, which includes procedures for identifying, resolving, and tracking errors from identification through resolution; and
- verify that error correction procedures are developed and that errors are identified and corrected in a timely manner for all inbound and outbound interface partners.

Universe of Transactions

A significant hurdle to progress on the DoD's financial statements is the DoD's inability to produce a complete, accurate, and reconcilable universe of transactions. A universe of transactions is a central repository of financial transactions, such as transactions related to the DoD's inventory, property, and payroll. The universe of transactions is compiled by combining all transactions from multiple accounting systems. The DoD Components must be able to identify a universe of transactions that supports the information reported on its financial statements.

The DoD is experiencing significant challenges in providing an accurate universe of transactions due to the large number of transactions, systems, and owners of the financial data. Component auditors issued more than 50 NFRs related to weaknesses in the universe of transactions in FY 2018. As a result of the weaknesses identified, the auditors classified the universe of transactions as a material weakness for six Components.

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For example, an auditor issued an NFR to the Defense Health Program because it did not have a fully documented or accurate universe of transaction reconciliation process. The auditor recommended that the Defense Health Program management:

- develop and improve on documenting the universe of transactions reconciliation process;
- develop an analysis of the financial statement impact of not having general ledger transaction data;
- continue to implement procedures to complete and document crosswalk reconciliations from general ledger system trial balances to Defense Departmental Reporting System trial balances to ensure completeness and accuracy. The crosswalk reconciliations should be formalized to allow an external auditor to re-perform the crosswalk.

Inventory

The Military Services and DoD Components own inventory that they must report on their financial statements. The inventory can be in the custody of and managed by the Military Service or the DoD Component that owns the items or in the custody of and managed by another organization.

Inaccurate information in financial reporting can have significant consequences. For example, if a Military Service believes it has a low quantity of a spare part for an aircraft based on a service provider's inaccurate report or does not review the inventory held by others, the Service may decide to order additional parts that it does not need, which is a waste of funds. Conversely, if the Service inaccurately believes that it has a sufficient quantity of spare parts for an aircraft, it may not order additional spare parts, resulting in shortfalls of the parts and the inability of aircraft to be repaired rapidly, which can affect operational readiness.

In FY 2018 auditors issued over 70 NFRs related to inventory and related property. These NFRs indicated that DoD Components lacked adequate systems and controls necessary to provide assurance over the existence, completeness, and valuation of inventory recorded in the DoD's financial statements. For example, auditors found that items selected for testing:

- had been moved or used but were still in the inventory records;
- were found in the warehouse but not listed in the inventory records;

- were recorded as in good condition but were actually unserviceable; and
- did not have supporting documentation to demonstrate ownership.

For example, in auditing the inventory held by the Defense Logistics Agency, the auditor could not determine which inventory was owned by the Defense Logistics Agency and which was owned by the Services. In addition, the auditor noted that the Defense Logistics Agency personnel could not provide evidence of inventory transactions. As a result, the auditor recommended that the Defense Logistics Agency develop or update policies and procedures to:

- properly identify the inventory owner of the materiel,
- segregate the inventory by owner,
- record inventory gains and losses for inventory held on behalf of others,
- ensure evidential matter is maintained to support inventory balances, and
- standardize evidential matter required to support financial events.

General Property, Plant, and Equipment

General Property, Plant, and Equipment (PP&E) on the financial statements consists of tangible assets valued at \$100,000 or more at the time of purchase or construction that is intended for use by the Component that acquired or constructed it and that can be used for 2 or more years.¹⁶ General PP&E includes land, buildings, structures, facilities, and military equipment used to support the DoD's mission.

On the FY 2018 balance sheet, the DoD reported the value of General PP&E as \$758.8 billion, which is the second largest category of assets on the DoD's balance sheet in FY 2018. The DoD faces challenges in accounting for its General PP&E assets due to the size, age, and locations of the General PP&E. In addition, the DoD has struggled to obtain evidence to support how much the DoD paid for the assets. This is especially difficult with historical assets, such as radar devices, communication equipment, excavating vehicles, and Vietnam War-era aircraft, because the original documentation establishing the value of the assets no longer exists.

¹⁶ There are varying capitalization thresholds across the Components, with some thresholds higher than \$100,000.

CHAPTER 5

Inaccurate and incomplete property systems can lead to wasteful costs to replace items that already exist or equipment that could be used but is sitting idle. Without accurate records, the DoD does not know what equipment it has, the equipment's condition, and what equipment it needs to buy to support the readiness of its military forces. Auditors issued over 100 NFRs related to General PP&E in FY 2018.

For example, an auditor noted that the Air Force accountable property system of record did not reflect a complete or accurate transfer of data related to joint basing activities. The auditor recommended that the Air Force perform a quality review check of joint basing initiatives completed to date to assess the sufficiency of records supporting facilities that have been transferred in, as well as accuracy of data within the Accountable Property System of Record.

In another example, an auditor noted that the Air Force's procedures for the performance of physical inventories did not address the risk of completeness.

The auditor recommended that the Air Force:

- develop internal controls to be executed by the Real Property Office/Real Property Accountable Official to assess completeness of real property assets as part of the physical inventory process, and
- consider the use of geographic information system maps and the role they may play in the assessment of completeness.

Fund Balance with Treasury

The Fund Balance with Treasury (FBWT) is composed of hundreds of individual accounts maintained by the Department of the Treasury that reflect the funds available for the DoD to spend. In other words, FBWT is the DoD's cash balance reported by its bank – the Department of the Treasury. However, the DoD continues to struggle with its processes to reconcile its FBWT accounts.

Deposits and payments made by the DoD Components increase or decrease the DoD's FBWT balance. Each DoD Component maintains its individual FBWT balances in its accounting systems, similar to a personal checkbook. The DoD Components have the critical task of reconciling their checkbooks to their bank accounts on a monthly basis to ensure that the Components have accounted for all of their deposits and payments. However, auditors continue to find weaknesses in the Component's reconciliation process.

Auditors issued over 60 NFRs related to the FBWT in FY 2018. The FBWT was a material weakness for 12 Components and was the reason for the delay in issuing the Defense Information System Agency General Fund and Working Capital Fund audit opinions.

For example, the auditor for the Defense Information Systems Agency (DISA) found significant problems with the Component's ability to clear differences between its accounting records and the Department of Treasury records (Statement of Differences).

The auditor recommended that DISA:

- transition from monthly Treasury reporting to daily reporting;
- develop and implement a methodology to identify the actual or estimated impact of Statement of Difference amounts that should be attributed to DISA's FBWT account;
- establish an Agency Location Code that processes DISA's transactions exclusively; and
- assist DFAS by providing supporting information to clear transactions in a timely manner.

In another example, the auditor for the Army found deficiencies in the Army's use of suspense accounts. The auditor recommended that the Army:

- record the receipt of revenue collections related to agricultural and grazing leases, sale of forestry products and recyclable materials, and royalties and trademarks in special funds rather than suspense accounts;
- research and resolve all amounts held in suspense, particularly those for which the balance remain in suspense beyond the 60-day required timeline; and
- strengthen its standard operating procedures over reconciliations to define and provide evidence of, but not be limited to, specific attributes of the reconciliation to be reviewed and documented by management, and also evidence of such reviews.

CHAPTER 5

Financial Statement Compilation

An effective financial statement compilation process is critical to ensuring that the DoD's transactions are accurately summarized and reported on its financial statements. The DoD OIG reviews the Agency-Wide compilation process to ensure that all of the Component's financial statements are completely and accurately consolidated into the DoD Agency-Wide Basic Financial Statements. Additionally, auditors compare the footnotes to the financial statements to determine if the notes and balances reconcile.

Auditors issued more than 40 NFRs related to the financial statement compilation process in FY 2018, and the DoD and most of its Components had a material weakness related to the financial statement compilation process. At least three DoD Component financial statements were not compiled correctly into the Agency-Wide Basic Financial Statements, including the DLA General and Working Capital Funds and the Army General Fund. The Air Force General Fund, the Navy General Fund, the Navy Working Capital Fund, the USMC General Fund, and Defense Health Program audit reports also included material weaknesses related to the financial reporting compilation processes.

Although the DoD is taking steps to improve its compilation process, the DoD needs to document the entire financial statement compilation and reporting process for the financial statements and ensure that it is done in a timely fashion.

For example, the DoD OIG determined that Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer personnel did not document end-to-end process controls narratives for each significant cycle and accounting application for the DoD as an agency. The DoD OIG recommended that the DoD prepare agency-wide end-to-end process control narratives for each significant cycle and accounting application. The DoD's documentation should ensure that controls are identified, capable of being communicated to those responsible for their performance, and capable of being monitored and evaluated.

Summary

The audits of the DoD's and DoD Components' financial statements are important for many reasons. The audits can provide more clarity on how the DoD and its Components use resources; identify vulnerabilities of information technology systems; provide feedback regarding the effectiveness of business systems, processes, and controls; ensure funds are allocated where they are needed; and improve operational decision-making.

During the audits of the DoD's and DoD Components' FY 2018 financial statements, auditors issued 2,578 NFRs, which included 6,507 recommendations. In addition, auditors issued 1,537 recommendations related to material weaknesses, significant deficiencies, and non-compliance with laws and regulations in their reports on internal controls over financial reporting and compliance with laws, regulations, contracts and grant agreements.

The road to a clean financial statement opinion is a long-term effort. It is critical that the DoD and its Components fix the weaknesses and deficiencies identified in the audit through the development, implementation, and monitoring of corrective action plans. In addition, the DoD must continue its commitment to the improvement of DoD business processes.



APPENDIX A

All Open Recommendations as of March 31, 2019

This appendix provides a comprehensive table of all 1,581 open recommendations as of March 31, 2019.¹⁷ The recommendations are listed according to responsible DoD Component. This appendix also lists 210 classified open recommendations that are summarized in detail in Appendixes B, C, and D of this Compendium.¹⁸

¹⁷ For tracking purposes, recommendations made to multiple components are split into individual recommendations for each component. For example, a recommendation made to the Army, Navy, and Air Force would equate to three recommendations for tracking purposes.

¹⁸ All table notes appear at the end of the corresponding table.

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Deputy Secretary of Defense**Report No. DODIG-2019-055, "Evaluation of Integrated Joint Special Technical Operations," February 11, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: Recommendation is Classified.	1 month 20 days

Department of the Army**Report No. D-2006-077, "Human Capital: DoD Personnel Security Clearance Process at Requesting Activities," April 19, 2006**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 3.a-f: The DoD OIG recommended that the Army Deputy Chief of Staff for Intelligence; the Naval Criminal Investigative Service Director; the Air Force Director of Security Forces, Information Security; the Defense Information Systems Agency Director; and the Defense Logistics Agency Director update policies for the DoD personnel security clearance program to include the following areas:</p> <ul style="list-style-type: none"> a. program management responsibilities; b. agencies responsible for conducting personnel security investigations (PSI) and investigative responsibilities; c. security clearance systems for tracking security clearance information; d. Personnel Security Investigation submission processes; e. the relationship among the levels of security clearances, types of PSIs required for different levels of clearance, and scopes of investigations to include documentation required for each PSI; and f. training requirements for security personnel. 	12 years 11 months 12 days

Report No. DODIG-2012-041, "Evaluation of DoD Contracts Regarding Combating Trafficking in Persons: U.S. European Command and U.S. Africa Command," January 17, 2012

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology ensure that Federal Acquisition Regulation clause 52.222-50, "Combating Trafficking in Persons," is included in all contracts identified as deficient in our review.	7 years 2 months 14 days

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2012-135, "Mi-17 Overhauls Had Significant Cost Overruns and Schedule Delays,"
September 27, 2012 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>(FOUO) Rec. A.4.a: The DoD OIG recommended that the U.S. Army Contracting Command-Redstone Arsenal Contracting Officer responsible for task orders W9113M-07-D-0007-0021 and W9113M-07-D-0007-0035 make no payments on additional costs associated with [REDACTED] requests for equitable adjustment until all costs have been determined to be reasonable, allowable, and allocable and the requisite analyses have been reviewed by the Head of the Contracting Activity in accordance with Recommendation A.3.</p>	6 years 6 months 4 days

Report No. DODIG-2013-097, "Improvements Needed in the Oversight of the Medical-Support Services and Award-Fee Process Under the Camp As Sayliyah, Qatar, Base Operation Support Services Contract," June 26, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1: The DoD OIG recommended that the U.S. Army Medical Command Commander revise Army Regulation 40-68, "Clinical Quality Management," to align the regulation with supervision requirements in Federal Acquisition Regulation Subpart 37.4.</p>	5 years 9 months 5 days

Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June 28, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.b: The DoD OIG recommended that the Executive Director of the Army National Cemeteries Program complete the update of the "Army Post Cemeteries Way Ahead" in the Department of the Army's memorandum, dated September 11, 2012, addressing each area assessed in observation 1, including:</p> <ol style="list-style-type: none"> 1. consolidation of all manuals into one comprehensive regulation or pamphlet, and, 2. an outline of practical guidance for Army leaders in the management, operations, maintenance, and support of Army Post Cemeteries. 	5 years 9 months 3 days
<p>Rec. 3.b: The DoD OIG recommended that the Executive Director of the Army National Cemeteries Program, the Assistant Secretary of the Navy for Manpower and Reserve Affairs, and the Assistant Secretary of the Air Force for Manpower and Reserve Affairs update current publications to reflect Under Secretary of Defense for Personnel and Readiness and Under Secretary of Defense for Acquisition, Technology, and Logistics guidance.</p>	5 years 9 months 3 days

*Department of the Army (cont'd)***Report No. DODIG-2013-123, "Army Needs To Improve Mi-17 Overhaul Management and Contract Administration," August 30, 2013, (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.2.a: The DoD OIG recommended that the U.S. Army Materiel Command Executive Deputy to the Commanding General direct contractual action to recoup up to \$6.2 million in questioned costs for advance payments paid to Science and Engineering Services plus applicable interest due in accordance with the Federal Acquisition Regulation.</p>	5 years 7 months 1 day

Report No. DODIG-2013-130, "Army Needs to Improve Controls and Audit Trails for the General Fund Enterprise Business System Acquire-to-Retire Business Process," September 13, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to identify all Acquire-to-Retire functionality not in the General Fund Enterprise Business System necessary for complete Army real property management.</p>	5 years 6 months 18 days
<p>Rec. A.2: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to develop and implement the identified functionality into the General Fund Enterprise Business System, including the capability to generate an Army-wide real property universe.</p>	5 years 6 months 18 days
<p>Rec. A.6: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to perform a review of all real property data in the General Fund Enterprise Business System to ensure that the General Fund Enterprise Business System contains the correct data going forward and track the costs associated with this effort and other data cleansing efforts so they can be calculated as part of the cost of the General Fund Enterprise Business System implementation or as part of the Army's audit readiness efforts.</p>	5 years 6 months 18 days

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Department of the Army – Report No. DODIG-2013-130 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.7.a: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to develop an integrated process within the General Fund Enterprise Business System to record construction costs from the Corps of Engineers Financial Management System in the General Fund Enterprise Business System, the Army's general ledger.</p>	5 years 6 months 18 days
<p>Rec. A.7.b: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to record in-house costs incurred in the construction of a real property asset to the corresponding project's construction-in-progress account.</p>	5 years 6 months 18 days
<p>Rec. A.10: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management, the Chief of the U.S. Army Corps of Engineers, and the General Fund Enterprise Business System Program Manager, to develop an automated functionality for demonstrating the general ledger account postings for each business event in the General Fund Enterprise Business System.</p>	5 years 6 months 18 days
<p>Rec. B: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management develop a working group, consisting of the Chief of the U.S. Army Corps of Engineers and the General Fund Enterprise Business System Program Manager to perform a 100 percent review of land assets to ensure General Fund Enterprise Business System land information is correct and consistent with land data in the Real Estate Management Information System.</p>	5 years 6 months 18 days

Report No. DODIG-2014-026, "Assessment of Arlington and Soldiers' and Airmen's Home National Cemeteries," December 20, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2: The DoD OIG recommended that the Army National Military Cemeteries Executive Director develop and implement a single data entry process and system for record management at the Arlington National Cemetery that captures all the burial requirements needed from initial record creation intake through burial scheduling to final interment.</p>	5 years 3 months 11 days

*Department of the Army (cont'd)***Report No. DODIG-2014-066, "Logistics Modernization Program System Not Configured to Support Statement of Budgetary Resources," May 5, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1: The DoD OIG recommended that the DoD Deputy Chief Financial Officer approve the baseline configuration of the Logistics Modernization Program system Budget-to-Report business process based on Army certification that the Army has implemented the appropriate DoD United States Government Standard General Ledger Transaction Library transactions for recording budgetary accounts for the Army Working Capital Fund.</p>	4 years 10 months 26 days
<p>Rec. A.3.a: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in coordination with the Army Materiel Command G-8, develop a plan of action and milestones to validate and certify that they have configured Logistics Modernization Program system functionality according to the DoD United States Government Standard General Ledger Transaction Library, applicable business events, and the DoD Standard Chart of Accounts for the Budget-to-Report business process. As part of the comprehensive business process reengineering effort, they should investigate the root causes for each manual adjustment and other workarounds related to the Budget-to-Report business process and develop the reengineering plan for implementing the system functionality to record the data correctly. The reengineering plan should also incorporate, to the extent possible, the functionality to integrate within the Logistics Modernization Program system the:</p> <ol style="list-style-type: none"> 1. Debt Management Process, and 2. Cash Management Process. 	4 years 10 months 26 days
<p>Rec. A.3.b: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in coordination with the Army Materiel Command G-8, develop a plan of action and milestones to validate and certify that they have configured Logistics Modernization Program system functionality according to the DoD United States Government Standard General Ledger Transaction Library, applicable business events, and the DoD Standard Chart of Accounts for the Budget-to-Report business process. As part of the comprehensive business process reengineering effort, they should document and certify to the Deputy Chief Financial Officer the comprehensive review of Army Working Capital Fund business activities that baselines the DoD transaction codes and general ledger accounts needed for recording the Logistics Modernization Program Budget-to-Report business process.</p>	4 years 10 months 26 days
<p>Rec. A.3.e: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in coordination with the Army Materiel Command G-8, develop a plan of action and milestones to validate and certify that they have configured Logistics Modernization Program system functionality according to the DoD United States Government Standard General Ledger Transaction Library, applicable business events, and the DoD Standard Chart of Accounts for the Budget-to-Report business process. As part of the comprehensive business process reengineering effort, they should direct activities to conduct an immediate investigation of abnormal balances in unobligated accounts to determine whether a potential funding violation occurred.</p>	4 years 10 months 26 days

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*Department of the Army (cont'd)***Report No. DODIG-2014-073, "Northrop Grumman Improperly Charged Labor for the Counter Narco-terrorism Technology Program," May 13, 2014 (Full Report is FOUO)**

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. 2: The DoD OIG recommended that the Army Contracting Command-Redstone Arsenal Executive Director review the eligibility of the [REDACTED] DynCorp International employees to determine if the employees met the labor qualifications specified in the contract and, if they did not, obtain a refund for improper labor charges or recoup from any currently owed payments due the contractor on contract W9113M-07-D-0007. Also, obtain résumés to verify that the 33 remaining employees meet the labor qualifications specified in the contract and, if they did not, obtain a refund for additional improper labor charges or recoup from any currently owed payments due the contractor on contract W9113M-07-D-0007.	4 years 10 months 18 days
Rec. 3: The DoD OIG recommended that the Army Contracting Command-Redstone Arsenal Executive Director conduct a review of the \$21.7 million in potentially excessive payments and recover improper payments or recoup from any currently owed payments due to the contractor on contract W9113M-07-D-0007 that were not already recovered through Recommendation 2.	4 years 10 months 18 days
Rec. 5: The DoD OIG recommended that the Army Contracting Command-Redstone Arsenal Executive Director report improper payments for contract W9113M-07-D-0007 to the Defense Finance and Accounting Service to ensure that improper payments are accurately reported and notify the DoD OIG of all identified improper payments.	4 years 10 months 18 days
(FOUO)	

Report No. DODIG-2014-090, "Improvements Needed in the General Fund Enterprise Business System Budget-to-Report Business Process," July 2, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) verify that the General Fund Enterprise Business System posting logic documentation is accurate and complete and use it to validate General Fund Enterprise Business System general ledger account postings.	4 years 8 months 29 days
Rec. 1.b: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) direct the General Fund Enterprise Business System Program Management Office to reconfigure the General Fund Enterprise Business System to properly record Budget-to-Report transactions, including implementing system controls to address items identified in this report.	4 years 8 months 29 days
Rec. 1.d: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) use the General Fund Enterprise Business System to execute all Army General Fund appropriations.	4 years 8 months 29 days

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2014-096, "Improvements Needed in Contract Administration of Mi-17 Cockpit Modification Task Order," July 28, 2014 (Full Report is FOUO)**

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. A: The DoD OIG recommended that the Army Contracting Command-Redstone Non-Standard Rotary Wing Aircraft Directorate Director require the contracting officer to modify the contract to remove the additional fee and recoup from the contractor the [REDACTED] unallowable fixed-fee increase (which includes the [REDACTED] the Army Contracting Command-Redstone agreed to recoup) in accordance with Federal Acquisition Regulation Subpart 16.306, "Cost-plus-fixed-fee contracts."	4 years 8 months 3 days
Rec. B.1: The DoD OIG recommended that the Director of the Army Contracting Command-Redstone Non-Standard Rotary Wing Aircraft Directorate take action to terminate the sixth cockpit modification and, as appropriate, negotiate a settlement with the contractor.	4 years 8 months 3 days
(FOUO)	

Report No. DODIG-2014-101, "Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight," August 13, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b: The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.	4 years 7 months 18 days
Rec. 1.g: The DoD OIG recommended that the Brooke Army Medical Center Commander provide U.S. Army Medical Command all the Medicaid-eligible claims denied by Texas Medicaid Health Partnership for missing the 95-day filing requirement to identify the value and impact of those claims to Brooke Army Medical Center.	4 years 7 months 18 days

Report No. DODIG-2014-118, "Improvements Needed in Contract Award of Mi-17 Cockpit Modification Task Order," September 19, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology perform a review of actions by Army Contracting Command-Redstone contracting officers and Non-Standard Rotary Wing Aircraft Program Management Office officials in noncompetitively awarding more than \$200 million in Mi-17 work to Science and Engineering Services under the Logistical Support Facility indefinite-delivery, indefinite-quantity contract and, as appropriate, initiate corrective measures and actions to hold personnel accountable.	4 years 6 months 12 days

APPENDIX A

Department of the Army – Report No. DODIG-2014-118 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.2: The DoD OIG recommended that the Director of the Army Contracting Command-Redstone Non-Standard Rotary Wing Aircraft Directorate take action to recoup payments for the sets of manuals that were not accepted or delivered.</p>	4 years 6 months 12 days

Report No. DODIG-2014-124, “Army Needs to Improve the Reliability of the Spare Parts Forecasts It Submits to the Defense Logistics Agency,” September 29, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Secretary of the Army direct the Deputy Chief of Staff G-4 (Logistics) to develop Army-wide policy and establish controls on monitoring and updating depot overhaul factors consistently. At a minimum, the policy should prioritize depot overhaul factor reviews for items with low demand plan accuracy or buyback rates as well as for projects that require high-volume, high-dollar parts. The policy should address the frequency and priority of the updates and consider more frequent reviews for specific items deemed high priority.</p>	4 years 6 months 2 days
<p>Rec. 2.a: The DoD OIG recommended that the Army Materiel Command Commander develop a plan of action with milestones to improve the accuracy of the spare parts forecasts that Army Life Cycle Management Commands provide to the Defense Logistics Agency. The plan should address the issues this report identified and include establishing procedures and controls that ensure Life Cycle Management Command personnel use the correct parts lists to identify the parts, supply sources, and quantities needed for future depot maintenance work. The plan should consider training, the addition of edit checks in the Logistics Modernization Program, the comparison of forecasts and depot orders, or a combination of these actions. In addition, the plan should consider requesting Aviation and Missile Life Cycle Management Command personnel to review planned Corpus Christi Army Depot maintenance projects and correct any forecasts that the Logistics Modernization Program developed using the wrong parts list.</p>	4 years 6 months 2 days
<p>Rec. 2.b: The DoD OIG recommended that the Army Materiel Command Commander develop a plan of action with milestones to improve the accuracy of the spare parts forecasts that Army Life Cycle Management Commands provide to the Defense Logistics Agency. The plan should address the issues this report identified and include establishing procedures and controls that ensure depot personnel accurately code spare parts in the Army Logistics Modernization Program system to prevent the system from generating erroneous spare parts forecasts to the Defense Logistics Agency. In addition, the plan should involve requesting depot personnel to review existing spare parts coding in the Army Logistics Modernization Program system and cancel all related existing erroneous special program requirements for future maintenance projects submitted to the Defense Logistics Agency.</p>	4 years 6 months 2 days

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*Department of the Army (cont'd)***Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment,"
November 14, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b: The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate DoD guidance on the DoD Suicide Event Report submission process.	4 years 4 months 17 days
Rec. 2.b: The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate DoD guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions.	4 years 4 months 17 days
Rec. 3.d: The DoD OIG recommended that the Chiefs of the Military Services update guidance to: <ol style="list-style-type: none"> 1. identify subject matter experts to provide Department of Defense Suicide Event Report tech support to address questions, and 2. adapt and implement the proposed standard operating procedure/ guidelines for the Department of Defense Suicide Event Report submission process to help Department of Defense Suicide Event Report submitters understand the various sources of information (for example, military law enforcement and medical) needed to submit a complete Department of Defense Suicide Event Report. 	4 years 4 months 17 days
Rec. 4.e: The DoD OIG recommended that the Chiefs of the Military Services update policies to integrate Department of Defense Suicide Event Report data collection and submission practices into their Service suicide prevention lessons learned processes.	4 years 4 months 17 days

Report No. DODIG-2015-128, "Army Needs to Improve Processes Over Government-Furnished Material Inventory Actions," May 21, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) create a subaccount within the Logistics Modernization Program system to track receipt, acceptance, and consumption of Government-furnished material within an "Inventory, Work-in-Process" account.	3 years 10 months 10 days
Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) expedite efforts to transition to the consumption method of accounting for Government-furnished material, unless it can document a strong business case for using the purchase method to recognize operating materials and supplies expenses. In developing this business case, consider the capitalization effect of Government-furnished material items included for upgrades, modifications, or assembly of end items, including general equipment.	3 years 10 months 10 days

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Department of the Army – Report No. DODIG-2015-128 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 3: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4, and the Assistant Secretary of the Army (Financial Management and Comptroller), in collaboration with the Commander of the Army Materiel Command, develop a business process and the Logistics Modernization Program posting logic to identify and track Army Working Capital Fund inventory provided to contractors as Government-furnished material within the Logistics Modernization Program system. Specifically, the Army should use the Logistics Modernization Program system to report Army Working Capital Fund inventory provided to contractors as Government-furnished material to meet requirements in the DoD Manual 4140.01, volume 9, and DoD 7000.14-R, DoD Financial Management Regulation, volume 4, chapter 4.</p>	3 years 10 months 10 days

Report No. DODIG-2015-162, “Continental United States Military Housing Inspections-National Capital Region,” August 13, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.2: The DoD OIG recommended that the U.S. Army Garrison Fort Belvoir Commander create and execute a plan for performing ongoing inspection and maintenance of all housing units to attain compliance with applicable electrical codes and standards.</p>	3 years 7 months 18 days
<p>Rec. A.3: The DoD OIG recommended that the U.S. Army Garrison Fort Belvoir Commander work with the private housing partner to ensure that an electrical inspection and maintenance plan is achieved.</p>	3 years 7 months 18 days
<p>Rec. B.2: The DoD OIG recommended that the U.S. Army Garrison Fort Belvoir Commander create and execute a plan for performing ongoing inspection and maintenance of all housing units to attain compliance with applicable fire protection codes and standards.</p>	3 years 7 months 18 days
<p>Rec. B.3: The DoD OIG recommended that the U.S. Army Garrison Fort Belvoir Commander work with the private housing partner to ensure that a fire protection inspection and maintenance plan is achieved.</p>	3 years 7 months 18 days
<p>Rec. C.2: The DoD OIG recommended that the U.S. Army Garrison Fort Belvoir Commander improve heating, ventilation, and air conditioning maintenance in its barracks.</p>	3 years 7 months 18 days

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*Department of the Army (cont'd)***Report No. DODIG-2015-181, "Continental United States Military Housing Inspections-Southeast,"
September 24, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. I.1: The DoD OIG recommended that the Assistant Secretary of the Army for Installations, Energy, and Environment review and update its policy to ensure that Army publications properly and consistently address radon assessment and mitigation requirements.	3 years 6 months 7 days
Rec. I.2.b: The DoD OIG recommended that the Fort Gordon Commander improve heating, ventilation, and air conditioning maintenance in unaccompanied housing facilities.	3 years 6 months 7 days
Rec. I.2.c: The DoD OIG recommended that the Fort Gordon Commander, in accordance with the U.S. Army Corps of Engineers, "One-Stop Assessment of Buildings 25000 - 28000 Stairwells; Fort Gordon, Augusta, Georgia," January 2015, implement corrective actions as necessary to ensure the structural integrity of the volunteer Army barracks buildings.	3 years 6 months 7 days
Rec. I.2.d: The DoD OIG recommended that the Fort Gordon Commander establish a radon assessment and mitigation program in accordance with updated Department of the Army guidance and ensure that buildings previously identified to have elevated radon levels are retested and mitigated as necessary.	3 years 6 months 7 days

Report No. DODIG-2016-004, "Army Needs to Improve Contract Oversight for the Logistics Civil Augmentation Program's Task Orders," October 28, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Commander of the 414th Contracting Support Brigade, Principal Assistant Responsible for Contracting, develop procedures that require administrative contracting officers to verify that contracting officer's representatives with adequate experience are identified before the contract work begins and require them to take contracting officer's representatives training before leaving for deployment or obtain training waivers in accordance with DoD guidance.	3 years 5 months 3 days
Rec. 1.b: The DoD OIG recommended that the Commander of the 414th Contracting Support Brigade, Principal Assistant Responsible for Contracting, develop procedures that require administrative contracting officers to provide pertinent documents to the contracting officer's representatives prior to appointment so that they have adequate guidance to perform their duties.	3 years 5 months 3 days
Rec. 1.c: The DoD OIG recommended that the Commander of the 414th Contracting Support Brigade, Principal Assistant Responsible for Contracting, develop procedures that outline alternate contractor surveillance methods, in accordance with DoD policy, if the contracting officer's representative is unable to perform contractor surveillance until he or she is on site.	3 years 5 months 3 days

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*Department of the Army (cont'd)***Report No. DODIG-2016-072, "DoD Needs to Improve Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations," April 1, 2016 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force and the Provost Marshal General for the U.S. Army issue or update guidance specifying the queries required to access the National Crime Information Center and the Interstate Identification Index files and conduct background checks in accordance with service regulations.*	2 years 11 months 30 days
Rec. 1.b: The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force and the Provost Marshal General for the U.S. Army issue or update guidance that specifically addresses general public housing tenants' access badge expiration dates.*	2 years 11 months 30 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2016-074, "Army Contracting Officials Could Have Purchased Husky Mounted Detection System Spare Parts at Lower Prices," March 31, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Army Contracting Command-Aberdeen Proving Ground Executive Director determine and document whether it is appropriate to request a \$27 million voluntary refund from NIITEK, Inc. for sole-source Husky Mounted Detection System spare parts, in accordance with Defense Federal Acquisition Regulation Supplement Subpart 242.71, "Voluntary Refunds."	3 years

Report No. DODIG-2016-079, "Delinquent Medical Service Accounts at Landstuhl Regional Medical Center Need Additional Management Oversight," April 28, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Regional Health Command-Europe Commander review, research, and pursue collection on the delinquent medical service accounts that remain open.	2 years 11 months 3 days
Rec. 2.b: The DoD OIG recommended that the U.S. Army Medical Command take action to collect the 619 medical service accounts elevated by Regional Health Command Europe to the U.S. Army Medical Command in 2008 or coordinate with the Assistant Secretary of Defense (Health Affairs) to determine what action is needed to write off the debt.	2 years 11 months 3 days

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*Department of the Army (cont'd)***Report No. DODIG-2016-080, "Army's Management of Gray Eagle Spare Parts Needs Improvement,"
April 29, 2016 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the Unmanned Aircraft System Project Manager complete the actions necessary to include the Gray Eagle spare parts in an Army Accountable Property System of Record.	2 years 11 months 2 days
Rec. A.1.b.ii: The DoD OIG recommended that the Unmanned Aircraft System Project Manager require that the Product Manager-Medium Altitude Endurance use inventory at DoD-fielded locations before purchasing additional spare parts from General Atomics on the performance-based logistics contract and production contracts and then conduct a cost-benefit analysis to determine whether it should dispose of the excess spare parts or keep the excess spare parts for future use and take the appropriate action.	2 years 11 months 2 days
Rec. A.1.b.iv: The DoD OIG recommended that the Unmanned Aircraft System Project Manager require that the Product Manager-Medium Altitude Endurance use existing Defense Logistics Agency inventory, when possible, before purchasing the spare parts from General Atomics.	2 years 11 months 2 days
Rec. B.2: The DoD OIG recommended that the Unmanned Aircraft System Project Manager verify that the Catalog, Order, and Logistics Tracking System include the Army's actual unit cost of the spare parts purchased.	2 years 11 months 2 days

Report No. DODIG-2016-108, "Army Needs Greater Emphasis on Inventory Valuation," July 12, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Commander of U.S. Army Materiel Command establish policies and procedures focused on computing inventory valuation at moving average cost, including monitoring moving average cost values for National Item Identification Numbers at plants and making supported corrections of moving average cost values.	2 years 8 months 19 days
Rec. 1.b: The DoD OIG recommended that the Commander of U.S. Army Materiel Command review and correct moving average cost valuation for all Inventory, Available and Purchased for Resale.	2 years 8 months 19 days
Rec. 1.c: The DoD OIG recommended that the Commander of U.S. Army Materiel Command implement procedures to properly maintain historical cost when transferring inventory between plants and properly capture and record all appropriate purchase, transportation, and production costs to bring the inventory items to their current condition and location.	2 years 8 months 19 days
Rec. 1.d: The DoD OIG recommended that the Commander of U.S. Army Materiel Command expedite the development and implementation of procedures limiting the use of "Receipt Without Purchase Order" and the reversing "Reverse Receipt Without Purchase Order" inventory movement type transactions and develop a comprehensive goods receipt and acceptance process involving the Logistics Modernization Program system to ensure that historical cost information is not lost for proper valuation of inventory.	2 years 8 months 19 days

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Department of the Army – Report No. DODIG-2016-108 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.e: The DoD OIG recommended that the Commander of U.S. Army Materiel Command implement continuous training for Army logistics and inventory management personnel involved with inventory movements that affect inventory valuation at moving average cost in the Logistics Modernization Program system. The training should cover how to properly record inventory receipt, acceptance, transfer, and sale transactions within the system to ensure that the historical costs of the inventory are properly captured for inventory valuation.</p>	2 years 8 months 19 days
<p>Rec. 1.f: The DoD OIG recommended that the Commander of U.S. Army Materiel Command develop procedures that post reconciling transactions to the same general ledger accounts as the original transactions.</p>	2 years 8 months 19 days
<p>Rec. 1.h: The DoD OIG recommended that the Commander of U.S. Army Materiel Command direct the Logistics Modernization Program Product Office to implement standard tolerance levels and other validation controls for processing inventory transactions.</p>	2 years 8 months 19 days
<p>Rec. 1.i: The DoD OIG recommended that the Commander of U.S. Army Materiel Command establish Non-Army Managed Items unit of measure elements properly in the Logistics Modernization Program system. Review the Logistics Modernization Program system Material Master data to identify all items with incorrect unit of measure elements and develop a strategy to correct them.</p>	2 years 8 months 19 days
<p>Rec. 1.j: The DoD OIG recommended that the Commander of U.S. Army Materiel Command implement controls, including management oversight, to review and approve all Material Master file data changes prior to implementing them within the Logistics Modernization Program system and issue guidance on how personnel should properly establish and maintain unit of measure elements in the Logistics Modernization Program system.</p>	2 years 8 months 19 days
<p>Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in collaboration with the Commander of U.S. Army Materiel Command, develop a comprehensive strategic plan to overcome material deficiencies with the Army Working Capital Fund's inventory, including moving average cost value accuracy. As part of the plan, coordinate and integrate the efforts already in place to address known problems with valuing inventory at moving average cost, ensuring that the Logistics Modernization Plan system is fully compliant with Statement of Federal Financial Accounting Standards No. 3, and make needed system and business process changes before making an unreserved assertion that the Army Working Capital Fund inventory line item is presented fairly in accordance with U.S. generally accepted accounting principles.</p>	2 years 8 months 19 days

*Department of the Army (cont'd)***Report No. DODIG-2016-112, "Army Officials Did Not Consistently Comply With Requirements for Assessing Contractor Performance," July 25, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2: The DoD OIG recommended that the Commander of the United States Army Corps of Engineers, Engineering Support Center-Huntsville; and the Executive Directors for Army Contracting Command-Aberdeen Proving Ground, Army Contracting Command-Redstone Arsenal, and Army Contracting Command-Warren, develop and implement organization-wide procedures that identify specific timeframes and steps for Contractor Performance Assessment Reporting System officials to perform to ensure they prepare performance assessment reports within the 120-day requirement identified in the Under Secretary of Defense for Acquisition, Technology, and Logistics memorandum and include the 60-day contractor comment period.*</p>	2 years 8 months 6 days
<p>Rec. 3.a-b: The DoD OIG recommended that the Principal Assistant Responsible for Contracting for the National Guard Bureau; Commander of the United States Army Corps of Engineers, Engineering Support Center-Huntsville; and the Executive Directors for Army Contracting Command-Aberdeen Proving Ground, Army Contracting Command-Redstone Arsenal, and Army Contracting Command-Warren, develop and implement procedures that require assessors and contracting officers' representatives responsible for preparing performance assessment reports to take:</p> <ul style="list-style-type: none"> a. training on the rating and evaluation factor definitions, as outlined in the Federal Acquisition Regulation and Contractor Performance Assessment Reporting System Guide; and b. initial and periodic refresher Contractor Performance Assessment Reporting System Quality and Narrative Writing Training.* 	2 years 8 months 6 days
<p>Rec. 4: The DoD OIG recommended that the Principal Assistant Responsible for Contracting for the National Guard Bureau; Commander of the United States Army Corps of Engineers, Engineering Support Center-Huntsville; and the Executive Directors for Army Contracting Command-Aberdeen Proving Ground and Army Contracting Command-Redstone Arsenal, develop and implement organization-wide procedures for performing reviews of performance assessment reports and monitor reviews of the performance assessment reports to verify compliance with the Federal Acquisition Regulation.</p>	2 years 8 months 6 days
<p>Rec. 5: The DoD OIG recommended that the Executive Director for Army Contracting Command-Warren update and improve procedures for performing reviews of performance assessment reports to ensure compliance with the Federal Acquisition Regulation and identify when focal points should perform the reviews.</p>	2 years 8 months 6 days
<p>Rec. 6: The DoD OIG recommended that the Commander of the United States Army Corps of Engineers, Engineering Support Center-Huntsville, and the Executive Director for Army Contracting Command-Redstone Arsenal develop and implement organization-wide procedures for registering contracts in the Contractor Performance Assessment Reporting System.</p>	2 years 8 months 6 days

* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

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Department of the Army (cont'd)

Report No. DODIG-2016-113, "Army General Fund Adjustments Not Adequately Documented or Supported," July 26, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management & Comptroller) periodically review a sample of system-generated journal voucher adjustments, at a minimum annually, to understand the reasons the adjustments are occurring and verify the support provided.	2 years 8 months 5 days

Report No. DODIG-2016-119, "Army Commands Need to Improve Logical and Physical Security Safeguards That Protect SIPRNet Access Points," August 5, 2016 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Army Chief Information Officer develop and implement policies and procedures to verify whether SECRET Internet Protocol Router Network (SIPRNet) [Redacted].	2 years 7 months 26 days
(FOUO) Rec. A.7.c: The DoD OIG recommended that the [Redacted], in coordination with the Commander of the Army Cyber Command and Second Army, [Redacted].*	2 years 7 months 26 days
(FOUO) Rec. B.4.a: The DoD OIG recommended that the [Redacted].	2 years 7 months 26 days
(FOUO) Rec. B.7: The DoD OIG recommended that the [Redacted].*	2 years 7 months 26 days

(FOUO)

* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

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*Department of the Army (cont'd)***Report No. DODIG-2016-131, "Designation of Contracting Officer's Representatives and Oversight Framework Could Be Improved for Contracts in Afghanistan," August 30, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.b: The DoD OIG recommended that the U.S. Army Contracting Command-Rock Island Executive Director direct contracting officers to review all current Contracting Officer's Representative designation letters for contracts in Afghanistan produced since the issuance of DoD Instruction 5000.72 and before the implementation of their revised contracting policies for compliance with DoD Instruction 5000.72 and issue updated designation letters to address all requirements in the Instruction.	2 years 7 months 1 day
Rec. B.2: The DoD OIG recommended that the U.S. Army Contracting Command-Rock Island Executive Director direct contracting officers to review the workload of the Contracting Officer's Representatives designated to oversee contracts in Afghanistan, in coordination with the requiring activities, and ensure that a sufficient number of CORs have been designated and the designated CORs have sufficient time to perform oversight responsibilities.	2 years 7 months 1 day

Report No. DODIG-2016-139, "Military Housing Inspection-Camp Buehring, Kuwait," September 30, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. C.2: The DoD OIG recommended that the U.S. Army Central Commander revise the contract Performance Work Statement to ensure that the contract requires the contractor to maintain the fire protection systems to Unified Facilities Criteria 3-601-02.	2 years 6 months 1 day

Report No. DODIG-2017-004, "Summary Report-Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts," October 14, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Secretaries of the Military Departments annually perform at least two comprehensive, independent inspections of installations. The purpose of these inspections is to verify compliance with all applicable health and safety requirements.	2 years 5 months 17 days

Report No. DODIG-2017-045, "Medical Service Accounts at U.S. Army Medical Command Need Additional Management Oversight," January 27, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Commander of U.S. Army Medical Command review the 21,742 medical service accounts that military treatment facility Uniform Business Offices determined were uncollectible to ensure all collection efforts have been exhausted and to obtain approval from the proper authority to terminate the accounts that are uncollectible.	2 years 2 months 4 days

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*Department of the Army (cont'd)***Report No. DODIG-2017-057, "Army Officials Need to Improve the Management of Relocatable Buildings," February 16, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Installations, Housing, and Partnerships revise Army Regulation 420-1, "Army Facilities Management," to align the Army's definition of relocatable buildings to the definition in DoD Instruction 4165.56, "Relocatable Buildings," thus eliminating the requirement for the analysis pertaining to the disassembly, repackaging, and nonrecoverable costs of relocatable buildings.	2 years 1 month 15 days
Rec. 1.b: The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Installations, Housing, and Partnerships develop additional policy for circumstances where requirements would dictate that relocatable buildings are appropriate instead of modular facilities or other minor construction.	2 years 1 month 15 days
Rec. 4.a: The DoD OIG recommended that the Directorate of Public Works at Joint Base Lewis-McChord Chief perform the steps necessary to convert the six nonrelocatable buildings from relocatable to real property.	2 years 1 month 15 days

Report No. DODIG-2017-065, "The Army Needs to Improve Processes for Single-Award, Indefinite-Delivery Indefinite-Quantity Contracts," March 14, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Army Contracting Command-Aberdeen Proving Ground Executive Director direct contracting officials to prepare and submit for approval a Determination and Findings document for contract W91CRB-15-D-0022.	2 years 17 days

Report No. DODIG-2017-069, "Ineffective Fund Balance With Treasury Reconciliation Process for Army General Fund," March 23, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and Defense Finance and Accounting Service-Indianapolis Director reengineer their reconciliation process to meet the 10-workday deadline or coordinate with the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, to determine whether DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 4, chapter 2, needs to be revised to increase the number of days for the reconciliation process.	2 years 8 days

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Department of the Army – Report No. DODIG-2017-069 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a-d: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and Defense Finance and Accounting Service-Indianapolis Director reengineer the Fund Balance With Treasury reconciliation process for the Army General Fund to:</p> <ol style="list-style-type: none"> a. correct system deficiencies known to cause Fund Balance With Treasury differences; b. research and resolve all differences within 60 days, as required by DoD Financial Management Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 4, chapter 2; c. document the Defense Departmental Reporting System-Budgetary business rules that create the system-generated adjustments; and d. support all Fund Balance With Treasury adjustments to the Army General Fund with transaction-level detail, as required by DoD Financial Management Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 6a, chapter 2, and establish and properly document the cause of the difference requiring adjustment to comply with the Treasury Financial Manual. 	2 years 8 days
<p>Rec. 3.a-c: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop and implement:</p> <ol style="list-style-type: none"> a. system changes to ensure that Fund Balance With Treasury transaction data for the Army General Fund are transmitted, processed, maintained, and accessed in a standardized format for all non-legacy accounting and financial systems; b. a methodology for standardizing data from legacy accounting and financial systems; and c. the system functionality to demonstrate posting logic for all non-legacy accounting and financial systems containing Fund Balance With Treasury transactions to ensure that the Army posts transactions in a standard format. 	2 years 8 days

Report No. DODIG-2017-085, “Protection of Electronic Patient Health Information at Army Military Treatment Facilities,” July 6, 2017 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the Chief Information Officer of U.S. Army Medical Command, Department of the Army, develop and implement a plan to ensure the military treatment facilities appropriately configure changes to enforce the use of Common Access Cards to access the Armed Forces Health Longitudinal Technology Application, Composite Health Care System, and Clinical Information System/Essentris Inpatient System.</p>	1 year 8 months 25 days
(FOUO)	

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Department of the Army – Report No. DODIG-2017-085 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. 2.b: The DoD OIG recommended that the Chief Information Officer of U.S. Army Medical Command, Department of the Army, develop and implement a plan to ensure that the military treatment facilities configure passwords for the [REDACTED] to meet DoD complexity requirements.	1 year 8 months 25 days
Rec. 2.c: The DoD OIG recommended that the Chief Information Officer of U.S. Army Medical Command, Department of the Army, review and identify all systems used to process, store, and transmit patient health information, develop a baseline of systems used at each military treatment facility, and regularly, at least annually, validate the accuracy of the inventory of Army-specific systems.	1 year 8 months 25 days
Rec. 3.a: The DoD OIG recommended that the Chief Information Officers for Army Military Treatment Facilities implement appropriate configuration changes to enforce the use of Common Access Cards to access all Army-specific systems containing patient health information or obtain a waiver that exempts the systems from using Common Access Cards.	1 year 8 months 25 days
Rec. 3.b: The DoD OIG recommended that the Chief Information Officers for Army Military Treatment Facilities configure passwords for all Army-specific systems to meet DoD complexity requirements.	1 year 8 months 25 days
Rec. 3.c: The DoD OIG recommended that the Chief Information Officers for Army Military Treatment Facilities develop a plan of action and milestones and take appropriate steps in a timely manner to mitigate known network vulnerabilities.	1 year 8 months 25 days
(FOUO) Rec. 3.d: The DoD OIG recommended that the Chief Information Officers for Army Military Treatment Facilities [REDACTED] for all Army-specific systems that store patient health information.	1 year 8 months 25 days
Rec. 3.e: The DoD OIG recommended that the Chief Information Officers for Army Military Treatment Facilities require written justification as a condition for obtaining access to the Armed Forces Health Longitudinal Technology Application, Composite Health Care System, Clinical Information System/Essentris Inpatient System, and all Army-specific systems and implement procedures to grant access to the systems based on roles that align with user responsibilities.	1 year 8 months 25 days
Rec. 3.h: The DoD OIG recommended that the Chief Information Officers for Army Military Treatment Facilities develop and maintain standard operating procedures for granting access, assigning and elevating privileges, and deactivating user access.	1 year 8 months 25 days
Rec. 3.i: The DoD OIG recommended that the Chief Information Officers for Army Military Treatment Facilities review and identify all systems used to process, store, and transmit patient health information, develop a baseline of systems used at each military treatment facility, and regularly, at least annually, validate the accuracy of the inventory of Army-specific systems.	1 year 8 months 25 days

(FOUO)

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*Department of the Army (cont'd)***Report No. DODIG-2017-095, "U.S. Army's Management of the Heavy Lift VII Commercial Transportation Contract Requirements in the Middle East," June 26, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the 1st Sustainment Command (Theater) Commander implement a systemic process for collecting Heavy Lift asset usage and establish a consistent schedule for analyzing usage information in order to use quantitative and qualitative factors when forecasting requirement quantities on future task orders.	1 year 9 months 5 days
Rec. 1.b: The DoD OIG recommended that the 1st Sustainment Command (Theater) Commander review instances of poor mission planning and execution that resulted in ordering wasted assets in the Heavy Lift program, track the trends that led to inefficiency in the program, and implement corrective actions to prevent those inefficiencies from re-occurring.	1 year 9 months 5 days
Rec. 1.c: The DoD OIG recommended that the 1st Sustainment Command (Theater) Commander update the requirement review process standard operating procedures to ensure requirements packages that are submitted to the review boards include all information that is necessary for the validation authority to make an informed decision.	1 year 9 months 5 days
Rec. 2: The DoD OIG recommended that the U.S. Army Central Commander develop updated procedures to ensure requirement review boards are validating the entire requirement that the 1st Sustainment Command (Theater) is requesting.	1 year 9 months 5 days
Rec. 4: The DoD OIG recommended that the Army Contracting Command-Rock Island Executive Director establish a more reasonable and achievable guaranteed minimum on the Heavy Lift 8 contracts to ensure that the Army does not pay for services that it will not use by either lowering the guaranteed minimums or by spreading the guaranteed minimum over a longer period, such as the life of the contract.	1 year 9 months 5 days

Report No. DODIG-2017-103, "Under-Vehicle Force Protection Requirement for the Army Paladin Integrated Management Program," July 21, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.3: The DoD OIG recommended that the Ground Combat Systems Program Executive Officer consider redesigning ammunition stowage and floor mats to protect soldiers on combat missions that require increased under-vehicle protection.	1 year 8 months 10 days

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*Department of the Army (cont'd)***Report No. DODIG-2017-114, "Documentation to Support Costs for Army Working Capital Fund Inventory Valuation," August 25, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.g: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a process to maintain credit values given for returns for credit and unserviceable credit transactions.	1 year 7 months 6 days

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the Secretary of the Army take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 159 Criminal Investigation Division fingerprint cards and 264 Criminal Investigation Division final disposition reports that are not in the Federal Bureau of Investigation Next Generation Identification database.	1 year 3 months 27 days
Rec. A.1.b: The DoD OIG recommended that the Secretary of the Army immediately and comprehensively review all Army criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Service in compliance with DoD and Federal Bureau of Investigation requirements.	1 year 3 months 27 days
Rec. A.1.c: The DoD OIG recommended that the Secretary of the Army take prompt action to ensure that Criminal Investigation Division command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is included as a special interest item in Criminal Investigation Division Inspector General inspections, and is actually conducted.	1 year 3 months 27 days
Rec. A.1.d: The DoD OIG recommended that the Secretary of the Army take prompt action to comprehensively review Criminal Investigation Division criminal history reporting programs to ensure fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11 and are being implemented.	1 year 3 months 27 days
Rec. A.1.e: The DoD OIG recommended that the Secretary of the Army take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	1 year 3 months 27 days

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Department of the Army – Report No. DODIG-2018-035 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1.a: The DoD OIG recommended that the Secretary of the Army take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 103 Installation Management Command fingerprint cards and 121 installation final disposition reports that are not in the Federal Bureau of Investigation Next Generation Identification database.	1 year 3 months 27 days
Rec. B.1.b: The DoD OIG recommended that the Secretary of the Army immediately and comprehensively review all Installation Management Command criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to Federal Bureau of Investigation Criminal Justice Information Service in compliance with DoD and Federal Bureau of Investigation requirements.	1 year 3 months 27 days
Rec. B.1.c: The DoD OIG recommended that the Secretary of the Army take prompt action to ensure that Installation Management Command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is included as a special interest item in Army Inspector General inspections, and is actually conducted.	1 year 3 months 27 days
Rec. B.1.d: The DoD OIG recommended that the Secretary of the Army take prompt action to comprehensively review Installation Management Command criminal history reporting programs to ensure that all fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11, and have been implemented.	1 year 3 months 27 days
Rec. B.1.e: The DoD OIG recommended that the Secretary of the Army take prompt action to ensure that other required investigative and criminal history information, such as, criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	1 year 3 months 27 days
Rec. B.1.f: The DoD OIG recommended that the Secretary of the Army take prompt action to direct the U.S. Army Provost Marshal General to revise Army Regulation 190-45 to align with the fingerprint card and final disposition report submission requirements in DoD Instruction 5505.11.	1 year 3 months 27 days

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*Department of the Army (cont'd)***Report No. DODIG-2018-036, "DoD's Response to the Patient Safety Elements in the 2014 Military Health System Review," December 14, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1: The DoD OIG recommended that the United States Army Medical Command Commander evaluate the Madigan Army Medical Center's Patient Safety Indicator #90 performance after the new Patient Safety Indicator #90 measures and benchmarks are available to determine if the facility is outperforming, performing the same as, or underperforming compared to other healthcare facilities and take appropriate action to correct all identified deficiencies.</p>	1 year 3 months 17 days

Report No. DODIG-2018-040, "Army Oversight of Logistics Civil Augmentation Program Government-Furnished Property in Afghanistan," December 11, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Commanding General of the Army Contracting Command coordinate with the Commander of the Expeditionary Contracting Command-Afghanistan to review and validate the contractors' Government-furnished property listings, modify Logistics Civil Augmentation Program task orders 0004 and 0005 to ensure that all Government-furnished property currently possessed by the Logistics Civil Augmentation Program contractors is properly reflected by modifications to the contract, and provide the property book officer with the updated contract attachment.</p>	1 year 3 months 20 days

Report No. DODIG-2018-042, "Evaluation of Army Recovered Chemical Warfare Materiel Response Actions," December 14, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Army for Installations, Energy, and Environment issue policy to replace the Army Interim Guidance and direct the Commander of the U.S. Army Corps of Engineers to update Engineering Pamphlet 75-1-3 to comply with Army Regulation 25-30.</p>	1 year 3 months 17 days

Report No. DODIG-2018-052, "The Army Demilitarization Program," December 19, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop procedures to annually determine a reasonable and supportable estimate for the cost to dispose of the demilitarization stockpile and report the associated liability in the Army General Fund Financial Statements and related notes.</p>	1 year 3 months 12 days

Department of the Army (cont'd)

**Report No. DODIG-2018-055, "The U.S. Army Civilian Pay Budget Process," March 8, 2018
(Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.3: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and the Deputy Chief of Staff, G-8, include overtime pay in the Army's Budget Estimate Submission, starting with the FY 2019 Budget Estimate Submission, to accurately and completely present the Army's funding needs to the Office of the Secretary of Defense and Congress, in accordance with Office of Management and Budget Circular No. A-11, "Preparation, Submission, and Execution of the Budget," and Army Regulation 570-4, "Manpower and Equipment Control Manpower Management," February 8, 2006.</p>	1 year 23 days
<p>Rec. B.2.a: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and the Deputy Chief of Staff, G-8, hold the Army Commands accountable for not hiring to their authorizations by reducing the authorizations and full-time equivalents for the Army Commands that intentionally under-execute their full-time equivalents to accurately reflect the Army's anticipated workload, in accordance with Office of Management and Budget Circular No. A-11, "Preparation, Submission, and Execution of the Budget."</p>	1 year 23 days
<p>Rec. B.2.b: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and the Deputy Chief of Staff, G-8, re-evaluate the Army's operation and maintenance civilian pay and non-pay funding requirements, which could include assessing civilian workload compared to recent year execution and adjusting the Future Years' Defense Program, starting with the FY 2019 Budget Estimate Submissions, to more accurately request funding for the Army's civilian pay and non-pay expenses.</p>	1 year 23 days

Report No. DODIG-2018-068, "Evaluation of Oversight of Privileged Users within the Military Services Intelligence Community," January 30, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Commander of U.S. Army Cyber Command complete and execute the "Army User Activity Monitoring Program Concept of Operations" to document the Army's User Activity Monitoring Program's authorities, program oversight and governance, and U.S. Army Cyber Command and mission partners' roles and responsibilities.*</p>	1 year 2 months 1 day
<p>Rec. 2.c: The DoD OIG recommended that the U.S. Army Intelligence and Security Command Chief of Staff review privileged users and enter all missing records into the Army Training and Certification Tracking System and correct all errors in the Army Training and Certification Tracking System records.*</p>	1 year 2 months 1 day

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Department of the Army – Report No. DODIG-2018-068 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.d: The DoD OIG recommended that the U.S. Army Intelligence and Security Command Chief of Staff revalidate all privileged users to ensure that access is commensurate with current mission requirements and promptly revoke privileged access from any user that no longer requires such access.*	1 year 2 months 1 day

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2018-073, “Completeness and Accuracy of the U.S. Army Corps of Engineers Improper Payment Estimates,” February 13, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.e: The DoD OIG recommended that the Director of the U.S. Army Corps of Engineers Finance Center implement a review process to verify that accurate testing for improper payments is being performed by U.S. Army Corps of Engineers Finance Center personnel.	1 year 1 month 18 days

Report No. DODIG-2018-076, “Chemical Demilitarization-Assembled Chemical Weapons Alternatives Program,” February 22, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b: The DoD OIG recommended that the Assembled Chemical Weapons Alternatives Program Executive Officer, in coordination with the U.S. Army Contracting Command-Rock Island Executive Director, convene a working group of DoD subject matter experts to help determine the best way to structure the additional incentive to motivate the contractors to reduce costs at the Pueblo Chemical Agent-Destruction Pilot Plant and the Blue Grass Chemical Agent-Destruction Pilot Plant and achieve an accelerated safe destruction of the remaining chemical weapons.	1 year 1 month 9 days
Rec. 1.c: The DoD OIG recommended that the Assembled Chemical Weapons Alternatives Program Executive Officer, in coordination with the U.S. Army Contracting Command-Rock Island Executive Director, analyze the rework performed at the Pueblo Chemical Agent-Destruction Pilot Plant and the Blue Grass Chemical Agent-Destruction Pilot Plant to determine the cost of additional rework.	1 year 1 month 9 days
Rec. 1.d: The DoD OIG recommended that the Assembled Chemical Weapons Alternatives Program Executive Officer, in coordination with the U.S. Army Contracting Command-Rock Island Executive Director, based on the cost of additional construction rework, either recoup funds paid by the Government or obtain other appropriate consideration.	1 year 1 month 9 days

*Department of the Army (cont'd)***Report No. DODIG-2018-081, "The Army's Tactical Explosive Detection Dog Disposition Process from 2011 to 2014," March 1, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. C: The DoD OIG recommended that the Secretary of the Army review, revise, and ensure Accountable Unit Commanders enforce Army Regulation 190-12, "Military Working Dogs," dated March 11, 2013, to ensure it complies with the requirements of "Air Force Instruction 31-126, Army Regulation 700-81, OPNAVINST 5585.2C, MCO 5585.6, DoD Military Working Dog (MWD) Program," dated February 28, 2017, particularly with respect to the disposition process.	1 year 30 days
Rec. D.2: The DoD OIG recommended that the Secretary of the Army ensure that all future Army-funded Military Working Dog programs establish individual modules for tracking each nontraditional capability, such as Tactical Explosive Detection Dogs, within the established Department of Defense Working Dog Management System.	1 year 30 days

Report No. DODIG-2018-086, "Small Business Subcontracting at Two Army Contracting Command Locations," March 19, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.a: The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Procurement, in coordination with the Army Office of Small Business Programs Director, train contracting officials on Federal Acquisition Regulation Subpart 19.7 responsibilities for approving and administering subcontracting plans.	1 year 12 days
Rec. 3.b: The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Procurement, in coordination with the Army Office of Small Business Programs Director, revise Army Federal Acquisition Regulation Supplement Subpart 5119.7 to incorporate guidance on administering subcontracting plans and procedures for transferring subcontracting plan administration duties when a contract is transferred from one contracting officer to another.	1 year 12 days
Rec. 3.c: The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Procurement, in coordination with the Army Office of Small Business Programs Director issue a policy alert to notify contracting officials of the revision to Army Federal Acquisition Regulation Supplement Subpart 5119.7 incorporating guidance on administering subcontracting plans and procedures for transferring subcontracting plan administration duties when a contract is transferred from one contracting officer to another.	1 year 12 days

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2018-099, "Army Internal Controls Over Foreign Currency Accounts and Payments," March 29, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.4: The DoD OIG recommended that the Deputy Assistant Secretary of the Army (Financial Operations) update the Army accounting systems once the Under Secretary of Defense (Comptroller), Office of the Deputy Chief Financial Officer issues the DoD standard general ledger transactions and guidance for recording foreign currency exchange rate gains and losses, as required by DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 6a, chapter 7.	1 year 2 days
Rec. A.5.b: The DoD OIG recommended that the 266th Financial Management Support Center Director develop and implement procedures to maintain alternate certifying officials for each payment system to ensure continuity of payment operations at the Finance Offices.	1 year 2 days
Rec. A.5.c: The DoD OIG recommended that the 266th Financial Management Support Center Director implement controls to ensure the Italy Finance Office maintains proper separation of duties between personnel responsible for payroll system maintenance and personnel in the Local National Payroll Office and Accounting Office.	1 year 2 days
Rec. A.5.d: The DoD OIG recommended that the 266th Financial Management Support Center Director implement controls to ensure senior management is directly involved in oversight of the payroll process.	1 year 2 days
Rec. A.5.e: The DoD OIG recommended that the 266th Financial Management Support Center Director develop and implement a plan to replace the current Italian Local National Payroll System with a system that meets U.S. Government Federal Financial Management Improvement Act of 1996, section 803(a), and Office of Management and Budget Circular No. A-123 requirements for Federal financial management systems.	1 year 2 days
Rec. B.2: The DoD OIG recommended that the Commander of the 176th Financial Management Support Unit, U.S. Army Garrison Yongsan, South Korea; the U.S. Army Corps of Engineers Finance Center Director; and the 266th Financial Management Support Center Director adjust the cash holding authorities of the 176th Financial Management Support Unit Disbursing Officer, the U.S. Army Corps of Engineers Finance Center Disbursing Officer, the Benelux Finance Office, and the Italy Finance Office to include the balances of the limited depository checking accounts or reduce the balances of the limited depository checking accounts to comply with the current cash holding authorities.	1 year 2 days
Rec. B.4: The DoD OIG recommended that the Commander of the 176th Financial Management Support Unit, U.S. Army Garrison Yongsan, South Korea; the Defense Finance and Accounting Service-Japan Director; and the U.S. Army Corps of Engineers Finance Center Director develop local procedures to comply with revised guidance identified in Recommendation B.1, when issued by the Under Secretary of Defense (Comptroller), Office of the Deputy Chief Financial Officer.*	1 year 2 days

APPENDIX A

Department of the Army – Report No. DODIG-2018-099 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.5.a: The DoD OIG recommended that the Commander of the 176th Financial Management Support Unit, U.S. Army Garrison Yongsan, South Korea, develop and implement an approval process for all currency exchanges to ensure that all collections of Korean won into the unrestricted limited depository checking account have an immediate need and will not cause the balance to exceed a 7-day supply or obtain approval to exceed the 7-day supply of funds using a plan that provides a timeline and outlines how the funds will be fully expended.</p>	1 year 2 days

* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

**Report No. DODIG-2018-113, “Army and Marine Corps Joint Light Tactical Vehicle,” May 2, 2018
(Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Joint Program Office Project Manager Joint Light Tactical Vehicles determine the additional costs required to integrate the selected [Redacted] into the Joint Light Tactical Vehicles and determine whether those costs are affordable.</p>	10 months 29 days
<p>Rec. 1.b: The DoD OIG recommended that, prior to fielding, the Joint Program Office Project Manager Joint Light Tactical Vehicles equip all Joint Light Tactical Vehicles [Redacted].</p>	10 months 29 days

Report No. DODIG-2018-115, “DoD FY 2017 Compliance With the Improper Payments Elimination and Recovery Act Requirements,” May 9, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the Director of Financial Services for the Army Financial Management Command develop, implement, and submit to the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD a statistically valid travel sampling plan that identifies the complete universe of Army Windows Integrated Automated Travel System payments and how each Army overseas paying office will test for improper payments, in accordance with Office of Management and Budget guidance.</p>	10 months 22 days
<p>Rec. 2.b: The DoD OIG recommended that the Director of Financial Services for the Army Financial Management Command develop, implement, and submit to the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD a statistically valid sampling plan that identifies the complete universe of Army commercial payments and how each Army overseas paying office will test for improper payments, in accordance with Office of Management and Budget guidance.</p>	10 months 22 days

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*Department of the Army (cont'd)***Report No. DODIG-2018-119, "DoD Oversight of Logistics Civil Augmentation Program in Afghanistan Invoice Review and Payment," May 11, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.b: The DoD OIG recommended that the Army Contracting Command-Rock Island Executive Director modify the Logistics Civil Augmentation Program IV contract to require contractors to submit transaction level accounting data that accurately represents the costs billed on vouchers in the Invoicing, Receipt, Acceptance, and Property Transfer system.	10 months 20 days
Rec. A.3: The DoD OIG recommended that the Army Contracting Command-Afghanistan Principal Assistant Responsible for Contracting develop a standard operating procedure to monitor contractor billing and communicate the results to the Procuring Contracting Officer and the responsible Defense Contract Audit Agency office.	10 months 20 days

**Report No. DODIG-2018-122, "U.S. Strategic Command Facility Construction Project," May 31, 2018
(Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.a: The DoD OIG recommended that the U.S. Army Corps of Engineers Commanding General issue guidance to implement lessons learned from the U.S. Strategic Command Facility Construction Project into other military construction projects that contain DoD-unique requirements.	10 months
Rec. 3.b: The DoD OIG recommended that the U.S. Army Corps of Engineers Commanding General issue a memorandum directing contracting personnel involved with the U.S. Strategic Command Facility Construction Project to issue annual past performance evaluations for contractors in the Contractor Performance Assessment Reporting System as required by Federal Acquisition Regulation Subpart 42.15.	10 months
Rec. 3.d: The DoD OIG recommended that the U.S. Army Corps of Engineers Commanding General complete an after-action review following the construction of the U.S. Strategic Command replacement facility.	10 months

Report No. DODIG-2018-123, "U.S. Special Operations Command Reporting of General Equipment on Its Financial Statements," June 4, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.a: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) provide accurate and complete data elements to the U.S. Special Operations Command for the reporting of its General Equipment and Accumulated Depreciation account balances.	9 months 27 days

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Department of the Army – Report No. DODIG-2018-123 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.b: The DoD OIG recommend that the Assistant Secretary of the Army (Financial Management and Comptroller) develop milestones for providing accurate and complete data elements to the U.S. Special Operations Command for the reporting of its General Equipment and Accumulated Depreciation account balances.	9 months 27 days

Report No. DODIG-2018-125, “The Fort Bliss Hospital Replacement Military Construction Project,” June 6, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.a: The DoD OIG recommended that the for the U.S. Army Corps of Engineers Commander review the actions of the individuals involved in the Fort Bliss Hospital Replacement project to determine whether any actions resulted in the cost and time increase related to design errors and omissions.	9 months 25 days
Rec. 3.b: The DoD OIG recommended that the U.S. Army Corps of Engineers Commander issue guidance to improve technical expertise and discipline for medical infrastructure projects and improve understanding of performance specifications and extensions of design and performance metrics for projecting a project at risk.	9 months 25 days
Rec. 3.c: The DoD OIG recommended that the U.S. Army Corps of Engineers Commander complete an after action review following the construction of the Fort Bliss Hospital Replacement project.	9 months 25 days
Rec. 3.d: The DoD OIG recommended that the U.S. Army Corps of Engineers Commander issue guidance directing contracting personnel to issue annual past performance evaluations for contractors in the Contractor Performance Assessment Reporting System as required by Federal Acquisition Regulation Subpart 42.15.	9 months 25 days

Report No. DODIG-2018-130, “Procurement Quantities of the AH-64E Apache New Build and Remanufacture Helicopter Programs,” June 25, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-8, review and validate that the Deputy Chief of Staff of the Army, G-3/5/7, can justify the determined quantities of the AH-64E and that proper reviews and analyses are conducted before approving training and test quantities.	9 months 6 days
Rec. 1.c: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-8, prepare and retain supporting documentation for decisions to approve the Army Acquisition Objective in accordance with DoD Instruction 5015.2.	9 months 6 days

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Department of the Army – Report No. DODIG-2018-130 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.d: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-8, coordinate with Deputy Chief of Staff of the Army, G-3/5/7, officials to assess affordability after Deputy Chiefs of Staff of the Army, G-3/5/7 and G-4, have determined that quantities of AH-64Es are based on mission need and to ensure that the planned procurement quantity is a sustainable investment decision that can be supported based on future budget expectations.	9 months 6 days
Rec. 2.b: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-3/5/7, analyze the supportability, affordability, and feasibility of AH-64Es to determine the quantity necessary for training and test and submit changes to the Army Acquisition Objective to the G-8 in accordance with Execute Order 165-17.	9 months 6 days
Rec. 2.d: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-3/5/7, prepare and retain supporting documentation for decisions justifying the necessary quantities of AH-64Es in accordance with DoD Instruction 5015.2.	9 months 6 days
Rec. 3.b: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4, prepare and retain supporting documentation for decisions justifying the necessary quantities of AH-64Es in accordance with DoD Instruction 5015.2.	9 months 6 days
Rec. 3.c: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4, review and determine whether the Operational Readiness Float and Repair Cycle Float calculation in Army Regulation 750-1 should be updated.	9 months 6 days

Report No. DODIG-2018-132, “Management of Army Equipment in Kuwait and Qatar,” June 29, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Chief of Land Based Army Prepositioned Stock Division for Army Sustainment Command review current oversight procedures and establish appropriate mechanisms for contracting officer representatives to follow for changes in maintenance schedules when a vehicle moves from a controlled humidity environment to a non-controlled humidity environment.	9 months 2 days
Rec. A.2: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4 (Logistics), in conjunction with the Commander of Army Materiel Command, review Army Technical Manual 38-470 for equipment in the Care of Supplies in Storage program and determine appropriate timeframes for changes in maintenance schedules when equipment is moved from a humidity controlled environment to a non-humidity controlled environment or vice versa.	9 months 2 days
Rec. B: The DoD OIG recommended that the Chief of Staff of the Army direct the Deputy Chief of Staff of the Army, G-4, in conjunction with the Command of, Army Materiel Command, to review and update Army Regulations 710-1, 725-50, 740-26, and 735-5 with procedures to ensure 100-percent accountability of Army Prepositioned Stock equipment.	9 months 2 days

*Department of the Army (cont'd)***Report No. DODIG-2018-152, "Management of Prepositioned Stock in U.S. European Command,"
September 17, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4 (Logistics), in conjunction with the Commander of U.S. Army Materiel Command, update Army Technical Manual 38-470 to include requirements that specify who is responsible for maintaining controlled humidity levels and performing inspections for the controlled humidity facilities.	6 months 14 days
Rec. 1.b: The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4 (Logistics), in conjunction with the Commander of U.S. Army Materiel Command, update Army Technical Manual 38-470 to include requirements that clearly state how often preventive maintenance on APS weapons should be maintained and ensure consistency in other applicable criteria.	6 months 14 days
Rec. 2: The DoD OIG recommended that the 405th Army Field Support Battalion-Africa Commander develop an alternative schedule that considers unscheduled operational missions into the planning process for maintenance of prepositioned stocks.	6 months 14 days

Report No. DODIG-2019-019, "Evaluation of Contracting Officer Actions on Contractor Pricing Proposals Deemed Inadequate by Defense Contract Audit Agency," November 14, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1: The DoD OIG recommend that the Army Contracting Command-Rock Island Commander implement appropriate controls to help ensure that contracting officials adequately document and justify contract funding increases in accordance with Federal Acquisition Regulation Subpart 4.8, "Government Contract Files."	4 months 17 days
Rec. B.2: The DoD OIG recommended that the Army Contracting Command-Rock Island Commander review the actions of the LOGCAP Chief for increasing LOGCAP IV funding by \$92 million without adequately documenting or justifying the need for the increase and determine whether any administrative action should be taken.	4 months 17 days
Rec. C.1: The DoD OIG recommended that the Commanders at the eight DoD buying commands, including the Air Force Sustainment Center, the Air Force Life Cycle Management Center, the Naval Air Systems Command, the Naval Sea Systems Command, the Space and Naval Warfare Systems Command, and the Army Contracting Commands at Redstone, Rock Island, and Warren, provide refresher training to contracting personnel on the requirements for distributing the negotiation memorandum in accordance with Federal Acquisition Regulation 15.406-3(b), "Documenting the Negotiation."	4 months 17 days

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Department of the Army – Report No. DODIG-2019-019 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. C.2: The DoD OIG recommended that the Commanders at the eight DoD buying commands, including the Air Force Sustainment Center, the Air Force Life Cycle Management Center, the Naval Air Systems Command, the Naval Sea Systems Command, the Space and Naval Warfare Systems Command, and the Army Contracting Commands at Redstone, Rock Island, and Warren, provide refresher training to contracting personnel on the requirements for filing the negotiation memorandum in accordance with Defense Federal Acquisition Regulation Supplement, Procedures, Guidance, and Information 215.406-3(a)(11), “Documenting the Negotiation.”</p>	4 months 17 days

Report No. DODIG-2019-029, “DoD Task Orders Issued Under One Acquisition Solution for Integrated Services Contracts,” November 27, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the Executive Director for Army Contracting Command, Redstone Arsenal, require the contracting officer to determine if the employees met the labor categories specified in task order W31P4Q-15-F-0007 and, if not, take appropriate corrective action, including the recovery of improper payments.</p>	4 months 4 days
<p>Rec. 2.b: The DoD OIG recommended that the Executive Director for Army Contracting Command, Redstone Arsenal, require the contracting officer to report all improper payments to the Office of the Under Secretary of Defense (Comptroller), Accounting and Finance Policy Directorate and notify the DoD OIG.</p>	4 months 4 days

Report No. DODIG-2019-038, “Follow-up of Delinquent Medical Service Account Audits,” December 19, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.2: The DoD OIG recommended that the Commander of the U.S. Army Medical Command update applicable regulations to identify U.S. Army Medical Command as the debt termination authority if U.S. Army Medical Command officials obtain the authority from the Secretary of the Army.</p>	3 months 12 days

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2019-043, "U.S. Army Corps of Engineers Oversight of Temporary Emergency Power Contracts Awarded for Hurricanes Harvey and Irma," January 3, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the U.S. Army Corps of Engineers Commanding General provide training for the contracting officer's representative on performing the duties for contractor oversight on temporary emergency power contracts to include documenting contractor performance and contracting officer's representative oversight efforts as specified in the contracting officer's representative designation letter.	2 months 28 days
Rec. 1.b: The DoD OIG recommended that the U.S. Army Corps of Engineers Commanding General provide training to the procuring contracting officer on monitoring the performance of personnel designated for contracting officer's representative responsibilities on emergency temporary power contracts.	2 months 28 days
Rec. 1.c: The DoD OIG recommended that the U.S. Army Corps of Engineers Commanding General direct contracting officials responsible for emergency temporary power Advanced Contracting Initiative contracts to update the quality assurance surveillance plan to include specific means for documenting daily quality assurance assessments.	2 months 28 days
Rec. 1.d: The DoD OIG recommended that the U.S. Army Corps of Engineers Commanding General ensure that all personnel performing the quality assurance responsibilities for the temporary emergency power mission receive appropriate contract quality assurance training emphasizing the importance of properly documenting their quality assurance inspections.	2 months 28 days

Report No. DODIG-2019-050, "Followup Audit on Recommendations Addressing the Army's Business Case Analysis Used to Support the Army's Transition to In-House Human Immunodeficiency Virus Testing," January 29, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the U.S. Army Medical Command Chief of Staff compare the Human Immunodeficiency Virus testing services provided by the Air Force Epidemiology Laboratory to services performed under contract W81K04-19-D0003 and determine whether the Army should transition testing to the Air Force Epidemiology Laboratory when contract W81K04-15-D0006's period of performance ends on February 27, 2019, until the Army Human Immunodeficiency Virus Diagnostics and Reference Laboratory is moved into leased space and can accept the full Army Human Immunodeficiency Virus testing mission. The comparison should be completed within 30 days of this final report.	2 months 2 days

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2019-056, "Accounting and Financial Reporting for the Military Housing Privatization Initiative," February 12, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.2: The DoD OIG recommended that the Assistant Secretary of the Army for Installations, Energy, and Environment, in coordination with the Assistant Secretary of Defense for Sustainment and any other necessary DoD organizations, rebalance the subsidy cost for the Fort Wainwright/Greely project loan guarantee after the next reestimate process, to include deobligating the \$1.8 million that the Army unnecessarily paid.	1 month 19 days
Rec. B.5.a: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander of Naval Facilities Engineering Command; and Director of the Air Force Civil Engineer Center reconcile their privatized housing inventories with the private partners' housing inventories and update the records as needed to establish a baseline.	1 month 19 days
Rec. B.5.b: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander of Naval Facilities Engineering Command; and Director of the Air Force Civil Engineer Center develop and implement procedures to accurately record the additions and removals of housing records to ensure consistency between the Military Department and private partner systems.	1 month 19 days
Rec. B.5.c: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander of Naval Facilities Engineering Command; and Director of the Air Force Civil Engineer Center develop and implement controls to ensure that the enterprise Military Housing system and Military Department housing records reconcile once privatized housing records are in the enterprise Military Housing system.	1 month 19 days

Report No. DODIG-2019-060, "Review of Parts Purchased From TransDigm Group, Inc.," February 25, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Army Contracting Command-Redstone Executive Director consider all available corrective actions with TransDigm, including, but not limited to, directing the Army Contracting Command-Redstone contracting officer to seek a voluntary refund from TransDigm of approximately \$0.2 million in excess profit for the one purchase that the contracting officer requested uncertified cost data for but TransDigm refused to provide.	1 month 6 days
Rec. 3: The DoD OIG recommended that the Army Contracting Command-Aberdeen Proving Ground Executive Director consider all available corrective actions with TransDigm including, but not limited to, directing the Army Contracting Command-Aberdeen Proving Ground contracting officer to seek a voluntary refund from TransDigm of \$18,330 in excess profit for the two purchases that we identified contained excess profit.	1 month 6 days

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2019-061, "Audit of the DoD's Implementation of Recommendations on Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations," March 7, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Commander of U.S. Army Installation Management Command and the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force, conduct a review of all general public tenants leasing privatized housing on military installations as of January 1, 2019, to ensure that those tenants receive complete and adequate background checks and that access badge expiration dates do not exceed lease expiration dates in accordance with current Military Department guidance.</p>	24 days

Report No. DODIG-2019-063, "Followup Audit of the Military Departments' Security Safeguards Over Secret Internet Protocol Router Network Access Points," March 18, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a: Recommendation is Classified.</p>	13 days
<p>Rec. A.1.b: The DoD OIG recommended that the Army Chief Information Officer, in coordination with the Commander of Army Cyber Command, direct Army Command officials to implement procedures to ensure that SECRET Internet Protocol Router Network access request forms are properly completed, reviewed, and approved before Army Command officials grant users access to the SECRET Internet Protocol Router Network. In addition, ensure compliance with the processes and maintain the SECRET Internet Protocol Router Network access request forms.</p>	13 days
<p>Rec. A.1.c: The DoD OIG recommended that the Army Chief Information Officer, in coordination with the Commander of Army Cyber Command, direct Army Command officials to implement processes to ensure that SECRET Internet Protocol Router Network users complete all required security training before receiving access to the network and ensure all SECRET Internet Protocol Router Network users complete all required refresher training to maintain network access and ensure compliance with the processes.</p>	13 days
<p>(FOUO) Rec. A.1.d: The DoD OIG recommended that the [REDACTED]</p>	13 days
<p>(FOUO) Rec. A.1.e: The DoD OIG recommended that the [REDACTED]</p>	13 days

(FOUO)

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Department of the Army – Report No. DODIG-2019-063 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. B.1: The DoD OIG recommended that the [REDACTED]	13 days
Rec. B.3: The DoD OIG recommended that the Army Deputy Chief of Staff for Intelligence direct Army Command officials to ensure personnel are aware of the requirement to complete end-of-day security checks.	13 days
(FOUO) Rec. B.4: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. B.5.a: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. B.5.b: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. B.6: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. B.7: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO)	

Report No. DODIG-2019-066, “Summary Audit of Systemic Weaknesses in the Cost of War Reports,” March 22, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 4: The DoD OIG recommended that the Auditor General of the Army, Auditor General of the Navy, and the Auditor General of the Air Force include followup audits that verify the accuracy of the Cost of War data in their FY 2020 audit plans.	9 days

Department of the Navy**Report No. D-2008-097, "Hurricane Relief Effort Costs on the Navy Construction Capabilities Contract,"
May 23, 2008 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1.c: The DoD OIG recommended that the Naval Facilities Engineering Command Southeast Commanding Officer request Kellogg, Brown, and Root to refund any amount paid under the material markup provisions determined to represent profit. The DoD OIG calculated that this could result in the Navy recovering as much as \$7.2 million from Kellogg, Brown, and Root for the inappropriate payments.*</p>	<p>10 years 10 months 8 days</p>

* The agreed-upon corrective actions have been completed by DoD management; however, the Department's recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

**Report No. DODIG-2012-017, "U.S. Naval Academy Officials Did Not Adhere to Contracting and Gift Policies,"
November 7, 2011**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1.d: The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to implement the Naval Heritage and History Command inventory system, Department of Navy Heritage Asset Management System, and record all the in-kind gifts into the system.</p>	<p>7 years 4 months 24 days</p>
<p>Rec. B.3.b: The DoD OIG recommended that the Naval Heritage and History Command Director require the United States Naval Academy Museum Director to use the Naval Heritage and History Command inventory system and the Department of Navy Heritage Asset Management System.</p>	<p>7 years 4 months 24 days</p>

Report No. DODIG-2012-122, "The DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access," August 29, 2012 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1.b: The DoD OIG recommended that the Assistant Secretary of the Army (Manpower and Reserve Affairs), in conjunction with the Assistant Secretary of the Army (Installations, Energy, and Environment); Commander of Headquarters Air Force Security Forces Center; Deputy Chief of Naval Operations for Fleet Readiness and Logistics; and Assistant Deputy Commandant of Plans, Policies and Operations (Security), U.S. Marine Corps, before approving physical access control systems for a location require installation security personnel to be involved during the site surveys.</p>	<p>6 years 7 months 2 days</p>

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*Department of the Navy (cont'd)***Report No. DODIG-2013-082, "Hotline Allegation Regarding the Failure to Take Action on Material Management and Accounting System Audit Findings," May 29, 2013**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.d: The DoD OIG recommended that the Commanding Officer, Supervisor of Shipbuilding, Conversion and Repair, re-evaluate the determination that the costs of complying with Standard 2 outweigh the benefits, and document adequate rationale for any disagreements with the auditor in accordance with DoD Instruction 7640.02, "Policy for Follow-up on Contract Audit Reports."	5 years 10 months 2 days
Rec. A.2.e: The DoD OIG recommended that the Commanding Officer and Supervisor of Shipbuilding, Conversion, and Repair, in consultation with the Defense Contract Audit Agency, reassess the appropriateness of the March 15, 2013, agreement with the contractor on the master production schedule accuracy calculation.	5 years 10 months 2 days

Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June 28, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b.(2): The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs, the Assistant Secretary of the Air Force for Manpower and Reserve Affairs, and the Executive Director of the Army National Cemeteries Program ensure training opportunities are provided for individuals with cemetery operations responsibilities.	5 years 9 months 3 days
Rec. 1.b.(3): The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs, the Assistant Secretary of the Air Force for Manpower and Reserve Affairs, and the Executive Director of the Army National Cemeteries Program develop and implement a cemetery inspection program.	5 years 9 months 3 days
Rec. 1.b.(4): The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs, the Assistant Secretary of the Air Force for Manpower and Reserve Affairs, and the Executive Director of the Army National Cemeteries Program develop and implement local cemetery management Standard Operating Procedures.	5 years 9 months 3 days
Rec. 1.b.(5): The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs, the Assistant Secretary of the Air Force for Manpower and Reserve Affairs, and the Executive Director of the Army National Cemeteries Program direct installation commanders to conduct a 100 percent record-to-graves verification.	5 years 9 months 3 days
Rec. 2.c: The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs and the Assistant Secretary of the Air Force for Manpower and Reserve Affairs update the applicable manuals of each service to reflect upcoming Under Secretary of Defense for Acquisition, Logistics, and Technology policy.	5 years 9 months 3 days

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Department of the Navy – Report No. DODIG-2013-098 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.b: The DoD OIG recommended that the Executive Director of the Army National Cemeteries Program, the Assistant Secretary of the Navy for Manpower and Reserve Affairs, and the Assistant Secretary of the Air Force for Manpower and Reserve Affairs update current publications to reflect Under Secretary of Defense for Personnel and Readiness and Under Secretary of Defense for Acquisition, Technology, and Logistics guidance.	5 years 9 months 3 days

Report No. DODIG-2014-001, “MV-22 Squadrons Could Improve Reporting of Mission Capability Rates and Readiness,” October 23, 2013 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Naval Air Forces Commander revise Naval Air Forces Commander Instruction 4790.2A, “Naval Aviation Maintenance Program,” November 10, 2009, to include detailed procedures for maintenance officers to use to verify the accuracy and completeness of aircraft inventory reports and work orders before submission.	5 years 5 months 8 days

Report No. DODIG-2014-083, “Insufficient Infrastructure Support to the Fixed Submarine Broadcast System,” June 23, 2014 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1: The DoD OIG recommended that the Chief of Naval Operations establish criteria for Broadcast Transmit Stations [Redacted]. This criteria should include a requirement establishing the minimum duration [Redacted].	4 years 9 months 8 days
Rec. C.1: Recommendation is Classified.	4 years 9 months 8 days
Rec. D.2: The DoD OIG recommended that the Naval Inspector General coordinate with Navy Technical Proficiency Inspection program managers to ensure establishment of a comprehensive inspection program based on requirements directed in the “Navy Technical Proficiency Inspection” program; Chairman, Joint Chiefs of Staff Instruction 6811.01B, “Nuclear Command and Control Technical Performance Criteria;” and Chairman, Joint Chiefs of Staff Instruction 6810.01B, “Critical Nuclear Command and Control Equipment and Facilities.”	4 years 9 months 8 days

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2015-011, "Evaluation of the Defense Criminal Investigative Organizations' Defense Incident-Based Reporting System Reporting and Reporting Accuracy," October 29, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.b: The DoD OIG recommended that the U.S. Army Criminal Investigative Command Commander; the Naval Criminal Investigative Service Director; and the Air Force Office of Special Investigations Commander ensure Defense Incident-Based Reporting System error corrections are completed within 30 days of the Defense Manpower Data Center providing notification, as required by DoD Manual 7730.47-M, Volume 1.	4 years 5 months 2 days

Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b: The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate DoD guidance on the DoD Suicide Event Report submission process.	4 years 4 months 17 days
Rec. 2.b: The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate DoD guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions.	4 years 4 months 17 days
Rec. 4.e: The DoD OIG recommended that the Chiefs of the Military Services update policies to integrate Department of Defense Suicide Event Report data collection and submission practices into their Service suicide prevention lessons learned processes.	4 years 4 months 17 days

Report No. DODIG-2015-046, "Navy Commands Need to Improve Logical and Physical Controls Protecting SIPRNET Access Points," December 10, 2014 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.3: The DoD OIG recommended that the Deputy Under Secretary of the Navy, Policy, update Department of the Navy policy to implement at least the minimum requirements for performing a risk assessment, as required by DoD Manual 5200.01, volume 3.	4 years 3 months 21 days
(FOUO) Rec. A.5.b: The DoD OIG recommended that the Department of the Navy Deputy Chief Information Officer (Navy) [REDACTED]	4 years 3 months 21 days

(FOUO)

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*Department of the Navy (cont'd)***Report No. DODIG-2015-053, "Naval Supply Systems Command Needs to Improve Cost Effectiveness of Purchases for the Phalanx Close-In Weapon System," December 19, 2014 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.c: The DoD OIG recommended that the Naval Supply Systems Command Weapons Systems Support Commander require the Naval Supply Systems Command Weapon Systems Support contracting officers to complete timely reviews for variations in quantity before determining forecasted demand.	4 years 3 months 12 days

Report No. DODIG-2015-072, "Improvements Needed for Navy's Triannual Review," January 22, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Director of the Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) Office of Budget develop standard queries for the budget submitting offices to ensure completeness of data extracted for triannual reviews.	4 years 2 months 9 days
Rec. 2.a: The DoD OIG recommended that the Director of the Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) Office of Budget develop and implement Navy triannual review standard procedures, based on U.S. Marine Corps best practices, to compile a universe of obligations for the budget submitting offices to use in performing the triannual review.	4 years 2 months 9 days

Report No. DODIG-2015-081, "Evaluation of Department of Defense Compliance with Criminal History Data Reporting Requirements," February 12, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Secretaries of the Navy and Air Force take prompt action to submit the missing 304 fingerprints and 334 final disposition reports to the Federal Bureau of Investigation for inclusion into the Integrated Automated Fingerprint Identification System.	4 years 1 month 19 days

Report No. DODIG-2015-090, "Evaluation of Aircraft Ejection Seat Safety When Using Advanced Helmet Sensors," March 9, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2: The DoD OIG recommended that the Navy and Air Force ensure consistent documentation of aircraft ejection data to increase the data available for ejections with Helmet Mounted Devices and/or Night Vision Goggles, thus improving the safety risk analysis. The data should include aircraft speed at time of ejection, whether aircrew was wearing HMD and/or NVGs, and type of injury sustained.	4 years 22 days

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*Department of the Navy (cont'd)***Report No. DODIG-2015-102, "Additional Actions Needed to Effectively Reconcile Navy's Fund Balance With Treasury Account," April 3, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) develop a reconciliation process that is based on detail-level transaction data from Department of the Navy's general ledger systems. As part of this process, the Department of the Navy needs to demonstrate how these detail-level transactions are used in the preparation of their financial statements.	3 years 11 months 28 days
Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) review the control weaknesses identified for the Defense Cash Accountability System and Program Budget Information System during Federal Information System Controls Audit Manual testing and implement a plan to reduce ineffective or untested controls.	3 years 11 months 28 days
Rec. 3: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) review and approve current standard operating procedures to ensure the Fund Balance With Treasury reconciliation is completed according to Treasury and DoD policies and that reconciliations are tested and proven to be a sustainable and repeatable process.	3 years 11 months 28 days
Rec. 4: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) design and implement controls within the end-to-end Fund Balance With Treasury business process for resolving amounts reported on the "Statement of Differences-Disbursements."	3 years 11 months 28 days

Report No. DODIG-2015-114, "Navy Officials Did Not Consistently Comply With Requirements for Assessing Contractor Performance," May 1, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Commander of the Naval Sea Systems Command develop and implement procedures for contract registration, including procedures to validate that personnel register contracts properly.	3 years 10 months 30 days
Rec. 4.a: The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and the Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement procedures that require assessors to prepare performance assessment reports that meet the 120-day requirement in the Under Secretary of Defense for Acquisition, Technology, and Logistics policy.	3 years 10 months 30 days
Rec. 4.b: The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and the Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement quality control procedures for evaluating performance assessment report narratives and descriptions of the contract purpose.	3 years 10 months 30 days

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Department of the Navy – Report No. DODIG-2015-114 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 4.c: The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and the Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement procedures that require assessors to take initial and periodic refresher quality and narrative writing training for the Contractor Performance Assessment Reporting System.</p>	3 years 10 months 30 days
<p>Rec. 5: The DoD OIG recommended that the Commanders of Naval Air Systems Command, Naval Sea Systems Command, Space and Naval Warfare Systems Center Atlantic, and Space and Naval Warfare Systems Center Pacific and the Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, train or re-emphasize to assessors the definitions of the ratings and what is required to justify each rating, as outlined in the Federal Acquisition Regulation.</p>	3 years 10 months 30 days

Report No. DODIG-2015-122, “Naval Air Systems Command Needs to Improve Management of Waiver Requests,” May 15, 2015 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1: The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition and the Chief of Naval Operations, Director, Innovation, Test and Evaluation, and Technology, update Secretary of the Navy Instruction 5000.2E, “Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System,” and Secretary of the Navy Manual, M-5000.2, “Acquisition and Capabilities Guidebook,” May 9, 2012, Section 4.6, “Certification of Readiness for Operational Testing,” to:</p> <ol style="list-style-type: none"> a. emphasize that program managers must request waivers whenever they do not meet any of the 20 criteria the Secretary of the Navy Instruction 5000.2E requires programs to meet to certify readiness for initial operational test and evaluation; and, b. clarify that Operational Test Readiness Review briefings to stakeholder groups should include specific explanations of program accomplishments against each of the 20 certification criteria to clearly document either that the criteria was met or a waiver or deferral request was coordinated with the Chief of Naval Operations, Director, Innovation, Test and Evaluation, and Technology; the program sponsors; and the Commander of Operational Test and Evaluation Force. 	3 years 10 months 16 days

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*Department of the Navy (cont'd)***Report No. DODIG-2015-137, "Improvements Needed on DoD Procurements from Robertson Fuel Systems," June 25, 2015 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the Defense Logistics Agency Director, the Commanding General of U.S. Army Contracting Command, and the Assistant Commander for Contracts for Naval Air Systems Command require contracting officers to obtain the necessary documentation to support the commerciality of any product from Robertson, as defined by Federal Acquisition Regulation Subpart 2.101. If adequate support is not obtained, deem the item noncommercial and obtain certified cost or pricing data in accordance with Federal Acquisition Regulation Part 15 or obtain a waiver where appropriate.</p>	3 years 9 months 6 days

Report No. DODIG-2015-142, "Navy's Contract/Vendor Pay Process Was Not Auditable," July 1, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) develop a process or system interface between Navy Enterprise Resource Planning and Wide Area Work Flow that provides timely processing of transactions and update the Department of the Navy's system business processes to ensure transactions are processed in compliance with the Statement of Federal Financial Accounting Standards 1.</p>	3 years 8 months 30 days

Report No. DODIG-2015-143, "Patriot Express Program Could Be More Cost-Effective for Overseas Permanent Change of Station and Temporary Duty Travel," July 6, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 3.b: The DoD OIG recommended that the Commander of the Naval Supply Systems Command implement controls in the Defense Travel System to automatically route all travel orders for travel outside of the continental United States to transportation office personnel to check Patriot Express availability before booking commercial transportation.</p>	3 years 8 months 25 days

Report No. DODIG-2015-148, "Rights of Conscience Protections for Armed Forces Service Members and Their Chaplains," July 22, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 6: The DoD OIG recommended that the Army Deputy Chief of Staff for Personnel, the Chief of Naval Personnel, and the Air Force Deputy Chief of Staff for Manpower, Personnel, and Services ensure that programs of instruction for commissioned and noncommissioned officers include the updated guidance regarding religious accommodations contained in Department of Defense Instruction 1300.17.</p>	3 years 8 months 9 days

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*Department of the Navy (cont'd)***Report No. DODIG-2015-161, "Naval Personnel Can Improve Compliance With the Berry Amendment and Buy American Act," August 12, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.2: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller):</p> <ul style="list-style-type: none"> a. initiate a preliminary review in accordance with DoD 7000.14-R, "DoD Financial Management Regulation," volume 14, chapter 3, to determine whether reportable violations of the Antideficiency Act occurred as a result of any items purchased on contracts N00189-13-D-0001, N00189-13-P-1264, N68335-13-C-0164, and N68335-13-C-0186 in violation of the Berry Amendment, and b. complete the preliminary review as required by Regulation and provide the results to the DoD Office of Inspector General. If an Antideficiency Act violation has occurred, determine which officials are responsible and recommend corrective actions. 	3 years 7 months 19 days

Report No. DODIG-2015-162, "Continental United States Military Housing Inspections-National Capital Region," August 13, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. D.1: The DoD OIG recommended that the Joint Base Anacostia-Bolling Commander conduct an effective root cause analysis and implement a corrective action plan for all electrical deficiencies identified in this report.</p>	3 years 7 months 18 days
<p>Rec. E.1: The DoD OIG recommended that the Joint Base Anacostia-Bolling Commander conduct an effective root cause analysis and implement a corrective action plan for all fire protection deficiencies identified in this report.</p>	3 years 7 months 18 days
<p>Rec. F.1: The DoD OIG recommended that the Joint Base Anacostia-Bolling Commander conduct an effective root cause analysis and implement a corrective action plan for all environmental health and safety deficiencies identified in this report.</p>	3 years 7 months 18 days

Report No. DODIG-2015-172, "Naval Sea Systems Command Needs to Improve Management of Waiver and Deferral Requests," September 14, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2: The DoD OIG recommended that the Secretary of the Navy revise Secretary of the Navy Instruction 5000.2E, "Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System," September 1, 2011, after the Vice Chairman of the Joint Chiefs of Staff revises the Joint Capabilities Integration and Development System Manual in response to Recommendation 1.</p>	3 years 6 months 17 days

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Department of the Navy – Report No. DODIG-2015-172 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 3: The DoD OIG recommended that the Naval Sea Systems Command Commander revise Naval Sea System Command Instruction 3960.2D, “Test and Evaluation,” April 22, 1988, to implement the Navy policy in the planned revision of Secretary of the Navy Instruction 5000.2E, “Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System,” planned for designation as Secretary of the Navy Instruction 5000.2E.</p>	3 years 6 months 17 days

Report No. DODIG-2015-181, “Continental United States Military Housing Inspections-Southeast,” September 24, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. D.1: The DoD OIG recommended that the Naval Station Mayport Commander conduct an effective root cause analysis and perform corrective actions for all fire protection deficiencies identified.</p>	3 years 6 months 7 days

Report No. DODIG-2016-026, “Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions,” November 24, 2015 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2: The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and the U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps formalize an agreement to focus capability development on functional and mission areas consistent with results of the mission alignment board.</p>	3 years 4 months 7 days

Report No. DODIG-2016-054, “Navy Controls for Invoice, Receipt, Acceptance, and Property Transfer System Need Improvement,” February 25, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a.2: The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to develop and communicate comprehensive procedures to out-process Invoice, Receipt, Acceptance, and Property Transfer system users who leave the commands. Both users and supervisors should provide a formal notification to the Invoice, Receipt, Acceptance, and Property Transfer system group administrator indicating that a user is separating from the command and the corresponding system access should end.</p>	3 years 1 month 6 days

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Department of the Navy – Report No. DODIG-2016-054 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.b: The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to review the Invoice, Receipt, Acceptance, and Property Transfer system to verify that the Defense Logistics Agency’s automated control for inactive users is working properly and ensure separated employees user accounts were automatically disabled.</p>	3 years 1 month 6 days
<p>Rec. 1.d: The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to review other commands that use the Navy Enterprise Resource Planning system and direct the commands to disable the certifying officer role in the Invoice, Receipt, Acceptance, and Property Transfer system if their duties do not require it.</p>	3 years 1 month 6 days

Report No. DODIG-2016-104, “Improvements Needed in Managing Department of the Navy Suspense Accounts,” June 30, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) work with the Defense Finance and Accounting Service, Office of the Under Secretary of Defense (Comptroller), and U.S. Department of the Treasury to remove Department of the Navy recycling, agricultural leasing, forestry, and trademark program transactions from suspense accounts and identify a more appropriate, Generally Accepted Accounting Principles-compliant accounting treatment.</p>	2 years 9 months 1 day

Report No. DODIG-2016-107, “Advanced Arresting Gear Program Exceeded Cost and Schedule Baselines,” July 5, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition perform cost-benefit analyses to determine whether the Advanced Arresting Gear is an affordable solution for Navy aircraft carriers before deciding to go forward with the system on future aircraft carriers.</p>	2 years 8 months 26 days
<p>Rec. 2: The DoD OIG recommended that the Program Manager for the Aircraft Launch and Recovery Equipment update the Advanced Arresting Gear Test and Evaluation Master Plan to revise the planned test strategy, test schedule, developmental and operational funding and add measures to support the program’s reliability growth plan before the Acquisition Category IC Acquisition Program Baseline is finalized.</p>	2 years 8 months 26 days

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*Department of the Navy (cont'd)***Report No. DODIG-2016-116, "Navy Needs to Establish Effective Metrics to Achieve Desired Outcomes for SPY-1 Radar Sustainment," August 1, 2016 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a: The DoD OIG recommended that the Commander of the Naval Supply Systems Command require that the Naval Supply Systems Command Weapon Systems Support follow DoD guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should consult with Advanced Traceability and Control and the operational commands when reevaluating the SPY-1 radar's product support strategy and designing the performance metrics included in future performance-based logistics contracts.</p>	2 years 7 months 30 days
<p>Rec. A.1.b: The DoD OIG recommended that the Commander of the Naval Supply Systems Command require that the Naval Supply Systems Command Weapon Systems Support follow DoD guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should establish formal support agreements with Advanced Traceability and Control and the operational commands used to supply SPY-1 radar parts to fleet customers.</p>	2 years 7 months 30 days
<p>Rec. A.1.c: The DoD OIG recommended that the Commander of the Naval Supply Systems Command require that the Naval Supply Systems Command Weapon Systems Support follow DoD guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should review the readiness and sustainment performance history and costs of the AEGIS and SPY-1 radars, use that data to identify the difference between existing and desired SPY-1 radar performance outcomes, and develop metrics that incentivize the contractors to deliver the desired performance outcomes.</p>	2 years 7 months 30 days
<p>Rec. A.1.d: The DoD OIG recommended that the Commander of the Naval Supply Systems Command require that the Naval Supply Systems Command Weapon Systems Support follow DoD guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should design performance metrics that accurately reflect the user's needs and effectively measure the product support provider's performance.</p>	2 years 7 months 30 days
<p>Rec. A.1.e: The DoD OIG recommended that the Commander of the Naval Supply Systems Command require that the Naval Supply Systems Command Weapon Systems Support follow DoD guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should break down system-level requirements into lower-level metrics that appropriately link contractor performance to the accomplishment of warfighter readiness and performance needs.</p>	2 years 7 months 30 days

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2016-130, "The Navy Needs More Comprehensive Guidance for Evaluating and Supporting Cost-Effectiveness of Large-Scale Renewable Energy Projects," August 25, 2016 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Assistant Secretary of the Navy for Energy, Installations, and Environment develop new, or modify existing, Navy guidance to include comprehensive steps to evaluate and document the cost effectiveness assessments for large-scale renewable energy projects.	2 years 7 months 6 days
Rec. 1.b: The DoD OIG recommended that the Assistant Secretary of the Navy for Energy, Installations, and Environment, once new or modified guidance is issued, determine whether approved renewable energy projects are cost-effective based on these policies and procedures and take appropriate action based on that determination.	2 years 7 months 6 days

Report No. DODIG-2017-014, "Acquisition of the Navy Surface-Mine Countermeasure Unmanned Undersea Vehicle (Knifefish) Needs Improvement," November 8, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Expeditionary Warfare Division (N95) Director coordinate with the Surface Warfare (N96) Director to develop capability requirements in the Knifefish capability production document relating to communication interface and launch and recovery operations between the Knifefish system and the Littoral Combat Ship, unless Knifefish is no longer required.	2 years 4 months 23 days

Report No. DODIG-2017-043, "Management of Excess Material in the Navy's Real-Time Reutilization Asset Management Facilities Needs Improvement," January 23, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Chief of Naval Operations develop and implement retention and disposition guidance for excess consumable material in the Real-Time Reutilization Asset Management facilities that includes, at a minimum, standardized procedures for retaining material based on demand, validating material for continued need if the retention decision is not based on demand, and properly categorizing material.	2 years 2 months 8 days
Rec. B.1: The DoD OIG recommended that the Commander of Naval Supply Systems Command update Naval Supply Systems Command Publication 485 to require users who are requisitioning material to use the Navy Enterprise Resource Planning system before using alternative methods, which should ensure the Navy maximizes use of excess consumable material available in the Real-Time Reutilization Asset Management facilities.	2 years 2 months 8 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2017-063, "(U//FOUO) Surface Electronic Warfare Improvement Program [REDACTED], March 13, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Program Executive Office Integrated Warfare System Program Executive Officer correct Surface Electronic Warfare Improvement Program Blocks 1B1 and 1B2 deficiencies.	2 years 18 days
Rec. B.1.a: The DoD OIG recommended that the Program Executive Office Integrated Warfare System Program Executive Officer correct Surface Electronic Warfare Improvement Block 2 system deficiencies.	2 years 18 days

Report No. DODIG-2017-067, "Navy Inaccurately Reported Costs for Operation Freedom's Sentinel in the Cost of War Reports," March 16, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A: The DoD OIG recommended that the Deputy Assistant Secretary of Financial Operations, Accounting and Financial Reporting Division, and the Deputy Assistant Secretary for Budget, in coordination with the Comptroller of Pacific Fleet Command and the Comptroller of U.S. Fleet Forces Command, reengineer processes to identify the Navy's transactions for overseas contingency operations.*	2 years 15 days
Rec. B.1: The DoD OIG recommended that the Deputy Assistant Secretary for Budget, in coordination with Navy budget submitting offices and support activities, develop and implement standard operating procedures that cover end-to-end Cost of War reporting processes. These standard operating procedures should include, at a minimum, procedures for the receipt, review, and reporting of obligations and disbursements for Operation Freedom's Sentinel to ensure that costs are accurately reflected in the Cost of War reports.*	2 years 15 days
Rec. B.2: The DoD OIG recommended that the Deputy Assistant Secretary for Budget develop and issue updated guidance that requires Navy activities to, at a minimum, use a consistent methodology for allocating incremental operations and depot-level maintenance costs, as required by Public Law 11-235, "The Consolidated and Further Continuing Appropriations Act, 2015," and define by DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 12, chapter 23.*	2 years 15 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

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*Department of the Navy (cont'd)***Report No. DODIG-2017-087, "U.S.-Controlled and -Occupied Military Facilities Inspection–Camp Lemonnier, Djibouti," June 2, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a: The DoD OIG recommended that the Commander of Navy Region Europe, Africa, Southwest Asia, conduct a root cause analysis and implement a corrective action plan for all electrical deficiencies identified in this report. Ensure that all facility operations and maintenance comply with the Unified Facilities Criteria and the National Fire Protection Association standards. Provide the DoD OIG a copy of the analysis and corrective action plan within 90 days of the issuance of this report.</p>	1 year 9 months 29 days
<p>Rec. B.1.a: The DoD OIG recommended that the Commander of Navy Region Europe, Africa, Southwest Asia, conduct a root cause analysis and implement a corrective action plan for all deficiencies identified in this report. Ensure that all facility operations and maintenance complies with the Unified Facilities Criteria and the National Fire Protection Association standards. Provide the DoD OIG a copy of the analysis and corrective action plan within 90 days of the issuance of this report.</p>	1 year 9 months 29 days

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A: The DoD OIG recommended that the Director of Expeditionary Warfare, Office of the Chief of Naval Operations, update Instruction F3501.97H with the new manpower authorization for Explosive Ordnance Disposal Mobile Unit 6, Shore Based Detachment Kings Bay, Georgia; and Explosive Ordnance Disposal Mobile Unit 11, Shore Based Detachment Bangor, Washington.</p>	1 year 8 months 3 days
<p>Rec. B.1: The DoD OIG recommended that the Secretary of the Navy and the Secretary of the Air Force, along with the Chairman of the Joint Chiefs of Staff, develop joint mission essential tasks lists for an explosive ordnance disposal response to a nuclear weapon incident.</p>	1 year 8 months 3 days
<p>Rec. C: The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Defense Threat Reduction Agency Director and the Under Secretary of Defense for Acquisition and Sustainment, comply with Presidential Policy Directive-35 and develop and field secure communications between Custodial explosive ordnance disposal units and National responders.</p>	1 year 8 months 3 days

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2017-107, "Followup Audit: U.S. Naval Academy Museum Management of Heritage Assets," August 7, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to prioritize the completion of a baseline inventory of all U.S. Naval Academy Museum assets and document the inventory results.	1 year 7 months 24 days
Rec. 1.b: The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to reconcile the U.S. Naval Academy Museum Found-in-Collection and duplicate items with already accessioned inventory items when possible.	1 year 7 months 24 days
Rec. 1.c: The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to complete a DD Form 200 for lost assets as the director deems necessary. If a DD Form 200 was not needed, document the reason.	1 year 7 months 24 days
Rec. 1.d: The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to prepare and complete a transfer agreement for any artifacts that were physically transferred to the Smithsonian Museum. If the artifacts are not permanently transferred, then these artifacts should be recorded as loaned items in the U.S. Naval Academy Museum inventory.	1 year 7 months 24 days
Rec. 2: The DoD OIG recommended that the U.S. Naval Academy Inspector General provide progress updates to the Superintendent on completion of the baseline inventory.	1 year 7 months 24 days

Report No. DODIG-2017-121, "U.S. Africa Command's Management of Acquisition and Cross-Servicing Agreements," September 21, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Navy (Research, Development, and Acquisition) designate a Chief of Naval Operations and Headquarters Marine Corps Office of Prime Responsibility to oversee the execution of the Acquisition and Cross-Servicing Agreement program for their respective Service Components.	1 year 6 months 10 days
Rec. 6.a: The DoD OIG recommended that the Commander of U.S. Naval Forces Africa review U.S. Navy logistics support, supplies, and services provided to foreign military services in the U.S. Africa Command area of responsibility to determine whether the support and services are supplied under Acquisition and Cross-Servicing Agreement authority.	1 year 6 months 10 days
Rec. 6.b: The DoD OIG recommended that the Commander of U.S. Naval Forces Africa track and maintain logistics support, supplies, and services provided under Acquisition and Cross-Servicing Agreement authority in accordance with the updated DoD policy.	1 year 6 months 10 days

*Department of the Navy (cont'd)***Report No. DODIG-2017-125, "Naval Facilities Engineering Command Southwest and Marine Corps Base Camp Pendleton Officials' Use of Utility Energy Service Contracts," September 28, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a: The DoD OIG recommended that the Naval Facilities Engineering Command Southwest Commanding Officer direct the Naval Facilities Engineering Command Southwest contracting officers to approve all future scope of work changes before the contractor begins performance and reemphasize and hold training sessions to implement the existing process for notifying and approving minor expedited changes for the Marine Corps Base Camp Pendleton Utility Energy Services Contracts.</p>	1 year 6 months 3 days
<p>Rec. A.1.c: The DoD OIG recommended that the Naval Facilities Engineering Command Southwest Commanding Officer establish a written agreement with each organization that the contracting officers rely on to perform contract administration or quality assurance duties. The agreement should clearly outline each organization's duties, roles, and responsibilities; documentation and retention requirements; procedures for providing contractor performance information to Naval Facilities Engineering Command Southwest contracting officials; and procedures for requesting and obtaining approval for scope of work changes from the Naval Facilities Engineering Command Southwest contracting officers.</p>	1 year 6 months 3 days

Report No. DODIG-2018-025, "Defense Hotline Allegations on the Surface Electronic Warfare Improvement Program Block 3 Costs," November 9, 2017 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.b: The DoD OIG recommended that the Program Executive Officer for the Program Executive Office Integrated Warfare System establish an approved Engineering and Manufacturing Development phase cost baseline estimate to consistently measure and control costs for Surface Electronic Warfare Improvement Program Block 3.</p>	1 year 4 months 22 days
<p>Rec. 1.c: The DoD OIG recommended that the Program Executive Officer for the Program Executive Office Integrated Warfare System verify that Northrop Grumman adequately meets the established Engineering and Manufacturing Development phase baseline estimate to minimize existing or future problems.</p>	1 year 4 months 22 days

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. C.1.a: The DoD OIG recommended that the Secretary of the Navy take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 159 Naval Criminal Investigative Service fingerprint cards and 203 Naval Criminal Investigative Service final dispositions reports that are not in the Federal Bureau of Investigation Next Generation Identification database.</p>	1 year 3 months 27 days

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Department of the Navy – Report No. DODIG-2018-035 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. C.1.b: The DoD OIG recommended that the Secretary of the Navy immediately and comprehensively review all Naval Criminal Investigative Service criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Service in compliance with DoD and Federal Bureau of Investigation requirements.</p>	1 year 3 months 27 days
<p>Rec. C.1.c: The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that Naval Criminal Investigative Service command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is included as a special interest item in Naval Criminal Investigative Service Inspector General inspections, and is actually conducted.</p>	1 year 3 months 27 days
<p>Rec. C.1.d: The DoD OIG recommended that the Secretary of the Navy take prompt action to comprehensively review the Naval Criminal Investigative Service criminal history reporting programs to ensure all applicable agency policy, training, fingerprinting, and final disposition report submission processes are consistent with DoD Instruction 5505.11, and have been implemented.</p>	1 year 3 months 27 days
<p>Rec. C.1.e: The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.</p>	1 year 3 months 27 days
<p>Rec. D.1.a: The DoD OIG recommended that the Secretary of the Navy take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 38 Navy Security Forces fingerprint cards and 40 Navy Security Forces final disposition reports that are not in the Federal Bureau of Investigation Next Generation Identification database.</p>	1 year 3 months 27 days
<p>Rec. D.1.b: The DoD OIG recommended that the Secretary of the Navy to immediately and comprehensively review all Navy Security Forces criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Service in compliance with DoD and Federal Bureau of Investigation requirements.</p>	1 year 3 months 27 days
<p>Rec. D.1.c: The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that Navy Security Forces command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is included as a special interest item in future Inspector General inspections, and is actually conducted.</p>	1 year 3 months 27 days

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Department of the Navy – Report No. DODIG-2018-035 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. D.1.d: The DoD OIG recommended that the Secretary of the Navy take prompt action to comprehensively review Navy Security Forces criminal history reporting programs to ensure that all applicable agency policy, training, fingerprinting, and final disposition report submission processes are consistent with DoD Instruction 5505.11 and have been implemented.	1 year 3 months 27 days
Rec. D.1.e: The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	1 year 3 months 27 days
Rec. D.1.f: The DoD OIG recommended that the Secretary of the Navy take prompt action to direct the Commander of Naval Installations Command to revise Navy Tactics, Techniques, and Procedures 3-07.2.3 to align with fingerprint card submission requirements set forth in DoD Investigation 5505.11.	1 year 3 months 27 days

Report No. DODIG-2018-038, “Joint Air-to-Ground Missile Program,” December 7, 2017 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that at the Joint Air-to-Ground Missile increment one initial production decision, the Commander of U.S. Army Training and Doctrine Command; the Assistant Secretary of the Army (Acquisition, Logistics, and Technology); and the Assistant Secretary of the Navy (Research, Development, and Acquisition) evaluate the costs to achieve full Joint Air-to-Ground Missile capability.	1 year 3 months 24 days
Rec. 1.b: The DoD OIG recommended that at the Joint Air-to-Ground Missile increment one initial production decision, the Commander of U.S. Army Training and Doctrine Command; the Assistant Secretary of the Army (Acquisition, Logistics, and Technology); and the Assistant Secretary of the Navy (Research, Development, and Acquisition) determine whether the Joint Air-to-Ground Missile incremental strategy provides the most affordable alternative to meet the self-guided missile capability gap.	1 year 3 months 24 days

Report No. DODIG-2018-050, “Naval Facilities Engineering Command Administration of Selected Energy Savings Performance Contracts,” December 19, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1.a: The DoD OIG recommended that the Commander of Naval Facilities and Engineering Command direct the Naval Facilities Engineering Command-Expeditionary Warfare Center contracting officer to validate contractor-proposed currency adjustments for the Commander Fleet Activities Yokosuka performance of September 1, 2008, through August 31, 2017 (performance years 1, 2, 3, 4, 5, 6, 7, 8, and 9).	1 year 3 months 12 days

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Department of the Navy – Report No. DODIG-2018-050 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1.b.1: The DoD OIG recommended that the Commander of Naval Facilities and Engineering Command direct the Naval Facilities Engineering Command-Expeditionary Warfare Center contracting officer to recover the unsupported currency fluctuation payments calculated by the audit at \$250,000.	1 year 3 months 12 days
Rec. B.1.b.2: The DoD OIG recommended that the Commander of Naval Facilities and Engineering Command direct the Naval Facilities Engineering Command-Expeditionary Warfare Center contracting officer to follow the contractually required process to calculate and approve currency adjustments in future years or modify contract Clause H-27 and the detailed energy survey to establish a revised process.	1 year 3 months 12 days

**Report No. DODIG-2018-063, “Navy and Marine Corps Management of Relocatable Buildings,”
January 29, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest Public Works Department, Naval Base Coronado (formerly known as Chief, Directorate of Public Works, Naval Base Coronado) coordinate with the Naval Special Warfare Command and apply for approval of relocatable buildings that initially were never submitted for approval.	1 year 2 months 2 days
Rec. A.1.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest Public Works Department, Naval Base Coronado (formerly known as Chief, Directorate of Public Works, Naval Base Coronado) coordinate with the Naval Special Warfare Command and establish exit strategies for relocatable buildings that do not have one.	1 year 2 months 2 days
Rec. A.1.c: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest Public Works Department, Naval Base Coronado (formerly known as Chief, Directorate of Public Works, Naval Base Coronado) coordinate with the Naval Special Warfare Command to ensure personnel are performing and following the required lease-versus-buy analysis before extending existing leases or obtaining additional relocatable buildings.	1 year 2 months 2 days
Rec. A.2.a: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest Public Works Department, Naval Base San Diego (formally known as Chief, Directorate of Public Works, Naval Base San Diego) coordinate with the Naval Supply Systems Command Fleet Logistics Center and apply for approval of relocatable buildings that initially were never submitted for approval.	1 year 2 months 2 days
Rec. A.2.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest Public Works Department, Naval Base San Diego (formally known as Chief, Directorate of Public Works, Naval Base San Diego) coordinate with the Naval Supply Systems Command Fleet Logistics Center and establish exit strategies for relocatable buildings that do not have one.	1 year 2 months 2 days

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Department of the Navy – Report No. DODIG-2018-063 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.c: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest Public Works Department, Naval Base San Diego (formally known as Chief, Directorate of Public Works, Naval Base San Diego) coordinate with the Naval Supply Systems Command Fleet Logistics Center to ensure personnel are performing the required lease-versus-buy analysis before extending existing leases or obtaining additional relocatable buildings.	1 year 2 months 2 days
Rec. A.3.a: The DoD OIG recommended that the Commander of Navy Installations Command issue guidance to emphasize that tenant organization personnel on Navy installations should coordinate the acquisition of relocatable buildings with the installation's Department of Public Works personnel.	1 year 2 months 2 days
Rec. A.3.b: The DoD OIG recommended that the Commander of Navy Installations Command develop procedures to ensure that Department of Public Works staff are disposing of their excess relocatable buildings through Defense Logistics Agency-Disposition Services as required by Department of Defense Manual 4160.21 and Office of the Chief of Naval Operations Instruction 11010.33C.	1 year 2 months 2 days
Rec. B.2.a: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command and Chief of Civil Engineers revise the Naval Facilities Engineering Command's Real Property Inventory Procedures Manual to reflect updates made to Department of Defense Instruction 4165.56 and train Department of Public Works personnel on the proper classification of relocatable buildings.	1 year 2 months 2 days
Rec. B.2.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command and Chief of Civil Engineers develop procedures to ensure that Department of Public Works personnel properly apply the interim facility requirement when classifying relocatable buildings as required by Department of Defense Instruction 4165.56.	1 year 2 months 2 days
Rec. B.4: The DoD OIG recommended that the Chief of Naval Operations revise the Office of the Chief of Naval Operations Instruction 11010.33C to reflect updates made to Department of Defense Instruction 4165.56 and train Department of Public Works personnel on the proper classification of relocatable buildings.	1 year 2 months 2 days

Report No. DODIG-2018-069, "Navy's Single-Award Indefinite-Delivery Indefinite-Quantity (IDIQ) Contracts," February 1, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b: The DoD OIG recommended that the Deputy Assistant Secretary of the Navy (Acquisition and Procurement) review the adequacy of existing Navy policies and procedures pertaining to the preparation, review, and reporting of determination and findings documents for single-award, indefinite-delivery indefinite-quantity contracts and ensure that the processes used meet Federal and DoD requirements.	1 year 1 month 30 days

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Department of the Navy – Report No. DODIG-2018-069 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.c: The DoD OIG recommended that the Deputy Assistant Secretary of the Navy (Acquisition and Procurement), based on the results of the review, provide updated instructions to the workforce, through training or updated guidance, on any areas requiring clarification to ensure the application of Federal and DoD requirements. The updated instructions should clearly define what information must be in the determination and findings document to ensure that the standalone document fully supports a single-award determination and the processes used to report a determination and findings document to Congress and Defense Procurement and Acquisition Policy.</p>	1 year 1 month 30 days

Report No. DODIG-2018-074, “The U.S. Navy’s Oversight and Administration of the Base Support Contracts in Bahrain,” February 13, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia establish a summary of the contracting officer’s representatives’ oversight responsibilities.</p>	1 year 1 month 18 days
<p>Rec. A.2.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia, coordinate with the Public Works Department-Bahrain to provide each performance assessment representative with the applicable regulations/standards for the oversight area, such as Manual of Naval Preventive Medicine for the Isa Air Base galley performance assessment representative.</p>	1 year 1 month 18 days
<p>Rec. B.1: The DoD OIG recommended that the Commanders of Naval Facilities Engineering Command Atlantic and Europe, Africa, Southwest Asia update their delegation procedures to ensure that the procuring contracting officer explicitly assigns all contract administration functions immediately after award. The updated delegation procedures should assign responsibility for contract file maintenance, property accountability, and Combatting Trafficking in Persons compliance. The delegation procedures should also include procedures for reassignment upon transition of personnel.</p>	1 year 1 month 18 days
<p>Rec. B.2.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia train contracting officer’s representatives on contract file contents.</p>	1 year 1 month 18 days
<p>Rec. B.3.a: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia coordinate with the Commander of the Public Works Department-Bahrain to create an updated contract attachment that reflects the correct amount of Government-furnished property provided to the Isa Air Base support contractor and ensure that the updated attachment is used to modify the Government-furnished property records in the U.S. Navy accountable property system of record and the contractor’s property management system.</p>	1 year 1 month 18 days

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Department of the Navy – Report No. DODIG-2018-074 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.3.b: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia delegate property administration duties to the contracting office in Bahrain, including ensuring that the contracting officer's representative is performing annual reconciliations of the U.S. Navy records with the contractor's records.	1 year 1 month 18 days
Rec. B.4.b: The DoD OIG recommended that the Commanders of Naval Facilities Engineering Command Atlantic and Europe, Africa, Southwest Asia institute proactive procedures to ensure contractors' compliance with Combatting Trafficking in Persons requirements and, for future base operating support service contracts, update their performance assessment plans, functional assessment plans, and Performance Assessment User Guide to include procedures to monitor a contractor's compliance with Combatting Trafficking in Persons requirements.	1 year 1 month 18 days

Report No. DODIG-2018-092, "DoD Emergency Management Programs in the U.S. Africa Command," March 28, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 9: The DoD OIG recommended that the Director, Shore Readiness, Office of Chief of Naval Operations, N46, reevaluate the requirement to field [Redacted] based on updated threat assessments, potential impact should a [Redacted] occur, and the current fiscal environment.	1 year 3 days

Report No. DODIG-2018-106, "Controls Over the Guam Base Operations Support Services Contract," April 16, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Commander of Naval Facilities Engineering Command, Headquarters, compile lessons learned from Naval Facilities Engineering Command Marianas' contract oversight procedures and apply those lessons learned to ongoing and future Base Operations and Support Services contracts in all Naval Facilities Engineering Command regions.	11 months 15 days

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*Department of the Navy (cont'd)***Report No. DODIG-2018-107, "Expeditionary Fast Transport Capabilities," April 25, 2018 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Program Executive Officer for Program Executive Office Ships, with assistance from the Strategic and Theater Sealift Program Office, review whether action was taken to correct deficiencies on the Expeditionary Fast Transport vessels. If action was taken, the Program Executive Office Ships should require the Strategic and Theater Sealift Program Office to request the Commander of Operational Test and Evaluation Force to confirm the correction of deficiencies. If action was not taken, the Program Executive Office Ships should require the Strategic Theater Sealift Program Office to implement a plan to correct the deficiencies prior to delivery of the Expeditionary Fast Transport vessels, as appropriate.</p>	11 months 6 days
<p>Rec. 2: The DoD OIG recommended that the Military Sealift Command Commander assist the Program Executive Office Ships with reviews to identify if the deficiencies on delivered Expeditionary Fast Transport vessels were corrected and, if not, implement a plan to correct the deficiencies on delivered Expeditionary Fast Transports, where appropriate.</p>	11 months 6 days

Report No. DODIG-2018-109, "Protection of Patient Health Information at Navy and Air Force Military Treatment Facilities," May 2, 2018 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center implement appropriate configuration changes to enforce the use of a Common Access Card to access all systems that process, store, and transmit patient health information or obtain a waiver that exempts the systems from using Common Access Cards.*</p>	10 months 29 days
<p>Rec. 1.b: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center configure passwords for all systems that process, store, and transmit patient health information to meet DoD length and complexity requirements.*</p>	10 months 29 days
<p>Rec. 1.c: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center develop a plan of action and milestones and take appropriate steps to mitigate known network vulnerabilities in a timely manner.*</p>	10 months 29 days
(FOUO)	

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Department of the Navy – Report No. DODIG-2018-109 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.d: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center require written justification for obtaining access to all systems that process, store, and transmit patient health information and implement procedures to grant access to the systems based on roles that align with user responsibilities.*	10 months 29 days
Rec. 1.e: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center configure all systems that process, store, and transmit patient health information to lock automatically after 15 minutes of inactivity.*	10 months 29 days
Rec. 1.f: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center appropriately configure and regularly review system audit reports and logs to identify user and system activity anomalies.*	10 months 29 days
Rec. 1.g: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center develop and maintain standard operating procedures for granting access, assigning and elevating privileges, and deactivating user access.*	10 months 29 days
Rec. 1.h: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center review and identify all systems used to process, store, and transmit patient health information, develop a baseline of systems used at each military treatment facility, and regularly, at least annually, validate the accuracy of the inventory of systems.*	10 months 29 days
Rec. 1.i: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center develop and maintain access request forms for all users of systems that process, store, and transmit patient health information, and verify, at least annually, the continued need for system access.*	10 months 29 days
Rec. 2.a: The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified in this report exist at other Service-specific military treatment facilities and develop and implement an oversight plan to verify that military treatment facilities enforce the use of Common Access Cards to access systems that process, store, and transmit patient health information or obtain a waiver that exempts the systems from using Common Access Cards.	10 months 29 days

(FOUO)

APPENDIX A

Department of the Navy – Report No. DODIG-2018-109 (cont'd)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/19
	Rec. 2.b: The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified in this report exist at other Service-specific military treatment facilities and develop and implement an oversight plan to verify that military treatment facilities configure passwords for systems that process, store, and transmit patient health information to meet DoD length and complexity requirements.	10 months 29 days
	Rec. 2.c: The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified in this report exist at other Service-specific military treatment facilities and develop and implement an oversight plan to develop a baseline of systems used at each military treatment facility, and regularly, at least annually, validate the accuracy of the inventory of systems.	10 months 29 days
	Rec. 2.d: The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified in this report exist at other Service-specific military treatment facilities and develop and implement an oversight plan to verify that privacy impact assessments are developed and updated for all systems that process, store, and transmit patient health information.	10 months 29 days
	Rec. 3: The DoD OIG recommended that the Commanders of the 436th Medical Group, Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, and Wright-Patterson Medical Center review the performance of their Chief Information Officers and consider administrative action, as appropriate, for not following Federal and DoD guidance for protecting patient health information to include: not mitigating known vulnerabilities in a timely manner; not developing plans of action and milestones for unmitigated vulnerabilities; and not formally accepting risks for unmitigated vulnerabilities.	10 months 29 days
	(FOUO) Rec. 4: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, U.S. Naval Ship Mercy, and Wright-Patterson Medical Center [REDACTED] and [REDACTED] for systems that process, store, and transmit patient health information.*	10 months 29 days

* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

(FOUO)

*Department of the Navy (cont'd)***Report No. DODIG-2018-117, "Department of the Navy Qualified Recycling Programs," May 10, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller), Office of Financial Operations, develop and implement the following standard operating procedures to provide guidance to Department of the Navy Headquarters personnel on how to oversee the qualified recycling program. The procedures should include the following guidance:</p> <ol style="list-style-type: none"> 1. performing assessments of the qualified recycling programs, including review of the financial records and compliance with regulations; 2. ensuring duties are appropriately segregated; 3. depositing checks into the Department of the Treasury in a timely manner; 4. developing complete business plans that are reviewed and updated annually; 5. reconciling the revenue and expense transactions with the accounting system; and 6. ensuring that checks are made to the Department of the Treasury, the collection of cash is not permitted, and appropriate remedial measures are taken when vendors do not comply with these requirements. 	10 months 21 days
<p>Rec. 2: The DoD OIG recommended that the Commander of Navy Installations Command for Facility Services and the Commander of Marine Corps Installations Command improve the documentation of the sale of recycled materials. Specifically, Commander, Navy Installations Command Instruction 7300.1A and the U.S. Marine Corps Qualified Recycling Program Guidance, Version 2.x. should include a requirement for the qualified recycling program managers to document the list of bidders, response from the bidders, winning bids for recycling material, contract or sales agreement; weight tickets of the materials sold; and market price or other agreed-upon sale price.</p>	10 months 21 days

Report No. DODIG-2018-120, "The Treasury Index 97 Cash Management Report," May 23, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) correct the Navy's financial systems to report Treasury Index 97 transactions with the limits established by Defense Finance and Accounting Service-Indianapolis and approved by the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer.</p>	10 months 8 days

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2018-123, "U.S. Special Operations Command Reporting of General Equipment on Its Financial Statements," June 4, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.a: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) provide accurate and complete data elements to the U.S. Special Operations Command for the reporting of its General Equipment and Accumulated Depreciation account balances.	9 months 27 days
Rec. 3.b: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) develop milestones for providing accurate and complete data elements to the U.S. Special Operations Command for the reporting of its General Equipment and Accumulated Depreciation account balances.	9 months 27 days

Report No. DODIG-2018-129, "Department of the Navy Civilian Pay Budget Process," June 20, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) establish and implement controls for the civilian pay budget process to ensure that budget officials document the calculations and assumptions used to support each Program Budget Information System adjustment made to civilian pay requirements.	9 months 11 days

Report No. DODIG-2018-140, "Acquisition of the Navy's Mine Countermeasures Mission Package," July 25, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Expeditionary Warfare Division (N95) Director delay future procurement of the Airborne Laser Mine Detection System, Airborne Mine Neutralization System, and Coastal Battlefield Reconnaissance Analysis until the Assistant Secretary of the Navy (Research, Development, and Acquisition) and Chief of Naval Operations require the Program Manager of the Mine Warfare Office to complete operational test and evaluations demonstrating the systems are effective and suitable to support full-rate production.	8 months 6 days

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2018-151, "Military Sealift Command's Maintenance of Prepositioning Ships,"
September 24, 2018 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Director of Military Sealift Command Engineering Directorate update the technical drawings and manuals for its repositioning fleet.	6 months 7 days
Rec. 1.b: The DoD OIG recommended that the Director of Military Sealift Command Engineering Directorate revise Military Sealift Command policies so that all system users are provided initial and annual refresher training on the proper use of the Shipboard Automated Maintenance Management system, which includes the use of the different modules and of the feedback log.	6 months 7 days
Rec. 1.c: The DoD OIG recommended that the Director of Military Sealift Command Engineering Directorate update the Shipboard Automated Maintenance Management system so that its data fields will provide users with clear choices, capture preventative maintenance information more accurately, and allow for Military Sealift Command to extract aggregate metrics for assisting with maintenance planning and decision making.	6 months 7 days
Rec. 2.a: The DoD OIG recommended that the Military Sealift Command Contacting Office Director, in conjunction with the Prepositioning Program Management Office Program Manager, conduct a review and modify all contracts to require formal Shipboard Automated Maintenance Management system training for all users as well as clarify vague requirements and align contract language with Military Sealift Command procedures. The updated contracts should include, at a minimum, detailed requirements for the contractor's expected use of the Shipboard Automated Maintenance Management System, including data inputs and the feedback log process.	6 months 7 days
Rec. 2.b: The DoD OIG recommended that the Military Sealift Command Contacting Office Director, in conjunction with the Prepositioning Program Management Office Program Manager, ensure that contracting officers appoint a qualified contracting officer's representative or contracting officer's technical representative to conduct regular surveillance of contractors at sea and during shipyard availabilities. Military Sealift Command should also ensure the contracting officer's representative or contracting officer's technical representative executes a quality assurance surveillance plan.	6 months 7 days
Rec. 2.c: The DoD OIG recommended that the Military Sealift Command Contacting Office Director, in conjunction with the Prepositioning Program Management Office Program Manager, document and address future contractual deficiencies through formal, written coordination with the contractor, such as through Contract Deficiency Reports and Contractor Performance Assessment Reporting System ratings, as required by the Federal Acquisition Regulation.	6 months 7 days

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2019-004, "DoD Oversight of Bilateral Agreements With the Republic of the Philippines," November 2, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec 4.a: The DoD OIG recommended that the Pacific Fleet Commander develop and maintain service component-specific training programs for all Acquisition and Cross-Servicing Agreement command officials.	4 months 29 days

Report No. DODIG-2019-019, "Evaluation of Contracting Officer Actions on Contractor Pricing Proposals Deemed Inadequate by Defense Contract Audit Agency," November 14, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. C.1: The DoD OIG recommended that the Commanders at the eight DoD buying commands, including the Air Force Sustainment Center, the Air Force Life Cycle Management Center, the Naval Air Systems Command, the Naval Sea Systems Command, the Space and Naval Warfare Systems Command, and the Army Contracting Commands at Redstone, Rock Island, and Warren, provide refresher training to contracting personnel on the requirements for distributing the negotiation memorandum in accordance with Federal Acquisition Regulation 15.406-3(b), "Documenting the Negotiation."*	4 months 17 days
Rec. C.2: The DoD OIG recommended that the Commanders at the eight DoD buying commands, including the Air Force Sustainment Center, the Air Force Life Cycle Management Center, the Naval Air Systems Command, the Naval Sea Systems Command, the Space and Naval Warfare Systems Command, and the Army Contracting Commands at Redstone, Rock Island, and Warren, provide refresher training to contracting personnel on the requirements for filing the negotiation memorandum in accordance with Defense Federal Acquisition Regulation Supplement, Procedures, Guidance, and Information 215.406-3(a)(11), "Documenting the Negotiation."	4 months 17 days

* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

Report No. DODIG-2019-038, "Follow-up of Delinquent Medical Service Account Audits," December 19, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.3.a: The DoD OIG recommended that the Surgeon General of U.S. Navy Bureau of Medicine and Surgery require Naval Medical Center Portsmouth Uniform Business Office personnel to review and process the 18,898 billable accounts, valued at \$2.4 million, and determine whether all billable accounts are included in the medical treatment facility's daily reviews.	3 months 12 days

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Department of the Navy – Report No. DODIG-2019-038 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.3.b: The DoD OIG recommended that the Surgeon General of U.S. Navy Bureau of Medicine and Surgery establish standard operating procedures for processing accounts in the Armed Forces Billing and Collection Utilization Solution system when the accounts are sent to the Centralized Receivables Service and Cross Servicing Next Generation.	3 months 12 days

Report No. DODIG-2019-047, “Navy and Marine Corps Backup Aircraft and Depot Maintenance Float for Ground Combat and Tactical Vehicles,” January 18, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.a: The DoD OIG recommended that the Commander of Naval Air Systems Command require the T-45 program office to prepare a life-cycle sustainment plan that includes changes to the expected service life.	2 months 13 days
Rec. A.2.b: The DoD OIG recommended that the Commander of Naval Air Systems Command require the F/A-18 and T-45 program offices to implement a plan to incorporate future program changes, as necessary. The plan should include the effects of delayed replacement programs and extension of the service life on aircraft maintenance, spare parts, and aircraft inventory management during replacement aircraft acquisition planning.	2 months 13 days
Rec. B.1.a: The DoD OIG recommended that the Deputy Chief of Naval Operations for Warfare Systems implement a communication plan to keep dependent weapon system’s divisions and program offices up to date on changes in quantity and delivery schedule.	2 months 13 days
Rec. B.1.b: The DoD OIG recommended that the Deputy Chief of Naval Operations for Warfare Systems reassess the procurement quantity if there are any changes to the quantity of a dependent weapon system.	2 months 13 days

Report No. DODIG-2019-056, “Accounting and Financial Reporting for the Military Housing Privatization Initiative,” February 12, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.5.a: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander of Naval Facilities Engineering Command; and Director of the Air Force Civil Engineer Center reconcile their privatized housing inventories with the private partners’ housing inventories and update the records as needed to establish a baseline.	1 month 19 days
Rec. B.5.b: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander of Naval Facilities Engineering Command; and Director of the Air Force Civil Engineer Center develop and implement procedures to accurately record the additions and removals of housing records to ensure consistency between the Military Department and private partner systems.	1 month 19 days

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Department of the Navy – Report No. DODIG-2019-056 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.5.c: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander of Naval Facilities Engineering Command; and Director of the Air Force Civil Engineer Center develop and implement controls to ensure that the enterprise Military Housing system and Military Department housing records reconcile once privatized housing records are in the enterprise Military Housing system.</p>	1 month 19 days

Report No. DODIG-2019-058, “Summary and Follow-up Report on Audits of DoD Energy Savings Performance Contracts,” February 14, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Commander of Naval Facilities and Engineering Command direct the Naval Facilities and Engineering Command Expeditionary Warfare Center Contracting Officer to obtain the date that the contractor received its annual payment from the Government for the contractor-claimed currency adjustments and apply that date to its validation calculations for performance years 1 and 3 through 10 for the Commander Fleet Activities Yokosuka energy savings performance contract.</p>	1 month 17 days

Report No. DODIG-2019-061, “Audit of the DoD’s Implementation of Recommendations on Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations,” March 7, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the Commander of Navy Installations Command update guidance requiring installations to document the background check approval process to include the process to be followed when renewing lease agreements.</p>	24 days
<p>Rec. 2.b: The DoD OIG recommended that the Commander of Navy Installations Command conduct a review of all general public tenants leasing privatized housing on military installations as of January 1, 2019, to ensure those tenants receive complete and adequate background checks and that access badge expiration dates do not exceed lease expiration dates in accordance with Navy Installations Command guidance.</p>	24 days

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2019-063, "Followup Audit of the Military Departments' Security Safeguards Over Secret Internet Protocol Router Network Access Points," March 18, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.a: Recommendation is Classified.	13 days
Rec. A.2.b: The DoD OIG recommended that the Navy Chief Information Officer direct Navy Command officials to implement procedures to ensure that SECRET Internet Protocol Router Network access request forms are properly completed, reviewed, and approved before Navy Command officials grant users access to the SECRET Internet Protocol Router Network, ensure compliance with the processes, and maintain the SECRET Internet Protocol Router Network access request forms.	13 days
Rec. A.2.c: The DoD OIG recommended that the Navy Chief Information Officer direct Navy Command officials to implement processes to ensure that SECRET Internet Protocol Router Network users complete all required security training before receiving access to the network and ensure that all SECRET Internet Protocol Router Network users complete all required refresher training to maintain network access and ensure compliance with the processes.	13 days
(FOUO) Rec. A.2.d: The DoD OIG recommended that the Navy Chief Information Officer direct Navy Command officials to [REDACTED]	13 days
(FOUO) Rec. A.2.e: The DoD OIG recommended that the Navy Chief Information Officer direct Navy Command officials to [REDACTED]	13 days
(FOUO) Rec. B.2: The DoD OIG recommended that the [REDACTED]	13 days
(FOUO) Rec. B.8: The DoD OIG recommended that the [REDACTED]	13 days

(FOUO)

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2019-065, "Evaluation of DoD Voting Assistance Programs for 2018," March 25, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Naval Inspector General determine if the evaluation protocol is accurately capturing naval unit compliance with the Federal Voting Assistance Program.	6 days
Rec. A.2: The DoD OIG recommended that the Navy senior service voting representative coordinate to ensure that the goals established by the Navy for the frequency of unit Federal Voting Assistance inspections are met.	6 days
Rec. A.3: The DoD OIG recommended that the Navy senior service voting representative bring the Navy voting assistance program into compliance with 10 U.S.C. § 1566, "Voting assistance: compliance assessments; assistance" and DoD Instruction 1000.04 "Federal Voting Assistance Program (FVAP)" with regard to assigning unit voting assistance officers.	6 days

Report No. DODIG-2019-066, "Summary Audit of Systemic Weaknesses in the Cost of War Reports," March 22, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Under Secretary of the Navy, in coordination with the Assistant Secretary of the Navy for Financial Management and Comptroller and the Deputy Commandant of the Marine Corps for Programs and Resources, develop and implement procedures to capture the required level of detail of war-related overseas contingency operation costs in the respective accounting system.	9 days
Rec. 4: The DoD OIG recommended that the Auditor General of the Army, Auditor General of the Navy, and the Auditor General of the Air Force include followup audits that verify the accuracy of the Cost of War data in their FY 2020 audit plans.	9 days

APPENDIX A

U.S. Marine Corps**Report No. D-2011-060, "Marine Corps Inventory of Small Arms Was Generally Accurate but Improvements Are Needed for Related Guidance and Training," April 22, 2011**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.a: The DoD OIG recommended that the Deputy Commandant for Plans, Policies, and Operations update the small arms accountability guidance in Marine Corps Order 5530.14A consistent with Marine Corps Bulletin 4440 and the updates to Marine Corps Order 8300.1C.	7 years 11 months 9 days

Report No. DODIG-2014-001, "MV-22 Squadrons Could Improve Reporting of Mission Capability Rates and Readiness," October 23, 2013 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.a: The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations require mandatory training and establish refresher training for all MV-22 operations personnel on how to complete readiness reports in the Defense Readiness Reporting System-Marine Corps.	5 years 5 months 8 days
Rec. 2.b.1: The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations revise the Commander's Readiness Handbook, January 2012, to include, at a minimum, detailed examples of the commander's category-level remarks and equipment condition-level remarks.	5 years 5 months 8 days
Rec. 2.b.2: The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations revise the Commander's Readiness Handbook, January 2012, to include in the Commander's Checklist, at a minimum, the equipment condition data elements and a requirement for the commander's signature on the readiness reports to attest to the accuracy of information provided.	5 years 5 months 8 days
Rec. 2.c: The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations require submission of the revised Commander's Checklist with the readiness report.	5 years 5 months 8 days

Report No. DODIG-2014-100, "Assessment of DoD Wounded Warrior Matters: Selection and Training of Warrior Transition Unit and Wounded Warrior Battalion Leaders and Cadre," August 28, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.b: The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs revalidate whether the manning precedence level category of Wounded Warrior Battalion-East and Wounded Warrior Battalion-West should be changed in the Commandant of the Marine Corps Precedence Levels for Manning and Staffing.	4 years 7 months 3 days

APPENDIX A

U.S. Marine Corps – Report No. DODIG-2014-100 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.d: The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs develop policy and procedures to extend the standard length of Wounded Warrior Battalion Reserve Individual Mobilization Augmentee assignments to 2 years to ensure greater stability in force structure, staff continuity, and to sustain the mission.	4 years 7 months 3 days
Rec. 3.a: The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs establish a standard formalized screening, selection, and assignment process for Enlisted Active Component Marines filling Wounded Warrior Battalion positions similar to the process currently used for Reserve Individual Mobilization augmentee Marines.	4 years 7 months 3 days
Rec. 3.b: The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs establish a standard review process whereby regiment and battalion leaders can interview potential Enlisted Active Component U.S. Marine Corps Wounded Warrior Battalion candidates to ensure they are the “best fit” and most qualified to better serve the Marines in the Wounded Warrior Battalions.	4 years 7 months 3 days

Report No. DODIG-2015-016, “Department of Defense Suicide Event Report Data Quality Assessment,” November 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b: The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate DoD guidance on the DoD Suicide Event Report submission process.	4 years 4 months 17 days
Rec. 2.b: The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate DoD guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions.	4 years 4 months 17 days
Rec. 3.d: The DoD OIG recommended that the Chiefs of the Military Services update guidance to: <ol style="list-style-type: none"> 1. identify subject matter experts to provide Department of Defense Suicide Event Report tech support to address questions, and 2. adapt and implement the proposed standard operating procedure/guidelines for the Department of Defense Suicide Event Report submission process to help Department of Defense Suicide Event Report submitters understand the various sources of information (for example, military law enforcement and medical) needed to submit a complete Department of Defense Suicide Event Report. 	4 years 4 months 17 days
Rec. 4.e: The DoD OIG recommended that the Chiefs of the Military Services update policies to integrate Department of Defense Suicide Event Report data collection and submission practices into their Service suicide prevention lessons learned processes.	4 years 4 months 17 days

APPENDIX A

*U.S. Marine Corps (cont'd)***Report No. DODIG-2015-117, "U.S. Cyber Command and Military Services Need to Reassess Processes for Fielding Cyber Mission Force Teams," April 30, 2015 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 4: Recommendation is Classified.	3 years 11 months 1 day

Report No. DODIG-2015-143, "Patriot Express Program Could Be More Cost-Effective for Overseas Permanent Change of Station and Temporary Duty Travel," July 6, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 5: The DoD OIG recommended that the Marine Corps Logistics Plans, Policy, and Strategic Mobility Director, in coordination with the Commander of U.S. Transportation Command perform a review to determine the primary reasons why passengers do not show up for, or cancel, booked Patriot Express flights and implement any necessary changes to the program, such as developing cancellation guidelines, to minimize the burden of no-show passengers.	3 years 8 months 25 days

Report No. DODIG-2015-159, "Followup Audit: More Improvements Needed for the Development of Wounded Warrior Battalion-East Marines' Recovery Plans," August 7, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.d: The DoD OIG recommended that the Director of the Marine Corps Regional Contracting Office-National Capital Region initiate a performance review of the Wounded Warrior Regiment contracting officer(s) for the Recovery Care Coordinator contract to determine whether administrative actions are warranted.	3 years 7 months 24 days

Report No. DODIG-2016-019, "Small Business Contracting at Marine Corps Systems Command Needs Improvement," November 10, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.5: The DoD OIG recommended that the Assistant Commander for Contracts, Marine Corps Systems Command, establish guidance for contracting officers for reviewing, approving, and administering subcontracting plans and to verify contractors submit the required subcontracting reports to the Electronic Subcontracting Reporting System.	3 years 4 months 21 days

APPENDIX A

*U.S. Marine Corps (cont'd)***Report No. DODIG-2016-026, "Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions," November 24, 2015 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps develop a doctrine, organization, training, materiel, leadership and education, personnel, facilities, and policy framework that addresses strategies to build, grow, and sustain the Cyber Mission Force.	3 years 4 months 7 days
Rec. 2: The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps formalize an agreement to focus capability development on functional and mission areas consistent with results of the mission alignment board.	3 years 4 months 7 days

Report No. DODIG-2017-125, "Naval Facilities Engineering Command Southwest and Marine Corps Base Camp Pendleton Officials' Use of Utility Energy Service Contracts," September 28, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1: The DoD OIG recommended that the Marine Corps Installation West-Marine Corps Base Camp Pendleton Commanding Officer direct the Installation Energy Manager for the Marine Corps Base Camp Pendleton Energy Office to develop and implement a process to track realized energy savings for Utility Energy Services Contracts.	1 year 6 months 3 days

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. G.1.a: The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 37 fingerprint cards and 46 final disposition reports of the Marine Corps that are not on file in the Federal Bureau of Investigation Next Generation Identification database.	1 year 3 months 27 days
Rec. G.1.b: The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps immediately and comprehensively review all Marine Corps criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and Federal Bureau Investigation requirements.	1 year 3 months 27 days

APPENDIX A

U.S. Marine Corps – Report No. DODIG-2018-035 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. G.1.c: The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to ensure that Marine Corps command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure such compliance is included as a special interest item in Inspector General inspections and is conducted.	1 year 3 months 27 days
Rec. G.1.d: The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to comprehensively review Marine Corps criminal history reporting programs to ensure that all fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11, and have been implemented.	1 year 3 months 27 days
Rec. G.1.e: The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	1 year 3 months 27 days

**Report No. DODIG-2018-063, “Navy and Marine Corps Management of Relocatable Buildings,”
January 29, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.4.a: The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Pendleton, apply for approval of relocatable buildings that initially were never submitted for approval.	1 year 2 months 2 days
Rec. A.4.b: The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Pendleton, establish exit strategies for relocatable buildings that do not have one.	1 year 2 months 2 days
Rec. A.4.c: The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Pendleton, request extensions for relocatable buildings that are past the established expiration date and still needed or terminate the use of the relocatable buildings that are no longer needed.	1 year 2 months 2 days
Rec. A.5.a: The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Lejeune, apply for approval of relocatable buildings that initially were never submitted for approval.	1 year 2 months 2 days
Rec. A.5.b: The DoD OIG recommended that the Directorate of Public Works Chief, Marine Corps Base Camp Lejeune, develop procedures to ensure that personnel are performing the required lease-versus-buy analysis before extending existing leases or obtaining additional relocatable buildings.	1 year 2 months 2 days

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U.S. Marine Corps – Report No. DODIG-2018-063 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.3.a: The DoD OIG recommended that the Commandant of the Marine Corps revise Marine Corps Order 11000.12, Appendix G, and the Marine Corps Headquarters GF-6 Real Estate and Real Property Accountability Handbook to reflect updates made to Department of Defense Instruction 4165.56 and train Department of Public Works personnel on the proper classification of relocatable buildings.	1 year 2 months 2 days
Rec. B.3.b: The DoD OIG recommended that the Commandant of the Marine Corps develop procedures to ensure that Department of Public Works personnel properly apply the interim facility requirement when classifying relocatable buildings as required by Department of Defense Instruction 4165.56.	1 year 2 months 2 days
Rec. B.3.c: The DoD OIG recommended that the Commandant of the Marine Corps revise guidance to separate non-relocatable buildings from properly classified relocatable buildings within the Internet Navy Facility Assets Data Store system for tracking all facilities if fire and emergency services are needed.	1 year 2 months 2 days

Report No. DODIG-2018-117, “Department of the Navy Qualified Recycling Programs,” May 10, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Commander, Navy Installations Command, Facility Services; and Commander, Marine Corps Installations Command; improve the documentation of the sale of recycled materials. Specifically, Commander, Navy Installations Command Instruction 7300.1A and the U.S. Marine Corps Qualified Recycling Program Guidance, Version 2.x. should include a requirement for the qualified recycling program managers to document the list of bidders, response from the bidders, winning bids for recycling material, contract or sales agreement; weight tickets of the materials sold; and market price or other agreed-upon sale price.	10 months 21 days

Report No. DODIG-2018-123, “U.S. Special Operations Command Reporting of General Equipment on Its Financial Statements,” June 4, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 5.a: The DoD OIG recommended that the United States Marine Corps Comptroller provide accurate and complete data elements to the U.S. Special Operations Command for the reporting of its General Equipment and Accumulated Depreciation account balances.	9 months 27 days
Rec. 5.b: The DoD OIG recommended that the United States Marine Corps Comptroller develop milestones for providing accurate and complete data elements to the U.S. Special Operations Command for the reporting of its General Equipment and Accumulated Depreciation account balances.	9 months 27 days

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*U.S. Marine Corps (cont'd)***Report No. DODIG-2018-129, "Department of the Navy Civilian Pay Budget Process," June 20, 2018
(Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1: The DoD OIG recommended that the Deputy Commandant of the Marine Corps, Programs and Resources, determine budgeted civilian pay funding levels using full-time equivalents calculated based on projected hours to be worked, as required by Office of Management and Budget Circular No. A-11.	9 months 11 days

Report No. DODIG-2018-141, "United States Marine Corps Aviation Squadron Aircraft Readiness Reporting," August 8, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Deputy Commandant for Aviation, Headquarters, Marine Corps, require all reporting units and organizations to use the Optimized Organizational Maintenance Activity system as the sole source for reporting aircraft readiness.	7 months 23 days
Rec. 2.a: The DoD OIG recommended that the Deputy Commandant for Plans, Policies, and Operations, in coordination with the Deputy Commandant for Aviation, revise the Marine Corps Order 3000.13A to include a clear definition of present state, clarify how the number of mission-capable aircraft should be reported in the mission essential task assessment, and clarify how a mission essential task should be properly reported as resourced.	7 months 23 days
Rec. 2.b: The DoD OIG recommended that the Deputy Commandant for Plans, Policies, and Operations, in coordination with the Deputy Commandant for Aviation, implement training on reporting readiness in accordance with the revised Marine Corps Order 3000.13A for reporting units and organizations.	7 months 23 days
Rec. 2.c: The DoD OIG recommended that the Deputy Commandant for Plans, Policies, and Operations, in coordination with the Deputy Commandant for Aviation, develop and implement procedures, in accordance with the revised Marine Corps Order 3000.13A, to ensure that intermediate commands verify the completeness and accuracy of their subordinate units' readiness reports.	7 months 23 days

**Report No. DODIG-2018-152, "Management of Prepositioned Stock in U.S. European Command,"
September 17, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec 3.b: The DoD OIG recommended that the Deputy Commandant for U.S. Marine Corps Installations and Logistics, in conjunction with the Commander of Blount Island Command, update U.S. Marine Corps Technical Manual 4790-14/1G, "Logistics Support for Marine Corps Prepositioning Program-Norway (MCPN)," June 28, 2013, and the local bilateral agreement to include a requirement for the Norwegian Defense Logistics Organization to monitor and control the humidity levels within the caves where equipment is stored.	6 months 14 days

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U.S. Marine Corps – Report No. DODIG-2018-152 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec 3.c: The DoD OIG recommended that the Deputy Commandant for U.S. Marine Corps Installations and Logistics, in conjunction with the Commander of Blount Island Command, develop maintenance requirements for weapons stored in Level A packaging.	6 months 14 days
Rec 3.d: The DoD OIG recommended that the Deputy Commandant for U.S. Marine Corps Installations and Logistics, in conjunction with the Commander of Blount Island Command, develop standard operating procedures for recording and documenting completed weapons and vehicle maintenance within Global Combat Support System-Marine Corps.	6 months 14 days
Rec 3.e: The DoD OIG recommended that the Deputy Commandant for U.S. Marine Corps Installations and Logistics, in conjunction with the Commander of Blount Island Command, develop an automated process for monitoring completed maintenance cycles and include a requirement for the Norwegian Defense Logistics Organization to utilize the new process in the local bilateral agreement.	6 months 14 days

Report No. DODIG-2019-004, “DoD Oversight of Bilateral Agreements With the Republic of the Philippines,” November 2, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec 3.a: The DoD OIG recommended that the Commander of Marine Corps Forces, Pacific, designate an Acquisition and Cross-Servicing Agreement Finance Program Manager and ensure that the individual completes the Joint Knowledge Online-Training that will provide access and the basic instruction for the Acquisition and Cross-Servicing Agreement Finance Program Manager to build, track, and manage transactions in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	4 months 29 days
Rec 3.b: The DoD OIG recommended that the Commander of Marine Corps Forces, Pacific, input and track all Acquisition and Cross-Servicing Agreement transactions from October 1, 2016, to present, and all future transactions, including the 15 line items the United States Indo-Pacific Command identified, in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	4 months 29 days

Report No. DODIG-2019-047, “Navy and Marine Corps Backup Aircraft and Depot Maintenance Float for Ground Combat and Tactical Vehicles,” January 18, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. C.1: The DoD OIG recommended that the Installations and Logistics Deputy Commandant require Installations and Logistics officials to initiate and complete depot maintenance float allowance annual reviews and approve all depot maintenance float allowance authorization changes according to Marine Corps Order 5311.1E.	2 months 13 days

APPENDIX A

Department of the Air Force

Report No. DODIG-2013-035, "Better Reporting and Certification Processes Can Improve Red Teams' Effectiveness," December 21, 2012 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. B.7: The DoD OIG recommended that the 377th Air Base Wing Commander implement, track, and validate that a Plan of Actions and Milestones has been created to correct the outstanding vulnerabilities for [REDACTED], safeguarding Personally Identifiable Information, identifying false credentials used to gain installations access, and controlling actions in restricted areas and verify that all security weaknesses are reported.	6 years 3 months 10 days
(FOUO)	

Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June 28, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.c: The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs and the Assistant Secretary of the Air Force for Manpower and Reserve Affairs update the applicable manuals of each service to reflect upcoming Under Secretary of Defense for Acquisition, Logistics, and Technology policy.	5 years 9 months 3 days
Rec. 3.b: The DoD OIG recommended that the Executive Director of the Army National Cemeteries Program, the Assistant Secretary of the Navy for Manpower and Reserve Affairs, and the Assistant Secretary of the Air Force for Manpower and Reserve Affairs update current publications to reflect Under Secretary of Defense for Personnel and Readiness and Under Secretary of Defense for Acquisition, Technology, and Logistics guidance.	5 years 9 months 3 days

Report No. DODIG-2014-038, "Air Force Life Cycle Management Center Could Not Identify Actual Cost of F119 Engine Spare Parts Purchased from Pratt and Whitney," February 10, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Air Force Life Cycle Management Center Commander develop a process to identify and document actual spare part costs for 2010 and each subsequent year on contract FA8611-08-C-2896 for use in determining fair and reasonable prices.	5 years 1 month 21 days

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2015-052, "Air Force Life Cycle Management Center's Management of F119 Engine Spare Parts Needs Improvement," December 19, 2014 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.5: The DoD OIG recommended that the Air Force Life Cycle Management Center Director clarify the Defense Contract Management Agency's responsibility to formally accept F119 engine spare parts on behalf of the Life Cycle Management Center.	4 years 3 months 12 days
Rec. B: The DoD OIG recommended that the Air Force Life Cycle Management Center Director develop and implement guidance that removes the nonrepair costs from the stabilized rate when calculating incentive fees for future contracts.	4 years 3 months 12 days

Report No. DODIG-2015-062, "DoD Needs Dam Safety Inspection Policy To Enable the Services To Detect Conditions That Could Lead to Dam Failure," December 31, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Secretaries of the Army, Navy, and the Air Force, and the Commandant of the Marine Corps, implement a dam safety inspection program in accordance with DoD dam safety inspection policy, after that policy is issued.	4 years 3 months

Report No. DODIG-2015-090, "Evaluation of Aircraft Ejection Seat Safety When Using Advanced Helmet Sensors," March 9, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B: The DoD OIG recommended that the Navy and Air Force review and update the Joint Service Specification Guide to reflect changes in policy and technology that have occurred in the last 16 years.	4 years 22 days

Report No. DODIG-2015-138, "The Air Force Did Not Monitor the Energy Savings Performance Contract at Joint Base McGuire," June 29, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.a: The DoD OIG recommended that the 87th Contracting Squadron Commander direct the contracting officer to validate actual energy savings achieved for contract performance years 0 through 3 before approving additional contract payments.	3 years 9 months 2 days
Rec. 2.b: The DoD OIG recommended that the 87th Contracting Squadron Commander direct the contracting officer to review payments made for performance years 0 through 3 and determine whether the contractor's performance warranted the energy savings paid to the contractor.	3 years 9 months 2 days

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2015-168, "Air Force Commands Need to Improve Logical and Physical Security Safeguards That Protect SIPRNet Access Points," September 3, 2015 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. A.5.a: The DoD OIG recommended that [REDACTED]	3 years 6 months 28 days
(FOUO) Rec. A.5.b: The DoD OIG recommended that [REDACTED]	3 years 6 months 28 days
Rec. A.10.a: Recommendation is Classified.	3 years 6 months 28 days
Rec. A.10.b: Recommendation is Classified.	3 years 6 months 28 days
(FOUO) Rec. A.10.d: The DoD OIG recommended that [REDACTED]	3 years 6 months 28 days

(FOUO)

Report No. DODIG-2016-045, "DoD Could Save Millions in Profit Paid to Contractors on DoD Depot Labor," February 8, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Air Force Sustainment Center Commander (for the AN/APN-241 Low Power Color Radar and the Digital Mapping System and Advanced Display Core Processor sustainment) and the Air Force Life Cycle Management Center Commander (for the C-17 aircraft) direct the responsible program offices to prepare or update a business case analysis that evaluates the partnership type by considering the costs, benefits, and best use of public and private sector capabilities to include the impact each type has on profit and fees.	3 years 1 month 23 days

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*Department of the Air Force (cont'd)***Report No. DODIG-2016-072, "DoD Needs to Improve Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations," April 1, 2016 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering, and Force Protection, Headquarters U.S. Air Force and the Provost Marshal General for the U.S. Army issue or update guidance specifying the queries required to access the National Crime Information Center and the Interstate Identification Index files and conduct background checks in accordance with service regulations.*	2 years 11 months 30 days
Rec. 1.b: The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force and the Provost Marshal General for the U.S. Army issue or update guidance that specifically addresses general public housing tenants' access badge expiration dates.*	2 years 11 months 30 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2016-087, "Air Force Civil Engineer Center Management of Energy Savings Performance Contracts Needs Improvement," May 4, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.c: The DoD OIG recommended that the Air Force Civil Engineer Center Director validate Joint Base Charleston energy savings performance contract savings achieved for performance years 2 through 8 as statutorily mandated and recommend the contracting officer take appropriate contractual action, such as recovering unrealized guaranteed energy savings or buying out the remaining portion of the contract.*	2 years 10 months 27 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2016-114, "Actions Needed to Improve Reporting of Marine Corps, Navy, and Air Force Operating Materials and Supplies," July 26, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.a: The DoD OIG recommended that the Deputy Chief of Staff Air Force Headquarters, A4, develop a plan to perform complete, quarterly reconciliations of Army-held Operating Materials and Supplies-Ammunition using alternative procedures that resolve all differences between the summary-level data provided by the Army and used by Combat Ammunition System and Logistics Modernization Program until Combat Ammunition System is capable of receiving transaction-level data from the Army.	2 years 8 months 5 days

APPENDIX A

Department of the Air Force – Report No. DODIG-2016-114 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.b: The DoD OIG recommended that the Deputy Chief of Staff Air Force Headquarters, A4, develop a plan to perform complete, quarterly reconciliations of Army-held Operating Materials and Supplies-Ammunition using the Combat Ammunition System once it is capable of receiving transaction-level data from the Army.	2 years 8 months 5 days
Rec. B.2: The DoD OIG recommended that the Naval Supply Systems Command Commander, Global Logistics Support Ammo, and Deputy Chief of Staff Air Force Headquarters, A4, in coordination with the Assistant Secretaries of the Navy and Air Force (Financial Management and Comptroller), implement procedures to ensure ongoing compliance with DoD Regulation 7000.14-R, DoD Financial Management Regulation, volume 4, chapter 4, guidance for crosswalking Condition Codes to Operating Materials and Supplies categories.	2 years 8 months 5 days
Rec. B.3: The DoD OIG recommended that the Assistant Secretary of the Air Force (Financial Management and Comptroller) completely reconcile amounts reported by field locations in the summary data submission to General Accounting and Finance System-Re-Engineered on a quarterly basis and resolve differences.	2 years 8 months 5 days

Report No. DODIG-2016-133, “Evaluation of Integrated Tactical Warning/Attack Assessment Ground-Based Radars,” September 8, 2016 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the U.S. Air Force Chief of Staff develop an Integrated Tactical Warning/Attack Assessment Ground-Based Radar mission essential facility and equipment list that includes all necessary supporting infrastructure to ensure maintenance, testing, and funding responsibilities are clearly defined.	2 years 6 months 23 days
Rec. A.1.b: The DoD OIG recommended that the U.S. Air Force Chief of Staff define the Integrated Tactical Warning/Attack Assessment Ground-Based Radar weapon system to include all items on the essential facility and equipment list to ensure critical Nuclear Command, Control, and Communications maintenance issues are prioritized.	2 years 6 months 23 days
Rec. B.1.a: The DoD OIG recommended that the Commander of Air Force Space Command complete the planned Cavalier Air Force Station Studies and the Thule Air Base power protection study, then implement solutions at those sites to meet the requirements to be survivable and endurable.	2 years 6 months 23 days
Rec. B.1.b: The DoD OIG recommended that the Commander of Air Force Space Command ensure that all scheduled Integrated Threat Warning/Attack Assessment Electromagnetic Pulse projects, to include final verification testing, are completed.	2 years 6 months 23 days

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2017-004, "Summary Report-Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts," October 14, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Secretaries of the Military Departments annually perform at least two comprehensive, independent inspections of installations. The purpose of these inspections is to verify compliance with all applicable health and safety requirements.	2 years 5 months 17 days

Report No. DODIG-2017-070, "Evaluation of the National Airborne Operations Center Mission Sustainment and Modernization," March 23, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: Recommendation is Classified.	2 years 8 days

Report No. DODIG-2017-093, "Control Systems Supporting Tier I Task Critical Assets Lacked Basic Cybersecurity Controls," June 15, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3: The DoD OIG recommended that the Headquarters Air Force Director of Civil Engineers develop and implement cybersecurity training for all civil engineer personnel responsible for control system cybersecurity management.	1 year 9 months 16 days

Report No. DODIG-2017-094, "Audit of Air Force Munitions Requirements and Storage Facilities in the Republic of Korea," June 26, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.b: The DoD OIG recommended that the 7th Air Force Commander implement the Assistant Secretary of the Air Force for Installations, Environment and Energy's 18-month plan, when approved.	1 year 9 months 5 days
Rec. B.1.a: The DoD OIG recommended that the HAF/A4 develop guidance that requires installation munitions personnel to verify and correct net explosive weight placards in each munitions storage facility during annual inspections.	1 year 9 months 5 days
Rec. B.1.c: The DoD OIG recommended that the HAF/A4 develop guidance that requires installation munitions personnel to manage and oversee all Combat Ammunition System overrides related to munitions storage, including download, review, and approval of override reports, and acceptance of the associated explosive risks if they decide not to move explosives to comply with explosive limits.	1 year 9 months 5 days
Rec. C.1.a: The DoD OIG recommended that the 7th Air Force Commander correct the maintenance deficiencies identified in Appendix B.	1 year 9 months 5 days

APPENDIX A

Department of the Air Force – Report No. DODIG-2017-094 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. C.1.e: The DoD OIG recommended that the 7th Air Force Commander ensure that the Republic of Korea Air Force complies with the terms established in the Munitions Activities Gained by Negotiations between the United States Air Force and Republic of Korea Air Force Memorandum of Understanding by addressing the maintenance deficiencies identified at those facilities.	1 year 9 months 5 days

Report No. DODIG-2017-104, “Followup on DoD OIG Report No. DODIG-2015-013, “Military Housing Inspections - Republic of Korea,” October 28, 2014,” July 20, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Secretaries of the Army and Air Force involve U.S. Forces Korea and other entities, such as the Army Installation Management Command and the Air Force Installation and Mission Support Center, to perform oversight and provide guidance on addressing and closing Finding A of this report.	1 year 8 months 11 days

Report No. DODIG-2017-106, “Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident,” July 28, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.5: The DoD OIG recommended that the Secretary of the Air Force revise Air Force Instruction 32-3001, “Explosive Ordnance Disposal Program,” November 14, 2014, Incorporating Change 2, May 13, 2016, to align Custodial Explosive Ordnance Disposal guidance with Presidential Directives.	1 year 8 months 3 days
Rec. C: The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Defense Threat Reduction Agency Director and the Under Secretary of Defense for Acquisition and Sustainment, comply with Presidential Policy Directive-35 and develop and field secure communications between Custodial explosive ordnance disposal units and National responders.	1 year 8 months 3 days

Report No. DODIG-2018-029, “Follow-up Audit: Basic Expeditionary Airfield Resources Support and Repair Spare Kits,” November 16, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Headquarters Air Force/A4L Director update Air Force Instruction 25-101 to include the War Reserve Materiel Global Management Office’s requirement to conduct annual War Reserve Materiel Unit Type Code Requirements Document and War Plans Additive Requirements reviews.	1 year 4 months 15 days

APPENDIX A

Department of the Air Force – Report No. DODIG-2018-029 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b: The DoD OIG recommended that the Headquarters Air Force/A4L Director update Air Force Instruction 25-101 to include a process to reconcile Basic Expeditionary Airfield Resources support and repair spare kit on-hand inventories with Basic Expeditionary Airfield Resources requirements.	1 year 4 months 15 days
Rec. 2.a: The DoD OIG recommended that the U.S. Pacific Air Forces Commander comply with the War Reserve Materiel Global Management Office's annual cycle for validating requirements by June 1, 2018.	1 year 4 months 15 days
Rec. 2.b: The DoD OIG recommended that the U.S. Pacific Air Forces Commander ensure that base commanders promptly update their inventories upon receipt of the annually validated requirements.	1 year 4 months 15 days

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. E.1.b: The DoD OIG recommended that the Secretary of the Air Force immediately and comprehensively review all Air Force Office of Special Investigations (AFOSI) criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and Federal Bureau of Investigation requirements.	1 year 3 months 27 days
Rec. F.1.b: The DoD OIG recommended that the Secretary of the Air Force immediately and comprehensively review all Air Force Security Forces criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and Federal Bureau of Investigation requirements.	1 year 3 months 27 days
Rec. F.1.e: The DoD OIG recommended that the Secretary of the Air Force take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.	1 year 3 months 27 days

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*Department of the Air Force (cont'd)***Report No. DODIG-2018-036, "DoD's Response to the Patient Safety Elements in the 2014 Military Health System Review," December 14, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.2: The DoD OIG recommended that the Air Force Medical Operations Agency Commander evaluate the 88th Medical Group's Patient Safety Indicator #90 performance after the new Patient Safety Indicator #90 measures and benchmarks are available to determine if the facility is outperforming, performing the same as, or underperforming compared to other healthcare facilities and take appropriate action to correct all identified deficiencies.</p>	1 year 3 months 17 days

Report No. DODIG-2018-049, "U.S. Military-Occupied Facilities Evaluation-AI Udeid Air Base, Qatar," December 21, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. C.1.a: The DoD OIG recommended that the 379 Air Expeditionary Wing Commander conduct a root cause analysis and implement a corrective action plan for all close air support ramp fuel hydrant system deficiencies; ensure that all current and future facility operations and maintenance comply with the Unified Facilities Criteria and the National Fire Protection Association standards; ensure that the plan includes an assessment of the pipelines at fuel facilities in accordance with the American Petroleum Institute (API 570) piping inspection code; and provide the DoD Office of Inspector General a copy of the analysis and corrective action plan within 30 days of the issuance of the report.</p>	1 year 3 months 10 days
<p>Rec. C.1.b: The DoD OIG recommended that the 379 Air Expeditionary Wing Commander prepare and implement a corrective action plan to ensure that all construction projects that involve fuel systems are reviewed for compliance with applicable fuel systems standards before they are accepted by the Government as complete and provide the DoD Office of Inspector General a copy of the corrective action plan within 30 days of the issuance of this report.</p>	1 year 3 months 10 days
<p>Rec. D.1: The DoD OIG recommended that the 379 Air Expeditionary Wing Commander conduct a root cause analysis and implement a corrective action plan for all indoor air quality deficiencies identified in this report; determine the causes of the moisture intrusion into occupied facilities; ensure that all current and future facility operations and maintenance comply with the Air Force 2005 Mold Policy Memorandum, and provide the DoD Office of Inspector General a copy of the analysis and plan within 30 days of the issuance of this report.</p>	1 year 3 months 10 days

Report No. DODIG-2018-089, "Contracting Strategy for F-22 Modernization," March 21, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.b: The DoD OIG recommended that the F-22 Program Office document the lessons learned when developing the contracting strategy for potential use by other program offices implementing agile software development methods on weapon systems.</p>	1 year 10 days

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2018-092, "DoD Emergency Management Programs in the U.S. Africa Command,"
March 28, 2018 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 4.a: The DoD OIG recommended that the U.S. Air Forces Europe-Africa Command Emergency Management Functional Manager implement procedures to track required training for emergency management personnel, including the Emergency Management Senior Leader Orientation training.	1 year 3 days
Rec. 4.b: The DoD OIG recommend that the U.S. Air Forces Europe-Africa Command Emergency Management Functional Manager review emergency management programs for [Redacted] to ensure that they comply with DoD Instruction 6055.17, "DoD Emergency Management Program" February 13, 2017.	1 year 3 days
Rec. 5.a: The DoD OIG recommended that the Installation Commander, [Redacted], use Air Force Manual 10-2502, "Air Force Incident Management Systems (AFIMS) Standards and Procedures," when finalized by Headquarters U.S. Air Forces, to categorize [Redacted] personnel and provide the milestones for completion.	1 year 3 days
Rec. 5.c: The DoD OIG recommended that the Installation Commander, [Redacted], establish an Emergency Management Working Group in accordance with DoD Instruction 6055.17, "DoD Emergency Management Program," February 13, 2017.	1 year 3 days
Rec. 5.d: The DoD OIG recommended that the Installation Commander, [Redacted], develop a multi-year exercise plan and establish an exercise evaluation team.	1 year 3 days
Rec. 5.e: The DoD OIG recommended that the Installation Commander, [Redacted], establish a process to ensure the exercise evaluation team documents its evaluations in after-action reports, develops a corrective action plan, and implements the recommended improvements.	1 year 3 days
Rec. 5.f: The DoD OIG recommended that the Installation Commander, [Redacted], complete a capability risk assessment; reevaluate and update the hazard and threat, vulnerability, and criticality risk assessments for [Redacted]; and develop procedures to ensure all risk assessments are annually reevaluated and updated before the emergency management plan is updated.	1 year 3 days
Rec. 5.h: The DoD OIG recommended that the Installation Commander, [Redacted], establish a [Redacted] emergency operating center and ensure that emergency operating center personnel develop and maintain a common operating picture for the installation.	1 year 3 days
Rec. 6.b: The DoD OIG recommended that the Installation Emergency Manager, [Redacted], establish procedures to validate that the responsible office annually reviews and documents the Fire and Emergency Services Support Agreement between [Redacted] and any future support agreements.	1 year 3 days

Department of the Air Force (cont'd)

Report No. DODIG-2018-109, "Protection of Patient Health Information at Navy and Air Force Military Treatment Facilities," May 2, 2018 (Full Report is FOUO)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/19
	Rec. 1.a: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center to implement appropriate configuration changes to enforce the use of a Common Access Card to access all systems that process, store, and transmit patient health information or obtain a waiver that exempts the systems from using Common Access Cards.	10 months 29 days
	Rec. 1.b: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center configure passwords for all systems that process, store, and transmit patient health information to meet DoD length and complexity requirements.	10 months 29 days
	Rec. 1.c: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center develop a plan of action and milestones and take appropriate steps to mitigate known network vulnerabilities in a timely manner.	10 months 29 days
	Rec. 1.e: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center configure all systems that process, store, and transmit patient health information to lock automatically after 15 minutes of inactivity.	10 months 29 days
	Rec. 1.f: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center appropriately configure and regularly review system audit reports and logs to identify user and system activity anomalies.	10 months 29 days
	Rec. 1.h: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, the 436th Medical Group, and Wright-Patterson Medical Center review and identify all systems used to process, store, and transmit patient health information, develop a baseline of systems used at each military treatment facility, and regularly, at least annually, validate the accuracy of the inventory of systems.	10 months 29 days
(FOUO)		

APPENDIX A

Department of the Air Force – Report No. DODIG-2018-109 (cont’d)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/19
	Rec. 2.a: The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified exist at other Service-specific military treatment facilities and develop and implement an oversight plan to verify that military treatment facilities enforce the use of Common Access Cards to access systems that process, store, and transmit patient health information or obtain a waiver that exempts the systems from using Common Access Cards.	10 months 29 days
	Rec. 2.b: The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified in this report exist at other Service-specific military treatment facilities and develop and implement an oversight plan to verify that military treatment facilities configure passwords for systems that process, store, and transmit patient health information to meet DoD length and complexity requirements.	10 months 29 days
	Rec. 2.c: The DoD OIG recommended that the Surgeons General for the Departments of the Navy and Air Force, in coordination with Chief Information Officers for the U.S. Navy Bureau of Medicine and Surgery and the U.S. Air Force Medical Service, assess whether the systemic issues identified in this report exist at other Service-specific military treatment facilities and develop and implement an oversight plan to develop a baseline of systems used at each military treatment facility, and regularly, at least annually, validate the accuracy of the inventory of systems.	10 months 29 days
	Rec. 3: The DoD OIG recommended that the Commanders of the 436th Medical Group, Naval Hospital Camp Pendleton, Naval Medical Center San Diego, U.S. Naval Ship Mercy, and Wright-Patterson Medical Center review the performance of their Chief Information Officers and consider administrative action, as appropriate, for not following Federal and DoD guidance for protecting patient health information to include: not mitigating known vulnerabilities in a timely manner; not developing plans of action and milestones for unmitigated vulnerabilities; and not formally accepting risks for unmitigated vulnerabilities.	10 months 29 days
	(FOUO) Rec. 4: The DoD OIG recommended that the Chief Information Officers for Naval Hospital Camp Pendleton, U.S. Naval Ship Mercy, and Wright-Patterson Medical Center [REDACTED] at rest and [REDACTED] for systems that process, store, and transmit patient health information.	10 months 29 days
		(FOUO)

*Department of the Air Force (cont'd)***Report No. DODIG-2018-121, "Air Force's F-15 Eagle Passive/Active Warning and Survivability System," May 21, 2018 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Deputy Chief of Staff of the Air Force for Strategic Plans and Requirements request the Joint Requirements Oversight Council to revalidate the F-15C Eagle Passive/Active Warning and Survivability System quantity to ensure air superiority missions can still be met without the F-15C Eagle Passive/Active Warning and Survivability System.	10 months 10 days
Rec. 2: The DoD OIG recommended the Deputy Chief of Staff of the Air Force for Strategic Plans and Requirements issue revised [Redacted] that includes F-15C Eagle Passive/Active Warning and Survivability System procurement funds if Congress does not approve the F-15C aircraft retirement.	10 months 10 days

Report No. DODIG-2018-122, "U.S. Strategic Command Facility Construction Project," May 31, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 4: The DoD OIG recommended that the U.S. Air Force Civil Engineer Center Commander conduct program life-cycle evaluations to determine the success of the Cost Estimating Improvement Plan.	10 months

Report No. DODIG-2018-123, "U.S. Special Operations Command Reporting of General Equipment on Its Financial Statements," June 4, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 4.a: The DoD OIG recommended that the Assistant Secretary of the Air Force (Financial Management and Comptroller) provide accurate and complete data elements to the U.S. Special Operations Command for the reporting of its General Equipment and Accumulated Depreciation account balances.	9 months 27 days
Rec. 4.b: The DoD OIG recommend that the Assistant Secretary of the Air Force (Financial Management and Comptroller) develop milestones for providing accurate and complete data elements to the U.S. Special Operations Command for the reporting of its General Equipment and Accumulated Depreciation account balances.	9 months 27 days

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2018-137, "Command Cyber Readiness Inspections at Air Force Squadrons," July 11, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Chief of Information Dominance and Chief Information Officer for the Office of the Secretary of the Air Force require Air Force Space Command/Air Forces Cyber to develop a process to ensure that vulnerabilities identified during routine vulnerability management and Command Cyber Readiness Inspections, including non-Information Assurance Vulnerability Alert and Bulletin vulnerabilities, are mitigated within U.S. Cyber Command required compliance timeframes and in accordance with DoD Instruction 8510.01.</p>	<p>8 months 20 days</p>

Report No. DODIG-2018-143, "Air Force Space Command Supply Chain Risk Management of Strategic Capabilities," August 14, 2018 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Commander of Air Force Space Command develop a plan of action with milestones for the Space Based Infrared System to comply with DoD supply chain risk management policy. The plan should establish controls and oversight and require Air Force Space Command personnel to develop internal procedures or establish contract requirements to improve the accuracy of the critical components list to manage risks to the Space Based Infrared System throughout its life cycle and require the identification of all critical logic-bearing hardware, software and firmware, and the associated suppliers. The criticality analysis should include the 58 application specific integrated circuits from the geosynchronous earth orbit satellite 5 and 6 production contract.</p>	<p>7 months 17 days</p>
<p>(FOUO) Rec. 1.b: The DoD OIG recommended that the Commander of Air Force Space Command develop a plan of action with milestones for the Space Based Infrared System to comply with DoD supply chain risk management policy. The plan should establish controls and oversight and require Air Force Space Command personnel to develop internal procedures or establish contract requirements to improve the accuracy of the requests for supplier threat assessments and require the prioritization of the critical components on the requests and the inclusion of all key information needed by the [REDACTED] to conduct the assessments.</p>	<p>7 months 17 days</p>
<p>Rec. 1.c: The DoD OIG recommended that the Commander of Air Force Space Command develop a plan of action with milestones for the Space Based Infrared System to comply with DoD supply chain risk management policy. The plan should establish controls and oversight and require Air Force Space Command personnel to determine the risk posture and potential mitigations for all application specific integrated circuits not procured from a trusted supplier using trusted processes accredited by the Defense Microelectronics Activity.</p>	<p>7 months 17 days</p>
(FOUO)	

APPENDIX A

Department of the Air Force – Report No. DODIG-2018-143 (cont’d)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/19
	<p>(FOUO) Rec. 1.d: The DoD OIG recommended that the Commander of Air Force Space Command develop a plan of action with milestones for the Space Based Infrared System to comply with DoD supply chain risk management policy. The plan should establish controls and oversight and require Air Force Space Command personnel to ensure the use of rigorous test and evaluation capabilities, including developmental, acceptance, and operational testing [REDACTED] and require establishment of verification and validation procedures for critical logic-bearing hardware, software, and firmware either independently or through delegation to the Defense Contract Management Agency.</p>	7 months 17 days
	<p>Rec. 2: The DoD OIG recommended that the Commander of Air Force Space Command conduct a detailed review of the supply chain risk management for the Air Force Satellite Control Network, Family of Advanced Beyond Line-of-Sight Terminals, and Global Positioning System programs, and all other programs deemed critical to the Air Force Space Command, to ensure compliance with DoD supply chain risk management policy. If deficiencies are identified, Air Force Space Command officials must develop a plan of action with milestones to correct the deficiencies.</p>	7 months 17 days
(FOUO)		

Report No. DODIG-2018-144, “Evaluation of Intelligence Support to Protect U.S. Nuclear Weapons in Europe,” August 10, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a: The DoD OIG recommended that the Headquarters Air Force Director of Security Forces, with coordination from Headquarters Air Force Director of Intelligence and Inspector General, update Air Force Manual 31-108, “The Air Force Nuclear Weapon Security Manual,” June 15, 2017, and Air Force Instruction 31-101, “Integrated Defense,” July 5, 2017, to assign the Headquarters Air Force Director of Intelligence as the lead to ensure intelligence support for all Air Force units that store nuclear weapons and designate specific support responsibilities for this lead and for major command intelligence organizations.</p>	7 months 21 days
<p>Rec. A.1.b: The DoD OIG recommended that the Headquarters Air Force Director of Security Forces, with coordination from Headquarters Air Force Director of Intelligence and Inspector General, update Air Force Manual (AFMAN) 31-108, “The Air Force Nuclear Weapon Security Manual,” June 15, 2017, and Air Force Instruction (AFI) 31-101, “Integrated Defense,” July 5, 2017, to designate specific responsibilities for the Air Force Office of Special Investigations.</p>	7 months 21 days

APPENDIX A

Department of the Air Force – Report No. DODIG-2018-144 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.c: The DoD OIG recommended that the Headquarters Air Force Director of Security Forces, with coordination from Headquarters Air Force Director of Intelligence and Inspector General, update Air Force Manual (AFMAN) 31-108, “The Air Force Nuclear Weapon Security Manual,” June 15, 2017, and Air Force Instruction (AFI) 31-101, “Integrated Defense,” July 5, 2017, to assign responsibilities for commanders of units that store nuclear weapons to levy separate requirements on both the Air Force Office of Special Investigations and intelligence organizations.</p>	7 months 21 days
<p>Rec. A.1.d: The DoD OIG recommended that the Headquarters Air Force Director of Security Forces, with coordination from Headquarters Air Force Director of Intelligence and Inspector General, update Air Force Manual (AFMAN) 31-108, “The Air Force Nuclear Weapon Security Manual,” June 15, 2017, and Air Force Instruction (AFI) 31-101, “Integrated Defense,” July 5, 2017, to create control measures to ensure that nuclear units’ priority intelligence requirements, collection requirements, and intelligence requests for information are submitted, tracked, and processed through major commands’ directors of intelligence.</p>	7 months 21 days
<p>Rec. A.1.e: The DoD OIG recommended that the Headquarters Air Force Director of Security Forces, with coordination from Headquarters Air Force Director of Intelligence and Inspector General, update Air Force Manual (AFMAN) 31-108, “The Air Force Nuclear Weapon Security Manual,” June 15, 2017, and Air Force Instruction (AFI) 31-101, “Integrated Defense,” July 5, 2017, to require units that store nuclear weapons to simultaneously discuss threats with intelligence organizations and Air Force Office of Special Investigations agents prior to vault operations to fuse intelligence and consider all available information and to consider using the intelligence fusion cell model for an in-person or secure conference call discussion prior to meetings with the host nation.</p>	7 months 21 days
<p>Rec. A.2.a: The DoD OIG recommended that the U.S. Air Forces in Europe Director of Intelligence, with coordination from the Chief of Security Forces, Chief of Nuclear Operations Division, and Air Force Office of Special Investigations Region 5, train leaders from units that store nuclear weapons, including squadron commanders and antiterrorism officers, on available intelligence and counterintelligence support.</p>	7 months 21 days
<p>Rec. A.2.b: The DoD OIG recommended that the U.S. Air Forces in Europe Director of Intelligence designate a dedicated intelligence support element at the major command level that provides tailored support to all U.S. Air Forces in Europe units that store nuclear weapons.</p>	7 months 21 days
<p>Rec. A.2.c: The DoD OIG recommended that the U.S. Air Forces in Europe Director of Intelligence improve interaction between nuclear units and intelligence organizations, including those at wings. Consider establishing forums (conference, secure video teleconference, or webpage) for analysts and agents to share concerns, observations, and lessons learned with other nuclear units.</p>	7 months 21 days

APPENDIX A

Department of the Air Force – Report No. DODIG-2018-144 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.d.1: The DoD OIG recommended that the U.S. Air Forces in Europe Director of Intelligence improve submission and processing of nuclear units' nominations for collection and analysis requirements in support of priority intelligence requirements, assist nuclear units in developing their priority intelligence requirements, and annually review nuclear units' priority intelligence requirements and validate the status of requested collection.	7 months 21 days
Rec. A.2.d.2: The DoD OIG recommended that the U.S. Air Forces in Europe Director of Intelligence improve submission and processing of nuclear units' nominations for collection and analysis requirements in support of priority intelligence requirements; solicit units' intelligence needs; and assist in developing requests for information, production requests, and collection requests that increase all-source analysis of the threat (to overcome deception).	7 months 21 days
Rec. A.2.e: Recommendation is Classified.	7 months 21 days
Rec. A.3.a: Recommendation is Classified.	7 months 21 days
Rec. A.3.b: Recommendation is Classified.	7 months 21 days
Rec. A.3.c: Recommendation is Classified.	7 months 21 days
Rec. B.2.a: The DoD OIG recommended that the U.S. Air Forces in Europe Director of Intelligence assist wings with geographically separated units that store nuclear weapons in leveraging local and theater intelligence and support in accordance with Air Force Instruction 14-119; establish and deliver a minimum standard of training for unit antiterrorism officers to obtain intelligence, including access to theater and wing intelligence websites and databases, subscription to specific products, and training to use systems to search for intelligence; and consider staff assistance visits or a conference to provide sustainment training.	7 months 21 days
Rec. B.2.b: The DoD OIG recommended that the U.S. Air Forces in Europe Director of Intelligence assist wings with geographically separated units that store nuclear weapons in leveraging local and theater intelligence and support in accordance with Air Force Instruction 14-119 and provide and annually update a list of relevant websites for antiterrorism officers to use.	7 months 21 days
Rec. B.2.c.1: The DoD OIG recommended that the U.S. Air Forces in Europe Director of Intelligence assist wings with geographically separated units that store nuclear weapons in leveraging local and theater intelligence and support in accordance with Air Force Instruction 14-119 and assist with methods to improve use of intelligence and ensure that an effective request for information system is fully implemented, explained, and sustained so units that store nuclear weapons know what they can request and how to request relevant intelligence support tailored to their needs, including products that are releasable to host nation partners.	7 months 21 days

APPENDIX A

Department of the Air Force – Report No. DODIG-2018-144 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.2.c.2: The DoD OIG recommended that the U.S. Air Forces in Europe Director of Intelligence assist wings with geographically separated units that store nuclear weapons in leveraging local and theater intelligence and support in accordance with Air Force Instruction (AFI) 14-119 and assist with methods to improve use of intelligence and ensure that the wing's intelligence flight implements an effective system to solicit, collect, and respond to feedback on products to improve support to subordinate units that store nuclear weapons.	7 months 21 days
Rec. B.3.a: The DoD OIG recommended that the U.S. Air Forces in Europe Inspector General, with assistance from the U.S. Air Forces in Europe Chief of Security Forces and Director of Intelligence, develop and implement controls to periodically assess how units that store nuclear weapons request, use, and provide feedback on intelligence and counterintelligence support, including for any products releasable to host nation.	7 months 21 days
Rec. B.3.b: The DoD OIG recommended that the U.S. Air Forces in Europe Inspector General, with assistance from the U.S. Air Forces in Europe Chief of Security Forces and Director of Intelligence, provide the DoD OIG a summary of actions taken in response to this recommendation within 6 months of the publication date of this report.	7 months 21 days
Rec. B.4: The DoD OIG recommended that the U.S. Air Forces in Europe Director of Security Forces send antiterrorism officers for geographically separated units that store nuclear weapons to the Intelligence Support to Force Protection Course at Joint Base McGuire-Dix-Lakehurst, New Jersey, or similar training program.	7 months 21 days
Rec. C.1.a: The DoD OIG recommended that the Air Force Director of Security Forces evaluate U.S. Air Forces in Europe's approach to reviewing localized threat capabilities assessments to ensure that they meet the requirements of the DoD "Nuclear Weapon Security Manual."	7 months 21 days
Rec. C.1.b: The DoD OIG recommended that the Air Force Director of Security Forces revise Air Force Manual 31-108, "Nuclear Weapon Security Manual," June 15, 2017, to improve responsibilities and guidance on how units support, develop, and review the localized threat capabilities assessments and how intelligence gaps identified in the localized threat capabilities assessment process are addressed.	7 months 21 days
Rec. C.1.c: The DoD OIG recommended that the Air Force Director of Security Forces provide the DoD Office of Inspector General a summary of actions taken on this finding within 3 months of the publication of this report, including the date of completion or anticipated dates of completion and send courtesy copy to the Deputy Assistant Secretary of Defense (Nuclear Matters).	7 months 21 days
Rec. C.2: The DoD OIG recommended that the U.S. Air Forces in Europe Inspector General, in coordination with the USAFE Chief of Security Forces, improve inspection and review procedures to ensure that units that store nuclear weapons use required expertise outlined by DoD Manual S-5210.41, volume 1, "Nuclear Weapon Security Manual: The DoD Nuclear Weapon Security Program," October 25, 2016, when developing the localized threat capabilities assessment.	7 months 21 days

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2018-145, "Air Force C-5 Squadrons' Capability to Meet U.S. Transportation Command Mission Requirements," August 13, 2018 (Final Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: Recommendation is Classified.	7 months 18 days
Rec. A.2: The DoD OIG recommended that the Commander of Air Mobility Command determine an accurate, supportable C-5 mission capable rate to be used in calculating airlift capabilities for operational plan requirements and publish the supportable rates in Air Force Pamphlet 10-1403.	7 months 18 days
Rec. B.1: The DoD OIG recommended that the Commander of Air Mobility Command request the Air Force Manpower Analysis Agency to create a C-5 logistics composite model to identify aircraft maintenance authorization ratios that better align with current C-5 maintenance needs for use in determining future authorization levels.	7 months 18 days

Report No. DODIG-2018-160, "Evaluation of Nuclear Detonation Detection System Space-Based Segment," September 28, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Deputy Secretary of Defense, in coordination with the appropriate interagency stakeholders, establish a U.S. Nuclear Detonation Detection System governance structure to coordinate requirements and capabilities within the DoD and throughout the interagency. Once the new governance structure is in place, establish guidance to lead, manage, and operate the U.S. Nuclear Detonation Detection System.	6 months 3 days

Report No. DODIG-2019-004, "DoD Oversight of Bilateral Agreements With the Republic of the Philippines," November 2, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.a: The DoD OIG recommended that the Pacific Air Forces Commander develop service component-specific training programs for all Acquisition and Cross-Servicing Agreement command officials.	4 months 29 days
Rec. 2.b: The DoD OIG recommended that the Pacific Air Forces Commander designate an Acquisition and Cross-Servicing Agreement Program Manager and ensure that the individual completes the Joint Knowledge Online-Training that will provide access and the basic instruction for the Acquisition and Cross-Servicing Agreement Program Manager to build, track, and manage transactions in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	4 months 29 days

APPENDIX A

Department of the Air Force – Report No. DODIG-2019-004 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.c: The DoD OIG recommended that the Pacific Air Forces Commander designate an Acquisition and Cross-Servicing Agreement Finance Program Manager and ensure that the individual completes the Joint Knowledge Online-Training that will provide access and the basic instruction for the Acquisition and Cross-Servicing Agreement Finance Program Manager to build, track, and manage transactions in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	4 months 29 days
Rec. 2.d: The DoD OIG recommended that the Pacific Air Forces Commander input and track all Acquisition and Cross-Servicing Agreement transactions from October 1, 2016, to present, and all future transactions, including the 57 line items the United States Indo-Pacific Command identified, in the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	4 months 29 days

Report No. DODIG-2019-016, “DoD Actions Taken to Implement the Cybersecurity Information Sharing Act of 2015,” November 8, 2018 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. 4.a: The DoD OIG recommended that the DoD Cyber Crime Center Director [REDACTED]	4 months 23 days
(FOUO) Rec. 4.b: The DoD OIG recommended that the DoD Cyber Crime Center Director [REDACTED]	4 months 23 days
(FOUO)	

Report No. DODIG-2019-029, “DoD Task Orders Issued Under One Acquisition Solution for Integrated Services Contracts,” November 27, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.a: The DoD OIG recommended that the Air Force Life Cycle Management Center Commander require contracting officers to determine if the employees met the labor categories, including the six employees with no qualification documentation, specified in task orders FA8307-15-F-0012 and FA8622-15-F-8100 and, if not, take appropriate corrective action, including the recovery of improper payments.	4 months 4 days

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Department of the Air Force – Report No. DODIG-2019-029 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.b: The DoD OIG recommended that the Air Force Life Cycle Management Center Commander require contracting officers to report all improper payments to the Office of the Under Secretary of Defense (Comptroller), Accounting and Finance Policy Directorate, and notify the DoD OIG.	4 months 4 days
Rec. 4.a: The DoD OIG recommended that the Air Force Research Laboratory Director require contracting officers to determine if the employees met the labor categories, including the five employees with no qualification documentation, specified in task order FA8750-15-F-0129 and FA8750-16-F0082 and, if not, take appropriate corrective action, including the recovery of improper payments.	4 months 4 days
Rec. 4.b: The DoD OIG recommended that the Air Force Research Laboratory Director require contracting officers to report all improper payments to the Office of the Under Secretary of Defense (Comptroller), Accounting and Finance Policy Directorate and notify the DoD OIG.	4 months 4 days
Rec. 5.a: The DoD OIG recommended that the Air Force Test Center Vice Commander require contracting officers to determine if the employees met the labor categories specified in task orders FA2486-16-F-0033 and FA2486-16-F-0034 and, if not, take appropriate corrective action, including the recovery of improper payments.	4 months 4 days
Rec. 5.b: The DoD OIG recommended that the Air Force Test Center Vice Commander require contracting officers to report all improper payments to the Office of the Under Secretary of Defense (Comptroller), Accounting and Finance Policy Directorate and notify the DoD OIG.	4 months 4 days

Report No. DODIG-2019-030, “Report of Investigation into the United States Air Force’s Failure to Submit Devin Kelley’s Criminal History Information to the Federal Bureau of Investigation,” December 6, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Air Force consider implementing a system to ensure that the Air Force Recruiting Service conduct National Crime Information Center and local criminal history record checks before an applicant’s formal entry into the Air Force on active duty, regardless of whether that check was conducted during the applicant’s participation in the Delayed Enlistment Program.	3 months 25 days
Rec. 5: The DoD OIG recommended that the Air Force review the Air Force Office of Special Investigations formal training programs on the procedures and requirements for the collection and submission of fingerprints and final disposition reports to the Federal Bureau of Investigation Criminal Justice Information Services Division and ensure that the training adequately addresses the requirements of DoD Instruction 5505.11.	3 months 25 days

APPENDIX A

Department of the Air Force – Report No. DODIG-2019-030 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 6: The DoD OIG recommended that the Air Force implement Air Force Security Forces formal training programs on the procedures and requirements for the collection and submission of fingerprints to the Federal Bureau of Investigation Criminal Justice Information Services Division that are aligned with DoD Instruction 5505.11.	3 months 25 days
Rec. 8: The DoD OIG recommended that the Air Force review this report, the facts described in it, and any other relevant factors to assess the overall performance of the individuals described in this report and implement any administrative, performance, or disciplinary actions, as appropriate.	3 months 25 days

Report No. DODIG-2019-036, “Defense Hotline Allegations Concerning the MQ-9 Block 5 Reaper Unmanned Aerial System,” December 12, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Medium Altitude Unmanned Aerial Systems Division Senior Materiel Leader direct the MQ-9 Program Management Office to incorporate actual spare parts use, as flight hours increase and data becomes available, when forecasting for MQ-9 Block 5 aircraft spare parts, in accordance with DoD Manual 4140.01, volume 2.	3 months 19 days
Rec. 1.b: The DoD OIG recommended that the Medium Altitude Unmanned Aerial Systems Division Senior Materiel Leader direct the MQ-9 Program Management Office to use the excess MQ-9 Block 5 aircraft spare parts before purchasing additional spare parts.	3 months 19 days

Report No. DODIG-2019-040, “U.S. Air Forces in Europe Plans for the Procurement and Pre-Positioning of Deployable Air Base Kits,” December 27, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Director of Headquarters Air Force A4 Logistics, Installations, and Mission Support Division, in coordination with the Director of U.S. Air Forces in Europe A4 Logistics Division and the Director of Air Force Materiel Command Logistics Division, ensure that a program manager is designated at least at the Director level for the Deployable Air Base Kits program so that a single organization maintains responsibility for coordinating with the multiple organizations supporting the program, requesting progress reports on individual storage facility construction and equipment category procurement, and tracking overall program execution.	3 months 4 days

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Department of the Air Force – Report No. DODIG-2019-040 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.b: The DoD OIG recommended that the Director of Headquarters Air Force A4 Logistics, Installations, and Mission Support Division, in coordination with the Director of U.S. Air Forces in Europe A4 Logistics Division and the Director of Air Force Materiel Command Logistics Division, direct the program manager to review and update the Deployable Air Base Kits program plan at least semi-annually, which includes the construction of storage facilities, procurement of all seven equipment categories, and pre-positioning to ensure that all 24 kits are on track to be procured and pre-positioned by the U.S. European Command end date.</p>	3 months 4 days

Report No. DODIG-2019-056, “Accounting and Financial Reporting for the Military Housing Privatization Initiative,” February 12, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.5.a: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander of Naval Facilities Engineering Command; and Director of the Air Force Civil Engineer Center reconcile their privatized housing inventories with the private partners’ housing inventories and update the records as needed to establish a baseline.</p>	1 month 19 days
<p>Rec. B.5.b: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander of Naval Facilities Engineering Command; and Director of the Air Force Civil Engineer Center develop and implement procedures to accurately record the additions and removals of housing records to ensure consistency between the Military Department and private partner systems.</p>	1 month 19 days
<p>Rec. B.5.c: The DoD OIG recommended that the Assistant Chief of Staff for Installation Management, Department of the Army; Commander of Naval Facilities Engineering Command; and Director of the Air Force Civil Engineer Center develop and implement controls to ensure that the enterprise Military Housing system and Military Department housing records reconcile once privatized housing records are in the enterprise Military Housing system.</p>	1 month 19 days

Report No. DODIG-2019-058, “Summary and Follow-up Report on Audits of DoD Energy Savings Performance Contracts,” February 14, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics direct Air Force energy savings performance contracting officers, and coordinate with the Air Force Civil Engineer Center Commander, to identify all active legacy Air Force energy savings performance contracts with contractor-claimed energy savings not previously validated by the Government validation.</p>	1 month 17 days

APPENDIX A

Department of the Air Force – Report No. DODIG-2019-058 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.b: The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics direct Air Force energy savings performance contracting officers, and coordinate with the Air Force Civil Engineer Center Commander, to validate all past contractor-claimed energy savings included in contractor post installation and measurement and verification reports not previously validated.	1 month 17 days
Rec. 2.c: The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition, Technology, and Logistics direct Air Force energy savings performance contracting officers, and coordinate with the Air Force Civil Engineer Center Commander, to, based on the results of the validations, as mandated by law, take appropriate contractual action (if necessary), such as recovering unrealized guaranteed energy savings or buying out the remaining portion of the applicable contracts.	1 month 17 days

Report No. DODIG-2019-061, “Audit of the DoD’s Implementation of Recommendations on Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations,” March 7, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Commander of U.S. Army Installation Management Command and the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force, conduct a review of all general public tenants leasing privatized housing on military installations as of January 1, 2019, to ensure that those tenants receive complete and adequate background checks and that access badge expiration dates do not exceed lease expiration dates in accordance, with current Military Department guidance.	24 days

Report No. DODIG-2019-063, “Followup Audit of the Military Departments’ Security Safeguards Over Secret Internet Protocol Router Network Access Points,” March 18, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.3.a: Recommendation is Classified.	13 days
Rec. A.3.b: The DoD OIG recommended that the Air Force Chief Information Officer direct Air Force Command officials to implement procedures to ensure that SECRET Internet Protocol Router Network access request forms are properly completed, reviewed, and approved before Air Force Command officials grant users access to the SECRET Internet Protocol Router Network. In addition, ensure compliance with the processes and maintain the SECRET Internet Protocol Router Network access request forms.	13 days
(FOUO)	

APPENDIX A

Department of the Air Force – Report No. DODIG-2019-063 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.3.c: The DoD OIG recommended that the Air Force Chief Information Officer direct Air Force Command officials to implement processes to ensure that SECRET Internet Protocol Router Network users complete all required security training before receiving access to the network and ensure that all SECRET Internet Protocol Router Network users complete all required refresher training to maintain network access and ensure compliance with the processes.	13 days
(FOUO) Rec. A.3.d: The DoD OIG recommended that [REDACTED]	13 days
(FOUO) Rec. A.3.e: The DoD OIG recommended that [REDACTED]	13 days
(FOUO) Rec. A.3.f: The DoD OIG recommended that [REDACTED]	13 days
(FOUO) Rec. B.9: The DoD OIG recommended that [REDACTED]	13 days
(FOUO)	

Report No. DODIG-2019-066, “Summary Audit of Systemic Weaknesses in the Cost of War Reports,” March 22, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 4: The DoD OIG recommended that the Auditor General of the Army, Auditor General of the Navy, and the Auditor General of the Air Force include followup audits that verify the accuracy of the Cost of War data in their FY 2020 audit plans.	9 days

APPENDIX A

Joint Chiefs of Staff

**Report No. DODIG-2015-004, "Assessment of DoD Long-Term Intelligence Analysis," October 10, 2014
(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1: The DoD OIG recommended that the Under Secretary of Defense for Intelligence develop policy mandating joint intelligence standards, including Joint Intelligence Preparation of the Operational Environment, be incorporated into Defense Intelligence training. Joint Intelligence standards should be established by the Joint Staff, incorporated into overall Defense Intelligence Environment standards in conjunction with the Director of the Defense Intelligence Agency as the Functional Manager for Intelligence Analysis, and training executed as required by the functional organization responsible.</p>	4 years 5 months 21 days

**Report No. DODIG-2015-048, "(FOUO) Joint Cyber Centers [REDACTED]
[REDACTED] Cyberspace Operations," December 9, 2014
(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Director of the Joint Staff develop a communications strategy for disseminating incremental decisions and timely guidance affecting cyberspace command and control to facilitate information sharing across Combatant Commands and to allow the Combatant Commanders to effectively plan and prioritize cyberspace operations and integrate Cyber Mission Forces into operations until the end-state for command and control of cyberspace operations is defined and achieved for all Combatant Commands.</p>	4 years 3 months 22 days

Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Chairman of the Joint Chiefs of Staff update Chairman of the Joint Chiefs of Staff Instruction 3110.04, "Nuclear Supplement to the Joint Strategic Capabilities Plan" planning guidance to identify geographic combatant command planning responsibilities and requirements for developing nuclear response options. Guidance should include type and level of planning information required for directed contingency plans.</p>	3 years 9 months 13 days
<p>Rec. 1.c: Recommendation is Classified.</p>	3 years 9 months 13 days
<p>Rec. 1.d: The DoD OIG recommended that the Chairman of the Joint Chiefs of Staff review applicable combatant command theater nuclear planning capabilities as part of the annual staff/command assessment visits.</p>	3 years 9 months 13 days
<p>Rec. 1.e: Recommendation is Classified.</p>	3 years 9 months 13 days
<p>Rec. 1.f: The DoD OIG recommended that the Chairman of the Joint Chiefs of Staff oversee integration of nuclear planning into combatant command exercises.</p>	3 years 9 months 13 days

APPENDIX A

Joint Chiefs of Staff (cont'd)

Report No. DODIG-2015-172, "Naval Sea Systems Command Needs to Improve Management of Waiver and Deferral Requests," September 14, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Joint Chiefs of Staff Vice Chairman revise the Joint Capabilities Integration and Development System Manual to require sponsors of Acquisition Category I programs, or programs of interest to the Joint Chiefs of Staff, to notify the Joint Chiefs of Staff when deferrals to operationally testing system performance will delay demonstrating primary system requirements beyond the scheduled date for initial operational capability, as defined in the requirements document.</p>	<p>3 years 6 months 17 days</p>

Report No. DODIG-2016-032, "(FOUO) [REDACTED], December 18, 2015 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>(FOUO) Rec. 1: The DoD OIG recommended that the [REDACTED]</p>	<p>3 years 3 months 13 days</p>

(FOUO)

Report No. DODIG-2016-120, "Joint Improvised-Threat Defeat Agency Needs to Improve Assessment and Documentation of Counter-Improvised Explosive Device Initiatives," August 9, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Joint Staff Director establish controls to make sure the sponsors of counter-Improvised Explosive Device solutions that the Joint Improvised-Threat Defeat Agency delivers in response to validated Joint Urgent Operational Needs or Joint Emergent Operational Needs meet the requirements in the "Manual for the Operation of the Joint Capabilities Integration and Development System," February 12, 2015, for completing an assessment of the solutions' ability to deliver required capabilities within 6 months of initial delivery to operational users in theater.</p>	<p>2 years 7 months 22 days</p>

APPENDIX A

Joint Chiefs of Staff – Report No. DODIG-2016-120 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.b: The DoD OIG recommended that the Joint Staff Director establish controls to make sure the sponsors of counter-Improvised Explosive Device solutions that the Joint Improvised-Threat Defeat Agency delivers in response to validated Joint Urgent Operational Needs or Joint Emergent Operational Needs meet the requirements in the “Manual for the Operation of the Joint Capabilities Integration and Development System,” February 12, 2015, for posting completed assessments to the Knowledge Management/Decisions Support repository maintained in the Office of the Joint Chiefs of Staff.</p>	2 years 7 months 22 days

Report No. DODIG-2016-125, “Evaluation of the DoD Nuclear Enterprise Governance,” September 19, 2016
(Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.3: The DoD OIG recommended that the Joint Staff Director update and reissue Doctrine for Joint Nuclear Operations.</p>	2 years 6 months 12 days

Report No. DODIG-2017-049, “Unclassified Report of Investigation on Allegations Relating to USCENTCOM Intelligence Products,” January 31, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 19: The DoD OIG recommended that the Joint Staff update Joint Publication 2-0 to bring it into compliance with the 2015 version of Intelligence Community Directive 203. The Expressions of Uncertainties in Appendix A and Figure A-1 should match the Intelligence Community Directive 203’s expressions of likelihood or probability (Para D.6.e.(2)(a)).</p>	2 years 2 months

Report No. DODIG-2017-075, “The Army Needs to More Effectively Prepare for Production of the Common Infrared Countermeasure System,” April 26, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.3: The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff revise the capability development document for the Common Infrared Countermeasure system to clarify that the requirements developer and the acquisition milestone decision authority must have concurrence from the Joint Requirements Oversight Council, as validation authority, before lowering threshold (minimum) values of any primary system requirement.</p>	1 year 11 months 5 days

APPENDIX A

*Joint Chiefs of Staff (cont'd)***Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1: The DoD OIG recommended that the Secretary of the Navy and the Secretary of the Air Force, along with the Chairman of the Joint Chiefs of Staff, develop joint mission essential tasks lists for an explosive ordnance disposal response to a nuclear weapon incident.	1 year 8 months 3 days
Rec. B.2.b: The DoD OIG recommended that the Joint Staff Director of Operations incorporate Custodial Explosive Ordnance Disposal Unit roles and responsibilities in Concept of Operations Plan 0300-14.	1 year 8 months 3 days
Rec. B.4: The DoD OIG recommended that the Chairman of the Joint Chiefs of Staff revise Chairman of the Joint Chiefs of Staff Instruction 3261.01C, "Recapture and Recovery of Nuclear Weapons," January 31, 2014, Change 1, December 11, 2015, to align Custodial Explosive Ordnance Disposal guidance with Presidential Directives.	1 year 8 months 3 days
Rec. B.6: The DoD OIG recommended that the Joint Staff Director identify explosive ordnance disposal response to a nuclear weapon incident as a Special Interest Item for Nuclear Weapon Technical Inspections.	1 year 8 months 3 days

Report No. DODIG-2017-117, "Joint Requirements Oversight Council Procurement Quantity Validation Process for Major Defense Acquisition Programs," September 6, 2017 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b.2: The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that have reached Milestone A or will reach Milestone A on or before October 1, 2017, that are not affected by the new investment review process required by the change to section 181, title 10, United States Code, require subordinate boards to obtain input and reviews from advisors and stakeholders to assess and review procurement quantity.	1 year 6 months 25 days
Rec. 1.b.3: The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that have reached Milestone A or will reach Milestone A on or before October 1, 2017, that are not affected by the new investment review process required by the change to section 181, title 10, United States Code, establish expectations for stakeholders and advisors, particularly the Under Secretary of Defense for Acquisition, Technology, and Logistics and the Director of Cost Assessment and Program Evaluation, to assist the Joint Requirements Oversight Council in evaluating procurement quantity throughout the validation process.	1 year 6 months 25 days

APPENDIX A

Joint Chiefs of Staff – Report No. DODIG-2017-117 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b.4: The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that have reached Milestone A or will reach Milestone A on or before October 1, 2017, that are not affected by the new investment review process required by the change to section 181, title 10, United States Code, document and maintain the methodology for evaluating procurement quantity for each validation decision.	1 year 6 months 25 days
Rec. 2.b.1: The DoD OIG recommended that the Deputy Secretary of Defense and the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that reach Milestone A after October 1, 2017, ensure that the new investment review process clearly defines the roles for assessing, reviewing, and analyzing procurement quantity.	1 year 6 months 25 days
Rec. 2.b.2: The DoD OIG recommended that the Deputy Secretary of Defense and the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that reach Milestone A after October 1, 2017, develop and implement oversight procedures and accountable methods to ensure that procurement quantity is evaluated.	1 year 6 months 25 days
Rec. 2.b.3: The DoD OIG recommended that the Deputy Secretary of Defense and the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that reach Milestone A after October 1, 2017, establish expectations and accountability for the Director of Cost Assessment and Program Evaluation in ensuring appropriate tradeoffs are made among life cycle cost, schedule, performance, and procurement quantity.	1 year 6 months 25 days

**Report No. DODIG-2018-087, “Evaluation of the Joint Targeting Toolbox,” March 15, 2018
(Full Report is Classified)**

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. 1.b: The DoD OIG recommended that the Joint Staff Intelligence Directorate [REDACTED]	1 year 16 days
(FOUO)	

APPENDIX A

Joint Chiefs of Staff (cont'd)

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: Recommendation is Classified.	1 year 1 day
Rec. 3: Recommendation is Classified.	1 year 1 day

Report No. DODIG-2018-142, "U.S. Africa Command and U.S. European Command Integration of Operational Contract Support," August 9, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.2: The DoD OIG recommended the Chairman of the Joint Chiefs of Staff develop procedures to conduct periodic reviews of combatant commands' implementation of operational contract support doctrine to ensure operational contract support integration, planning, personnel and training are conducted as required.	7 months 22 days

Report No. DODIG-2018-159, "Evaluation of the Integrated Tactical Warning/Attack Assessment System," September 26, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: Recommendation is Classified.	6 months 5 days

Report No. DODIG-2019-004, "DoD Oversight of Bilateral Agreements With the Republic of the Philippines," November 2, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Joint Staff Director of Logistics update Joint Knowledge Online-training to reflect the most recent updates to the Acquisition and Cross-Servicing Agreement Global Automated Tracking and Reporting System.	4 months 29 days

APPENDIX A

Joint Chiefs of Staff (cont'd)

Report No. DODIG-2019-055, "Evaluation of Integrated Joint Special Technical Operations," February 11, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.a: Recommendation is Classified.	1 month 20 days
Rec. 2.b.1: Recommendation is Classified.	1 month 20 days
Rec. 2.b.2: Recommendation is Classified.	1 month 20 days
Rec. 2.b.3: Recommendation is Classified.	1 month 20 days
Rec. 2.b.4: Recommendation is Classified.	1 month 20 days
Rec. 2.c: Recommendation is Classified.	1 month 20 days
Rec. 2.d: Recommendation is Classified.	1 month 20 days
Rec. 2.e: Recommendation is Classified.	1 month 20 days
Rec. 2.f: Recommendation is Classified.	1 month 20 days
Rec. 2.g: Recommendation is Classified.	1 month 20 days

Under Secretary of Defense for Acquisition and Sustainment

Report No. DODIG-2012-082, "The DoD Can Improve Its Accounting for Residual Value From the Sale of U.S. Facilities in Europe," May 4, 2012

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B: The DoD OIG recommended that the Deputy Under Secretary of Defense for Installations and Environment and the Commander for U.S. European Command revise DoD Instruction 4165.69 and U.S. European Command Instruction 4101.02, respectively, to require that future residual value settlement negotiations analyze and document how the residual value settlement amount was determined, to include at a minimum:</p> <ol style="list-style-type: none"> 1. results of an independent appraisal of the facility's value or the reasons why it was deemed not worth performing one; 2. analysis of any agreed-upon calculation methodology used to determine the values for the land and capital improvements; 3. evaluation of any environmental remediation being claimed for reasonableness, if there is an offsetting effect on the residual value received; and 4. description of specific documents that should be maintained supporting residual value settlements and how long these documents should be maintained. 	6 years 10 months 27 days

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*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2012-117, "DoD Needs to Improve Controls Over Economy Act Orders with U.S. Agency for International Development," August 14, 2012**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics revise the DoD Federal Acquisition Regulation Supplement to require that DoD agencies use either a reimbursement process or a direct cite when establishing economy act orders with non DoD agencies.	6 years 7 months 17 days
Rec. A.2.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics revise the DoD Federal Acquisition Regulation Supplement to include procedures on how to properly monitor interagency acquisitions. At a minimum, these procedures should include collection and maintenance of project documentation such as contracts, task orders, statements of work, modifications, accounting data, payment vouchers, invoices, relevant correspondence, and contract completion and closeout paperwork.	6 years 7 months 17 days

Report No. DODIG-2013-031, "Audit of the F-35 Lightning II Autonomic Logistics Information Systems (ALIS)," December 10, 2012 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A: Recommendation is Classified.*	6 years 3 months 21 days
Rec. C.1: Recommendation is Classified.*	6 years 3 months 21 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2013-050, "Recovering Organizational Clothing and Individual Equipment from Civilians and Contractor Employees Remains a Challenge," February 22, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a-c: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics implement corrective actions to address all recommendations, with the exception of Recommendation 1.b, in DoD Office of Inspector General Report No. D-2010-069, "Central Issue Facilities at Fort Benning and Related Activities," June 21, 2010. Specifically:</p> <ol style="list-style-type: none"> identify civilians and contractor employees who returned from deployment but did not return organizational clothing and individual equipment; obtain unreturned organizational clothing and individual equipment or reimbursement from civilians and contractor employees; and require DoD Components to include proper language in new contracts and modify existing contracts to hold contracting companies liable for unreturned organizational clothing and individual equipment. 	6 years 1 month 9 days

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June 28, 2013**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, publish and implement cemetery management training guidance focusing on industry standards and best practices.	5 years 9 months 3 days
Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, provide guidance for military cemetery operations and administration. The guidance should take into consideration Service components' lessons learned on installation cemetery operations and administration.	5 years 9 months 3 days

Report No. DODIG-2014-049, "DoD Considered Small Business Innovation Research Intellectual Property Protections in Phase III Contracts, but Program Improvements Are Needed," March 27, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director address inconsistencies between the Defense Federal Acquisition Regulation Supplement 252.227-7018 and the Small Business Administration Small Business Innovation Research Policy Directive regarding intellectual property protections, specifically on when the Small Business Innovation Research data protection period begins, when it can be extended, and whether the protection period can be revived after expiration.	5 years 4 days

Report No. DODIG-2014-121, "Military Housing Inspections - Japan," September 30, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. D.2.a-b: The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics include guidance for both accompanied and unaccompanied housing within the Overseas Environmental Baseline Guidance Document for: <ul style="list-style-type: none"> a. control and remediation of mold; and b. radon evaluation and mitigation. 	4 years 6 months 1 day

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2015-013, "Military Housing Inspections - Republic of Korea," October 28, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. D.2.a-b: The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics include guidance for both accompanied and unaccompanied housing within the Overseas Environmental Baseline Guidance Document for:</p> <ul style="list-style-type: none"> a. control and remediation of mold; and b. radon evaluation and mitigation. 	4 years 5 months 3 days

Report No. DODIG-2015-031, "The Services Need To Improve Accuracy When Initially Assigning Demilitarization Codes," November 7, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Assistant Secretary of Defense for Logistics and Materiel Readiness revise DoD Manual 4160.28, volume 1, to detail the roles and responsibilities of the Services' inventory control point demilitarization administrators that include minimum qualifications and training requirements.</p>	4 years 4 months 24 days
<p>Rec. 6: The DoD OIG recommended that the Assistant Secretary of Defense for Logistics and Materiel Readiness require the Services to establish controls for personnel to assign accurate demilitarization codes and hold personnel accountable for not reviewing and assigning accurate demilitarization codes.</p>	4 years 4 months 24 days

Report No. DODIG-2015-062, "DoD Needs Dam Safety Inspection Policy To Enable the Services To Detect Conditions That Could Lead to Dam Failure," December 31, 2014 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics establish DoD dam safety inspection policy that is in accordance with the Federal Guidelines for Dam Safety, which define inspection frequency, scope, and inspector qualifications and outline the need to develop and maintain inspection support documentation.</p>	4 years 3 months

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2015-103, "Summary of DoD Office of Inspector General Spare-Parts Pricing Audits: Additional Guidance is Needed," March 31, 2015 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Defense Pricing Director, in conjunction with the Defense Procurement and Acquisition Policy Director, require the Military Services and Defense Agencies to provide plans on how they intend to verify the consistent implementation of pricing policies, guidance, and training issued by the Office of the Secretary of Defense.	4 years

Report No. DODIG-2015-150, "Theater Blood Application Was Not Effectively Developed and Implemented," July 17, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Defense Healthcare Management Systems Program Executive Officer coordinate with the Defense Health Agency and ensure that the policies and procedures to manage future requirements for medical information systems are documented, reviewed, and updated as necessary.	3 years 8 months 14 days
Rec. 2: The DoD OIG recommended that the Defense Healthcare Management Systems Program Executive Officer coordinate with the Defense Health Agency and the Military Services to develop an acquisition strategy that includes a long-term sustainment strategy to provide blood-tracking capability in theater and not invest any additional money in the continued development of the Theater Blood Application until they can determine and agree on the application's sustainability.	3 years 8 months 14 days
Rec. 3.a: The DoD OIG recommended that the Defense Healthcare Management Systems Program Executive Officer coordinate with the Military Departments to develop policies and procedures for the Theater Blood Application training requirements.	3 years 8 months 14 days
Rec. 3.b: The DoD OIG recommended that the Defense Healthcare Management Systems Program Executive Officer coordinate with the Military Departments to establish and implement a training program to ensure that users receive initial training prior to deployment, followed by refresher training.	3 years 8 months 14 days
Rec. 4: The DoD OIG recommended that the Defense Healthcare Management Systems Program Executive Officer develop policies and procedures and implement a process to ensure users are granted access to the Theater Blood Application before deployment to the theater.	3 years 8 months 14 days

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

**Report No. DODIG-2015-181, "Continental United States Military Housing Inspections-Southeast,"
September 24, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. J: The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations and Environment (ASD[EI&E]) address the inconsistencies between the applicability of Unified Facilities Criteria (UFC) 3-600-01 and the position taken by ASD(EI&E) regarding fire protection requirements for privatized military housing and initiate appropriate changes to the UFC or other applicable policy and guidance.</p>	<p>3 years 6 months 7 days</p>

**Report No. DODIG-2016-002, "DoD Needs a Comprehensive Approach to Address Workplace Violence,"
October 15, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 3: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director for the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, direct the Defense Acquisition Regulations Council to revise the Defense Federal Acquisition Regulation Supplement to address interim and final contractor requirements for the prevention of workplace violence.</p>	<p>3 years 5 months 16 days</p>

**Report No. DODIG-2016-094, "DoD Healthcare Management System Modernization Program," May 31, 2016
(Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Program Executive Officer for Defense Healthcare Management Systems perform a schedule analysis to determine whether the December 2016 initial operational capability deadline is achievable.</p>	<p>2 years 10 months</p>
<p>Rec. 1.b: The DoD OIG recommended that the Program Executive Officer for Defense Healthcare Management Systems continue to monitor the DoD Healthcare Management System Modernization program risks and report to Congress quarterly on the progress of the program.</p>	<p>2 years 10 months</p>

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

**Report No. DODIG-2016-125, "Evaluation of the DoD Nuclear Enterprise Governance," September 19, 2016
(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics include the oversight of delivery platform acquisition and sustainment in an existing, senior-level organizational body.	2 years 6 months 12 days
Rec. B.2: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics collaborate with the Assistant Secretary of Defense for Special Operations and Low Intensity Conflict on policy, committees, and exercises of the response to nuclear weapons accidents and incidents.	2 years 6 months 12 days
Rec. C.2: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics document actions taken on recommendations or advice from the Defense Science Board Permanent Task Force on Nuclear Weapon Surety.	2 years 6 months 12 days
Rec. C.3: The DoD OIG recommended that the Under Secretaries of Defense and the Chairman of the Joint Chiefs of Staff document and track nuclear enterprise deficiencies or recommendations identified in Federal Advisory Committee reports, Government Accountability reports, Department of Defense Office of Inspector General reports, or reports produced by other task forces.	2 years 6 months 12 days

Report No. DODIG-2017-002, "Consolidation Needed for Procurements of DoD H-60 Helicopter Spare Parts," October 12, 2016 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics perform a cost-benefit analysis to determine whether the procurement responsibility for all H-60 spare parts, including those procured under performance-based logistics and contractor logistics support contracts, should be transferred to the Defense Logistics Agency as originally required by Base Realignment and Closure Act 2005 Recommendation 176.	2 years 5 months 19 days
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review and update the DoD's policy, specifically DoD Manual 4140.26, volume 2, enclosure 2, section 2.d, based on decisions made in response to Recommendation 1.a regarding the procurement of depot-level reparable and consumable spare parts to include those procured under performance-based logistics and contractor logistics support contracts.	2 years 5 months 19 days
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics notify Congress if the DoD determines it will not transfer the procurement responsibility to the Defense Logistics Agency.	2 years 5 months 19 days

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*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2017-004, "Summary Report-Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts," October 14, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.2: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics establish a joint-Service working group that meets periodically to identify improvements in facility inspection and maintenance programs. The working group should, as a minimum, use the results from the independent inspections recommended in Recommendation A.1 and the results of the root cause analyses recommended in the previous DoD Office of Inspector General inspection reports to create and implement a plan for improvements in inspection and maintenance programs across the DoD.</p>	2 years 5 months 17 days
<p>Rec. B.1.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop standard procedures or templates for each service that can be performed under Base Operations and Support Services contracts (for example, facility maintenance and life support functions) in contingency environments to assist the DoD in the development and oversight of those contracts, identify minimum requirements to include in the performance work statement and minimum standards to measure those requirements in the quality assurance surveillance plans, and identify applicable Federal Acquisition Regulation clauses and DoD regulations that should be included in the contract.</p>	2 years 5 months 17 days
<p>Rec. B.1.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop standard procedures or templates for each service that can be performed under Base Operations and Support Services contracts (for example, facility maintenance and life support functions) in contingency environments to assist the DoD in the development and oversight of those contracts and identify minimum training that must be completed by personnel before overseeing Base Operations and Support Services contracts.</p>	2 years 5 months 17 days
<p>Rec. B.1.c: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop standard procedures or templates for each service that can be performed under Base Operations and Support Services contracts (for example, facility maintenance and life support functions) in contingency environments to assist the DoD in the development and oversight of those contracts, and develop minimum requirements for a comprehensive risk assessment for each potential service performed under Base Operations and Support Services contracts that includes risk levels, timeframes for addressing each risk, and a mechanism to recover funds for services not completed.</p>	2 years 5 months 17 days
<p>Rec. C: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, establish a permanent policy for the sustainment of facilities, including standardized facility inspections. This policy should incorporate the requirements in the September 10, 2013, "Standardizing Facility Condition Assessments," and in the April 29, 2014, "Facility Sustainment and Recapitalization Policy," memorandums.</p>	2 years 5 months 17 days

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*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2017-090, "The Army Needs to Improve Controls Over Chemical Surety Materials," June 7, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Chemical and Biological Defense revise DoD Instruction 5210.65 to define acceptable inventory practices and to provide guidance on appropriate segregation of duties.	1 year 9 months 24 days

Report No. DODIG-2017-092, "Audit of the Defense Contract Audit Agency Field Detachment," June 14, 2017 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office, conduct a risk assessment on all missing Defense Contract Audit Agency security incident information.	1 year 9 months 17 days
Rec. A.1.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office, upon completion of Recommendation A.1.a, work with the Defense Contract Audit Agency Security Officer to prioritize security vulnerabilities for remediation and establish timelines for completion.	1 year 9 months 17 days

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.3: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics revise DoD Manual 3150.08, "Nuclear Weapon Accident Response Procedures," August 22, 2013, to align Custodial Explosive Ordnance Disposal guidance with President Directives.	1 year 8 months 3 days
Rec. C: The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Defense Threat Reduction Agency Director and the Under Secretary of Defense for Acquisition and Sustainment, comply with Presidential Policy Directive-35 and develop and field secure communications between Custodial Explosive Ordnance Disposal units and National responders.	1 year 8 months 3 days

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*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2017-121, "U.S. Africa Command's Management of Acquisition and Cross-Servicing Agreements," September 21, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003.	1 year 6 months 10 days
Rec. 1.a.1: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003. The updated guidance should clearly define Office of the Secretary of Defense, Military Department, Defense Agency, Joint Staff, and Combatant Command oversight responsibilities.	1 year 6 months 10 days
Rec. 1.a.2: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003. The updated guidance should require the use of a DoD system of record for maintaining all Acquisition and Cross-Servicing Agreement transactions and supporting documentation.	1 year 6 months 10 days
Rec. 1.a.3: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003. The updated guidance should clearly define roles and responsibilities of Acquisition and Cross-Servicing Agreement order and billing officials (financial management staff), including appointment requirements.	1 year 6 months 10 days
Rec. 1.a.4: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003. The updated guidance should clarify requirements for an implementing arrangement and what is acceptable for establishing parameters under Acquisition and Cross-Servicing Agreement authorities.	1 year 6 months 10 days
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop a training program or training program requirements for the implementation of the Acquisition and Cross-Servicing Agreement program and execution of Acquisition and Cross-Servicing Agreement authorities.	1 year 6 months 10 days

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*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2018-050, "Naval Facilities Engineering Command Administration of Selected Energy Savings Performance Contracts," December 19, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.b: The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment coordinate with the Defense Procurement and Acquisition Policy Director to:</p> <ol style="list-style-type: none"> 1. require oversight of the energy savings performance contracts by the development of quality assurance surveillance plans tailored to the specific energy conservation measures in the energy savings performance contracts; and 2. monitor energy savings performance contract programs to ensure consistent award and administration throughout the DoD. 	1 year 3 months 12 days

Report No. DODIG-2018-063, "Navy and Marine Corps Management of Relocatable Buildings," January 29, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1: The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment update Department of Defense Instruction 4165.56 to include details and illustrated examples on how to properly classify relocatable buildings based on the definition and interim facility requirement.</p>	1 year 2 months 2 days

Report No. DODIG-2018-069, "Navy's Single-Award Indefinite-Delivery Indefinite-Quantity (IDIQ) Contracts," February 1, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the Director of Defense Procurement and Acquisition Policy conduct a review of the use of the determination and findings document to determine if there is a continued need for the Military Services to submit determination and findings documents; revise the reporting requirements accordingly; and communicate the reporting requirements to the Military Services.</p>	1 year 1 month 30 days
<p>Rec. 2.b: The DoD OIG recommended that the Director of Defense Procurement and Acquisition Policy implement procedures to verify that the Military Services are submitting all approved determination and findings documents.</p>	1 year 1 month 30 days

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*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2018-070, "Summary Report of DoD Compliance With the Berry Amendment and the Buy American Act," February 6, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the Director of Defense Pricing/Defense Procurement and Acquisition Policy re-emphasize guidance to DoD contracting personnel on the requirement to incorporate and enforce the Berry Amendment provisions and clauses in applicable solicitations and contracts.	1 year 1 month 25 days
Rec. A.1.b: The DoD OIG recommended that the Director of Defense Pricing/Defense Procurement and Acquisition Policy re-emphasize guidance to DoD contracting personnel on Defense Federal Acquisition Regulation Supplement requirements regarding exceptions to the Berry Amendment.	1 year 1 month 25 days
Rec. A.1.c: The DoD OIG recommended that the Director of Defense Pricing/Defense Procurement and Acquisition Policy re-emphasize guidance to DoD contracting personnel on the requirements of the Berry Amendment, such as inclusion of clause, posting award notices, and exception notices into the electronic contract writing systems used by the Air Force and the Defense Logistics Agency.	1 year 1 month 25 days
Rec. B.1.a: The DoD OIG recommended that the Director of Defense Pricing/Defense Procurement and Acquisition Policy re-emphasize policy guidance to DoD contracting personnel on the requirement to incorporate and enforce the Buy American Act provisions and clauses in applicable solicitations and contracts.	1 year 1 month 25 days
Rec. B.1.b: The DoD OIG recommended that the Director of Defense Pricing/Defense Procurement and Acquisition Policy re-emphasize policy guidance to DoD contracting personnel on the requirements of the Buy American Act, such as inclusion of clauses into the electronic contract writing systems used by the Air Force and the Defense Logistics Agency.	1 year 1 month 25 days
Rec. B.1.c: The DoD OIG recommended that the Director of Defense Pricing/Defense Procurement and Acquisition Policy re-emphasize policy guidance to DoD contracting personnel on Defense Federal Acquisition Regulation Supplement requirements regarding exceptions to the Buy American Act.	1 year 1 month 25 days

Report No. DODIG-2018-089, "Contracting Strategy for F-22 Modernization," March 21, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics review the DoD Instruction 5000.02 and relevant acquisition guidance and revise, as necessary, to allow for the implementation of agile software development methods on programs that include both hardware and software.	1 year 10 days
Rec. 1.b: The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics compile lessons learned from DoD programs implementing agile software development methods to share with other DoD programs.	1 year 10 days

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*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2018-092, "DoD Emergency Management Programs in the U.S. Africa Command,"
March 28, 2018 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 7.a: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Environment, Safety, and Occupation Health update DoD Instruction 6055.17 to require DoD Components to complete risk assessments at all locations worldwide to determine whether locations require an emergency management program and report the results of the assessments to the responsible combatant command. The update should include instructions for determining which DoD Components are responsible for completing the risk assessment and determining whether a location requires an emergency management program.	1 year 3 days
Rec. 7.b: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Environment, Safety, and Occupation Health issue interim guidance until the Instruction is updated that requires DoD Components to evaluate all locations worldwide to determine the need for an emergency management program.	1 year 3 days
Rec. 7.c: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Environment, Safety, and Occupation Health develop an assessment process to ensure that DoD Components are effectively and consistently applying and integrating the DoD Emergency Management Program.	1 year 3 days

Report No. DODIG-2018-101, "DoD Reporting of Charge Card Misuse to OMB," April 3, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director develop quality assurance procedures to evaluate whether the purchase card information they receive from the Military Services and Defense agencies is accurate and complete.	11 months 28 days
Rec. A.1.b: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director provide the DoD OIG with supporting documentation and detailed transactions for the third quarter FY 2018 Statistical Report and the second half FY 2018 Semiannual Report.	11 months 28 days
Rec. A.1.c: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director conduct monthly statistically valid samples of reviewed transactions to determine whether accurate conclusions were made on the validity of the transactions and its compliance with applicable criteria.	11 months 28 days
Rec. A.1.d: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director conduct an analysis of the benefits and the costs, historic and future, for operating the Purchase Card On-Line System, to include any necessary system changes.	11 months 28 days

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Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2018-101 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.e: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director, after obtaining total system costs, complete the full evaluation of the costs and benefits of the Purchase Card On-Line System, as compared to systems provided by the purchase card issuing banks, or other tools that would be more cost effective, and provide the results of the cost benefit analysis to the DoD OIG.	11 months 28 days
Rec. A.1.f: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director conduct a review to determine which data mining tests should be included in the selected data mining system to improve the identification of misuse.	11 months 28 days
Rec. A.1.g: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director, after completing the data call of unreviewed transactions, provide the DoD OIG with the results of the data call, including a determination of the number of misuse transactions identified, lessons learned, and corrective actions to guidance or system changes, as necessary.	11 months 28 days
Rec. A.1.h: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director determine if transactions that were flagged for review by the Purchase Card On-Line System, but were not reviewed, should be purged from the Purchase Card On-Line System at 18 months.	11 months 28 days

Report No. DODIG-2018-119, “DoD Oversight of Logistics Civil Augmentation Program in Afghanistan Invoice Review and Payment,” May 11, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director issue clarifying guidance establishing the contract administration office’s responsibilities for monitoring contractor billings as a DoD best practice and update the Contingency Contracting Officer’s Representative Handbook accordingly.	10 months 20 days

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Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2018-122, "U.S. Strategic Command Facility Construction Project," May 31, 2018
(Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Office of the Assistant Secretary of Defense Energy, Installations, and Environment develop guidance requiring DoD organizations involved with a military construction project to draft a charter early in the project life cycle, focusing on communications and accountability by including at least the following in the project management plan:</p> <ol style="list-style-type: none"> 1. establishment of a Program Management Office for each project, where applicable; 2. establishment of performance goals; 3. identification of roles and responsibilities for key segments of construction including, but not limited to, budgetary submissions, planning, and execution; and 4. establishment of a formal approval process for change orders. 	10 months
<p>Rec. 1.b: The DoD OIG recommended that the Office of the Assistant Secretary of Defense Energy, Installations, and Environment develop guidance establishing metrics that include financial risk management parameters and triggers including, but not limited to, threshold changes to scope, cost, or timeline; emerging issues; dispute resolution; and statutory reporting requirements when higher headquarters engagement is required.</p>	10 months

Report No. DODIG-2018-125, "The Fort Bliss Hospital Replacement Military Construction Project," June 6, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a.i: The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment develop guidance to establish, in writing, the title 10, section 2851, United States Code reporting process.</p>	9 months 25 days
<p>Rec. 1.a.ii: The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment develop guidance to define the roles and responsibilities for personnel involved in the section 2851 reporting process, such as the Office of the Assistant Secretary of Defense for Energy, Installations, and Environment, the resource sponsor, and the design and construction agent.</p>	9 months 25 days
<p>Rec. 1.b.i: The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment issue guidance to identify the roles, responsibilities, and deciding officials for key segments of a facility construction project, including but not limited to, project development, budgetary submissions, design reviews, planning, construction management, and assessment of contractor performance.</p>	9 months 25 days

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Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2018-125 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b.ii: The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment issue guidance to establish metrics that include financial risk management parameters and triggers, including, but not limited to, threshold changes to scope, cost, or timeline; emerging issues; dispute resolution; and statutory reporting requirements when higher headquarters engagement is required.	9 months 25 days

Report No. DODIG-2018-144, “Evaluation of Intelligence Support to Protect U.S. Nuclear Weapons in Europe,” August 10, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. D.1: The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense (Nuclear Matters) revise DoD Directive 5210.41 to establish requirements for the Defense Intelligence Agency to produce a Secret version of the “Nuclear Security Threat Capabilities Assessment,” that is releasable to North Atlantic Treaty Organization partners.	7 months 21 days

Report No. DODIG-2019-029, “DoD Task Orders Issued Under One Acquisition Solution for Integrated Services Contracts,” November 27, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Defense Pricing and Contracting Director develop policy for the DoD task orders issued under the One Acquisition Solution for Integrated Services contracts, and other applicable indefinite-delivery, indefinite-quantity service contracts, that requires contracting officers to develop verification procedures within the quality assurance surveillance plan to determine whether employees meet the labor categories specified in the task orders.	4 months 4 days
Rec. 1.b: The DoD OIG recommended that the Defense Pricing and Contracting Director develop policy for the DoD task orders issued under the One Acquisition Solution for Integrated Services contracts, and other applicable indefinite-delivery, indefinite-quantity service contracts, that requires contracting officers to specify in the request for proposals that education and years of work experience should be relevant to the labor category but that contractors may deviate from relevant education and years of work experience as long as the contractor clearly identifies the deviation in the proposal.	4 months 4 days
Rec. 1.c: The DoD OIG recommended that the Defense Pricing and Contracting Director develop policy for the DoD task orders issued under the One Acquisition Solution for Integrated Services contracts, and other applicable indefinite-delivery, indefinite-quantity service contracts, that requires contracting officers to identify any proposed deviations from the relevant education and year of work experience qualifications in the contract files, considering any potential performance and price impacts on the agency’s requirements.	4 months 4 days

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Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2019-029 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.d: The DoD OIG recommended that the Defense Pricing and Contracting Director develop policy for the DoD task orders issued under the One Acquisition Solution for Integrated Services contracts, and other applicable indefinite-delivery, indefinite-quantity service contracts, that requires contracting officers to document the reasons for accepting any proposed changes to the contract requirements.</p>	4 months 4 days

Report No. DODIG-2019-056, “Accounting and Financial Reporting for the Military Housing Privatization Initiative,” February 12, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1.a: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue policy requiring the maximum loan amount on promissory notes to match the corresponding loan agreements and promissory notes to contain complete histories of all amendments to the notes.</p>	1 month 19 days
<p>Rec. B.1.b: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment coordinate with the DoD Deputy Comptroller for Program/Budget and Military Department personnel to issue policies requiring the identification of deobligation opportunities, such as when the maximum loan amount is reduced or no longer available, and develop procedures for working with DoD Deputy Comptroller for Program/Budget personnel to deobligate funds when the opportunities arise.</p>	1 month 19 days
<p>Rec. B.1.c.1: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue a policy requiring Military Department personnel to calculate changes in subsidy cost for all Government Direct Loans and Government Loan Guarantees before agreeing to any loan term changes.</p>	1 month 19 days
<p>Rec. B.1.c.2: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue a policy requiring Military Department personnel to submit the calculations to the Assistant Secretary of Defense for Sustainment for review and to the Office of Management and Budget for approval before agreeing to any loan term changes.</p>	1 month 19 days
<p>Rec. B.1.c.3: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment issue a policy requiring Military Department personnel to ensure that the approved amount of funding is in the DoD Family Housing Improvement Fund before agreeing to any loan term changes.</p>	1 month 19 days
<p>Rec. B.1.d: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment develop and implement controls to ensure that the most recent Office of Management and Budget-approved loan amounts for Government Direct Loans and Government Loan Guarantees reconcile to the annual reestimate calculations.</p>	1 month 19 days

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Under Secretary of Defense for Acquisition and Sustainment – Report No. DODIG-2019-056 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1.e: The DoD OIG recommended that the Assistant Secretary of Defense for Sustainment coordinate with the enterprise Military Housing Program Management Office to ensure the development and implementation of detailed procedures for Military Department personnel to input privatized housing records into the enterprise Military Housing system, which would allow all Military Departments to comply with the “Enterprise Military Housing Information Management System” memorandum, dated April 16, 2014.</p>	1 month 19 days

Report No. DODIG-2019-060, “Review of Parts Purchased From TransDigm Group, Inc.,” February 25, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 4.a: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director examine the United States Code, Federal Acquisition Regulation, Defense Federal Acquisition Regulation Supplement, and the Defense Federal Acquisition Regulation Supplement Procedures, Guidance, and Information, to determine changes needed in the acquisition process of parts produced or provided from a sole-source to ensure that contracting officers obtain uncertified cost data when requested and that the DoD receives full and fair value in return for its expenditures.</p>	1 month 6 days
<p>Rec. 4.d: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director incorporate the requirements from the revised memorandum into the Defense Federal Acquisition Regulation Supplement and the Defense Federal Acquisition Regulation Supplement Procedures, Guidance, and Information.</p>	1 month 6 days
<p>Rec. 4.e: The DoD OIG recommended that the Defense Pricing and Contracting Principal Director establish a team of functional experts to analyze data reported as a result of the revised and updated memorandum. The team of functional experts would:</p> <ol style="list-style-type: none"> 1. assess parts and contractors deemed to be at high risk for unreasonable pricing and identify trends; and 2. perform price analysis and cost analysis of high risk parts to identify lower cost alternatives or fair and reasonable pricing for future procurements. 	1 month 6 days

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2019-062, "Audit of Management of Government-Owned Property Supporting the F-35 Program," March 13, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment review the accounting and management actions of the F-35 Program Office for F-35 Program Government property.</p>	18 days
<p>Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition and Sustainment take appropriate action, if warranted, to hold the necessary officials accountable.</p>	18 days

Under Secretary of Defense for Research and Engineering

Report No. DODIG-2014-049, "DoD Considered Small Business Innovation Research Intellectual Property Protections in Phase III Contracts, but Program Improvements Are Needed," March 27, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Administrator for the DoD Office of Small Business Programs, Small Business Innovation Research Office, issue single, overarching guidance and related training for all DoD organizations to follow that will provide for the uniform application of intellectual property protections across DoD. Guidance and training should include:</p> <ol style="list-style-type: none"> 1. standard intellectual property protections within the Small Business Innovation Research Program, including the use and application of the data assertions table; and 2. when the protection period begins and when it can be extended. 	5 years 4 days
<p>Rec. 1.b: The DoD OIG recommended that the Administrator for the DoD Office of Small Business Programs, Small Business Innovation Research Office, issue clarifying guidance to address the requirement for organizations to provide the Small Business Administration a complete and timely notification detailing why a proposed Small Business Innovation Research Phase III contract could not be awarded to the developer. The clarifying guidance should provide a single DoD interpretation of the requirement and address reporting requirements outlined in the Small Business Administration Small Business Innovation Research Policy Directive.</p>	5 years 4 days

APPENDIX A

Under Secretary of Defense for Policy

Report No. DODIG-2015-001, "Assessment of the Department of Defense Prisoner of War/Missing in Action Accounting Community," October 17, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 4: The DoD OIG recommended that the Under Secretary of Defense for Policy task the Director of the new Defense agency to establish standard operating procedures for accounting community organizations where they do not exist and review and revise as needed all existing standard operating procedures.</p>	<p>4 years 5 months 14 days</p>

Report No. DODIG-2015-070, "Evaluation of Alternative Compensatory Control Measures Program," January 28, 2015 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>(FOUO) Rec. A: The DoD OIG recommended that the [REDACTED]</p>	<p>4 years 2 months 3 days</p>
<p>(FOUO) Rec. B: The DoD OIG recommended that the [REDACTED]</p>	<p>4 years 2 months 3 days</p>
<p>(FOUO) Rec. C: The DoD OIG recommended that the [REDACTED]</p>	<p>4 years 2 months 3 days</p>
<p>(FOUO) Rec. D: The DoD OIG recommended that the [REDACTED]</p>	<p>4 years 2 months 3 days</p>
<p>(FOUO) Rec. E: The DoD OIG recommended that th [REDACTED]</p>	<p>4 years 2 months 3 days</p>

(FOUO)

APPENDIX A

Under Secretary of Defense for Policy (cont'd)

Report No. DODIG-2016-081, "Evaluation of U.S. Intelligence and Information Sharing with Coalition Partners in Support of Operation Inherent Resolve," April 25, 2016 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. A.1: The DoD OIG recommended that the [REDACTED]	2 years 11 months 6 days
(FOUO)	

Report No. DODIG-2016-098, "Evaluation of Foreign Officer Involvement at the United States Special Operations Command," June 15, 2016 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Under Secretary of Defense for Policy update DoD Directive 5230.20, "Visits and Assignments of Foreign Nationals," June 22, 2005, to include the establishment of criteria for granting exceptions to policy and clarification of guidance on the use of extended visit requests.*	2 years 9 months 16 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2017-099, "Evaluation of Department of Defense Efforts to Build Counterterrorism and Stability Operations Capacity of Foreign Military Forces with Section 1206/2282 Funding," July 21, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1.a: The DoD OIG recommended that the Under Secretary of Defense for Policy conduct Department of Defense activities authorized under 10 U.S.C. § 2282 in accordance with Office of Management and Budget Circular No. A-11 and all applicable Department of Defense and other United States Government statutes, directives, and guidance for Department of Defense programs by designating a lead manager and management office with the responsibility to coordinate, synchronize, and integrate relevant activities, with sufficient operating authority over Department of Defense implementing components, to ensure effective management control in program execution.	1 year 8 months 10 days

APPENDIX A

Under Secretary of Defense for Policy – Report No. DODIG-2017-099 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1.b: The DoD OIG recommended that the Under Secretary of Defense for Policy conduct Department of Defense activities authorized under 10 U.S.C. § 2282 in accordance with Office of Management and Budget Circular No. A-11 and all applicable Department of Defense and other United States Government statutes, directives, and guidance for Department of Defense programs by ensuring that the designated program management office has sufficient professional staff with the necessary expertise and appropriate resources to effect timely procurement and delivery of appropriate equipment components, training, and other services necessary for enabling partner nations to reach the intended full operational capability.</p>	1 year 8 months 10 days
<p>Rec. B.1.c: The DoD OIG recommended that the Under Secretary of Defense for Policy conduct Department of Defense activities authorized under 10 U.S.C. § 2282 in accordance with Office of Management and Budget Circular No. A-11 and all applicable Department of Defense and other United States Government statutes, directives, and guidance for Department of Defense programs by issuing updated instructions to support effective program implementation, execution, and management oversight.</p>	1 year 8 months 10 days
<p>Rec. D.1: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict establish a rigorous, transparent methodology for prioritizing and selecting projects that meets the intent of 10 U.S.C. § 2282, using clearly defined criteria, such as a comparison of respective costs, benefits, and risks, to effectively justify selections to stakeholders, especially Congress. Consider adapting elements of the methodology of the United States Special Operations Command for selecting and documenting project decisions.</p>	1 year 8 months 10 days

Report No. DODIG-2018-018, “Implementation of the DoD Leahy Law Regarding Allegations of Child Sexual Abuse by Members of the Afghan National Defense and Security Forces,” November 16, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1: The DoD OIG recommended that the Secretary of Defense designate an Office of Primary Responsibility to develop and implement detailed procedures on gross violation of human rights reporting within the DoD.</p>	1 year 4 months 15 days
<p>Rec. A.2: The DoD OIG recommended that the United States Forces-Afghanistan Commander establish more detailed procedures for DoD-affiliated personnel in Afghanistan to report allegations of child sexual abuse committed by Afghan National Defense and Security Forces personnel, and other human rights violations, including procedures to verify that the United States Forces-Afghanistan Staff Judge Advocate receives such reports.</p>	1 year 4 months 15 days
<p>Rec. B.1: The DoD OIG recommended that the Secretary of Defense define “credible information” as it applies to gross violation of human rights determinations and the DoD Leahy Law.</p>	1 year 4 months 15 days

APPENDIX A

Under Secretary of Defense for Policy – Report No. DODIG-2018-018 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.2: The DoD OIG recommended that the Secretary of Defense establish the specific process by which DoD Leahy Law credible information determinations are made.	1 year 4 months 15 days
Rec. B.3: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia establish and implement a records management policy for all alleged gross violations of human rights in Afghanistan. Specifically, this policy should require that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia maintain documentation sufficient to identify how and why credible information determinations were made and to clearly identify what credibility determinations were made in each case.	1 year 4 months 15 days
Rec. C: The DoD OIG recommended that the Secretary of Defense develop procedures for application of the DoD Leahy Law, including requiring time frames for reaching credible information decisions.	1 year 4 months 15 days
Rec. D.1: The DoD OIG recommended that the Secretary of Defense issue guidance outlining the requirements for creating and maintaining an official system to track gross violation of human rights information, which could include allegations of child sexual abuse by Afghan National Defense and Security Force personnel in Afghanistan.	1 year 4 months 15 days
Rec. D.2: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia review the United States Central Command and United States Special Operations Command historical records to determine whether allegations of child sexual abuse by Afghan National Defense Security Forces personnel are gross violations of human rights that require further review by United States Forces-Afghanistan or the Gross Violation of Human Rights Forum. Subsequently, if those allegations have credible information, determine what actions should be taken to comply with the DoD Leahy Law.	1 year 4 months 15 days

Report No. DODIG-2018-061, “Report of Investigation: Defense POW/MIA Accounting Agency Interactions with Family Members of Corporal Joseph Hargrove, U.S. Marine Corps,” January 22, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency fully implement a case management system by January 2019.	1 year 2 months 9 days
Rec. 2: The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency ensure all personnel working on cases have access to all relevant information and reports.	1 year 2 months 9 days
Rec. 3: The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency implement a process to require employees to coordinate and share case information throughout the organization.	1 year 2 months 9 days

APPENDIX A

Under Secretary of Defense for Policy – Report No. DODIG-2018-061 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 8: The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency develop a policy for medical and dental records, which includes guidance for when to obtain those records for each unaccounted-for Service member or document the unavailability of those records.	1 year 2 months 9 days

Report No. DODIG-2018-090, “Summary Report on U.S. Direct Funding Provided to Afghanistan,” March 21, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Under Secretary of Defense for Policy evaluate whether using Bilateral Financial Commitment Letters is the most effective method to manage and oversee the administering and expending of U.S. direct funding to the Ministry of Defense and the Ministry of Interior and, if not, identify a more effective method. The most effective method should identify more realistic and achievable terms and conditions for the Ministry of Defense and the Ministry of Interior to accomplish and show incremental improvement and develop a formal documented process for assessing penalties against the Ministry of Defense and the Ministry of Interior for continued commitment letter violations.	1 year 10 days

Report No. DODIG-2018-097, “U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans,” March 30, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3: Recommendation is Classified.	1 year 1 day

Report No. DODIG-2018-138, “DoD’s Organizational Changes to the Past Conflict Personnel Accounting Community,” July 18, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency Director clearly and fully define the agency’s long-term goals and mission end-state.	8 months 13 days
Rec. 6: The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency Director develop a new strategic plan based on an updated organizational mission, including comprehensive and standardized goals, metrics, and milestones to measure and assess agency performance.	8 months 13 days
Rec. 7: The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency Director, after the agency’s mission is clearly defined, review funding allocations to optimize the allotment of funds to accomplish the updated mission and corresponding goals.	8 months 13 days

APPENDIX A

Under Secretary of Defense for Policy (cont'd)

Report No. DODIG-2019-055, "Evaluation of Integrated Joint Special Technical Operations," February 11, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.a: Recommendation is Classified.	1 month 20 days
Rec. 3.b: Recommendation is Classified.	1 month 20 days
Rec. 3.c: Recommendation is Classified.	1 month 20 days

Under Secretary of Defense (Comptroller)

Report No. D-2009-062, "Internal Controls Over DoD Cash and Other Monetary Assets," March 25, 2009

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, charge a proper appropriation or coordinate with the Office of Management and Budget and the U.S. Treasury to:</p> <ol style="list-style-type: none"> 1. obtain a waiver to hold a certain amount of cash without charging an appropriation, or 2. establish a new U.S. Treasury account symbol to charge when cash is obtained from the U.S. Treasury. 	10 years 6 days
<p>Rec. A.1.b: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise DoD Financial Management Regulation 7000.14, volume 5, to reflect the implementation of Recommendation A.1.a.(1)-(2).</p>	10 years 6 days

Report No. DODIG-2013-070, "Defense Agencies Initiative Did Not Contain Some Required Data Needed to Produce Reliable Financial Statements," April 19, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise the guidance contained in the DoD Financial Management Regulation, volume 6B, chapter 5, paragraph 050301.B. to require costs of program reported in the Statement of Net Cost to be accounted for by program costs and not by appropriation, enabling the use of the Program Indicator Code attribute.</p>	5 years 11 months 12 days

APPENDIX A

*Under Secretary of Defense (Comptroller) (cont'd)***Report No. DODIG-2014-066, "Logistics Modernization Program System Not Configured to Support Statement of Budgetary Resources," May 5, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, develop procedures for distributing Defense Working Capital Fund budget authority to the budget offices for recording in the Enterprise Resource Planning systems that support the Defense Working Capital Fund. The procedures should designate each Defense Working Capital Fund budget office as the funds-control official for recording all budgetary related business events and require that the Revolving Fund Directorate provide budget offices documentation supporting the anticipated annual budget authority amounts determined based on enactment of the annual Defense Appropriation Act or as provided by other legislation and prior to submission of the Defense Working Capital Fund Apportionment and Reapportionment Schedule to the Office of Management and Budget.</p>	<p>4 years 10 months 26 days</p>

Report No. DODIG-2015-056, "Opportunities to Improve the Elimination of Intragovernmental Transactions in DoD Financial Statements," December 22, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review the results of the Department of the Treasury Invoice Processing Platform pilot program at the U.S. Marine Corps to determine whether it should be implemented throughout the DoD. If it is determined that the Invoice Processing Platform should be implemented throughout the DoD, develop cost estimates and obtain funding for implementing the Invoice Processing Platform across the DoD.</p>	<p>4 years 3 months 9 days</p>
<p>Rec. 1.e: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review the results of the Department of the Treasury Invoice Processing Platform pilot program at the U.S. Marine Corps to determine whether it should be implemented throughout the DoD. If it is determined that the Invoice Processing Platform should be implemented throughout the DoD, revise DoD Financial Management Regulation, volume 6B, chapter 13, "Adjustments, Eliminations, and Other Special Intragovernmental Reconciliation Procedures," to mandate the use of the Invoice Processing Platform for Buy/Sell transactions.</p>	<p>4 years 3 months 9 days</p>
<p>Rec. 1.f: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review the results of the Department of the Treasury Invoice Processing Platform pilot program at the U.S. Marine Corps to determine whether it should be implemented throughout the DoD. If it is determined that the Invoice Processing Platform should be implemented throughout the DoD, ensure implementation guidance includes procedures for reconciling and eliminating intragovernmental transactions other than Buy/Sell intragovernmental transactions, including intragovernmental Benefit, Fiduciary, and Transfer transactions.</p>	<p>4 years 3 months 9 days</p>

APPENDIX A

*Under Secretary of Defense (Comptroller) (cont'd)***Report No. DODIG-2016-064, "Other Defense Organizations and Defense Finance and Accounting Service Controls Over High-Risk Transactions Were Not Effective," March 28, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and the Deputy Chief Management Officer develop a coordinated and standardized strategy with the Defense Finance and Accounting Service and the Other Defense Organizations to exchange and manage problem disbursement data. The strategy should focus on the end-to-end integrated business process that includes the identification of key internal and compensating controls at the Defense Finance and Accounting Service and the Other Defense Organizations.</p>	3 years 3 days
<p>Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and the Deputy Chief Management Officer oversee the implementation of the strategy developed to exchange and manage problem disbursements to ensure that the process is standardized and systemized for the Other Defense Organizations.</p>	3 years 3 days

Report No. DODIG-2016-066, "Improvements Could Be Made in Reconciling Other Defense Organizations Civilian Pay to the General Ledger," March 25, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.b: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop a formal plan to reconcile civilian pay records or review reconciliations for 14 of 15 of the remaining Other Defense Organizations it services. At a minimum, the plan should:</p> <ol style="list-style-type: none"> 1. provide a time line for starting these civilian pay reconciliations, and 2. define the roles and responsibilities of Defense Finance and Accounting Service-Indianapolis and the Other Defense Organizations.* 	3 years 6 days
<p>Rec. 1.d: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director revise existing Standard Operating Procedures to clearly describe the civilian pay reconciliation process. At a minimum, the Standard Operating Procedure should:</p> <ol style="list-style-type: none"> 1. identify all the components involved in the reconciliation process; 2. define the roles and responsibilities of components involved in the Other Defense Organizations civilian pay reconciliations; 3. provide the general ledger accounts (budgetary and proprietary) that are used in the reconciliation processes; and 4. establish procedures to check the accuracy of the system-generated payroll accrual entry in the Defense Agency Initiatives general ledger system.* 	3 years 6 days
<p>Rec. 1.e: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director centralize the Other Defense Organizations civilian payroll reconciliations processes.*</p>	3 years 6 days

* Responsibility for implementing Recommendation 1.b, 1.d, and 1.e was transferred from DFAS to OUSD(C).

APPENDIX A

*Under Secretary of Defense (Comptroller) (cont'd)***Report No. DODIG-2016-086, "DoD Met Most Requirements of the Improper Payments Elimination and Recovery Act in FY 2015, but Improper Payment Estimates Were Unreliable," May 3, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, determine the source of all disbursed obligations not reviewed for improper payments and whether those disbursements are subject to improper payment reporting requirements.	2 years 10 months 28 days

Report No. DODIG-2016-102, "Additional Controls Needed to Issue Reliable DoD Cost of War Reports That Accurately Reflect the Status of Air Force Operation Inherent Resolve Funds," June 23, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 4.b: The DoD OIG recommended that the Deputy Comptroller (Program/Budget), Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, examine options for automating the preparation of the Cost of War report's summary charts and corresponding footnotes to complete them more efficiently and enabling the report to be issued by the submission deadline.*	2 years 9 months 8 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2016-126, "Improvements Needed In Managing the Other Defense Organizations' Suspense Accounts," August 25, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.b: The DoD OIG recommended that the Directors of Defense Finance and Accounting Service-Indianapolis and -Columbus obtain the complete universe of detailed transactions supporting the suspense account balances, perform regular and recurring reconciliations of the data, and remediate any deficiencies that impact the accuracy of the balances.*	2 years 7 months 6 days

* Responsibility for implementing Recommendation A.1.b was transferred from DFAS to OUSD(C).

Report No. DODIG-2017-078, "The DoD Did Not Comply With the Improper Payment Elimination and Recovery Act in FY 2016," May 8, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a.1: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer coordinate with DoD Improper Payment Elimination and Recovery Act reporting Components to verify that all payments are assessed for the risk of improper payments or are reporting estimated improper payments.*	1 year 10 months 23 days

APPENDIX A

Under Secretary of Defense (Comptroller) – Report No. DODIG-2017-078 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a.2: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer coordinate with DoD Improper Payment Elimination and Recovery Act reporting Components to report consistent, accurate, complete, and statistically-valid improper payment estimates in compliance with all Improper Payment Elimination and Recovery Act and Office of Management and Budget requirements.*	1 year 10 months 23 days
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer review existing corrective action plans and coordinate with the appropriate reporting Components to establish planned or actual completion dates and determine if actions can be modified or updated to further reduce improper payments.*	1 year 10 months 23 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2018-020, “DoD Compliance With the Digital Accountability and Transparency Act of 2014,” November 8, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the Senior Accountable Official responsible for DoD compliance with the Digital Accountability and Transparency Act work with personnel from the Under Secretary of Defense (Comptroller); the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics (Defense Procurement and Acquisition Policy); and the Office of the Assistant Secretary of Defense (Research and Engineering) to allocate adequate resources for Digital Accountability and Transparency Act efforts; develop Digital Accountability and Transparency Act processes and procedures ensuring DoD financial and award data are collected, validated, reconciled, and reported in compliance with Office of Management and Budget Memorandum No. M-17-04; and maintain documentation as required by section 3101, title 44, United States Code and DoD Financial Management Regulation.	1 year 4 months 23 days
Rec. A.1.b: The DoD OIG recommended that the Senior Accountable Official responsible for DoD compliance with the Digital Accountability and Transparency Act work with Office of the Assistant Secretary of Defense (Research and Engineering) personnel to develop a central repository for grant award documentation.	1 year 4 months 23 days
Rec. A.1.c: The DoD OIG recommended that the Senior Accountable Official responsible for DoD compliance with the Digital Accountability and Transparency Act work with the Office of Management and Budget and the Department of the Treasury to develop policies, procedures, and criteria to address the 90-day delay in the Federal Procurement Data System for DoD procurement award data to ensure compliance with the Digital Accountability and Transparency Act requirements.	1 year 4 months 23 days

APPENDIX A

Under Secretary of Defense (Comptroller) – Report No. DODIG-2018-020 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.e: The DoD OIG recommended that the Senior Accountable Official responsible for DoD compliance with the Digital Accountability and Transparency Act work with the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics (Defense Procurement and Acquisition Policy) to update DoD guidance to be consistent with Office of Management and Budget and Department of the Treasury guidance.	1 year 4 months 23 days
Rec. A.1.f: The DoD OIG recommended that the Senior Accountable Official responsible for DoD compliance with the Digital Accountability and Transparency Act work with the Department of the Treasury to develop a process for ensuring all submission issues are resolved prior to Digital Accountability and Transparency Act reporting deadlines.	1 year 4 months 23 days
Rec. B.1: The DoD OIG recommended that the Senior Accountable Official work with personnel from the Office of the Under Secretary of Defense (Comptroller); the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics (Defense Procurement and Acquisition Policy); and the Office of the Assistant Secretary of Defense (Research and Engineering) to develop Digital Accountability and Transparency Act processes, procedures, and internal controls to ensure compliance with Office of Management and Budget and Department of the Treasury Government-wide data elements.	1 year 4 months 23 days

Report No. DODIG-2018-021, “U.S. Army Corps of Engineers Compliance With the Digital Accountability and Transparency Act of 2014,” November 8, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the Senior Accountable Official responsible for the U.S. Army Corps of Engineers’ compliance with the Digital Accountability and Transparency Act work with the DoD and the Department of the Treasury to develop processes and procedures to identify and separate U.S. Army Corps of Engineers award data from the DoD data to ensure compliance with Digital Accountability and Transparency Act requirements, or combine the DoD and U.S. Army Corps of Engineers data submissions into one Digital Accountability and Transparency Act submission.	1 year 4 months 23 days
Rec. A.1.b: The DoD OIG recommended that the Senior Accountable Official responsible for the U.S. Army Corps of Engineers’ compliance with the Digital Accountability and Transparency Act work with the DoD, the Office of Management and Budget, and the Department of the Treasury to develop policies, procedures, and criteria to address the 90-day delay in the Federal Procurement Data System for DoD procurement award data to ensure that U.S. Army Corps of Engineers’ financial data related to procurement and grant awards are submitted in accordance with Digital Accountability and Transparency Act requirements.	1 year 4 months 23 days

APPENDIX A

Under Secretary of Defense (Comptroller) – Report No. DODIG-2018-021 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.c: The DoD OIG recommended that the Senior Accountable Official responsible for the U.S. Army Corps of Engineers' compliance with the Digital Accountability and Transparency Act develop Digital Accountability and Transparency Act processes and procedures for ensuring that the U.S. Army Corps of Engineers financial data are collected, validated, reconciled, and reported in accordance with Office of Management and Budget Memorandum No. M-17-04.</p>	1 year 4 months 23 days
<p>Rec. B.1: The DoD OIG recommended that the Senior Accountable Official work with the DoD, the Office of Management and Budget, and the Department of the Treasury to develop policies, procedures, and criteria to address the 90-day delay in the Federal Procurement Data System for DoD procurement award data to ensure all required data elements applicable to the U.S. Army Corps of Engineers financial data related to procurement and grant awards are submitted in accordance with Digital Accountability and Transparency Act requirements.</p>	1 year 4 months 23 days

Report No. DODIG-2018-041, "The Defense Finance and Accounting Service Financial Reporting Process for Other Defense Organizations' General Funds," December 15, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer manage the development of a universe of Other Defense Organizations' General Fund transactions.</p>	1 year 3 months 16 days

Report No. DODIG-2018-115, "DoD FY 2017 Compliance With the Improper Payments Elimination and Recovery Act Requirements," May 9, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD to use Public Law 111-204, "Improper Payments Elimination and Recovery Act of 2010," and Office of Management and Budget Circular No. A-136 requirements to develop a data call template that identifies the information that reporting Components must include in their reporting narratives for the Payment Integrity Section of the Agency Financial Report. The template should include requirements for reporting:</p> <ol style="list-style-type: none"> 1. all required information for the Recapture of Improper Payments Reporting, Accountability, and Agency Information Systems and Other Infrastructure segments; and 2. corrective actions information, including root causes, planned corrective actions linked to the root causes, results of actions taken, and planned or actual completion dates. 	10 months 22 days

APPENDIX A

Under Secretary of Defense (Comptroller) – Report No. DODIG-2018-115 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, comply with Public Law 111-204, “Improper Payments Elimination and Recovery Act of 2010,” and Office of Management and Budget Circular No. A-136 requirements for the Payment Reporting section of the Agency Financial Report to identify all estimated amounts and corresponding percentages data.	10 months 22 days
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, coordinate with reporting Components to implement procedures to ensure that all improper payments testing is completed on time and that estimates are based on 12 months of data, as required by Office of Management and Budget Circular No. A-123.	10 months 22 days
Rec. 1.d: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, develop milestones for implementing its Program Management Guide requirement to develop a charter expanding the oversight responsibilities of the DoD Travel Pay program senior accountable official to include all DoD programs reporting significant improper payments.	10 months 22 days
Rec. 1.e: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, implement tracking procedures to ensure it receives all required improper payment reporting information from the Components for the Agency Financial Report. Procedures should include implementation of a corrective actions table to track the status of improper payments corrective actions and ensure corrective actions are implemented as planned by each DoD program.	10 months 22 days
Rec. 1.f: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD take the remediation actions required by section 3(c) of Public Law 111-204, “Improper Payments Elimination and Recovery Act of 2010,” for the DoD Travel Pay, Military Health Benefits, Defense Finance and Accounting Service Commercial Pay, Military Pay, Military Retirement, Civilian Pay, Commercial Bill Pay Office Naples, U.S. Army Corps of Engineers Commercial Pay, and U.S. Army Corps of Engineers Travel Pay programs.	10 months 22 days

Report No. DODIG-2018-120, “The Treasury Index 97 Cash Management Report,” May 23, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer create individual Defense Working Capital Fund accounts at the Department of the Treasury for the Defense Information Systems Agency, Defense Logistics Agency, and Defense Finance and Accounting Service.	10 months 8 days

APPENDIX A

Under Secretary of Defense (Comptroller) – Report No. DODIG-2018-120 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer oversee the corrections to the Cash Management Report or develop a single, consolidated Treasury Index 97 Fund Balance With Treasury reconciliation tool that allows stakeholders to perform detailed reconciliations for the Treasury Index 97 Fund Balance With Treasury accounts at the voucher level.	10 months 8 days
Rec. 1.c: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer develop and issue policy establishing that Defense Finance and Accounting Service Manual 7097.01, "Financial Management Departmental Reporting Manual for the Office of the Secretary of Defense (Treasury Index 97) Appropriations," is the authoritative list of approved limits and requiring the use of these limits when executing Treasury Index 97 transactions.	10 months 8 days
Rec. 1.d: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer develop a plan to reduce the number and dollar amount of unidentified limits used for Treasury Index 97 appropriations.	10 months 8 days
Rec. 1.e: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer establish metrics that report the number of transactions in unidentified limits and the total absolute dollar amount of these transactions by accounting and disbursing system monthly.	10 months 8 days
Rec. 1.f: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer work with the Department of the Treasury to either establish Fund Balance With Treasury accounts for select Other Defense Organizations when appropriate or establish four-digit limits for Treasury Index 97 Fund Balance With Treasury accounts in the Central Accounting and Reporting System.	10 months 8 days
Rec. 1.g: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer develop a comprehensive Treasury Index 97 Fund Balance With Treasury account reconciliation process that incorporates the entire Fund Balance With Treasury universe of transactions (funding, collections, disbursements, and transfers of funds) in accordance with the DoD Financial Management Regulation.	10 months 8 days
Rec. 1.h: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer require DoD disbursing stations to report transaction-level data to the Department of the Treasury on a daily basis.	10 months 8 days

APPENDIX A

*Under Secretary of Defense (Comptroller) (cont'd)***Report No. DODIG-2018-123, "U.S. Special Operations Command Reporting of General Equipment on Its Financial Statements," June 4, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer update its accounting policy relating to the transfer and reporting of General Equipment balances between entities and clearly distinguish which entity should maintain the accounting of the General Equipment and Accumulated Depreciation account balances and report the balances on the financial statements.	9 months 27 days
Rec. 1.b: The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer incorporate the updated accounting policy into DoD Financial Management Regulation, volume 4, chapter 6, "Property, Plant, and Equipment."	9 months 27 days

Report No. DODIG-2019-039, "Reporting of Improper Payments for the Defense Finance and Accounting Service Commercial Pay Program," December 21, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, evaluate whether the General Services Administration post-payment audits of the DoD transportation bills meet the requirements of a post-payment audit performed for Improper Payments Elimination and Recovery Act purposes and develop and implement the appropriate procedures to include transportation improper payments in the DoD estimate for the Agency Financial Report.	3 months 10 days
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, conduct a risk assessment of government purchase card payments and, as necessary, develop and implement procedures to ensure that DoD government purchase card payments are reviewed for improper payments and that the results are reported to the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, for inclusion in the DoD's annual Agency Financial Report.	3 months 10 days
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Finance and Accounting Service Enterprise Solutions and Standards Director, conduct an annual review of the Defense Finance and Accounting Service Commercial Pay program through the Senior Accountable Officials Steering Committee and Action Officers Working Group to identify all types of payments made across DoD Components; verify that existing risk assessments and sampling plans cover all defined commercial payment types; and update risk assessments and sampling plans for program segments that experienced a significant change in legislation or a significant increase in funding level.	3 months 10 days

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Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-039 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.d: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, update the DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 4, chapter 14, to define the types of payments in the Defense Finance and Accounting Service Commercial Pay program and identify which Components are responsible for testing and reporting improper payments estimates for each type of commercial payment within the Defense Finance and Accounting Service Commercial Pay program.</p>	3 months 10 days
<p>Rec. 2: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and the Defense Finance and Accounting Service, Enterprise Solutions and Standards Director, in coordination with the Army Financial Management Services Director, evaluate the Department of the Army’s Improper Payments Elimination and Recovery Act compliance procedures and sampling plan to determine whether it would be more cost effective to incorporate improper payments testing at overseas locations into the Defense Finance and Accounting Service Commercial Pay sampling plan and submit to the Office of Management and Budget either the modified Defense Finance and Accounting Service Commercial Pay sampling plan that incorporates the Army overseas payments or both the original FY 2019 Defense Finance and Accounting Service Commercial Pay sampling plan and the new Army sampling plan.</p>	3 months 10 days

Report No. DODIG-2019-041, “DoD Civilian Pay Budgeting Process,” January 3, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer update the DoD Financial Management Regulation, volume 2A, chapters 1 and 3, to include:</p> <ol style="list-style-type: none"> 1. recurring instructions from the Budget Estimate Submission guidance and President’s Budget guidance that are not unique to a particular year; 2. a guide from the Defense Finance and Accounting Service’s payroll system’s gross reconciliation codes to the OP-8 and OP-32 budget exhibit line items and personnel categories; 3. further clarification for calculating full-time equivalents and straight-time hours worked; and 4. a requirement to include variable costs in the Services’ and Defense agencies’ budget requests. 	2 months 28 days

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Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-041 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer develop a civilian pay budget analyst career path and require Department of Defense civilian pay budget development training as part of the Department of Defense Financial Management certification program.</p>	2 months 28 days
<p>Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer require its analysts to document their procedures, lessons learned, and standard lists of reports and analyses to mitigate the risks of having corporate knowledge limited to a single person or losing the corporate knowledge if key analysts vacate positions, in accordance with GAO 14 704G, “Standards for Internal Control in the Federal Government,” September 2014.</p>	2 months 28 days
<p>Rec. 1.d: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer require the Services and Defense agencies to document their budget development procedures, calculations, and lessons learned to mitigate the risks of having the corporate knowledge limited to a single person or losing the corporate knowledge if key officials vacate positions, in accordance with GAO 14 704G, “Standards for Internal Control in the Federal Government,” September 2014.</p>	2 months 28 days

APPENDIX A

Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-041 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.e: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer implement additional internal controls to document and communicate procedures to current and future budget officials across the Department of Defense. Specifically, the DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer develop and require a budget submission checklist to provide additional assurance that the Services and Defense agencies accurately and completely develop their budgets in accordance with the Office of Management and Budget Circular No. A-11 and the DoD Financial Management Regulation. The checklist should include directions and reminders for the Services and Defense agencies, including, but not limited to:</p> <ol style="list-style-type: none"> 1. comparison of the printed budget exhibits to the data submitted to the Office of the Under Secretary of Defense (Comptroller); 2. verification that the data submitted in the Program Resource Collection Process system and the Comptroller Information System match and that the data in the OP-8, OP-5, and OP-32 budget exhibits match; 3. verification that the Service consulted the annual update of OMB Circular No. A-11; 4. comparison of the actual civilian pay costs reported in the OP-8 budget exhibit by object class code to payroll data obtained from Defense Finance and Accounting Service; 5. verification that the budgeted variable costs reported in the OP-8 budget exhibit were consistent with the actual variable costs reported in the execution data submitted to Congress; 6. verification that the Office of Management and Budget pay raises were correctly applied to the first full pay period of the calendar year, instead of the fiscal year; and 7. verification of compliance with all special instructions and non-recurring requirements that are explained in the annual Budget Estimate Submission and President's Budget guidance. 	2 months 28 days

Report No. DODIG-2019-056, "Accounting and Financial Reporting for the Military Housing Privatization Initiative," February 12, 2019 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a.1: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue interim policy until the Department of the Treasury updates the U.S. Standard General Ledger and coordinate with the Treasury to update the U.S. Standard General Ledger with guidance on how to record equity investments in Military Housing Privatization Initiative projects, including the cash and real property contributed.</p>	1 month 19 days

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Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-056 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a.2: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue interim policy until the Department of the Treasury updates the U.S. Standard General Ledger and coordinate with the Treasury to update the U.S. Standard General Ledger with guidance on how to record the sale of equity investments in Military Housing Privatization Initiative projects.	1 month 19 days
Rec. A.1.a.3: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue interim policy until the Department of the Treasury updates the U.S. Standard General Ledger and coordinate with the Treasury to update the U.S. Standard General Ledger with guidance on how to record equity investment profits and losses allocated to the Military Departments for Military Housing Privatization Initiative projects.	1 month 19 days
Rec. A.1.b: The DoD OIG recommended that the DoD Deputy Chief Financial Officer update the DoD Chart of Accounts and the DoD Transaction Library to comply with new Department of the Treasury U.S. Standard General Ledger Chart of Accounts and Transaction Guidance on accounting for equity investments, once established.	1 month 19 days
Rec. A.1.c.1: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue accounting policy and implement oversight controls that ensure the Military Departments identify and provide Defense Finance and Accounting Service-Indianapolis personnel with the documentation needed to support, record, and correctly report DoD Agency-Wide Financial Statement amounts related to Government Direct Loans and Government Loan Guarantees, including private loan disbursement confirmations for loans guaranteed.	1 month 19 days
Rec. A.1.c.2: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue accounting policy and implement oversight controls that ensure the Military Departments identify and provide Defense Finance and Accounting Service-Indianapolis personnel with the documentation needed to support, record, and report in the DoD Agency-Wide Financial Statements the equity investment profits and losses allocated to the Military Departments.	1 month 19 days
Rec. A.1.c.3: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue accounting policy and implement oversight controls that ensure the Military Departments identify and provide Defense Finance and Accounting Service-Indianapolis personnel with the documentation needed to report in the DoD Agency-Wide Financial Statements all Government Direct Loan and Government Loan Guarantee information required by the Office of Management and Budget Circular No. A 136, Statement of Federal Financial Accounting Standards No. 18, and the DoD Financial Management Regulation, volume 6B, chapter 10.	1 month 19 days
Rec. A.1.d: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue updated accounting policy with specific guidance on how real property ownership transferred to projects as equity investments should be recorded in DoD financial systems and reported in the DoD Agency-Wide Financial Statements, along with the responsibilities of each DoD organization involved.	1 month 19 days

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Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-056 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.a: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director review the accounting transactions for all equity investments and revise the transactions as needed to comply with the updated DoD Chart of Accounts and the DoD Transaction Library.	1 month 19 days
Rec. A.2.b.1: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop and implement procedures to reconcile, on a quarterly basis, Government Direct Loan and Government Loan Guarantee supporting documentation to the amounts reported in Great Plains.	1 month 19 days
Rec. A.2.b.2: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop and implement procedures to reconcile the Great Plains transaction-level detail to the Great Plains trial balance and then to the Defense Departmental Reporting System-Budgetary trial balance, including Standard General Ledger Account balances and other Account information.	1 month 19 days
Rec. A.2.c: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director review the Government Direct Loan and Government Loan Guarantee amounts reported in the DoD Agency-Wide Financial Statements and correct any identified inaccuracies.	1 month 19 days
Rec. A.2.d: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director coordinate with the Great Plains System Manager to implement the transfer of trial balances directly from Great Plains to Defense Departmental Reporting System-Budgetary.	1 month 19 days
Rec. A.2.e: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop and implement a plan to identify and correct root causes for all unsupported accounting adjustments and support the adjustments until the root causes are corrected.	1 month 19 days
Rec. A.2.f.1: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director coordinate with the Military Department program and financial management personnel to develop and implement procedures to record and report real property ownership transferred to equity investment projects as increases to Other Investments.	1 month 19 days
Rec. A.2.f.2: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director coordinate with the Military Department program and financial management personnel to develop and implement procedures to record and report equity investment profits and losses allocated to the Military Departments as changes to Other Investments and disclose a description of the accounting method used to account for equity investments.	1 month 19 days
Rec. A.2.f.3: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director coordinate with the Military Department program and financial management personnel to develop and implement procedures to identify and report all required Government Direct Loan and Government Loan Guarantee information.	1 month 19 days

APPENDIX A

Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-056 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.3.a: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, to ensure that the real property ownership transferred to projects as equity investments are reported in the DoD Agency-Wide Financial Statements prior to issuance.	1 month 19 days
Rec. A.3.b: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, to ensure that equity investment profits and losses allocated to the Military Departments, along with the accounting method used, are reported in the DoD Agency-Wide Financial Statements prior to issuance.	1 month 19 days
Rec. A.3.c: The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, to ensure that required Government Direct Loan and Government Loan Guarantee information is adequately disclosed in Note 8 to the DoD Agency-Wide Financial Statements prior to issuance, including discussions about the basic allowance for housing reduction and other adverse events and changes in conditions that increased project risk and led to potential and actual restructures because of projects' inability to make debt payments or fund the repair and replacement of privatized housing.	1 month 19 days
Rec. B.3: The DoD OIG recommended that the DoD Deputy Chief Financial Officer and DoD Deputy Comptroller for Program/Budget coordinate with the Department of the Treasury and the Office of Management and Budget to update the U.S. Standard General Ledger and DoD accounting policy to provide guidance on whether the funding for equity investments should be initially considered expended and whether any portion of equity investment sales proceeds are available without a new appropriation.	1 month 19 days
Rec. B.4: The DoD OIG recommended that the DoD Deputy Chief Financial Officer issue accounting policy to implement the agreed-upon procedures requiring Defense Finance and Accounting Service-Indianapolis personnel to use the gross method for executing the annual subsidy cost reestimates and use specific accounting transactions to ensure that DoD Deputy Comptroller for Program/Budget personnel's budgetary reporting needs are met, and requiring the DoD Deputy Comptroller for Program/Budget to provide only the net funding amount needed for subsidy reestimates.	1 month 19 days

Report No. DODIG-2019-066, "Summary Audit of Systemic Weaknesses in the Cost of War Reports," March 22, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Deputy Comptroller (Program/Budget), Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, develop and implement a review process to verify that the DoD Components develop, review, update, and implement their Cost of War standard operating procedures for accurate costs and consistent reporting of war-related overseas contingency operation costs.	9 days

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Under Secretary of Defense (Comptroller) – Report No. DODIG-2019-066 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 3: The DoD OIG recommended that the Deputy Comptroller (Program/Budget), Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the Defense Finance and Accounting Service Director and each Service's Assistant Secretary for Financial Management and Comptroller, develop and implement a review process to verify that DoD Components update their management tools and accounting systems to properly identify and record war-related overseas contingency operation costs.</p>	9 days
<p>Rec. 5: The DoD OIG recommended that the Deputy Secretary of Defense, in coordination with the Deputy Comptroller (Program/Budget), Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, the Defense Finance and Accounting Service Director, and each Service's Assistant Secretary for Financial Management and Comptroller, enforce the requirement for DoD Components involved in the Cost of War reporting process to submit their Cost of War data within the established timelines and issue the Cost of War report within 45 days of the end of the reporting period or coordinate with Congress to request an adjustment to the legal requirements for the reporting timetable.</p>	9 days

*Under Secretary of Defense for Personnel and Readiness***Report No. DODIG-2012-122, "The DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access," August 29, 2012 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a.1: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, as the DoD Homeland Security Presidential Directive-12 Implementation Lead, coordinate and convene a meeting with the Under Secretary of Defense for Acquisition, Technology, and Logistics; the Under Secretary of Defense for Policy; the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD; the Under Secretary of Defense for Intelligence; the DoD Chief Information Officer, and the Director of Cost Assessment and Program Evaluations within 90 days to reassess and require each office responsible for the full implementation of HSPD-12 to provide oversight and accountability including the reprogramming of funding when appropriate or necessary.</p>	6 years 7 months 2 days
<p>Rec. A.1.b: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, as the DoD Homeland Security Presidential Directive-12 Implementation Lead, establish a requirement for the Services and DoD agencies to report semiannually to the Under Secretary of Defense for Personnel and Readiness on the status of their Homeland Security Presidential Directive-12 implementation efforts including compliance with the Office of Management and Budget Homeland Security Presidential Directive-12 implementation requirements.</p>	6 years 7 months 2 days

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*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2013-072, "Data Loss Prevention Strategy Needed for the Case Adjudication Tracking System," April 24, 2013 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B: The DoD OIG recommended that the Director of Information Management for the Department of Army Military Intelligence and the Program Executive Officer for the Defense Logistics Agency immediately move the back-up servers to an approved location outside of the geographic region that complies with Federal and DoD information assurance requirements. If moving the back-up servers is not immediately feasible, request an interim waiver from the Designated Approving Authority and develop a time-phased plan to move the back-up servers outside of the geographic region.</p>	5 years 11 months 7 days

Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June 28, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 3.a.(1): The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, publish and implement cemetery management training guidance focusing on industry standards and best practices.</p>	5 years 9 months 3 days
<p>Rec. 3.a.(2): The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and require maintenance of a standard spreadsheet of a complete record of interments.</p>	5 years 9 months 3 days
<p>Rec. 3.a.(3): The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop a standard for temporary grave marking.</p>	5 years 9 months 3 days
<p>Rec. 3.a.(4): The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness define and revamp the burial reservation system.</p>	5 years 9 months 3 days

Report No. DODIG-2015-001, "Assessment of the Department of Defense Prisoner of War/Missing in Action Accounting Community," October 17, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 5: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish DoD-wide policy regarding the disinterment of unknowns from past conflicts.</p>	4 years 5 months 14 days

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*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2015-002, "Assessment of DoD-Provided Healthcare for Members of the United States Armed Forces Reserve Components," October 8, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish guidance that requires all Active Component service members who transfer into the Selected Reserve meet Individual Medical Readiness requirements.	4 years 5 months 23 days
Rec. 4: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish policy that assigns responsibilities to Commanders and medical authorities to manage medical histories and line of duty documentation for deployed or temporary duty Reserve Component service members in a standardized manner across all Services so that both are complete and available to their units in a timely manner.	4 years 5 months 23 days
Rec. 5: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish standardized DoD form(s) and procedures that provide access for all Reserve Component service members to line of duty care at all military treatment facilities.	4 years 5 months 23 days

Report No. DODIG-2015-011, "Evaluation of the Defense Criminal Investigative Organizations' Defense Incident-Based Reporting System Reporting and Reporting Accuracy," October 29, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Defense Human Resources Activity Director provide functional guidance to the Defense Manpower Data Center and the Defense Incident-Based Reporting System data submitters by reestablishing the cross-functional DIBRS Council to provide a forum for the exchange of information, best practices, and the continuing operation of the DIBRS, as required by DoD Manual 7730.47-M, Volume 1.	4 years 5 months 2 days

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*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness publish guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive Department of Defense Suicide Event Report submissions. For each suicide death, this board should:</p> <ol style="list-style-type: none"> 1. be a locally (command or installation level) chartered board with defined task, purpose, and outcome for each suicide death review; 2. include participation by unit leadership, medical/mental health, and Military Criminal Investigative Organizations; and 3. articulate the requirement to appropriately share information (for example, medical and law enforcement reports) from ongoing investigations. 	4 years 4 months 17 days
<p>Rec. 3.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in accordance with Recommendation 2.a, publish guidance requiring a suicide event board to enable a multidisciplinary approach for obtaining the data required to make a comprehensive Department of Defense Suicide Event Report submission.</p>	4 years 4 months 17 days
<p>Rec. 4.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness authorize senior commanders to produce unit/installation reports to better understand suicide trends, make informed local suicide prevention policy, and relate their trends to Service and DoD trends.</p>	4 years 4 months 17 days

Report No. DODIG-2015-078, "Evaluation of the Defense Criminal Investigative Organizations' Compliance with the Lautenberg Amendment Requirements and Implementing Guidance," February 6, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1: The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 to incorporate language requiring commanders and supervisors to advise all employees (military and civilian) found to have a qualifying conviction to dispose of their privately owned firearms and ammunitions in accordance with the law.</p>	4 years 1 month 25 days
<p>Rec. C.1: The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 to require all employees (military and civilian) serving in a covered position to complete the DD Form 2760 annually, at a minimum.</p>	4 years 1 month 25 days
<p>Rec. D: The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 by removing the requirement for a separate memorandum of understanding executed between civilian law enforcement and the Military Criminal Investigative Organizations.</p>	4 years 1 month 25 days

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*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2015-148, "Rights of Conscience Protections for Armed Forces Service Members and Their Chaplains," July 22, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Deputy Assistant Secretary for Military Personnel Policy coordinate with the Military Services to verify the feasibility of completing religious accommodation cases requiring Secretarial-level approval.	3 years 8 months 9 days
Rec. 4: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness amend Department of Defense Instruction 1300.17 to state that requests by service members for accommodation of religious practices that are approved by the delegated authorities of the Secretaries of the Military Departments remain in effect unless suspended or revoked by said authority at the request of a commander following the reassignment, transfer, or significant change in circumstances of the service member.	3 years 8 months 9 days
Rec. 5: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Office of General Counsel, develop and publish additional guidance regarding the criteria and process for adjudicating religious accommodation requests that include the use of controlled substances.	3 years 8 months 9 days
Rec. 7: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Office of Diversity Management and Equal Opportunity, the Service Military Equal Opportunity and Inspectors General Offices, and the Defense Privacy and Civil Liberties Division, develop a more efficient and effective means of gathering data in support of the mandated report to Congress detailing DoD civil liberties oversight efforts, including the data regarding the status of rights of conscience protections for service members.	3 years 8 months 9 days

Report No. DODIG-2016-002, "DoD Needs a Comprehensive Approach to Address Workplace Violence," October 15, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, based on the results of Recommendation 1, revise current policies and procedures and integrate existing programs to develop a comprehensive DoD-wide approach to address prevention and response to workplace violence.	3 years 5 months 16 days
Rec. 2.b: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and issue interim, comprehensive DoD-wide policy for the prevention and response to workplace violence until the publication of final policies and procedures.	3 years 5 months 16 days

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*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2017-038, "Assessment of Warriors in Transition Program Oversight," December 31, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness define and direct common inspection criteria and a standardized reporting format for the Military Departments' Inspectors General Triennial Disability Evaluation System reports.	2 years 3 months
Rec. A.2: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness revise DoD Instruction 1332.18, "Disability Evaluation System (DES)," August 5, 2014, to clarify the application of the instruction for record-of-proceedings.	2 years 3 months
Rec. B.1: The DoD OIG recommended that the Deputy Assistant Secretary of Defense (Warrior Care Policy) establish guidance for writing Recovery Coordination Program oversight reports that include the requirement to specifically assign a person or organization to take action on each recommendation.	2 years 3 months
Rec. B.2: The DoD OIG recommended that the Deputy Assistant Secretary of Defense (Warrior Care Policy) establish policy that ensures followup of all Recovery Coordination Program oversight report recommendations until corrective actions are complete.	2 years 3 months

Report No. DODIG-2017-123, "The Troops-to-Teachers Program," September 28, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Assistant Secretary of Defense for Readiness develop and implement policies to clearly define the Troops-to-Teachers program requirements for participant eligibility.	1 year 6 months 3 days
Rec. 1.b: The DoD OIG recommended that the Assistant Secretary of Defense for Readiness develop and implement policies to implement, manage, and oversee the Troops-to-Teachers grant program to ensure the planned way forward complies with regulations.	1 year 6 months 3 days
Rec. 2.a: The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to develop procedures for reviewing participant applications that align with newly developed Troops-to-Teachers policy.	1 year 6 months 3 days
Rec. 2.b: The DoD OIG recommended that the Commander of Naval Education and Training Command direct Troops-to-Teachers management to review the current standard operating procedures in coordination with management officials and the Office of General Counsel to ensure they fully comply with 10 U.S.C. § 1154 (2015) and finalize the procedures when new policy is developed.	1 year 6 months 3 days
Rec. 2.c: The DoD OIG recommended that the Commander of Naval Education and Training Command direct Troops-to-Teachers management to provide training for all Government and contract employees working with the Troops-to-Teachers program after new policy and procedures are created.	1 year 6 months 3 days

APPENDIX A

Under Secretary of Defense for Personnel and Readiness – Report No. DODIG-2017-123 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.d: The DoD OIG recommended that the Commander of Naval Education and Training Command direct Troops-to-Teachers management to review all approved stipend and bonus application packages submitted between October 1, 2014, and the date of this report, and bring into compliance applications that are determined to be incomplete and collect payments from applicants that are determined to be ineligible.	1 year 6 months 3 days
Rec. 2.e: The DoD OIG recommended that the Commander of Naval Education and Training Command direct Troops-to-Teachers management to develop a transition plan with the Defense Human Resources Activity to ensure a seamless transition into the Defense Agencies Initiative system and establish roles and responsibilities for tax withholding, payments of stipends and bonuses, collections, and grant administration and payments. In addition, ensure that the Defense Finance and Accounting Service completes the Automated Disbursing System change to properly withhold the taxes.	1 year 6 months 3 days
Rec. 2.f: The DoD OIG recommended that the Commander of Naval Education and Training Command direct Troops-to-Teachers management to provide IRS Form 4669 to participants who receive stipends and bonuses after 2015 until the systems have the capability to withhold the taxes and research whether there is a benefit to provide IRS Form 4669 to participants who received stipends and bonuses prior to 2015.	1 year 6 months 3 days
Rec. 2.g: The DoD OIG recommended that the Commander of Naval Education and Training Command direct Troops-to-Teachers management to develop a memorandum of agreement with the Defense Finance and Accounting Service to define roles and responsibilities for income tax withholding and the collections process for the Troops-to-Teachers program.	1 year 6 months 3 days
Rec. 2.h: The DoD OIG recommended that the Commander of Naval Education and Training Command direct Troops-to-Teachers management to maintain a database of current addresses for participants.	1 year 6 months 3 days
Rec. 2.i: The DoD OIG recommended that the Commander of Naval Education and Training Command direct Troops-to-Teachers management to submit debt collection packages to the Defense Finance and Accounting Service for outstanding debt.	1 year 6 months 3 days
Rec. 2.j: The DoD OIG recommended that the Commander of Naval Education and Training Command direct Troops-to-Teachers management to implement the grant program as described in the corrective action plan.	1 year 6 months 3 days

Report No. DODIG-2018-036, “DoD’s Response to the Patient Safety Elements in the 2014 Military Health System Review,” December 14, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. D.2: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish and implement specific Department of Defense policy on fatigue risk management for Military Health System staff.	1 year 3 months 17 days

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2018-079, "Followup Audit: Transfer of Service Treatment Records to the Department of Veterans Affairs," February 22, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Defense Health Agency Director, develop a plan and timeline to ensure the Military Departments implement the DD Form 3024 if it has not been implemented by December 31, 2017.	1 year 1 month 9 days
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Defense Health Agency Director, determine, once the DD Form is implemented, whether the Periodic Health Assessment and Individual Medical Readiness programs are adequate to satisfy the service members' Service Treatment Record annual review requirement.	1 year 1 month 9 days
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Defense Health Agency Director, conduct periodic checks of Service Treatment Records transferred to the Department of Veterans Affairs to ensure compliance with the timeliness and completeness requirements in DoD Instruction 6040.45. The periodic checks should include Service Treatment Records of separated personnel from every Military Department.	1 year 1 month 9 days

Report No. DODIG-2018-081, "The Army's Tactical Explosive Detection Dog Disposition Process from 2011 to 2014," March 1, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, as the Principal Staff Assistant for the Department of Defense Military Working Dog Program, revise Department of Defense Directive 5200.31E, "DoD Military Working Dog (MWD) Program," dated August 10, 2011, to clarify Military Working Dog Executive Agent management and oversight authorities in cases where needs of the Services require nontraditional Military Working Dog programs.	1 year 30 days

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*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2018-093, "DoD Voting Assistance Programs for Calendar Year 2017," March 30, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1.a: The DoD OIG recommended that the Federal Voting Assistance Program Director, in coordination with the Undersecretary of Defense for Personnel and Readiness, clarify or revise DoD Instruction 1000.04 to ensure that all elements of The Uniformed and Overseas Citizens Absentee Voting Act population, including all eligible civilian U.S. citizens residing outside the United States, are included in all provisions of Department of Defense Instruction 1000.04, "Federal Voting Assistance Program (FVAP)."</p>	1 year 1 day
<p>Rec. B.1.b: The DoD OIG recommended that the Federal Voting Assistance Program Director, in coordination with the Undersecretary of Defense for Personnel and Readiness, clarify or revise DoD Instruction 1000.04 to specify what guidance "written voting-related policies" should contain.</p>	1 year 1 day
<p>Rec. B.1.c: The DoD OIG recommended that the Federal Voting Assistance Program Director, in coordination with the Undersecretary of Defense for Personnel and Readiness, clarify or revise DoD Instruction 1000.04 to establish a standardized reporting format for the Service Inspectors General annual voting assistance program report to the DoD OIG.</p>	1 year 1 day
<p>Rec. B.2: The DoD OIG recommended that the Federal Voting Assistance Program Director, in coordination with the Undersecretary of Defense for Personnel and Readiness, clarify or revise DoD Instruction 1000.04 Enclosure 4, paragraph 2.n., to require that the Inspectors General of the Army, Navy, Air Force, and Marine Corps complete their annual reviews and report the results to the DoD IG by December 1 of each year.</p>	1 year 1 day

Report No. DODIG-2018-095, "Defense Human Resources Activity Reimbursable Agreements," March 27, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1: The DoD OIG recommended that the Defense Human Resources Activity Director develop and implement procedures requiring Defense Human Resources Activity personnel to document the performance of the required annual and triennial reviews after reimbursable agreement approval, as required by DoD Instruction 4000.19, "Support Agreements," April 25, 2013.</p>	1 year 4 days
<p>Rec. B.1.a: The DoD OIG recommended that the Defense Human Resources Activity Director complete a preliminary review of the potential Antideficiency Act violations within 14 weeks of initial discovery as required by DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 14, chapter 3, and provide the results of the preliminary investigation to the DoD OIG.</p>	1 year 4 days
<p>Rec. B.1.b: The DoD OIG recommended that the Defense Human Resources Activity Director implement procedures to review all reimbursable programs to identify and correct funding and reimbursement processes that are not cost-effective.</p>	1 year 4 days

APPENDIX A

Under Secretary of Defense for Personnel and Readiness – Report No. DODIG-2018-095 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1.c: The DoD OIG recommended that the Defense Human Resources Activity Director implement procedures to maintain a centralized database containing reimbursable agreements and related funding documents for reimbursable agreements that went into effect before FY 2017.	1 year 4 days
Rec. B.1.d: The DoD OIG recommended that the Defense Human Resources Activity Director implement an audit infrastructure that allows for the provision of records, documentation, and other information within 5 business days, in accordance with “Financial Improvement and Audit Readiness” Guidance, April 2016.	1 year 4 days
Rec. B.2.a: The DoD OIG recommended that the Defense Human Resources Activity Director implement its corrective action plans, document Defense Agencies Initiative procedures, and test Defense Agencies Initiatives to ensure expense transactions are recorded in the reporting period that the services are provided, as required by DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 4, chapter 17.	1 year 4 days
Rec. B.2.b: The DoD OIG recommended that the Defense Human Resources Activity Director implement its corrective action plans, document Defense Agencies Initiative procedures, and test Defense Agencies Initiatives to ensure payment transactions are recorded concurrent with or after recording the corresponding expense and accounts payable transactions to prevent abnormal accounts payable.	1 year 4 days
Rec. B.2.c: The DoD OIG recommended that the Defense Human Resources Activity Director implement its corrective action plans, document Defense Agencies Initiative procedures, and test Defense Agencies Initiatives to ensure corresponding revenue and expense transactions are recorded in the same reporting period, including procedures to reconcile revenue and expense transactions, as required by DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 4, chapters 16 and 17.	1 year 4 days
Rec. B.3: The DoD OIG recommended that the Defense Human Resources Activity Director, in coordination with the Director of Defense Finance and Accounting Service-Columbus, develop and implement a plan to identify and correct all misstated account balances converted from the Defense Business Management System, including the \$4.7 million misstatement due to expense transactions exceeding revenue transactions and the \$9.3 million misstatement due to incorrectly accounting for advanced billing in prior fiscal years.	1 year 4 days

APPENDIX A

Under Secretary of Defense for Personnel and Readiness (cont'd)

Report No. DODIG-2018-096, "Followup Audit: The Defense Enrollment Eligibility Reporting System Security Posture," March 30, 2018 (Full Report is FOUO)

{FOUO}	Recommendation Number and Text	Age of Recommendation on 3/31/19
{FOUO}	Rec. 1.a: The DoD OIG recommended that the Defense Manpower Data Center Director update the Defense Enrollment Eligibility Reporting System server [REDACTED] in accordance with National Institute of Standards and Technology Special Publication 800-53 requirements.	1 year 1 day
	Rec. 1.b: The DoD OIG recommended that the Defense Manpower Data Center Director establish a centralized procedure for out-processing terminated personnel.	1 year 1 day
	Rec. 1.c: The DoD OIG recommended that the Defense Manpower Data Center Director identify and appoint trusted agents responsible for revoking access for out-processing terminated personnel.	1 year 1 day
{FOUO}	Rec. 1.d: The DoD OIG recommended that the Defense Manpower Data Center Director [REDACTED]	1 year 1 day
{FOUO}		

Report No. DODIG-2019-030, "Report of Investigation into the United States Air Force's Failure to Submit Devin Kelley's Criminal History Information to the Federal Bureau of Investigation," December 6, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 7: The DoD OIG recommended that the Under Secretary of Defense Personnel and Readiness, in consultation with the Under Secretary of Defense for Intelligence and the General Counsel of the Department of Defense, consider pursuing legislation amending the Gun Control Act, Section 922(g)(8), to specifically include commander-issued no contact orders and Military Protective Orders as disqualifiers in determining eligibility to purchase firearms from a Federal Firearms Licensed dealer.	3 months 25 days

Report No. DODIG-2019-064, "Audit of DoD Efforts to Consult with Victims of Sexual Assault Committed by Military Personnel in the United States Regarding the Victim's Preference for Prosecution," March 20, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and implement guidance requiring the Military Services to document that the victim was asked about the preference for prosecution and when and what the victim's preference was and that the guidance clearly specify exceptions or state that there are no exceptions to the consultation or documentation requirement.	11 days

Under Secretary of Defense for Intelligence

Report No. DODIG-2013-112, "Assessment of DoD Long-Term Intelligence Analysis Capabilities," August 5, 2013 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1: The DoD OIG recommended that the Office of the Under Secretary of Defense for Intelligence (OUSDI), partnering with the Defense Intelligence Agency (DIA), the Combatant Commands, and the Services, develop an All-Source Analysis certification program that leads to training, developing, and retaining a more experienced and robust workforce. We recommend that such a program includes common core analytical skills and performance standards, and an enterprise-wide all-source analysis occupational-specialty career track and development program.</p>	5 years 7 months 26 days

Report No. DODIG-2014-060, "An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies," April 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1: The DoD OIG recommended that the Under Secretary of Defense for Intelligence develop and issue an overarching policy governing operation of the System of Record for Personnel Security Clearances.</p>	4 years 11 months 17 days
<p>Rec. B.1.a: The DoD OIG recommended that the Under Secretary of Defense for Intelligence prepare an overarching policy governing the operation of the Defense Central Index of Investigations, including identification of the categories of investigations to be titled and indexed, and the retention criteria for investigations so titled and indexed.</p>	4 years 11 months 17 days
<p>Rec. B.1.b: The DoD OIG recommended that the Under Secretary of Defense for Intelligence direct the Defense intelligence agencies to review the procedures that their Offices of Security use to ensure that the Joint Personnel Adjudicative System and SCATTERED CASTLES system are being properly populated.</p>	4 years 11 months 17 days
<p>Rec. B.1.d: The DoD OIG recommended that the Under Secretary of Defense for Intelligence conduct one of the following actions to ensure subjects of past investigations are titled and indexed in the Defense Central Index of Investigations (DCII):</p> <ol style="list-style-type: none"> 1. initiate action with the Office of Personnel and Management to require that OPM investigators conducting background investigations on current and former civilian employees, military assignees, and contract employees of the Defense intelligence agencies conduct name checks with the inspectors general of those agencies; or 2. direct that the directors of the agencies ensure that the subjects of past agency inspector general criminal investigations are titled and indexed in DCII. 	4 years 11 months 17 days

APPENDIX A

Under Secretary of Defense for Intelligence – Report No. DODIG-2014-060 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.4: The DoD OIG recommended that the Director of the Defense Human Resources Activity work with General Services Administration to add Excluded Parties List System/System for Award Management to the set of databases being accessed by the Automated Continuing Evaluation System.*	4 years 11 months 17 days

* Responsibility for implementing Recommendation B.4 was transferred from USD (P&R) to USD (I).

**Report No. DODIG-2015-004, “Assessment of DoD Long-Term Intelligence Analysis,” October 10, 2014
(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1: The DoD OIG recommended that the Under Secretary of Defense for Intelligence develop policy mandating joint intelligence standards, including Joint Intelligence Preparation of the Operational Environment, be incorporated into Defense Intelligence training. Joint Intelligence standards should be established by the Joint Staff, incorporated into overall Defense Intelligence Environment standards in conjunction with the Director of the Defense Intelligence Agency as the Functional Manager for Intelligence Analysis, and training executed as required by the functional organization responsible.	4 years 5 months 21 days

**Report No. DODIG-2015-064, “Assessment of Intelligence Support to In-Transit Force Protection,”
January 2, 2015 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B: The DoD OIG recommended that the Under Secretary of Defense for Intelligence coordinate with the appropriate Department of State office to update the 2003 Memorandum of Understanding to reflect DoD policy and requirements with the Force Protection Detachment program and the Embassy’s Country Team environment.	4 years 2 months 29 days

**Report No. DODIG-2015-184, “Assessment of Military Departments’ Insider Threat Programs,”
September 29, 2015 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.c: The DoD OIG recommended that the Under Secretary of Defense for Intelligence, as the DoD Insider Threat senior official, establish an Insider Threat Program Office within the Office of the Under Secretary of Defense for Intelligence to fulfill the responsibilities stated in DoD Directive 5205.16, which include but are not limited to, developing a DoD-level insider threat implementation plan.	3 years 6 months 2 days

APPENDIX A

Under Secretary of Defense for Intelligence (cont'd)

Report No. DODIG-2016-081, "Evaluation of U.S. Intelligence and Information Sharing with Coalition Partners in Support of Operation Inherent Resolve," April 25, 2016 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. A.2.a: The DoD OIG recommended that the [REDACTED]	2 years 11 months 6 days
(FOUO) Rec. A.2.b: The DoD OIG recommended that the [REDACTED]	2 years 11 months 6 days
(FOUO) Rec. A.3: The DoD OIG recommended that the [REDACTED]	2 years 11 months 6 days

(FOUO)

Report No. DODIG-2017-049, "Unclassified Report of Investigation on Allegations Relating to USCENTCOM Intelligence Products," January 31, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 17: The DoD OIG recommended that the Under Secretary of Defense (Intelligence) track and follow up on the Defense Intelligence Agency's training and certification of all Defense Intelligence Agency employees serving at U. S. Central Command.	2 years 2 months

Report No. DODIG-2017-089, "Evaluation of Military Services' Compliance with Military Accessions Vital to the National Interest Program Security Reviews and Monitoring Programs," June 27, 2017 (Final Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: Recommendation is Classified.	1 year 9 months 4 days

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: Recommendation is Classified.	1 year 1 day

APPENDIX A

Under Secretary of Defense for Intelligence (cont'd)

Report No. DODIG-2018-162, "Evaluation of Airborne Intelligence, Surveillance, and Reconnaissance Processing, Exploitation, and Dissemination Process for Operation Inherent Resolve," September 27, 2018 (Full Report is Classified)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO)	Rec. 2.b: The DoD OIG recommended that the [REDACTED]	6 months 4 days
(FOUO)		

Report No. DODIG-2019-031, "Evaluation of the Defense Threat Reduction Agency's Counterintelligence Program," November 21, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Office of the Under Secretary of Defense for Intelligence assign an Intelligence Component Head to the Defense Threat Reduction Agency Security and Counterintelligence Department.	4 months 10 days
Rec. 1.b: The DoD OIG recommended that the Office of the Under Secretary of Defense for Intelligence create a definition for "counterintelligence inquiries " by revising Draft DoDI O-5240.21, "Counterintelligence Inquiries," October 15, 2013, and issue interim guidance on when a counterintelligence inquiry becomes a counterintelligence investigation.	4 months 10 days
Rec. 1.c: The DoD OIG recommended that the Office of the Under Secretary of Defense for Intelligence assign a Military Department Counterintelligence Organization to the Defense Threat Reduction Agency to support the Defense Threat Reduction Agency counterintelligence inquiries by revising DoD Instruction 5240.10, "Counterintelligence in the Combatant Commands and Other DoD Components," August 8, 2016.	4 months 10 days

Report No. DODIG-2019-032, "Evaluation of Combatant Command Intelligence Directorate Internal Communications Processes," December 4, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Under Secretary of Defense for Intelligence examine current DoD intelligence training and education policies and mandate, as necessary, training standards based on a common essential body of knowledge, including Intelligence Community Directive 203, "Analytic Standards," January 2, 2015, for all entry-level/developmental intelligence professionals.	3 months 27 days

APPENDIX A

Under Secretary of Defense for Intelligence (cont'd)

Report No. DODIG-2019-042, "Evaluation of Social Media Exploitation Procedures Supporting Operation Inherent Resolve," December 28, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.a: Recommendation is Classified.	3 months 3 days

DoD Chief Management Officer

Report No. DODIG-2014-093, "Inspection of the Armed Forces Retirement Home," July 23, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 53.b: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, under the authority given to the Secretary of Defense in section 411(d)(3), title 24, United States Code, issue a directive-type memorandum for immediate action (followed by a revision of Department of Defense Instruction 1000.28, "Armed Forces Retirement Home," February 1, 2010) to codify the results from Recommendation 53.a.*	4 years 8 months 8 days

* Responsibility for implementing Recommendation 53.b was transferred from USD (P&R) to DoD CMO.

Report No. DODIG-2015-010, "Defense Logistics Agency Did Not Fully Implement the Enterprise Business System Procure-To-Pay Business Process in the Enterprise Business System," October 28, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 4: The DoD OIG recommended that the Deputy Chief Management Officer conduct a comprehensive business process re-engineering assessment of the Defense Logistics Agency's Procure-to-Pay phases affected by the Enterprise Business System and EProcurement.	4 years 5 months 3 days

Report No. DODIG-2018-034, "Armed Forces Retirement Home Healthcare Services," December 14, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer require that the Healthcare Services Chief at each facility develop and implement a process for regular reviews of provider visits to ensure that providers see residents in long-term care at the required frequency and that resident healthcare needs are met.*	1 year 3 months 17 days

APPENDIX A

DoD Chief Management Officer – Report No. DODIG-2018-034 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.b: The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer require that the Healthcare Services Chief at each facility review and align current healthcare practices with approved facility-level standard operating procedures for documenting the administration of medications and treatments, conducting infection-control rounds, and monitoring cold-storage medications.*	1 year 3 months 17 days
Rec. B.1.a: The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer require that the Healthcare Services Chief at each facility develop and implement administrative controls over controlled substances, including establishing a clear chain of custody from the receipt of a controlled substance from the supporting military pharmacy to its release to the intended resident.*	1 year 3 months 17 days
Rec. B.1.b: The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer require that the Healthcare Services Chief at each facility develop and implement administrative controls over controlled substances, including establishing procedures requiring Wellness Center personnel to implement a reconciliation process to maintain appropriate accountability and control of controlled substances stored in Armed Forces Retirement Home facilities.*	1 year 3 months 17 days
Rec. B.1.c: The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer require that the Healthcare Services Chief at each facility develop and implement administrative controls over controlled substances, including updating facility-level standard operating procedures to identify the people or billets with authorized access to Wellness Center medication storage areas.*	1 year 3 months 17 days

* Responsibility for implementing Recommendation A.1.a, A.1.b, B.1.a, B.1.b, and B.1.c was transferred from USD (P&R) to DoD CMO.

Report No. DODIG-2018-071, “Evaluation of the Pentagon Force Protection Agency’s Critical Law Enforcement Programs,” February 14, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Office of the Chief Management Officer revise DoD Directive 5105.68, “Pentagon Force Protection Agency,” December 5, 2013, (Incorporating Change 1, December 6, 2017) to direct the Pentagon Force Protection Agency to comply with the provisions of DoD Instruction 5505.18.	1 year 1 month 17 days

APPENDIX A

*DoD Chief Management Officer (cont'd)***Report No. DODIG-2018-077, "Financial Management and Contract Award and Administration for the Armed Forces Retirement Home," February 21, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.c: The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, quantify the impact each major capital project has on the Armed Forces Retirement Home Trust Fund balance and describe the effects on the resident population of the Armed Forces Retirement Home. In addition, the Deputy Chief Management Officer should establish a threshold in which it considers a capital project to be a major capital project and require that the Armed Forces Retirement Home detail how the major capital project risks will be isolated, minimized, monitored, and controlled to prevent problems associated with investment cost, schedule, and performance.*</p>	1 year 1 month 10 days
<p>Rec. B.1.c: The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, develop and implement an acquisition strategy to reduce the likelihood of future problems with the nursing contracts and, when developing the acquisition strategy, determine whether the Armed Forces Retirement Home needs to change how it schedules Government nurses.*</p>	1 year 1 month 10 days

* Responsibility for implementing Recommendation A.1.c and B.1.c was transferred from USD (P&R) to DoD CMO.

Report No. DODIG-2018-153, "Armed Forces Retirement Home Support Functions," September 24, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. D.1.b: The DoD OIG recommended that the Armed Forces Retirement Home Chief Facilities Manager develop a Memorandum of Understanding with the City of Gulfport identifying responsibilities for the repair of the drainage ditch which conveys city storm water through the campus.</p>	6 months 7 days
<p>Rec. D.1.c: The DoD OIG recommended that the Armed Forces Retirement Home Chief Facilities Manager update both campus emergency evacuation maps to align with the requirements of National Fire Protection Association 170.</p>	6 months 7 days
<p>Rec. D.2.a: The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer create a Continuity of Operations Plan.</p>	6 months 7 days
<p>Rec. D.2.b: The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer determine the corresponding security countermeasures associated with having a Facility Security Level of 2 and resources required for implementation.</p>	6 months 7 days
<p>Rec. D.2.c: The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer develop a Memorandum of Understanding between Armed Forces Retirement Home-Washington and the District of Columbia Metropolitan Police Department regarding the investigation of crimes occurring on AFRH properties, to comply with the Armed Forces Retirement Home Security Program Directive.</p>	6 months 7 days

APPENDIX A

DoD Chief Management Officer – Report No. DODIG-2018-153 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. D.2.d: The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer ensure that annual assessments take place that include an evaluation of the countermeasures determined by Armed Forces Retirement Home's Facility Security Level.	6 months 7 days
Rec. E: The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer ensure that the Chief Human Capital Officer develops human resource process maps, as well as applicable directives and standard operating procedures, to fully support the operation and management of the human resource program.	6 months 7 days
Rec. F: The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer ensure that the Chief Information Officer implements recommendations from previous assessments about outstanding security-control deficiencies and review actions necessary to ensure compliance.	6 months 7 days

*National Guard Bureau***Report No. DODIG-2012-110, "Better Oversight Needed for the National Guard's Weapons of Mass Destruction Civil Support Teams," July 2, 2012**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1-2: The DoD OIG recommended that the National Guard Bureau-J3 Director for the Domestic Operations and Force Development Directorate develop a written oversight plan, in coordination with personnel from each Joint Force Headquarters-State, that:</p> <ol style="list-style-type: none"> 1. verifies compliance with mission reporting requirements, and 2. provides feedback to Weapons of Mass Destruction Civil Support Teams on omissions and errors. 	6 years 8 months 29 days

Report No. DODIG-2013-102, "Improved Oversight of Communications Capabilities Preparedness Needed for Domestic Emergencies," July 1, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 4: The DoD OIG recommended that the National Guard Bureau Chief establish oversight procedures, including performance metrics, to verify that National Guard units report the readiness status of personnel and equipment for the Joint Incident Site Communications Capability system in a timely manner.	5 years 8 months 30 days

*National Guard Bureau (cont'd)***Report No. DODIG-2016-035, "External Peer Review Report on the National Guard Bureau Internal Review Office," December 18, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the National Guard Bureau Internal Review Office Director clarify National Guard Bureau Internal Review policies and procedures and prepare a plan for monitoring and summarizing the quality of the work performed at the National Guard Bureau Internal Review Office.	3 years 3 months 13 days
Rec. 3: The DoD OIG recommended that the National Guard Bureau Internal Review Office Director implement an official training program to ensure that auditors maintain their professional competence and complete sufficient continuing professional education. The program should include monitoring to assess whether auditors are meeting the continuing professional education requirements.	3 years 3 months 13 days
Rec. 4: The DoD OIG recommended that the National Guard Bureau Chief evaluate efforts to staff United States Property and Fiscal Internal Review Offices with competitive civilian employees to ensure that an independent outlook and appearance is maintained and to fully comply with the Government Auditing Standards, National Guard Regulation 130-6/Air National Guard Instruction 36-2, and Army Regulation 11-7.	3 years 3 months 13 days
Rec. 1 (Letter of Comment): The DoD OIG recommended that the National Guard Bureau Internal Review Office Director update audit policies to include procedures for: <ol style="list-style-type: none"> 1. evaluating threats to independence after the audit report is issued; 2. obtaining reasonable assurance of detecting fraud when the auditors identify fraud risks that have occurred or are likely to have occurred; and 3. extending the audit steps when information comes to the auditors' attention indicating that fraud, significant to the audit objectives, may have occurred. 	3 years 3 months 13 days
Rec. 2 (Letter of Comment): The DoD OIG recommended that the National Guard Bureau Internal Review Office Director finalize and issue the Audit Documentation and Reporting Policy to the National Guard Bureau Internal Review auditors.	3 years 3 months 13 days
Rec. 3 (Letter of Comment): The DoD OIG recommended that the National Guard Bureau Internal Review Office Director take action to ensure that referencing is completed and in accordance with its policy.	3 years 3 months 13 days
Rec. 4 (Letter of Comment): The DoD OIG recommended that the National Guard Bureau Internal Review Office Director remind the Internal Review audit staff to document independence considerations to provide evidence of the auditor's judgments in forming conclusions regarding compliance with independence requirements.	3 years 3 months 13 days
Rec. 5 (Letter of Comment): The DoD OIG recommended that the National Guard Bureau Internal Review Office Director issue guidance and provide training to the United States Property and Fiscal Offices Internal Review Divisions on the usage of audit report templates.	3 years 3 months 13 days

APPENDIX A

*National Guard Bureau (cont'd)***Report No. DODIG-2019-052, "System Review Report for the External Peer Review of the National Guard Bureau Internal Review Office," February 7, 2019**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the National Guard Bureau Internal Review Office Director verify that monitoring efforts are being performed or have started for FY 2018 and provide the DoD OIG a copy of the associated quality control review report by June 2019.	1 month 24 days
Rec. 2: The DoD OIG recommended that the National Guard Bureau Internal Review Office Director develop and implement policies and procedures for annotating supporting working paper documentation to show its significance to the summary working paper.	1 month 24 days
Rec. 3.a: The DoD OIG recommended that the National Guard Bureau Internal Review Office Director evaluate the adequacy of supervisory reviews on a sample of audits completed after January 1, 2018, and document the evaluation in writing.	1 month 24 days
Rec. 3.b: The DoD OIG recommended that the National Guard Bureau Internal Review Office Director remind supervisors, in writing, about the importance of complying with Government Auditing Standards.	1 month 24 days
Rec. 4: The DoD OIG recommended that the Michigan United States Property and Fiscal Office Internal Review Division Supervisory Auditor require the audit staff to obtain training on Government Auditing Standards, including how to develop the elements of a finding.	1 month 24 days
Rec. 6: The DoD OIG recommended that the Nebraska United States Property and Fiscal Office, Internal Review Division Supervisory Auditor require the audit staff to obtain training on the current Government Auditing Standards and American Institute of Certified Public Accountant Statements on Standards for Attestation Engagements for Agreed-Upon Procedures Engagements.	1 month 24 days
Rec. 7: The DoD OIG recommended that the National Guard Bureau Internal Review Office Director review a sample of nonaudit services provided after December 20, 2017, to determine whether auditors documented and considered the ability of the audited entity's management to effectively oversee the nonaudit service provided, as required by Government Auditing Standards.	1 month 24 days
Rec. 8: The DoD OIG recommended that the National Guard Bureau Internal Review Office Director revise the planning checklist to include a step to verify that the audit plan's contents include sampling methodologies.	1 month 24 days
Rec. 9: The DoD OIG recommended that the National Guard Bureau Internal Review Office Director develop and implement policies and procedures for documenting audit risk that includes auditors gaining an understanding of ongoing investigations or legal proceedings that are significant within the context of the audit objectives.	1 month 24 days
Rec. 10: The DoD OIG recommended that the National Guard Bureau Internal Review Office Director require Supervisory Auditors to track each auditor's continuing professional education compliance on a semiannual basis.	1 month 24 days

APPENDIX A

National Guard Bureau – Report No. DODIG-2019-052 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 11: The DoD OIG recommended that the National Guard Bureau Internal Review Office Director establish a process for having another auditor review the audit work completed by a supervisory auditor that supports the findings, conclusions, and recommendations contained in the audit report.	1 month 24 days
Rec. 12: The DoD OIG recommended that the Colorado United States Property and Fiscal Office, Internal Review Division Supervisory Auditor develop and implement guidance for completing quality control checklists.	1 month 24 days
Rec. 14: The DoD OIG recommended that the Colorado United States Property and Fiscal Office, Internal Review Division Supervisory Auditor develop and implement policies and procedures for the safe custody and retention of audit documentation.	1 month 24 days

*U.S. Indo-Pacific Command***Report No. DODIG-2019-032, “Evaluation of Combatant Command Intelligence Directorate Internal Communications Processes,” December 4, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.3.c: The DoD OIG recommended that the Director of Intelligence of U.S. Indo-Pacific Command and the Commander of Joint Intelligence Operations Center-Pacific include an introduction to their analytic ombudsman program as part of newcomer orientation.	3 months 27 days

Report No. DODIG-2019-048, “DoD Efforts to Train, Advise, Assist, and Equip the Armed Forces of the Republic of the Philippines,” January 31, 2019 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. C.1: The DoD OIG recommended that the Commander of the U.S. Indo-Pacific Command, in coordination with the Armed Forces of the Philippines (AFP) leadership, determine the priorities and resources required to develop counterterrorism capacity of AFP conventional forces.	2 months
Rec. C.2: The DoD OIG recommended that the Commander of the U.S. Indo Pacific Command, in coordination with the Armed Forces of the Philippines (AFP) leadership, determine training responsibilities within USINDOPACOM for developing programs to build the capacity of AFP conventional forces.	2 months
Rec. C.3: The DoD OIG recommended that the Commander of the U.S. Indo Pacific Command, in coordination with the Armed Forces of the Philippines (AFP) leadership, consider developing proposals for 10 U.S.C. § 333 authority to build the capacity of AFP conventional forces to support counterterrorism operations.	2 months

APPENDIX A

U.S. Indo-Pacific Command (cont'd)

Report No. DODIG-2019-065, "Evaluation of DoD Voting Assistance Programs for 2018," March 25, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B: The DoD OIG recommended that the Commanders of U.S. Central Command, U.S. Cyber Command, U.S. European Command, U.S. Indo-Pacific Command, U.S. Northern Command, U.S. Special Operations Command, U.S. Southern Command, and U.S. Strategic Command develop and implement written voting policies to support all eligible Uniformed Services personnel and their family members, including those in deployed, dispersed, and tenant organizations.	6 days

*U.S. European Command*Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015
(Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.b: Recommendation is Classified.	3 years 9 months 13 days
Rec. 3.c: Recommendation is Classified.	3 years 9 months 13 days

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.2.a: The DoD OIG recommended that the Commander of U.S. European Command develop a plan of action to ensure Service explosive ordnance disposal Custodial units and their base or installation commander within U.S. European Command have access to the procedures for execution of Concept of Operations Plan 0300-14 responses.	1 year 8 months 3 days

Report No. DODIG-2018-142, "U.S. Africa Command and U.S. European Command Integration of Operational Contract Support," August 9, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1.a: The DoD OIG recommended that the Commander of U.S. European Command update the annex W's for 3T concept plans and operation plans to meet operational contract support requirements.	7 months 22 days
Rec. B.1.b: The DoD OIG recommended that the Commander of U.S. European Command evaluate all theater support contracting organizations options and designate a lead service to coordinate operational contract support across the area of responsibility.	7 months 22 days

APPENDIX A

U.S. European Command – Report No. DODIG-2018-142 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1.c: The DoD OIG recommended that the Commander of U.S. European Command coordinate with the Service components to develop the annex W's necessary to support U.S. European Command contingency plans.	7 months 22 days
Rec. B.1.d: The DoD OIG recommended that the Commander of U.S. European Command establish operational contract support specific guidance to conduct efficient and effective operational contract support, including operational contract support training required, common operational picture requirements, and working group attendance.	7 months 22 days
Rec. B.1.e: The DoD OIG recommended that the U.S. European Command conduct resource analysis to determine the appropriate staffing levels for an operational contract support integration cell and take action to staff the integration cell to perform the various operational contract support tasks and functions.	7 months 22 days

Report No. DODIG-2019-065, "Evaluation of DoD Voting Assistance Programs for 2018," March 25, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B: The DoD OIG recommended that the Commanders of U.S. Central Command, U.S. Cyber Command, U.S. European Command, U.S. Indo-Pacific Command, U.S. Northern Command, U.S. Special Operations Command, U.S. Southern Command, and U.S. Strategic Command develop and implement written voting policies to support all eligible Uniformed Services personnel and their family members, including those in deployed, dispersed, and tenant organizations.	6 days

U.S. Southern Command

Report No. DODIG-2019-032, "Evaluation of Combatant Command Intelligence Directorate Internal Communications Processes," December 4, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.3.e: The DoD OIG recommended that the Director of Intelligence of U.S. Southern Command and the Director of the Network Engagement Team include an introduction to their analytic ombudsman program in their newcomer orientations.	3 months 27 days

APPENDIX A

U.S. Southern Command (cont'd)

Report No. DODIG-2019-065, "Evaluation of DoD Voting Assistance Programs for 2018," March 25, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B: The DoD OIG recommended that the Commanders of U.S. Central Command, U.S. Cyber Command, U.S. European Command, U.S. Indo-Pacific Command, U.S. Northern Command, U.S. Special Operations Command, U.S. Southern Command, and U.S. Strategic Command develop and implement written voting policies to support all eligible Uniformed Services personnel and their family members, including those in deployed, dispersed, and tenant organizations.	6 days

U.S. Central Command

Report No. DODIG-2014-102, "Government of the Islamic Republic of Afghanistan Needs to Provide Better Accountability and Transparency Over Direct Contributions," August 29, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.e.1: The DoD OIG recommended that the Commander of the Combined Security Transition Command-Afghanistan require the Government of Islamic Republic of Afghanistan to provide pension and cooperative police association account balances for amounts previously withheld.	4 years 7 months 2 days
Rec. 1.e.3: The DoD OIG recommended that the Commander of the Combined Security Transition Command-Afghanistan require the Government of Islamic Republic of Afghanistan to use the abundance of cooperative funds for the Afghan National and Local Police or return the funds to the cooperative members from which they were withheld.	4 years 7 months 2 days
Rec. 1.f.1: The DoD OIG recommended that the Commander of the Combined Security Transition Command-Afghanistan require the Ministries of Defense and Interior to develop a process to provide a statement of pay and benefits information to all Afghanistan government employees paid with Afghanistan Security Forces Fund direct contributions.	4 years 7 months 2 days
Rec. 1.f.2: The DoD OIG recommended that the Commander of the Combined Security Transition Command-Afghanistan require the Ministries of Defense and Interior to automate their payroll processes and eliminate manual edits after payroll documents have been approved.	4 years 7 months 2 days
Rec. 1.g.1: The DoD OIG recommended that the Commander of the Combined Security Transition Command-Afghanistan require the Ministry of Interior to continue their ongoing efforts to reduce and eliminate the use of "trusted agent" payroll payments using Afghanistan Security Forces Fund direct contributions and provide a date when they expect to complete this initiative.	4 years 7 months 2 days
Rec. 1.g.2: The DoD OIG recommended that the Commander of the Combined Security Transition Command-Afghanistan require the Ministry of Interior to develop data analytics to reconcile attrition reports with payroll packages to identify improper payments and a process for correcting any improper payments identified.	4 years 7 months 2 days

APPENDIX A

*U.S. Central Command (cont'd)***Report No. DODIG-2015-107, "Challenges Exist for Asset Accountability and Maintenance and Sustainment of Vehicles Within the Afghan National Security Forces," April 17, 2015 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Commander of the Combined Security Transition Command-Afghanistan instruct the Security Assistance Office to reconcile information in Operational Verification of Reliable Logistics Oversight Database against information in Security Cooperation Information Portal to ensure vehicle information is accurate and complete.	3 years 11 months 14 days
Rec. A.2: The DoD OIG recommended that the Commander of the Combined Security Transition Command-Afghanistan assess the accuracy of property transfer records after the Security Assistance Office completes its reconciliation and take the necessary steps to maintain the completeness and accuracy of these records.	3 years 11 months 14 days
Rec. A.6: The DoD OIG recommended that the Commander of the Combined Security Transition Command-Afghanistan obtain a complete inventory of vehicles received by the Afghan National Security Forces and reconcile this list to help identify any missing records in the Security Cooperation Portal.	3 years 11 months 14 days
Rec. A.7: The DoD OIG recommended that the Commander of the Combined Security Transition Command-Afghanistan advise Ministry of Defense and Ministry of Interior officials to maintain consolidated property book records for all vehicles received from DoD and Coalition forces.	3 years 11 months 14 days

Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.a: Recommendation is Classified.	3 years 9 months 13 days

Report No. DODIG-2016-111, "(U) DoD Effectively Planned and Executed Military Information Support Operations for Operation Inherent Resolve but Needs to Develop Formal Processes and Procedures for Web-Based Operations," July 20, 2016 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the U.S. Central Command formalize procedures for requesting, conducting, and monitoring web-based Military Information Support Operations.	2 years 8 months 11 days

APPENDIX A

*U.S. Central Command (cont'd)***Report No. DODIG-2016-140, "Assessment of U.S. and Coalition Efforts to Train, Advise, Assist, and Equip the Afghan National Army Special Operations Forces," September 29, 2016 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.2: Recommendation is Classified.	2 years 6 months 2 days
Rec. C.2: Recommendation is Classified.	2 years 6 months 2 days
Rec. C.3: Recommendation is Classified.	2 years 6 months 2 days
Rec. E: Recommendation is Classified.	2 years 6 months 2 days

Report No. DODIG-2017-033, "Assessment of U.S. and Coalition Efforts to Train, Advise, Assist, and Equip the Kurdish Security Forces in Iraq," December 14, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1: The DoD OIG recommended that the Commander of U.S. Central Command determine the requirements to sustain the functioning of the Kurdish Security Forces brigade equipment sets and issue a written sustainment plan that includes those requirements.	2 years 3 months 17 days
Rec. B.2: The DoD OIG recommended that the U.S. Central Command execute the requirements identified in the written sustainment plan.	2 years 3 months 17 days
Rec. B.3: The DoD OIG recommended that the U.S. Central Command Commander conduct periodic reviews to monitor readiness and take necessary actions to maintain acceptable readiness for the Kurdish Security Forces brigade sets.	2 years 3 months 17 days
Rec. C: The DoD OIG recommended that the U.S. Central Command Commander in coordination with 1st Theater Sustainment Command Commander review distribution procedures to ensure all equipment items, including Iraq Train and Equip Fund-purchased and Coalition-donated, are tracked and monitored through the supply chain to ensure accountability throughout the distribution process.	2 years 3 months 17 days

Report No. DODIG-2017-041, "Combined Security Transition Command-Afghanistan Improved Controls Over U.S.-Funded Ministry of Defense Fuel Contracts, but Further Improvements are Needed," January 11, 2017 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. b: The DoD OIG recommended that the Combined Security Transition Command-Afghanistan Commanding General direct the Essential Function 1 Audit division to conduct an assessment of the current General Staff, Inspector General and General Staff, Chief of Logistics consumption report verification processes to determine its adequacy.	2 years 2 months 20 days

APPENDIX A

*U.S. Central Command (cont'd)***Report No. DODIG-2017-074, "Assessment of U.S. and Coalition Plans/Efforts to Train, Advise, Assist, and Equip the Iraqi Counterterrorism Service and the Iraqi Special Operations Forces," April 19, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. C: The DoD OIG recommended that the Commander of Special Operations Training Command-Iraq, in coordination with Iraqi Counterterrorism Service Academia leadership, develop and incorporate objective and measurable training-evaluation criteria and standards for all tasks trained in Academia programs of instruction.	1 year 11 months 12 days
Rec. D.1: The DoD OIG recommended that the Commander of Special Operations Joint Task Force-Operation Inherent Resolve, in coordination with the Iraqi Counterterrorism Service Academia, identify all training requirements to support live-fire of the AT-4, M-72, and SPG-9 weapons by Academia trainees and develop the training programs of instruction to support these requirements.	1 year 11 months 12 days
Rec. E: The DoD OIG recommended that the Commander of Special Operations Joint Task Force-Operation Inherent Resolve, in coordination with Office of Security Cooperation-Iraq and the Commander of Iraqi Counterterrorism Service, develop a plan for improving the refit process for the Iraqi Counterterrorism Service.	1 year 11 months 12 days

Report No. DODIG-2017-095, "U.S. Army's Management of the Heavy Lift VII Commercial Transportation Contract Requirements in the Middle East," June 26, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3: The DoD OIG recommended that the Commander of U.S. Central Command direct supported units to use the Trans-Arabian Network, establish metrics for Trans-Arabian Network movements, and perform quarterly assessments of the Trans-Arabian Network's performance and effectiveness and continue to monitor Trans-Arabian Network performance to determine whether directives had the intended impact on its usage.	1 year 9 months 5 days

Report No. DODIG-2017-105, "Evaluation of U.S. and Coalition Efforts to Enable the Afghan Ministry of Defense to Develop Its Oversight and Internal Control Capability," August 4, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1: The DoD OIG recommended that the Commander of Resolute Support, in coordination with the Commanding General Combined Security Transition Command-Afghanistan, advise the Minister of Defense and Afghan National Army Chief of the General Staff to emphasize the importance of the implementation plans for the Ministerial Internal Control Program and to ensure timely development of these plans.	1 year 7 months 27 days

APPENDIX A

U.S. Central Command – Report No. DODIG-2017-105 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.2: The DoD OIG recommended that the Chief of Staff of Resolute Support, in coordination with the Deputy Advisor to the Ministry of Defense, review and update Essential Function coordination processes to ensure that all Essential Function organizations train, advise, and assist the development of implementation plans for the Ministerial Internal Control Program as a synchronized and integrated effort at the Ministry of Defense, Afghan National Army Corps, and subordinate commands.</p>	1 year 7 months 27 days
<p>Rec. B.3: The DoD OIG recommended that the Director of Essential Function 2, in coordination with the Chief of Staff of Resolute Support and the Deputy Advisor to the Ministry of Defense, update the Ministerial Internal Control Program advisory training to ensure that U.S. and Coalition advisors for the Ministry of Defense, Afghan National Army Corps, and subordinate commands can train, advise, and assist in the development and implementation of the Ministerial Internal Control Program.</p>	1 year 7 months 27 days
<p>Rec. C.1.a: The DoD OIG recommended that the Commander of Resolute Support, in coordination with the Chief of Staff of Resolute Support and the Deputy Advisor to the Ministry of Defense, advise the Minister of Defense and Afghan National Army Chief of the General Staff to require Inspectors General to adhere to established Ministry of Defense investigation and inspection standards.</p>	1 year 7 months 27 days
<p>Rec. C.1.b: The DoD OIG recommended that the Commanders of the Train, Advise, and Assist Commands advise Afghan National Army and Afghan Air Force Commanders to support and require their Inspectors General to adhere to established Ministry of Defense Inspector General investigation and inspections standards.</p>	1 year 7 months 27 days
<p>Rec. C.2: The DoD OIG recommended that the Resolute Support Deputy Advisor to the Ministry of Defense, in coordination with the Deputy Chief for Security Assistance of Resolute Support and Commanders of the Train, Advise, and Assist Commands, ensure the assignment of enough U.S. and Coalition IG advisors with the experience and training to train, advise, and assist Ministry of Defense Inspectors General to perform to the required inspection standards for Ministry of Defense Inspectors General.</p>	1 year 7 months 27 days
<p>Rec. D.1.b: The DoD OIG recommended that the Commanders of the Train, Advise, and Assist Commands advise Corps Commanders to take action to eliminate obstacles to the ability of Corps Inspectors General to combat corruption.</p>	1 year 7 months 27 days
<p>Rec. E.: The DoD OIG recommended that the Chief of Staff of Resolute Support, in coordination with the Commanding General of Combined Security Transition Command-Afghanistan, review the capacity of the Resolute Support Defense National Logistics Directorate to train, advise, and assist the transparency, accountability, and oversight effort at Ministry of Defense national-level logistic institutions to ensure that current Resolute Support advisory staffing at the National Logistics Directorate is sufficient to support development of internal controls.</p>	1 year 7 months 27 days

APPENDIX A

*U.S. Central Command (cont'd)***Report No. DODIG-2018-058, "Progress of U.S. and Coalition Efforts to Train, Advise, and Assist the Afghan Air Force," January 4, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. C: The DoD OIG recommended that the Train, Advise, Assist Command-Air coordinate with the Commander of Combined Security Transition Command-Afghanistan to identify requirements and modify aircraft Contractor Logistic Support contracts as appropriate to increase emphasis on building the Afghan aircraft maintenance capability, increase the Afghan responsibility for daily aircraft maintenance, and identify the transition criteria for Afghan-led maintenance within the Afghan Air Force.	1 year 2 months 27 days
Rec. D.1: The DoD OIG recommended that the Resolute Support Commander coordinate with the Afghan Ministry of Defense and General Staff to identify and create the followon institutional aviation-specific and mission-support functions training capability necessary to support future requirements.	1 year 2 months 27 days
Rec. E: The DoD OIG recommended that the Resolute Support Commander closely monitor the implementation of the recently signed Afghan directive, [Redacted], and provide additional advice and assistance to the Afghan Ministry of Defense as required.	1 year 2 months 27 days

Report No. DODIG-2018-147, "U.S. and Coalition Efforts to Train, Advise, Assist, and Equip the Iraqi Police Hold Force," September 13, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1 (Notice of Concern): The DoD OIG recommended that the Commander of U. S. Central Command assess conditions at the basic load ammunition holding area and implement necessary corrective actions to ensure the safety of U.S. and Coalition military personnel stationed at building partner capacity site-Besmaya and ensure the security and safety of U.S.-owned ammunition and explosives.	6 months 18 days
Rec. E: The DoD OIG recommended that the Combined Joint Task Force-Operation Inherent Resolve Commander implement procedures to ensure that feedback concerning unit capabilities, current operations, and the future training and equipping requirements of Iraqi Police Hold Force units flows from the field to Combined Joint Task Force-Operation Inherent Resolve.	6 months 18 days

APPENDIX A

U.S. Central Command (cont'd)

Report No. DODIG-2018-157, "Followup on DoD OIG Report No. DODIG-2013-099, "Compliance with Electrical and Fire Protection Standards of U.S. Controlled and Occupied Facilities in Afghanistan," July 18, 2013 at Kandahar Airfield," September 28, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1: The DoD OIG recommended that the U.S. Forces-Afghanistan Commander ensure that Qualified Fire Protection Engineers are available in the U.S. Forces-Afghanistan area of responsibility to perform oversight of fire protections systems, as required by Unified Facilities Criteria 3-600-01.	6 months 3 days
Rec. B.2.a: The DoD OIG recommended that the U.S. Forces-Afghanistan Commander ensure inspection, testing, and maintenance of engineered fire protection systems in density facilities, in accordance with Unified Facilities Criteria 3-601-02.	6 months 3 days
Rec. B.2.b: The DoD OIG recommended recommend that the U.S. Forces-Afghanistan Commander ensure inspection, testing, and maintenance of all fire protections systems in non-density facilities, in accordance with Unified Facilities Criteria 3-600-01 and Unified Facilities Criteria 3-601-02.	6 months 3 days
Rec. B.3: The DoD OIG recommended that the U.S. Forces-Afghanistan Commander develop a fire protection plan unique to Kandahar Airfield, as required by Central Command Regulation 415-1.	6 months 3 days

Report No. DODIG-2019-042, "Evaluation of Social Media Exploitation Procedures Supporting Operation Inherent Resolve," December 28, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: Recommendation is Classified.	3 months 3 days

Report No. DODIG-2019-065, "Evaluation of DoD Voting Assistance Programs for 2018," March 25, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B: The DoD OIG recommended that the Commanders of U.S. Central Command, U.S. Cyber Command, U.S. European Command, U.S. Indo-Pacific Command, U.S. Northern Command, U.S. Special Operations Command, U.S. Southern Command, and U.S. Strategic Command develop and implement written voting policies to support all eligible Uniformed Services personnel and their family members, including those in deployed, dispersed, and tenant organizations.	6 days

APPENDIX A

U.S. Special Operations Command

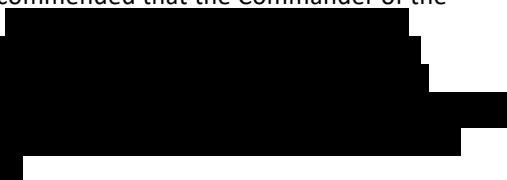
Report No. DODIG-2016-098, "Evaluation of Foreign Officer Involvement at the United States Special Operations Command," June 15, 2016 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.a: The DoD OIG recommended that the Commander of the U.S. Special Operations Command ensure that all international agreements for the foreign officers assigned or on extended visits to the U.S. Special Operations Command and subordinate commands are in compliance with Public Law 111-84; DoD Directive 5503.3, "International Agreements," July 18, 1987; Circular 175, "Authority to Negotiate and Conclude Non-Reciprocal International Defense Personnel Exchange Agreements," October 20, 2011; and Circular 175, "Authority to Negotiate and Conclude Foreign Liaison Assignments," October 17, 2011.*	2 years 9 months 16 days
Rec. A.2.b: The DoD OIG recommended that the Commander of the U.S. Special Operations Command ensure that existing Annex Bs to the international agreements contain the level of detail and classification consistent with the foreign officer's actual mission requirement.*	2 years 9 months 16 days
Rec. A.2.c: The DoD OIG recommended that the Commander of the U.S. Special Operations Command require component commanders to ensure that all required annexes, certifications, and designated disclosure letters are ratified in accordance with Circular 175 authority and DoD Directive 5530.03, "International Agreements," July 18, 1987.*	2 years 9 months 16 days
Rec. A.2.d: The DoD OIG recommended that the Commander of the U.S. Special Operations Command request an exception to policy for the non-reciprocal and exchange officers who are currently assigned to the U.S. Special Operations Command without concluded international agreements.*	2 years 9 months 16 days
Rec. A.2.e: The DoD OIG recommended that the Commander of the U.S. Special Operations Command seek appropriate authority for the foreign intelligence officers assigned or attached to U.S. Special Operations Command and follow established procedures for the collection and exchange of intelligence in accordance with DoD Directive 5530.0.*	2 years 9 months 16 days
Rec. A.2.f: The DoD OIG recommended that the Commander of the U.S. Special Operations Command maintain oversight of all foreign Special Operations Forces assigned or on extended visit to U.S. Special Operations Command's subordinate commands and Service components.*	2 years 9 months 16 days
Rec. A.2.g: The DoD OIG recommended that the Commander of the U.S. Special Operations Command ensure that U.S. Special Operations Command components maintain compliance with DoD Directive 5230.20, "Visits and Assignments of Foreign Nationals," concerning the invitation, visit, and assignment of foreign officers.*	2 years 9 months 16 days
Rec. A.2.h: The DoD OIG recommended that the Commander of the U.S. Special Operations Command eliminate the "dual" use of foreign officers (with or without concluded agreements) in accordance with current regulatory guidance.*	2 years 9 months 16 days

(FOUO)

APPENDIX A

U.S. Special Operations Command – Report No. DODIG-2016-098 (cont’d)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/19
	Rec. A.2.i: The DoD OIG recommended that the Commander of the U.S. Special Operations Command establish a process for reimbursement of costs associated with hosting Foreign Liaison Officers.*	2 years 9 months 16 days
	Rec. B.1.a: The DoD OIG recommended that the Commander of the U.S. Special Operations Command discontinue the practice of Five Eye partners providing escort within Sensitive Compartmented Information Facility spaces in order to comply with Intelligence Community Directive 705, “Sensitive Compartmented Information Facilities,” and DoD Manual 5105.21-V2, “Sensitive Compartmented Information (SCI) Administrative Security Manual,” October 19, 2012.*	2 years 9 months 16 days
	Rec. B.1.b: The DoD OIG recommended that the Commander of the U.S. Special Operations Command restrict Five Eye partners’ swipe access to the Global Mission Support Center when the meeting sign does not illuminate “RELEASABLE.”*	2 years 9 months 16 days
	Rec. B.1.c: The DoD OIG recommended that the Commander of the U.S. Special Operations Command establish formal procedures for processing requests for information concerning science and technology information by foreign liaison officers.*	2 years 9 months 16 days
(FOUO)	Rec. C.1: The DoD OIG recommended that the Commander of the U.S. Special Operations Command 	2 years 9 months 16 days
	Rec. C.2: The DoD OIG recommended that the Commander of the U.S. Special Operations Command identify the number of foreign disclosure officers required by the Headquarters and subordinate commands under the U.S. Special Operations Command purview to maintain the international exchange programs.*	2 years 9 months 16 days
	Rec. C.3: The DoD OIG recommended that the Commander of the U.S. Special Operations Command determine whether the foreign disclosure offices at the Headquarters and subordinate commands under the U.S. Special Operations Command purview are adequately staffed.*	2 years 9 months 16 days
	Rec. C.4: The DoD OIG recommended that the Commander of the U.S. Special Operations Command assess the training requirements for foreign disclosure officers and ensure that all special operation forces’ foreign disclosure officers receive the necessary training.*	2 years 9 months 16 days
	Rec. C.5: The DoD OIG recommended that the Commander of the U.S. Special Operations Command assess the requirements for security education and training for personnel who are involved with international exchange programs and foreign government information, or work in coalition or bi-lateral environments, or in offices, activities, or organizations hosting foreign exchange officers.*	2 years 9 months 16 days
		(FOUO)

APPENDIX A

U.S. Special Operations Command – Report No. DODIG-2016-098 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. D.1: The DoD OIG recommended that the Commander of the U.S. Special Operations Command conclude international agreements, with appropriate language, for the French, German, and Spanish non-reciprocal exchange officers, allowing the continued use of their national secure communication systems.*	2 years 9 months 16 days
Rec. D.2: The DoD OIG recommended that the Commander of the U.S. Special Operations Command obtain automated information systems accreditations for the secure facilities that process sensitive compartmented information electronically.*	2 years 9 months 16 days
Rec. D.3: The DoD OIG recommended that the Commander of the U.S. Special Operations Command establish a comprehensive training program to educate all U.S. Special Operations Command personnel in “writing for release” to reduce the risk and incidents of misclassifying information and potentially excluding its availability to partner nations.*	2 years 9 months 16 days
Rec. D.4: The DoD OIG recommended that the Commander of the U.S. Special Operations Command incorporate recommendations from the U.S. Special Operations Command Cybersecurity Readiness inspection into guidance to reduce the risk of vulnerable systems.*	2 years 9 months 16 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

(FOUO)

**Report No. DODIG-2016-099, “U.S. Special Operations Command Controls Over the Requirements Development Process for Military Construction Projects Need Improvement,” June 17, 2016
(Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.b: The DoD OIG recommended that the Commander of the U.S. Special Operations Command update U.S. Special Operations Command Directive 415-1 to include procedures for validating military construction projects to require Components to confirm the accuracy of the project justification on DD Form 1391, Military Construction Project Data.	2 years 9 months 14 days
Rec. A.1.c: The DoD OIG recommended that the Commander of the U.S. Special Operations Command update U.S. Special Operations Command Directive 415-1 to require that Components maintain documentation to fully support scope calculations and cost estimates for military construction requirements.	2 years 9 months 14 days

APPENDIX A

U.S. Special Operations Command (cont'd)

**Report No. DODIG-2017-030, "USSOCOM Needs to Improve Management of Sensitive Equipment,"
December 12, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Special Operations Forces Acquisition, Technology, and Logistics Director update guidance to include specific procedures for establishing sensitive equipment accountability.	2 years 3 months 19 days
Rec. 1.b: The DoD OIG recommended that the Special Operations Forces Acquisition, Technology, and Logistics Director require U.S. Special Operations Command, Directorate of Logistics, to conduct a 100-percent inventory of sensitive equipment to establish a sensitive equipment baseline and reconcile inventory discrepancies.	2 years 3 months 19 days
Rec. 1.c.1: The DoD OIG recommended that the Special Operations Forces Acquisition, Technology, and Logistics Director instruct U.S. Special Operations Command, Directorate of Logistics, to determine the utility of continuing the temporary loan process and, if continued, ensure that the process is comprehensively defined in U.S. Special Operations Command guidance to include whether the U.S. Special Operations Command warehouse or the units are responsible for maintaining equipment accountability.	2 years 3 months 19 days
Rec. 1.c.2: The DoD OIG recommended that the Special Operations Forces Acquisition, Technology, and Logistics Director instruct U.S. Special Operations Command, Directorate of Logistics, to mandate Special Operations Logistics Management System equipment-level reporting requirements to include identifying standardized data elements and establishing an equipment reporting frequency for U.S. Special Operations Command warehouses and Service Component commands.	2 years 3 months 19 days

**Report No. DODIG-2018-100, "U.S. Special Operations Command's Management of Excess Equipment,"
March 29, 2018 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Commander of U.S. Special Operations Command update U.S. Special Operations Command guidance to include detailed procedures for reporting and updating Special Operations-Peculiar equipment authorizations and allocations in the U.S. Special Operations Command Table of Equipment Distribution and Allowance. The procedures should provide clear and concise policy outlining the source of the authorization data and how this data should be presented and should establish periodic reviews of the information to ensure that the authorizations match the capability documents.	1 year 2 days
Rec. 1.b: The DoD OIG recommended that the Commander of U.S. Special Operations Command direct a review of existing Special Operations-Peculiar equipment authorizations and allocations and update U.S. Special Operations Command Table of Equipment Distribution and Allowance and all systems and documents that contain authorizations and allocations accordingly.	1 year 2 days

APPENDIX A

U.S. Special Operations Command – Report No. DODIG-2018-100 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.c: The DoD OIG recommended that the Commander of U.S. Special Operations Command update U.S. Special Operations Command guidance to include detailed procedures for conducting periodic reconciliations of Special Operations-Peculiar equipment authorizations and allocations to inventory.	1 year 2 days
Rec. 1.d: The DoD OIG recommended that the Commander of U.S. Special Operations Command direct a reconciliation of Special Operations-Peculiar equipment authorizations and allocations to inventory based on the updated guidance and, if excess equipment is identified, redistribute or dispose of the excess equipment.	1 year 2 days

Report No. DODIG-2018-123, “U.S. Special Operations Command Reporting of General Equipment on Its Financial Statements,” June 4, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 6.b: The DoD OIG recommended that the Director of Accounting for U.S. Special Operations Command assist Component Special Operations Command personnel in identifying all the critical data elements that U.S. Special Operations Command needs from the Component Special Operations Commands’ property systems to accurately report and support U.S. Special Operations Command General Equipment and Accumulated Depreciation account balances.	9 months 27 days
Rec. 6.c: The DoD OIG recommended that the Director of Accounting for U.S. Special Operations Command request Component Special Operations Command personnel provide read-only access to their property systems to confirm that the U.S. Special Operations Command has all the critical data elements it needs to accurately report and support the U.S. Special Operations Command General Equipment and Accumulated Depreciation account balances.	9 months 27 days
Rec. 6.d: The DoD OIG recommended that the Director of Accounting for U.S. Special Operations Command review and verify that the General Equipment being reported on U.S. Special Operations Command Balance Sheet reconciles to the component Special Operations Commands’ property systems data and listing of assets.	9 months 27 days
Rec. 6.e: The DoD OIG recommended that the Director of Accounting for U.S. Special Operations Command request the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer make a determination on whether the U.S. Special Operations Command or the Marine Corps should report the Marine Corps Forces Special Operation Command General Equipment as well as other Military Services General Equipment.	9 months 27 days

APPENDIX A

U.S. Special Operations Command (cont'd)

Report No. DODIG-2019-065, "Evaluation of DoD Voting Assistance Programs for 2018," March 25, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B: The DoD OIG recommended that the Commanders of U.S. Central Command, U.S. Cyber Command, U.S. European Command, U.S. Indo-Pacific Command, U.S. Northern Command, U.S. Special Operations Command, U.S. Southern Command, and U.S. Strategic Command develop and implement written voting policies to support all eligible Uniformed Services personnel and their family members, including those in deployed, dispersed, and tenant organizations.</p>	6 days

U.S. Transportation Command

Report No. DODIG-2016-061, "U.S. Army Military Surface Deployment and Distribution Command Needs to Improve Its Oversight of Labor Detention Charges at Military Ocean Terminal Sunny Point," March 16, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.b: The DoD OIG recommended that the Contracting Officer for the U.S. Transportation Command take action to recoup charges for time charged as safety briefings erroneously charged as labor detention time.</p>	3 years 15 days
<p>Rec. 3.b: The DoD OIG recommended that the Deputy Chief of Staff for Resource Management, U.S. Army Surface Deployment and Distribution Command, work with the Contracting Officer for the U.S. Transportation Command and Transportation Brigade Contracting Officer's Representatives to review time records for ongoing Stevedore and Related Terminal Services contracts to identify labor detention charges subject to recoupment and take action to recoup these costs.</p>	3 years 15 days

Report No. DODIG-2017-108, "United States Transportation Command Triannual Reviews," August 9, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Director of the Program Analysis and Financial Management Directorate, United States Transportation Command, develop and implement processes and procedures to execute triannual reviews in accordance with DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 3, chapter 8, "Standards for Recording and Reviewing Commitments and Obligations." The processes and procedures at a minimum should identify staff positions responsible for executing proper triannual reviews.</p>	1 year 7 months 22 days

APPENDIX A

U.S. Transportation Command— Report No. DODIG-2017-108 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.b: The DoD OIG recommended that the Director of the Program Analysis and Financial Management Directorate, United States Transportation Command, develop and implement processes and procedures to execute triannual reviews in accordance with DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 3, chapter 8, “Standards for Recording and Reviewing Commitments and Obligations.” The processes and procedures at a minimum should include detailed review requirements to ensure that each commitment, obligation, accounts payable, unfilled customer order, and accounts receivable are properly recorded in the general ledger.</p>	1 year 7 months 22 days
<p>Rec. 1.c: The DoD OIG recommended that the Director of the Program Analysis and Financial Management Directorate, United States Transportation Command, develop and implement processes and procedures to execute triannual reviews in accordance with DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 3, chapter 8, “Standards for Recording and Reviewing Commitments and Obligations.” The processes and procedures at a minimum should include detailed instructions to ensure reports are prepared for submission in the DoD standard format and contain the valid, accurate, and complete status of each fund balance.</p>	1 year 7 months 22 days

Report No. DODIG-2018-145, “Air Force C-5 Squadrons’ Capability to Meet U.S. Transportation Command Mission Requirements,” August 13, 2018 (Final Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.3: The DoD OIG recommended that the Commander of U.S. Transportation Command use the supportable mission capable rates in the updated Air Force Pamphlet 10-1403 to recalculate the airlift requirements for the supported operational plans.</p>	7 months 18 days

U.S. Northern Command**Report No. DODIG-2014-019, “Assessment of Continental United States-Based Nuclear Response Task Force Programs,” December 3, 2013 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1: The DoD OIG recommended that the U.S. Northern Command, the Air Force Global Strike Command, and the Joint Staff determine the appropriate method of supporting the logistical and operational requirements to deploy the Air Force Global Strike Command Response Task Force. Stakeholders should consider drafting an “Execute Order” addressing the necessary requirements, capabilities, resources, and procedures.</p>	5 years 3 months 28 days

APPENDIX A

U.S. Northern Command – Report No. DODIG-2014-019 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. C.1: The DoD OIG recommended that the U.S. Northern Command, in conjunction with the U.S. Pacific Command Commander, update the appropriate concept of operations plan and operations orders to identify clear authorities and a clear chain-of-command for nuclear weapon incidents or accidents occurring in U.S. Northern Command's geographic area of responsibility but currently outside U.S. Northern Command's operational area of responsibility.	5 years 3 months 28 days

Report No. DODIG-2019-032, "Evaluation of Combatant Command Intelligence Directorate Internal Communications Processes," December 4, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.c: The DoD OIG recommended that the North American Aerospace Defense Command/U.S. Northern Command Commander establish an analytic integrity policy.	3 months 27 days

Report No. DODIG-2019-065, "Evaluation of DoD Voting Assistance Programs for 2018," March 25, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B: The DoD OIG recommended that the Commanders of U.S. Central Command, U.S. Cyber Command, U.S. European Command, U.S. Indo-Pacific Command, U.S. Northern Command, U.S. Special Operations Command, U.S. Southern Command, and U.S. Strategic Command develop and implement written voting policies to support all eligible Uniformed Services personnel and their family members, including those in deployed, dispersed, and tenant organizations.	6 days

U.S. Strategic Command

Report No. DODIG-2015-048, "(FOUO) Joint Cyber Centers [Redacted] Cyberspace Operations," December 9, 2014

(Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Commanders of U.S. Northern Command, U.S. Transportation Command, U.S. Pacific Command, U.S. Southern Command, U.S. Central Command, U.S. Africa Command, U.S. European Command, U.S. Strategic Command, and U.S. Special Operations Command conduct a detailed, command-wide, mission-impact analysis to identify all cyberspace mission requirements and tasks, needed resources, and capability gaps [Redacted].	4 years 3 months 22 days

APPENDIX A

U.S. Strategic Command – Report No. DODIG-2015-048 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3: The DoD OIG recommended that the Commanders of U.S. Strategic Command and U.S. Cyber Command [Redacted].	4 years 3 months 22 days

Report No. DODIG-2015-134, “Assessment of the U.S. Theater Nuclear Planning Process,” June 18, 2015 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 4: Recommendation is Classified.	3 years 9 months 13 days

Report No. DODIG-2018-122, “U.S. Strategic Command Facility Construction Project,” May 31, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.a: The DoD OIG recommended that the Commander of U.S. Strategic Command complete an after-action review following the construction of the U.S. Strategic Command replacement facility.	10 months
Rec. 2.b: The DoD OIG recommended that the Commander of U.S. Strategic Command review the administrative actions of individuals involved in the cost increases or schedule delays of the U.S. Strategic Command Facility Construction Project and initiate action as appropriate.	10 months

Report No. DODIG-2018-159, “Evaluation of the Integrated Tactical Warning/Attack Assessment System,” September 26, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: Recommendation is Classified.	6 months 5 days

APPENDIX A

U.S. Strategic Command (cont'd)

Report No. DODIG-2019-065, "Evaluation of DoD Voting Assistance Programs for 2018," March 25, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B: The DoD OIG recommended that the Commanders of U.S. Central Command, U.S. Cyber Command, U.S. European Command, U.S. Indo-Pacific Command, U.S. Northern Command, U.S. Special Operations Command, U.S. Southern Command, and U.S. Strategic Command develop and implement written voting policies to support all eligible Uniformed Services personnel and their family members, including those in deployed, dispersed, and tenant organizations.</p>	6 days

U.S. Africa Command

Report No. DODIG-2016-024, "U.S. Africa Command Needs to Improve Planning and Coordination for the Protection and Evacuation of U.S. Embassies and U.S. Citizens," November 23, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the U.S. Africa Command Commander develop embassy protection and evacuation guidance that:</p> <ul style="list-style-type: none"> a. clarifies and defines roles and responsibilities for U.S. Africa Command's new normal and noncombatant evacuation operations planning in the current new normal threat environment; and b. incorporates the increased role of new normal for noncombatant evacuation operations planning requirements. 	3 years 4 months 8 days
<p>Rec. 3: The DoD OIG recommended that the U.S. Africa Command Commander review emergency action plans, in whole, for adequacy of supporting military operations, account for critical and required DoD new normal and noncombatant evacuation operations information, and document and coordinate the results of the reviews to the Department of State Chiefs of Mission.</p>	3 years 4 months 8 days

Report No. DODIG-2018-092, "DoD Emergency Management Programs in the U.S. Africa Command," March 28, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 8: The DoD OIG recommended that the U.S. Africa Command J34 Protection Chief assign an emergency management program manager to ensure that the emergency management program is fully implemented in the U.S. Africa Command area of responsibility in accordance with DoD Instruction 6055.17 and based on the results of the risk assessments provided by the DoD Components.</p>	1 year 3 days

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*U.S. Africa Command (cont'd)***Report No. DODIG-2018-116, "Trans-Africa Airlift Support Contract," May 8, 2018 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Commander of U.S. Africa Command develop and implement a training program for personnel supporting the acquisition of services to ensure requirements are reviewed, validated, and approved prior to awarding contracts.	10 months 23 days
Rec. 1.b: The DoD OIG recommended that the Commander of U.S. Africa Command conduct a retroactive Services Requirement Review Board for the Trans-Africa Airlift Support Contract and coordinate with U.S. Transportation Command to modify the contract accordingly or conduct a Services Requirement Review Board for each task order awarded under the Trans-Africa Airlift Support Contract.	10 months 23 days

Report No. DODIG-2018-142, "U.S. Africa Command and U.S. European Command Integration of Operational Contract Support," August 9, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the Commander of U.S. Africa Command incorporate annex W and appropriate appendices in the scheduled June 2018 update of the theater campaign plan.	7 months 22 days
Rec. A.1.b: The DoD OIG recommended that the Commander of U.S. Africa Command update Instruction 4800.01A to include critical OCS-required related training, common operational picture, and working group attendance.	7 months 22 days

Report No. DODIG-2019-032, "Evaluation of Combatant Command Intelligence Directorate Internal Communications Processes," December 4, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.a: The DoD OIG recommended that the U.S. Africa Command Commander establish an analytic integrity policy.	3 months 27 days
Rec. A.3.a: The DoD OIG recommended that the Director of Intelligence of U.S. Africa Command include an introduction to its analytic ombudsman program as part of newcomer orientation.	3 months 27 days
Rec. A.4: The DoD OIG recommended that the Director of Intelligence of U.S. Africa Command establish a second collateral duty analytic ombudsman or assistant analytic ombudsman at Royal Air Force Molesworth, United Kingdom.	3 months 27 days

APPENDIX A

U.S. Africa Command (cont'd)

Report No. DODIG-2019-059, "U.S. Africa Command's Special Purpose Marine Air Ground Task Force's Ability to Meet Deployment Timeliness," February 28, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: Recommendation is Classified.	1 month 3 days
Rec. 2: Recommendation is Classified.	1 month 3 days

U.S. Cyber Command

Report No. DODIG-2015-048, "(FOUO) Joint Cyber Centers [Redacted] Cyberspace Operations," December 9, 2014

(Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3: The DoD OIG recommended that the Commanders of U.S. Strategic Command and U.S. Cyber Command [Redacted].	4 years 3 months 22 days

Report No. DODIG-2016-026, "Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions," November 24, 2015 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps develop a doctrine, organization, training, materiel, leadership and education, personnel, facilities, and policy framework that addresses strategies to build, grow, and sustain the Cyber Mission Force.	3 years 4 months 7 days
Rec. 2: The DoD OIG recommended that the Commander of U.S. Cyber Command, the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps formalize an agreement to focus capability development on functional and mission areas consistent with results of the mission alignment board.	3 years 4 months 7 days
Rec. 3: The DoD OIG recommended that the Commander of U.S. Cyber Command, in coordination with the Service Components and the Defense Information Systems Agency, develop and specify a capability baseline and interoperability standards for all Cyber Protection Teams.	3 years 4 months 7 days

APPENDIX A

U.S. Cyber Command (cont'd)

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3: Recommendation is Classified.	1 year 1 day

Report No. DODIG-2018-137, "Command Cyber Readiness Inspections at Air Force Squadrons," July 11, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.a: The DoD OIG recommended that the Commander of U.S. Cyber Command revise guidance on Command Cyber Readiness Inspections, in coordination with the Under Secretary of Defense for Acquisition and Sustainment and the DoD Chief Information Officer, to hold the program management offices accountable for mitigating vulnerabilities and submitting post-Command Cyber Readiness Inspection deliverables for the program managed systems within the Command Cyber Readiness Inspection compliance timelines.	8 months 20 days
Rec. 2.b: The DoD OIG recommended that the Commander of U.S. Cyber Command revise guidance on Command Cyber Readiness Inspections to clearly establish the roles and responsibilities for oversight of cyber readiness inspections, including the requirement to review and provide feedback on post-inspection deliverables to components as part of the Post Compliance Tracking process.	8 months 20 days

Report No. DODIG-2019-016, "DoD Actions Taken to Implement the Cybersecurity Information Sharing Act of 2015," November 8, 2018 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. 5.a: The DoD OIG recommended that the Commander of U.S. Cyber Command [REDACTED]	4 months 23 days
(FOUO) Rec. 5.b: The DoD OIG recommended that the Commander of U.S. Cyber Command [REDACTED]	4 months 23 days

(FOUO)

APPENDIX A

U.S. Cyber Command (cont'd)

Report No. DODIG-2019-065, "Evaluation of DoD Voting Assistance Programs for 2018," March 25, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B: The DoD OIG recommended that the Commanders of U.S. Central Command, U.S. Cyber Command, U.S. European Command, U.S. Indo-Pacific Command, U.S. Northern Command, U.S. Special Operations Command, U.S. Southern Command, and U.S. Strategic Command develop and implement written voting policies to support all eligible Uniformed Services personnel and their family members, including those in deployed, dispersed, and tenant organizations.	6 days

DoD Office of the General Counsel

Report No. DODIG-2014-060, "An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies," April 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.3: The DoD OIG recommended that the DoD Office of General Counsel prepare an update to or replacement for DoD Directive 5220.6 to make it compliant with the requirements of DoD Instruction 5025.01 for accuracy and currency.	4 years 11 months 17 days

Director of Cost Assessment and Program Evaluation

Report No. DODIG-2016-125, "Evaluation of the DoD Nuclear Enterprise Governance," September 19, 2016 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Deputy Secretary of Defense codify the Nuclear Deterrent Enterprise Review Group in Department of Defense Directive 5105.79, "DoD Senior Governance Councils."*	2 years 6 months 12 days

* Responsibility for implementing Recommendation A.1 was transferred from the DEPSECDEF to CAPE.

Report No. DODIG-2018-076, "Chemical Demilitarization-Assembled Chemical Weapons Alternatives Program," February 22, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Cost Assessment and Program Evaluation Director prepare an independent cost estimate for the Assembled-Chemical Weapons Alternative program.*	1 year 1 month 9 days

* Responsibility for implementing Recommendation 2 was transferred from the DEPSECDEF to CAPE.

APPENDIX A

Director of Cost Assessment and Program Evaluation (cont'd)

Report No. DODIG-2018-160, "Evaluation of Nuclear Detonation Detection System Space-Based Segment," September 28, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.b: Recommendation is Classified.	6 months 3 days
Rec. 1.c: The DoD OIG recommended that the Deputy Secretary of Defense, in coordination with the appropriate interagency stakeholders, identify the future host for neutron and gamma sensors.	6 months 3 days

Director of Operational Test and Evaluation

Report No. DODIG-2013-079, "Advanced Combat Helmet Technical Assessment," May 29, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A: The DoD OIG recommended that the Director of Operational Test and Evaluation and the Program Executive Office Soldier fully characterize the performance of all helmet designs included in the combat helmet test protocols. Performance characterization should consider threat, historical test data, prototype test data, and manufacturing capabilities. Based on helmet performance characterizations, the Director of Operational Test and Evaluation and the Program Executive Office Soldier should determine if modification to the First Article Testing and Lot Acceptance Testing protocols are appropriate.	5 years 10 months 2 days

DoD Chief Information Officer

Report No. DODIG-2015-045, "DoD Cloud Computing Strategy Needs Implementation Plan and Detailed Waiver Process," December 4, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.3: The DoD OIG recommended that the DoD Chief Information Officer develop and publish a waiver process providing detailed guidance on how to obtain a Global Information Grid waiver for cloud computing in the DoD.	4 years 3 months 27 days

Report No. DODIG-2016-068, "DoD's Efforts to Consolidate Data Centers Need Improvement," March 29, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2: The DoD OIG recommended that the DoD Chief Information Officer perform a review of installations with multiple Installation Processing Nodes and work with executive agents at installations to select a single Installation Processing Node.	3 years 2 days

APPENDIX A

DoD Chief Information Officer – Report No. DODIG-2016-068 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1.b: The DoD OIG recommended that the DoD Chief Information Officer develop a process for validating the accuracy and completeness of information in the Data Center Inventory Management system.	3 years 2 days

Report No. DODIG-2018-154, “DoD Information Technology System Repositories,” September 24, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1.a: The DoD OIG recommended that the DoD Chief Information Officer conduct a study to determine the most effective process and information technology repository for maintaining and reporting information technology data and eliminate any duplicate processes associated with the information technology repositories.	6 months 7 days
Rec. B.1.b: The DoD OIG recommended that the DoD Chief Information Officer require DoD Components to conduct and submit a business case analysis to the DoD Chief Information Officer before selecting or renewing the use of a commercial Risk Management Framework accreditation and authorization tool, rather than using the Enterprise Mission Assurance Support Service.	6 months 7 days
Rec. B.1.c: The DoD OIG recommended that the DoD Chief Information Officer develop a process to evaluate and approve DoD Components’ business case analysis for the use of a commercial Risk Management Framework accreditation and authorization tool, rather than using the Enterprise Mission Assurance Support Service.	6 months 7 days
Rec. B.1.d: The DoD OIG recommended that the DoD Chief Information Officer require all DoD Components to use the Enterprise Mission Assurance Support Service when the DoD develops the capability for the Enterprise Mission Assurance Support Service to maintain top secret information technology system data.	6 months 7 days

Report No. DODIG-2018-163, “DoD Cyber Incident Handling Program for Mission-Critical Control Systems,” September 28, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.a: The DoD OIG recommended that the Deputy Secretary of Defense ensure that the Office of Primary Responsibility establishes a governance structure with defined roles and responsibilities of the Principal Staff Assistants responsible for control systems cybersecurity.*	6 months 3 days

APPENDIX A

DoD Chief Information Officer – Report No. DODIG-2018-163 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.b.1: The DoD OIG recommended that the Deputy Secretary of Defense ensure that the Office of Primary Responsibility develops and implements a coordinated strategy for a control system Cyber Incident Handling Program that includes, at a minimum, common procurement requirements and contractual language for inclusion in the Defense Federal Acquisition Regulation Supplement to ensure appropriate cybersecurity for outsourced control system operations and maintenance.*</p>	6 months 3 days
<p>Rec. 2.b.4: The DoD OIG recommended that the Deputy Secretary of Defense ensure that the Office of Primary Responsibility develops and implements a coordinated strategy for a control system Cyber Incident Handling Program that includes, at a minimum, a plan of action and milestones for inventorying and integrating control systems into the DoD cybersecurity vulnerability management processes.*</p>	6 months 3 days

* Responsibility for implementing Recommendation 2.a, 2.b.1, and 2.b.4 was transferred from the DEPSECDEF to DoD CIO.

Report No. DODIG-2019-016, “DoD Actions Taken to Implement the Cybersecurity Information Sharing Act of 2015,” November 8, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the Under Secretary of Defense for Policy, issue DoD-wide policy implementing the Cybersecurity Information Sharing Act of 2015 requirements, including a requirement for the DoD Components to document barriers to sharing cyber threat indicators and defensive measures and take appropriate actions to mitigate the identified barriers.</p>	4 months 23 days

Report No. DODIG-2019-037, “DoD Management of Software Applications,” December 13, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the DoD Chief Management Officer, develop an enterprise-wide process for conducting the software application rationalization process throughout the DoD.</p>	3 months 18 days
<p>Rec. 1.b: The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the DoD Chief Management Officer, establish guidance requiring DoD Components to conduct software application rationalization and require DoD Component Chief Information Officers to develop implementing guidance that outlines responsibilities and processes for software application rationalization within their Components. The policy should also require DoD Components to regularly, at least annually, validate the accuracy of their owned and in use software applications inventory.</p>	3 months 18 days

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DoD Chief Information Officer – Report No. DODIG-2019-037 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.c: The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the DoD Chief Management Officer to conduct periodic reviews to ensure DoD Components are regularly validating the accuracy of their inventory of owned and in use software applications and that DoD Components are eliminating duplicate and obsolete software applications.	3 months 18 days

Report No. DODIG-2019-063, “Followup Audit of the Military Departments’ Security Safeguards Over Secret Internet Protocol Router Network Access Points,” March 18, 2019 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. A.4: The DoD OIG recommended that th [REDACTED]	13 days
Rec. B.10: The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the Under Secretary of Defense (Intelligence), ensure that the Army, Navy, and Air Force Chief Information Officers; the Army Deputy Chief of Staff for Intelligence; and the Army Provost Marshal General apply corrective actions related to physical security safeguards at each Command within their Components.	13 days
(FOUO)	

Assistant Secretary of Defense for Health Affairs
Report No. DODIG-2013-078, “TRICARE Management Activity Needs to Improve Oversight of Acquisition Workforce,” May 1, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, perform a comprehensive review of TRICARE Management Activity’s compliance with Recommendation 1.	5 years 10 months 30 days

APPENDIX A

*Assistant Secretary of Defense for Health Affairs (cont'd)***Report No. DODIG-2015-008, "Followup Audit: Enterprise Blood Management System Not Ready for Full Deployment," October 23, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the Program Executive Officer for the Defense Health Clinical Systems, in coordination with the Director for Armed Services Blood Program, continue efforts to ensure that in-transit inventory is not counted twice in the Enterprise Blood Management System.	4 years 5 months 8 days
Rec. A.2: The DoD OIG recommended that the Program Executive Officer for the Defense Health Clinical Systems, in coordination with the Director for the Armed Services Blood Program, continue efforts to develop and implement the Blood Management Blood Bank Transfusion Services interface capability with Composite Health Care System.	4 years 5 months 8 days

Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 4.c: The DoD OIG recommended that the National Center for Telehealth and Technology Director, upon receipt of authority resulting from Recommendation 4.a, update software to allow unit/installation trend reports.	4 years 4 months 17 days
Rec. 4.d: The DoD OIG recommended that the National Center for Telehealth and Technology Director perform annual independent quality assurance reviews of a representative sample of Department of Defense Suicide Event Report submissions to identify opportunities for improving data quality.	4 years 4 months 17 days

Report No. DODIG-2015-040, "Defense Health Agency Did Not Have Adequate Controls in the North Region to Detect Improper Payments for Claims Submitted by Skilled Nursing Facilities," November 25, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Assistant Secretary of Defense for Health Affairs conduct comprehensive medical reviews on a statistically valid number of skilled nursing facility claims to ensure an adequate number of claims are reviewed. Reviews should compare the patients' medical records to the skilled nursing facility claims to determine whether all required documentation exists and is adequate.	4 years 4 months 6 days

APPENDIX A

*Assistant Secretary of Defense for Health Affairs (cont'd)***Report No. DODIG-2015-151, "Followup Audit: DoD Military Treatment Facilities Continue to Miss Opportunities to Collect on Third Party Outpatient Claims," July 24, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) conduct an analysis to determine the sufficient time needed to conduct adequate followup on billed claims for Third Party Collection Program.	3 years 8 months 7 days
Rec. 3.b: The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) update DoD 6010.15-M, "Military Treatment Facility Uniform Business Office Manual" to include results of analysis of timeframes to conduct followup on billed claims for Third Party Collection Program.	3 years 8 months 7 days
Rec. 4.b: The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) establish a quality assurance program that monitors implementation of revised followup requirements.	3 years 8 months 7 days

Report No. DODIG-2016-064, "Other Defense Organizations and Defense Finance and Accounting Service Controls Over High-Risk Transactions Were Not Effective," March 28, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Directors of the Other Defense Organizations and Defense Finance and Accounting Service revise service-level agreements based on the end-to-end business process identified. Service level agreements should include clearly defined roles and responsibilities, internal controls, performance metrics, and quality assurance plans to ensure that detail-level data for problem disbursements are provided and problem disbursements are reduced and corrected in a timely manner.	3 years 3 days

Report No. DODIG-2017-085, "Protection of Electronic Patient Health Information at Army Military Treatment Facilities," July 6, 2017 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Chief Information Officer for Health Information Technology at the Defense Health Agency implement appropriate configuration changes to enforce the use of Common Access Cards to access the Armed Forces Health Longitudinal Technology Application, Composite Health Care System and Clinical Information System/Essentris Inpatient System or obtain a waiver that exempts the systems from using Common Access Cards.	1 year 8 months 25 days
(FOUO)	

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Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2017-085 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. 1.b: The DoD OIG recommended that the Chief Information Officer for Health Information Technology at the Defense Health Agency configure passwords for the [REDACTED] to meet DoD complexity requirements.	1 year 8 months 25 days
(FOUO) Rec. 1.c: The DoD OIG recommended that the Chief Information Officer for Health Information Technology at the Defense Health Agency [REDACTED] for the [REDACTED]	1 year 8 months 25 days
(FOUO)	

Report No. DODIG-2018-036, “DoD’s Response to the Patient Safety Elements in the 2014 Military Health System Review,” December 14, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A: The DoD OIG recommended that the Defense Health Agency Director notify the Department of Defense Office of Inspector General when the Military Health System has implemented all actions in the Military Health System Review Action Plans regarding Patient Safety.	1 year 3 months 17 days
Rec. D.1: The DoD OIG recommended that the Defense Health Agency Director determine the actionable root causes for the Staffing survey results being below national average in the “Hospital Survey on Patient Safety Culture” and take appropriate actions to improve those factors that pose a risk to patient safety.	1 year 3 months 17 days

Report No. DODIG-2018-067, “The DoD’s Response to the Quality of Care Elements in the 2014 Military Health System Review,” February 8, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A: The DoD OIG recommended that the Defense Health Agency Director notify the Department of Defense Office of Inspector General when the Military Health System has implemented all the Military Health System Review Action Plans regarding quality of care.	1 year 1 month 23 days

APPENDIX A

*Assistant Secretary of Defense for Health Affairs (cont'd)***Report No. DODIG-2018-108, "TRICARE Payments for Standard Electric Breast Pumps and Replacement Parts," April 25, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Defense Health Agency Director use only suppliers that have entered into agreements that have fixed reimbursement rates to provide standard electric breast pumps and replacement parts throughout all TRICARE regions.	11 months 6 days

Report No. DODIG-2018-111, "Access to Care at Selected Military Treatment Facilities," May 1, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Defense Health Agency Director convene a working group with personnel from the Military Departments' Surgeons General and the Air Force Personnel Center to conduct a review to determine if position descriptions and pay grades for civilian medical personnel assigned to military treatment facilities are consistent and consider standardizing position descriptions and pay grades across the Military Departments.	10 months 30 days
Rec. 3: The DoD OIG recommended that the Defense Health Agency, in coordination with the Air Force Surgeon General, develop a plan outlining how the Defense Health Agency will assume authority, direction, and control over the Air Force military treatment facilities to make changes necessary to improve access to care and hold military treatment facility commanders accountable when they do not meet access to care standards.	10 months 30 days

Report No. DODIG-2018-109, "Protection of Patient Health Information at Navy and Air Force Military Treatment Facilities," May 2, 2018 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 5: The DoD OIG recommended that the Defense Health Agency Director configure the Armed Forces Health Longitudinal Technology Application, the Composite Health Care System, the Clinical Information System/Essentris Inpatient System, and all other Defense Health Agency-owned systems that process, store, and transmit patient health information to lock automatically after 15 minutes of inactivity.	10 months 29 days

Assistant Secretary of Defense for Health Affairs (cont'd)

Report No. DODIG-2019-038, "Follow-up of Delinquent Medical Service Account Audits," December 19, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the Defense Health Agency Director coordinate with Brooke Army Medical Center and Landstuhl Regional Medical Center management, through U.S. Army Medical Command, to develop a plan to review the delinquent debt identified in the prior audit reports, Report No. DODIG-2014-101 and DODIG-2016-079.	3 months 12 days
Rec. A.1.b: The DoD OIG recommended that the Defense Health Agency Director implement guidance and require all Services to develop procedures to review and process their old delinquent accounts.	3 months 12 days
Rec. A.1.c: The DoD OIG recommended that the Defense Health Agency Director coordinate with U.S. Army Medical Command to assist U.S. Army Medical Command officials with obtaining the authority from the Office of the Secretary of the Army to terminate uncollectible debt under \$100,000.	3 months 12 days
Rec. A.1.d: The DoD OIG recommended that the Defense Health Agency Director establish and implement guidance for all Services to review uncollectible accounts and obtain approval from the proper authority to terminate debt.	3 months 12 days
Rec. A.1.e: The DoD OIG recommended that the Defense Health Agency Director work with David Grant Medical Center Uniform Business Office personnel to obtain denied Medicare claims identified in the prior report, DODIG-2015-179, and review those claims to determine whether Medicare denied the claims for valid reasons. The Defense Health Agency Director should then work with the Department of Health and Human Services to resolve the issues with receiving reimbursement for services rendered for any claims that were denied for invalid reasons.	3 months 12 days
Rec. A.1.f: The DoD OIG recommended that the Defense Health Agency Director review the current process to determine how to improve the transfer time of delinquent accounts, as well as establish and implement guidance for monitoring and processing delinquent accounts to allow for compliance with the Financial Management Regulation requirement to send 120-day delinquent accounts to the Treasury.	3 months 12 days
Rec. A.1.g: The DoD OIG recommended that the Defense Health Agency Director determine whether enrollment in Medicare and Medicaid is in the best interest of the medical treatment facilities and provide guidance to the medical treatment facilities based on determination.	3 months 12 days
Rec. A.1.h: The DoD OIG recommended that the Defense Health Agency Director develop a process to improve billing of Medicare and Medicaid claims to ensure reimbursement for services provided to beneficiaries.	3 months 12 days
Rec. A.1.i: The DoD OIG recommended that the Defense Health Agency Director coordinate with Department of Health and Human Services to develop a strategy to improve Medicare and Medicaid billing, including a review of the number of days required to submit a claim, a determination of whether enrollment is appropriate, and a decision of whether the medical treatment facilities should become preferred providers.	3 months 12 days

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Assistant Secretary of Defense for Health Affairs – Report No. DODIG-2019-038 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.j: The DoD OIG recommended that the Defense Health Agency Director work with the Services to establish and implement detailed guidance for processing accounts in the Armed Forces Billing and Collection Utilization Solution system that includes guidance for transaction codes.	3 months 12 days
Rec. A.1.k: The DoD OIG recommended that the Defense Health Agency Director work with the Services and the medical treatment facilities management to develop standardized procedures to obtain patient demographic information and address how to handle accounts that do not have adequate information to bill the patient.	3 months 12 days
Rec. A.1.l: The DoD OIG recommended that the Defense Health Agency Director review the current process for deploying the inpatient diagnostic-related group rates each fiscal year and determine how to improve the process to allow for more timely billing.	3 months 12 days
Rec. A.1.m: The DoD OIG recommended that the Defense Health Agency Director work with medical treatment facilities' Uniform Business Office management to research and review all Composite Health Care System transactions transferred to the Armed Forces Billing and Collection Utilization Solution system and identify all information that was not properly transferred to ensure that account data and status is accurate and that the accounts are billed, transferred to the Treasury, or written off as appropriate.	3 months 12 days
Rec. A.1.n: The DoD OIG recommended that the Defense Health Agency Director determine which reports in the Armed Forces Billing and Collection Utilization Solution system are used to identify medical service accounts for billing and reporting and require medical treatment facility Uniform Business Office personnel for all Services to review those reports to ensure the data is accurate and reliable.	3 months 12 days
Rec. A.1.o: The DoD OIG recommended that the Defense Health Agency Director establish standardized guidance for which reports the medical treatment facilities must review in the Armed Forces Billing and Collection Utilization Solution system to identify accounts ready to be billed.	3 months 12 days
Rec. A.1.p: The DoD OIG recommended that the Defense Health Agency Director review all billing processes, including the use of Centralized Receivables Service, and determine a billing process that would be in the best interest of all Services.	3 months 12 days
Rec. A.1.q: The DoD OIG recommended that the Defense Health Agency Director provide additional training to Uniform Business Office personnel for processing medical service accounts in the Armed Forces Billing and Collection Utilization Solution system.	3 months 12 days

APPENDIX A

Assistant Secretary of Defense for Homeland Defense and Global Security**Report No. DODIG-2013-119, "Better Procedures and Oversight Needed to Accurately Identify and Prioritize Task Critical Assets," August 16, 2013 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Under Secretary of Defense for Policy update DoD Instruction 3020.45, "Defense Critical Infrastructure Program Management," April 21, 2008, to require that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs perform comprehensive Defense Critical Infrastructure Program reviews to help identify and resolve challenges in implementing the Critical Asset Identification Process across all DoD Components and Defense Infrastructure Sector Lead Agents.	5 years 7 months 15 days
Rec. 1.b: The DoD OIG recommended that the Under Secretary of Defense for Policy, in coordination with the DoD Chief Information Officer and the Chairman of the Joint Chiefs of Staff, develop and implement a Defense Critical Infrastructure Program net-centric approach to facilitate asset information sharing among the DoD Components and Defense Infrastructure Sector Lead Agents.	5 years 7 months 15 days
Rec. 2.a: The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs implement a comprehensive program review process to verify that the critical asset identification and prioritization process is working effectively for DoD Components and Defense Infrastructure Sector Lead Agents to identify, prioritize, and coordinate critical asset information that could affect each other's missions or functions.	5 years 7 months 15 days
Rec. 2.b: The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs require the heads of DoD Components to develop or update policies and procedures to include all Defense Critical Infrastructure Program requirements and critical asset identification process steps in DoD Manual 3020.45, volume 1, "Defense Critical Infrastructure Program: DoD Mission-Based Critical Asset Identification Process," October 24, 2008.	5 years 7 months 15 days

Assistant Secretary of Defense for Special Operations and Low Intensity Conflict**Report No. DODIG-2016-024, "U.S. Africa Command Needs to Improve Planning and Coordination for the Protection and Evacuation of U.S. Embassies and U.S. Citizens," November 23, 2015**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2: The DoD OIG recommended that the Office of the Under Secretary of Defense for Policy, Special Operations/Low Intensity Conflict, Stability, and Humanitarian Affairs Director coordinate with the Department of State to establish a joint memorandum for updating emergency action plans within the U.S. Africa Command area of responsibility with critical and required new normal and noncombatant evacuation operations information. The agreement should identify specific critical elements within DoD protection and evacuation plans and Joint Staff new normal requirements that should be included in the emergency action plans.	3 years 4 months 8 days

APPENDIX A

Assistant Secretary of Defense for Public Affairs**Report No. D-2010-026, "Joint Civilian Orientation Conference Program," December 9, 2009**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a: The DoD OIG recommended that the Assistant Secretary of Defense (Public Affairs) and the Washington Headquarters Services Director work with the DoD General Counsel to establish detailed policies and procedures for managing future Joint Civilian Orientation Conferences in compliance with section 2262, title 10, United States Code. Specifically, they should update DoD Instruction 5410.19 to provide guidance on how to effectively administer and manage the Joint Civilian Orientation Conference program. This guidance should require the development and submission of an annual budget estimate for conducting the program, including an estimate of the amount of appropriated funding needed to support DoD personnel involved in the program and the amount of reimbursable authority needed to collect fees from non-DoD participants. The Instruction should also describe refund procedures for conference fees.</p>	9 years 3 months 22 days

National Security Agency**Report No. DODIG-2013-085, "Cryptographic Modernization of Critical Nuclear Command, Control, and Communications Systems," May 29, 2013 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 3: The DoD OIG recommended that the National Security Agency consolidate "Last Year of Use" and "cease key date" into a single date.</p>	5 years 10 months 2 days

Report No. DODIG-2016-129, "(U) The National Security Agency Should Take Additional Steps to Effectively Implement Its Privileged Access-Related Secure-the-Net Initiatives," August 29, 2016 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: Recommendation is Classified.</p>	2 years 7 months 2 days
<p>Rec. 1.b: Recommendation is Classified.</p>	2 years 7 months 2 days
<p>Rec. 2.a: Recommendation is Classified.</p>	2 years 7 months 2 days
<p>Rec. 2.b: Recommendation is Classified.</p>	2 years 7 months 2 days
<p>Rec. 3.b: Recommendation is Classified.</p>	2 years 7 months 2 days
<p>Rec. 3.c: Recommendation is Classified.</p>	2 years 7 months 2 days

APPENDIX A

National Security Agency (cont'd)

Report No. DODIG-2017-061, "Evaluation of the National Security Agency Counterterrorism Tasking Process Involving Second Party Partners," March 1, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: Recommendation is Classified.	2 years 30 days
Rec. 2.b: Recommendation is Classified.	2 years 30 days

Report No. DODIG-2018-043, "The National Security Agency Enterprise," December 19, 2017 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. 1.a: The DoD OIG recommended that the [REDACTED]	1 year 3 months 12 days
(FOUO) Rec. 1.b: The DoD OIG recommended that the [REDACTED]	1 year 3 months 12 days
(FOUO) Rec. 2.b: The DoD OIG recommended that the [REDACTED]	1 year 3 months 12 days
(FOUO) Rec. 3.a: The DoD OIG recommended that the [REDACTED]	1 year 3 months 12 days
(FOUO) Rec. 3.b: The DoD OIG recommended that the [REDACTED]	1 year 3 months 12 days

(FOUO)

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National Security Agency – Report No. DODIG-2018-043 (cont'd)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. 3.c: The DoD OIG recommended that the [REDACTED]	1 year 3 months 12 days
Rec. 3.d: Recommendation is Classified.	1 year 3 months 12 days
(FOUO) Rec. 4: The DoD OIG recommended that the [REDACTED]	1 year 3 months 12 days
(FOUO) Rec. 5: The DoD OIG recommended that the [REDACTED]	1 year 3 months 12 days
(FOUO)	

Report No. DODIG-2019-016, “DoD Actions Taken to Implement the Cybersecurity Information Sharing Act of 2015,” November 8, 2018 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. 2.a: The DoD OIG recommended that the National Security Agency Director [REDACTED]	4 months 23 days
(FOUO) Rec. 2.b: The DoD OIG recommended that the National Security Agency Director [REDACTED]	4 months 23 days
(FOUO)	

APPENDIX A

National Security Agency (cont'd)

Report No. DODIG-2019-055, "Evaluation of Integrated Joint Special Technical Operations," February 11, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 4: Recommendation is Classified.	1 month 20 days

Defense Threat Reduction Agency

Report No. DODIG-2014-055, "Investigation of a Hotline Allegation of a Questionable Intelligence Activity Concerning the Joint IED Defeat Organization (JIEDDO) Counter-IED Operations/Intelligence Integration Center (COIC)," April 4, 2014 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2: The DoD OIG recommended that the Deputy Secretary of Defense approve DoD Directive 2000.19E to reflect the Joint Improvised Explosive Device Defeat Organization Counter-Improvised Explosive Device Operations/Intelligence Integration Center's authorized intelligence functions, roles, and responsibilities, and assign an executive agent for the Joint Improvised Explosive Device Defeat Organization's external intelligence oversight.*	4 years 11 months 27 days
Rec. A.6: The DoD OIG recommended that the Joint Improvised Explosive Device Defeat Organization Director cease intelligence collection activities, pending Office of the Secretary of Defense authorization.	4 years 11 months 27 days

* Responsibility for implementing Recommendation A.2 was transferred from DEPSECDEF to DTRA.

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. C: The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Director, Defense Threat Reduction Agency and the Under Secretary of Defense for Acquisition and Sustainment, comply with Presidential Policy Directive-35 and develop and field secure communications between Custodial explosive ordnance disposal units and National responders.	1 year 8 months 3 days

APPENDIX A

*Defense Threat Reduction Agency (cont'd)***Report No. DODIG-2019-031, "Evaluation of the Defense Threat Reduction Agency's Counterintelligence Program," November 21, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.a: The DoD OIG recommended that the Defense Threat Reduction Division Counterintelligence Division create a System of Records Notice and have it published in the Federal Register.	4 months 10 days

*Defense Information Systems Agency***Report No. DODIG-2017-113, "Defense Information Systems Agency's Expired Communication Service Authorizations," August 25, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.c.1: The DoD OIG recommended that the Defense Information Systems Agency Director, in coordination with the Director of the Procurement Services Directorate, Defense Information Technology Contracting Office, develop and maintain a system to enable Defense Information Systems Agency personnel and customers to track the status of communication services authorizations, including up-to-date communication service authorization points of contact for the customer or requirement owner and a circuit management representative for the service or agency requesting the service.	1 year 7 months 6 days
Rec. 1.c.2: The DoD OIG recommended that the Defense Information Systems Agency Director, in coordination with the Director of the Procurement Services Directorate, Defense Information Technology Contracting Office, develop and maintain a system to enable Defense Information Systems Agency personnel and customers to track the status of communication services authorizations, to include an automated verification process that requires customer points of contact to confirm and update their contact information on a periodic basis, including the addition of a process to manually verify contact information in instances of customer non-response.	1 year 7 months 6 days
Rec. 1.c.3: The DoD OIG recommended that the Defense Information Systems Agency Director, in coordination with the Director of the Procurement Services Directorate, Defense Information Technology Contracting Office, develop and maintain a system to enable Defense Information Systems Agency personnel and customers to track the status of communication services authorizations, to include a module for customers or requirement owners to confirm the review and revalidation of requirements to support the bona fide need.	1 year 7 months 6 days
Rec. 1.c.4: The DoD OIG recommended that the Defense Information Systems Agency Director, in coordination with the Director of the Procurement Services Directorate, Defense Information Technology Contracting Office, develop and maintain a system to enable Defense Information Systems Agency personnel and customers to track the status of communication services authorizations, to include automatic alerts to the customer at specific intervals prior to communication service authorization expiration which requires a customer response to discontinue or re-award the service.	1 year 7 months 6 days

APPENDIX A

Defense Information Systems Agency (cont'd)

Report No. DODIG-2018-136, "Followup Audit: Application Level General Controls for the Defense Cash Accountability System," July 10, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.2: The DoD OIG recommended that the Revenue Branch Chief of the Defense Working Capital Fund for the Defense Information Systems Agency provide training to Defense Information Systems Agency Enterprise Services Directorate personnel on the requirements of the Defense Information Systems Agency's "Defense Working Capital Fund Service Level Agreement Guidance." This training should include annual Service Level Agreement review and documentation requirements.</p>	<p>8 months 21 days</p>
<p>Rec. A.3: The DoD OIG recommended that the Operations Center Financial Management Division Chief for the Defense Information Systems Agency develop and implement procedures to ensure the Defense Information Systems Agency Customer Account Representative conducts annual Service Level Agreement reviews as required and document acknowledgment on the Service Level Agreement annual review table.</p>	<p>8 months 21 days</p>

Report No. DODIG-2019-016, "DoD Actions Taken to Implement the Cybersecurity Information Sharing Act of 2015," November 8, 2018 (Full Report is FOUO)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>(FOUO) Rec. 3.a: The DoD OIG recommended that the Defense Information Systems Agency Director [REDACTED]</p>	<p>4 months 23 days</p>
<p>(FOUO) Rec. 3.b: The DoD OIG recommended that the Defense Information Systems Agency Director [REDACTED]</p>	<p>4 months 23 days</p>

(FOUO)

APPENDIX A

Defense Intelligence Agency

**Report No. DODIG-2015-004, "Assessment of DoD Long-Term Intelligence Analysis," October 10, 2014
(Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1: The DoD OIG recommended that the Under Secretary of Defense for Intelligence develop policy mandating that joint intelligence standards, including Joint Intelligence Preparation of the Operational Environment, be incorporated into Defense Intelligence training. Joint Intelligence standards should be established by the Joint Staff, incorporated into overall Defense Intelligence Enterprise standards in conjunction with the Director of the Defense Intelligence Agency as the Functional Manager for Intelligence Analysis, and training executed as required by the functional organization responsible.</p>	4 years 5 months 21 days
<p>Rec. B.2.b: The DoD OIG recommended that the Director of the Defense Intelligence Agency develop a Plan of Action and Milestone to expand, highlight, integrate, and emphasize the critical role the Joint Intelligence Preparation of the Operational Environment process plays in the DoD Campaign Planning environment during initial all-source intelligence analyst training, either in the Professional Analyst Career Education Program or the Defense Intelligence Strategic Analysis Program.</p>	4 years 5 months 21 days

**Report No. DODIG-2015-064, "Assessment of Intelligence Support to In-Transit Force Protection,"
January 2, 2015 (Full Report is FOUO)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.2: The DoD OIG recommended that the Defense Intelligence Agency Director, in conjunction with the Service counterintelligence components and the Chairman of the Joint Chiefs of Staff, update the Force Protection Detachment Joint Standard Operating Procedure so it contains current and clear guidance for Force Protection Detachment operations.</p>	4 years 2 months 29 days
<p>Rec. C.1: The DoD OIG recommended that the Defense Intelligence Agency Director ensure that the Joint Counterintelligence Training Academy completes and fields the Force Protection Detachment computer-based training course.</p>	4 years 2 months 29 days
<p>Rec. C.2: The DoD OIG recommended that the Defense Intelligence Agency Director ensure that the Joint Counterintelligence Training Academy establishes a formal system to integrate Force Protection Detachment course feedback from graduates and their supervisors and report results, at least annually, to the Force Protection Detachment Functional Manager.</p>	4 years 2 months 29 days

APPENDIX A

*Defense Intelligence Agency (cont'd)***Report No. DODIG-2016-098, "Evaluation of Foreign Officer Involvement at the United States Special Operations Command," June 15, 2016 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.2.a: The DoD OIG recommended that the Director of the Defense Intelligence Agency establish appropriate policy and procedures for integrating partner nation representatives into Defense Intelligence Agency accredited Sensitive Compartmented Information Facilities.*	2 years 9 months 16 days
Rec. B.2.b: The DoD OIG recommended that the Director of the Defense Intelligence Agency review the accreditation for the Five Eye Sensitive Compartmented Information Facility (S0-14-004) and ensure the accreditation certificate is in accordance with Defense Intelligence Agency and Intelligence Community Directive 705 requirements.*	2 years 9 months 16 days
Rec. B.2.c: The DoD OIG recommended that the Director of the Defense Intelligence Agency review the United States Special Operations Command's automated information systems accreditation.*	2 years 9 months 16 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2017-049, "Unclassified Report of Investigation on Allegations Relating to USCENTCOM Intelligence Products," January 31, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 11: The DoD OIG recommended that the relationship, reporting responsibilities, and intelligence requirements that apply to Defense Intelligence Agency analysts detailed to combatant commands should be clarified, in writing, so that Defense Intelligence Agency employees and their supervisors clearly understand their roles and responsibilities.	2 years 2 months
Rec. 12: The DoD OIG recommended that the Defense Intelligence Agency provide new Joint Intelligence Center U.S. Central Command personnel clear instructions on their direct support relationship with U.S. Central Command, including what analytical standards apply and who sets Joint Intelligence Center priorities and reporting requirements.	2 years 2 months

APPENDIX A

Defense Intelligence Agency (cont'd)

Report No. DODIG-2018-162, "Evaluation of Airborne Intelligence, Surveillance, and Reconnaissance Processing, Exploitation, and Dissemination Process for Operation Inherent Resolve," September 27, 2018 (Full Report is Classified)

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. 1: The DoD OIG recommended that the [REDACTED]	6 months 4 days
(FOUO)	

Report No. DODIG-2019-032, "Evaluation of Combatant Command Intelligence Directorate Internal Communications Processes," December 4, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.5: The DoD OIG recommended that the Defense Intelligence Agency Director issue formal notification to the combatant command analytic ombudsmen of mediation training opportunities.	3 months 27 days

Report No. DODIG-2019-042, "Evaluation of Social Media Exploitation Procedures Supporting Operation Inherent Resolve," December 28, 2018

(FOUO) Recommendation Number and Text	Age of Recommendation on 3/31/19
(FOUO) Rec. 2: The DoD OIG recommended that the [REDACTED]	3 months 3 days
(FOUO)	

Defense Logistics Agency

Report No. DODIG-2013-100, "Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan Improved, but Additional Actions are Needed," July 2, 2013

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Defense Logistics Agency Troop Support Commander implement corrective actions to address all recommendations, with the exception of Recommendations A1.b, A2.a, A2.b, A2.c, A3, A4.a, A4.b, B1, and B2, in DoD OIG Report No. D-2011-047, "Improvements Needed in Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan," March 2, 2011. Specifically, initiate corrective actions to recover premium transportation fees and refund the Army after litigation is completed.*</p>	5 years 8 months 29 days

* The agreed-upon corrective actions have been completed by DoD management; however, the Department's recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

Report No. DODIG-2016-036, "Management of Items in the Defense Logistics Agency's Long-Term Storage Needs Improvement," December 22, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1: The DoD OIG recommended that the Defense Logistics Agency Director update the automated recoupment process in the Enterprise Business System to include all categories of inventory to ensure all condition code A items are appropriately recouped from the long-term storage inventory.*</p>	3 years 3 months 9 days
<p>Rec. B.2: The DoD OIG recommended that the Defense Logistics Agency Director determine why eligible long-term storage inventory items are not automatically recouped and correct those deficiencies in the Enterprise Business System.*</p>	3 years 3 months 9 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2019-060, "Review of Parts Purchased From TransDigm Group, Inc.," February 25, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.a: The DoD OIG recommended that the Defense Logistics Agency Director consider all available corrective actions with TransDigm, including, but not limited to, directing Defense Logistics Agency Aviation contracting officers to seek a voluntary refund from TransDigm of</p> <ol style="list-style-type: none"> 1. approximately \$2.0 million in excess profit for the 13 purchases that contracting officers requested uncertified cost data for but TransDigm refused to provide, and 2. approximately \$2.4 million in excess profit for the 23 purchases that we identified contained excess profit. 	1 month 6 days

APPENDIX A

Defense Logistics Agency – Report No. DODIG-2019-060 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.b: The DoD OIG recommended that the Defense Logistics Agency Director consider all available corrective actions with TransDigm, including, but not limited to, directing Defense Logistics Agency Land and Maritime contracting officers to seek a voluntary refund from TransDigm of:</p> <ol style="list-style-type: none"> 1. approximately \$0.4 million in excess profit for the one purchase that the contracting officer requested uncertified cost data for but TransDigm refused to provide, and 2. approximately \$11.1 million in excess profit for the 72 purchases that we identified contained excess profit. 	1 month 6 days

*Defense Contract Audit Agency***Report No. DODIG-2016-091, “Evaluation of the Accuracy of Data in the DoD Contract Audit Follow-Up System,” May 13, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.b: The DoD OIG recommended that the Defense Contract Audit Agency Director modify Agency procedures and related internal controls to include the Qualifications or Unresolved Cost data field in the Defense Contract Audit Agency monthly report list of reportable audits, as DoD Instruction 7640.02, enclosure 4, paragraph 2.j, requires.</p>	2 years 10 months 18 days

Report No. DODIG-2017-092, “Audit of the Defense Contract Audit Agency Field Detachment,” June 14, 2017 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.2.c: The DoD OIG recommended that the Defense Contract Audit Agency Director, in coordination with the Defense Contract Audit Agency Security Officer, develop and implement a formalized program access request process to initiate, approve, debrief, and maintain personnel accesses.</p>	1 year 9 months 17 days
<p>Rec. A.2.f: The DoD OIG recommended that the Defense Contract Audit Agency Director initiate corrective action to the 2012 Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office Staff Assistance Visit report.</p>	1 year 9 months 17 days
<p>Rec. A.3.a: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer identify and complete all required co-utilization agreements for the Defense Contract Audit Agency Field Detachment.</p>	1 year 9 months 17 days
<p>Rec. A.3.b: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer update internal guidance to require classification reviews from the customer program security officer for audit work derived from classified information.</p>	1 year 9 months 17 days

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Defense Contract Audit Agency – Report No. DODIG-2017-092 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.3.c: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer develop and implement an incident response plan, including updated policies and procedures, for reporting, tracking, and investigating Field Detachment security incidents.	1 year 9 months 17 days
Rec. A.3.c.1: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer restrict non-security employee access to incident logs.	1 year 9 months 17 days
Rec. A.3.d: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer update the SF 700s with the required information and limit access to the special access programs information and safe combinations to those who are approved for access.	1 year 9 months 17 days
Rec. A.3.e: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer implement the use of authorized access lists and visitor logs in Defense Contract Audit Agency Field Detachment computer server rooms.	1 year 9 months 17 days
Rec. A.3.f: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer appoint its general special access program security officers in writing.	1 year 9 months 17 days
Rec. A.3.g: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer complete special access program facility accreditation documentation for the Defense Contract Audit Agency Field Detachment locations.	1 year 9 months 17 days
Rec. A.3.h: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer work with the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office to identify all Field Detachment personnel special access program accesses.	1 year 9 months 17 days
Rec. A.3.i: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer, in coordination with the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office, develop and implement a formalized automated process to request, initiate, approve, debrief, and maintain personnel special access program accesses.	1 year 9 months 17 days
Rec. A.3.i.1: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer debrief all personnel that do not have a valid need-to-know, are not clearly and materially contributing to the oversight of the special access program, and no longer require access to the information.	1 year 9 months 17 days
Rec. A.3.i.2: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer develop and maintain a special access program master list and provide site specific access lists to the Field Detachment security managers.	1 year 9 months 17 days
Rec. A.3.i.3: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer require security managers to destroy the old document when they receive an updated list.	1 year 9 months 17 days

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Defense Contract Audit Agency – Report No. DODIG-2017-092 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.3.i.4: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer inform the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office of all updates to DoD and non-DoD customer accesses.	1 year 9 months 17 days
Rec. A.3.j: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer update, complete, sign, and disseminate security policies and procedures.	1 year 9 months 17 days
Rec. A.3.k: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer develop a separate automated accountability system for Top Secret collateral and special access program material. The accountability system must be standardized and include the minimum required information found in the DoD Manual 5200.01, volume 1, "DoD Information Security Program, Overview, Classification, and Declassification," February 24, 2012.	1 year 9 months 17 days
Rec. A.3.l: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer work with the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office to identify and grant access to the Top Secret Control Officers, alternate Top Secret Control Officers, and the designated disinterested persons responsible for the accountability system.	1 year 9 months 17 days
Rec. A.3.m: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer require that all Defense Contract Audit Agency personnel performing audits of classified and special access program contracts receive mandated training and track all training.	1 year 9 months 17 days
Rec. A.3.n: The DoD OIG recommended that the Defense Contract Audit Agency Security Officer initiate corrective action based on the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office risk assessment.	1 year 9 months 17 days
Rec. B.2.a: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency perform an annual assessment of Field Detachment staffing and facility requirements for audit oversight of classified and special access programs operations based on established criteria.	1 year 9 months 17 days
Rec. B.2.a.1: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency perform an annual assessment of Field Detachment staffing and facility requirements for audit oversight of classified and special access programs operations based on established criteria. The criteria must include the volume of classified and special access programs workload at each site, the number of cleared personnel, and future audit requirements.	1 year 9 months 17 days
Rec. B.2.a.2: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency perform an annual assessment of Field Detachment staffing and facility requirements for audit oversight of classified and special access programs operations based on established criteria. The group should include involvement from Defense Contract Audit Agency Security Division and staff of equivalent responsibilities and authority.	1 year 9 months 17 days

APPENDIX A

Defense Contract Audit Agency – Report No. DODIG-2017-092 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.2.a.3: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency perform an annual assessment of Field Detachment staffing and facility requirements for audit oversight of classified and special access programs operations based on established criteria. Identify and grant access to those Field Detachment employees designated to perform audits of classified and special access programs.	1 year 9 months 17 days
Rec. B.2.b: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency establish and implement a process for annual planning and coordination with customer program security officers and Field Detachment supervisors to identify classified and special access programs.	1 year 9 months 17 days
Rec. B.2.b.1: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency work with the Defense Contract Audit Agency Security Officer and the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office to designate a group of Field Detachment leadership and branch managers to receive access to special access programs to conduct planning and oversight.	1 year 9 months 17 days
Rec. B.2.b.2: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency conduct annual planning to identify Field Detachment audit oversight efforts for classified and special access program projects.	1 year 9 months 17 days
Rec. B.2.b.3: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency reassess the use of regular telework schedules to ensure adequate personnel are available to audit classified and Special Action Program contracts.	1 year 9 months 17 days
Rec. B.2.b.4: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency determine annually whether classified, sensitive compartmented information, and special access programs are receiving adequate audit oversight.	1 year 9 months 17 days
Rec. B.2.c: The DoD OIG recommended that the Field Detachment Regional Director for the Defense Contract Audit Agency acquire and use a classified automated information system for conducting classified audit assignments and reports.	1 year 9 months 17 days

APPENDIX A

*Defense Contract Audit Agency (cont'd)***Report No. DODIG-2018-028, "External Peer Review on the Defense Contract Audit Agency System Review Report," November 17, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.c: The DoD OIG recommended that the Defense Contract Audit Agency Director assess Defense Contract Audit Agency's quality control procedures for providing reasonable assurance that auditors obtain sufficient and appropriate evidence in support of reported conclusions. As part of the assessment, the Defense Contract Audit Agency should assess its procedures for performing independent reference reviews to ensure adequate coverage of completed audits, consider requiring a minimum number of additional independent reference reviews that field audit offices must perform, and monitor field audit offices to ensure compliance with the requirements.</p>	1 year 4 months 14 days
<p>Rec. 3.a: The DoD OIG recommended that the Defense Contract Audit Agency Director assess and improve the quality control procedures to help ensure that Defense Contract Audit Agency auditors adequately document the:</p> <ol style="list-style-type: none"> 1. performance of planned audit program steps, 2. basis for judgmentally selecting transactions for testing and the reason the selection adequately addresses the audit objectives, and 3. criteria used in the audit. 	1 year 4 months 14 days
<p>Rec. 3.b: The DoD OIG recommended that the Defense Contract Audit Agency Director consider providing comprehensive refresher training on the documentation requirements in the Government Auditing Standards.</p>	1 year 4 months 14 days
<p>Rec. 4: The DoD OIG recommended that the Defense Contract Audit Agency Director assess and improve the quality assurance procedures for assisting supervisors in their reviews of audits, to include ensuring that the auditor sufficiently documents the work, obtains sufficient evidence, and prepares reports that comply with Government Auditing Standards. The Director should consider requiring supervisors to complete a checklist addressing the key professional auditing standards.</p>	1 year 4 months 14 days
<p>Rec. 5: The DoD OIG recommended that the Defense Contract Audit Agency Director provide the audit staff with training on the requirement and expectation for exercising professional judgment and for adhering to other key Government Auditing Standards, including evidence, planning, reporting, and documentation.</p>	1 year 4 months 14 days
<p>Rec. 1.a-f (Letter of Concern): The DoD OIG recommended that the Defense Contract Audit Agency Director assess and implement actions to improve the quality control procedures to help ensure that auditors adequately document:</p> <ol style="list-style-type: none"> a. updates or changes in conditions that impact the scope of audit and assessed risk; b. the rationale for significant judgments or conclusions reached; c. the development of reported findings; d. the audit criteria tested; e. the testing performed to verify compliance with the audit criteria; and f. working paper references to supporting documents. 	1 year 4 months 14 days

APPENDIX A

Defense Contract Audit Agency – Report No. DODIG-2018-028 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2 (Letter of Concern): The DoD OIG recommended that the Defense Contract Audit Agency Director emphasize to auditors the need to report important information to the contracting officer and contractor, in accordance with the reporting requirements of Government Auditing Standard, chapter 5, and agency policy.	1 year 4 months 14 days
Rec. 3.a-b (Letter of Concern): The DoD OIG recommended that the Defense Contract Audit Agency Director consider: a. providing training to highlight the need to plan steps for addressing the modified reporting criteria, and b. focusing on testing for compliance with the modified reporting criteria in future Defense Contract Audit Agency quality reviews.	1 year 4 months 14 days
Rec. 4.a-b (Letter of Concern): The DoD OIG recommended that the Defense Contract Audit Agency Director: a. remind employees of the critical need for all audit staff to assess their independence in accordance with Government Auditing Standard 3.02 and to complete the Independence Determination form in accordance with agency policy and, b. improve the quality controls for ensuring that each audit team member signs the Independence Determination before starting work on an audit.	1 year 4 months 14 days
Rec. 5 (Letter of Concern): The DoD OIG recommended that the Defense Contract Audit Agency Director reemphasize the agency's policy for canceled assignments to ensure that the audit staff appropriately coordinates with the contractor and contracting officer on the cancelation.	1 year 4 months 14 days

*Defense Security Cooperation Agency***Report No. DODIG-2013-005, "Performance Framework and Better Management of Resources Needed for the Ministry of Defense Advisors Program," October 23, 2012**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The Deputy Assistant Secretary of Defense for Partnership Strategy and Stability Operations, in coordination with the Commander of U.S. Combined Security Transition Command-Afghanistan, develop a performance management framework to include goals, objectives, and performance indicators to assess progress and measure program results. The performance management framework should also consider environmental and external factors that could affect the goals and objectives from being accomplished.*	6 years 5 months 8 days

* Responsibility for implementing Recommendation A.1 was transferred from ASD (SO/LIC) to DSCA.

APPENDIX A

Defense Security Cooperation Agency (cont'd)

Report No. DODIG-2015-177, "Assessment of DoD/USCENTCOM and Coalition Plans/Efforts to Train, Advise, and Assist the Iraqi Army to Defeat the Islamic State of Iraq and the Levant," September 30, 2015 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2: The DoD OIG recommended that the Defense Security Cooperation Agency Director, in coordination with the geographic combatant commands and the implementing agencies, establish, via a written internal/external standard operating procedure, a formal quality assurance review process that identifies process error omissions during each phase of the pseudo-foreign military sales equipment supply/procurement process.</p>	3 years 6 months 1 day

Report No. DODIG-2016-064, "Other Defense Organizations and Defense Finance and Accounting Service Controls Over High-Risk Transactions Were Not Effective," March 28, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2: The DoD OIG recommended that the Directors of the Other Defense Organizations and Defense Finance and Accounting Service revise service-level agreements based on the end-to-end business process identified. Service-level agreements should include clearly defined roles and responsibilities, internal controls, performance metrics, and quality assurance plans to ensure that detail-level data for problem disbursements are provided and problem disbursements are reduced and corrected in a timely manner.</p>	3 years 3 days

Report No. DODIG-2017-056, "U.S. European Command Needs to Improve Oversight of the Golden Sentry Program," February 17, 2017 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the Principal Director for the Security Assistance and Equipping Directorate, Defense Security Cooperation Agency update the security checklists to include instructions on when, where, and how the checklists should be used; who should use the checklists; and how that person should verify that the recipient country complied with the security checklists requirements.</p>	2 years 1 month 14 days
<p>Rec. 2.b: The DoD OIG recommended that the Principal Director for the Security Assistance and Equipping Directorate, Defense Security Cooperation Agency update the Defense Institute of Security Cooperation Studies' Security Cooperation Management Overseas training course to include training that addresses the use of security checklists and demonstrates how the Security Cooperation Organization's Golden Sentry Program Managers verify that the recipient country complied with the security checklist requirements.</p>	2 years 1 month 14 days

APPENDIX A

*Defense Security Cooperation Agency (cont'd)***Report No. DODIG-2017-099, "Evaluation of Department of Defense Efforts to Build Counterterrorism and Stability Operations Capacity of Foreign Military Forces with Section 1206/2282 Funding," July 21, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. C.1.a: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, pursuant to implementing activities authorized under 10 U.S.C. § 2282, take action to issue and enforce proposal guidance, including standards for submitting specific information necessary to fully describe partner-nation requirements, the metrics to assess project impact, and the means to sustain a project, if applicable.	1 year 8 months 10 days
Rec. C.1.b: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, pursuant to implementing activities authorized under 10 U.S.C. § 2282, take action to update proposal forms to provide guidance about including sections that enable Geographic Combatant Commands and United States Embassy Security Cooperation Organizations to document required data.	1 year 8 months 10 days
Rec. C.2: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with applicable Combatant Commands and Military Department Implementing Agencies, take action to ensure that Security Cooperation Organization personnel assigned to United States Embassies have the appropriate training, capability, and necessary Department of Defense support to develop equipment and requirement details meeting project-proposal standards required by 10 U.S.C. § 2282.	1 year 8 months 10 days
Rec. D.2: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict ensure that Department of Defense components responsible for implementing 10 U.S.C. § 2282 comply with Department of Defense security cooperation directives and procedures for documenting and retaining records pursuant to that authority.	1 year 8 months 10 days
Rec. F.1: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with relevant United States Government stakeholders, take action to ensure project proposals currently authorized under 10 U.S.C. § 2282 include fully developed and coordinated sustainment plans, as warranted.	1 year 8 months 10 days
Rec. F.2: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with relevant United States Government stakeholders, take action to ensure geographic Combatant Commands and United States Embassy Security Cooperation Organizations are aware of, and fully use, all funding authorities and sources available for sustaining capability provided by 10 U.S.C. § 2282.	1 year 8 months 10 days
Rec. G: The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with relevant Department of Defense stakeholders, systematically monitor implementation of 10 U.S.C. § 2282 with performance measures and indicators that enable senior-level management reviews in accordance with applicable Department of Defense, Office of Management and Budget, and other Government directives and guidance.	1 year 8 months 10 days

APPENDIX A

Missile Defense Agency

Report No. DODIG-2017-076, “The Missile Defense Agency Can Improve Supply Chain Security for the Ground-Based Midcourse Defense System,” April 27, 2017

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/19
	<p>Rec. 1.a.1: The DoD OIG recommended that the Missile Defense Agency Director develop a plan of action with milestones for the Ground-based Midcourse Defense System to comply with DoD Instruction 5200.44. The plan should establish controls and oversight and require Missile Defense Agency personnel to develop internal procedures or establish contract requirements to improve the accuracy of the critical components list to manage risks to the Ground-based Midcourse Defense System throughout its life cycle and require identification of all critical logic-bearing hardware components and critical software and firmware.</p>	1 year 11 months 4 days
	<p>Rec. 1.a.2: The DoD OIG recommended that the Missile Defense Agency Director develop a plan of action with milestones for the Ground-based Midcourse Defense System to comply with DoD Instruction 5200.44. The plan should establish controls and oversight and require Missile Defense Agency personnel to develop internal procedures or establish contract requirements to improve the accuracy of the critical components list to manage risks to the Ground-based Midcourse Defense System throughout its life cycle and require periodic updates to the critical components list to reflect changes in mission critical parts lists such as the As Designed Parts, Materials, and Processes List. The updates should be tied to system engineering technical reviews or similar events.</p>	1 year 11 months 4 days
	<p>(FOUO) Rec. 1.a.3: The DoD OIG recommended that the Missile Defense Agency Director develop a plan of action with milestones for the Ground-based Midcourse Defense System to comply with DoD Instruction 5200.44. The plan should establish controls and oversight and require Missile Defense Agency personnel to develop internal procedures or establish contract requirements to improve the accuracy of the critical components list to manage risks to the Ground-based Midcourse Defense System throughout its life cycle and require submitting only criticality level I and II components and prioritizing them when requesting supplier threat assessment from the [REDACTED]. Include all information needed by the [REDACTED] to conduct the supplier threat assessments.</p>	1 year 11 months 4 days
	<p>Rec. 1.b: The DoD OIG recommended that the Missile Defense Agency Director develop a plan of action with milestones for the Ground-based Midcourse Defense System to comply with DoD Instruction 5200.44. The plan should establish controls and oversight and require Missile Defense Agency personnel to develop internal procedures or establish contract requirements to improve the identification of suppliers of all critical components for the Ground-based Midcourse Defense System and establish a methodology to trace critical hardware, software, and firmware to their suppliers down to the lowest possible tier of the supply chain and retention of supporting purchase order data.</p>	1 year 11 months 4 days
(FOUO)		

APPENDIX A

Missile Defense Agency – Report No. DODIG-2017-076 (cont'd)

(FOUO)	Recommendation Number and Text	Age of Recommendation on 3/31/19
	<p>Rec. 1.c.2: The DoD OIG recommended that the Missile Defense Agency Director develop a plan of action with milestones for the Ground-based Midcourse Defense System to comply with DoD Instruction 5200.44. The plan should establish controls and oversight and require Missile Defense Agency personnel to develop internal procedures or establish contract requirements to use rigorous test and evaluation capabilities, including developmental, acceptance, and operational testing for malicious threats, to detect vulnerabilities within critical components of the Ground-based Midcourse Defense System and require implementation of the supply chain risk management requirements in Missile Defense Agency Policy Memorandum Number 70.</p>	1 year 11 months 4 days
	<p>Rec. 1.c.3: The DoD OIG recommended that the Missile Defense Agency Director develop a plan of action with milestones for the Ground-based Midcourse Defense System to comply with DoD Instruction 5200.44. The plan should establish controls and oversight and require Missile Defense Agency personnel to develop internal procedures or establish contract requirements to use rigorous test and evaluation capabilities, including developmental, acceptance, and operational testing for malicious threats, to detect vulnerabilities within critical components of the Ground-based Midcourse Defense System and require establishment of verification and validation procedures for critical hardware, software, and firmware for the Ground-based Midcourse Defense System.</p>	1 year 11 months 4 days
(FOUO)		

Report No. DODIG-2018-094, “Logical and Physical Access Controls at Missile Defense Agency Contractor Locations,” March 29, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Director for Acquisition of the Missile Defense Agency revise acquisition strategies for contract proposals involving ballistic missile defense system technical information to require contract offerors to submit a system security plan and associated plans of action that shows the condition of an offeror's internal information system and network that will process, store, and transmit classified and unclassified ballistic missile defense system technical information.</p>	1 year 2 days
<p>Rec. 2: The DoD OIG recommended that the Director for Acquisition of the Missile Defense Agency establish a separate technical evaluation factor in the source selection process to evaluate whether an offeror's approach to securing its networks and systems complied with Defense Federal Acquisition Regulation Supplement clause 252.204-7012.</p>	1 year 2 days
<p>Rec. 3: The DoD OIG recommended that the Director for Acquisition of the Missile Defense Agency conduct risk assessments prior to awarding contracts to evaluate the overall risk introduced by the condition of an offeror's information system and network that will process, store, and transmit ballistic missile defense system technical information and perform periodic risk assessments throughout the lifecycle of the contract.</p>	1 year 2 days

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Missile Defense Agency – Report No. DODIG-2018-094 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 4: The DoD OIG recommended that the Director for Acquisition of the Missile Defense Agency include penalty clauses in awarded contracts to levy monetary sanctions on contractors that fail to implement physical and logical security controls for protecting classified and unclassified ballistic missile defense system technical information.	1 year 2 days
Rec. 5: The DoD OIG recommended that the Director for Acquisition of the Missile Defense Agency provide oversight to ensure that contractors comply with the National Institute of Standards and Technology requirements for protecting controlled unclassified information throughout the lifecycle of the contract.	1 year 2 days
Rec. 6: The DoD OIG recommended that the Director for Acquisition of the Missile Defense Agency take corrective actions against contractors that failed to meet the National Institute of Standards and Technology and DoD requirements for protecting classified and unclassified ballistic missile defense system technical information.	1 year 2 days

*Defense Commissary Agency***Report No. DODIG-2017-060, “Defense Commissary Agency Purchases of Fresh Produce in Guam,” February 28, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Defense Commissary Agency Director reevaluate transportation options to address the price increase of bagged salads at the Guam commissaries.	2 years 1 month 3 days
Rec. 2: The DoD OIG recommended that the Defense Commissary Agency Director require Guam commissary produce personnel to document quality problems with fresh produce in commissary display areas and identify whether problems were related to ordering, product rotation, or receiving.	2 years 1 month 3 days

Report No. DODIG-2018-072, “Defense Commissary Agency’s Purchases of Fresh Produce for Japan and South Korea,” February 12, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: The DoD OIG recommended that the Defense Commissary Agency Director conduct a business case analysis or detailed market research on the Pacific fresh produce purchase process to identify potential opportunities to lower fresh produce prices and improve produce quality for customers.	1 year 1 month 19 days

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*Defense Commissary Agency (cont'd)***Report No. DODIG-2018-078, "Defense Commissary Agency Oversight of Fresh Produce Contracts in Japan and South Korea," February 22, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Defense Commissary Agency Director develop policies and procedures defining roles and responsibilities regarding contract quality assurance and surveillance on the Japan and South Korea produce contracts. The policies and procedures should provide guidance on how Defense Commissary Agency personnel should oversee and verify the surveys and calculate and verify contract fill rates before the information is used for contract performance evaluation.	1 year 1 month 9 days
Rec. 1.b: The DoD OIG recommended that the Defense Commissary Agency Director develop training for personnel on contract quality assurance and surveillance on the Japan and South Korea produce contracts that includes how to oversee the surveys and how to calculate contract fill rates.	1 year 1 month 9 days
Rec. 1.c: The DoD OIG recommended that the Defense Commissary Agency Director require Defense Commissary Agency personnel to review and verify credit information for all produce inspection worksheets previously submitted to support all vouchers that have been paid on the Japan and South Korea contracts, since the original award in July 2015. If Defense Commissary Agency personnel find incorrect credit information and incorrect voucher amounts that were paid, they should fix the under or overstated amount paid. The Director, Defense Commissary Agency, should provide the results of the review to the DoD Office of Inspector General.	1 year 1 month 9 days
Rec. 1.d: The DoD OIG recommended that the Defense Commissary Agency Director develop policies and procedures which require Defense Commissary Agency personnel to review and verify the accuracy of all future produce inspection worksheets-including the case price, pack size, cases received, units received, percent case credit, amount to be credited, and total credit-before processing vouchers for payment.	1 year 1 month 9 days

Report No. DODIG-2019-035, "System Review Report on the Defense Commissary Agency Internal Review," December 13, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Defense Commissary Agency Director realign the Defense Commissary Agency Internal Review Chief to report to the Director as described in Defense Commissary Agency Directive 90-5, "Internal Audit Activities," and Defense Commissary Agency Internal Audit Manual 90-5.1.	3 months 18 days
Rec. 1.b: The DoD OIG recommended that the Defense Commissary Agency Director revise the Defense Commissary Agency Directive 90-5, "Internal Audit Activities," and the Defense Commissary Agency Internal Audit Manual 90-5.1 to reflect the current organizational structure and management responsibilities.	3 months 18 days

APPENDIX A

Defense Commissary Agency – Report No. DODIG-2019-035 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1.c: The DoD OIG recommended that the Defense Commissary Agency Director establish and document safeguards to:</p> <ol style="list-style-type: none"> 1. resolve disputed findings; 2. resolve potential disagreements between the Deputy Director and the Internal Review Chief; 3. elevate the Internal Review Chief’s concerns directly to the Director and the Chairman of the Board of Directors, when appropriate; 4. obtain input and approval from the Director concerning the annual audit plans; and 5. regularly discuss audit results directly with the Director and the Chairman of the Board of Directors. 	3 months 18 days
<p>Rec. 2.a.1: The DoD OIG recommended that the Defense Commissary Agency Director direct the Defense Commissary Agency Internal Review Chief to revise the Defense Commissary Agency’s Internal Audit Manual 90-5.1 to include requirements for auditors and management to address the independence standards, including the application of appropriate safeguards.</p>	3 months 18 days
<p>Rec. 2.a.2: The DoD OIG recommended that the Defense Commissary Agency Director direct the Defense Commissary Agency Internal Review Chief to revise the Defense Commissary Agency’s Internal Audit Manual 90-5.1 to include the requirement that auditors and management include in the project working papers Independence Statements for all personnel who conduct, edit, review, approve, direct, or publish an audit report.</p>	3 months 18 days
<p>Rec. 2.a.3: The DoD OIG recommended that the Defense Commissary Agency Director direct the Defense Commissary Agency Internal Review Chief to revise the Defense Commissary Agency’s Internal Audit Manual 90-5.1 to include the requirement that auditors and management ensure that working papers conform to the Defense Commissary Agency’s Internal Audit Manual 90-5.1 and ensure that the checklist accompanying the “Certification Statement of Independent Referencing” includes steps to verify that all working papers are signed off as reviewed.</p>	3 months 18 days
<p>Rec. 2.a.4: The DoD OIG recommended that the Defense Commissary Agency Director direct the Defense Commissary Agency Internal Review Chief to revise the Defense Commissary Agency’s Internal Audit Manual 90-5.1 to include the requirement that auditors and management identify and document in the project working papers departures from Government Auditing Standards requirements and the impact on the audit and the auditors’ conclusions.</p>	3 months 18 days
<p>Rec. 2.b: The OIG recommended that the Defense Commissary Agency Director direct the Defense Commissary Agency Internal Review Chief to develop written procedures to evaluate auditor candidates’ qualifications for adherence to the Office of Personnel Management General Schedule Qualification Standards.</p>	3 months 18 days
<p>Rec. 3.a: The DoD OIG recommended that the Defense Commissary Agency Director require the Defense Commissary Agency Internal Review Chief to provide training to all supervisors on Government Auditing Standards requirements.</p>	3 months 18 days

APPENDIX A

Defense Commissary Agency – Report No. DODIG-2019-035 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.d: The DoD OIG recommended that the Defense Commissary Agency Director require the Defense Commissary Agency Internal Review Chief to develop a certification statement that includes a statement verifying that the independent reference reviewer is independent of the audit, as required by Defense Commissary Agency Internal Audit Manual 90-5.1, and that includes a signature block for the independent reference reviewer, auditor, and lead auditor.	3 months 18 days
Rec. 3.e: The DoD OIG recommended that the Defense Commissary Agency Director require the Defense Commissary Agency Internal Review Chief to require the use of the Audit Report Reviewer Checklist included in the Defense Commissary Agency Internal Audit Manual 90-5.1 and the Project Quality Control Checklist-Performance Audits checklist included in the project file template.	3 months 18 days
Rec. 4: The DoD OIG recommended that the Defense Commissary Agency Director require the Defense Commissary Agency Internal Review Chief to design and implement a written process for performing monitoring of quality and summarizing the results on at least an annual basis in accordance with Government Auditing Standards.	3 months 18 days
Rec. 5: The DoD OIG recommended that the Defense Commissary Agency Director require the Defense Commissary Agency Internal Review Chief to update the Defense Commissary Agency's Internal Audit Manual 90-5.1 to include requirements for auditors to complete all planning steps or provide a documented justification for not completing omitted planning steps.	3 months 18 days
Rec. 6.a: The DoD OIG recommended that the Defense Commissary Agency Director require the Defense Commissary Agency Internal Review Chief to provide training to auditors on the Government Auditing Standards evidence and documentation requirements to obtain sufficient and appropriate evidence to provide a reasonable basis for their findings and conclusions.	3 months 18 days
Rec. 6.b: The DoD OIG recommended that the Defense Commissary Agency Director require the Defense Commissary Agency Internal Review Chief to provide training to auditors on the Government Auditing Standards evidence and documentation requirements to determine whether enough appropriate evidence exists to address the audit objectives and support the findings and conclusions.	3 months 18 days
Rec. 6.c: The DoD OIG recommended that the Defense Commissary Agency Director require the Defense Commissary Agency Internal Review Chief to provide training to auditors on the Government Auditing Standards evidence and documentation requirements to apply additional procedures when auditors identify limitations or uncertainties in evidence that are significant to the audit findings and conclusions.	3 months 18 days
Rec. 7.a: The DoD OIG recommended that the Defense Commissary Agency Director require the Defense Commissary Agency Internal Review Chief to provide training to auditors on the Government Auditing Standards reporting requirements to describe accurately the audit objectives and the scope and methodology used for addressing the audit objectives.	3 months 18 days

APPENDIX A

Defense Commissary Agency – Report No. DODIG-2019-035 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 7.b: The DoD OIG recommended that the Defense Commissary Agency Director require the Defense Commissary Agency Internal Review Chief to provide training to auditors on the Government Auditing Standards reporting requirements to report conclusions accurately based on audit objectives and audit findings.	3 months 18 days
Rec. 7.c: The DoD OIG recommended that the Defense Commissary Agency Director require the Defense Commissary Agency Internal Review Chief to provide training to auditors on the Government Auditing Standards reporting requirements to prepare a summary of the oral comments and provide a copy of the summary to the responsible officials to verify that the comments are accurately stated when responsible officials provide oral comments.	3 months 18 days
Rec. 7.d: The DoD OIG recommended that the Defense Commissary Agency Director require the Defense Commissary Agency Internal Review Chief to provide training to auditors on the Government Auditing Standards reporting requirements to obtain and report the views of responsible officials of the audited entity concerning the findings, conclusions, and recommendations in the audit report.	3 months 18 days

*Defense Finance and Accounting Service***Report No. DODIG-2012-107, “Defense Finance and Accounting Service Needs to Improve the Process for Reconciling the Other Defense Organizations’ Fund Balance with Treasury,” July 9, 2012**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop a systems infrastructure that will allow personnel to readily retrieve the detailed transactions supporting all open appropriations that the Accounts Maintenance and Control branch is responsible for accounting for and reconciling on the Cash Management Report.	6 years 8 months 22 days

Report No. DODIG-2016-103, “Improvements Needed in Managing Army Suspense Accounts,” June 27, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.2: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director, in coordination with Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and other Defense Finance and Accounting Service personnel, request, as necessary, from Office of Management and Budget and the Department of the Treasury, approval to establish special and deposit fund accounts.	2 years 9 months 4 days

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Defense Finance and Accounting Service – Report No. DODIG-2016-103 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.3: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director, in coordination with Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and other Defense Finance and Accounting Service personnel, revise the DoD Financial Management Regulation to reflect the changes in how the special fund and deposit fund accounts are to be used.</p>	2 years 9 months 4 days

Report No. DODIG-2016-126, “Improvements Needed In Managing the Other Defense Organizations’ Suspense Accounts,” August 25, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.c: The DoD OIG recommended that the Directors of Defense Finance and Accounting Service-Indianapolis and -Columbus develop an estimate using relevant, sufficient, and reliable information to record the consolidated Other Defense Organizations’ suspense account balances on the individual Other Defense Organizations’ financial statements.</p>	2 years 7 months 6 days
<p>Rec. B.1.a: The DoD OIG recommended that the Directors of Defense Finance and Accounting Service-Indianapolis and -Columbus, in coordination with the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise the DoD Financial Management Regulation so that it is consistent with the Treasury Financial Manual and Office of Management and Budget guidance and it instructs agencies on how to properly account for revenue-generating, Thrift Savings Plan, and tax transactions.</p>	2 years 7 months 6 days
<p>Rec. B.1.b: The DoD OIG recommended that the Directors of Defense Finance and Accounting Service-Indianapolis and -Columbus, in coordination with the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, establish, in coordination with the Office of Management and Budget and the U.S. Treasury, special fund accounts for recording and reporting the revenue-generating transactions and a deposit fund account for properly recording Thrift Savings Plan transactions.</p>	2 years 7 months 6 days

Report No. DODIG-2017-019, “Improvements Needed in Managing Air Force Suspense Accounts,” November 10, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1.a: The DoD OIG recommended that the Defense Finance and Accounting Service-Columbus Director, in coordination with the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise the DoD Financial Management Regulation to be consistent with the Treasury Financial Manual and Office of Management and Budget guidance to account for the revenue-generating programs, Uniformed Services Thrift Savings Plan contributions, and payroll tax withholdings.</p>	2 years 4 months 21 days

APPENDIX A

Defense Finance and Accounting Service – Report No. DODIG-2017-019 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1.b: The DoD OIG recommended that the Defense Finance and Accounting Service-Columbus Director record revenue-generating-programs in a special fund account and record payroll tax withholdings and Uniformed Services Thrift Savings Plan contributions as deposit fund accounts to properly report the balances on the correct line of the Air Force General Fund Financial Statements.</p>	2 years 4 months 21 days

Report No. DODIG-2017-015, “Application Level General Controls for the Defense Cash Accountability System Need Improvement,” November 10, 2016

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.c.1: The DoD OIG recommended that the Director of Business Enterprise Information Services and Other Systems, Defense Finance and Accounting Service, develop and implement procedures to require Information System Security Officers to comply with the certification requirements established in DoD Manual 8570.01-M, “Information Assurance Workforce Improvement Program.”</p>	2 years 4 months 21 days
<p>Rec. B.1.b: The DoD OIG recommended that the Director of Business Enterprise Information Services and Other Systems, Defense Finance and Accounting Service, train supervisors, Information Owners and their representatives, and Center Administrators to validate that each System Authorization Access Request is complete and requested access levels to perform sensitive activities are appropriate before signing the System Authorization Access Request and authorizing each user account.</p>	2 years 4 months 21 days

Report No. DODIG-2017-069, “Ineffective Fund Balance With Treasury Reconciliation Process for Army General Fund,” March 23, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 1: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and Defense Finance and Accounting Service-Indianapolis Director reengineer their reconciliation process to meet the 10-workday deadline or coordinate with the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, to determine whether DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 4, chapter 2, needs to be revised to increase the number of days for the reconciliation process.</p>	2 years 8 days

APPENDIX A

Defense Finance and Accounting Service – Report No. DODIG-2017-069 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a-d: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and Director, Defense Finance and Accounting Service-Indianapolis, reengineer the Fund Balance With Treasury reconciliation process for the Army General Fund to:</p> <ul style="list-style-type: none"> a. correct system deficiencies known to cause Fund Balance With Treasury differences; b. research and resolve all differences within 60 days, as required by DoD Financial Management Regulation 7000.14-R, "DoD Financial Management Regulation," volume 4, chapter 2; c. document the Defense Departmental Reporting System-Budgetary business rules that create the system-generated adjustments; and d. support all Fund Balance With Treasury adjustments to the Army General Fund with transaction-level detail, as required by DoD Financial Management Regulation 7000.14-R, "DoD Financial Management Regulation," volume 6a, chapter 2, and establish and properly document the cause of the difference requiring adjustment to comply with the Treasury Financial Manual. 	2 years 8 days

Report No. DODIG-2018-041, "The Defense Finance and Accounting Service Financial Reporting Process for Other Defense Organizations' General Funds," December 15, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop a process narrative and process map that describes the detailed processes for the Other Defense Organizations' General Fund compilation process.</p>	1 year 3 months 16 days
<p>Rec. 2.b.1: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop adequate standard operating procedures that provide the steps necessary to compile the Other Defense Organizations' General Fund financial statements.</p>	1 year 3 months 16 days
<p>Rec. 2.b.2: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop adequate standard operating procedures that provide the steps necessary to reconcile the list of Other Defense Organizations' General Fund reporting entities and their sub-entities to the Defense Finance and Accounting Service Report 804E, "Entity Code Listing and Supplemental Line of Accounting," and Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer Financial Improvement and Audit Readiness Directorate listing.</p>	1 year 3 months 16 days

APPENDIX A

Defense Finance and Accounting Service – Report No. DODIG-2018-041 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.c: The DoD OIG recommended that the Defense Finance and Accounting Service-Indianapolis Director develop a plan to reduce the number of the journal vouchers needed to compile the Other Defense Organizations' General Fund financial statements.	1 year 3 months 16 days
Rec. 3.a: The DoD OIG recommended that the Director of Defense Finance and Accounting Service Enterprise Solutions and Standards categorize system-generated journal vouchers in accordance with DoD Financial Management Regulation, volume 6A, chapter 2, section 020208, "Journal Voucher (JV) Preparation," August 2011.	1 year 3 months 16 days
Rec. 3.b: The DoD OIG recommended that the Director of Defense Finance and Accounting Service Enterprise Solutions and Standards prepare quarterly metric reports that include all the journal vouchers, including system-generated journal vouchers, made to compile the Other Defense Organizations General Funds' financial statements.	1 year 3 months 16 days
Rec. 3.c: The DoD OIG recommended that the Director of Defense Finance and Accounting Service Enterprise Solutions and Standards monitor the status of the open recommendation and, when appropriate, expedite the implementation of the last phase of the Department 97 Reconciliation and Reporting Tool and develop milestones for its implementation.	1 year 3 months 16 days

Report No. DODIG-2018-099, "Army Internal Controls Over Foreign Currency Accounts and Payments," March 29, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.4: The DoD OIG recommended that the Commander of the 176th Financial Management Support Unit, U.S. Army Garrison Yongsan, South Korea; the Defense Finance and Accounting Service-Japan Director; and the U.S. Army Corps of Engineers Finance Center Director develop local procedures to comply with revised guidance identified in Recommendation B.1, when issued by the Under Secretary of Defense (Comptroller), Office of the Deputy Chief Financial Officer.	1 year 2 days

Report No. DODIG-2018-120, "The Treasury Index 97 Cash Management Report," May 23, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.a.1: The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis improve the Cash Management Report process to produce one consolidated Cash Management Report that reports all the Other Defense Organizations financial activity.	10 months 8 days

Defense Finance and Accounting Service – Report No. DODIG-2018-120 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.a.2: The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis improve the Cash Management Report process to produce one consolidated Cash Management Report that reports only Treasury Index 97 financial data.	10 months 8 days
Rec. 3.a.3: The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis improve the Cash Management Report process to produce one consolidated Cash Management Report that supports all Treasury Index 97 Fund Balance With Treasury account reconciliations.	10 months 8 days
Rec. 3.b: The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis document the updated Cash Management Reporting process for all Treasury Index 97 Fund Balance With Treasury accounts in process maps and process narratives.	10 months 8 days
Rec. 3.c: The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis Revise Standard Operating Procedure, "Cash Management Report Summary-Level Preparation," September 2015, to include adequate procedures that require a reconciliation of all Treasury Index 97 Fund Balance With Treasury accounts in the Cash Management Report with all the Treasury Index 97 Fund Balance With Treasury accounts in the Central Accounting and Reporting System.	10 months 8 days
Rec. 3.d.1: The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis develop standard operating procedures that provide detailed steps on how to identify and resolve differences between the Treasury Index 97 Fund Balance With Treasury account balances reported in the Cash Management Report and the Treasury Index 97 Fund Balance With Treasury account balances reported in the Central Accounting and Reporting System.	10 months 8 days
Rec. 3.d.3: The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis develop standard operating procedures that require Defense Finance and Accounting Service-Indianapolis to obtain written approval from the Other Defense Organizations for any adjustment made to Treasury Index 97 accounts.	10 months 8 days
Rec. 3.e: The DoD OIG recommended that the Director of Defense Finance and Accounting Service-Indianapolis develop standard operating procedures that report the absolute dollar amount of the balances recorded in unidentified limits to the Other Defense Organizations in the monthly Treasury Index 97 audit reconciliation workbooks.	10 months 8 days

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*Defense Finance and Accounting Service (cont'd)***Report No. DODIG-2018-136, "Followup Audit: Application Level General Controls for the Defense Cash Accountability System," July 10, 2018**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1.b: The DoD OIG recommended that the Business Enterprise Information Services and Other Systems Director for Defense Finance and Accounting Service demonstrate that supervisors, Information Owners and their representatives, and Center Administrators have been trained to ensure that requested access levels to perform non-sensitive activities are appropriate before approving the System Authorization Access Request and authorizing each user account.</p>	8 months 21 days
<p>Rec. B.2: The DoD OIG recommended that the Deputy Director of Operations for Defense Finance and Accounting Service verify that changes made by the Table Administrators to the Defense Cash Accountability System Master Data Tables are authorized, tested, approved, monitored, and tracked.</p>	8 months 21 days

Report No. DODIG-2019-039, "Reporting of Improper Payments for the Defense Finance and Accounting Service Commercial Pay Program," December 21, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 3: The DoD OIG recommended that the Defense Finance and Accounting Service, Enterprise Solutions and Standards Director, develop a memorandum of understanding for each payment system, documenting the payment data requirements from each system that includes:</p> <ol style="list-style-type: none"> a. a detailed description of payments to exclude from the population; b. a definition of the types of commercial payments to include in the population; and c. documentation of how each system identifies the payment-related data elements. 	3 months 10 days

Defense Contract Management Agency

Report No. DODIG-2014-077, “Hotline Complaint Regarding the Settlement of the Pratt & Whitney Commercial Engine Cost Accounting Standards Case,” May 30, 2014

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. F: The DoD OIG recommended that the DCMA Director take the following actions in a timely manner to ensure that (i) the cost accounting practice used by Pratt includes the actual cost of collaboration parts in the allocation base used to allocate material overhead costs to U.S. Government contracts in accordance with the rules and regulations established by the Cost Accounting Standards Board, and (ii) the U.S. Government recovers any increased costs paid to Pratt since 2005 resulting from the contractor’s use of a cost accounting practice determined by the DCMA to be noncompliant with CAS 418 on June 5, 2006:</p> <ol style="list-style-type: none"> 1. if legally required, make a second determination of compliance or noncompliance in accordance with FAR 30.605(b)(3)(ii); 2. if legally required, notify the contractor of this determination in accordance with FAR 30.605(b)(3)(iii); 3. make a determination of materiality in accordance with the requirements of FAR 30.605(b)(4); 4. in making the decision on materiality as required by FAR 30.605(b)(4), abide by the decision of the United States Court of Appeals for the Federal Circuit and, where Pratt argues that some portion of the revenue share payments represent payments for items other than parts, require that Pratt provide evidence that the revenue share payments included payments beyond that for the collaboration parts; 5. follow the procedures in paragraphs (c) through (h) of FAR 30.605 to correct the noncompliant cost accounting practice; 6. when evaluating a general dollar magnitude proposal (FAR 30.605(d)) or a detailed cost impact proposal (FAR 30.605(f)), abide by the decision of the Court and, where Pratt argues that some portion of the revenue share payments represent payments for items other than parts, require that Pratt provide evidence that the revenue share payments included payments beyond that for the collaboration parts; 7. obtain a legal counsel opinion regarding the applicability, if any, of the requirement in the Contracts Disputes Act that the government submit a claim to the contractor within 6 years after the accrual of the claim and how this may impact the U.S. Government’s ability to recover any increased costs paid since 2005; and 8) provide semiannual updates to the DoD OIG Assistant Inspector General, Audit Policy & Oversight, until all recommendations have been implemented.* 	<p>4 years 10 months 1 day</p>

* The agreed-upon corrective actions have been completed by DoD management; however, the Department’s recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.

APPENDIX A

*Defense Contract Management Agency (cont'd)***Report No. DODIG-2015-006, "Policy Changes Needed at Defense Contract Management Agency to Ensure Forward Pricing Rates Result in Fair and Reasonable Contract Pricing," October 9, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A: The DoD OIG recommended that the Defense Contract Management Agency Director provide training to the administrative contracting officer community on the use of cost analysis to determine fair and reasonable Forward Pricing Rate Recommendation and Forward Pricing Rate Agreement rates.	4 years 5 months 22 days
Rec. B.1.a: The DoD OIG recommended that the Defense Contract Management Agency Director evaluate DCMA Instruction 130, "Forward Pricing Rates," and, where applicable, revise it to address the Federal Acquisition Regulation 15.404-2(a)(1) requirement that the administrative contracting officer must tailor the request for audit services to reflect the minimum essential supplementary information needed to conduct a cost analysis.	4 years 5 months 22 days
Rec. B.2: The DoD OIG recommended that the Defense Contract Management Agency Director provide training to the administrative contracting officer community on the Federal Acquisition Regulation requirement to tailor the request for audit services.	4 years 5 months 22 days
<p>Rec. C.2: The DoD OIG recommended that the Defense Contract Management Agency Director provide training to the administrative contracting officer community on:</p> <ol style="list-style-type: none"> 1. the need to document in the contract case file the cost analysis performed and the data and information related to the contracting officer's determination of fair and reasonable Forward Pricing Rate Recommendation and Forward Pricing Rate Agreement rates; 2. the use of the revised Pre-negotiation Memorandum template; and 3. any revisions made to DCMA Instruction 809, "Records Management," to ensure the Government contract case file is sufficient to constitute a complete history of a Forward Pricing Rate Recommendation and Forward Pricing Rate Agreement transaction. 	4 years 5 months 22 days

Report No. DODIG-2016-005, "Follow up on the Actions to Improve the Defense Contract Management Agency's Cost Analysis Function," October 29, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. C.2: The DoD OIG recommended that the Defense Contract Management Agency Director implement the Price & Negotiation eTool corrective actions items 1 through 8 identified on Defense Contract Management Agency's original Execution Plan.	3 years 5 months 2 days

*Defense Contract Management Agency (cont'd)***Report No. DODIG-2016-091, "Evaluation of the Accuracy of Data in the DoD Contract Audit Follow-Up System," May 13, 2016**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 3.b: The DoD OIG recommended that the Defense Contract Management Agency Director modify Agency procedures and related internal controls to help ensure that contracting officers complete their required actions on all Defense Contract Audit Agency findings before they record the audit report as dispositioned in the Contract Audit Follow-up System.</p>	2 years 10 months 18 days

Report No. DODIG-2017-055, "Evaluation of Defense Contract Management Agency Contracting Officer Actions on Defense Contract Audit Agency Incurred Cost Audit Reports," February 9, 2017

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a-b: The DoD OIG recommended that the Defense Contract Management Agency Director request the six Defense Contract Management Agency contracting officers with negotiation authority to:</p> <ol style="list-style-type: none"> take appropriate action on the Defense Contract Audit Agency reported questioned direct costs of \$297.6 million; and document the action in a post-negotiation memorandum, as DoD Instruction 7640.02 requires. 	2 years 1 month 22 days
<p>Rec. A.2.a-b: The DoD OIG recommended that the Defense Contract Management Agency Director direct the two remaining Defense Contract Management Agency contracting officers to:</p> <ol style="list-style-type: none"> coordinate with the other DoD Component contracting officers having authority to negotiate the reported questioned direct costs of \$7.2 million; and incorporate the negotiation results from the other DoD Components in a post-negotiation memorandum, as DoD Instruction 7640.02 requires. 	2 years 1 month 22 days
<p>Rec. B.1.a: The DoD OIG recommended that the Defense Contract Management Agency Director request that the cognizant contracting officers assess penalties (including interest) or, if appropriate, waive them in accordance with Federal Acquisition Regulation 42.709 for the sustained questioned costs reported as expressly unallowable in the seven Defense Contract Audit Agency reports.</p>	2 years 1 month 22 days
<p>Rec. B.1.b: The DoD OIG recommended that the Defense Contract Management Agency Director consider educational or corrective opportunities for each of the contracting officers that did not assess penalties or appropriately waive them to ensure the contracting officers are aware of their responsibilities for complying with Federal Acquisition Regulation 42.709.</p>	2 years 1 month 22 days
<p>Rec. B.1.c: The DoD OIG recommended that the Defense Contract Management Agency Director improve the management review of contracting officer actions to better ensure contracting officers assess penalties for expressly unallowable costs or document a waiver of penalties that complies with Federal Acquisition Regulation 42.709-5.</p>	2 years 1 month 22 days

APPENDIX A

Defense Contract Management Agency – Report No. DODIG-2017-055 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. C.1: The DoD OIG recommended that the Defense Contract Management Agency Director improve controls for ensuring the completeness and accuracy of negotiation documents in accordance with Federal Acquisition Regulation 42.705-1(b)(5), DoD Instruction 7640.02, and the Defense Contract Management Agency Instruction 125.</p>	2 years 1 month 22 days
<p>Rec. C.2.a-c: The DoD OIG recommended that the Defense Contract Management Agency Director provide refresher training to the contracting officers emphasizing DoD Instruction 7640.02, Defense Contract Management Agency Instruction requirements to:</p> <ul style="list-style-type: none"> a. address and document all audit findings, including any questioned direct costs, on the negotiation memorandum; b. maintain evidence demonstrating that the contracting officer appropriately distributed negotiation documents, including the indirect cost rate agreement and negotiation memorandum; and c. consider additional educational or corrective opportunities for those contracting officers that did not prepare adequate negotiation documents or distribute them in accordance with Federal Acquisition Regulation 42.706. 	2 years 1 month 22 days
<p>Rec. D.1.a-c: The DoD OIG recommended that the Defense Contract Management Agency Director request that the contracting officers assigned to Audit Report Numbers 6281-2005G10100001, 3321-2009K10100002 and 4531-2007K10100001:</p> <ul style="list-style-type: none"> a. determine the actions they should take to appropriately disposition the audit findings; b. document the actions taken to achieve disposition at least monthly; and c. document the disposition of the audit findings in a negotiation memorandum. 	2 years 1 month 22 days
<p>Rec. D.2: The DoD OIG recommended that the Defense Contract Management Agency Director provide refresher training emphasizing the requirement for the Defense Contract Management Agency contracting officers to adequately update the contract audit follow-up record on a monthly basis with the cause for the delay and actions taken to achieve a timely resolution or disposition.</p>	2 years 1 month 22 days
<p>Rec. E.1.a-d: The DoD OIG recommended that the Defense Contract Management Agency Director remove the disposition date entered in the Contract Audit Follow-up System for the following audit reports and reinstate the reports as unresolved until the contracting officer resolves and disposes the questioned direct costs:</p> <ul style="list-style-type: none"> a. Audit Report No. 2161-2007T10100001, b. Audit Report No. 3161-2007F10100001, c. Audit Report No. 3181-2009D10100001, and d. Audit Report No. 6271-2003A10100103. 	2 years 1 month 22 days

APPENDIX A

Defense Contract Management Agency – Report No. DODIG-2017-055 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. E.2.a-b: The DoD OIG recommended that the Defense Contract Management Agency Director remove the resolution date entered in the Contract Audit Follow-up System for the following audit reports and change their status to Defer while the audit issues are before the U.S. Court of Federal Claims or Armed Services Board of Contract Appeals:</p> <ul style="list-style-type: none"> a. Audit Report No. 1271-2007D10100003, and b. Audit Report No. 2801-2008B10100101. 	2 years 1 month 22 days

Report No. DODIG-2018-110, “Defense Contract Management Agency’s Information Technology Contracts,” April 25, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a.1: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls to ensure Defense Contract Management Agency contracting officials develop contract performance work statements for service acquisitions that include performance requirements in terms of defined deliverables, contractor performance objectives and standards, and a quality assurance plan.</p>	11 months 6 days
<p>Rec. A.1.a.2: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls to ensure Defense Contract Management Agency contracting officials develop acquisition plans for all service acquisitions of \$3,000 or more.</p>	11 months 6 days
<p>Rec. A.1.b: The DoD OIG recommended that the Defense Contract Management Agency Director develop internal guidance to establish multi-functional teams that include the program manager, contracting officer, contracting officer’s representative, finance officer, and legal advisor to plan and manage service acquisitions from when the agency identifies a need for a service through the execution of the contract.</p>	11 months 6 days
<p>Rec. A.1.c: The DoD OIG recommended that the Defense Contract Management Agency Director develop guidance for awarding contracts under the Small Business Administration’s 8(a) program to include notifying the Small Business Administration of DCMA contracting officers’ plans to award all orders under blanket purchase agreements that are under the 8(a) program.</p>	11 months 6 days
<p>Rec. A.1.d: The DoD OIG recommended that the Defense Contract Management Agency Director inform the Richmond District Office of the Small Business Administration of all orders that the Defense Contract Management Agency awarded under blanket purchase agreements without notifying the Small Business Administration.</p>	11 months 6 days
<p>Rec. A.1.f: The DoD OIG recommended that the Defense Contract Management Agency Director immediately identify the mission-critical requirements being met through flexible ordering agreements and award new contracts before the current flexible ordering agreements’ periods of performance end.</p>	11 months 6 days

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Defense Contract Management Agency – Report No. DODIG-2018-110 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.g: The DoD OIG recommended that the Defense Contract Management Agency Director initiate a review of the contracting officers' actions to continue the use of flexible ordering agreements, despite the Government Accountability Office's decision and, as appropriate, initiate management action to hold the officials accountable.	11 months 6 days
Rec. A.1.h: The DoD OIG recommended that the Defense Contract Management Agency Director develop procedures for conducting pre-award peer reviews of solicitations for competitive procurements valued at less than \$1 billion and for noncompetitive procurements valued at less than \$500 million and post-award peer reviews of all contracts for services valued at less than \$1 billion.	11 months 6 days
Rec. B.1.a.1: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officer's representatives or contracting officers perform inspections and monitor contractor performance on service contracts.	11 months 6 days
Rec. B.1.a.2: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officer's representatives complete and submit monthly reports on the contractor's performance and contracting officers review contracting officer's representative monthly reports.	11 months 6 days
Rec. B.1.a.3: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that either the contracting officer or contracting officer's representative performs reviews or inspections of contractor deliverables before accepting services.	11 months 6 days
Rec. B.1.a.4: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that the contracting officer or contracting officer's representative determines whether the contractor performed satisfactorily and ensure the work progressed according to the contract before approving invoices.	11 months 6 days
Rec. B.1.a.5: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that requiring activities nominate qualified contracting officer's representatives for all ongoing service contracts without contracting officer's representatives and for future service contracts prior to the award of the contracts.	11 months 6 days
Rec. B.1.a.6: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officers appoint contracting officer's representatives for all ongoing service contracts without contracting officer's representatives and for future service contracts prior to the award of the contracts.	11 months 6 days

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Defense Contract Management Agency – Report No. DODIG-2018-110 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1.a.7: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officers terminate the contracting officer's representative appointment when a contracting officer's representative has unsatisfactory performance, transfers, or retires and appoint a new contracting officer's representative.	11 months 6 days
Rec. B.1.a.8: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that contracting officers provide contracting officer's representatives with contract-specific training on contracting officer's representative duties and specific contract terms, conditions, and requirements prior to appointing contracting officer's representatives.	11 months 6 days
Rec. B.1.a.9: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that requiring activities develop quality assurance surveillance plans for all service acquisitions in accordance with the DoD Contracting Officer's Representative Handbook and in conjunction with the performance standards contained in the performance work statement.	11 months 6 days
Rec. B.1.a.10: The DoD OIG recommended that the Defense Contract Management Agency Director establish internal controls, such as checklists, standard operating procedures, or reviews by supervisors, to ensure that only the Defense Contract Management Agency contracting officers or officials with the delegated authority from a contracting officer accept services and approve invoices.	11 months 6 days
Rec. B.1.b: The DoD OIG recommended that the Defense Contract Management Agency Director reemphasize the importance of all aspects of the contracting process and provide training on the importance of following established internal controls for Defense Contract Management Agency officials responsible for contract monitoring and administration of information technology service contracts.	11 months 6 days

Report No. DODIG-2018-134, "Evaluation of the DoD Hotline Complaint Regarding Defense Contract Management Agency Baltimore's Actions on Audit Findings Reported by Defense Contract Audit Agency," July 9, 2018

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the Defense Contract Management Agency Director develop procedures and training addressing the actions that contracting officials should take on audit findings in a Defense Contract Audit Agency report that disclaims an audit opinion.	8 months 22 days

APPENDIX A

Defense Contract Management Agency – Report No. DODIG-2018-134 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.b: The DoD OIG recommended that the Defense Contract Management Agency Director provide training to all Defense Contract Management Agency contracting officials and managers involved in taking action on Defense Contract Audit Agency audit reports.	8 months 22 days
Rec. A.2.a: The DoD OIG recommended that the Defense Contract Management Agency Director, through the Defense Contract Management Agency Baltimore Commander, require the contracting officer to reassess the actions taken on Audit Report No. 6431-008B10100026, to determine if the \$1.1 million in indirect costs do not comply with Federal Acquisition Regulation Subpart 31.2, "Selected Costs," as reported by Defense Contract Audit Agency.	8 months 22 days
Rec. A.2.b: The DoD OIG recommended that the Defense Contract Management Agency Director, through the Defense Contract Management Agency Baltimore Commander, require the contracting officer to take reasonable steps to recoup the \$1.1 million in indirect costs, if the results of the reassessment indicate that the costs do not comply with Federal Acquisition Regulation Subpart 31.2.	8 months 22 days
Rec. A.3.a: The DoD OIG recommended that the Defense Contract Management Agency Director require the Defense Contract Management Agency Baltimore Commander to assess the adequacy and timeliness of management oversight at the Defense Contract Management Agency Baltimore Office associated with contracting officer actions taken on Defense Contract Audit Agency findings.	8 months 22 days
Rec. A.3.b: The DoD OIG recommended that the Defense Contract Management Agency Director require the Defense Contract Management Agency Baltimore Commander to make improvements to the oversight based on the results of the assessment discussed in Recommendation A.3.a.	8 months 22 days
Rec. B.1.a: The DoD OIG recommended that the Defense Contract Management Agency Director, through the Defense Contract Management Agency Baltimore Commander, request that the contracting officer reinstate Audit Report No. 6431-2008B10100026 in the Contract Audit Follow-Up System as unresolved until the contracting officer completes all actions on the direct cost audit findings.	8 months 22 days
Rec. B.1.b: The DoD OIG recommended that the Defense Contract Management Agency Director, through the Defense Contract Management Agency Baltimore Commander, request that the contracting officer take appropriate action on the \$9 million in direct cost reported by the Defense Contract Audit Agency in Audit Report No. 6431-2008B10100026.	8 months 22 days
Rec. B.1.c: The DoD OIG recommended that the Defense Contract Management Agency Director, through the Defense Contract Management Agency Baltimore Commander, request that the contracting officer, at least monthly, document in the Contract Audit Follow-Up System the steps that the contracting officer has taken on the direct cost audit findings, as DoD Instruction 7640.02, Enclosure 3, paragraph 3b(2) requires.	8 months 22 days

APPENDIX A

Defense Contract Management Agency – Report No. DODIG-2018-134 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. B.1.d: The DoD OIG recommended that the Defense Contract Management Agency Director, through the Defense Contract Management Agency Baltimore Commander, request that the contracting officer prepare a negotiation memorandum that documents the contracting officer’s determination on the direct cost audit findings, as Federal Acquisition Regulation 42.705-1(b)(5)(iii), “Contracting Officer Determination Procedure,” requires.</p>	8 months 22 days
<p>Rec. B.2.a-b: The DoD OIG recommended that the Defense Contract Management Agency Director improve Agency internal controls to help ensure contracting officers complete all required actions on direct cost audit findings:</p> <ul style="list-style-type: none"> a. within 1 year as DoD Instruction 7640.02, Enclosure 3, paragraph 3.a requires; and b. before they record the associated audit report as “dispositioned” in the Contract Audit Follow-Up System. 	8 months 22 days
<p>Rec. B.3: The DoD OIG recommended that the Defense Contract Management Agency Director, through the Defense Contract Management Agency Baltimore Commander, consider additional training or corrective opportunities to remind Baltimore contracting officials of the need to complete their actions on audit findings within 1 year, as DoD Instruction 7640.02, Enclosure 3, paragraph 3.a requires.</p>	8 months 22 days

Report No. DODIG-2019-070, “Report on Evaluation of Defense Contract Management Agency Contracting Officer Actions on DoD Contractor Executive Compensation Questioned by the Defense Contract Audit Agency,” March 29, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.1.a-b: The DoD OIG recommended that the Defense Contract Management Agency Director develop procedures and processes for addressing Defense Contract Audit Agency findings on executive compensation by implementing either:</p> <ul style="list-style-type: none"> a. a program whereby contracting officers may seek advice and assistance from personnel with extensive knowledge on executive compensation, or b. guidelines and training for contracting officers that address executive compensation concepts and approaches for taking action on executive compensation audit findings in an appropriate and consistent manner. 	2 days

APPENDIX A

Defense Contract Management Agency – Report No. DODIG-2019-070 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. A.2.a-b: The DoD OIG recommended that the Defense Contract Management Agency Director provide refresher training to contracting officers on the requirements to:</p> <ul style="list-style-type: none"> a. consult with Agency legal counsel when their disagreement with an audit finding is based on an interpretation of a law or regulation, in accordance with DoD Instruction 7640.02, "Policy for Follow-up on Contract Audit Reports," April 15, 2015, and b. obtain a Defense Contract Audit Agency opinion on additional information received from contractors after audit report issuance, in accordance with Defense Contract Management Agency Instruction 125, "Final Overhead Rates," April 21, 2014. 	2 days

*Pentagon Force Protection Agency***Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. H.1.d: The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.</p>	1 year 3 months 27 days

*Defense Criminal Investigative Service***Report No. DODIG-2015-011, "Evaluation of the Defense Criminal Investigative Organizations' Defense Incident-Based Reporting System Reporting and Reporting Accuracy," October 29, 2014**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the U.S. Army Criminal Investigative Command Commander; the Naval Criminal Investigative Service Director; the Air Force Office of Special Investigations Commander; and the Defense Criminal Investigative Service Director ensure that Defense Incident-Based Reporting System data submitters provide accurate and complete data submissions within 15 workdays after the end of each month, as required by DoD Manual 7730.47-M, Volume 1.</p>	4 years 5 months 2 days

*Defense Criminal Investigative Service (cont'd)***Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017**

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. H.1.a: The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency to immediately and comprehensively review all their criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses before 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and Federal Bureau of Investigation requirements.</p>	1 year 3 months 27 days
<p>Rec. H.1.b: The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that supervisory and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is regularly inspected.</p>	1 year 3 months 27 days
<p>Rec. H.1.c: The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to comprehensively review their criminal history reporting programs to ensure that all fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11, and have been implemented.</p>	1 year 3 months 27 days
<p>Rec. H.1.d: The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases.</p>	1 year 3 months 27 days

APPENDIX A

Director, DoD Special Access Program Central Office

Report No. DODIG-2019-055, "Evaluation of Integrated Joint Special Technical Operations," February 11, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 5.a: Recommendation is Classified.	1 month 20 days
Rec. 5.b: Recommendation is Classified.	1 month 20 days
Rec. 5.c: Recommendation is Classified.	1 month 20 days
Rec. 5.d: Recommendation is Classified.	1 month 20 days

F-35 Joint Program Office

Report No. DODIG-2013-031, "Audit of the F-35 Lightning II Autonomic Logistics Information Systems (ALIS)," December 10, 2012 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B: Recommendation is Classified.*	6 years 3 months 21 days
Rec. C.2: Recommendation is Classified.*	6 years 3 months 21 days
Rec. D.1: Recommendation is Classified.*	6 years 3 months 21 days
Rec. D.3: Recommendation is Classified.*	6 years 3 months 21 days
Rec. D.4: Recommendation is Classified.*	6 years 3 months 21 days
Rec. D.5: Recommendation is Classified.*	6 years 3 months 21 days

* The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

Report No. DODIG-2015-092, "F-35 Lightning II Program Quality Assurance and Corrective Action Evaluation," March 11, 2015

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: The DoD OIG recommended that the F-35 Joint Program Office ensure that the F-35 Critical Safety Item Program is compliant with Public Law 10-136, Section 802, "Quality control in procurement of aviation CSIs and related services," and the Joint Service CSI Instruction, "Management of Aviation Critical Safety Item."	4 years 20 days
Rec. A.2: The DoD OIG recommended that the F-35 Joint Program Office conduct periodic Critical Safety Item Program evaluations of Lockheed Martin and its suppliers to ensure compliance with public law and the Joint Service CSI Instruction.	4 years 20 days

APPENDIX A

F-35 Joint Program Office – Report No. DODIG-2015-092 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. C: The DoD OIG recommended that the F-35 Joint Program Office realign the quality assurance organization to report directly to the Program Executive Officer, define the organization roles and responsibilities, and staff the organization appropriately.	4 years 20 days

Report No. DODIG-2015-111, “F-35 Engine Quality Assurance Inspection,” April 27, 2015 (Full Report is FOUO)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the F-35 Joint Program Office coordinate with the Defense Contract Management Agency to conduct an effective root cause analysis and implement corrective actions for all 61 nonconformities (violations of AS9100C, regulatory requirements, and DoD policies) identified during our inspection.	3 years 11 months 4 days
Rec. A.1.b: The DoD OIG recommended that the F-35 Joint Program Office review the contract data requirements list and determine specific items that should require approval.	3 years 11 months 4 days
Rec. B.1: The DoD OIG recommended that the F-35 Joint Program Office ensure that critical safety item contractual requirements and Pratt & Whitney’s critical safety item program processes and specifications meet the intent of the Joint Critical Safety Item Instruction and supplemental guidance of the Joint Aeronautical Commanders Group Aviation Critical Safety Item Management Handbook.	3 years 11 months 4 days
Rec. C.2: The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney’s quality plan meets contractual requirements.	3 years 11 months 4 days
Rec. C.3: The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney consistently analyzes and reports Key Product Characteristics Process Capability Index data for F135 engine hardware and that performance improvement plans are established.	3 years 11 months 4 days
Rec. D: The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney identify, elevate, track, and manage all risks that affect the program, including software and supply chain risks.	3 years 11 months 4 days
Rec. E.1: The DoD OIG recommended that the F-35 Joint Program Office work with the Defense Contract Management Agency to ensure that Pratt & Whitney clearly defines, documents, and implements minimum baseline criteria for supplier selection and actions to be taken for suppliers that continue to be high risk.	3 years 11 months 4 days
Rec. F.1: The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney resolve nonconformities related to software quality management systems, including an obsolete F135 Software Development Plan, lack of software requirements traceability, and software not developed to the appropriate product software level.	3 years 11 months 4 days

APPENDIX A

F-35 Joint Program Office – Report No. DODIG-2015-111 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. F.2: The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney's Software Quality Assurance organization conduct audits, reviews, and verification activities of both internally-developed and supplier-developed software.</p>	3 years 11 months 4 days

Report No. DODIG-2019-062, "Audit of Management of Government-Owned Property Supporting the F-35 Program," March 13, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
<p>Rec. 2.a: The DoD OIG recommended that the F-35 Program Executive Officer ensure that contracting officers identify and resolve government-furnished property list inaccuracies and incomplete or missing entries before attachment to and award of subsequent contracts.</p>	18 days
<p>Rec. 2.b: The DoD OIG recommended that the F-35 Program Executive Officer immediately appoint, in writing, a Component Property Lead and Accountable Property Officers to work with the Defense Contract Management Agency and the prime contractor to verify the existence and completeness of all F-35 property and account for the property on the appropriate financial statements.</p>	18 days
<p>Rec. 2.c: The DoD OIG recommended that the F-35 Program Executive Officer, before the F-35 Program Office makes a decision to begin full-rate production of the F-35, ensure that the component property lead and accountable property officer reconcile all F-35 Program Government-furnished property by performing a complete inventory of delivered property and use the result of the inventory to establish a baseline property record in its accountable property system of record.</p>	18 days
<p>Rec. 2.d: The DoD OIG recommended that the F-35 Program Executive Officer establish and implement procedures for property officials to continuously input the data required by DoD Instruction 5000.64 in its accountable property system of record.</p>	18 days
<p>Rec. 3.a: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made, reach agreement for how to implement processes and procedures to transition F-35 Program contractor-acquired property to Government-furnished property from original contracts to subsequent contracts in accordance with the Defense Federal Acquisition Regulation Supplement.</p>	18 days
<p>Rec. 3.b: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made and upon completion of Recommendation 3.a, ensure contractor-acquired property that was procured on past contracts is transitioned to Government-furnished property on contracting actions as required by the Defense Federal Acquisition Regulation Supplement.</p>	18 days

APPENDIX A

F-35 Joint Program Office – Report No. DODIG-2019-062 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 3.c: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made and upon completion of Recommendation 3.a, ensure the required delivery of contractor-acquired property identified as special tooling or special test equipment for accountability and management purposes as required by the Defense Federal Acquisition Regulation Supplement.	18 days
Rec. 3.d: The DoD OIG recommended that the F-35 Program Executive Officer direct F-35 Program Office officials, in coordination with the Defense Contract Management Agency and the prime contractor, before a decision to begin full-rate production of the F-35 is made, provide updates for the accountable property system of record for any Government-furnished property resulting from the actions taken for contractor-acquired property in the previous Recommendations.	18 days

*Classified***Report No. DODIG-2015-057, “Title is Classified,” December 19, 2014 (Full Report is Classified)**

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.1: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.2.2: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.2.3: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.2.4: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.2.5: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.3.1: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.3.2: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.3.3: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.3.4: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.4.1: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.4.2: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.4.3: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.4.4: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.4.5: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.4.6: Recommendation is Classified.	4 years 3 months 12 days

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Classified – Report No. DODIG-2015-57 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.4.7: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.4.8: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.4.9: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.4.10: Recommendation is Classified.	4 years 3 months 12 days
Rec. A.4.11: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.1.1: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.1.2: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.1.3: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.1.4: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.1.5: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.1.6: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.1.7: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.1.8: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.1.9: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.1.10: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.1.11: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.1.12: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.1.13: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.1.14: Recommendation is Classified.	4 years 3 months 12 days
Rec. B.2: Recommendation is Classified.	4 years 3 months 12 days

Report No. DODIG-2015-065, "Evaluation of the Defense Sensitive Support Program," January 5, 2015
(Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: Recommendation is Classified.	4 years 2 months 26 days
Rec. A.1.b: Recommendation is Classified.	4 years 2 months 26 days
Rec. A.2.a: Recommendation is Classified.	4 years 2 months 26 days
Rec. A.2.b: Recommendation is Classified.	4 years 2 months 26 days

APPENDIX A

Classified – Report No. DODIG-2015-065 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.2.c: Recommendation is Classified.	4 years 2 months 26 days
Rec. B.1.a: Recommendation is Classified.	4 years 2 months 26 days
Rec. B.1.b: Recommendation is Classified.	4 years 2 months 26 days
Rec. B.1.c: Recommendation is Classified.	4 years 2 months 26 days
Rec. B.2: Recommendation is Classified.	4 years 2 months 26 days
Rec. C.1.a: Recommendation is Classified.	4 years 2 months 26 days
Rec. C.1.b: Recommendation is Classified.	4 years 2 months 26 days
Rec. C.2.b.1: Recommendation is Classified.	4 years 2 months 26 days
Rec. C.2.b.2: Recommendation is Classified.	4 years 2 months 26 days
Rec. C.2.b.3: Recommendation is Classified.	4 years 2 months 26 days
Rec. C.2.b.4: Recommendation is Classified.	4 years 2 months 26 days

Report No. DODIG-2016-046, “Title is Classified,” February 19, 2016 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1: Recommendation is Classified. ¹	3 years 1 month 12 days
Rec. A.2: Recommendation is Classified. ^{1,2}	3 years 1 month 12 days
Rec. B.1: Recommendation is Classified. ^{1,2}	3 years 1 month 12 days
Rec. C.1: Recommendation is Classified. ^{1,2}	3 years 1 month 12 days

¹ The DoD OIG is evaluating implementation of this recommendation as part of an ongoing followup project.

² Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

Report No. DODIG-2018-037, “Evaluation of the Long Range Strike-Bomber Program Security Controls,” December 1, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: Recommendation is Classified.	1 year 3 months 30 days
Rec. A.1.b: Recommendation is Classified.	1 year 3 months 30 days
Rec. A.2.a: Recommendation is Classified.	1 year 3 months 30 days
Rec. A.2.b: Recommendation is Classified.	1 year 3 months 30 days

Classified – Report No. DODIG-2018-037 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.1.a: Recommendation is Classified.	1 year 3 months 30 days
Rec. B.1.b: Recommendation is Classified.	1 year 3 months 30 days
Rec. B.1.c: Recommendation is Classified.	1 year 3 months 30 days
Rec. B.1.d: Recommendation is Classified.	1 year 3 months 30 days
Rec. B.1.e: Recommendation is Classified.	1 year 3 months 30 days
Rec. B.1.f: Recommendation is Classified.	1 year 3 months 30 days
Rec. C.1.a: Recommendation is Classified.	1 year 3 months 30 days
Rec. C.1.b: Recommendation is Classified.	1 year 3 months 30 days
Rec. C.1.c: Recommendation is Classified.	1 year 3 months 30 days
Rec. C.1.d: Recommendation is Classified.	1 year 3 months 30 days
Rec. C.1.d.1: Recommendation is Classified.	1 year 3 months 30 days
Rec. C.1.d.2: Recommendation is Classified.	1 year 3 months 30 days
Rec. C.1.e: Recommendation is Classified.	1 year 3 months 30 days

Report No. DODIG-2018-047, “Follow-up to Under Secretary of Defense for Intelligence Evaluation,” December 18, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. B.2: Recommendation is Classified.	1 year 3 months 13 days
Rec. B.3: Recommendation is Classified.	1 year 3 months 13 days
Rec. B.6: Recommendation is Classified.	1 year 3 months 13 days
Rec. B.7: Recommendation is Classified.	1 year 3 months 13 days

Report No. DODIG-2018-057, “The [Redacted] Financial Statement Compilation Adjustments and Information Technology Corrective Action Plan Validation Process,” December 21, 2017 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.a: The DoD OIG recommended that the [Redacted] Comptroller develop policies and implement procedures to ensure that Journal Vouchers contain valid General Ledger accounts, accurate financial information, and required approvals; include adequate documentation; and reflect correct dollar amounts.	1 year 3 months 10 days

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Classified – Report No. DODIG-2018-057 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. A.1.b: The DoD OIG recommended that the [Redacted] Comptroller implement quality control review procedures in accordance with the DoD Financial Management Regulation.	1 year 3 months 10 days
Rec. B.1.a: The DoD OIG recommended that the [Redacted] Comptroller develop, document, and implement policy to validate that corrective actions have been effectively designed and implemented in accordance with Office of Management and Budget Circular A-123 criteria.	1 year 3 months 10 days
Rec B.1.b: The DoD OIG recommended that the [Redacted] Comptroller test all corrected controls to ensure that deficiencies are corrected prior to closing Information Technology Corrective Action Plans and determine whether the controls are implemented correctly, operating as intended, and producing the desired outcome.	1 year 3 months 10 days
Rec. B.1.c: The DoD OIG recommended that the [Redacted] Comptroller maintain an updated and accurate status for identified control deficiencies throughout the entire process.	1 year 3 months 10 days

Report No. DODIG-2019-034, “Security Controls at DoD Facilities for Protecting Ballistic Missile Defense System Technical Information,” December 10, 2018 (Full Report is Classified)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.a: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to using multifactor authentication.*	3 months 21 days
Rec. 1.b: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to mitigating vulnerabilities in a timely manner.*	3 months 21 days
Rec. 1.c: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to securing server racks.*	3 months 21 days
Rec. 1.d: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to protecting and monitoring data on removable media.*	3 months 21 days
Rec. 1.e: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to implementing intrusion detection controls.*	3 months 21 days

APPENDIX A

Classified – Report No. DODIG-2019-034 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1.f: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to requiring and maintaining justifications for accessing networks.*	3 months 21 days
Rec. 1.g: The DoD OIG recommended that the [Redacted] develop and implement a plan to correct the systemic weaknesses at the facilities, data centers, and laboratories that manage ballistic missile defense system technical information related to implementing physical security controls.*	3 months 21 days
Rec. 2.a: The DoD OIG recommended that the [Redacted] enforce the use of multifactor authentication to access systems that process, store, and transmit ballistic missile defense system technical information or obtain a waiver that exempts the networks from using multifactor authentication.	3 months 21 days
Rec. 2.b: The DoD OIG recommended that the [Redacted] encrypt ballistic missile Defense system technical information stored on removable media.	3 months 21 days
Rec. 2.c: The DoD OIG recommended that the [Redacted] develop and implement a process for identifying individuals who are authorized to use removable media on their networks and systems as well as procedures for monitoring the type and volume of data transferred to and from removable media.	3 months 21 days
Rec. 2.d: The DoD OIG recommended that the [Redacted] assess existing security camera placements to identify gaps in security coverage and install security cameras with [Redacted] to monitor personnel movements throughout their facilities.	3 months 21 days
Rec. 2.e: The DoD OIG recommended that the [Redacted] develop plans of action and milestones, and take appropriate and timely steps to mitigate known vulnerabilities.	3 months 21 days
Rec. 2.f: The DoD OIG recommended that the [Redacted] provide security refresher training to security personnel and facility occupants to ensure physical security requirements, to include challenging individuals that do not display appropriate badges, are met.	3 months 21 days
Rec. 2.g: The DoD OIG recommended that the [Redacted] require facility security or maintenance personnel to physically verify, at least daily, that entry and exit doors operate as intended.	3 months 21 days
Rec. 2.h: The DoD OIG recommended that the [Redacted] require data center managers to develop and implement procedures to secure server racks, validate that the racks remain locked, and control keys to the server racks.	3 months 21 days
Rec. 2.i: The DoD OIG recommended that the [Redacted] require written justification as a condition for obtaining access to all networks and systems that process, store, and transmit ballistic missile defense system technical information.	3 months 21 days

APPENDIX A

Classified – Report No. DODIG-2019-034 (cont'd)

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 2.j: The DoD OIG recommended that the [Redacted] maintain access request forms for all users with access to networks and systems that contain ballistic missile defense system technical information and verify, at least annually, the continued need for access.	3 months 21 days
Rec. 3.b: The DoD OIG recommended that the Chief Information Officer for the [Redacted] implement intrusion detection capabilities on networks that maintain ballistic missile defense system technical information.	3 months 21 days
Rec. 4.a: The DoD OIG recommended that the Chief Information Officers for the [Redacted] encrypt ballistic missile defense system technical information stored on removable media.*	3 months 21 days
Rec. 4.b: The DoD OIG recommended that the Chief Information Officers for the [Redacted] develop and implement a process for identifying individuals who are authorized to use removable media on their networks and systems as well as procedures for monitoring the type and volume of data transferred to and from removable media.*	3 months 21 days
Rec. 4.c: The DoD OIG recommended that the Chief Information Officers for the [Redacted] assess existing security camera placements to identify gaps in security coverage and install security cameras with [Redacted] to monitor personnel movements throughout their facilities.*	3 months 21 days
Rec. 5.a: The DoD OIG recommended that the Chief Information Officer for the [Redacted] require data center managers to develop and implement procedures to secure server racks, validate that the racks remain locked, and control keys to the server racks.	3 months 21 days
Rec. 5.b: The DoD OIG recommended that the Chief Information Officer for the [Redacted] require written justification as a condition for obtaining access to all networks and systems that process, store, and transmit ballistic missile defense system technical information.	3 months 21 days
Rec. 5.c: The DoD OIG recommended that the Chief Information Officer for the [Redacted] maintain access request forms for all users with access to networks and systems that contain ballistic missile defense system technical information and verify, at least annually, the continued need for access.	3 months 21 days

* Because the DoD OIG directed this recommendation to more than one office, it counts as more than one recommendation for tracking purposes.

APPENDIX A

Classified (cont'd)

Report No. DODIG-2019-054, "Evaluation of Special Access Programs Industrial Security Program,"
February 11, 2019

Recommendation Number and Text	Age of Recommendation on 3/31/19
Rec. 1: Recommendation is Classified.	1 month 20 days
Rec. 2.a: Recommendation is Classified.	1 month 20 days
Rec. 2.b: Recommendation is Classified.	1 month 20 days
Rec. 3.a: Recommendation is Classified.	1 month 20 days
Rec. 3.b: Recommendation is Classified.	1 month 20 days
Rec. 3.c: Recommendation is Classified.	1 month 20 days
Rec. 4: Recommendation is Classified.	1 month 20 days
Rec. 5: Recommendation is Classified.	1 month 20 days
Rec. 6.a: Recommendation is Classified.	1 month 20 days
Rec. 6.b: Recommendation is Classified.	1 month 20 days
Rec. 6.c: Recommendation is Classified.	1 month 20 days

Open Recommendations from Secret Reports

This appendix contains information about open recommendations made in Secret reports. For access, please send an email to RFUNET@dodig.smil.mil.

APPENDIX C

Open Recommendations from Top Secret Reports

This appendix contains information about classified open recommendations.

For access, please send an email to RFUNET@dodig.smil.mil.

Other Classification Open Recommendations

This appendix contains information about other classified open recommendations. For access, please send an email to RFUNET@dodig.smil.mil.





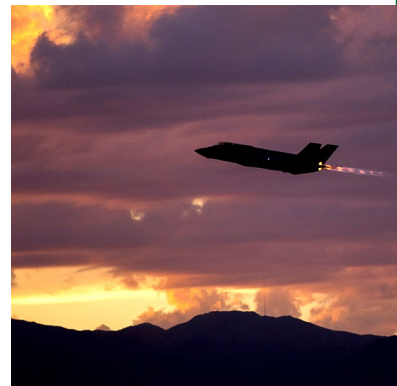
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