



U.S. RAILROAD RETIREMENT BOARD

OFFICE OF INSPECTOR GENERAL

This report summary presents the abbreviated results of the subject audit. The full report includes information protected from disclosure and has been designated for limited distribution pursuant to 5 U. S. C. § 552.

Audit of the Railroad Retirement Board's Incident Response Program

Report No. 26-06

July 9, 2026



What WAI Found

WAI and Associates, PLLC (WAI) determined that the Railroad Retirement Board's (RRB) Bureau of Information Services (BIS) handled computer security alerts and incidents properly, including United States Computer Emergency Readiness Team reporting requirements. WAI concluded that BIS' successful handling of alerts and incidents could be attributed directly to the stability of its operating environment.

However, WAI's internal control assessment of the incident response program identified several internal control weaknesses. These weaknesses could lead to a potential data breach if the corrective actions recommended to address these findings are not implemented. A data breach at the RRB could compromise the agency's networks and information systems that may lead to significant operational, financial, and reputational consequences.

Due to the sensitivity of this report, the internal control findings for the RRB's incident response program are limited to disclosure in the full audit report.

What WAI Recommended

To address weaknesses identified in this audit, WAI made 11 recommendations. RRB management concurred with all 11 recommendations.

The Chief Information Security Officer provided BIS' planned corrective actions to address the findings and recommendations detailed in the report. RRB management also included the target completion dates for each corrective action plan. It is important to note that according to BIS management, they have already implemented corrective actions to resolve several of the identified deficiencies.

What We Did

RRB's Office of Inspector General (OIG) engaged WAI to conduct a performance audit of the RRB's incident response program. Effective incident response programs are important to mitigate risks from computer security incidents.

WAI conducted this audit in accordance with generally accepted government auditing standards. WAI is responsible for the audit report and the conclusions expressed therein. RRB OIG does not express any assurance on the conclusions presented in WAI's audit report.

The overall audit objectives were to determine whether the RRB's incident response program was designed and operated effectively to handle computer security alerts and incidents, as well as ascertain whether the program met the external reporting standards.

The scope of the audit was incident responses managed by the RRB for fiscal year 2023.