

SEC OIG INVESTIGATIVE SUMMARY



SEC Information Technology supervisor resigned, and two employees were suspended following investigation into whether they golfed during duty hours without taking leave.

The Securities and Exchange Commission (SEC) Office of Inspector General (OIG) investigated whether three SEC employees golfed during duty hours, including one Information Technology supervisor, the supervisor's subordinate, and another employee.

The OIG found that the employees played golf during core duty hours on days when they had certified on their official timecards that they had been teleworking. They did not use annual leave, credit hours, or another applicable leave status for their absences while golfing.

The OIG referred the matter to the U.S. Attorney's Office for the District of Columbia, which declined prosecution.

The OIG also referred the matter to the SEC for any action deemed appropriate. The SEC reported to the OIG that the supervisor agreed to resign following the investigation and the subordinate employee and other employee both received three-day work suspensions.

