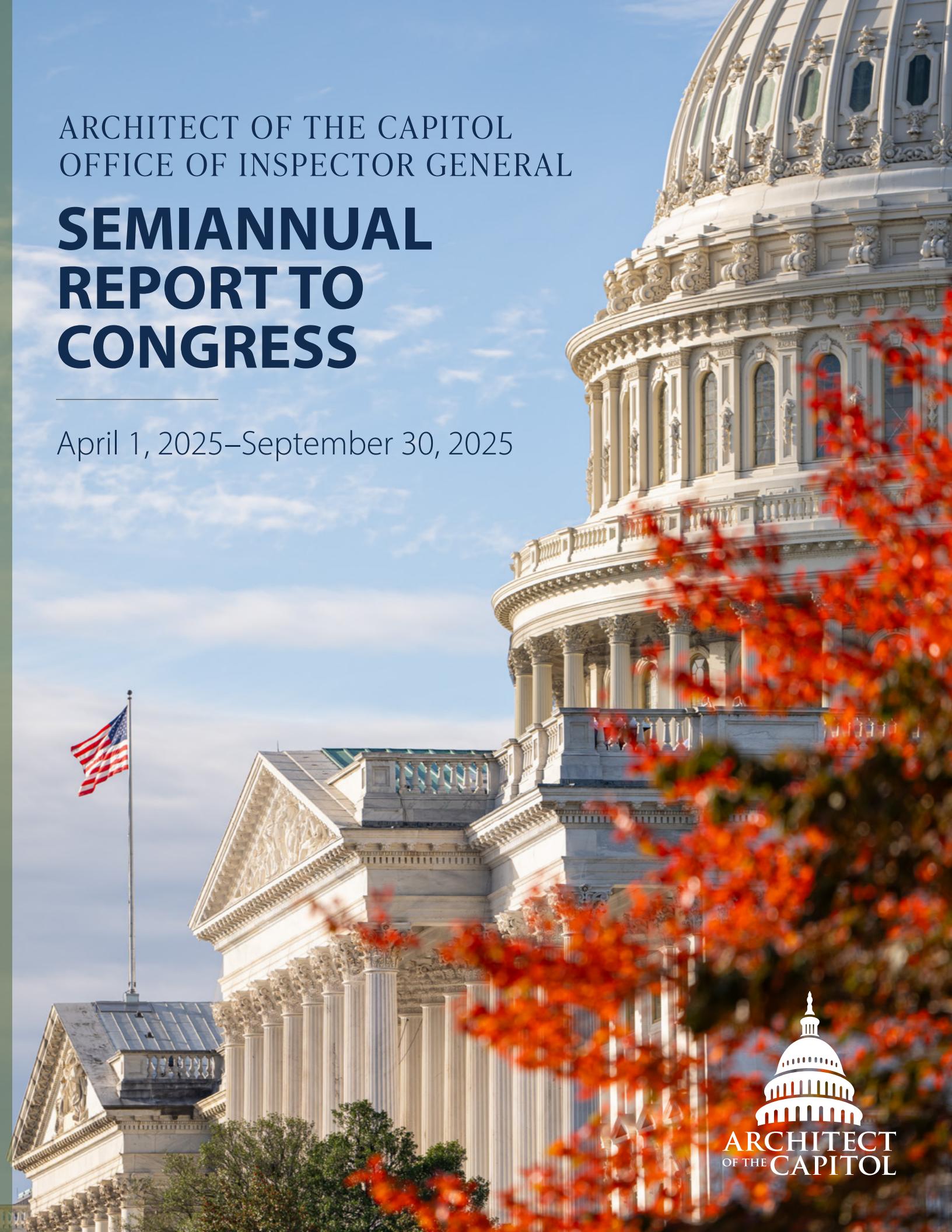


ARCHITECT OF THE CAPITOL  
OFFICE OF INSPECTOR GENERAL

# SEMIANNUAL REPORT TO CONGRESS

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April 1, 2025–September 30, 2025





# REPORT FRAUD WASTE ABUSE

## Report

-  OIG Website Hotline Report <https://aocoig.oversight.gov/hotline-fraud-awareness/hotline>
-  Email [Hotline@aoc-oig.org](mailto:Hotline@aoc-oig.org)
-  Confidential Toll-Free Hotline **877.489.8583**
-  LinkedIn <http://www.linkedin.com/company/aocoig>
-  Visit **Fairchild Building, Suite 518, 499 South Capitol Street, SW, Washington, D.C. 20515**



To request a copy of this Semiannual Report, please send a written request via mail or email:

Architect of the Capitol  
U.S. Capitol, Room SB-16  
Washington, D.C. 20515  
[webfeedback@aoc.gov](mailto:webfeedback@aoc.gov)

*We welcome any feedback, comments, concerns, or suggestions on this report.  
Please send any comments to [aocoig.oversight@aoc.gov](mailto:aocoig.oversight@aoc.gov).*

# *Message From the Inspector General*

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It is an honor to present my first Semiannual Report to Congress as Inspector General of the Architect of the Capitol (AOC). I am honored to serve in this role and consider it a privilege to lead an independent oversight office with an important mission to promote accountability, transparency, and public trust in one of our nation's most treasured institutions. I am grateful for the opportunity to work alongside the dedicated and highly skilled professionals of the AOC's Office of Inspector General (OIG).

This report covers the period from April 1, 2025, through September 30, 2025, and summarizes OIG's oversight activities in support of the AOC's responsibility to safeguard public resources and carry out its mission on behalf of Congress, the Supreme Court, and the American people.

During this reporting period, the Office of Inspector General completed 12 oversight reports, including 6 investigative and 6 non-investigative reviews. These efforts identified opportunities to strengthen internal controls, improve asset management practices, enhance construction and security oversight, and reinforce compliance with applicable policies and laws. Collectively, this work identified more than \$22 million in questioned costs and monetary

accomplishments. In addition, AOC management closed 35 OIG recommendations during this reporting period, reflecting meaningful progress in addressing identified risks and strengthening accountability across multiple program areas.

The results presented in this report highlight the importance of continued attention to stewardship and transparency. Oversight work during this period continued to identify challenges related to property accountability, documentation, and auditability, particularly in areas involving the acquisition and safeguarding of government assets. At the same time, the AOC demonstrated responsiveness to oversight findings and took corrective actions in areas such as construction oversight, campus security, and human capital operations.

OIG's work is intended to provide independent, objective information that supports sound decision-making, improves program effectiveness, and helps ensure that public funds are used efficiently and for their intended purpose.

Looking ahead, OIG will continue to focus on areas of elevated risk, including campus safety and security, enterprise asset management, data governance, and the prevention and detection of fraud, waste, and abuse. We remain committed to keeping Congress and AOC leadership fully and currently informed of significant issues affecting the administration of AOC programs and operations.

I appreciate the cooperation extended to OIG by AOC leadership and staff during this reporting period, as well as the dedication and professionalism of the OIG team whose work is reflected throughout this report. I look forward to continued engagement in support of effective oversight and responsible stewardship.

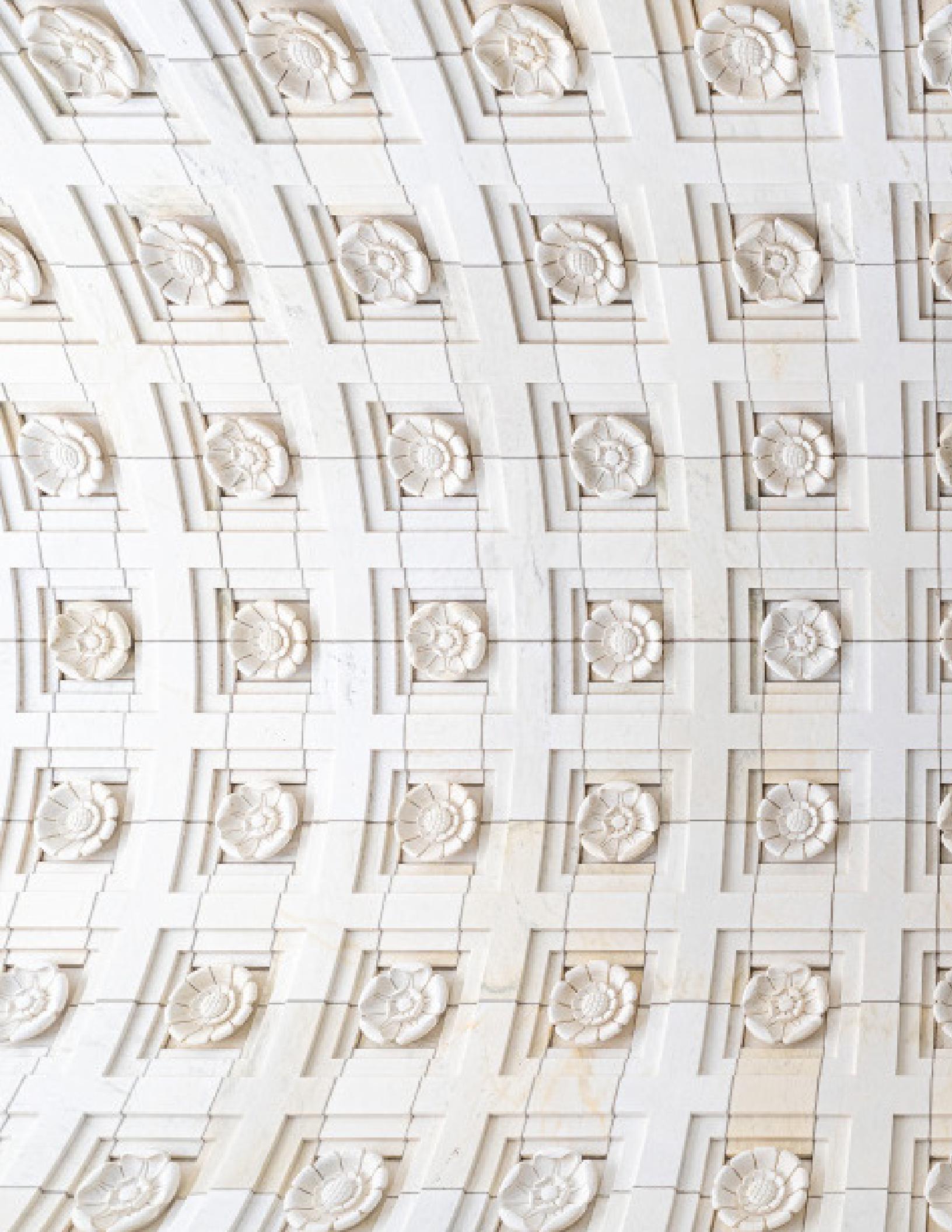


### **Inspector General Act**

The Architect of the Capitol Inspector General Act of 2007, Title 2 United States Code §1808, established the Office of Inspector General as an independent, objective office within the Architect of the Capitol (AOC). It applies certain sections of the Inspector General Act of 1978 that detail the Inspector General's duties and authorities and establish important protections for AOC employees and responsibilities for the AOC.

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# Highlights

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# Executive Summary

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## About This Report

This Semiannual Report to Congress summarizes the oversight activities of the Office of Inspector General (OIG) for the Architect of the Capitol (AOC) for the 6-month period from April 1, 2025, through September 30, 2025. The report is issued in accordance with the Inspector General Act of 1978, as applied to the AOC, and is intended to keep Congress and AOC leadership fully and currently informed of significant issues affecting AOC programs and operations.

During this reporting period, OIG completed 12 oversight reports, including 6 investigative and 6 non-investigative products. These reviews assessed AOC programs, operations, and internal controls and identified vulnerabilities and risks that could affect efficiency, accountability, and the safeguarding of public resources. OIG's work during this period identified more than \$22 million in questioned costs and monetary accomplishments, primarily related to asset management and inventory accountability.

Oversight activities continued to identify challenges in the AOC's ability to accurately account for and safeguard government property. Inconsistent practices, incomplete documentation, and non-standardized processes reduced auditability and limited visibility into potential loss, waste, or mismanagement. Several reports emphasized the need for clearer procedures, strengthened controls, and more consistent implementation across jurisdictions to improve asset lifecycle management and accountability.

At the same time, the AOC made meaningful progress in addressing previously issued OIG recommendations. During this reporting period, AOC management implemented and closed 35 recommendations across multiple program areas. These actions addressed construction oversight, campus security initiatives, human capital operations, and accountable property management, demonstrating a commitment to corrective action and continuous improvement.

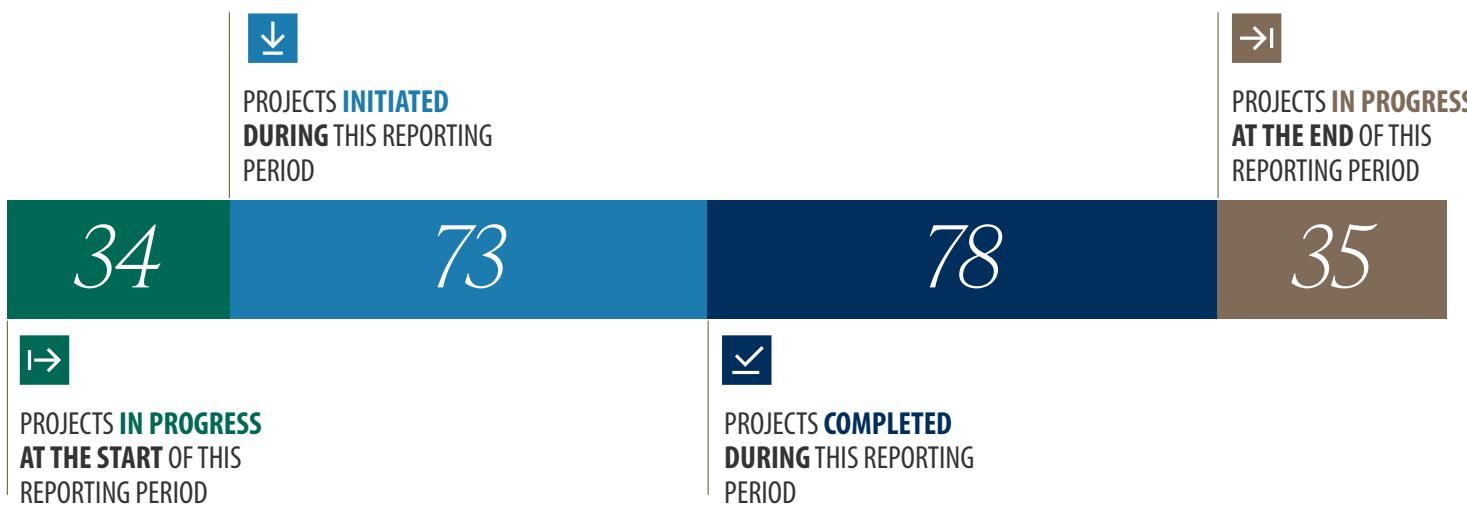
Investigative work during the period focused on allegations of fraud, waste, abuse, and employee misconduct. OIG closed six investigations and opened nine new investigations. As a result of investigative activities, OIG identified monetary recoveries, fines, and cost avoidance totaling more than \$400,000. Criminal matters were referred to appropriate prosecutorial authorities when warranted, while administrative matters were referred to AOC management for action.

Looking forward, OIG will continue to focus its oversight on areas of elevated risk, including campus safety and security, enterprise asset management, data governance and records retention, workforce accountability, and the prevention and detection of fraud, waste, and abuse. OIG remains committed to providing independent, objective oversight and to keeping Congress and AOC leadership informed of significant developments affecting the AOC's mission and operations.

# By the Numbers

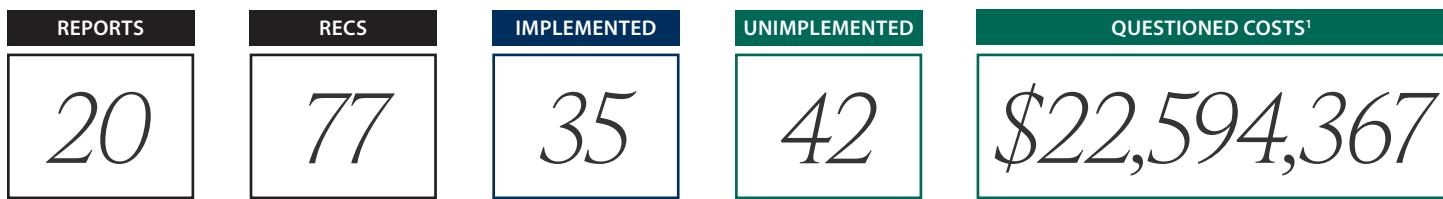
The following data represent OIG's activity from April 1, 2025–September 30, 2025. Within this reporting period, 3 audits, 2 evaluations, 1 follow-up evaluation, and 6 investigations were completed, while 3 audits, 1 evaluation, 1 follow-up evaluation, and 16 investigations remain in progress.

## Overview



Note: A "project" is defined as an OIG work product.

## Recommendations



GO TO PAGE 27 ►

## Investigations



## Budget and Staffing

Table 1. Fiscal Year (FY) 2025 Budget and Staffing

Category	Budget (Thousands)	Authorized Positions <sup>a</sup>
AOC	\$830,261	2,428
AOC's OIG	\$6,110	25
AOC's OIG % of AOC	0.74%	1.03%

<sup>a</sup> As of September 30, 2025, OIG comprised 24 full-time staff.

<sup>1</sup> A cost that is questioned because (1) of an alleged violation of a provision of a law, regulation, contract, or other agreement or document governing the expenditure of funds; (2) the cost is not supported by adequate documentation; or (3) the expenditure of funds for the intended purpose is unnecessary or unreasonable.

<sup>2</sup> "Monetary accomplishments" is defined as cost avoidance, savings, and recoveries resulting from OIG investigations.

# *About the Architect of the Capitol*

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## AOC Overview

The Architect of the Capitol's (AOC's) permanent authority for the care and maintenance of the U.S. Capitol is derived from Title 2 § 1811 of the United States Code (U.S.C.). The AOC is responsible for the maintenance, operation, development, and preservation of more than 18.4 million square feet of buildings and more than 570 acres of grounds. These grounds include the U.S. Capitol, House and Senate Office Buildings, the U.S. Capitol Visitor Center, the Library of Congress, the Supreme Court of the United States, the U.S. Botanic Garden, the Capitol Power Plant, and other facilities. The AOC provides professional expertise regarding the preservation of architectural and artistic elements entrusted to its care and provides recommendations concerning the design, construction, and maintenance of the facilities and grounds. The AOC is also responsible for the upkeep and improvement of the U.S. Capitol Grounds and the support of the quadrennial inaugural ceremonies and other ceremonies held on the Capitol campus.

The AOC performs its duties in connection with various House and Senate committees. The Committee on House Administration, as well as several other House committees, oversee the AOC's activities in the U.S. House of Representatives. The Senate Committee on Rules and Administration provides oversight for the AOC's duties associated with the Senate Office Buildings. The AOC is responsible for the care and repair of works of art in the U.S. Capitol under the direction of the Joint Committee of Congress on the Library. In addition, the AOC is responsible for the maintenance and restoration of murals and other architectural elements throughout the Capitol campus. Since 1934, the Architect of the Capitol has served as the Acting Director of the U.S. Botanic Garden under the Joint Committee of Congress on the Library.

## OIG Overview

The Architect of the Capitol Inspector General Act of 2007, 2 U.S.C. § 1808 established the Office of Inspector General (OIG) as an independent, objective office within the AOC and applies certain sections of the Inspector General Act of 1978, as amended, that detail OIG's duties and authorities. It also established employee protections from retaliation for contacting OIG or participating in OIG activities. OIG reports to and is under the general supervision of the Architect of the Capitol. OIG's duties include the following:

- Conduct, supervise, and coordinate audits and investigations relating to AOC programs and operations.
- Review existing and proposed legislation and regulations that impact AOC programs and operations and, in the Semiannual Report (SAR), note their impact on the economy and efficiency or the prevention and detection of fraud, waste, and abuse.
- Recommend policies for AOC activities to promote economy and efficiency or prevent and detect fraud, waste, and abuse in its programs and operations.
- Provide a means of keeping the AOC and Congress fully and currently informed about problems and deficiencies relating to the administration of AOC programs and operations and the need for and progress of corrective action. This effort is generally done by issuing a SAR to the Architect of the Capitol and Congress.

ESTABLISHED IN 2007  
AS AN INDEPENDENT  
AND OBJECTIVE OFFICE



### MISSION

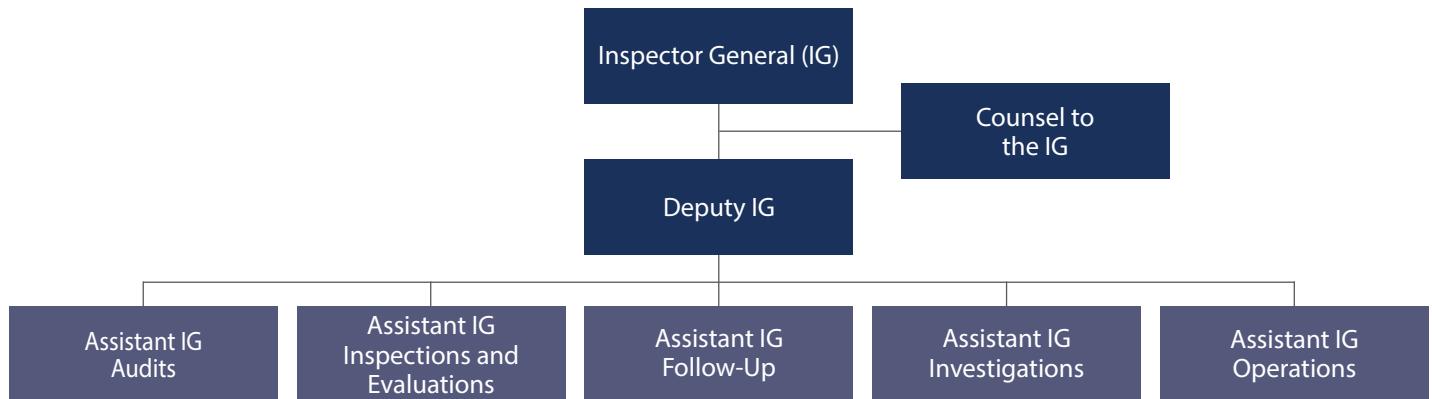
OIG promotes efficiency, effectiveness, and economy to deter and prevent fraud, waste, abuse, and mismanagement in AOC programs and operations. OIG does this through value-added, transparent, impactful, and independent audits, inspections, evaluations, and investigations. OIG strives to positively affect AOC and benefit the taxpayer while keeping the AOC and Congress fully informed.

### VISION

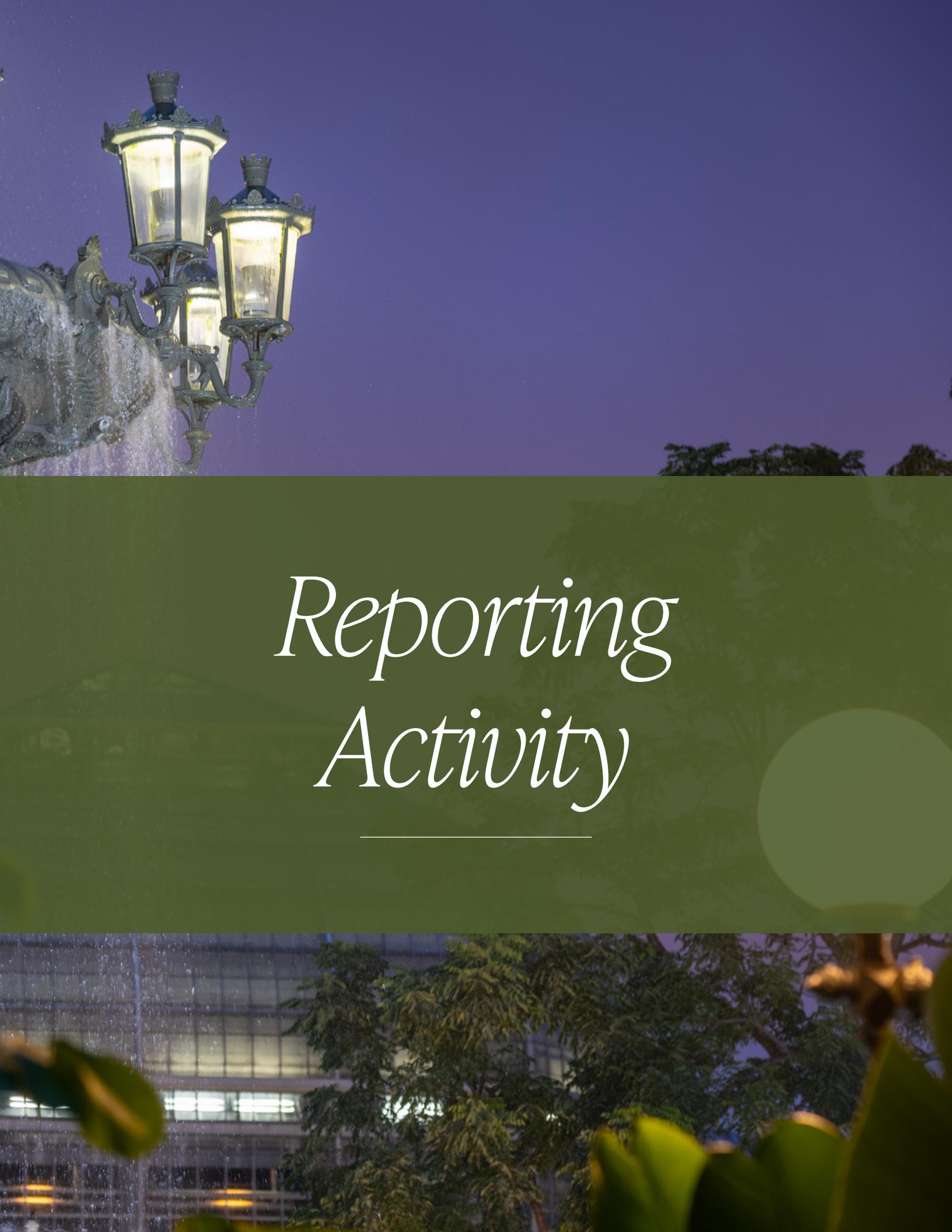
OIG is a high-performing team, promoting positive change and striving for continuous improvement in AOC programs and operations. OIG fosters an environment that inspires AOC workforce trust and confidence in its work.

## ORGANIZATIONAL STRUCTURE

The following chart details OIG's organizational structure as of September 30, 2025.







# *Reporting Activity*

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# Audits

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## What Is an Audit?

OIG's Audits Division (AUD) is responsible for conducting audits and program and performance assessments of the management and financial operations of the AOC, including their financial statements, construction projects and operations, information security, internal operations, and external activities funded by the AOC. Many of the projects performed annually focus on high-risk management challenges within the AOC, including construction management. Because of limited resources, AUD generally focuses its work on high-cost programs, key management challenges, and vital operations that will provide AOC managers with information that can assist them in identifying additional risk areas and making sound operational decisions. In addition to performing discretionary work, AUD uses a significant amount of its resources to fulfill mandatory requirements, such as the annual financial statement and Cannon construction audits, or to respond to congressional and AOC requests.

## *By the Numbers*

From April 1, 2025–September 30, 2025, three audits were completed, and three remain in progress.

COMPLETED	IN PROGRESS
3	3

## Completed This Reporting Period

### 1. Audit of the Cannon House Office Building Renewal Project's Substantial & Final Completion for Phase 3 & Phase 4 | OIG-AUD-2025-02 | June 30, 2025

OIG oversaw the work of an independent public accounting (IPA) firm that conducted an audit of substantial and final completion activities for the Cannon House Office Building Renewal (CHOB) Project. The objective of the audit was to determine whether the CHOB Phases 3 and 4 reported and projected substantial and final completion dates were accurate and reliable, while assessing if the awarding of substantial and final completion was managed effectively and in accordance with contractual requirements.

Overall, OIG determined that the CHOB Project's Phase 3 and Phase 4 reported and projected substantial and final completion dates were unreliable. The team successfully met the project's highest priority of suite turnovers for both phases; however, the other important components associated with Phase 3 substantial completion were de-emphasized. Specifically, the CHOB Project team retroactively awarded substantial completion for Phase 3 without complying with the contract and project specifications, and the final completion date for the phase was unknown. For Phase 4, OIG concluded that the substantial and final completion dates for Phase 4 were unreliable; however, the project team was effectively managing substantial and final completion requirements.

OIG made two recommendations for the AOC to revise and fully implement a process that ensures compliance with contract requirements for substantial and final completion and develop such requirements for future projects that are feasible and achievable. The AOC agreed with and provided corrective actions in response to these recommendations.

For more information, visit <https://aocoig.oversight.gov/reports/audit/audit-cannon-house-office-building-renewal-projects-substantial-final-completion>.

### 2. Audit of the Architect of the Capitol's Senate Furniture Program | OIG-AUD-2025-03 | July 18, 2025

OIG conducted a performance audit of AOC Senate Office Buildings' Furniture Program (i.e., the Senate Furniture Program). The objective of this audit was to assess the efficiency and effectiveness of the AOC's Senate Furniture Program. Specifically, OIG evaluated the AOC's policies, procedures, and processes for acquiring, safeguarding, transferring, and disposing of Senate furniture to determine if the program is operating efficiently and effectively.

Overall, OIG determined that the AOC's Senate Furniture Program needs significant improvements. The program's policies, procedures, and processes for acquiring, safeguarding, transferring, and disposing of furniture are inefficient and ineffective. Specifically, OIG identified deficiencies and improvements needed for the program's policies and procedures, asset management system, and asset lifecycle processes. With a 90 percent confidence level, OIG statistically projects that approximately 51 percent of the furniture population  $\pm 7$  percent contains erroneous information. In addition, OIG was unable to conclude on cost projections due to the program's inability to provide accurate cost information as well as sufficient documentary evidence. OIG questioned inventory costs totaling approximately \$22.6 million.

OIG made five recommendations for the AOC to improve the integrity, efficiency, and effectiveness of the AOC's Senate Furniture Program. The AOC agreed with and provided corrective actions in response to these recommendations.

For more information, visit <https://aocoig.oversight.gov/reports/audit/audit-architect-capitals-senate-furniture-program>.

### 3. Audit of Architect of the Capitol's Cannon House Office Building Renewal Project's Contract Labor | OIG-AUD-2025-04 | August 5, 2025

OIG oversaw the work of an IPA firm that conducted an audit of the CHOB Project's contract labor. The objective of the audit was to determine whether the contract labor for Phase 3 and Phase 4 of the CHOB Project complied with the contract requirements and/or specifications and applicable federal laws and regulations.

Generally, OIG determined that the contract labor for Phase 3 and Phase 4 of the CHOB Project complied with the contract requirements and applicable federal laws and regulations. However, OIG was unable to determine if the sampled subcontractors' accounting systems complied with contract requirements and applicable federal laws and regulations, as four of the five sampled subcontractors did not respond to the audit request, thereby creating an audit scope limitation.

OIG made two recommendations for the AOC to obtain an explanation on subcontractors' non-responsiveness and use contract remedies to enforce the Examination of Records clause. The AOC agreed with and provided corrective actions in response to our two recommendations.

For more information, visit <https://aocoig.oversight.gov/reports/audit/audit-chobr-projects-contract-labor>.

## In Progress This Reporting Period

### 1. Fiscal Year 2025 Financial Statement Audit | 2025-AUD-003-A

OIG has contracted with an IPA firm to perform an audit of AOC financial statements as of and for the fiscal year ending September 30, 2025. The IPA firm is responsible for conducting the audit in accordance with auditing standards generally accepted in the United States; the standards applicable to financial audits contained in Government Auditing Standards<sup>3</sup> issued by the Comptroller General of the United States under the Government Accountability Office (GAO), the Office of Management and Budget (OMB) Bulletin No. 24-02: Audit Requirements for Federal Financial Statements,<sup>4</sup> GAO's Federal Information System Controls Audit Manual,<sup>5</sup> and GAO's/Council of the Inspectors General on Integrity and Efficiency's (CIGIE) Financial Audit Manual.<sup>6</sup> Specifically, the IPA firm is responsible for providing an opinion on whether the financial statements that were prepared by AOC management, with the oversight of those charged with governance, were prepared in all material respects in accordance with the applicable financial reporting framework.

### 2. Audit of the Architect of the Capitol's Cannon House Office Building Renewal Project's Contract Cost | 2025-AUD-004-A

OIG has contracted with an IPA firm to perform a performance audit of the CHOB<sub>r</sub> Project's contract cost. The objective of the audit is to verify the accuracy and compliance of the invoiced amounts with the CHOB<sub>r</sub> contracts. Specifically, OIG will determine whether the invoiced amounts for the CHOB<sub>r</sub> Project's contract phases were allowable, allocable, and reasonable, as required by the contract and applicable laws and regulations.

### 3. Capstone Report of the Architect of the Capitol's Cannon House Office Building Renewal Project | 2025-AUD-005-A

OIG has contracted with an IPA firm to perform the CHOB<sub>r</sub> Project Capstone Report Project. The objective of this project is to compose a capstone report that summarizes the results, recommendations, and corrective actions for all CHOB<sub>r</sub> Project reports issued between FY 2019 and FY 2025. This report will also identify project challenges, lessons learned, and effective practices to serve as a resource for similar future projects.

<sup>3</sup> GAO. 2018. Government Auditing Standards (Yellow Book). <https://www.gao.gov/yellowbook>.

<sup>4</sup> OMB. 2024. Bulletin No. 24-02, Audit Requirements for Federal Financial Statements. <https://www.whitehouse.gov/wp-content/uploads/2024/07/OMB-Bulletin-No.-24-02.pdf>.

<sup>5</sup> GAO. 2009. Federal Information System Controls Audit Manual. <https://www.gao.gov/assets/gao-09-232g.pdf>.

<sup>6</sup> GAO. 2022. Financial Audit Manual. [https://www.gao.gov/financial\\_audit\\_manual](https://www.gao.gov/financial_audit_manual).



# Inspections and Evaluations

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## What Is an Inspection or Evaluation?

OIG's Inspections and Evaluations Division (I&E) conducts independent and objective assessments of AOC programs and operations. These management and programmatic evaluations are intended to provide insight into issues of concern to the AOC, Congress, and the American public. I&E blends multidisciplinary analytic skills to perform the following:

- Analyze patterns, policies, and practices contributing to waste and abuse of funds and resources under AOC control.
- Develop reports with a focus on thematic and systemic issues.
- Proactively examine resource management and management controls.
- Conduct special reviews.

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## *By the Numbers*

From April 1, 2025–September 30, 2025, two inspection and evaluation reports were completed, and one remains in progress.

COMPLETED	IN PROGRESS
2	1

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## Completed This Reporting Period

### 1. Evaluation of Architect of the Capitol's Implementation of Information Security Modernization for Fiscal Year 2024 | 2024-0004-IE-P | August 4, 2025

OIG oversaw the work of an IPA firm that conducted an evaluation of the AOC's implementation of information security modernization for FY 2024. The objective of this evaluation was to determine whether the AOC developed and implemented effective information security programs and practices when compared against Federal Information Security Modernization Act of 2014 (FISMA) standards.

The AOC is not required to follow FISMA, but it is a best practice and was used as criteria for the evaluation. Due to the sensitive nature of this evaluation, specific language pertaining to findings and recommendations is protected and not disclosed.

OIG made 16 recommendations to strengthen the AOC's information security program. The AOC agreed with and provided corrective actions in response to these recommendations.

For more information, visit <https://aocoig.oversight.gov/reports/inspection-evaluation/evaluation-architect-capitols-implementation-information-security>.

### 2. Evaluation of the Architect of the Capitol's Supply Chain Risk Management | 2024-0003-IE-P | September 4, 2025

OIG oversaw the work of an IPA firm that conducted an evaluation of the AOC's supply chain risk management (SCRM). The objective of this evaluation was to determine the extent to which the AOC implemented an organizational SCRM process and program that identifies, assesses, mitigates, and responds to supply chain risks throughout the agency as well as to determine whether vulnerabilities exist for fraud, waste, abuse, and mismanagement.

Overall, OIG determined that the AOC lacks a defined SCRM program and that inconsistencies are prevalent within the AOC's offices' and jurisdictions' risk management processes. Furthermore, OIG determined that the AOC should enhance SCRM and risk assessment processes and procedures.

OIG made three recommendations for the AOC to enhance SCRM and risk assessment processes and procedures. The AOC agreed with and provided corrective actions in response to these recommendations.

For more information, visit <https://aocoig.oversight.gov/reports/inspection-evaluation/evaluation-architect-capitols-supply-chain-risk-management>.

## In Progress This Reporting Period

### 1. Evaluation of the Architect of the Capitol's Historic Preservation and Heritage Asset Management | 2025-0001-IE-P

OIG has contracted with an IPA firm to perform an evaluation of the AOC's historic preservation and heritage asset management. The objective of the evaluation is to determine the effectiveness and efficiency of the AOC's Historic Preservation and Stewardship Program, with an in-depth assessment of the AOC's management, maintenance, documentation, and recordkeeping activities for heritage assets under its custodianship. This evaluation will further assess whether AOC policies and practices align with federal preservation standards and best practices for cultural resource stewardship.

# Follow-Up

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## What Is a Follow-Up Evaluation?

OIG's Follow-Up Division (FLD) conducts independent and objective follow-up evaluations of corrective actions and recommendations implemented by AOC management from previous OIG audits, evaluations, and investigations. These evaluations assess whether the AOC's corrective actions are complete, efficient, and effective and address the original condition. Follow-up and monitoring implementation is a shared responsibility between OIG and AOC management. Therefore, the results aim to provide insight into the impact of OIG's work and the AOC's efforts to improve AOC operations. These evaluations further assist OIG competencies, standards, and capabilities as a continuous learning organization.

## *By the Numbers*

From April 1, 2025–September 30, 2025, one follow-up evaluation was completed, and one remains in progress.

COMPLETED	IN PROGRESS
1	1

## Completed This Reporting Period

### 1. Follow-up Evaluation of the Architect of the Capitol's Tree Maintenance Program | OIG-FLD-2025-03 | May 30, 2025

OIG conducted a follow-up evaluation of the AOC's compliance with the Tree Maintenance Program. The objective of this follow-up evaluation was to determine whether the AOC has effectively implemented corrective actions to address the findings and recommendations in OIG's 2021 report, Evaluation of the Architect of the Capitol's Tree Maintenance Program ([2020-0003-IE-P](#), February 4, 2021).

OIG found that although the Capitol Grounds jurisdiction does not include continuing education unit (CEU) reports in arborists' annual performance reviews, supervisors monitor arborists' progress toward completing the training required to maintain their certifications. Specifically, supervisors discuss professional development and required CEUs during performance reviews and track employee training through their budgetary process using the AOC training portal and external certification websites. Additionally, OIG's evaluation found that most arborists have exceeded the number of CEUs required to maintain their certifications for FY 2023 and FY 2024.

OIG did not make any recommendations.

For more information, visit <https://aocoig.oversight.gov/reports/inspection-evaluation/follow-evaluation-architect-capitols-tree-maintenance-program>.

## In Progress This Reporting Period

### 1. Follow-up Evaluation of the Architect of the Capitol's Emergency Preparedness Posture | 2025-0002-FLD-P

OIG is conducting a follow-up evaluation of the AOC's Emergency Preparedness Posture. The objective of this evaluation is to determine whether the AOC has effectively implemented corrective actions to address the findings and recommendations in its 2021 OIG report, Evaluation of the Architect of the Capitol's Emergency Preparedness Posture ([2020-0002-IE-P](#)).

# Investigations

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## What Is an Investigation?

OIG's Investigations Division conducts criminal, civil, and administrative investigations of fraud, waste, abuse, and misconduct related to AOC programs, operations, and personnel. Special agents within OIG's Investigations Division maintain full statutory law enforcement authority to make arrests, execute warrants, serve subpoenas, and carry firearms. Criminal and civil matters are referred to the relevant U.S. Attorney's Office (USAO) or a local prosecutorial entity for criminal or civil prosecution or monetary recovery. Matters not accepted for prosecution are referred to AOC management for awareness and administrative action. OIG special agents continue to work collaboratively with local and federal agencies to build relationships that, together, further enhance OIG's capabilities to identify and mitigate fraud within the AOC.

## *By the Numbers*

From April 1, 2025–September 30, 2025, six investigations were closed, and nine were opened. Additionally, \$428,384 were identified as funds for better use, as highlighted in Table 2.

CLOSED	OPENED
6	9

**Table 2. Cost Avoidance, Savings, and Recoveries Resulting From OIG Investigations**

Item	Quantity
Cost avoidance from employee removals/resignations <sup>a</sup>	\$40,264
Savings from employee salaries during suspensions <sup>a</sup>	\$0
Administrative repayment determinations	\$0
Court-ordered fines/forfeitures/restitution	\$388,120
OIG recovery of stolen government property/funds	\$0
Funds put to better use: salary and benefits	\$0
Funds put to better use: net questioned costs	\$0
Funds put to better use: waste	\$0
<b>Total</b>	<b>\$428,384</b>

<sup>a</sup> Salary and wage data obtained from the Office of the Chief Administrative Officer are used to calculate positive impact and savings from investigations that result in the suspension, removal, or resignation of employees engaged in misconduct in the workplace.

## Investigative Data This Reporting Period

### *Contacts and Complaints*

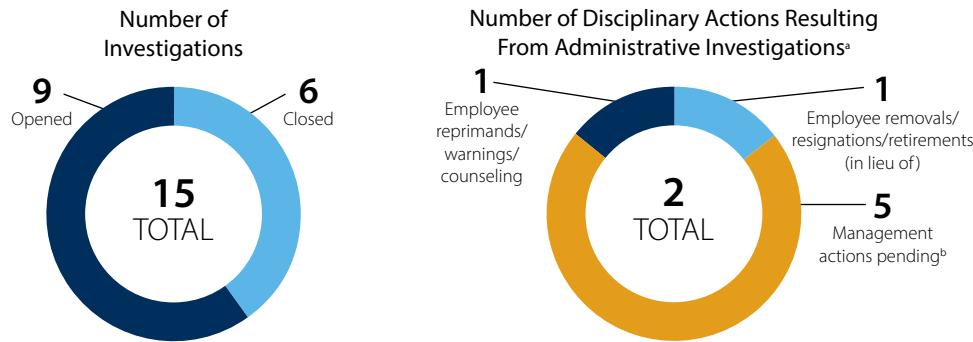
During this reporting period, OIG received or initiated 43 contacts and complaints.



<sup>a</sup>There were no OIG-observed/OIG-developed contacts and complaints.

### *Investigations*

From the 43 contacts and complaints, investigators opened 9 investigations.

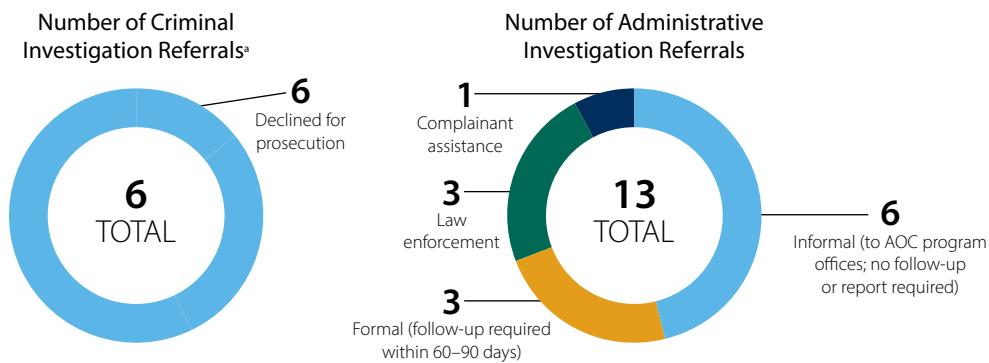


<sup>a</sup>There were no employee suspensions.

<sup>b</sup>Excluded from the total count, as disciplinary action has not yet been taken.

### *Referrals*

OIG referred 6 matters for prosecutorial consideration and 13 complaints to other government agencies, AOC program offices, and/or AOC Avenues of Assistance.



<sup>a</sup> No criminal investigations were accepted for prosecution, and there are no prosecutorial decisions pending.

## Closed This Reporting Period

### CLOSED FINAL

#### 1. Paycheck Protection Program (PPP) and Economic Injury Disaster Loan (EIDL) Fraud | 2024-0005-INVI-P | April 23, 2025

A proactive OIG initiative identified now former AOC employee, Jamie Latoya Morton, GS-13, Contract Specialist, Office of the Chief Administrative Officer, as an individual who allegedly received PPP and EIDL funds and met criteria identified by the AOC's OIG for potential fraud. The investigation determined that Morton submitted 32 separate applications to the Small Business Association's PPP and EIDL programs for various businesses held in her and her juvenile child's name. Morton had not reported any outside employment to the AOC nor reported earnings from her claimed businesses to her state of residence. Approximately half of the applications were submitted utilizing the AOC-owned laptop issued to Morton and the AOC's IP address. Morton plead guilty to one count of bank fraud, in violation of 18 U.S.C. § 1344, and was ordered by the United States District Court for the Eastern District of Virginia to serve 90 days in a Federal Bureau of Prisons facility, remain on supervised release for 3 years, and pay restitution to the U.S. Government in the amount of \$238,120.00. Morton also consented to \$150,000.00 in forfeiture. The case is closed.

For more information, visit <https://aocoig.oversight.gov/reports/investigation/paycheck-protection-program-ppp-and-economic-injury-disaster-loan-eidl-fraud>.

### AWAITING MANAGEMENT ACTION

#### 1. Overtime Abuse | 2024-0013-INVI-P | September 8, 2025

OIG received an allegation that an AOC supervisor had committed time and attendance abuse by regularly claiming extreme amounts of overtime per pay period, along with multiple days of Sunday differential in the AOC's time and attendance system. An initial OIG review confirmed the supervisor worked an excessive amount of overtime and had consistently received differential pay for approximately 4 days per pay period. OIG confirmed that in 2023 alone, their pay reflected approximately \$83,570 in regular time, \$15,630 in Sunday differential, and \$82,044 in overtime. The investigation identified that the supervisor's shift was scheduled in a manner that accounted for multiple days of differential pay per pay period and was in accordance with AOC policy; however, when others on their shift requested similar schedules, they were denied by the supervisor. In addition to the appearance of impropriety with their subordinates, there appeared to be an abuse of overtime by the supervisor and lack of oversight by their superiors. Finally, prior to meeting with investigators, the supervisor had not submitted the appropriate requests for outside employment in accordance with the AOC's Ethics policy. OIG's Report of Investigation was submitted to AOC management for any action deemed appropriate. The case is closed pending management action.

For more information, visit <https://aocoig.oversight.gov/reports/investigation/overtime-abuse>.

#### 2. Time and Attendance Fraud | 2024-0023-INVI-P | July 17, 2025

OIG received an allegation that an AOC employee left Capitol grounds during their scheduled shift and returned after the completion of their scheduled tour of duty to clock out. An investigation was initiated which, through interviews and digital evidence, corroborated the allegations. Due to limited prosecutorial resources and the availability of administrative remedies, the USAO in Washington, D.C., declined to pursue criminal charges. OIG's Report of Investigation was submitted to AOC management for any action deemed appropriate. The case is closed pending management action.

For more information, visit <https://aocoig.oversight.gov/reports/investigation/time-and-attendance-fraud>.

#### 3. Misuse of Government Equipment | 2025-0002-INVI-P | May 29, 2025

While conducting a review of agency email in furtherance of a separate ongoing OIG matter, it was discovered that an AOC supervisor had emailed five sexually explicit adult pornographic videos from their AOC owned email account to the same AOC owned email account on February 4, 2006. OIG initiated an investigation to review the supervisor's more recent use of AOC issued information technology (IT) assets. The investigation identified numerous questionable images within caches for the internet browser and multiple social media applications on their issued AOC iPhone as well as one adult pornographic video file saved to the files within the iPhone. OIG's Report of Investigation was submitted to AOC management for any action deemed appropriate. The case is closed pending management action.

For more information, visit <https://aocoig.oversight.gov/reports/investigation/misuse-government-equipment>.

#### 4. Threats and Concerning Statements | 2025-0010-INVI-P | August 1, 2025

OIG received an allegation that an AOC supervisor was overheard by employees making concerning and potentially threatening comments. OIG's investigation corroborated the allegation that the supervisor had made inappropriate comments at the workplace; however, it did not identify evidence that the supervisor had made direct threats. OIG's Report of Investigation was submitted to AOC management for any action deemed appropriate. The case is closed pending management action.

For more information, visit <https://aocoig.oversight.gov/reports/investigation/threats-and-concerning-statements>.

#### 5. Fraudulent Medical Documentation | 2025-0011-INVI-P | July 28, 2025

OIG received an allegation that an AOC employee submitted multiple fraudulent medical documents. The investigation determined, through documentary and testimonial evidence, that the employee had created and submitted fraudulent medical documentation to their supervisor. Due to limited prosecutorial resources and the availability of administrative remedies, the

USAO in Washington, D.C., declined to pursue criminal charges. OIG's Report of Investigation was submitted to AOC management for any action deemed appropriate. The case is closed pending management action.

For more information, visit <https://aocoig.oversight.gov/reports/investigation/fraudulent-medical-documentation-0>.

## INVOLVING SENIOR GOVERNMENT EMPLOYEES

OIG did not conduct any investigations involving senior government employees during this reporting period.

## ACTION RESULTING FROM INVESTIGATIONS REPORTED IN PREVIOUS SARS

### 1. Inappropriate Relationship, Retaliation and Truthfulness | 2025-0003-INVI-P | February 13, 2025

OIG was notified that although a previous investigation was completed (2024-0007-INVI-P), there was now additional evidence to support the previously not substantiated allegation of an inappropriate romantic relationship between an AOC supervisor and a subordinate employee. Subsequent review of previously obtained evidence, new testimonial evidence, and additional documentary evidence obtained by OIG during this investigation provided sufficient evidence to substantiate, based on the preponderance of the evidence, an inappropriate relationship between the supervisor and subordinate employee. The investigation also found that both parties had been untruthful with OIG investigators during the initial investigation.

OIG referred both employees' false statements under Title 18, U.S.C. §1001 to the USAO for prosecutorial consideration. USAO declined to open a criminal matter given their limited resources and other prosecutorial priorities. The AOC informed OIG that the supervisor retired prior to action taken and that no disciplinary action was taken against the subordinate employee for the false statement made to federal agents. The case is closed.

For more information, visit <https://aocoig.oversight.gov/reports/investigation/inappropriate-relationship-retaliation-and-truthfulness>.

### 2. Allegation of Malingering | 2025-0005-INVI-P | January 29, 2025

OIG received an allegation that an employee had falsified or had been exaggerating a nonwork-related injury to avoid having to complete their assigned duties. The investigation determined that the medical documentation supporting the employee's injury was legitimate. The investigation revealed that the employee failed to submit a current Outside Employment or Self-Employment Form for their personal business in violation of AOC policy. Additionally, records indicate that the employee did not report business income from their personal business to their respective state, a potential violation of tax law. OIG referred the matter to the appropriate comptroller for further investigation as deemed appropriate. The AOC issued the employee a letter of reprimand. The case is closed.

For more information, visit <https://aocoig.oversight.gov/reports/investigation/allegation-malingering>.

### 3. Fraudulent Medical Documentation | 2024-0019-INVI-P | December 13, 2024

OIG initiated an investigation after receiving a complaint regarding questionable medical documentation submitted by an AOC employee. The investigation revealed evidence that the employee had falsified information and provided fraudulent medical documentation. OIG substantiated administrative violations against the employee and submitted them to the AOC for action deemed appropriate, if any. OIG presented its findings of false claims to USAO in Washington, D.C., which declined to prosecute due to the availability of administrative remedies. The employee was issued a letter of separation, effective May 24, 2025.

For more information, visit <https://aocoig.oversight.gov/reports/investigation/fraudulent-medical-documentation>.

## Open This Reporting Period

### 1. OIG currently has the following 16 open investigations:

- 2023-0002-INVI-P
- 2023-0010-INVI-P
- 2024-0009-INVI-P
- 2024-0010-INVI-P
- 2024-0018-INVI-P
- 2025-0004-INVI-P
- 2025-0006-INVI-P
- 2025-0007-INVI-P
- 2025-0008-INVI-P
- 2025-0009-INVI-P
- 2025-0012-INVI-P
- 2025-0013-INVI-P
- 2025-0014-INVI-P
- 2025-0015-INVI-P
- 2025-0016-INVI-P
- 2025-0017-INVI-P

# Other Reporting Requirements

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## Semiannual Certification of Program

The Inspector General (IG) is required to submit, to the appropriate committees of Congress, a written certification that adequate internal safeguards and management procedures exist that — except to the extent the IG determines necessary to effectively carry out the duties of OIG — are in compliance with standards established by CIGIE, which incorporate Department of Justice guidelines, to ensure proper exercise of authorized powers.

In accordance with 2 U.S.C 1808(d)(3)(C)(i), AOC's OIG certifies that adequate internal safeguards and management procedures exist.

## Management Advisories

A Management Advisory reports on specific gaps or weaknesses in AOC internal controls observed during OIG work. These reports are a communication tool that may or may not contain recommendations that may or may not require AOC concurrence. OIG did not issue any Management Advisories during this reporting period.

## Notices of Concern

A Notice of Concern reports on specific AOC safety or security issues observed during the course of OIG work and is provided to AOC management for any immediate action they deem appropriate. These reports do not provide recommendations. OIG did not issue any Notices of Concern during this reporting period.

## Review of Legislation and Policies

During this reporting period, OIG reviewed 24 AOC orders or other policy or guidance documents, as highlighted in Table 3.

## Refusal to Provide Information or Assistance

There were no instances of the AOC refusing to provide information or assistance.

## Attempts to Interfere With OIG Independence

There were no instances of the AOC attempting to interfere with OIG independence.

## Status of Reports or Recommendations

1. For which no management decision was made
2. For which no management comment was made within 60 days

There were no reports or recommendations more than 6 months old for which OIG had not received management decisions during this reporting period. Further, there were no reports or recommendations for which management did not provide comments within 60 days.

## Significantly Revised Management Decisions

There were no significantly revised management decisions during this reporting period.

## Significant Management Decisions With Which OIG Disagrees

There were no significant management decisions with which OIG disagreed with during this reporting period.

## Instances in Which an Inspection, Evaluation, or Audit Was Completed and Not Disclosed to the Public

There were no instances during this reporting period in which OIG completed an inspection, evaluation, or audit without disclosing it to the public. All such products are listed at [www.oversight.gov](http://www.oversight.gov) and [aocoig.oversight.gov](http://aocoig.oversight.gov).

## Peer Reviews Conducted

AOC's OIG conducted a peer review of AmeriCorps OIG's AUD operations and issued a final report on June 30, 2025. AOC's OIG determined established policies and procedures for the audit function on September 30, 2024, were current and consistent with applicable professional standards. There are no outstanding recommendations in the report.

## Peer Review Reporting

### AUDITS

The Peace Corps' OIG conducted an external peer review of OIG's AUD operations and issued a final report on December 12, 2024. OIG received a peer review rating of "Pass," the highest rating possible, confirming that AUD operations are performed in accordance with GAO Yellow Book standards. There are no outstanding recommendations in the final report.

## INSPECTIONS AND EVALUATIONS

The United States Agency for International Development's OIG conducted an external peer review of OIG's I&E, which encompassed the work of OIG's FLD, and issued a final report on September 30, 2025. OIG received a peer review rating of "Pass," the highest rating possible, confirming that I&E operations, including those conducted under FLD, are performed in accordance with CIGIE Blue Book standards. There are no outstanding recommendations in the final report.

## INVESTIGATIONS

There was no peer review activity for OIG's Investigations Division during this reporting period. The Federal Election Commission's OIG conducted an external peer review of OIG's investigative function and issued a final report on June 8, 2023. OIG received a peer review rating of "In Compliance," the highest rating possible, confirming that investigation operations are performed in accordance with the CIGIE Quality Standards for Investigations. There are no outstanding recommendations in the final report.

**Table 3. Review of AOC Orders or Other Policy or Guidance Documents**

Document	Title	Description
Order 4-8	Architect of the Capitol Flag Handling Policy	The order establishes policy for which all AOC organizations and employees must handle the United States flags during the course of official duties at the AOC.
Order 4-10	Internal Control Program Policy	This order establishes policy for management of the Internal Control Program (ICP) and supersedes AOC Order 4-10, dated March 31, 2016. The policy ensures that an appropriate ICP is operational for all jurisdictions and reporting entities in the AOC. In addition, the policy establishes management best practices for the AOC to identify, document, assess, and report agency risks and internal controls. By implementing internal controls, this order seeks to confirm reliability of reporting, compliance with applicable laws and regulations, safeguarding of assets, and mitigating risks.
Order 7-1	Responsibility of the Chief Information Security Officer	This order sets the roles, responsibilities, and authority of the Chief Information Security Officer in managing IT security throughout the AOC, and responsibilities of AOC staff. This order supersedes AOC Order 7-1, Authority Policy and Responsibility of the Chief Information Security Officer, dated September 8, 2008.
Order 8-2	Centralized Information Technology Management Policy	The order establishes the framework, roles, responsibilities, and authority for managing enterprise architecture and IT expenditures across the AOC. In addition, this order directs the consolidation and centralization of IT management within the AOC, including existing and future IT resources. This policy supersedes AOC Order 8-2, Information Technology Management, dated March 25, 2019.
Order 8-5	Architect of the Capitol Information Technology Resources and De Minimis Use Policy	This order establishes the responsibilities for using AOC IT equipment and systems and applies to all AOC employees and organizations. Though this policy is not directly applicable to contractors and volunteers, the provisions of this policy shall be enforced for such persons using appropriate contracts or other agreements. This order provides guidance for appropriate and what constitutes as inappropriate uses of AOC IT resources.
Order 28-16	Work Induction Board Charter	This new policy establishes the authority, scope, composition, function, and responsibilities of the AOC Work Induction Board to review requests at intake that are submitted to the Office of the Chief Engineer (OCE) for project execution and resulting project development plans.
Order 30-1	Funds Control Administration Policy	This policy establishes consistent agency-wide funds control administration guidelines for the AOC and enhances the efficiency and effectiveness of agency resource use. This order applies to all offices/jurisdictions and employees, all appropriations, and other funds provided to the AOC. In addition, this order supersedes AOC Order 30-1, dated May 31, 2015.
Order 32-2	Accounting Principles and Standards Policy	This order establishes and documents the accounting principles and standards the AOC follows for recording, tracking, and reporting financial information in accordance with U.S. generally accepted accounting principles. This order supersedes AOC Order 32-2, dated July 31, 2015.
Order 32-4	Official Business Travel Policy	This policy establishes official business travel guidelines for the AOC to ensure that local and long-distance business travel on behalf of the agency is conducted and paid for in a manner consistent with federal standards. This order supersedes AOC Order 32-4, dated May 31, 2015, and AOC Policy Memorandum 32-1, dated June 26, 2020.
Order 32-6	Accrued Expenses and Revenues Policy	This policy establishes guidance for AOC offices/jurisdictions on the accrued expenses and revenues process by outlining the guidelines for identifying and recording accrued expenses and revenues to ensure accurate and complete financial reporting. This order supersedes AOC Order 32-6, Accounts Payable Accruals Policy, dated September 20, 2004.
Order 32-14	Lease Policy	This new policy order establishes and documents the AOC's guidelines governing the lifecycle of lease management, which includes acquisition and reporting of lease activities, in accordance with U.S. generally accepted accounting principles.
Order 38-1	Government Ethics	This policy defines ethical standards for all AOC employees, including guidance on outside employment, conflicts of interest, fundraising, and post-government employment, in accordance with delegated authority from the House Ethics Committee. This revised order supersedes AOC Order 38-1, dated November 1, 2018, and updates the policy memorandum for accepting travel expenses from non-federal entities.
Order 38-3	Legal Representation and Indemnification of Architect of the Capitol Employees	This policy establishes criteria and procedures for legal representation, including in civil proceedings, and indemnification for all AOC employees (including former and retired) who are sued in a personal capacity. It also allows the AOC to indemnify AOC employees for any verdict, judgment, or other monetary award rendered against them in their personal capacity when the employee's conduct was within their scope of employment.
Order 38-4	Legal Hold Policy	This policy order provides the process for which the AOC's Office of General Counsel follows to implement a legal hold for the preservation of potentially relevant information in tangible or electronic form when legal action is reasonably anticipated or foreseeable. This policy applies to all AOC employees involved in the creation, maintenance, and disposition of electronically stored information and tangible material. This order supersedes AOC Order 38-4, Litigation Hold, dated December 5, 2014.

Document	Title	Description
Order 42-2	Operations Security Guidance Policy	This order formalizes the AOC's Operations Security Program and applies to all employees. Through this order, Contracting Officers (COs) and CORs are responsible for ensuring operational security clauses are referenced in contracts and adhered to by contractors supporting AOC operations. This order supersedes AOC Order 42-2, dated October 31, 2010.
Order 42-6	Emergency Management Policy	This new policy order establishes the roles and responsibilities within the AOC for emergency management, preparedness, and response. This order mandates training for all employees and accountability reporting to ensure the AOC maintains and communicates the most accurate information available about all-hazard incidents.
Order 296-1	Off-Boarding Separating Employees	This policy outlines the AOC's policy and procedures for off-boarding employees separating from the agency to ensure the necessary clearance procedures are completed prior to departure. This order supersedes AOC order 296-4, Off-Boarding Separating Employees, dated February 12, 2015.
Order 410-1	Training and Employee Development	This order establishes the AOC policy for training and development of AOC employees (full-time, part-time, project funded, and temporary). This order supersedes AOC Order 410-1, Training and Employee Development, dated July 25, 2014, and AOC Policy Memorandum 410-1, Career-Broadening Training Opportunities, dated November 14, 2022.
Order 537-1	Student Loan Repayment Program	This order sets forth the AOC's policy on SLRP and establishes the terms and conditions for administering SLRP. This order supersedes AOC Order 537-1, dated April 16, 2014.
Order 591-1	Uniform Policy	This order sets forth agency policy for the AOC Uniform Program. The policy applies to all AOC employees required to wear a uniform when performing their official duties as well as any employee who wears an article of clothing that identifies them as an AOC employee. The order supersedes AOC Order 591-1, Uniform Program, dated February 4, 2022.
Order 610-4	Adverse Weather Policy	This order outlines agency guidance and requirements to ensure employee safety and continuity of AOC operations when adverse (severe and hazardous) weather conditions occur. This order rescinds AOC Policy Memorandum 610-4, Adverse Weather Policy, and provides additional information regarding the agency's response to weather-related emergencies.
Order 630-2	Family and Medical Leave Act Policy	This order sets forth the AOC's policy and procedures for requesting leave under the Family and Medical Leave Act of 1993. This order replaces and supersedes AOC Order 630-2, Family and Medical Leave Act Policy, dated August 23, 2013.
Order 900-6	Personal Relationships Between Supervisors and Subordinates Policy	This policy addresses personal relationships and the prohibition of romantic relationships between supervisors and subordinates at the AOC. This policy supersedes AOC Policy Memorandum 4-17, Regarding Relationships Between Supervisors and Subordinates, dated January 31, 2013.



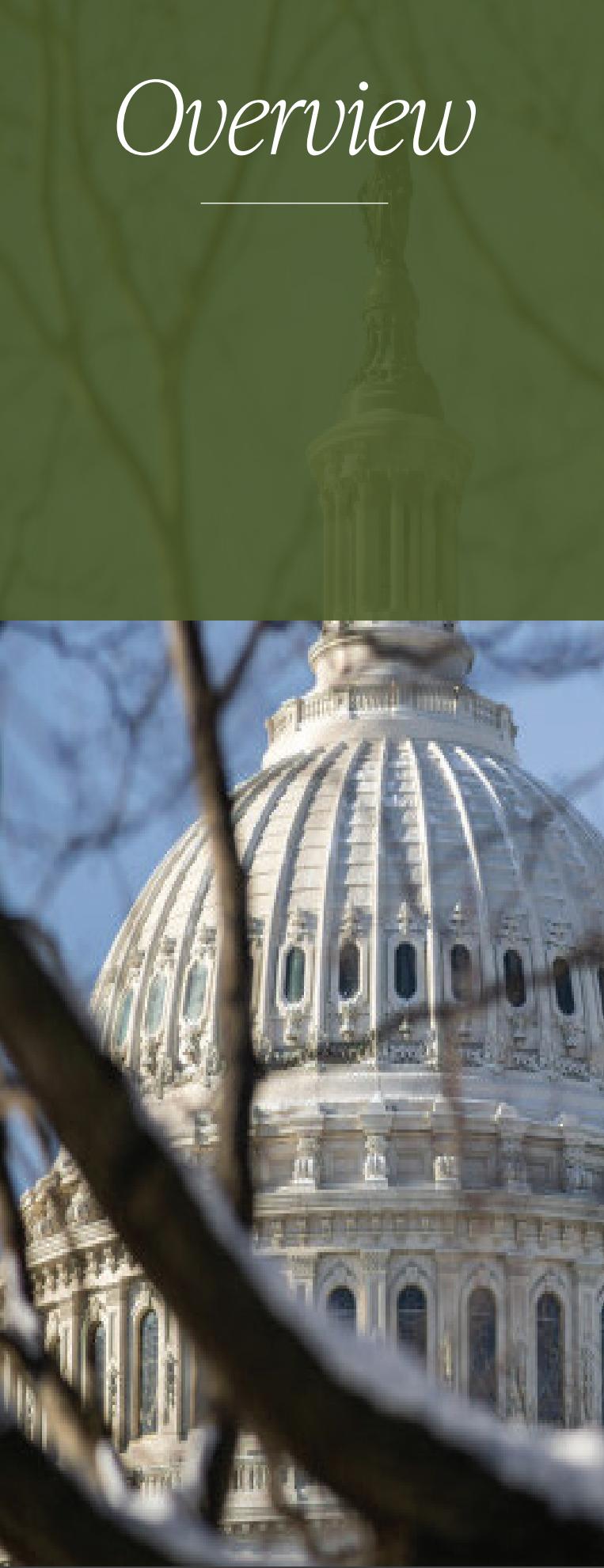


# *Recommendations*

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# Overview

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## What Is a Recommendation?

The implementation of OIG's recommendations to correct problems and vulnerabilities identified during an audit, inspection, evaluation, or other review is one of the most significant outcomes of OIG's oversight activities.

## *By the Numbers*

From April 1, 2025–September 30, 2025, OIG made 77 recommendations associated with 20 reports.<sup>7</sup> Of those 77 recommendations, 35 were implemented and designated as closed-resolved,<sup>8</sup> while 42 remain unimplemented and designated as open-resolved.<sup>9</sup>

REPORTS	RECS
20	77
IMPLEMENTED	UNIMPLEMENTED
35	42

<sup>7</sup> These reports span various reporting periods and are highlighted here because the recommendations were either implemented or remain unimplemented during this reporting period.

<sup>8</sup> "Closed-resolved" means that OIG verified that the agreed-upon corrective actions were implemented.

<sup>9</sup> "Open-resolved" means that AOC management has agreed (concurred) to implement the recommendation or has proposed corrective actions that will address the recommendation.

## POTENTIAL COST SAVINGS

Table 4 provides a summary of potential cost savings for the 42 unimplemented and open-resolved recommendations across 11 of the 20 reports. "Cost-savings" are defined as the sum of questioned costs and recommendations of funds that could be put to better use.

**Table 4. Summary of Potential Cost Savings for Open-Resolved Recommendations**

Report No.	No. Open-Resolved Recommendations	Funds Put to Better Use	Questioned Costs	Potential Cost Savings
OIG-AUD-2025-02	2	\$0	\$0	\$0
OIG-AUD-2025-03	5	\$0	\$22,594,367	\$22,594,367
OIG-AUD-2025-04	1	\$0	\$0	\$0
2024-0003-IE-P	3	\$0	\$0	\$0
2024-0004-IE-P	16	\$0	\$0	\$0
OIG-FLD-2022-01	3	\$0	\$0	\$0
OIG-FLD-2023-01	1	\$0	\$0	\$0
OIG-FLD-2025-01	6	\$0	\$0	\$0
2021-0001-IE-P	3	\$0	\$0	\$0
2022-0001-IE-P	1	\$0	\$0	\$0
2022-0002-IE-P	1	\$0	\$0	\$0
<b>Total</b>	<b>42</b>	<b>\$0</b>	<b>\$22,594,367</b>	<b>\$22,594,367</b>

## FUNDS QUESTIONED OR PUT TO BETTER USE

Table 5 provides a list of audit and evaluation reports, including the total value of questioned costs and funds put to better use, while Table 6 provides the status of questioned costs reported in previous SARs.

**Table 5. Funds Questioned or Put to Better Use**

Report No.	Report Title	Date Published	Questioned Costs <sup>a</sup>	Unsupported Costs <sup>a</sup>	Funds Put to Better Use <sup>a</sup>	Management Decision <sup>a</sup>
OIG-AUD-2025-03	Audit of the Architect of the Capitol's Senate Furniture Program	7/18/2025	—	\$22,594,367	—	Yes

<sup>a</sup> Refer to appendix B for definition of terms.

—Not applicable.

**Table 6. Status of Questioned Costs**

Report No.	Report Title	Date Issued	Questioned Costs <sup>a</sup>	Disallowed Costs <sup>a</sup>	Status of Questioned		Status of Disallowed		Not Recovered	Status to Date
					Allowed	Disallowed	Recovered	—		
OIG-AUD-2025-03	Audit of the Architect of the Capitol's Senate Furniture Program	7/18/2025	\$22,594,367	—	—	—	—	—	—	Open

<sup>a</sup> Refer to appendix B for definition of terms.

—Not applicable.

## Implemented Recommendations—Audits

### ARCHITECT OF THE CAPITOL OFFICE OF THE CHIEF SECURITY OFFICER

*Audits | OIG-AUD-2021-03 | May 5, 2021*

**Flash Report Series — Independent Assessment of the Architect of the Capitol's (AOC's) Role in Securing the Capitol Campus for Large Public Gatherings**

#### Report Summary:

OIG performed an independent assessment of the AOC's role in securing the Capitol campus during large public gatherings.

#### Recommendation No. 3:

We recommend the AOC Office of the Chief Security Officer establish well-defined policies and procedures with a preparation checklist for jurisdictions based on the severity of threat that provides clear guidance on execution of support activities related to coordination, mobilization, de-mobilization, asset protection, and reporting of activities associated with special events across the Capitol campus.

#### AOC Management Decision:



*AOC Management had no comment.*

**OIG Response: Closed-resolved**

### ARCHITECT OF THE CAPITOL OFFICE OF THE CHIEF FINANCIAL OFFICER

*Audits | OIG-AUD-2021-06 | September 29, 2021*

**Audit of the Architect of the Capitol's Unliquidated Obligations**

#### Report Summary:

OIG performed an audit of the AOC's unliquidated obligations.

#### Recommendation No. 2:

We recommend that [the] AOC ensure supporting documentation for unliquidated obligations is maintained and readily available.

#### AOC Management Decision:



*AOC Management had no comment.*

**OIG Response: Closed-resolved**

#### Recommendation No. 3:

We recommend that AOC finalize the new Quarterly Financial Review SharePoint application and ensure this new application addresses the current and prior year audit findings; and revise the AOC's policies and procedures to align with the new application.

#### AOC Management Decision:



*AOC Management had no comment.*

**OIG Response: Closed-resolved**

*Audits | OIG-AUD-2023-05 | April 14, 2023*

**Architect of the Capitol's Internal Control Plan Lacked Procedures to Assess and Monitor Payment Accuracy**

#### Report Summary:

OIG performed an audit of the AOC's internal control plan used to monitor payment accuracy.

#### Recommendation No. 1:

We recommend AOC management develop a process that will assess and monitor payment accuracy in its program and activities:

- The process should include procedures to identify and assess all programs and activities that are susceptible to significant improper payments annually (max triennially).
- For programs and activities identified as susceptible to significant improper payments, the AOC should consider the following procedures using OMB guidance:
  - Perform testing to estimate the cost of improper payments in the program.
  - Implement a plan to reduce erroneous payments.
  - Report estimates of the annual amount of improper payments in programs and activities and progress toward reducing them within the Performance and Accountability Report.

#### AOC Management Decision:



*The Office of the Chief Financial Officer will update its enterprise risk assessment process performed in accordance with [the] AOC's Enterprise Risk Management Program to assess and monitor payment accuracy in its program and activities. We expect to implement the updates to our enterprise risk assessment process by the end of the second quarter of FY 2024.*

**OIG Response: Closed-resolved**

<p><b>Recommendation No. 2:</b></p> <p>We recommend AOC management execute the process that assesses and monitors payment accuracy in its program and activities every 1 to 3 years.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p><i>The Office of the Chief Financial Officer will execute their updated enterprise risk assessment process to assess and monitor payment accuracy in its program and activities every 1 to 3 years.</i></p> <p><b>OIG Response: Closed-resolved</b></p>
<p><b>Recommendation No. 3:</b></p> <p>We recommend AOC management design a recovery plan that is consistent with federal guidance for implementation when improper payments are identified.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p><i>The Office of the Chief Financial Officer will design a recovery plan that is consistent with federal guidance and that aligns with the AOC's priorities when improper payments are identified. We expect to implement the design of our recovery plan by the end of the second quarter of FY 2024.</i></p> <p><b>OIG Response: Closed-resolved</b></p>

## ARCHITECT OF THE CAPITOL OFFICE OF THE CHIEF ENGINEER

*Audits | OIG-AUD-2023-06 | September 6, 2023*

### The Architect of the Capitol Lacked Sufficient Oversight of the Cannon Caucus Room Renovations

#### Report Summary:

OIG performed an audit of the AOC's CHOB Project Caucus Room.

#### Recommendation No. 4:

We recommend the AOC require the construction manager as constructor (CMc) to submit a final testing, adjusting and balancing report. If the CMc is unable to do so, the AOC should assess a credit against the CMc for failing to properly complete its contractual obligations.

#### AOC Management Decision:



*As the Caucus Room is occupied, the AOC will work with House Office Buildings and all stakeholders to determine the best available dates to complete the necessary testing.*

**OIG Response: Closed-resolved**

*Audits | OIG-AUD-2024-06 | September 26, 2024*

### Audit of the Architect of the Capitol's Construction Division

#### Report Summary:

OIG performed an audit of the AOC's Construction Division (CD) projects.

#### Recommendation No. 1:

We recommend that AOC develop standardized policies and procedures that govern how jurisdictions should evaluate and document their decision to either engage internal resources or hire external contractors.

#### AOC Management Decision:



*The OCE will collaborate with the Chief of Operations to determine the appropriate methodology for engaging jurisdictions in the development and implementation of policies and procedures related to contractor or internal resource selection.*

**OIG Response: Closed-resolved**

#### Recommendation No. 3:

We recommend that the CD finalize and formalize its standard operating procedures (SOPs).

#### AOC Management Decision:



*The AOC anticipates a new Director of Construction will be in place in early FY 2025, and this will be a priority focus. Once in place, the Director will work with the CD to finalize and formalize its SOPs.*

**OIG Response: Closed-resolved**

**Report Summary:**

OIG performed an audit of the AOC's CHOBr Project's Contract Labor.

**Recommendation No. 1:**

We recommend that the CHOBr Project's contracting officials require the CMc to obtain an explanation on subcontractors' non-responsiveness to the OIG's audit request.

**AOC Management Decision:**

*The AOC sent the CMc a letter requiring they obtain an explanation on subcontractors' non-responsiveness to the OIG's audit request.*

**OIG Response: Closed-resolved**

**Implemented Recommendations—Inspections and Evaluations****ARCHITECT OF THE CAPITOL OFFICE OF THE CHIEF ADMINISTRATIVE OFFICER****Report Summary:**

OIG performed an evaluation to determine if AOC disciplinary actions taken from FY 2015 to FY 2019 in response to employee misconduct complied with established policy and penalty guidance. OIG also reviewed disciplinary actions to determine if punitive actions were applied consistently based on appropriate criteria and evidence.

**Recommendation No. C:**

We recommend the AOC develop and publish a discipline policy for exempt personnel for transparency and consistency with other employee groups.

**AOC Management Decision:**

*The AOC concurs with the recommendation. The AOC has drafted AOC Order 213-1 [Senior Rated Executive System], which addresses the OIG recommendation. Section 42 of the order addresses discipline involving [the] AOC's senior-rated staff. The policy order will be reviewed, revised as directed, signed, and implemented upon the appointment of a permanent Architect.*

**OIG Response: Closed-resolved**

**Report Summary:**

OIG performed an evaluation to determine if adequate mechanisms and controls are in place to account for issued IT equipment, such as laptops and cell phones, and to what extent procedures are in place to report, track, and replace missing property.

**Recommendation No. 1:**

We recommend the Chief Information Officer (CIO) update the Information Technology Division's (ITD's) current policy for accountable IT property, including incorporating defined program personnel roles, requirements aligned with the property management lifecycle, and all current program procedures.

**AOC Management Decision:**

*The AOC concurs with the recommendation. ITD will update AOC Order 8-4 [Accountable Information Technology Property] to incorporate defined program personnel roles and align requirements with the property management lifecycle and all current program procedures.*

**OIG Response: Closed-resolved**

**Recommendation No. 3:**

We recommend the AOC revise the Board of Survey process with codified punitive actions to act as a deterrent against future instances of egregious employee negligence and misconduct regarding the loss of AOC property, including both IT mobile devices and personal property.

**AOC Management Decision:**

*The AOC concurs with the OIG's recommendation. As referenced in the AOC's management response to this recommendation in the evaluation report, ITD will be incorporating a process to address the auditor's recommendation within the update to AOC Order 8-4 [Accountable Information Technology Property].*

**OIG Response: Closed-resolved**

**Report Summary:**

OIG performed an evaluation to determine if COs and CORs were performing their duties in accordance with AOC policies, procedures and contractual requirements. OIG also assessed whether there were any limitations that might hinder opportunities for efficiency in the CO and COR work process.

**Recommendation No. 1:**

We recommend that the AOC review the GAO's Framework for Assessing the Acquisition Function at Federal Agencies and make necessary adjustments to the AOC's current hierarchical reporting structure to provide sufficient independence and support for COs.

**AOC Management Decision:**

*The AOC concurs with the recommendation. The AOC will develop a team of key stakeholders, led by the AOC Chief of Staff, that will collectively assess the GAO Framework and make recommendations to agency leadership for decision and implementation. Completion is anticipated in May 2025.*

**OIG Response: Closed-resolved**

**Recommendation No. 3:**

We recommend the AOC review the contracting manual to determine whether the language restricting access to independent government estimate (IGE) information solely to AOC personnel who require knowledge of the estimate is appropriate. If the AOC determines the language is appropriate as written, it should enforce this requirement. If the AOC determines that it is appropriate to use non-AOC personnel in the IGE process, it should update its contracting manual to reflect that decision and implement appropriate safeguards to ensure the process remains both independent and confidential.

**AOC Management Decision:**

*The AOC concurs with the OIG's recommendation. The AOC will review the contracting manual rules restricting access to IGEs. If appropriate, the manual will be updated to include access and rules for non-AOC personnel. Completion is anticipated in May 2025.*

**OIG Response: Closed-resolved**

**Recommendation No. 9:**

We recommend the AOC update the requirements prescribed in the contracting manual for serving as a CO and/or include a reference to AOC Order 30-1 [Funds Control Administration].

**AOC Management Decision:**

*The AOC concurs with the OIG's recommendation. The AOC will review the contracting manual and update the requirements for serving as a CO and/or include a reference to AOC Order 30-1 [Funds Control Administration]. Completion is anticipated in May 2025.*

**OIG Response: Closed-resolved**

**Recommendation No. 10:**

We recommend the AOC implement compliance monitoring and enforcement standards for the current policies and procedures requiring CORs to document their roles and responsibilities.

**AOC Management Decision:**

*The AOC concurs with the OIG's recommendation. The AOC will review the contracting manual and develop guidelines for compliance monitoring and enforcement standards for current policies and procedures related to COR roles and responsibilities. Completion is anticipated in May 2025.*

**OIG Response: Closed-resolved**

**Recommendation No. 11:**

We recommend the AOC develop and implement guidance that directs the format and manner that CORs maintain documentation. This guidance should require COR files to be maintained in a readily accessible and uniform manner.

**AOC Management Decision:**

*The AOC concurs with the OIG's recommendation. The AOC will review the contracting manual and develop guidelines for the consistent format, maintenance, and accessibility of COR files. Completion is anticipated in May 2025.*

**OIG Response: Closed-resolved**

**Recommendation No. 12:**

We recommend the AOC develop and implement guidance that requires COs to document the procedures they undertook to complete their audit of CORs' files, explain how any deficiencies were resolved, and report the results to their management.

**AOC Management Decision:**

*The AOC concurs with the OIG's recommendation. The AOC will review the contracting manual and develop guidelines for the execution of file audits. Completion is anticipated in May 2025.*

**OIG Response: Closed-resolved**

**Report Summary:**

OIG performed an evaluation to assess the efficiency, effectiveness, and internal controls of AOC Human Capital Management Program activities and operations in accordance with AOC policies, procedures, transformation milestones, human capital strategy, and applicable federal laws and regulations. OIG's review of these items focused primarily on the applicability to hiring, retention, turnover, and quality step increases (QSLs).

**Recommendation No. 1:**

We recommend the Chief Administrative Officer (CAO) ensure consistent communication and collaboration between responsible parties. We recommend reviewing and assessing the human capital policy management roles and responsibilities to identify opportunities for improvement in human capital policy management and oversight. We also recommend enhancing or developing and implementing specific measures to foster consistent communication and collaboration between the Human Capital Management Division (HCMD) and the Policy and Special Programs (PSP) Division.

**AOC Management Decision:**



*The AOC concurs with the recommendation and cites AOC Order 4-1 Issuing AOC Policy as its avenue for defining clear communication and collaboration requirements between the two responsible parties, which includes providing feedback and recommendations on policy drafts, collaborating throughout the process of obtaining and reconciling feedback on draft policies from stakeholders, and notification of and follow up on outdated policies in need of updating.*

*Additionally, HCMD will hire a dedicated HCMD Policy Analyst who will identify opportunities for improvement and strengthen the agency's human capital policy management and oversight, further enhancing HCMD's communication and collaboration with the PSP Division as it develops new or revises draft policies. Completion is anticipated in the first quarter of FY 2025.*

**OIG Response: Closed-resolved**

**Recommendation No. 2:**

Per AOC Order 4-1 [Issuing AOC Policy], we recommend the CAO effectively execute the policy review process to verify the assignment of policies to the appropriate Office of Primary Responsibility within the AOC Policy Library, particularly following any transfers or changes in ownership between offices/jurisdictions.

Additionally, this recommendation should include a focus on ensuring that, when applicable, policy memorandums reference the original order number and section of the overarching policies to maintain alignment with their provisions.

**AOC Management Decision:**



*The AOC concurs with the OIG's recommendation. The AOC notes the PSP Division is responsible for updating the data housed in the AOC Policy Library. The PSP Division updated ownership of policies in fall 2023 and has implemented an internal process to review ownership of policies annually to ensure all policies are appropriately aligned to the correct office/jurisdiction.*

**OIG Response: Closed-resolved**

**Recommendation No. 3:**

We recommend the CAO review and assess the policy governance framework over jurisdictions to identify opportunities to improve policy governance, standards and communication. We recommend enhancing or developing and implementing a comprehensive policy governance framework across all jurisdictions.

Furthermore, this recommendation should include a focus on designating a point of contact at the HCMD to handle policy-related inquiries, thereby discouraging reliance on nondesignated resources and promoting adherence to policies.

**AOC Management Decision:**



*The AOC concurs with the OIG's recommendation. Policies are communicated via a variety of mediums, all at the agencywide, jurisdiction, and individual employee levels. HCMD is currently recruiting a dedicated Policy Analyst whose work will include proactive communication and coordination with offices/jurisdictions across the AOC to enhance the policy governance framework for human capital policies and procedures. Completion is anticipated in the first quarter of FY 2025.*

**OIG Response: Closed-resolved**

**Recommendation No. 4:**

We recommend the CAO establish a review process that effectively addresses any inconsistencies or manual input errors encountered during preparation and submission of Standard Form (SF) 52s.

**AOC Management Decision:**



*The AOC concurs with the OIG's recommendation. HCMD will establish a review process to ensure that inconsistencies or manual input errors that are not aligned with the AOC Career Staffing Plan Policy are appropriately addressed either during the preparation or submission of SF-52s by AOC offices and jurisdictions. Completion is anticipated in the third quarter of FY 2025.*

**OIG Response: Closed-resolved**

<p><b>Recommendation No. 5:</b></p> <p>We recommend the CAO establish data management protocols, incorporating routine review and reconciliation of employee data, alongside procedures for data entry and updates.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p><i>The AOC concurs with the OIG's recommendation. HCMD will implement data management quality review procedures to ensure consistency and reconciliation of employee data involving the agency's human resources information systems and applicable reports. Completion is anticipated in the third quarter of FY 2025.</i></p> <p><b>OIG Response: Closed-resolved</b></p>
<p><b>Recommendation No. 6:</b></p> <p>We recommend the CAO revise the Feedback to Non-Selected Internal Candidates Interviewed Form to incorporate explicit criteria and pertinent evidence validating that feedback was extended to interviewed non-selected internal candidates for vacant positions.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p><i>The AOC concurs with the OIG's recommendation. HCMD will revise the Feedback to Non-Selected Internal Candidates Interviewed Form to identify the employee names and dates on which feedback was provided or offered to internal candidates who were interviewed for vacant positions. Completion is anticipated in the third quarter of FY 2025.</i></p> <p><b>OIG Response: Closed-resolved</b></p>
<p><b>Recommendation No. 7:</b></p> <p>We recommend the CAO establish clear procedures and defined timelines for administering and conducting exit interviews with separating employees.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p><i>The AOC concurs with the OIG's recommendation. HCMD will strengthen and regularly communicate the process for offering separating employees the opportunity to participate in the online exit interview survey program. Completion is anticipated in the third quarter of FY 2025.</i></p> <p><b>OIG Response: Closed-resolved</b></p>
<p><b>Recommendation No. 8:</b></p> <p>We recommend the CAO review and assess AOC Order 4-1 [Issuing Architect of the Capitol Policy] protocols for update and retirement of outdated policies and identify opportunities to improve policy protocol activities. We recommend enhancing or developing and implementing protocol measures to affect timely updates to older policies and the prompt retirement or revision of outdated or unclear policies, ensuring continuous alignment with regulatory requirements and organizational objectives.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p><i>The AOC concurs with the OIG's recommendation. AOC Order 4-1 [Issuing AOC Policy] will be updated as a part of the regular policy review cycle to include updated protocols. HCMD is also hiring a Policy Analyst whose responsibilities will include coordinating with the PSP Division to ensure outdated HCMD policies are updated in a timely manner, in accordance with AOC Order 4-1, and aligned to regulatory requirements and organization objectives. Completion is anticipated in the fourth quarter of FY 2025.</i></p> <p><b>OIG Response: Closed-resolved</b></p>
<p><b>Recommendation No. 9:</b></p> <p>We recommend the CAO ensure all interrelated policies are tracked to facilitate the identification of those potentially impacted by the update of a single policy.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p><i>The AOC concurs with the OIG's recommendation. The AOC Policy Library has been updated to ensure that AOC Orders and related Policy Memoranda, if any, appear sequentially, and HCMD will commit resources for reviewing all HCMD policies, identifying interrelated HCMD policies and facilitating updates as needed. [Completion is anticipated in the] fourth quarter of FY 2025.</i></p> <p><b>OIG Response: Closed-resolved</b></p>
<p><b>Recommendation No. 10:</b></p> <p>We recommend the CAO practice timely reporting of the exit interview survey program to ensure its results are analyzed consistently and effectively. This effort includes defining timelines for reporting results to upper management and ensuring prompt action on identified issues.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p><i>The AOC concurs with the OIG's recommendation. HCMD will establish a regular reporting cadence of exit interview survey results to AOC senior leaders. Identified issues that have simple solutions will be addressed promptly; some of the issues may be systemic and require a longer-term strategy. Completion is anticipated in the fourth quarter of FY 2025.</i></p> <p><b>OIG Response: Closed-resolved</b></p>

<p><b>Recommendation No. 11:</b></p> <p>We recommend the CAO implement and sustain a centralized repository where all telework application packages, both approved and denied, across the agency are maintained. This effort includes establishing a regular review process of the repository to sustain its integrity and ensure consistency, fairness, and policy compliance.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p><i>The AOC concurs with the OIG's recommendation. While HCMD has maintained a centralized database of all approved telework application packages, going forward, HCMD will communicate requirements for AOC Office/Jurisdiction Telework Coordinators to submit denied telework application packages for maintenance in [the] AOC's centralized database. Completion is anticipated in the fourth quarter of FY 2025.</i></p> <p><b>OIG Response: Closed-resolved</b></p>
<p><b>Recommendation No. 12:</b></p> <p>We recommend the CAO review and align the processes for submitting, tracking, and maintaining QSI awards with the established policy provisions to address potential noncompliance in QSI submission and approval processes.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p><i>The AOC concurs with the OIG's recommendation. HCMD will automate the approval process for QSIs to ensure alignment with current applicable AOC policies. Completion is anticipated in the fourth quarter of FY 2025.</i></p> <p><b>OIG Response: Closed-resolved</b></p>

## ARCHITECT OF THE CAPITOL OFFICE OF THE CHIEF SECURITY OFFICER

### *Inspections and Evaluations | 2022-0001-IE-P | July 25, 2022* **Evaluation of the Architect of the Capitol's Security Badging Program**

#### **Report Summary:**

OIG performed an evaluation to assess the badging process for AOC employees and contractors and to determine if vulnerabilities exist within the program.

#### **Recommendation No. 3:**

We recommend the Office of the Chief Security Officer develop and implement suitability policy language, including clear lines of responsibility and processes. Improvements should include:

- Assigning the responsibility for the centralized recordkeeping of intra-agency badging agreement memoranda of understanding or agreements to the Office of the Chief Security Officer in the Contractor Suitability Policy.
- Including guidance and requirements for secure badge return and protection and oversight of personally identifiable information in both policies.

#### **AOC Management Decision:**



*The AOC concurs and will include responsibilities in the draft AOC Order 42-7 [Contractor Suitability Policy]. We are developing a plan of action and milestones (POA&M) to finalize this policy for review in FY 2024.*

**OIG Response: Closed-resolved**

## Implemented Recommendations—Follow-Up

### ARCHITECT OF THE CAPITOL OFFICE OF THE CHIEF ADMINISTRATIVE OFFICER

Follow-Up | OIG-FLD-2024-01 | May 9, 2024

#### Follow-up Evaluation of the Architect of the Capitol Data Center

##### Report Summary:

OIG performed a follow-up evaluation of the 2019 report, Audit of the Architect of the Capitol Data Center (OIG-AUD-2019-04).

##### Recommendation No. 2:

We recommend the CIO require the ITD perform an annual validation of the ITD Authorized Access List in accordance with the ITD Authorized Data Center Proxy Card Access List Maintenance SOP.

##### AOC Management Decision:



*Since the OIG's original report in September 2019 (OIG-AUD-2019-04), ITD has performed and will continue to perform regular reviews and validations of the U.S. Capitol Police (USCP) Access Clearance Definition Reports. ITD has modified this review process to more strictly comply with the ITD Authorized Data Center Proxy Card Access List Maintenance SOP. In cooperation with the USCP, ITD established its baseline in April 2024 of the ITD Authorized Access List and will continue to validate it at least annually in accordance with the ITD Authorized Data Center Proxy Card Access List Maintenance SOP. The SOP requires the ITD Proxy Card Access List Manager to email ITD supervisors as well as applicable AOC supervisors, their designated contacts, or non-ITD staff on the Authorized Access List to confirm their continuation of access. The next validation will be completed by April 2025, and ITD can provide the requesting email and supervisory replies as evidence of validation no later than May 2025.*

OIG Response: **Closed-resolved**

##### Recommendation No. 3:

The CIO requires the ITD to reconcile the ITD Authorized Access List against the United States Capitol Police Access Clearance Definition Report at the end of every calendar quarter in accordance with ITD Authorized Data Center Proxy Card Access List Maintenance SOP.

##### AOC Management Decision:



*ITD is currently reconciling the ITD Authorized Access List against the USCP Access Clearance Definition Report and will continue to do so quarterly in accordance with the ITD Authorized Data Center Proxy Card Access List Maintenance SOP. After April 2024, USCP agreed to provide their next quarterly reports in August (for the fourth quarter) and December (for the first quarter of FY 2025), which ITD can provide as evidence along with ITD's Authorized Access Lists and any reconciliation required in January 2025 as evidence of reconciliation.*

OIG Response: **Closed-resolved**

Follow-Up | OIG-FLD-2025-01 | November 25, 2024

#### Follow-Up Evaluation of the Architect of the Capitol's Compliance with the Government Purchase Card Program

##### Report Summary:

OIG performed a follow-up evaluation of the 2019 report, Evaluation of the Architect of the Capitol's Compliance with the Government Purchase Card Program (2018-0003-IE-P).

##### Recommendation No. 6:

We recommend that the AOC establish a process to monitor and track sales taxes paid to detect and prevent waste of taxpayer dollars.

##### AOC Management Decision:



*The Supplies, Services, and Material Management Division (SSMMD) will work with the Chief Financial Officer's (CFO's) Financial Systems Branch to review ways that sales tax can be monitored and tracked in Momentum to detect waste. [Completion is anticipated] by [the] fourth quarter [of] 2025.*

OIG Response: **Closed-resolved**

## Unimplemented Recommendations—Audits

### ARCHITECT OF THE CAPITOL SENATE OFFICE BUILDINGS

*Audits | OIG-AUD-2025-03 | July 18, 2025*  
**Audit of the Architect of the Capitol's Senate Furniture Program**

#### Report Summary:

OIG performed an audit of the AOC's Senate Furniture Program.

#### Recommendation No. 1:

We recommend that the Senate Furniture Program revise and implement policies, procedures, and automated and/or manual processes to:

- Align with applicable federal guidance and regulations.
- Comply with GAO's government internal control standards.
- Enhance asset management and operational efficiency.
- Improve data accuracy and reporting capabilities.
- Ensure the consistent application of asset management practices.

#### AOC Management Decision:



*The AOC will evaluate applicable federal guidance and regulations and, where necessary, develop SOPs and processes to enhance asset management [and] improve operational efficiency and data accuracy.*

*OIG Response: Open-resolved*

#### Recommendation No. 2:

We recommend that the Senate Furniture Program maintain documentation that supports the lifecycle of its assets.

#### AOC Management Decision:



*The AOC will evaluate for purchase and implementation software that can consolidate furniture, financial, and assignment information. Software is needed to track and manage the furniture inventory from the establishment of unit costs based on purchase data, through assignments of furniture to offices through its life, and ultimately disposal.*

*OIG Response: Open-resolved*

#### Recommendation No. 3:

We recommend that the Senate Furniture Program conduct a complete ("book to floor and floor to book") 100 percent physical inventory and correct the inventory records as appropriate, to include a review of reported cost. Questioned costs [are] \$22,594,367.

#### AOC Management Decision:



*[The AOC will complete an inventory of all accountable furniture items at or above the accountability threshold of \$1,500. AOC will develop a process to assign unit costs to all future furniture purchases over the accountability threshold. The costs of all furniture items at or above the accountability threshold that are purchased after the development and implementation of the new processes will be tracked. AOC will not be able to financially reconcile the entirety of the Senate Office Buildings' furniture inventory, which goes back over 115 years.*

*OIG Response: Open-resolved*

#### Recommendation No. 4:

We recommend that the Senate Furniture Program establish and implement asset management training on how to properly:

- Acquire, safeguard, transfer, label, and dispose of assets.
- Store assets and maintain inventory levels.
- Record an asset and create/use reports.
- Apply cost-effective and economical asset management techniques.

#### AOC Management Decision:



*The AOC will develop and implement training to address asset management roles and responsibilities.*

*OIG Response: Open-resolved*

#### Recommendation No. 5:

We recommend that the Senate Furniture Program reassess the amount and condition of leased storage space needed to support current program operations and reduce waste.

#### AOC Management Decision:



*The AOC will assess the full Senate Office Buildings' Furniture Program to include inventory management software, storage racking systems, equipment, warehouse space, and staffing.*

*OIG Response: Open-resolved*

## ARCHITECT OF THE CAPITOL OFFICE OF THE CHIEF ENGINEER

*Audits | OIG-AUD-2025-02 | June 30, 2025*

### **Audit of the Cannon House Office Building Renewal Project's Substantial & Final Completion for Phase 3 & Phase 4**

#### **Report Summary:**

OIG performed an audit of the AOC's CHOBr Project's Substantial & Final Completion for Phase 3 & Phase 4.

#### **Recommendation No. 1:**

We recommend that the AOC revise and fully implement a process that ensures compliance with construction contracts, project specifications, and any other requirements prescribed for substantial and final completion and document justification and approval for all deviations. This process should be applied to the awarding of substantial and final completion on all remaining Phases of the CHOBr Project.

#### *AOC Management Decision:*



*AOC and the CHOBr project team will review the existing contractual requirements for awarding substantial completion and final completion prior to the contractual dates of December 1, 2025, and December 31, 2025, respectively. If any process improvements are deemed necessary, the CHOBr project team will make those adjustments prior to any award being made.*

*OIG Response: Open-resolved*

#### **Recommendation No. 2:**

We recommend that the AOC develop construction contract requirements and project specifications for substantial and final completion that are feasible, achievable and necessary for future construction projects with multiple phases — similar to the CHOBr Project.

#### *AOC Management Decision:*



*AOC's OCE, Project Controls Division, and Design & Construction Acquisition Division (now part of [the] Office of the Chief Administration Officer) initiated a review of contract requirements for future projects, independent of OIG's report. AOC will share the results of the review once completed.*

*OIG Response: Open-resolved*

*Audits | OIG-AUD-2025-04 | August 5, 2025*

### **Audit of Architect of the Capitol's Cannon House Office Building Renewal Project's Contract Labor**

#### **Report Summary:**

OIG performed an audit of the AOC's CHOBr Project's Contract Labor.

#### **Recommendation No. 2:**

We recommend that the AOC's contracting officials use contractual remedies to ensure compliance with the Examination of Records clause.

#### *AOC Management Decision:*



*The AOC's OCE will coordinate with [the] AOC's Acquisition and Material Management Division to ensure that when required, contractual remedies are utilized when the Examination of Records Clause is leveraged.*

*OIG Response: Open-resolved*

Unimplemented Recommendations

## Unimplemented Recommendations—Inspections and Evaluations

### ARCHITECT OF THE CAPITOL OFFICE OF THE CHIEF ADMINISTRATIVE OFFICER

*Inspections and Evaluations | 2021-0001-IE-P | November 29, 2021*

#### Evaluation of the Architect of the Capitol's Fleet Management Program

##### Report Summary:

OIG performed an evaluation to determine if adequate mechanisms and controls were in place to account for the AOC's vehicle fleet, including agency cost and usage rate of vehicles.

<p><b>Recommendation No. 1:</b></p> <p>We recommend the CAO develop and implement additional policies and procedures that:</p> <ul style="list-style-type: none"> <li>• Ensure adherence to vehicle utilization reporting.</li> <li>• Include a more formal scheduled vehicle maintenance program.</li> <li>• Collect, track, monitor, and analyze fleet costs throughout the vehicle lifecycle at the vehicle level.</li> </ul>	<p><i>AOC Management Decision:</i></p> <p> <b>Concur</b></p> <p><i>The AOC concurs with the OIG's recommendation. Initial review and update of AOC Order 34-2 [Fleet Management] has been completed. The draft is with the PSP Division for review. Publication of the updated policy is expected by the end of FY 2024.</i></p> <p><i>OIG Response: Open-resolved</i></p>
<p><b>Recommendation No. 2:</b></p> <p>We recommend the CAO review jurisdiction-level fleet policies and standardize jurisdictional best practices across the AOC where appropriate.</p>	<p><i>AOC Management Decision:</i></p> <p> <b>Concur</b></p> <p><i>The AOC concurs with the OIG's recommendation. Updates to AOC Order 34-2 [Fleet Management] to incorporate best practices [have] been completed and forwarded to the PSP [Division] for review. Publication of the updated policy is expected by the end of FY 2024.</i></p> <p><i>OIG Response: Open-resolved</i></p>
<p><b>Recommendation No. 5:</b></p> <p>We recommend the CAO, in coordination with AOC organization leaders, review and revise agencywide and jurisdictional policies, including standards for vehicle utilization and guidance for implementing these standards while maintaining jurisdiction-level operational flexibility.</p>	<p><i>AOC Management Decision:</i></p> <p> <b>Concur</b></p> <p><i>The AOC concurs with the OIG's recommendation. Updates to AOC Order 34-2 [Fleet Management] to incorporate best practices [have] been completed and forwarded to the PSP [Division] for review. Publication of the updated policy is expected by the end of FY 2024.</i></p> <p><i>OIG Response: Open-resolved</i></p>

*Inspections and Evaluations | 2022-0002-IE-P | July 28, 2022*

#### Evaluation of the Information Technology Division's Inventory Accountability and Controls

##### Report Summary:

OIG performed an evaluation to determine if adequate mechanisms and controls are in place to account for issued IT equipment, such as laptops and cell phones, and to what extent procedures are in place to report, track, and replace missing property.

<p><b>Recommendation No. 2:</b></p> <p>We recommend the CIO continue the pursuit of transitioning to a single asset management system that addresses its program needs to track accountable and consumable IT property and establish a detailed implementation plan with target dates to transition to a single asset management system for accountable and consumable IT property as currently captured in Cireson and JumpStock.</p>	<p><i>AOC Management Decision:</i></p> <p> <b>Concur</b></p> <p><i>The AOC concurs with the OIG's recommendation. ITD determined it would be most fiscally responsible to perform a single migration to the new long-term Information Technology Service Management (ITSM) solution and not perform multiple migrations to legacy systems that will be retired within the next 12–18 months. As a result, the implementation plan to transition to a single asset management system will be a subset of the larger acquisition and transition plan for the new ITSM solution.</i></p> <p><i>OIG Response: Open-resolved</i></p>
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**Report Summary:**

OIG performed an evaluation to determine whether the AOC developed and implemented effective information security programs and practices when compared against FISMA standards.

**Recommendation No. 1-16:**

Due to the sensitive nature of this evaluation, specific language pertaining to findings and recommendations is protected and will not be disclosed.

**AOC Management Decision:**

*The AOC concurs with the OIG's recommendation.*

**OIG Response: Open-resolved**

**ARCHITECT OF THE CAPITOL OFFICE OF THE CHIEF SECURITY OFFICER****Report Summary:**

OIG performed an evaluation to assess the badging process for AOC employees and contractors and determine if vulnerabilities exist within the program.

**Recommendation No. 1:**

We recommend the Chief Security Officer (CSO) develop and implement a suitability policy for AOC employees and consolidate and implement revisions, as appropriate, to the current contractor suitability policy. Additionally, we recommend developing and implementing a standardized timeline for policy revisions and updates within the current fiscal year.

**AOC Management Decision:**

*The AOC concurs with the OIG's recommendation. AOC Order 42-6 [Staff Personnel Suitability Program Policy] and AOC Order 42-7 [Contractor Suitability Policy] are in draft form due to recent transitions with executive leadership and CSO management. [The] CSO is developing a POA&M to finalize these policies for review in FY 2024.*

**OIG Response: Open-resolved**

**ARCHITECT OF THE CAPITOL OFFICE OF THE CHIEF FINANCE OFFICER****Report Summary:**

OIG performed an evaluation to determine the extent to which the AOC implemented an organizational SCRM process and program that identified, assessed, mitigated, and responded to supply chain risks throughout the agency as well as to determine whether vulnerabilities exist for fraud, waste, abuse, and mismanagement.

**Recommendation No. 1a:**

We recommend the AOC perform an independent risk assessment to identify and evaluate potential risks within the agency's supply chain, including risks related to cybersecurity, geopolitical factors, vendor reliability, and compliance with regulatory requirements. This assessment will allow the agency to determine whether a formal SCRM program is necessary based on the agency's unique risk profile.

**AOC Management Decision:**

*The AOC concurs with the recommendation. AOC's Office of the Chief Financial Officer's Integrated Risk Management Division (IRMD) will conduct an independent risk assessment as part of the Enterprise Risk Management (ERM) Program's annual risk assessment process to effectively evaluate potential risks within the agency's supply chain. The Office of the Chief Financial Officer's IRMD will share the results of the risk assessment with the ERM Governance bodies, the Executive Risk Committee, and the Risk and Control Working Group to determine the most effective way forward.*

**OIG Response: Open-resolved**

# Unimplemented Recommendations

<p><b>Recommendation No. 1b:</b></p> <p>If deemed necessary based on the outcomes of the assessment performed, develop and implement an SCRM program tailored to the identified risks. This may include implementing or enhancing appropriate controls, vendor risk management processes, continuous monitoring, and integration of risk considerations into procurement and operation decision-making.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p>The AOC concurs with the recommendation. If deemed necessary based on the outcomes of the assessment, AOC's Office of the Chief Financial Officer's IRMD, in collaboration with the ERM Governance bodies, the Executive Risk Committee, and the Risk and Control Working Group, will determine the most effective and value-added approach to implement SCRM within the agency. [Completion is anticipated by] September 2026.</p> <p><b>OIG Response: Open-resolved</b></p>
<p><b>Recommendation No. 2:</b></p> <p>We recommend that the agency work with the offices and jurisdictions to define, document, and implement risk management processes for offices and jurisdictions to consistently identify, track, and manage risks applicable to them.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Partially Concur</b></p> <p>The AOC partially concurs with the OIG's recommendation. [The] AOC's Office of the Chief Financial Officer's IRMD already has an Integrated Risk Management Framework (IRMF) Procedural Guide that clearly defines and documents the agency's risk management processes. This Procedural Guide is posted to the AOC's Office of the Chief Financial Officer's IRMD Compass Page within the Resources section and is accessible to everyone in the agency. Specifically, the IRMF Overview section states the following: "The IRMD's framework is a continuous, systematic process for responding to risks as they emerge. It provides a means to embed structured, disciplined and consistent risk management practices and procedures at the enterprise, jurisdictional and program levels to allow for more informed decision-making and to improve performance at all levels of the agency."</p> <p>AOC's Office of the Chief Financial Officer's IRMD will update this documentation and strengthen our communications and socialization efforts to help confirm its contents and purpose are clearly understood and applied throughout the organization. Additionally, we will work with the offices and jurisdictions on implementing these risk management practices to consistently identify, track, and manage risks applicable to them. [Completion is anticipated by] September 2026.</p> <p><b>OIG Response: Open-resolved</b></p>
<p><b>Recommendation No. 3:</b></p> <p>We recommend that the agency work with the offices and jurisdictions to develop and document risk tolerance thresholds for strategic objectives.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p>The AOC concurs with the OIG's recommendation. The conclusion of FY 2025 marks the end of the current AOC Strategic Plan, which covered FY 2022–FY 2025. On October 1, 2025, the AOC will issue an Agency Performance Plan that will include the AOC strategic goals and objectives for the next 3 to 5 years as well as include longer term (next 15 to 20 years) goals to incorporate the Capitol Complex Master Plan. Key risk tolerance thresholds will be aligned to the strategic goals and objectives identified in the Agency Performance Plan (if applicable). Once a strategic document is disseminated for agency-wide consumption, [the] AOC's Office of the Chief Financial Officer's IRMD, along with the Program Analysis and Evaluation Division [and] in collaboration with the ERM Governance bodies, the Executive Risk Committee, and the Risk and Control Working Group, will determine if establishing risk tolerance thresholds at the Office and Jurisdiction levels is a value-added practice for our agency. Completion [will] be determined based on the direction from the agency on its new strategic documentation.</p> <p><b>OIG Response: Open-resolved</b></p>

## Unimplemented Recommendations—Follow-Up

### ARCHITECT OF THE CAPITOL OFFICE OF THE CHIEF ADMINISTRATIVE OFFICER

Follow-Up | OIG-FLD-2022-01 | June 1, 2023

#### Follow-Up Evaluation of the Congressional Request for Architect of the Capitol's Response to Sexual Harassment

##### Report Summary:

OIG performed a follow-up evaluation of the 2019 report, Congressional Request: Office of Inspector General Sexual Harassment Inquiry (2019-0001-INVQ-P).

##### Recommendation No. 2:

We recommend the Diversity, Inclusion, and Dispute Resolution (DI/DR) Office perform a climate assessment for jurisdictions that frequently work with the public to identify and address concerns regarding nonemployee harassment and hostile work environments.

##### AOC Management Decision:



*The AOC will implement this recommendation, limiting the scope to nonemployee harassment concerns.*

OIG Response: Open-resolved

##### Recommendation No. 6:

We recommend the AOC document and implement a process to conduct follow-up inquiries after resolution to identify employee concerns, verify safety, address fears of retaliation, and ensure effective reintegration (as appropriate) to minimize negative impacts on its workforce.

##### AOC Management Decision:



*It is inappropriate to implement victim advocacy in the DI/DR Program because the neutrality of an equal employment opportunity (EEO) office is paramount to its effective operations and mission. For this reason, "victim support and advocacy" is not an appropriate charge for the DI/DR. Rather, the AOC provides other more appropriate avenues for employee support. Cultivating trust under the lens of an EEO program arguably goes back to the consistent application of the process. Accordingly, the guidelines outlined in AOC Order 24-2 [Workplace Anti-Harassment Policy] are the appropriate source for addressing concerns about retaliation and providing a communications strategy. An essential tenet of EEO is confidentiality, and there is great consideration given to striking the balance between confidentiality and transparency. Party communications are limited to ensure the integrity of an investigation and ward against a chilling effect. Further, while the DI/DR investigates and makes determinations of policy violations, its scope does not extend to the disciplinary process. This division in authority is proper and consistent with best practices. Appropriate mechanisms are already in place to identify employee concerns — [such as] DI/DR investigations, climate assessments, the 24-hour Hotline, Employee and Labor Relations Branch, the Employee Assistance Program, [and] the Ombuds — to verify safety concerns (Office of Safety, Workplace Violence Program) and to address fears of retaliation (DI/DR investigations).*

*In September 2023, the AOC updated its management response. The AOC will implement this recommendation. The agency currently provides several avenues for employees to seek assistance and support; each of these avenues originate with the employee, meaning employee outreach triggers action. As this recommendation is for agency outreach to an employee following resolution of a case, the AOC will establish an avenue of outreach that respects employee privacy and does not make employees fearful or suspicious of being approached. This avenue will be documented in the internal SOPs mentioned by the end of the second quarter of FY 2024.*

OIG Response: Open-resolved

<p><b>Recommendation No. 7:</b> We recommend the AOC update AOC Order 24-1 [Conciliation Program Guide] to ensure it provides consistent and current information about the conciliation process and resources available.</p>	<p><b>AOC Management Decision:</b>  <b>Concur</b> While AOC Order 24-1 [Conciliation Program Guide] is still accurate, the AOC is currently updating several orders, including AOC Order 24-1. <b>OIG Response:</b> Open-resolved</p>
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## Follow-Up | OIG-FLD-2023-01 | September 5, 2023 Follow-Up Evaluation of the Architect of the Capitol's Inventory Accountability and Controls

<p><b>Recommendation No. 1:</b> We recommend the AOC update and revise AOC Order 34-45 [Personal Property Manual] to (1) establish a dollar threshold for accountable property; (2) ensure mission-critical nonconsumable property is consistently defined, to the greatest extent possible, across AOC jurisdictions; (3) provide guidance on how to identify, document and track mission-critical nonconsumable and nonaccountable property; (4) provide clear directions on administrative controls and (5) develop and enforce additional inventory and accountability procedures for accountable and nonaccountable property to reduce the risk of mismanagement and lost property in accordance with federal best practices.</p>	<p><b>AOC Management Decision:</b>  <b>Concur</b> The AOC will review, edit, and update [AOC] Order 34-45. The updates will clarify dollar thresholds for accountable property, ensure mission-critical nonconsumable property is consistently defined, provide guidance on how to identify and track mission-critical property, provide clear direction and develop additional inventory and accountability procedures for accountable and nonaccountable property to reduce the risk of mismanagement and lost property. [AOC management anticipates] completion by the third quarter of FY 2024. <b>OIG Response:</b> Open-resolved</p>
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## Follow-Up | OIG-FLD-2025-01 | November 25, 2024 Follow-Up Evaluation of the Architect of the Capitol's Compliance with the Government Purchase Card Program

<p><b>Recommendation No. 1:</b> We recommend the AOC CAO require the SSMM to identify and leverage data analytics to perform purchase card reviews to ensure compliance with AOC purchase card policies and procedures.</p>	<p><b>AOC Management Decision:</b>  <b>Concur</b> SSMM will work with the CFO's Chief Data Officer to develop a strategy to identify and leverage data analytics to assist with performing annual purchase card reviews. [AOC management] anticipates completion by [the] fourth quarter [of] 2025. <b>OIG Response:</b> Open-resolved</p>
<p><b>Recommendation No. 2:</b> We recommend the AOC CAO require the SSMM to update and implement the Purchase Card Review Process SOP 4-13, January 27, 2020, to include criteria and procedures for conducting all purchase card reviews.</p>	<p><b>AOC Management Decision:</b>  <b>Concur</b> The SOP 4-13 was superseded and incorporated into the May 20, 2020, version of the Contracting Manual. SSMM agrees with the implementation of an SOP to provide specific guidance for conducting daily, monthly, quarterly, semiannual, or annual purchase card reviews. SSMM will work with the Acquisition Policy Branch in the OCE to draft an agencywide Purchase Card Review Process SOP that will outline criteria and procedures for conducting purchase card reviews. [Completion is anticipated] by [the] fourth quarter [of] 2025. <b>OIG Response:</b> Open-resolved</p>

<p><b>Recommendation No. 3:</b></p> <p>We recommend the AOC CAO require that purchase card approving officials perform quarterly or semiannual purchase card reviews to assist the Agency Program Coordinator in ensuring compliance with AOC purchase card policies and procedures.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p>SSMMD will determine an appropriate timeframe (quarterly or semiannual) for purchase card approving officials to perform reviews that will assist the Agency Program Coordinator in ensuring compliance with purchase card policies and procedures. This requirement will be included in the agencywide Purchase Card Review Process SOP referenced in our response to recommendation 2. [Completion is anticipated] by [the] fourth quarter [of] 2025.</p> <p><b>OIG Response: Open-resolved</b></p>
<p><b>Recommendation No. 4:</b></p> <p>We recommend the AOC update the AOC Contracting Manual to include examples of split transactions and instructions on how to avoid them.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p>The AOC concurs with the intent of the findings but believes the proper document type to address examples and instructions would be an agency SOP. Per AOC Order 4-1, Issuing AOC Policy, "An AOC Standard Operating Procedure (SOP) is an official type of AOC policy. AOC SOPs are written instructions documenting a routine or repetitive activity or procedure with significant impact to the agency and are not local SOPs." An agency SOP will have the same effect as an AOC Order but will be easier to update than AOC Order 34-1, Contracting Manual. SSMMD will work with the Acquisition Policy Branch within OCE to draft a Purchase Card Review Process AOC SOP that will include examples of and instructions on how to avoid split transactions. Note: SOP 4-13 mentioned in recommendation 2 was a local SOP, which does not have the effect of AOC policy. [Completion is anticipated] by [the] fourth quarter [of] 2025.</p> <p><b>OIG Response: Open-resolved</b></p>
<p><b>Recommendation No. 5:</b></p> <p>We recommend the AOC develop guidance and update the AOC Contracting Manual to describe the use of third-party processors and vendors and define terms like "questionable merchant category codes," "mandatory sources," "third-party merchants," "third-party processors," and "third-party vendors" in accordance with federal government best practices.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p>SSMMD will update the Contracting Manual to include a description of third-party processors and definitions of terms such as questionable merchant category codes, mandatory sources, third-party merchants, third-party processors, and third-party vendors in accordance with federal best practices. The AOC will also consider joining the General Services Administration's Commercial Platforms program. [Completion is anticipated] by [the] fourth quarter [of] 2025.</p> <p><b>OIG Response: Open-resolved</b></p>
<p><b>Recommendation No. 7:</b></p> <p>We recommend that the AOC update the AOC Contracting Manual to describe the process for avoiding sales tax and add the requirement to reclaim improperly assessed taxes.</p>	<p><b>AOC Management Decision:</b></p> <p> <b>Concur</b></p> <p>SSMMD and OCE will update the Contracting Manual to describe the process for utilizing proper exemptions and add the requirement to reclaim improperly assessed taxes. [Completion is anticipated] by [the] fourth quarter [of] 2025.</p> <p><b>OIG Response: Open-resolved</b></p>





# Appendices

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# Appendix A



## Inspector General Reporting Requirements

This section was derived from Section 5 of the Inspector General Act of 1978 (IG Act), Pub. L. 95–452, which was set out in a former Appendix to Title 5. On December 23, 2022, the IG Act was amended by Pub. L. 117–263, div. E, Title LII, which recodified the IG Act at 5 U.S.C. §§ 401–424 (including the semiannual reporting requirements), before being repealed and reenacted on December 27, 2023, by Pub. L. 117–286. For applicability of those amendments to this section, refer to Section 5(b) of Pub. L. 117–286, Transitional and Savings Provisions. The semiannual reporting requirements of former Section 5 and the recodified 5 U.S.C. § 405(b) apply to the AOC's OIG through the Architect of the Capitol Inspector General Act of 2007, as amended, Title 2 U.S.C. § 1808(d)(1) states, "Each Inspector General shall, not later than April 30 and October 31 of each year, prepare SARs summarizing the activities of the Office during the immediately preceding six-month periods ending March 31 and September 30."

**Table 7. Inspector General Reporting Requirements**

IG Act Section	IG Act Language as Amended by the National Defense Authorization Act <sup>a</sup>	Page No.
404(a)(2)	Review of Legislation.	24–25
405(b)(1)	A description of significant problems, abuses, and deficiencies relating to the administration of programs and operations of the establishment and associated reports and recommendations for corrective action made by the Office.	10–17
405(b)(2)	An identification of each recommendation made before the reporting period, for which corrective action has not been completed, including the potential cost savings associated with the recommendation.	29
405(b)(3)	A summary of significant investigations closed during the reporting period.	20–21
405(b)(4)	An identification of the total number of convictions during the reporting period resulting from investigations.	19
405(b)(5)	Information regarding each audit, inspection, or evaluation report issued during the reporting period including— (A) A listing of each audit, inspection, or evaluation; and (B) If applicable, the total dollar value of questioned costs (including a separate category for the dollar value of unsupported costs) and the dollar value of recommendations that funds be put to better use, including whether a management decision had been made by the end of the reporting period.	10–17; 29
405(b)(6)	Information regarding any management decision made during the reporting period with respect to any audit, inspection, or evaluation issued during a previous reporting period.	28–45
405(b)(8)	(A) An appendix containing the results of any peer review conducted by another Office of Inspector General during the reporting period; or (B) If no peer review was conducted within that reporting period, a statement identifying the date of the last peer review conducted by another Office of Inspector General.	23
405(b)(9)	A list of any outstanding recommendations from any peer review conducted by another Office of Inspector General that may have not been fully implemented, including a statement describing the status of the implementation and why implementation is not complete.	23
405(b)(10)	A list of any peer reviews conducted by the Inspector General of another Office of Inspector General during the reporting period, including a list of any outstanding recommendations made from any previous peer review (including any peer review conducted before the reporting period) that remain outstanding or have not been fully implemented.	23
405(b)(11)	Statistical tables showing— (A) The total number of investigative reports issued during the reporting period; (B) The total number of persons referred to the Department of Justice for criminal prosecution during the reporting period; (C) The total number of persons referred to State and local prosecuting authorities for criminal prosecution during the reporting period; and (D) The total number of indictments and criminal information during the reporting period that resulted from any prior referral to prosecuting authorities.	19

IG Act Section	IG Act Language as Amended by the National Defense Authorization Act <sup>a</sup>	Page No.
405(b)(12)	A description of the metrics used for developing the data for the statistical tables under 405(b)(11).	19
405(b)(13)	A report on each investigation conducted by the Office where allegations of misconduct were substantiated involving a senior Government employee or senior official (as defined by the Office) if the establishment does not have senior Government employees, which shall include: <ul style="list-style-type: none"> <li>(A) The name of the senior Government employee, if already made public by the Office; and</li> <li>(B) A detailed description of—               <ul style="list-style-type: none"> <li>(i) The facts and circumstances of the investigation; and</li> <li>(ii) The status and disposition of the matter, including—                   <ul style="list-style-type: none"> <li>(I) if the matter was referred to the Department of Justice, the date of the referral; and</li> <li>(II) if the Department of Justice declined the referral, the date of the declination.</li> </ul> </li> </ul> </li> </ul>	21
405(b)(15)	Information related to interference by the establishment, including— <ul style="list-style-type: none"> <li>(A) A detailed description of any attempt by the establishment to interfere with the independence of the Office, including—               <ul style="list-style-type: none"> <li>(i) with budget constraints designed to limit the capabilities of the Office; and</li> <li>(ii) incidents where the establishment has resisted or objected to oversight activities of the Office or restricted or significantly delayed access to information, including the justification of the establishment for such action; and</li> <li>(iii) a summary of each report made to the head of the establishment under section (6)(c)(2) during the reporting period.</li> </ul> </li> </ul>	22
405(b)(16)	Detailed descriptions of the particular circumstances of each— <ul style="list-style-type: none"> <li>(A) Inspection, evaluation, and audit conducted by the Office that is closed and was not disclosed to the public; and</li> <li>(B) Investigation conducted by the Office involving a senior Government employee that is closed and was not disclosed to the public.</li> </ul>	23

<sup>a</sup> The IG Act, 5 U.S.C. § 405, Notes (amendments not shown in text).

# Appendix B



## Definitions of Terms Used

Term	Definition
closed-resolved	OIG verified that the agreed-upon corrective actions were implemented.
disallowed cost	A questioned cost that management, in a management decision, has sustained or agreed should not be charged to the government.
funds put to better use	A recommendation that funds could be used more efficiently if management took action(s) to implement and complete the recommendation.
management decision	The evaluation by the management of an establishment of the findings and recommendations included in an audit report and the issuance of a final decision by management concerning its response to the findings and recommendations, including actions concluded to be necessary.
open-resolved	AOC management has agreed (concurred) to implement the recommendation or has proposed corrective actions that will address the recommendation.
open-unresolved	AOC management disagrees with the recommendation (i.e., nonconcurs) and does not provide a response or has not proposed corrective actions that will address the recommendation. Recommendations remain open-unresolved until (1) the AOC submits a corrective action plan that addresses the findings and recommendations and (2) OIG accepts the plan.
potential cost savings	The sum of questioned costs and recommendations that funds be put to better use.
questioned cost	A cost that is questioned because (1) of an alleged violation of a provision of a law, regulation, contract, or other agreement or document governing the expenditure of funds; (2) the cost is not supported by adequate documentation; or (3) the expenditure of funds for the intended purpose is unnecessary or unreasonable.
unsupported cost	A cost that is questioned because OIG found that, at the time of the audit, such cost is not supported by adequate documentation.



# Appendix C



## List of Acronyms Used

Term	Definition
AOC	Architect of the Capitol
AUD	Audits Division
CAO	Chief Administrative Officer
CD	Construction Division
CEU	continuing education unit
CFO	Chief Financial Officer
CHOBr	Cannon House Office Building Renewal
CIGIE	Council of the Inspectors General on Integrity and Efficiency
CIO	Chief Information Officer
CMc	construction manager as constructor
CO	Contracting Officer
COR	Contracting Officer's Representative
CSO	Chief Security Officer
DI/DR	Diversity, Inclusion, and Dispute Resolution
EEO	equal employment opportunity
EIDL	Economic Injury Disaster Loan
ERM	Enterprise Risk Management
FISMA	Federal Information Security Modernization Act of 2014
FLD	Follow-Up Division
FY	fiscal year
GAO	Government Accountability Office
HCMD	Human Capital Management Division
I&E	Inspections and Evaluations Division
ICP	Internal Control Program
IG	Inspector General
IGE	independent government estimate
IPA	independent public accounting
IRMD	Integrated Risk Management Division
IRMF	Integrated Risk Management Framework
IT	information technology
ITD	Information Technology Division
ITSM	Information Technology Service Management

Term	Definition
OCE	Office of the Chief Engineer
OIG	Office of Inspector General
OMB	Office of Management and Budget
POA&M	plan of action and milestones
PPP	Paycheck Protection Program
PSP	Policy and Special Programs
QSI	quality step increase
SAR	Semiannual Report
SCRM	supply chain risk management
SF	Standard Form
SLRP	Student Loan Repayment Program
SOP	standard operating procedure
SSMMD	Supplies, Services, and Material Management Division
USAO	U.S. Attorney's Office
U.S.C.	United States Code
USCP	U.S. Capitol Police



