OFFICE OF INSPECTOR GENERAL
FEDERAL COMMUNICATIONS COMMISSION

FCC OIG Audits, Inspections, and Evaluations
Status of Open Recommendations
[Public Report]

January 9, 2026

EXECUTIVE SUMMARY

Pursuant to our oversight mission, the Federal Communications Commission Office of Inspector General (FCC OIG) conducts audits, inspections, and evaluations and issues recommendations to the Commission to address identified findings and risks. FCC OIG monitors the Commission's progress in taking associated corrective actions. When the Commission provides adequate support that it has addressed and implemented each recommendation, FCC OIG closes the recommendation.

The purpose of this product is to provide a list of open FCC OIG recommendations from audits, evaluations, and inspections as of the close of our most recent semiannual reporting period, as well as the current status of those recommendations.

As demonstrated below, as of September 30, 2025, FCC OIG had 39 open recommendations, and we have identified eight of these recommendations as priorities. Several open recommendations are considered sensitive and contain non-public information. For those, we provide modified descriptions to avoid the release of sensitive and non-public information.

In addition, FCC OIG will issue a corresponding closed recommendations report summarizing the 18 recommendations that were closed by the Commission between April 1, 2025, and September 30, 2025, with a discussion of the associated progress and impact of each closure.

We appreciate FCC's cooperation and attention to these issues and its significant efforts to resolve and implement FCC OIG's recommendations. We look forward to continuing to coordinate with the Commission as it works to address the remaining open recommendations.

Number	Project Name	Project Code	Report Date	Rec #	Recommendation	Status Update	Priority
1	FY 2024 Federal Information Security Modernization Act (FISMA) Evaluation	24-EVAL-05-01	1/7/2025	6	Risk and Access Management	FCC's recommendation closure request was reviewed during the FY 2025 FISMA Evaluation field work and the audit team concluded based on testing that the recommendation has not been fully implemented and could not yet be closed.	
2	FY 2024 FISMA Evaluation	24-EVAL-05-01	1/7/2025	7	Risk and Access Management	FCC's recommendation closure request was reviewed during the FY 2025 FISMA Evaluation field work and the audit team concluded based on testing that the recommendation has not been fully implemented and could not yet be closed.	
3	FY 2024 FISMA Evaluation	24-EVAL-05-01	1/7/2025	10	Supply Chain Risk Management	FCC's recommendation closure request was reviewed during the FY 2025 FISMA Evaluation field work and the audit team concluded based on testing that the recommendation has not been fully implemented and could not yet be closed.	
4	FY 2024 FISMA Evaluation	24-EVAL-05-01	1/7/2025	12	Configuration Management	FCC's recommendation closure request was reviewed during the FY 2025 FISMA Evaluation field work and the audit team concluded based on testing that the recommendation has not been fully implemented and could not yet be closed.	
5	FY 2024 FISMA Evaluation	24-EVAL-05-01	1/7/2025	13	Identity and Access Management	FCC's recommendation closure request was reviewed during the FY 2025 FISMA Evaluation field work and the audit team concluded based on testing that the recommendation has not been fully implemented and could not yet be closed	
6	FY 2024 FISMA Evaluation	24-EVAL-05-01	1/7/2025	24	USAC - Access Controls	FCC's recommendation closure request was reviewed during the FY 2025 FISMA Evaluation field work and the audit team concluded based on testing that the recommendation has not been fully	

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						implemented and could not yet be closed.	
7	FY 2024 FISMA Evaluation	24-EVAL-05-01	1/7/2025	25	USAC - Access Controls	FCC's recommendation closure request was reviewed during the FY 2025 FISMA Evaluation field work and the audit team concluded based on testing that the recommendation has not been fully implemented and could not yet be closed.	
8	FY 2023 FISMA Evaluation	23-EVAL-05-01	1/5/2024	3	Information Security Continuous Monitoring[1]	FCC's recommendation closure request was reviewed during the FY 2025 FISMA Evaluation field work and the audit team concluded based on testing that the recommendation has not been fully implemented and could not yet be closed.	
9	FY 2022 FISMA Evaluation	22-EVAL-06-01	3/13/2023	1	Information Security Continuous Monitoring[1]	FCC's recommendation closure request was reviewed during the FY 2025 FISMA Evaluation field work and the audit team concluded based on testing that the recommendation has not been fully implemented and could not yet be closed.	
10	FY 2022 FISMA Evaluation	22-EVAL-06-01	3/13/2023	2	Information Security Continuous Monitoring	FCC's recommendation closure request was reviewed during the FY 2025 FISMA Evaluation field work and the audit team concluded based on testing that the recommendation has not been fully implemented and could not yet be closed.	
11	FY 2022 FISMA Evaluation	22-EVAL-06-01	3/13/2023	5	Supply Chain Risk Management	FCC's recommendation closure request was reviewed during the FY 2025 FISMA Evaluation field work and the	

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[1] Recommendations 9 and 10 were reclassified from the RAM domain to the ISCM domain in FY 2025 due to the change of the conditions related to the prior year recommendations.

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						auditors concluded based on testing that the recommendation has not been fully implemented and could not be closed.	
12	FY 2017 FISMA Evaluation	17-EVAL-07-01-Rec	12/22/2017	3	Risk and Asset Management	FCC's recommendation closure request was reviewed during the FY 2025 FISMA Evaluation field work and the audit team concluded based on testing that the recommendation has not been fully implemented and could not yet be closed.	High
13	FY 2018 FISMA Evaluation	18-EVAL-07-01	12/21/2018	3	Configuration Management	FCC's recommendation closure request was reviewed during the FY 2025 FISMA Evaluation field work and the audit team concluded based on testing that the recommendation has not been fully implemented and could not yet be closed.	High
14	FY 2018 FISMA Evaluation	18-EVAL-07-01	12/21/2018	11	Information Security Continuous Monitoring	FCC's recommendation closure request was reviewed during the FY 2025 FISMA Evaluation field work and the audit team concluded based on testing that the recommendation has not been fully implemented and could not yet be closed.	High
15	IT and OT Asset Management Evaluation	24-EVAL-07-02	7/21/2025	1	Identity and Access Management	Pending receipt of the Corrective Action Plan (CAP) due January 21, 2026.	
16	IT and OT Asset Management Evaluation	24-EVAL-07-02	7/21/2025	2	Configuration Management	Pending receipt of the CAP due January 21, 2026	
17	IT and OT Asset Management Evaluation	24-EVAL-07-02	07/21/2025	3	Configuration Management	Pending receipt of the CAP due January 21, 2026.	

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18	FY 2024 Payment Integrity Information Act (PIIA) Audit	25-AUD-01-01	5/27/2025	2	Direct USAC to conduct an in-depth root cause analysis for IPs related to missing or insufficient documentation used to support the carriers' submission of continuing property records and depreciation/amortization information for USF-HC Legacy. USAC should continue to engage with carriers to understand systemic issues contributing to these types of IPs. USAC should develop targeted corrective actions based on the findings. [New]	FCC provided an initial CAP on 7/01/2025 and an updated CAP on 9/29/2025. Estimated completion date for corrective action is 12/31/2026.	High
19	FY 2024 Payment Integrity Information Act (PIIA) Audit	25-AUD-01-01	5/27/2025	3	Enhance oversight of the USF-HC Legacy program by increasing the frequency of monitoring and reviewing carrier-reported data. For example, implement interim random sampling of payments or use AI to identify and detect patterns of non-compliance. [New]	FCC provided an initial CAP on 7/01/2025 and an updated CAP on 9/29/2025. Estimated completion date for corrective action is 12/31/2026.	
20	FY 2024 Payment Integrity Information Act (PIIA) Audit	25-AUD-01-01	5/27/2025	4	Develop a phased reduction target plan for the USF-HC Legacy program with clear milestones and accountability measures to ensure it is realistic and achievable based on past performance and current challenges. [New]	FCC provided an initial CAP on 7/01/2025 and an updated on 09/29/2025. Estimated completion date for corrective action is 12/31/2026.	
21	FY 2024 Payment Integrity Information Act (PIIA) Audit	25-AUD-01-01	5/27/2025	6	Implement a more rigorous internal review process for IP reporting to include performing quarterly validation reviews to detect and prevent errors in identified IP amounts before reports are finalized. Further, all quarterly validation	FCC provided an initial CAP on 7/01/2025 and an updated CAP was received on 9/29/2025. Estimated completion date for corrective	

Number	Project Name	Project Code	Report Date	Rec #	Recommendation	Status Update	Priority
					reports should be reconciled against the final annual report to identify and resolve discrepancies prior to the data call submission. [New]	action is 11/30/2025.	
22	FY 2024 Payment Integrity Information Act (PIIA) Audit	25-AUD-01-01	5/27/2025	7	Introduce a secondary review or correction window between FCC and USAC before finalizing reports for the OMB data call. [New]	FCC provided an initial CAP on 7/01/2025 and an updated CAP on 9/29/2025. Estimated completion date for corrective action is 11/30/2025.	
23	FY 2024 Payment Integrity Information Act (PIIA) Audit	25-AUD-01-01	5/27/2025	8	Revise the outstanding categories in the FY 2025 data call submission to reflect the correct recovery audit amounts outstanding. [New]	FCC provided an initial CAP on 7/01/2025 and an updated CAP on 9/29/2025. Estimated completion date for corrective action is 11/30/2025.	
24	FY 2023 Payment Integrity Information Act (PIIA) Audit	24-AUD-01-01	12/31/2024	4	Direct USAC to perform a cost-benefit analysis to identify additional approaches for further reducing the IPR below the reporting threshold. Additional approaches could include, but are not limited to, evaluating the appropriateness of the volume of applications assigned per reviewer, increasing the effectiveness of employee training, providing program requirement reminders and updates, adding additional layers of review, creating a documentation checklist to determine program eligibility, and providing ongoing coaching to educate staff on the Lifeline Program requirements, including	FCC provided an initial CAP on 7/01/2025 and an updated CAP on 9/29/2025 Estimated completion date for corrective action is 02/27/2026.	High

Number	Project Name	Project Code	Report Date	Rec #	Recommendation	Status Update	Priority
					documentation requirements relating to eligibility.		
25	FY 2023 Payment Integrity Information Act (PIIA) Audit	24-AUD-01-01	12/31/2024	5	Develop and implement policies and procedures to identify, quantify, and report in the OMB Annual Data Call any downward revisions that are submitted by providers resulting from provider non-compliant conduct.	FCC submitted a recommendation closure request 9/04/2025. Our audit team will review corrective actions during FY 2025 audit cycle and determine if this recommendation can be closed.

The FY 2025 PIIA audit is scheduled to begin in November 2025. | |
26	FY 2021 Privacy and Data Protection Program Inspection	21-INSP-10-01	3/29/2024	2	Implement a baseline of technologies that sustain and do not erode privacy protections relating to the use, collection, and disclosure of information.	On 9/24/2025, FCC Officials provided an updated CAP with a revised target date to complete the recommendation on 12/31/2025.	High
27	FY 2021 Privacy and Data Protection Program Inspection	21-INSP-10-01	3/29/2024	3	Implement the EDR tool that was previously acquired for privacy protection.	On 9/24/2025, FCC Officials provided an updated CAP with a revised target date to complete the recommendation on 12/31/2025.	
28	FY 2021 Privacy and Data Protection Program Inspection	21-INSP-10-01	3/29/2024	4	Identify and implement other technologies that will bring the FCC into full compliance with the requirement for continuous auditing of compliance with stated privacy policies and practices.	On 9/24/2025, FCC Officials provided an updated CAP with the target date of 9/30/26 for completing implementation of Microsoft Purview.	
29	FY 2021 DATA Act Audit	21-AUD-08-06	11/8/2021	7	Coordinate with USAC management to develop and implement processes for obtaining all required data elements from awardees prior to issuing an award. This may include updating or creating program forms to include all required data elements needed for financial assistance broker assistance submission (FABS). [Condition #2]	FCC officials provided an updated CAP on 9/24/25 stating the FCC is working with USAC to determine how best to implement this recommendation by program as each program has unique aspects to them in terms of timing of commitments and payments.

Estimated completion date for corrective | |

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					[New Recommendation for FY 2021] Finding #4	action is 3/31/2026.	
30	FY 2021 DATA Act Audit	21-AUD-08-06	11/8/2021	8	Develop and implement oversight policies and procedures for monitoring data reported by component entities to ensure that all DATA Act information is being reported timely, accurately, and completely. [Condition #1,2,3, and 4] [New Recommendation for FY 2021] Finding #4	FCC officials provided an updated CAP on 9/24/25 stating the FCC is working with USAC to determine how best to implement this recommendation by program as each program has unique aspects to them in terms of timing of commitments and payments. Estimated completion date for corrective action is 3/31/2026.	
31	High Cost Universal Broadband (HUBB) Portal Data	24-AUD-04-02	9/30/2025	1	Performance Measures Module	Pending receipt of the CAP due 3-30-26.	
32	High Cost Universal Broadband (HUBB) Portal Data	24-AUD-04-02	9/30/2025	2	Performance Measures Module	Pending receipt of the CAP due 3-30-26.	
33	High Cost Universal Broadband (HUBB) Portal Data	24-AUD-04-02	9/30/2025	3	Performance Measures Module	Pending receipt of the CAP due 3-30-26.	
34	High Cost Universal Broadband (HUBB) Portal Data	24-AUD-04-02	9/30/2025	4	Performance Measures Module	Pending receipt of the CAP due 3-30-26.	High
35	High Cost Universal Broadband (HUBB) Portal Data	24-AUD-04-02	9/30/2025	5	Performance Measures Module	Pending receipt of the CAP due 3-30-26.	High

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36	High Cost Universal Broadband (HUBB) Portal Data	24-AUD-04-02	9/30/2025	6	Performance Measures Module	Pending receipt of the CAP due 3-30-26.	
37	High Cost Universal Broadband (HUBB) Portal Data	24-AUD-04-02	9/30/2025	7	Verification Reviews	Pending receipt of the CAP due 3-30-26.	
38	High Cost Universal Broadband (HUBB) Portal Data	24-AUD-04-02	9/30/2025	8	Verification Reviews	Pending receipt of the CAP due 3-30-26.	
39	High Cost Universal Broadband (HUBB) Portal Data	24-AUD-04-02	9/30/2025	9	Verification Reviews	Pending receipt of the CAP due 3-30-26.	