



NATIONAL  
ARCHIVES

OFFICE *of* INSPECTOR GENERAL

# SEMIANNUAL REPORT *to* CONGRESS

APRIL 1, 2025 *to* SEPTEMBER 30, 2025



# Message from the Inspector General

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On behalf of the National Archives and Records Administration (NARA) Office of Inspector General (OIG), I am pleased to present our Semiannual Report to Congress. This report summarizes the NARA OIG's activities and accomplishments from April 1, 2025 through September 30, 2025. It is my honor and privilege to continue serving as the Acting Inspector General for this office. I thank the OIG staff for their continued support of this office's mission.

In this reporting period, the OIG brought the Archival Recovery team back to our office as part of the reorganization efforts at NARA. I am pleased to bring this important function back to our office. The recovery of lost or stolen archival records adds incredible value to the American people, as those recovered records can be made available to all citizens. In April 2025, this office was able to recover the 1941-1942 Pearl Harbor Naval Station Deck Log, a valuable historical artifact previously unavailable for research. I am proud of the joint effort between the OIG and NARA in bringing this document back to the National Archives and making it publicly available.

We continued to implement our newly initiated investigative priorities, focusing on the highest risks to agency programs and operations. Our investigative duties are unique insofar as we help to ensure not only the safety of NARA staff, property, and taxpayer funds, but also the history of our country. Our agents continued to take on a wide variety of complex cases to investigate fraud, mismanagement of funds, and employee misconduct.

We continued important audit work in critical agency program areas, ensuring taxpayer dollars are spent appropriately. We issued seven reports this period, alerting NARA management of critical internal control weaknesses and making recommendations to improve agency operations. We identified \$17,557 in questioned costs this reporting period. Audit staff collaborated with the agency to address aged audit recommendations, some more than a decade old, and to close out high-priority recommendations. The OIG and NARA made significant progress addressing internal control weaknesses and closing recommendations. I want to thank NARA personnel for their collaboration and coordination in our engagement efforts with them to improve the economy and efficiency of agency programs.

I look forward to continuing to lead this office forward, as we promote economical and efficient operations both internally and at NARA. We will strive to ensure taxpayer funds are spent wisely, that waste, fraud, and abuse are identified and acted upon, and the American citizen receives a return on their investment in us.



William C. Brown  
Acting Inspector General

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# Executive Summary

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This report is the 73rd Semiannual Report to Congress summarizing the activities of the National Archives and Records Administration (NARA) Office of Inspector General (OIG).

## *Investigations*

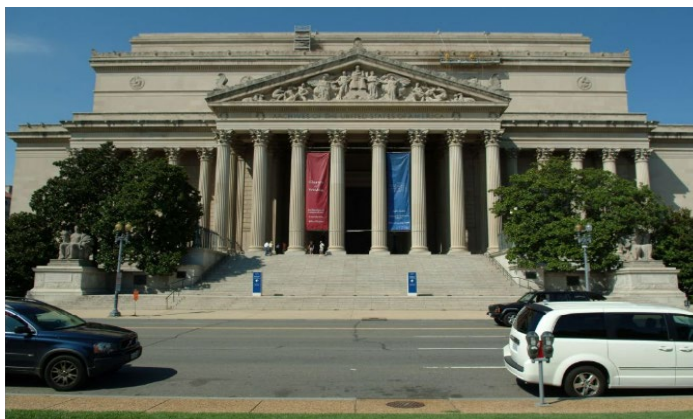
The Office of Investigations receives and evaluates complaints and conducts investigations related to fraud, waste, and abuse in NARA programs and operations. The OIG may also issue reports detailing specific issues or vulnerabilities. These reports focus on overviews of potential issues used to alert management. In this period, we received and reviewed 343 complaints and other intake actions and opened 6 new investigative matters.

## *Audits and Reports*

The Office of Audits continued to assess the economy and efficiency of NARA's programs and operations and to examine NARA's Information Technology (IT) systems. During the reporting period, the OIG issued six audit reports and one management letter:

1. Audit of NARA's Compliance with the Payment Integrity Information Act of 2019 in Fiscal Year 2024
2. Management Letter: Control Deficiency Identified During the Audit of NARA's Controls Over Grants Program
3. Audit of NARA's Compliance with OMB Memorandum M-23-07
4. Audit of NARA's Research Room Activities
5. Audit of NARA's Office of Human Capital Hiring Practices
6. Audit of NARA's Purchase Card Program
7. Audit of NARA's Compliance with the Federal Information Security Modernization Act (FISMA) for Fiscal Year 2025

At the end of the reporting period five audits and evaluations were in process. During this period, we tracked \$17,557 in questioned costs and \$2,663,966 in funds to be put to better use.



*Photo: National Archives Building in Washington, DC. NARA Identifier 184341098.*

# Investigations

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## *Investigations Overview*

The OIG receives and evaluates complaints and conducts investigations related to fraud, waste, and abuse in NARA programs and operations. This includes investigating wrongfully alienated NARA holdings, such as missing and stolen records. Investigations showing violations of law, regulations, rules, or contract terms may result in administrative, civil, or criminal actions. These can include things such as terminations, suspensions and debarments, prison terms, probation, fines, restitution, and other actions. The OIG may alert management to potential problems or vulnerabilities through other reports if a full investigation is not warranted or appropriate. For this reporting period there are no investigations of whistleblower reprisals, and one investigation of senior government employees,<sup>1</sup> to report.

## *Significant Investigations and Updates*

### **New NARA Holding Obtained: Original Pearl Harbor Logbook Containing Entry from December 7, 1941**

A private citizen discovered that they owned the original of a station logbook for the U.S. Naval Base at Pearl Harbor, Hawaii, covering the period that included the December 7, 1941, attack by Imperial Japanese air and naval forces that precipitated the United States' entry into World War II. The citizen generously offered the logbook to be added to the national patrimony. The OIG collected the logbook and turned the artifact over to NARA to add to its holdings.

### **NARA Supervisors Issued *Letters of Warning* for Negligence**

Two NARA supervisors were responsible for ensuring that contract personnel were lawful workers who possessed legitimate credentials issued by the Federal Government before being admitted to NARA workspaces. Both supervisors failed diligently to review the status and credentials of multiple contract employees who presented fraudulent credentials, and who may have been in the United States unlawfully. Both supervisors were issued *Letters of Warning*.

### **Private Citizen Permanently Barred from All NARA Facilities After Making Threats**

A private citizen who was dissatisfied with NARA's response to a *Freedom of Information Act* request threatened NARA staff with acts of violence. The *Federal Protective Service* was promptly notified and the citizen was permanently barred from all NARA facilities.

### **Private Citizen Permanently Barred from All NARA Facilities After Trespassing and Menacing NARA Employees**

A private citizen trespassed on NARA property while menacing NARA employees. Multiple law-enforcement agencies were promptly notified, the citizen was permanently barred from all NARA facilities and, following a high-speed police chase as the citizen fled a NARA facility, the citizen was arrested and charged with *Assault with a Deadly Weapon on a Peace Officer* and with *Reckless Evading*.

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<sup>1</sup> A senior government employee is defined as anyone occupying a position classified at or above GS-15, or for those not on the General Schedule, whose rate of basic pay is equal to or greater than 120% of the GS-15 minimum.

# Investigations

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## **Private Citizen Permanently Barred from All NARA Facilities After Threatening NARA Employee**

A private citizen who appeared to be suffering from the delusion that they were being monitored and harassed by NARA personnel was permanently barred from all NARA facilities after engaging in a car chase of a NARA employee who had just left their workplace for the day. Law-enforcement personnel were also notified.

## **Former NARA Employee Permanently Barred from All NARA Facilities After Harassing Former Co-Worker**

Revisiting a grievance from several years ago, a former NARA employee sent an aggressive and potentially threatening electronic mail message to the target of their ire - a former co-worker - and to multiple other NARA employees. In response, the former employee was permanently barred from all NARA facilities.

## **Investigations of Senior Officials**

### **Leak of Confidential Information Unsubstantiated**

Closely-held knowledge of the names of people who were on the “short list” for consideration for a NARA position should only have been available to a very few, specific, NARA senior executives. However, a non-executive, third-party NARA employee, who should have had no knowledge of the candidates, made a confident statement correctly identifying one of the candidates, and implied that they had been given the information. The OIG’s investigation was unable to establish whether the information had actually been leaked (e.g., the third-party employee might instead have made an insightful guess and supported it with an implication that they had received the information from an inside source) and was unable to substantiate that any member of the executive staff had leaked the information.

# Investigations

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## OIG Hotline Activity

The OIG Office of Investigations (OI) promptly and carefully reviews Hotline contacts. Hotline intakes that warrant further action may be processed as preliminary inquiries to determine whether they should be investigated as numbered investigations. Some Hotline intakes may not warrant further action by the OI. Where appropriate, referrals may be made to OIG audit staff, NARA management, or external authorities.

<u>Hotline Activity for the Reporting Period</u>	
Hotline and Complaints received	343
Hotline and Complaints referred to NARA and other parties	38



*Photo: National Archives Building. NARA Identifier 184341383.*

# Audits and Reports

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During this reporting period, the OIG issued the following reports. The information below is based on results at the conclusion of field work, as depicted in the final reports. It is possible that NARA may have made improvements and/or addressed some of the issues after such time.

## **Audits and Reports Summaries**

**Audit of NARA's Compliance with the Payment Integrity Information Act of 2019 for Fiscal Year 2024:** The OIG contracted Sikich CPA LLC (Sikich) to conduct an independent audit of NARA's Compliance with the *Payment Integrity Information Act of 2019 (PIIA)* in *Fiscal Year (FY) 2024*. The contract required Sikich to conduct a performance audit of NARA's compliance with PIIA for FY 2024 in accordance with Section VI.A of Office of Management and Budget (OMB) Memorandum M-21-19, Appendix C to OMB Circular No. A-123, dated March 5, 2021. Sikich determined that NARA met the applicable requirements for PIIA compliance for the programs it identified as susceptible to improper payments. The report contained no recommendations. (OIG Audit Report No. 25-AUD-02, dated May 22, 2025).

**Management Letter: Control Deficiency Identified During the Audit of NARA's Controls Over Grants Program:** The OIG identified a control deficiency concerning NARA oversight of two Congressionally-directed spending grants totaling \$16 million during our on-going audit of NARA's Controls over Grants Program. Specifically, the National Historical Publications and Records Commission (NHPRC), the office responsible for overseeing grants, does not have staff with adequate experience to oversee grants for construction projects. NHPRC has raised this concern with the relevant external stakeholders and attempted to compensate by leveraging construction-related expertise from other NARA offices. Nevertheless, this lack of construction-related expertise within NHPRC creates serious challenges to effectively assure that Federal funds are used properly and efficiently. Effective oversight includes the timely monitoring of construction progress, ensuring grantees and subgrantees comply with government regulations, and reviewing regular financial reports on how funds are being spent. The report contained no recommendations. (OIG Report No. 25-R-03, dated July 16, 2025).

**Audit of NARA's Compliance with OMB Memorandum M-23-07:** The OIG contracted Sikich to conduct an independent audit to determine NARA's compliance with OMB Memorandum M-23-07 requirements, including the timely issuance of updated guidance and regulations and NARA's process for granting exceptions to the memorandum requirements. The audit also examined NARA's preparedness to accept electronic records. Overall, we found that NARA has generally complied with the requirements of OMB Memorandum M- 23-07 as related to the issuance of guidance and regulations and the process for managing exceptions to electronic records transfer deadlines. However, NARA needs to improve its preparedness to accept electronic records within the Electronic Records Archives (ERA) 2.0 system. NARA does not presently have the technical capability to ingest large data sets of electronic records, including those that have already been accessioned. Without sufficient ERA 2.0 infrastructure, scalable upload processing capabilities, and the ability to handle large file sizes, NARA's capacity to respond to records requests effectively and efficiently is significantly reduced. The report contains two recommendations to strengthen NARA's management of electronic records and compliance with OMB Memorandum M-23-07. (OIG Audit Report No. 25-AUD-04, dated September 8, 2025).



# Audits and Reports

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**Audit of NARA’s Research Room Activities:** The OIG contracted with Sikich to conduct an independent performance audit to evaluate the controls in place at selected research rooms. Overall, we found that NARA generally had effective policies and procedures in place for managing its research rooms. However, NARA has opportunities to improve its pull slip process. Pull slips are the forms that NARA personnel must prepare whenever they remove records from their proper place in the stacks for use in research rooms or in other authorized locations. Without properly completing pull slips, NARA cannot ensure that it is properly tracking and protecting records. Furthermore, if NARA were to determine that a record was damaged or that part of a record was missing, NARA would not be able to perform a complete investigation to determine who had access to the record at the time. The report contains five recommendations to strengthen NARA’s research room policies and procedures. (OIG Audit Report No. 25-AUD-05, dated September 24, 2025).

**Audit of NARA’s Office of Human Capital Hiring Practices:** The OIG contracted with Sikich to conduct an independent performance audit to assess the effectiveness of NARA’s Office of Human Capital hiring practices. The audit found formal training could improve NARA’s Hiring Process, NARA’s hiring-related data could be improved, and improvements needed to the “Certificate of Eligibles” extension process. The report contains four recommendations to improve NARA’s hiring process. (OIG Audit Report No. 25-AUD-06, dated September 29, 2025).

**Audit of NARA’s Purchase Card Program:** The OIG contracted with Sikich to conduct an independent performance audit to assess the Purchase Card Program’s internal controls, oversight and management, and compliance with applicable laws, regulations, and policies. We found NARA has opportunities to improve its controls over purchase cards, instances of non-compliance with purchase card policies and guidance, and transactions reflecting deficiencies in management controls over purchase card issues related to sales tax, split purchases, and proper verification and approval of cardholders’ monthly statements. The report contains four recommendations to improve NARA’s Purchase Card Program and includes \$17,557 in questioned costs. (OIG Audit Report No. 25-AUD-07, dated September 29, 2025).

**Audit of NARA’s Compliance with the Federal Information Security Modernization Act (FISMA) for Fiscal Year 2025:** The OIG contracted with Sikich to conduct an independent audit of NARA’s information security program and practices in accordance with FISMA for fiscal year 2025. Based on this year’s FISMA requirements, which included assessing the maturity levels of an agency’s information security program across six function areas, Sikich determined that three function areas for NARA were at Maturity Level 2: *Defined*, and three were at Maturity Level 3: *Consistently Implemented*. Sikich concluded that NARA’s information security program and practices do not meet the requirements to be considered effective in accordance with FISMA. The report contains seven new recommendations and highlights existing open audit recommendations that are relevant to recurring security control weaknesses. (OIG Audit Report No. 25-AUD-08, dated September 30, 2025).

# Audits and Reports

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## Other Audits and Evaluations In Progress

**Audit of NARA's Security Management:** To evaluate the efficiency and effectiveness of NARA's Security Management program.

**Audit of NARA's Freight and Shipping Procurement Process:** To determine the effectiveness of NARA's freight and shipping procurement process.

**Audit of NARA's Controls Over Grant Programs:** To evaluate and assess the effectiveness of the controls over the grants program to ensure grant recipients were eligible to receive funding and to assess whether NARA has an effective monitoring system to ensure funds are being used for authorized purposes and met grant objectives and the intended goals.

**Evaluation of NARA's Information Technology Inventory:** To assess the completeness and accuracy of NARA's IT inventory and determine if adequate policies and procedures are in place to track IT assets.

**Audit of NARA's Fiscal Year 2025 Financial Statements:** To express an opinion on whether NARA's financial statements are fairly presented, in all material respects, in conformity with accounting principles generally accepted in the United States of America.



*National Archives II Panoramic. NARA Identifier 184341499.*

# Other OIG Activities

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## Other OIG Efforts

In addition to audits and investigations, the OIG continued work in other areas including overseeing the Whistleblower Protection Coordinator program, receiving Freedom of Information Act (FOIA) requests, and participating with the Council of Inspectors General on Integrity and Efficiency (CIGIE). The OIG remains committed to offering all NARA employees and contractors the means by which they can disclose any wrongdoing in the agency without reprisal. While it is NARA's policy, and the OIG's, to provide public access to as many of our records as possible, the OIG processes and administers OIG FOIA requests independent of the agency's FOIA program. Lastly, CIGIE is an independent entity within the executive branch created to address integrity, economy, and effectiveness issues that transcend individual agencies and aid in establishing a professional, well-trained, and highly skilled workforce in the federal OIGs. Past and present OIG staff have participated and maintained active roles in, or associated with, a multitude of CIGIE committees and groups.

## Peer Review Information

### **Peer Review of NARA OIG's Audit Organization**

The most recent peer review of the NARA OIG audit function was performed by the Federal Communications Commission OIG. In its report issued March 16, 2023, NARA OIG received a peer review rating of pass for its system of quality control for the year ended September 30, 2022. The next peer review of the OIG's audit function is planned for FY 2026. The peer review report's accompanying letter of comment, while not affecting the overall opinion, was designed to further strengthen our system of quality control. In response to the letter of comment, we committed to completing recommended actions by June 30, 2023, and we did.

### **Peer Review of NARA OIG's Office of Investigations**

In January 2023, a team of special agents from the Pension Benefit Guaranty Corporation (PBGC) OIG conducted a comprehensive review of the Office of Investigations' operations in accordance with CIGIE's "Quality Standards for Investigations." On March 27, 2023, PBGC's team found our system of internal safeguards and management procedures for investigations to be in full compliance with all applicable guidelines and regulations. There are no outstanding recommendations from this review.

## Response to Congressional Items

The OIG continues to keep Congress informed about agency and OIG activities. The OIG also worked on requests from various Congressional committees.

# Top Management Challenges

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The following summarizes NARA's most significant management and performance challenges based on legislative mandates, our experience, stakeholder input, and our observations.

## **1. Information Technology (IT) Management**

Over the past decade the annual Federal Information Security Modernization Act (FISMA) audits or assessments have consistently identified IT security areas in need of significant improvement. Additionally, NARA's internal controls over IT asset management are not operating effectively to ensure IT asset data is complete and accurate, and IT assets are properly safeguarded.

## **2. Electronic Records Archives (ERA)**

ERA has become a "system of systems," and the original ERA Base System was not capable of meeting the nation's needs. Thus, NARA deployed the initial version of ERA 2.0 in late 2018, and is continuing to evolve and expand the system, with a lifecycle cost of \$143 million to date. However, until ERA 2.0's functionality is completely built, longstanding deficiencies may continue to impact NARA. Further, the vast volumes of electronic records that will need to be preserved are significant, and NARA is challenged to meet data storage requirements for them. NARA's on-premises data storage is reaching capacity, impacting the agency's digitization efforts and other IT programs.

## **3. Transition to Electronic Records**

NARA must work with Federal agencies to ensure proper appraisal, scheduling, and transfer of permanent records in all formats. To a large extent, NARA also ensures that proper records are in fact preserved government-wide and sent to NARA in the first place. However, the major challenge is how best to accomplish this in a rapidly changing technological environment. Further, it is not currently known what amount, or exact formats, of data and electronic records will be coming to NARA. Thus, it is not known whether the systems in place will be able to ingest, process, preserve, store, and provide access to this information.

## **4. Expanding Public Access to Records**

Ten percent of NARA's analog holdings (by series) have not been processed, so the public does not have efficient and effective access to them. To meet its mission, NARA must work to ensure it has the processes and resources necessary to establish intellectual control over this backlog of unprocessed records. In addition, the transition of federal record-keeping to a fully electronic environment will present additional challenges to making records accessible to the public.

## **5. Oversight of Grants Management**

NHPRC has seen a significant increase in Congressionally-directed spending grants it must oversee. The volume of grants and the dollar amounts associated with these grants are well beyond NHPRC's normal grant-making activities. Further, Congressionally-directed spending grants have been issued to NHPRC in areas NHPRC staff does not have adequate expertise (e.g. construction grants). In upcoming fiscal years, the same level of these grants is expected to continue to be routed to NHPRC for their oversight, which will challenge NARA to provide sufficient oversight and implement internal controls.

# Reporting Requirements

<u>5 U.S.C. §</u>	<u>Subject</u>	<u>Page(s)</u>
§ 405(b)(1)	Significant problems, abuses, and deficiencies	3-4, 6-7, 10 & 15-18
§ 405(b)(2)	Recommendations for corrective action with respect to significant problems, abuses, or deficiencies	14-18
§ 405(b)(3)	Prior significant recommendations on which corrective action has not been completed	13
§ 405(b)(4)	Summary of prosecutions and convictions resulting from investigations	3-5 & 13
§ 405(b)(5),(7)	Summary of each audit, inspection, or evaluation report issued, and significant reports	6
§ 405(b)(6)	List of audit, inspection, and evaluation reports	14
§ 405(b)(8),(9)	Audit, inspection, and evaluation statistical tables	14-15
§ 405(b)(10),(11), (12)	Summary of each audit, inspection, or evaluation report on which no management decision has been made	none
§ 405(b)(13)	Information described under section 804(b) of the Federal Financial Management Improvement Act of 1996	none
§ 405(b)(14),(15), (16)	Peer review results	8
§ 405(b)(17),(18)	Investigative statistical tables and supporting metrics	13
§ 405(b)(19)	Investigations involving a senior Government employee where allegations of misconduct were substantiated	5
§ 405(b)(20)	Description of any instance of whistleblower retaliation	3, 14
§ 405(b)(21)	Attempts to interfere with the independence of the OIG	14
§ 405(b)(22)	Each inspection, evaluation, and audit conducted by the OIG that is closed and was not disclosed to the public; and investigations conducted by the office involving a senior government employee that is closed and not disclosed to the public.	14

## ANNEX ON COMPLETED CONTRACT AUDIT REPORTS

Section 845 of the 2008 Defense Authorization Act, Public Law 110-181, requires certain information on completed contract audit reports containing significant audit findings be included as an annex to this report. While the OIG conducts audit work involving contracts, these are generally program audits as opposed to contract audits.



# Reporting Requirements

## SUMMARY OF INVESTIGATIONS AND PROSECUTORIAL REFERRALS Requirement § 405(b)(17),(18)

<i>Investigative Workload</i>	
Hotline and complaints received this reporting period	343
Hotlines and complaints referred to other parties during this reporting period	38
Investigative matters opened this reporting period	6
Investigative matters closed this reporting period	19
Closing investigative reports written this reporting period	9
<i>Investigative Results</i>	
Total individuals referred to DOJ for prosecution	64
Individuals referred to DOJ – accepted for prosecution	14
Individuals referred to DOJ – declined for prosecution	50
Individuals referred DOJ – pending prosecution decision	0
Total individuals referred to state and local authorities for prosecution	0
Individuals referred to state and local authorities – accepted for prosecution	0
Individuals referred to state and local authorities – declined for prosecution	0
Individuals referred state and local authorities – pending prosecution decision	0
Arrest	0
Indictments and information	0
Convictions	0
Fines, restitutions, judgments, and other civil and administrative recoveries	0
<i>Administrative Remedies</i>	
Employee(s) terminated	0
Employee(s) resigned	0
Employee(s) suspended	0
Employee(s) given letter of reprimand or warnings/counseled	2
Employee(s) taking a reduction in grade in lieu of administrative action	0
Contractor (s) removed	0
Individual(s) barred from NARA facilities	4
<i>EO on Advancing Effective, Accountable Policing &amp; Criminal Justice Practices to Enhance Public Safety</i>	
Use of force incidents involving NARA OIG personnel	0
No-knock entries conducted by NARA OIG personnel	0

# Reporting Requirements

<i>Trafficking Victims Prevention and Protection Reauthorization Act</i>	
Number of Suspected Violations Reported	0
Number of Investigations	0
Status and Outcomes of Such Investigations	N/A
Improvement Recommendations	N/A

The numbers in the table above were compiled by our electronic case management system and only reference actions that happened within the reporting period. If the case was a joint case worked with another investigative office, the statistics above show the total numbers for the case and do not apportion numbers to each office.

## LIST OF AUDIT, INSPECTION, AND EVALUATION REPORTS ISSUED Requirement § 405(b)(6)

Report No.	Title	Date	Questioned Costs	Unsupported Costs	Funds Put to Better Use
25-AUD-02	Audit of NARA's Compliance with the Payment Integrity Information Act of 2019 in Fiscal Year 2024	May 22, 2025	\$0	\$0	\$0
25-R-03	Management Letter: Control Deficiency Identified During the Audit of NARA's Controls Over Grant Program	July 16, 2025	\$0	\$0	\$0
25-AUD-04	Audit of NARA's Compliance with OMB Memorandum M-23-07	September 8, 2025	\$0	\$0	\$0
25-AUD-05	Audit of NARA's Research Room Activities	September 24, 2025	\$0	\$0	\$0
25-AUD-06	Audit of NARA's Office of Human Capital's Hiring Practices	September 29, 2025	\$0	\$0	\$0
25-AUD-07	Audit of NARA's Purchase Card Program	September 29, 2025	\$17,557	\$0	\$0
25-AUD-08	Audit of NARA's Implementation of FISMA for Fiscal Year 2025	September 30, 2025	\$0	\$0	\$0

## OTHER REQUIRED INFORMATION

REQUIREMENT	CATEGORY	SUMMARY
§ 405(b)(2)	Any management decision made during the reporting period with respect to any audit, inspection, or evaluation issued during a previous reporting period.	We meet and engage with NARA staff at all levels to coordinate addressing open recommendations. During this period, 73 of them were closed.

# Reporting Requirements

§ 405(b)(20)	Any instance of whistleblower retaliation, including information about the official found to have engaged in retaliation; and, if any, consequences the establishment imposed to hold the official accountable.	None.
§ 405(b)(21)	Interference by the agency, including any attempt by the agency to interfere with the independence of the OIG, including with budget constraints designed to limit the capabilities of the OIG; and incidents where the agency has resisted or objected to oversight activities or restricted or significantly delayed access to information, including the justification of the agency.	None.
§ 405(b)(22)	Closed inspections, evaluations, and audits not disclosed to the public.	All closed audits and evaluations were disclosed to the public; no inspection products were produced.

## AUDIT, INSPECTION, AND EVALUATION REPORTS WITH QUESTIONED COSTS Requirement § 405(b)(8)

Category	Number of Reports	DOLLAR VALUE	
		Questioned Costs	Unsupported Costs
A. For which no management decision had been made by the commencement of the reporting period	0	\$0	\$0
B. Which were issued during the reporting period	1	\$17,557	\$0
Subtotals (A + B)	1	\$17,557	\$0
C. For which management decision was made during the reporting period	1	\$0	\$0
(i) dollar value of disallowed costs	0	\$0	\$0
(ii) dollar value of costs not disallowed	1	\$17,557	\$0
D. For which no management decision has been made by the end of the reporting period	0	\$0	\$0

# Reporting Requirements

## AUDIT, INSPECTION, AND EVALUATION REPORTS WITH RECOMMENDATIONS THAT FUNDS BE PUT TO BETTER USE Requirement § 405(b)(9)

Category	Number	Dollar Value
A. For which no final action had been taken by the commencement of the reporting period	1	\$2,663,966
B. Which were issued during the reporting period	0	\$0
Subtotals (A + B)	1	\$2,663,966
C. For which final action had been taken during the reporting period	0	\$0
(i) dollar value of recommendations that were agreed to by management	0	\$0
Based on proposed management action	0	\$0
Based on proposed legislative action	0	\$0
(ii) dollar value of recommendations that were not agreed to by management	0	\$0
D. For which no final action has been taken by the end of the reporting period	1	\$2,663,966

## SUMMARY OF OPEN AUDIT RECOMMENDATIONS Requirement § 405(b)(3)

An important responsibility of the OIG is to follow up on previously issued reports with outstanding recommendations. Although NARA has made significant progress, closing the remaining open audit recommendations remains important to improving NARA's programs and operations. As of this semi-annual reporting period NARA has 145 outstanding open recommendations, with the oldest issued 13 years ago. Per *OMB Circular No. A-50, Revised*, audit follow-up is an integral part of good management, and is a shared responsibility of agency management officials and auditors. The OIG continues to meet and engage NARA staff at all levels to coordinate addressing open recommendations. Further, NARA may also be leaving itself vulnerable to IT security and other risks by not implementing agreed upon actions to close open recommendations. We encourage NARA to place continued emphasis on addressing open recommendations.

During this period, 73 recommendations were closed. At the close of the period, there were 145 total open recommendations.

Report Number	Date Issued	Title	Number of Open Recommendations
12-09	05/10/2012	Data Center Consolidation Initiative	1
12-11	08/27/2012	Network Discovery and Assessment	1
12-15	07/23/2012	Classified Systems	3

# Reporting Requirements

Report Number	Date Issued	Title	Number of Open Recommendations
14-08	04/17/2014	Capital Planning and Investment Control (CPIC) Process	2
14-10	05/09/2014	Enterprise Wireless Access	1
15-03	02/06/2015	Specially Protected Holdings	4
15-15	09/30/2015	Assessment of Cable Infrastructure	2
16-01	10/19/2015	Web Hosting Environment	2
16-07	05/17/2016	Refile Processes at Selected Federal Records Centers	2
17-AUD-01	10/28/2016	Enterprise-Wide Risk Assessment of NARA's Internal Controls	2
17-AUD-04	11/18/2016	Management Control Over Microsoft Access Applications and Databases	3
17-AUD-06	11/15/2016	Procurement Program	9
17-AUD-07	02/19/2017	Compliance with Homeland Security Presidential Directive 12	3
19-AUD-03	12/20/2018	Presidential Libraries' Analog Processing	1
19-AUD-07	03/29/2019	Purchase Card Program <i>Questioned Costs - \$10,815</i>	6
20-AUD-03	12/12/2019	Classified Information Systems	9
20-AUD-06	03/04/2020	Oversight and Management of Information Technology Contracts	1
20-AUD-12	06/18/2020	Personnel Security and Suitability Program	2
20-AUD-15	08/27/2020	Cybersecurity Risk Management Process	1
21-AUD-03	11/10/2020	FY 2020 Financial Statements	1
21-AUD-08	06/14/2021	Controls Over the Use of Information Technology Equipment and Resources	4
21-AUD-10	08/26/2021	Controls over Loans of NARA Holdings	4
21-AUD-11	08/26/2021	NARA's High Value Assets	3
21-AUD-12	09/07/2021	NARA's Records Disposal Processes	4
21-AUD-13	09/29/2021	NARA's Travel Card Program	2
22-AUD-01	11/02/2021	Compliance under the DATA Act of 2014	1



# Reporting Requirements

Report Number	Date Issued	Title	Number of Open Recommendations
22-AUD-05	03/30/2022	Corporate Records Management Program	1
22-AUD-06	04/25/2022	Audit of NARA's Holdings Protection Program	1
23-AUD-03	05/04/2023	Audit of NARA's Software Asset Management Process <i>Funds Put to Better Use - \$2,663,966</i>	2
23-AUD-06	09/01/2023	Audit of NARA's Electronic Records Archives (ERA) 2.0 System	1
24-AUD-01	10/24/2023	Audit of NARA's FY 2023 Federal Information Security Modernization Act Audit	9
24-AUD-03	03/14/2024	Audit of NARA's Off-boarding Process	4
24-AUD-06	08/15/2024	Audit of NARA's Records Preservation Efforts	4
24-AUD-07	09/27/2024	NARA's FY 2024 Federal Information Security Modernization Act of 2014 Audit	8
24-AUD-09	09/30/2024	Audit of NARA's Cloud Computing Services	2
24-R-10	09/30/2024	Evaluation of NARA's Controls over Relocation of Records	6
25-AUD-01	11/14/2024	Audit of NARA's Fiscal Year 2024 Consolidated Financial Statement	11
25-AUD-04	09/8/2025	Audit of NARA's Compliance with OMB Memorandum M-23-07	2
25-AUD-05	09/23/2025	Audit of NARA's Research Room Activities	5
25-AUD-06	09/29/2025	Audit of NARA's Office of Human Capital's Hiring Practices	4
25-AUD-07	09/29/2025	Audit of NARA's Purchase Card Program <i>Questioned Costs - \$17,557</i>	4
25-AUD-08	9/30/2025	Audit of NARA's Implementation of FISMA for Fiscal Year 2025	7

# Contact the OIG

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## OIG Hotline

The OIG Hotline provides a confidential channel for reporting fraud, waste, abuse, and mismanagement to the OIG. In addition to receiving telephone calls at a toll-free Hotline number, we also accept contacts through an online referral form. Visit <https://naraoig.oversight.gov/> for more information, or contact us:

- **By telephone**  
Washington, DC, Metro area: 301-837-3500  
Toll-free: 800-786-2551
- **By facsimile**  
301-837-3197
- **By online referral form**  
<https://naraoig.oversight.gov/online-complaint-form>

## Contractor Self-Reporting

Effective December 12, 2008, the Federal Acquisition Regulation (FAR) was amended to, in part, require that contractors timely notify the relevant OIG whenever there is credible evidence to believe that a violation(s) of criminal law and/or the civil False Claims Act have occurred in connection with a Federal contract.

Specifically, contractors must disclose, in writing, to the OIG whenever they have credible evidence that a principal, employee, agent, or subcontractor of the contractor has committed a violation of the civil False Claims Act (31 U.S.C. 3729-3733) or Federal criminal law involving fraud, conflict of interest, bribery or gratuity violations (found in Title 18 of the United States Code) in connection with the award, performance, or closeout of a Government contract or any related subcontract. Disclosures must be made by a senior officer or manager authorized to speak for the contractor.

Penalties for knowing failure to timely disclose include potential suspension and/or debarment. For additional details see: Contractor Business Ethics Compliance Program and Disclosure Requirements, [73 Fed. Reg. 219, 67064](#) (Nov. 12, 2008) (48 C.F.R 52.203-13(c)(2)(ii)(F)).

Disclosures and/or supporting documentation can be submitted via the online referral form at <https://naraoig.oversight.gov/oig-contractor-reporting-form>.

Disclosures and/or supporting documentation can also be mailed to:

NARA Office of Inspector General  
Attn: Contractor Disclosure  
8601 Adelphi Road, Suite 2800  
College Park, MD 20740