



**U.S. Consumer Product Safety Commission
OFFICE OF INSPECTOR GENERAL**



**Consumer Product Safety Improvement Act Annual
Report for Fiscal Year 2021**

March 17, 2022

22-O-03



VISION STATEMENT

We are agents of positive change striving for continuous improvements in our agency's management and program operations, as well as within the Office of Inspector General.

STATEMENT OF PRINCIPLES

We will work with the Commission and the Congress to improve program management.

Maximize the positive impact and ensure the independence and objectivity of our audits, investigations, and other reviews.

Use our investigations and other reviews to increase government integrity and recommend improved systems to prevent fraud, waste, and abuse.

Be innovative, question existing procedures, and suggest improvements.

Build relationships with program managers based on a shared commitment to improving program operations and effectiveness.

Strive to continually improve the quality and usefulness of our products.

Work together to address government-wide issues.



March 17, 2022

TO: Alexander Hoehn-Saric, Chair
Dana Baiocco, Commissioner
Peter A. Feldman, Commissioner
Richard Trumka, Jr, Commissioner

FROM: Christopher W. Dentel, Inspector General

SUBJECT: Consumer Product Safety Improvement Act Annual Report for Fiscal Year 2021

The Consumer Product Safety Improvement Act of 2008 (CPSIA) requires that the Office of Inspector General of the U.S. Consumer Product Safety Commission annually provide to the appropriate congressional committees the findings, conclusions, and recommendations from our reviews and audits performed under subsection 205(a) of the CPSIA as well actions taken with regard to employee complaints under subsection 205(b). The attached report fulfills these requirements for fiscal year 2021.

Please feel free to contact me if you or your staff have any questions or concerns.

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Abbreviations and Short Titles

ACRONYM	MEANING
CPSC	U.S. Consumer Product Safety Commission
CPSIA	The Consumer Product Safety Improvement Act of 2008
FISMA	The Federal Information Security Modernization Act
FY	Fiscal Year
OIG	Office of Inspector General

Background

The Consumer Product Safety Improvement Act of 2008 (CPSIA) requires that the Inspector General of the U.S. Consumer Product Safety Commission (CPSC) annually report the findings, conclusions, and recommendations from its reviews and audits performed to meet the requirements of subsection 205(a) of the CPSIA. Specifically, subsection 205(a) instructs the Inspector General to assess the CPSC's capital improvement efforts, which includes upgrades of the information technology architecture and systems as well as the development of a publicly accessible website.

In addition, subsection 205(b) requires that the Inspector General review any employee complaints fitting the definitions set forth in CPSIA subsection 205(b) and actions taken by the CPSC to address them.

The CPSIA requires an annual report to the appropriate Congressional committees of the Inspector General's findings, conclusions, and recommendations from the reviews and audits under subsection 205(a) and complaints under subsection 205(b).

Assessment of CPSIA-Compliant Activities

Evaluation of the CPSC's FISMA Implementation for FY 2021

(Click [here](#) for the full report)

The Federal Information Security Modernization Act of 2014 (FISMA) requires each federal agency to develop, document, and implement an agency-wide program to provide information security for the information and information systems that support the operations and assets of the agency. It also requires that the relevant Office of Inspector General (OIG) perform an annual assessment of the agency's compliance with FISMA.

The OIG contracted with Williams, Adley & Company-DC, LLP, an independent public accounting firm, to perform a review of the CPSC's compliance with the FISMA reporting requirements for fiscal year (FY) 2021. The review was performed in accordance with the Council of the Inspectors General on Integrity and Efficiency *Quality Standards for Inspections and Evaluations*. The review focused on the CPSC's compliance with the FISMA metrics provided by the Department of Homeland Security and the Office of Management and Budget.



The contractor found that the CPSC was not compliant with all of FISMA's requirements. The CPSC's FISMA non-compliance has a direct impact on the confidentiality, integrity, and availability of the public-facing database. However, the CPSC is making progress in implementing many of the FISMA requirements. The report contains 47 recommendations to improve the CPSC's information security posture.

Employee Complaints

No complaints fitting the definitions set forth in subsection 205(b) of the CPSIA were received by this office during FY 2021. However, there was a complaint received in 2019 for which a Report of Investigation and 40 associated recommendations was issued. The CPSC has, to date, addressed four of the recommendations made in that report.

Public Website Links

As of this writing, the homepage of the CPSC's website has an active link to the Inspector General's website. The OIG's website has methods for individuals to report cases of fraud, waste, and abuse regarding the CPSC.





For more information on this report please contact us at CPSC-OIG@cpsc.gov

To report Fraud, Waste, or Abuse, Mismanagement, or Wrongdoing at the CPSC go to
OIG.CPSC.GOV or call (301) 504-7906

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