

DENALI COMMISSION

OFFICE OF INSPECTOR GENERAL



Strategic Plan

Fiscal Years 2021-2025

A MESSAGE FROM THE INSPECTOR GENERAL

I am pleased to present the Office of the Inspector General's Strategic Plan for fiscal years 2021-2025. This is the first strategic plan issued by the Office of the Inspector General during my tenure. This plan has been developed to align our responsibilities with the goals and priorities of the Denali Commission's programs and operations as set forth in its [Fiscal Year 2018 - 2022 Strategic Plan](#).

We have four strategic goals aimed at helping ensure efficiency, effectiveness, and transparency in Denali Commission programs and operations:

- Protect the Federal investment made by the Denali Commission in Alaskan infrastructure in rural Alaska.
- Promote integrity, efficiency, accountability, and good government through robust, independent oversight of Denali Commission programs and operations.
- Increase OIG mission impact through effective communication and stakeholder engagement.

The OIG recognizes that strategic planning supported by performance goals and objectives is an ongoing process. While the mission of the Denali Commission and the OIG remain the same, the opportunities and challenges confronting them continually change. The Denali Commission's strategic plan will change, and this will lead to adjustments to the OIG's strategic plan. The OIG will monitor its progress towards the goals of this strategic plan and assess the continued relevance of the plan on a periodic basis.

Overall, these goals will guide the OIG and those who support its mission as we continue our efforts to oversee the Denali Commission's programs and operations and to bring positive change to the Denali Commission.



RODERICK H. FILLINGER

Inspector General

MISSION, VISION, AND VALUES

Mission

The mission of the OIG is to promote the integrity, efficiency, and effectiveness of the critical programs and operations of the Denali Commission. We accomplish this mission by the following:

- Conducting independent and objective audits, evaluations, and other reviews of Denali Commission programs and operations;
- Ensuring independent and objective investigations of potential criminal, civil, and administrative violations that undermine the ability of the Denali Commission to accomplish its statutory mission are conducted;
- Preventing and detecting fraud, waste, and abuse in Denali Commission programs and operations;
- Identifying vulnerabilities in Denali Commission systems and operations and making recommendations to improve them;
- Communicating timely and useful information that facilitates management decision making and the achievement of measurable gains; and
- Keeping Congress and the Federal Co-Chair and Commissioners fully and currently informed of significant issues and developments.

Vision

The OIG is an independent, professional organization that contributes to the success of the Denali Commission and acts as a catalyst for positive change in the Denali Commission's programs and operations. We realize this vision by being engages, insightful, proactive, and versatile.

Values

These values are fundamental to the OIG's accomplishing its mission and conducting its daily operations.

Integrity: The Inspector General (IG) and all those performing work on behalf of the OIG will adhere to the highest ethical standards.

Excellence: The OIG produces high quality products and services that are accurate, relevant, and responsive to the needs of the Denali Commission, its programs and operations, and other interested parties such as Congress, Alaskan and Tribal officials, and the public.

Independence: The OIG ensures that the OIG and all those performing work on behalf of the OIG are independent from any audited entity and its personnel, and safeguards are implemented to mitigate any threats to independence.

Objectivity: The OIG's work is free from bias, and fairly presents the situation at hand following applicable professional standards to ensure that decisions are made in a fair and ethical manner.

Effectiveness: The OIG strives to work creatively, proactively, and timely in producing reports that focus on the greatest risk and encourage audited management to bring about positive change.

Transparency: The OIG operates as transparent, and trusted brokers serving our stakeholders.

STRATEGIC GOALS AND OBJECTIVES

Strategic Goal #1 Protect the Federal investment made by the Denali Commission in Alaskan infrastructure in rural Alaska.

Strategic Goal #2 Promote integrity, efficiency, accountability, and good government through robust, independent oversight of Denali Commission programs and operations.

Strategic Goal #3 Increase OIG mission impact through effective communication and stakeholder engagement.

Strategic Goal #1 Protect the Federal investment made by the Denali Commission in Alaskan infrastructure in rural Alaska.

Objectives:

- 1.1 Ensure that Federal funding provided through grants is used in accordance with the Denali Commission Act as well as other applicable laws, regulations, guidelines, and circulars.
- 1.2 Perform as many audits of Denali Commission grants as budgetary resources and OIG and grantee officials' schedules allow.
- 1.3 Support the Denali Commission in providing information to grantees on appropriate use of grant funds.

Strategic Goal #2 Promote integrity, efficiency, accountability, and good government through robust, independent oversight of Denali Commission programs and operations.

Objectives:

- 2.1 Serve as an objective, independent fact finder about the operation of the Denali Commission, its programs, and the conduct of its personnel.
- 2.2 Employ a strategic, risk-based approach in identifying oversight priorities to focus OIG resources on the most important issues and management challenges facing the Denali Commission.
- 2.3 Detect and deter fraud, waste, abuse, and misconduct by conducting thorough and impactful audits, reviews, and investigations.
- 2.4 Provide timely findings and actionable recommendations to improve the effectiveness, efficiency, and integrity of the Denali Commission.

Strategic Goal #3 Increase OIG mission impact through effective communication and stakeholder engagement.

Objectives:

- 3.1 Promote transparency and accountability in Denali Commission programs and operations by communicating the OIG's findings and recommendations to the Commission, Congress, and the public.
- 3.2 Communicate weaknesses and recommendations for improvement clearly and effectively.

- 3.3 Provide audit, evaluation, inspection, and other review results and recommendations that add value, improve processes in the Denali Commission and other audited entities, and help Denali Commission grant recipients understand how to improve their management of federal grant funds.
- 3.4 Solicit feedback from Commission, Congressional, and public stakeholders to inform the OIG's oversight priorities.
- 3.5 Inform Commission employees and contractors of their right to report fraud, waste, abuse, and misconduct, and their protections from reprisal for doing so.



The Denali Commission Office of the Inspector General is a statutorily created independent entity whose mission is to detect and deter waste, fraud, abuse, and misconduct in the Denali Commission, and to promote economy and efficiency in the Commission's operations.

Matters of fraud, waste, abuse, and mismanagement or employee misconduct affecting Denali Commission programs, operations or assets should be reported to the Denali Commission OIG.

REPORT ANY ALLEGATION BY USING ONE OF THE FOLLOWING METHODS:

Mailing Address:

Denali Commission
Office of the Inspector General
510 L Street, Suite 410
Anchorage, AK 99501

Phone Contact:

(907) 271 – 3500

ONLINE REPORTING

When reporting waste, fraud, abuse, mismanagement or misconduct to the OIG, a complainant may request that his or her identity remain confidential (i.e., known only to the OIG), allow their name to be used (i.e., included in any investigation that may take place), or anonymous (i.e., unknown even to the OIG). If the complainant chooses to remain anonymous, OIG cannot obtain additional information on the allegation (e.g., testimonial or documentary evidence; identity of witnesses), and cannot inform the complainant as to what action OIG has taken on the complaint. Confidential status allows further communication between the OIG and the complainant after the original complaint is received. Please click below to file the complaint type of your choice.

- [File a Confidential Complaint](#)
- [File an Anonymous Complaint](#)

Without sufficient information we may be unable to act on your allegation. Therefore, in order to investigate your concerns properly, please provide as much information as possible. We are very interested in the information you have regarding the misconduct, fraud, waste, abuse, or mismanagement in our programs and operations.

The identity of complainants is protected under the provisions of the [Whistleblower Protection Act of 1989 \(PDF\)](#) and the [Inspector General Act of 1978, as amended \(PDF\)](#).