



Office of the Inspector General U.S. Department of Justice

OVERSIGHT ★ INTEGRITY ★ GUIDANCE



Recommendations Issued by the Office of the Inspector General That Were Not Closed As of March 31, 2020

RECOMMENDATIONS ISSUED BY THE OFFICE OF THE INSPECTOR GENERAL THAT WERE NOT CLOSED AS OF MARCH 31, 2020

The attached report contains information about recommendations from the Department of Justice (DOJ) Office of the Inspector General's (OIG) audits, evaluations, reviews, and other reports that the OIG had not closed as of March 31, 2020. The OIG has omitted from past reports recommendations that the DOJ determined contained information that was limited official use or classified, and we noted that we were doing so. To more accurately represent the number of recommendations that have not been closed and the reports in which the recommendations were made, beginning with this report, such recommendations that the DOJ determined contain information that is limited official use or classified will be indicated as follows: "[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]" The DOJ may identify a recommendation as containing limited official use or classified information if it determines, for example, that its disclosure to unauthorized individuals could negatively impact law enforcement operations, sources, or methods; expose operational vulnerabilities; or harm national security.

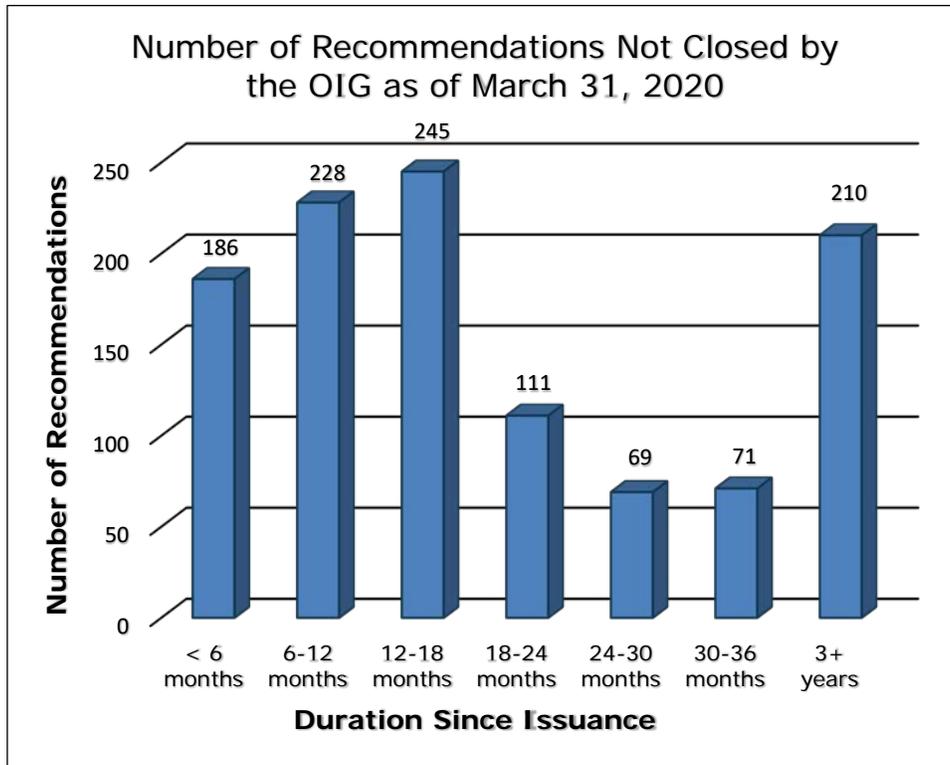
The status of each recommendation is subject to change due to the DOJ's ongoing efforts to implement them, and the OIG's independent review of information about those efforts. Specifically, a recommendation identified as not closed as of March 31, 2020, may now be closed as a result of actions taken after that date. Although the Department may have taken steps to implement the recommendations listed in this report, including by partially remedying the questioned costs associated with a recommendation, a recommendation is not considered closed until it has been fully implemented. Please note that the Department has provided updates for certain recommendations prior to the date of this report that are still under review by the OIG. In addition, the OIG sometimes issues recommendations with multiple subparts in order to better track their implementation. Therefore, the recommendations in the attached report list subparts as separate items.

The following categories are used to describe the status of the recommendations in the report:

- **Response Not Yet Due** – The Department's initial response to the recommendation is not yet due.
- **Resolved** – The Department has agreed to implement the recommendation or has proposed actions that will address the recommendation.
- **Unresolved** – The initial deadline for the Department's response to the recommendation has passed, and the Department has not agreed to implement the recommendation or has not proposed actions that will address the recommendation. The OIG may also convert a recommendation from "Resolved" to "Unresolved" if it determines that the Department is not making sufficient progress towards implementation.

- **On Hold/Pending with OIG**– The completion of the OIG’s assessment of the status of the recommendation is on hold or pending due to ongoing reviews or other factors.

The information in the following chart is derived from the attached report and includes recommendations that are in the categories described above as of March 31, 2020.



Source: DOJ OIG

The OIG’s assessment of the most significant recommendations that have not been closed is included in our Semiannual Reports, which are available at <https://oig.justice.gov/semiannual/>.

Department of Justice Component Acronyms and Abbreviations

ALLDOJ	Department of Justice
ATF	Bureau of Alcohol, Tobacco, Firearms and Explosives
BOP	Federal Bureau of Prisons
COPS	Office of Community Oriented Policing Services
CRM	Criminal Division
DEA	Drug Enforcement Administration
EOIR	Executive Office for Immigration Review
EOUSA	Executive Office for U.S. Attorneys
FBI	Federal Bureau of Investigation
JMD	Justice Management Division
NSD	National Security Division
OAG	Office of the Attorney General
ODAG	Office of the Deputy Attorney General
OJP	Office of Justice Programs
OPR	Office of Professional Responsibility
OVW	Office on Violence Against Women
TAX	Tax Division
USMS	U.S. Marshals Service
USNCB	United States National Central Bureau

DEPARTMENT OF JUSTICE OFFICE OF THE INSPECTOR GENERAL - RECOMMENDATIONS NOT CLOSED

(As of March 31, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
1	Audit of the Federal Bureau of Investigation's Western New York Regional Computer Forensics Laboratory - Buffalo, New York	FBI	03/31/2020	1	Resolved	Develop an implementable strategy that ensures that the WNYRCFL receives its accreditation within 3 years.
2	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	FBI	03/31/2020	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
3	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	FBI	03/31/2020	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
4	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	FBI	03/31/2020	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
5	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	FBI	03/31/2020	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
6	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	FBI	03/31/2020	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
7	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	FBI	03/31/2020	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
8	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	FBI	03/31/2020	7	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
9	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	FBI	03/31/2020	8	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

Questioned costs represent gross amounts, and consequently costs that were questioned for more than one reason may appear in multiple recommendations. Please refer to the schedule of dollar-related findings in the relevant report to identify net questioned costs.

DEPARTMENT OF JUSTICE OFFICE OF THE INSPECTOR GENERAL - RECOMMENDATIONS NOT CLOSED

(As of March 31, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
10	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	FBI	03/31/2020	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
11	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	FBI	03/31/2020	10	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
12	Audit of the Federal Bureau of Investigation's Legacy Pocatello Data Center Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	FBI	03/31/2020	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
13	Audit of the Office on Violence Against Women Justice for Families Program Grant Awarded to the Arlington County Supervised Visitation and Safe Exchange Program, Arlington, Virginia	OVW	03/31/2020	1	Resolved	Require that Safe Havens officials implement policies and procedures to support performance and activities contained in each progress report with valid and auditable source documents, as required by the DOJ Grants Financial Guide.
14	Audit of the Office on Violence Against Women Justice for Families Program Grant Awarded to the Arlington County Supervised Visitation and Safe Exchange Program, Arlington, Virginia	OVW	03/31/2020	2	Resolved	Coordinate with Safe Havens officials to implement policies for how it allocates employee salaries and fringe benefits to Federal awards.
15	Audit of the Office on Violence Against Women Justice for Families Program Grant Awarded to the Arlington County Supervised Visitation and Safe Exchange Program, Arlington, Virginia	OVW	03/31/2020	3	Resolved	Work with Safe Havens to implement policies and procedures to guide subrecipient site visits and verify invoiced time charges, to include the dates of the performance of services.
16	Audit of the Office on Violence Against Women Justice for Families Program Grant Awarded to the Arlington County Supervised Visitation and Safe Exchange Program, Arlington, Virginia	OVW	03/31/2020	4	Resolved	Coordinate with Safe Havens officials to implement an effective, consistent drawdown policy that complies with the DOJ Grants Financial Guide.
17	Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas	OJP	03/30/2020	1	Resolved	Coordinate with RST to ensure that progress reports are accurate and fully supported.

DEPARTMENT OF JUSTICE OFFICE OF THE INSPECTOR GENERAL - RECOMMENDATIONS NOT CLOSED

(As of March 31, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
18	Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas	OJP	03/30/2020	2	Resolved	Coordinate with RST to develop policies and procedures to ensure it adheres to all special conditions of the awards.
19	Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas	OJP	03/30/2020	3	Resolved	Coordinate with RST to develop and implement new policies and procedures that include specific language regarding matching costs, contract award and management, subrecipient award and management, and performance measurement and outcome assessment.
20	Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas	OJP	03/30/2020	4	Resolved	Remedy the \$24,969 in unallowable questioned costs related to the \$10,702 in unallowable personnel costs, \$9,689 in unallowable contractor and consultant costs, and \$4,578 in unallowable other direct costs.
21	Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas	OJP	03/30/2020	5	Resolved	Remedy the \$26,392 in unsupported questioned costs related to the \$7,285 in unsupported personnel costs, \$10,855 in unsupported contractor and consultant costs, and \$8,252 in unsupported other direct costs.
22	Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas	OJP	03/30/2020	6	Resolved	Coordinate with RST to ensure that it maintains adequate documentation to support contractor costs charged to the awards, including current contract agreements for all contractors and consultants and detailed invoices, as well as ensuring that contractors and consultants are only paid for services rendered.
23	Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas	OJP	03/30/2020	7	Resolved	Coordinate with RST to ensure it adequately monitors its award subrecipients.
24	Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas	OJP	03/30/2020	8	Resolved	Remedy the remaining \$248,973 of the \$256,975 in unsupported matching costs.
25	Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas	OJP	03/30/2020	9	Resolved	Coordinate with RST to ensure it meets its matching requirements, tracks all matching transactions on its general ledgers, and implements new policies and procedures to ensure matching costs are properly supported.

**DEPARTMENT OF JUSTICE OFFICE OF THE INSPECTOR GENERAL - RECOMMENDATIONS NOT CLOSED
(As of March 31, 2020)**

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
26	Audit of the Office of Justice Programs Cooperative Agreements Awarded to Refugee Services of Texas, Inc. Dallas, Texas	OJP	03/30/2020	10	Resolved	Coordinate with RST to ensure that it submits accurate FFRs.
27	Management Advisory Memorandum for the Director of the Federal Bureau of Investigation Regarding the Execution of Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons	FBI	03/30/2020	1	On Hold/Pending with OIG	Institute a requirement that it, in coordination with NSD, systematically and regularly examine the results of past and future accuracy reviews to identify patterns or trends in identified errors so that the FBI can enhance training to improve agents' performance in completing the Woods Procedures, or improve policies to help ensure the accuracy of FISA applications.
28	Management Advisory Memorandum for the Director of the Federal Bureau of Investigation Regarding the Execution of Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons	FBI	03/30/2020	2	On Hold/Pending with OIG	Perform a physical inventory to ensure that Woods Files exist for every FISA application submitted to the FISC in all pending investigations.
29	Audit of the United States Marshals Service's Administration of Joint Law Enforcement Operation Funds	USMS	03/25/2020	1	Resolved	Remedy the \$508,720 in unsupported overtime costs.
30	Audit of the United States Marshals Service's Administration of Joint Law Enforcement Operation Funds	USMS	03/25/2020	2	Resolved	Strengthen its monitoring of task forces by establishing a schedule sufficient to ensure that each task force is periodically reviewed within reasonable timeframes for compliance with JLEO requirements.
31	Audit of the United States Marshals Service's Administration of Joint Law Enforcement Operation Funds	USMS	03/25/2020	3	Resolved	Share with all task forces any information developed during its monitoring assessments—such as best practices or issues of common concern—that could assist the task forces in ensuring compliance with JLEO requirements.
32	Audit of the United States Marshals Service's Administration of Joint Law Enforcement Operation Funds	USMS	03/25/2020	4	Resolved	Develop a policy that handwritten changes to timesheets must identify who made the change, when the change was made, and for what purpose.

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(As of March 31, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
33	Audit of the United States Marshals Service's Administration of Joint Law Enforcement Operation Funds	USMS	03/25/2020	5	Resolved	Provide task forces with implementation guidance specifying the circumstances under which overtime rates may be reimbursed at greater than one and a half times the base hourly rate.
34	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	1	Resolved	Work with the Department to determine: (a) an accurate population of international and domestic terrorists incarcerated at, or in transit to, its institutions; and (b) determine whether any existing and previously unmonitored communications, such as emails or recorded telephone calls, should be reviewed.
35	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	2	Resolved	Assess which of its institutions do not conduct arrival interviews and determine whether BOP policy should require these interviews to potentially help identify terrorist and other high-risk inmates.
36	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	3	Resolved	Explore all available and alternative processes, including routine NCIC checks, with the Department for screening incoming inmates for terrorist connections, and implement policy and procedures as appropriate.
37	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	4	Resolved	Work with the Department to develop a complete universe of previously unidentified terrorist inmates and obtain information from the Department that will help the BOP determine if the 46 released inmates we identified meet its definition of a terrorist. If any of them do, then we recommend that the BOP add them to its historical list of formerly incarcerated terrorists to make it accurate and notify the FBI of their release.
38	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	5	Resolved	Review the quality of the telephone monitoring equipment at institutions requiring FBI monitoring of inmates under a SAM directive, and work with the FBI to make improvements to ensure effective monitoring can be conducted with the equipment at each of those institutions.

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(As of March 31, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
39	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	6	Resolved	Assess the sound quality in BOP visiting rooms utilized by terrorist inmates subject to SAM directives and improve the microphones in any identified facilities with inadequate equipment so that the FBI can effectively perform its monitoring as required under the SAM directive and the BOP can perform similar monitoring of its terrorist and other high-risk inmates.
40	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	7	Resolved	Work with the Department to establish procedures to prevent terrorist inmates from viewing discovery materials in the presence of other inmates and consider additional steps to minimize the risk that discovery material containing radical or harmful content can be inappropriately shared with other inmates.
41	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	8	Resolved	Establish a standard that will help ensure general population emails are monitored in a consistent manner and establish a policy that allows staff to forward for translation general population emails in accordance with this standard.
42	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	9	Resolved	Eliminate the automatic delivery of email to high-risk inmates.
43	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	10	Resolved	Review and implement policy and procedures to ensure that BOP staff are providing appropriate attention to the communications they are required to monitor.
44	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	11	Resolved	Create a more thorough and useful national list of key words, assess available technology that could assist in identifying concerning email communications, and develop a mechanism in TRULINCS to automatically notify staff of any emails that require closer scrutiny.

DEPARTMENT OF JUSTICE OFFICE OF THE INSPECTOR GENERAL - RECOMMENDATIONS NOT CLOSED

(As of March 31, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
45	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	12	Resolved	Establish controls that mitigate the risk of inmates communicating with unknown and un-vetted parties and take steps, including the utilization of available technological features as found in TRULINCS, to reduce the risk of mass emails being received by high-risk inmates, including terrorist inmates.
46	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	13	Resolved	Establish a TRUFONE control that allows the system to alert BOP management of any calls that were not completely monitored.
47	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	14	Resolved	Reassess its TRUFONE controls and take steps to prevent terrorist and other high-risk inmates from communicating with unknown and unapproved contacts.
48	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	15	Resolved	Review cellblock conversation monitoring policy, procedures, and capabilities to determine whether and how improvements can be made to achieve security goals, including improvement of audio monitoring systems.
49	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	16	Resolved	Revisit its social communication monitoring policy for high-risk inmates, including terrorist inmates, to better ensure that all visits between terrorist inmates and their visitors are sufficiently monitored.
50	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	BOP	03/24/2020	17	Resolved	Establish timelines for staff to nominate terrorist inmates for inclusion on the Posted Picture File and for Wardens to approve or deny the nominations.
51	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	ODAG	03/24/2020	18	Resolved	Determine whether the BOP should conduct an inventory of the books and publications found in the cells of all terrorist inmates and provide it to the FBI for review.
52	Audit of the Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	ODAG	03/24/2020	19	Resolved	Develop a mechanism to notify the BOP of all terrorists in its custody, including those whose convictions are under a sealed U.S. court order.

**DEPARTMENT OF JUSTICE OFFICE OF THE INSPECTOR GENERAL - RECOMMENDATIONS NOT CLOSED
(As of March 31, 2020)**

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
53	Review of the Office of Justice Programs' Corrective Actions to Address Dollar-Related Audit Recommendations	OJP	03/24/2020	1	Resolved	Periodically evaluate the timeliness and circumstances of grantees producing supporting documentation to remedy questioned costs and implement guidance or policy and proactive measures to better ensure grantees maintain proper and contemporaneous support for grant expenditures.
54	Review of the Office of Justice Programs' Corrective Actions to Address Dollar-Related Audit Recommendations	OJP	03/24/2020	2	Resolved	Establish and communicate to each grant recipient time limits for providing requested supporting documentation depending on the complexity of the audit findings.
55	Review of the Office of Justice Programs' Corrective Actions to Address Dollar-Related Audit Recommendations	OJP	03/24/2020	3	Resolved	Evaluate the risks posed by the proportion of unallowable questioned costs OJP retroactively approves, determine enhancements to its enforcement practices for unallowable questioned costs identified in audits and reviews, and periodically review grant terms for which OJP routinely approves deviations through Grant Adjustment Notices and retroactive approvals and identify strategies that better ensure grant recipients are spending grant funds for allowable purposes.
56	Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	FBI	03/23/2020	1	Resolved	Ensure all firearms in its custody are properly entered into the appropriate property management system.
57	Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	FBI	03/23/2020	2	Resolved	Ensure all firearms in its inventory have a designated property custodian, in accordance with policy.
58	Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	FBI	03/23/2020	3	Resolved	Ensures that complete documentation of lost and stolen FBI firearms is maintained, including all pertinent information such as the firearm make, model, and serial number.
59	Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	FBI	03/23/2020	4	Resolved	Ensure that the status of all lost or stolen firearms that are subsequently recovered is accurately reflected in AMS.

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(As of March 31, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
60	Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	FBI	03/23/2020	5	Resolved	Amend its policy for tracking ammunition to require that all rounds of each type of ammunition be tracked using handwritten logs that detail the date, caliber, quantity in or out, purpose of the ammunition transaction, and remaining balance; and require all ammunition tracking logs to be retained for at least 3 years.
61	Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	FBI	03/23/2020	6	Resolved	Revise its current policy to require its offices to conduct a complete inventory of all ammunition on hand on an annual basis to ensure all recorded ammunition inventory balances are accurate, and to retain those records for at least 3 years.
62	Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	FBI	03/23/2020	7	Resolved	Establish policy requiring that less lethal munitions and diversionary devices must be stored in a secure area, with access limited to designated personnel.
63	Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	FBI	03/23/2020	8	Resolved	Establish policy for tracking less lethal munitions and diversionary devices that requires all quantities of each type of less lethal munition and diversionary device to be tracked using handwritten logs that detail the date, quantity in or out, purpose of the transaction, and remaining balance; and require all less lethal munition and diversionary device tracking logs to be retained for at least 3 years.
64	Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	FBI	03/23/2020	9	Resolved	Require its offices to conduct a complete physical inventory of all less lethal munitions and diversionary devices on an annual basis and retain those records for at least 3 years.
65	Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	FBI	03/23/2020	10	Resolved	Amend its policy requiring all quantities of each type of explosive material be tracked by its SABTs using handwritten logs that detail the date, quantity in or out, purpose of the transaction, and remaining balance; and retain all explosives tracking records for at least 3 years.

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(As of March 31, 2020)

#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
66	Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	FBI	03/23/2020	11	Resolved	Require its offices to conduct a complete physical inventory of all explosives on an annual basis and retain those records for at least 3 years.
67	Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	FBI	03/23/2020	12	Resolved	Amend its policy to require Evidence Control Technicians to obtain and upload a notification of destruction from the FTU prior to marking the firearm evidence as disposed in SENTINEL, and require the firearm evidence disposal date in SENTINEL to reflect the date the firearm is destroyed.
68	Audit of the Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	FBI	03/23/2020	13	Resolved	Require proof of delivery to be maintained in SENTINEL for firearms evidence shipped to the FTU for disposal.
69	Audit of the Federal Bureau of Investigation's Intermountain West Regional Computer Forensics Laboratory - Salt Lake City, Utah	FBI	03/18/2020	1	Resolved	Ensure that the IWRCFL maintains proper documentation to validate attendance at training courses.
70	Audit of the Federal Bureau of Investigation's Intermountain West Regional Computer Forensics Laboratory - Salt Lake City, Utah	FBI	03/18/2020	2	Resolved	Ensure that the LMK users have taken either self-paced training, or have received hands-on training prior to use of the LMK.
71	Audit of the Federal Bureau of Investigation's Intermountain West Regional Computer Forensics Laboratory - Salt Lake City, Utah	FBI	03/18/2020	3	Resolved	Ensure that all RCFLs CPIK user data logs are capturing CPIK usage.
72	Audit of the Federal Bureau of Investigation's Intermountain West Regional Computer Forensics Laboratory - Salt Lake City, Utah	FBI	03/18/2020	4	Resolved	Ensure that all RCFLs CPIK user forms include the training certification question.
73	Audit of the Federal Bureau of Investigation's Intermountain West Regional Computer Forensics Laboratory - Salt Lake City, Utah	FBI	03/18/2020	5	Resolved	Ensure that CPIK software updates are documented, including the reason for the update, when the software update was deployed, and when each RCFL has completed the update.
74	Audit of the Federal Bureau of Investigation's Intermountain West Regional Computer Forensics Laboratory - Salt Lake City, Utah	FBI	03/18/2020	6	Resolved	Ensure that the CPIK software updates and documentation of the updates, are communicated to the Digital Evidence Field Operations Unit.
75	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Wyoming Office of the Attorney General, Cheyenne, Wyoming	OJP	03/17/2020	3	Resolved	Work with the WCVCP to remedy the remaining \$469,799 of the \$495,799 in excess grant funds awarded for FYs 2015 through 2017 grants.

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(As of March 31, 2020)

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76	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Wyoming Office of the Attorney General, Cheyenne, Wyoming	OJP	03/17/2020	4	Resolved	Work with the WCVCP to remedy the remaining \$9,351 of the \$326,201 in funds to be put to better use for the FYs 2016 through 2019 grants.
77	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Wyoming Office of the Attorney General, Cheyenne, Wyoming	OJP	03/17/2020	6	Resolved	Work with the WCVCP to strengthen its current policies and procedures to ensure it obtains all necessary documentation to support any lost wage amount paid.
78	Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	USNCB	03/16/2020	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
79	Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	USNCB	03/16/2020	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
80	Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	USNCB	03/16/2020	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
81	Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	USNCB	03/16/2020	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
82	Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	USNCB	03/16/2020	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
83	Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	USNCB	03/16/2020	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
84	Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	USNCB	03/16/2020	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
85	Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	USNCB	03/16/2020	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
86	Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	USNCB	03/16/2020	9	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
87	Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	USNCB	03/16/2020	10	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
88	Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	USNCB	03/16/2020	11	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
89	Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	USNCB	03/16/2020	12	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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90	Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	USNCB	03/16/2020	13	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
91	Audit of the INTERPOL Washington, United States National Central Bureau's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	USNCB	03/16/2020	14	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
92	Management Advisory Memorandum of Concerns Identified in the Handling of Supervisor-Subordinate Relationships Across DOJ Components	ODAG	03/10/2020	1	Response Not Yet Due	Consider these differing policies governing supervisor-subordinate relationships and determine whether to adopt a consistent policy across all Department components.
93	Management Advisory Memorandum of Concerns Identified in the Handling of Supervisor-Subordinate Relationships Across DOJ Components	ODAG	03/10/2020	2	Response Not Yet Due	Assess whether a subordinate should be required to report a romantic or intimate relationship with his or her supervisor.
94	Management Advisory Memorandum of Concerns Identified in the Handling of Supervisor-Subordinate Relationships Across DOJ Components	ODAG	03/10/2020	3	Response Not Yet Due	If the Department concludes that a subordinate should be required to report a romantic or intimate relationship with his or her supervisor, the Department should consider: a. Alternate mechanisms for that reporting, such as reporting outside the subordinate's chain of command, b. What penalties, if any, should flow from a failure to report; and c. Whether the penalty for a supervisor's failure to report a romantic or intimate relationship should be more severe than the penalty, if any, for a subordinate's failure to report the same relationship.
95	Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	TAX	03/04/2020	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
96	Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	TAX	03/04/2020	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
97	Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	TAX	03/04/2020	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
98	Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	TAX	03/04/2020	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
99	Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	TAX	03/04/2020	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
100	Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	TAX	03/04/2020	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
101	Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	TAX	03/04/2020	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
102	Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	TAX	03/04/2020	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
103	Audit of the Tax Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2019	TAX	03/04/2020	9	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
104	Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments	FBI	03/03/2020	1	Resolved	Identify and document lessons learned from the Deputy Director's Closed Guardian Review and disseminate the results to senior headquarters and field office leadership to help ensure the underlying problems related to procedures, implementation, and oversight of this endeavor do not occur in future large-scale internal reviews and initiatives.
105	Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments	FBI	03/03/2020	2	Resolved	Assess the legal, policy, and civil liberties issues implicated by the FBI's Office of General Counsel (OGC) guidance related to database checks during the Deputy Director's Closed Guardian Review, as well as Counterterrorism Division (CTD) and field offices' execution of the review in contrast to this guidance, and determine what, if any, follow-up action is necessary. If the FBI's OGC guidance was required by policy, determine whether it may be appropriate and consistent with applicable law to amend FBI policy to permit follow-up inquiries of closed assessments in certain circumstances.
106	Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments	FBI	03/03/2020	3	Resolved	Review the use of TECS alerts in Guardian assessments and provide clear guidance to CTD and field offices about when such use is appropriate.
107	Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments	FBI	03/03/2020	4	Resolved	Ensure field offices are fully aware of when to seek certain forms of legal process in connection with Guardian assessments and collaborate with CTD to discuss their coordination with USAOs and other options for obtaining records.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
108	Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments	FBI	03/03/2020	5	Resolved	In conjunction with the efforts to address Recommendation Number 2, examine current field office initiatives that provide an ongoing mechanism to revisit subjects of closed assessments and investigations. This examination should identify any legal, policy, and civil liberties implications so that a decision can be made as to whether all FBI field offices should undertake similar initiatives.
109	Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments	FBI	03/03/2020	6	Resolved	Review existing guidance for processing and systematically categorizing, assigning, and assessing threats and suspicious activities in the Guardian system that are related to counterterrorism threat-to-life incidents, and develop any additional policies and procedures required. Once completed, ensure that this information is disseminated to the Criminal Investigative Division (CID) for review and deploy cross-training between CTD and CID for appropriately conducting assessments of threats-to-life.
110	Audit of the Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments	FBI	03/03/2020	7	Resolved	Develop and implement a comprehensive strategy for assessing and mitigating threats with subjects who have mental health concerns, which incorporates a requirement for field offices to establish a plan to utilize the resources and legal techniques specific to their area of responsibility to address this emerging threat, and deploy a training module to provide subject mitigation techniques when dealing with individuals suffering from mental health problems.
111	Notification of Concerns Identified with the Federal Bureau of Prisons' Compliance with Department of Justice Requirements on the Use and Monitoring of Computers, Cybersecurity, and Records Retention	BOP	03/02/2020	1	Response Not Yet Due	Provide component personnel with training to carry out their assigned information system security-related duties and responsibilities, including specific training on how to use BOP-issued Samsung mobile devices in compliance with these duties and responsibilities.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
112	Notification of Concerns Identified with the Federal Bureau of Prisons' Compliance with Department of Justice Requirements on the Use and Monitoring of Computers, Cybersecurity, and Records Retention	BOP	03/02/2020	2	Response Not Yet Due	Refer to the "personal container" on the BOP-issued Samsung mobile phone as the "unsecured container," or "unsecured section" of the phone.
113	Notification of Concerns Identified with the Federal Bureau of Prisons' Compliance with Department of Justice Requirements on the Use and Monitoring of Computers, Cybersecurity, and Records Retention	BOP	03/02/2020	3	Response Not Yet Due	Develop a component-specific ROB agreement that incorporates all the provisions of DOJ's general ROB.
114	Notification of Concerns Identified with the Federal Bureau of Prisons' Compliance with Department of Justice Requirements on the Use and Monitoring of Computers, Cybersecurity, and Records Retention	BOP	03/02/2020	4	Response Not Yet Due	Incorporate a warning banner that appears on the lock screen of its mobile devices, advising users that activities conducted on the device, including on the "unsecured container," are subject to monitoring and review and that users have no expectation of privacy in any communications conducted on the device.
115	Notification of Concerns Identified with the Federal Bureau of Prisons' Procurement of Food Products	BOP	03/02/2020	1	Response Not Yet Due	Develop a quality assurance plan applicable to all institutions to mitigate the risk that a vendor could deliver a substandard product to the BOP. The BOP should consider including within this plan the following three-pronged approach: a. consistent on-site visual, weight, and other types of inspections before acceptance of delivery; b. a structured system of random laboratory testing of food products to ensure they meet USDA, U.S. Food and Drug Administration (FDA), contractual, or other applicable standards; and c. a requirement that with every food delivery and/or related claim, vendors submit a written certification that the products meet the contract specifications and have been processed or produced in accordance with USDA, FDA, or other regulatory standards, as applicable.

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116	Notification of Concerns Identified with the Federal Bureau of Prisons' Procurement of Food Products	BOP	03/02/2020	2	Response Not Yet Due	Take measures to enforce the requirement that its procurement officials report contractor performance and quality issues through the Federal Awardee Performance and Integrity System (FAPIS) and the Contractor Performance Assessment Reporting System (CPARS)
117	Notification of Concerns Identified with the Federal Bureau of Prisons' Procurement of Food Products	BOP	03/02/2020	3	Response Not Yet Due	Take measures to enforce the requirement that procurement officials' pre-award due diligence includes consulting FAPIS, CPARS and any other appropriate contractor performance databases for relevant information about vendor responsibility.
118	Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement	ODAG	02/20/2020	1	Resolved	In consultation with the appropriate Department components and other federal stakeholders: formally assess the risks and benefits of seeking to obtain Big 4 pricing for pharmaceutical purchases, as well as the authority to cap reimbursement for outside medical care at the Medicare rate, for the Department and all of its components, and, if warranted by the assessments, develop a plan to obtain such pricing and/or authority, including timeframes and assignments of responsibility for pursuing the plan.
119	Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement	BOP	02/20/2020	2	Resolved	Establish and issue to institutions purchasing guidelines to help identify the lowest price drugs when Pharmaceutical Prime Vendor Program drugs are out of stock or unavailable, and consider including the drug purchasing hierarchy in the Health Services Program Review Guidelines for Pharmacy Services.
120	Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement	BOP	02/20/2020	3	Resolved	Ensure that institutions follow federal procurement regulations to compete all drug purchases equal to or greater than the micro-purchase threshold.
121	Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement	BOP	02/20/2020	4	Resolved	Ensure that institutions' compliance with cost-efficient drug procurement practices is monitored through program review.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
122	Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement	BOP	02/20/2020	5	Resolved	Ensure that institutions track and report to the Central Office all of their drug purchases, particularly those from non-prime vendor sources, and capture details such as source, purchase date, quantity, and price.
123	Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement	BOP	02/20/2020	6	Resolved	Require that institutions place orders for out-of-stock drugs through the prime vendor, or otherwise implement a method for notifying the prime vendor when needed drugs are unavailable that also ensures that the BOP is receiving all credits to which it is contractually entitled.
124	Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement	BOP	02/20/2020	7	Resolved	Assess the costs and benefits of the programs that are on hold or limited due to resources, including pharmacy clinics, Central Fill and Distribution, and the BOP Mail Order Pharmacy, and determine whether expansion or initiation of these programs would be helpful to control long-term costs.
125	Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement	BOP	02/20/2020	8	Resolved	Complete and implement the Hepatitis C dashboard that will allow the BOP to accurately track and report Hepatitis C testing, diagnoses, priority levels, and treatment, and require institutions to maintain it.
126	Review of the Federal Bureau of Prisons' Pharmaceutical Drug Costs and Procurement	BOP	02/20/2020	9	Resolved	Assess the costs and benefits of requiring institutions to implement universal, voluntary opt-out Hepatitis C testing, and determine whether implementing this policy would be appropriate.
127	Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13	ODAG	02/11/2020	1	Resolved	Establish in policy or guidance the mission, role, and functions of the Senior Federal Law Enforcement Official, including qualifications, the appropriate components from which to select the official, and how a Senior Federal Law Enforcement Official coordinates with the ESF-13 program during a critical incident response.
128	Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13	ODAG	02/11/2020	2	Resolved	Ensure that the Senior Federal Law Enforcement Official cadre participates in National Level Exercises, when applicable, and ESF-13 Table Top Exercises.

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129	Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13	ODAG	02/11/2020	3	Resolved	Reevaluate ESF-13's funding process and operational requirements to determine appropriate funding mechanisms and cost sharing.
130	Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13	ODAG	02/11/2020	4	Resolved	Reevaluate ESF-13's staffing to determine appropriate permanent staffing requirements and responsibilities.
131	Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13	ATF	02/11/2020	5	Resolved	Develop methods to improve situational awareness of deploying ESF-13 personnel prior to their arrival at a disaster site.
132	Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13	ATF	02/11/2020	6	Resolved	Ensure that Federal Law Enforcement Officers are provided with legal guidance about how to respond to violations of state or local law when carrying out their ESF-13 mission within a disaster area, and identify a point of contact for Federal Law Enforcement Officers to consult for additional legal guidance during deployments.
133	Review of the U.S. Department of Justice's Preparedness to Respond to Critical Incidents Under Emergency Support Function 13	ATF	02/11/2020	7	Resolved	Develop a comprehensive training program that outlines ESF-13's functions and addresses operational control and misconceptions to assist future ESF-13 team leaders in preparing for and executing ESF-13 missions.
134	Management Advisory Memorandum of Concerns Identified in the Federal Bureau of Prisons Time-Sensitive Reporting Process	BOP	02/10/2020	1	Response Not Yet Due	Consider how best to immediately reinforce BOP reporting requirements to management and staff agency-wide.
135	Management Advisory Memorandum of Concerns Identified in the Federal Bureau of Prisons Time-Sensitive Reporting Process	BOP	02/10/2020	2	Response Not Yet Due	Take appropriate steps to address any reporting requirement violations.

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136	Procedural Reform Recommendation for the Federal Bureau of Prisons on Ensuring That Contractor and Grantee Employees Are Notified of Whistleblower Rights and Remedies	BOP	01/24/2020	1	Resolved	Take steps to ensure that its contractors and any grantees are aware of the whistleblower protections that federal law provides for employees of federal contractors and grantees. Specifically, the BOP should ensure that its contractors, subcontractors, and grantees inform their employees in writing of the rights and remedies provided by § 4712, as required by the law and the FAR.
137	Procedural Reform Recommendation for the Federal Bureau of Prisons on Ensuring That Contractor and Grantee Employees Are Notified of Whistleblower Rights and Remedies	BOP	01/24/2020	2	Resolved	Take steps to ensure that its contractors and any grantees take appropriate actions to conform their internal policies to federal law.
138	Audit of the Office on Violence Against Women Grant Awarded to Life Span, Chicago, Illinois	OVW	01/21/2020	1	Resolved	Verify that Life Span enhances its performance data collection and reporting methodology for progress reports and adequately tracks data related to the intended goals and objectives of the grant.
139	Audit of the Office on Violence Against Women Grant Awarded to Life Span, Chicago, Illinois	OVW	01/21/2020	2	Resolved	Ensure Life Span has implemented and disseminated new policies and procedures documenting the Deputy Executive Director's grant related responsibilities, which includes the designation of back-up personnel.
140	Audit of the Office on Violence Against Women Grant Awarded to Life Span, Chicago, Illinois	OVW	01/21/2020	3	Resolved	Ensure that Life Span's changes in time and effort and cost allocation methodology associated with the senior attorney assigned to the grant is appropriate and acceptable.
141	Audit of the Office on Violence Against Women Grant Awarded to Life Span, Chicago, Illinois	OVW	01/21/2020	4	Resolved	Remedy \$9,371 in unallowable costs related to salary expenses, pension-related fringe benefits, and advanced drawdowns.
142	Audit of the Office on Violence Against Women Grant Awarded to Life Span, Chicago, Illinois	OVW	01/21/2020	5	Resolved	Coordinate with Life Span to ensure that its rent allocation costs are appropriate, allowable, and supported.
143	Audit of the Office on Violence Against Women Grant Awarded to Life Span, Chicago, Illinois	OVW	01/21/2020	6	Resolved	Ensure that Life Span enhances its process to verify that all expenses identified in the accounting system are allocated correctly to the grant prior to drawing down funds.

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144	Audit of the Office on Violence Against Women Grant Awarded to Life Span, Chicago, Illinois	OVW	01/21/2020	7	Resolved	Ensure that Life Span enhances its policies and procedures for preparing and submitting FFRs.
145	Audit of the Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2019	JMD	12/17/2019	1	On Hold/Pending with OIG	Document the specific requirements for the supervisory review of the AFF/SADF financial statements, which should include critically reviewing the financial statement amounts and disclosures to ensure they agree to the relevant crosswalks or other supporting documentation and are properly presented. (Updated)
146	Audit of the Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2019	JMD	12/17/2019	2	On Hold/Pending with OIG	Implement effective risk assessment controls to identify, analyze, and respond to risks related to new financial reporting requirements. When new financial statement requirements are implemented, management should provide adequate training and allocate appropriate resources to ensure the new requirements are properly implemented in accordance with the applicable financial reporting requirements. (New)
147	Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2019	ALLDOJ	12/17/2019	1	On Hold/Pending with OIG	Assess reconciliation, financial reporting review, and other monitoring controls, and identify those areas where component management could increase the rigor and precision of those controls. (Updated)
148	Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2019	ALLDOJ	12/17/2019	3	On Hold/Pending with OIG	Enhance controls over conversion activities to ensure converted information in Unified Financial Management System (UFMS) has the necessary attributes and indicators. (New)
149	Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2019	ALLDOJ	12/17/2019	4	On Hold/Pending with OIG	Revise its training processes associated with the implementation of new accounting standards. (New)
150	Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation	FBI	12/09/2019	3	Response Not Yet Due	Develop protocols and guidelines for staffing and administrating any future sensitive investigative matters from FBI Headquarters.

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151	Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation	FBI	12/09/2019	4	Response Not Yet Due	Address the problems with the administration and assessment of CHSs identified in the report. (see items 4a. through 4f. on pages 415 and 416)
152	Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation	FBI	12/09/2019	6	Response Not Yet Due	Ensure that appropriate training on DIOG § 4 is provided to emphasize the constitutional implications of certain monitoring situations and to ensure that agents account for these concerns, both in the tasking of CHSs and in the way they document interactions with and tasking of CHSs.
153	Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation	FBI	12/09/2019	7	Response Not Yet Due	Establish a policy regarding the use of defensive and transition briefings for investigative purposes, including the factors to be considered and approval by senior leaders at the FBI with notice to a senior Department official, such as the Deputy Attorney General.
154	Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation	OPR	12/09/2019	8	Response Not Yet Due	Review our findings related to the conduct of Department attorney Bruce Ohr for any action it deems appropriate. Ohr's current supervisors in the Department's Criminal Division should also review OIG's findings related to Ohr's performance for any action they deem appropriate.
155	Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation	FBI	12/09/2019	9	Response Not Yet Due	Review the performance of all employees who had responsibility for the preparation, Woods review, or approval of the FISA applications, as well as the managers, supervisors, and senior officials in the chain of command of the Carter Page investigation, for any action deemed appropriate.
156	Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation	FBI	12/09/2019	1a	Response Not Yet Due	Ensure that adequate procedures are in place for the Office of Intelligence (OI) to obtain all relevant and accurate information, including access to Confidential Human Source (CHS) information, needed to prepare FISA applications and renewal applications. This effort should include revising items 1a. through 1d. on page 415 of report.

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157	Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation	ODAG	12/09/2019	1b	Response Not Yet Due	Ensure that adequate procedures are in place for the Office of Intelligence (OI) to obtain all relevant and accurate information, including access to Confidential Human Source (CHS) information, needed to prepare FISA applications and renewal applications. This effort should include revising items 1a. through 1d. on page 415 of the report.
158	Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation	FBI	12/09/2019	2a	Response Not Yet Due	Evaluate which types of Sensitive Investigative Matters (SIM) require advance notification to a senior Department official, such as the Deputy Attorney General, in addition to the notifications currently required for SIMs, especially for case openings that implicate core First Amendment activity and raise policy considerations or heighten enterprise risk, and establish implementing policies and guidance, as necessary.
159	Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation	ODAG	12/09/2019	2b	Response Not Yet Due	Evaluate which types of Sensitive Investigative Matters (SIM) require advance notification to a senior Department official, such as the Deputy Attorney General, in addition to the notifications currently required for SIMs, especially for case openings that implicate core First Amendment activity and raise policy considerations or heighten enterprise risk, and establish implementing policies and guidance, as necessary.
160	Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation	FBI	12/09/2019	5a	Response Not Yet Due	Clarify the terms in their policies. (Refer to 5a. and 5b. on page 416 of the report for terms listed)
161	Review of Four FISA Applications and Other Aspects of the FBI's Crossfire Hurricane Investigation	ODAG	12/09/2019	5b	Response Not Yet Due	Clarify the terms in their policies. (Refer to 5a. and 5b. on page 416 of the report for terms listed)
162	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Oregon Department of Justice, Salem, Oregon	OJP	12/09/2019	1	Resolved	Work with OR DOJ to ensure that it maintains support for the performance data, used at the time of reporting to OVC and thereby enable reconciliation of reported data with its internal records.

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163	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Oregon Department of Justice, Salem, Oregon	OJP	12/09/2019	3	Resolved	Ensure that OR DOJ implement internal controls to ensure that it does not exceed OJP's 5 percent limit to pay for the costs of administering its crime victim compensation program.
164	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Connecticut Judicial Branch, Hartford, Connecticut	OJP	11/19/2019	1	Resolved	Work with CJB to strengthen its current policies and procedures to ensure that lost wage documentation fully supports the amount to be paid, the claim file explains the methodology used to make the calculation and the decision making process, and that documentation supporting the payments made is verified.
165	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	1	Resolved	Ensure that the DI designs, implements, and adheres to validation policies and procedures for its long-term CHSs that comply with the AG Guidelines, or coordinate with the Department to seek revisions to the AG Guidelines, as necessary.
166	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	2	Resolved	Dedicate sufficient and appropriately trained personnel to ensure that long-term CHS validations, including backlogged long-term CHS validations, are conducted in accordance with the requirements of the AG Guidelines.
167	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	3	Resolved	Coordinate with the Department and update, as necessary, its long-term CHS validation report to ensure that it addresses the appropriate scope of review and memorializes any validation personnel's conclusions or recommendations.
168	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	4	Resolved	Develop and implement an automated mechanism in its Delta system to ensure that long-term CHSs are accurately identified and monitored, including an automated notification to the headquarters unit responsible for conducting long-term CHS validations.
169	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	5	Resolved	Develop and implement an automated workflow in Delta to ensure that all handling agents request and document SAC approval or disapproval for the continued handling of CHSs in excess of 5 years.

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170	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	6	Resolved	Update its Policy Guide to formally incorporate its Validation Manual in accordance with the IPO Policy Directive to ensure current validation processes and procedures are in compliance with AG Guidelines requirements.
171	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	7	Resolved	Ensure all validation process roles and responsibilities are defined and field office personnel receive adequate training on the validation processes.
172	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	8	Resolved	Reengineer its process for CHS validation to ensure that the CHSs with the greatest risk factors are selected, that those selections are independently assessed by headquarters, and that continued CHS use determinations receive appropriate headquarters scrutiny.
173	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	9	Resolved	Ensure that headquarters validation personnel document their analyses, conclusions, and recommendations in validation reports.
174	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	10	Resolved	Update its Validation Manual to ensure that its annual CHS review process is accurately documented and review and update its annual CHS report to ensure that it sufficiently addresses CHS risks, provide field offices guidance on the updates, and stress to field offices the important of the annual CHS report in the FBI's validation process.
175	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	11	Resolved	Develop and implement a policy that clearly informs FBI personnel of the acceptable platforms for communicating with CHSs and provide training to its workforce on the policy.
176	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	12	Resolved	Restrict access to its highly classified CHS shared system to only those with a need to know until the system is replaced.

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177	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	13	Resolved	Take actions to mitigate its gaps in CHS coverage by prioritizing the development of its new threat intelligence and CHS coverage system and ensure that the policies and procedures for its use are documented and accompanied by detailed training on the new system.
178	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	14	Resolved	Consult with all proposed stakeholders that will be responsible for providing data to the new system, identify any other data integrity issues, and document the policies and procedures for ongoing data quality monitoring of its new threat intelligence and CHS coverage system.
179	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	15	Resolved	Coordinate to ensure the composition of the HSRC is sufficient and appropriate and includes the requisite skills and knowledge to approve the continued use of FBI's long-term CHSs and seek revisions to the AG Guidelines, as necessary, to memorialize any changes in the composition.
180	Audit of the Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	FBI	11/18/2019	16	Resolved	Coordinate to consider establishing additional HSRCs or increasing the frequency of the HSRC meetings until the backlog of CHSs awaiting HSRC approval for continued use is eliminated.
181	Audit of the U.S. Department of Justice's Fiscal Year 2019 Compliance with the Digital Accountability and Transparency Act of 2014	ALLDOJ	11/06/2019	2	On Hold/Pending with OIG	Establish control activities to ensure sufficient field lengths, as permitted by the DAIMS, are used for the consolidated files, and perform additional edit checks in the consolidation process to ensure that data from the component files are accurately and completely captured in the consolidated files. (New)
182	Audit of the U.S. Department of Justice's Fiscal Year 2019 Compliance with the Digital Accountability and Transparency Act of 2014	ALLDOJ	11/06/2019	5	On Hold/Pending with OIG	Instruct Department contracting officers and grant officials on the definitions of the data elements and their proper recording in FPDS-NG and FABS and emphasize the importance of accurately inputting data into FPDS-NG and FABS. (New)

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183	Management Advisory Memorandum of Concerns Identified in the Office of Justice Programs Regional Information Sharing Systems Grants	OJP	10/31/2019	1	Resolved	Conduct an examination of the total amount of RISS funding provided to the RDA by the six RISS Centers since its inception, as well as an examination of how the RISS funds provided to the RDA were used since it was established. OJP should also consider requiring the RISS Centers to stop funding the RDA.
184	Audit of the Office on Violence Against Women Grants to Encourage Arrest Policies and Enforcement of Protection Orders Awarded to the Fairfax County Domestic Violence Action Center, Fairfax, Virginia	OVW	10/30/2019	1	Resolved	Work with Fairfax County to evaluate, establish, and report quantifiable deliverables and milestones for each of the objectives of Grant Number 2017-WE-AX-0046.
185	Audit of the Office on Violence Against Women Grants to Encourage Arrest Policies and Enforcement of Protection Orders Awarded to the Fairfax County Domestic Violence Action Center, Fairfax, Virginia	OVW	10/30/2019	2	Resolved	Coordinate with Fairfax County to ensure that progress reports are accurate and fully supported.
186	Audit of the Office on Violence Against Women Grants to Encourage Arrest Policies and Enforcement of Protection Orders Awarded to the Fairfax County Domestic Violence Action Center, Fairfax, Virginia	OVW	10/30/2019	3	Resolved	Work with Fairfax County to reconcile the subrecipient salaries to hourly rates.
187	Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids	DEA	09/30/2019	1	Resolved	Develop a national prescription opioid enforcement strategy that encompasses the work of all DEA field divisions tasked with combating the diversion of controlled substances, and establish performance metrics to measure the strategy's progress.
188	Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids	DEA	09/30/2019	3	Resolved	Implement electronic prescribing for all controlled substance prescriptions.
189	Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids	DEA	09/30/2019	4	Resolved	Require that all suspicious orders reports be sent to DEA headquarters.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
190	Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids	DEA	09/30/2019	5	Resolved	Take steps to ensure that DEA diversion control personnel responsible for adjudicating registrant reapplications are fully informed of the applicants' history resulting in a prior registration being revoked by DEA, surrendering a prior registration for cause, losing a state medical license, or other conduct which may threaten the public health and safety by improving information provided to such personnel about the standards to apply in making decisions on such applications.
191	Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids	DEA	09/30/2019	6	Resolved	Revise field division work plan requirements to allow the flexibility to target registrants for investigation.
192	Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids	DEA	09/30/2019	7	Resolved	Revive a drug abuse warning network to identify emerging drug abuse trends and new drug analogues and respond to these threats in a timely manner.
193	Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids	ODAG	09/30/2019	8	Resolved	Make efforts to enlist state and local partners to provide DEA with consistent access to state-run Prescription Drug Monitoring Programs.
194	Review of the Drug Enforcement Administration's Regulatory and Enforcement Efforts to Control the Diversion of Opioids	ODAG	09/30/2019	9	Resolved	Consider expanding the Opioid Fraud and Abuse Detection Unit pilot to additional U.S. Attorney's Offices and increasing the number of federal prosecutors dedicated to prosecuting opioid-related cases.
195	Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin	OJP	09/27/2019	1	Resolved	Provide additional oversight and assistance to the MPD to ensure that the award goals and objectives are adequately achieved for the 2016 CGIC award. Moreover, with the MPD's current award nearing completion, OJP should also evaluate whether the full amount of remaining funds under this award is needed. Finally, OJP should ensure that the MPD implements policies and procedures that appropriately plan for project milestones for future awards.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
196	Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin	OJP	09/27/2019	2	Resolved	Require the MPD to implement policies and procedures to ensure that the MPD maintains valid and auditable source documentation to support performance measures reported in the semi-annual progress reports.
197	Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin	OJP	09/27/2019	3	Resolved	Require the MPD to enhance its existing policy to ensure that award funds are only paid to recipients that are eligible to receive federal funding, which includes individuals and subrecipients. This policy should also require that review of the System for Award Management (SAM) is completed for each award on a regular basis.
198	Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin	OJP	09/27/2019	4	Resolved	Review the subrecipient arrangement between the MPD, its first-tier subrecipient, and the second-tier academic partner to identify areas to improve efficiencies and effectiveness in meeting required award objectives for the 2016 CGIC award. This should include determining if administrative and overhead costs should be reallocated and ensuring that each subrecipient is responsible for distinct and measurable deliverables. In addition, we recommend that OJP ensure that the MPD has appropriate policies and procedures for establishing and structuring subrecipient agreements under future awards.
199	Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin	OJP	09/27/2019	5	Resolved	Require the MPD to implement policies and procedures to ensure that the MPD's subrecipient agreements contain the proper elements required by the DOJ Grants Financial Guide.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
200	Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin	OJP	09/27/2019	6	Resolved	Require the MPD to enhance existing policies and procedures to ensure that the MPD conducts adequate oversight and monitoring of its subrecipients, as outlined in the DOJ Grants Financial Guide. This includes ensuring that these policies are distributed to the appropriate MPD personnel, and that those personnel are properly trained on the policy.
201	Audit of the Office of Justice Programs Bureau of Justice Assistance National Crime Gun Intelligence Center Initiative Grant Awarded to the Milwaukee Police Department, Milwaukee, Wisconsin	OJP	09/27/2019	7	Resolved	Remedy \$89,412 in unsupported subrecipient costs.
202	Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island	OJP	09/27/2019	1	Resolved	Remedy \$256,012 in unallowable personnel costs and \$113,654 in unallowable fringe benefit costs that were spent within non-approved budget categories.
203	Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island	OJP	09/27/2019	2	Resolved	Ensure CFSD implements and adheres to written policies and procedures for payroll that require grant-funded employees to document actual time worked using time and effort reports or periodic certifications that are approved by a supervisory official with firsthand knowledge of the employee's work.
204	Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island	OJP	09/27/2019	3	Resolved	Remedy \$113,654 in unsupported fringe benefit costs that were not adequately supported with time and effort reports or periodic certifications.
205	Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island	OJP	09/27/2019	3	Resolved	Remedy \$256,012 in unsupported personnel costs that were not adequately supported with time and effort reports or periodic certifications.

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206	Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island	OJP	09/27/2019	4	Resolved	Ensure CFSD implements and adheres to written procurement policies and procedures at the district level that are in compliance with RI State Procurement Regulations and federal criteria to ensure a fair and transparent procurement process that results in prices that are reasonable and supported.
207	Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island	OJP	09/27/2019	6	Resolved	Ensure CFSD implements and adheres to policies and procedures that require consultant costs to be adequately supported with time and effort reports that demonstrate actual hours worked on grant activities.
208	Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island	OJP	09/27/2019	7	Resolved	Ensure CFSD implements and adheres to its recently developed written subrecipient monitoring policies and procedures.
209	Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island	OJP	09/27/2019	8	Resolved	Ensure CFSD implements and adheres to written policies and procedures for budget management that require CFSD to periodically compare its grant costs to the grant budget to ensure all grant funds are spent within approved budget categories.
210	Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island	OJP	09/27/2019	9	Resolved	Ensure CFSD updates its written policies and procedures for grant drawdowns to include effective controls to ensure any drawdowns taken in advance are detected and returned after 10 days if unspent.
211	Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island	OJP	09/27/2019	10	Resolved	Ensure CFSD implements and adheres to written policies and procedures for financial reporting that require CFSD to periodically reconcile cumulative reported amounts with grant costs recorded in CFSD's accounting system and review its financial reports for accuracy.
212	Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island	OJP	09/27/2019	5a	Resolved	Remedy \$1,434,081 in unsupported contractual costs that lacked an adequate justification of price demonstrating the costs were reasonable.

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213	Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island	OJP	09/27/2019	5b	Resolved	Remedy \$166,334 in unsupported contractual costs that lacked adequate documentation demonstrating the procurement was conducted in compliance with RI State Procurement Regulations.
214	Audit of the Office of Justice Programs Comprehensive School Safety Initiative Grant Awarded to Central Falls School District, Central Falls, Rhode Island	OJP	09/27/2019	5c	Resolved	Remedy \$447,490 in unsupported consultant costs that were not adequately supported with time and effort reports.
215	Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates	BOP	09/25/2019	1	Resolved	Complete the heating, ventilation, and cooling equipment upgrade subproject at Metropolitan Detention Center Brooklyn and ensure that the equipment is capable of maintaining temperatures at BOP targets. Further, ensure that upgraded hardware and Building Management System software allow facilities staff to accurately monitor building temperatures and heating, ventilation, and cooling equipment performance.
216	Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates	BOP	09/25/2019	2	Resolved	Take further action to diagnose the sources of temperature regulation issues and remedy them, if the upgraded Metropolitan Detention Center Brooklyn heating, ventilation, and cooling system cannot maintain building temperatures at BOP targets.
217	Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates	BOP	09/25/2019	4	Resolved	Ensure that, in the absence of Building Management System software, institutions use a consistent and sound method to measure and document temperatures and record all maintenance performed on heating, ventilation, and cooling equipment.
218	Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates	BOP	09/25/2019	5	Resolved	Require all institutions to develop alternative legal visiting plans that ensure that inmates can access legal counsel as soon as is safely possible after a facilities issue or other disruptive event.
219	Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates	BOP	09/25/2019	6	Resolved	Update visitation policies to describe alternative legal visiting arrangements, when legal visiting is restricted under 28 C.F.R. § 540.40, to ensure pretrial inmates may access legal counsel under 28 C.F.R. § 551.117.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
220	Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates	BOP	09/25/2019	7	Resolved	Require institutions to maintain a list of inmates who use continuous positive airway pressure machines, or other electronic medical devices, in their cells so that institution staff can make every effort to accommodate those inmates in the event of a power outage.
221	Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates	BOP	09/25/2019	8	Resolved	When telephones and inmate computer systems are unavailable, communicate promptly to inmates the causes of and efforts to resolve problems that significantly affect institution operations, as well as alternative methods for accessing vital services.
222	Review and Inspection of Metropolitan Detention Center Brooklyn Facilities Issues and Related Impacts on Inmates	BOP	09/25/2019	9	Resolved	Provide institutions additional guidance on how and when staff should inform defense counsel, the courts, inmates' families, and the public about disruptive events affecting the conditions of confinement and institution management decisions that restrict legal and social visiting.
223	Audit of the Office of Community Oriented Policing Services Tribal Resource Grant Program Awards to the Choctaw Nation of Oklahoma, Durant, Oklahoma	COPS	09/25/2019	1	Resolved	Coordinate with the CNO to ensure it completes the goals and objectives as defined in the program narrative under Grant Number 2014-HE-WX-0044 before the grant ends.
224	Audit of the Office of Community Oriented Policing Services Tribal Resource Grant Program Awards to the Choctaw Nation of Oklahoma, Durant, Oklahoma	COPS	09/25/2019	5	Resolved	Coordinate with the CNO to update inventory records regarding item serial numbers, locations, and item disposition.
225	Audit of the Office of Community Oriented Policing Services Tribal Resource Grant Program Awards to the Choctaw Nation of Oklahoma, Durant, Oklahoma	COPS	09/25/2019	6	Resolved	Coordinate with the CNO to track the condition of property and any ultimate disposition data, including the date of disposal.
226	Audit of the Office of Community Oriented Policing Services Tribal Resource Grant Program Awards to the Choctaw Nation of Oklahoma, Durant, Oklahoma	COPS	09/25/2019	7	Resolved	Remedy \$2,223 in unallowable personnel and associated fringe under Grant Number 2013-HH-WX-0016.

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227	Audit of the Office of Community Oriented Policing Services Tribal Resource Grant Program Awards to the Choctaw Nation of Oklahoma, Durant, Oklahoma	COPS	09/25/2019	8	Resolved	Remedy \$37,133 in unallowable equipment costs under Grant Number 2016-HE-WX-0040.
228	Audit of the Office of Community Oriented Policing Services Tribal Resource Grant Program Awards to the Choctaw Nation of Oklahoma, Durant, Oklahoma	COPS	09/25/2019	10	Resolved	Remedy \$5,147 in unsupported supply costs under Grant Number 2013-HE-WX-0038.
229	Audit of the Office of Community Oriented Policing Services Tribal Resource Grant Program Awards to the Choctaw Nation of Oklahoma, Durant, Oklahoma	COPS	09/25/2019	12	Resolved	Remedy the remaining \$1,631 of the \$4,121 in unsupported travel costs under Grant Number 2013-HE-WX-0038.
230	Audit of the Office of Community Oriented Policing Services Tribal Resource Grant Program Awards to the Choctaw Nation of Oklahoma, Durant, Oklahoma	COPS	09/25/2019	11a	Resolved	Remedy \$1,632 in unallowable travel costs under Grant Number 2013-HE-WX-0038.
231	Audit of the Office of Community Oriented Policing Services Tribal Resource Grant Program Awards to the Choctaw Nation of Oklahoma, Durant, Oklahoma	COPS	09/25/2019	11b	Resolved	Remedy \$75 in unallowable travel costs under Grant Number 2016-HE-WX-0040.
232	Audit of the Office of Community Oriented Policing Services Tribal Resource Grant Program Awards to the Choctaw Nation of Oklahoma, Durant, Oklahoma	COPS	09/25/2019	9a	Resolved	Remedy \$2,700 in unsupported equipment costs under Grant Number 2013-HE-WX-0038.
233	Audit of the Office of Community Oriented Policing Services Tribal Resource Grant Program Awards to the Choctaw Nation of Oklahoma, Durant, Oklahoma	COPS	09/25/2019	9b	Resolved	Remedy \$1,100 in unsupported equipment costs under Grant Number 2014-HW-WX-0044.
234	Audit of the Office of Community Oriented Policing Services Tribal Resource Grant Program Awards to the Choctaw Nation of Oklahoma, Durant, Oklahoma	COPS	09/25/2019	9c	Resolved	Remedy the remaining \$925 of the \$47,575 in unsupported equipment costs under Grant Number 2015-HE-WX-0049.

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235	Audit of the Office of Justice Programs Regional Information Sharing Systems Grants Awarded to Rocky Mountain Information Network, Phoenix, Arizona	OJP	09/25/2019	2	Resolved	Remedy \$233,293 in unallowable questioned costs related to the \$31,841 in unallowable personnel costs and the \$201,452 in unallowable other direct costs.
236	Audit of the Office of Justice Programs Regional Information Sharing Systems Grants Awarded to Rocky Mountain Information Network, Phoenix, Arizona	OJP	09/25/2019	3	Resolved	Remedy \$4,000 in unsupported questioned costs for dues paid to the RISS Directors Association.
237	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri	OJP	09/24/2019	1	Resolved	Ensure the Missouri DPS updates its policy manual to define conflicts of interest and direct employees on appropriate behavior when a conflict of interest is encountered.
238	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri	OJP	09/24/2019	2	Resolved	Coordinate with the Missouri DPS to determine if changes with its outreach education program are necessary to ensure more people throughout the state of Missouri will learn about the CVC program and avail themselves of its benefits.
239	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri	OJP	09/24/2019	3	Resolved	Work with the Missouri DPS to determine if its state certifications for FYs 2015 through 2018 resulted or will result in funds awarded in error, and if so to take the necessary steps to remedy those funds.
240	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri	OJP	09/24/2019	4	Resolved	Ensure the Missouri DPS develops and implements procedures for accurately completing its Crime Victim Compensation State Certification Forms.
241	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri	OJP	09/24/2019	5	Resolved	Ensure the Missouri DPS re-submits a corrected performance report for the second quarter of FY 2018.
242	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri	OJP	09/24/2019	6	Resolved	Ensure the Missouri DPS submits for approval an updated EEO plan to the OJP Office for Civil Rights.

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243	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri	OJP	09/24/2019	7	Resolved	Remedy \$282,606 in questioned costs related to insufficient documentation supporting claimant eligibility.
244	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri	OJP	09/24/2019	8	Resolved	Ensure that Missouri DPS implements appropriate policies and practices to require personnel who evaluate claims do so in full accordance with the governing Missouri statutes and VOCA Guidelines.
245	Audit of the Office on Violence Against Women Grants Awarded to the Colorado Coalition Against Sexual Assault, Denver, Colorado	OVW	09/24/2019	3	Resolved	Remedy the \$2,616 in unsupported expenditures related to rent, supply, accounting, and leased equipment costs.
246	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Idaho Department of Health and Welfare, Boise, Idaho	OJP	09/23/2019	1	Resolved	Work with the Council to develop and implement a plan to identify additional victim needs throughout the state so that it can effectively implement its grant program and meet the needs of victims.
247	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Idaho Department of Health and Welfare, Boise, Idaho	OJP	09/23/2019	7	Resolved	Remedy \$33,351 in unallowable subrecipient costs.
248	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Idaho Department of Health and Welfare, Boise, Idaho	OJP	09/23/2019	8	Resolved	Remedy \$49,069 in unsupported subrecipient costs.
249	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Idaho Department of Health and Welfare, Boise, Idaho	OJP	09/23/2019	9	Resolved	Remedy \$3,492 in unallowable administrative expenditures.
250	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Idaho Department of Health and Welfare, Boise, Idaho	OJP	09/23/2019	10	Resolved	Ensure the Council adheres to its internal purchasing policies and procedures for any future contracts.

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251	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine	OJP	09/11/2019	1	Resolved	Ensure DHHS develops and implements a funding allocation strategy in accordance with the VOCA guidance.
252	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine	OJP	09/11/2019	2	Resolved	Ensure DHHS develops and implements policies and procedures to monitor compliance with the priority area funding requirement.
253	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine	OJP	09/11/2019	3	Resolved	Ensure DHHS develops and implements policies and procedures that ensure annual performance reports are complete and accurate.
254	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine	OJP	09/11/2019	4	Resolved	Ensure DHHS develops and implements policies and procedures that require reimbursement requests to show subrecipients' actual costs by funding source to ensure proper tracking and use of VOCA funds. It is also critical that DHHS ensure that its Coalitions, who serve as pass-through entities, adopt similar policies and procedures with direct service providers.
255	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine	OJP	09/11/2019	6	Resolved	Ensure DHHS develops and implements policies and procedures so that contracts are awarded in compliance with applicable guidance.
256	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine	OJP	09/11/2019	7	Resolved	Ensure DHHS develops and implements policies and procedures to stay within the parameters of OJP imposed consultant fee thresholds or obtain the necessary prior written approvals from OJP.
257	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine	OJP	09/11/2019	9	Resolved	Ensure DHHS develops and implements policies and procedures to obtain and verify the time and effort reports that accompany consultant invoice payment requests.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
258	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine	OJP	09/11/2019	10	Resolved	Ensure DHHS develops and implements policies and procedures to timely reconcile its grant awards to ensure federal cash on hand is the minimum needed for disbursements or reimbursements made immediately or within 10 days, in accordance with the Financial Guide.
259	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine	OJP	09/11/2019	11	Resolved	Remedy \$9,644 in unsupported matching costs submitted by subrecipients.
260	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine	OJP	09/11/2019	12	Resolved	Ensure DHHS develops and implements policies and procedures to ensure that subrecipient matching costs are monitored and accurately reported to OJP.
261	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine	OJP	09/11/2019	13	Resolved	Ensure DHHS develops and implements policies and procedures that will result in the submission of complete and accurate financial reports.
262	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine	OJP	09/11/2019	14	Resolved	Ensures DHHS develops and implements formal policies and procedures regarding subrecipient monitoring, including establishing a formal on-site visit schedule. Additionally, during site visits and desk reviews, ensure DHHS performs transaction testing of source documentation to ensure the validity VOCA-eligible expenditures.
263	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Maine Department of Health and Human Services, Augusta, Maine	OJP	09/11/2019	15	Resolved	Ensure DHHS develops and implements necessary policies and procedures for a subrecipient risk assessment plan that includes subrecipient specific factors.
264	Audit of the Office of Justice Programs Cooperative Agreement and the Office on Violence Against Women Grant Awarded to Lone Star Legal Aid, Houston, Texas	OJP	09/04/2019	1	Resolved	Remedy the \$30,500 in unsupported contractor questioned costs.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
265	Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	BOP	09/03/2019	1	Resolved	Establish procedures to specifically address how pricing should be established for out-of-network services and services required during the performance of the contract but not covered by Medicare pricing.
266	Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	BOP	09/03/2019	2	Resolved	Review all of the optometrist and general physician onsite service invoices paid after July 2018, to identify any additional overpayments and request a reimbursement for any additional overpayments identified.
267	Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	BOP	09/03/2019	3	Resolved	Revise its billing review process to ensure billing clerks are provided complete and current pricing schedules that clearly define the duration of a session for pricing purposes and notes the effective dates of changes to the pricing schedule.
268	Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	BOP	09/03/2019	4	Resolved	Consider the timeliness of invoice submissions by CCS in its evaluation of CCS's contract performance and report deficiencies appropriately in the Contractor Performance Assessment Reporting System (CPARS).
269	Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	BOP	09/03/2019	5	Resolved	Clearly define and communicate to CCS what constitutes a proper invoice and establish a process wherein only proper invoices are accepted and processed.
270	Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	BOP	09/03/2019	6	Resolved	Establish at Federal Correctional Complex (FCC) Coleman the practice of returning improper invoices to the contractor within 7 days as required in accordance with the Prompt Payment Act.
271	Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	BOP	09/03/2019	7	Resolved	Review the proposed Quality Control Program (QCP) for adequacy as required by the CCS contract and ensure CCS timely submits the QCP reports as required.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
272	Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	BOP	09/03/2019	8	Resolved	Establish a government contract quality assurance surveillance plan to use as the basis for monitoring performance and to determine if the services CCS provided meet the contract requirements.
273	Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	BOP	09/03/2019	9	Resolved	Implement a formal process for documenting requests for required onsite clinics to ensure the contractor is informed of the changing needs of the FCC Coleman Complex.
274	Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	BOP	09/03/2019	10	Resolved	Clearly define responsibility for entering contractor performance information into CPARS and ensure information is entered in a timely and accurate manner.
275	Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	BOP	09/03/2019	11	Resolved	Further assess opportunities to utilize a secure hospital unit and telehealth at FCC Coleman.
276	Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	BOP	09/03/2019	12	Resolved	Complete the modification to the CCS contract to prorate reimbursement for session-based services.
277	Audit of the Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	BOP	09/03/2019	13	Resolved	Modify the contract to state the terms under which compensation would or would not be made for cancellations.
278	Audit of the Office on Violence Against Women Grants Awarded to the DeKalb County Magistrate Court, Decatur, Georgia	OVW	08/26/2019	2	Resolved	Remedy \$13,763 in unsupported subrecipient costs for the 2016 award.
279	Audit of the Office of Justice Programs Juvenile Drug Treatment Courts Training and Technical Assistance Award to American University, Washington, D.C.	OJP	08/21/2019	3	Resolved	Ensure that AU conduct annual consultant evaluations to provide JDTC-specific performance feedback to each consultant.
280	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	10	Resolved	Remedy the remaining \$39,552 of the \$40,272 in unsupported supply costs under Grant Number 2014-WR-AX-0026.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
281	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	16	Resolved	Remedy the remaining \$478 of the \$2,759 in unsupported travel costs.
282	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	17	Resolved	Remedy \$13,450 in unsupported contractor and consultant costs under Grant Number 2014-WR-AX-0026.
283	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	19	Resolved	Remedy \$1,086 in unallowable personnel and associated fringe costs under Grant Number 2014-WR-AX-0026.
284	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	11a	Resolved	Remedy \$500 in unallowable other direct costs under Grant Number 2014-WR-AX-0026.
285	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	11b	Resolved	Remedy the remaining \$72 of the \$97 in unallowable other direct costs under Grant Number 2013-WG-AX-0001.
286	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	12a	Resolved	Remedy the remaining \$1,691 of the \$15,244 in unsupported other direct costs under Grant Number 2014-TW-AX-0051.
287	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	12b	Resolved	Remedy the remaining \$829 of the \$2,579 in unsupported other direct costs under Grant Number 2017-TW-AX-0002.
288	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	14a	Resolved	Remedy \$232 in unallowable travel costs under Grant Number 2014-TW-AX-0051.
289	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	14b	Resolved	Remedy \$479 in unallowable travel costs under Grant Number 2017-TW-AX-0002.
290	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	14c	Resolved	Remedy \$237 in unallowable travel costs under Grant Number 2014-WR-AX-0026.
291	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	14d	Resolved	Remedy \$4,641 in unallowable travel costs under Grant Number 2013-WH-AX-0001.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
292	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	14e	Resolved	Remedy \$1,476 in unallowable travel costs under Grant Number 2016-WH-AX-0020.
293	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	4a	Resolved	Remedy \$74,033 in unallowable grant expenditures due to noncompliance with special conditions under Grant Number 2017-TW-AX-0002.
294	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	4b	Resolved	Remedy \$19,755 in unallowable grant expenditures due to noncompliance with special conditions under Grant Number 2016-WH-AX-0020.
295	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	4c	Resolved	Remedy \$300 in unallowable grant expenditures due to noncompliance with special conditions under Grant Number 2013-WH-AX-0001.
296	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	8a	Resolved	Remedy \$715 in unallowable supply and associated shipping, handling, and setup costs under Grant Number 2014-TW-AX-0051.
297	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	8b	Resolved	Remedy \$1,098 in unallowable supply and associated shipping, handling, and setup costs under Grant Number 2017-TW-AX-0002.
298	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	8c	Resolved	Remedy \$35,858 in unallowable supply and associated shipping, handling, and setup costs under Grant Number 2014-WR-AX-0026.
299	Audit of the Office on Violence Against Women Grants Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OVW	08/14/2019	8d	Resolved	Remedy \$2,257 in unallowable supply and associated shipping, handling, and setup costs under Grant Number 2016-WH-AX-0020.
300	Audit of the Office of Justice Programs Comprehensive Tribal Victim Assistance Program Cooperative Agreements Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OJP	08/14/2019	6a	Resolved	Remedy the remaining \$5,592 of the \$30,350 in unsupported other direct costs related to victim assistance under Grant Number 2014-VR-GX-K013.
301	Audit of the Office of Justice Programs Comprehensive Tribal Victim Assistance Program Cooperative Agreements Awarded to the Choctaw Nation of Oklahoma, Durant, Oklahoma	OJP	08/14/2019	6b	Resolved	Remedy the remaining \$2,497 of the \$10,002 in unsupported other direct costs related to victim assistance under Grant Number 2017-VR-GX-K011.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
302	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Rhode Island Office of the General Treasurer, Providence, Rhode Island	OJP	08/06/2019	2	Resolved	Ensure RIOGT revise its regulations to clarify the basis for awarding lost wages for seasonal, non-salaried, and intermittent work.
303	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Rhode Island Office of the General Treasurer, Providence, Rhode Island	OJP	08/06/2019	3	Resolved	Work with RIOGT to determine if its state certifications for FY 2013-2017 were overstated, resulting in funds awarded in error, and, if so, to take the necessary steps to recover those funds.
304	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Rhode Island Office of the General Treasurer, Providence, Rhode Island	OJP	08/06/2019	4	Resolved	Ensure RIOGT implement and adhere to comprehensive, written policies and procedures for preparing annual certifications so that certifications are prepared accurately and supporting documentation is maintained to facilitate the review and audit of funds awarded.
305	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Rhode Island Office of the General Treasurer, Providence, Rhode Island	OJP	08/06/2019	7	Resolved	Ensure RIOGT revise its Payment Processing and Grant Management Manual so that grant funds are tracked separately.
306	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Rhode Island Office of the General Treasurer, Providence, Rhode Island	OJP	08/06/2019	8	Resolved	Remedy \$3,717 in unallowable grant expenditures.
307	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the Rhode Island Office of the General Treasurer, Providence, Rhode Island	OJP	08/06/2019	9	Resolved	Remedy \$12,218 in unsupported grant expenditures.
308	A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations	FBI	07/31/2019	1	Resolved	Develop and implement its own written policy, consistent with existing departmental policies, to address how Southwest border agents should deconflict investigative targets and events and share relevant information with each other.
309	A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations	FBI	07/31/2019	2	Resolved	Ensure that each agency has a copy of the other's deconfliction policy and that all agents understand the expectations for interagency deconfliction and information sharing.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
310	A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations	FBI	07/31/2019	3	Resolved	Provide training to Southwest border Federal Bureau of Investigation and Homeland Security Investigations agents on the existing Department of Justice and Department of Homeland Security deconfliction policies and mandatory systems.
311	A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations	FBI	07/31/2019	4	Resolved	Develop and implement a plan to increase awareness among Federal Bureau of Investigation and Homeland Security Investigations agents of each agency's mission, statutory authorities, and criminal investigative priorities.
312	A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations	FBI	07/31/2019	5	Resolved	Develop a memorandum of understanding or similar written agreement governing Federal Bureau of Investigation and Homeland Security Investigations operations on overlapping criminal investigative areas.
313	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin	OJP	07/31/2019	1	Resolved	Coordinate with the WI DOJ to determine the appropriateness of reexamining the WI DOJ subaward structure to ensure that VOCA funds are efficiently and effectively awarded to subrecipients.
314	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin	OJP	07/31/2019	6	Resolved	Remedy the \$19,162 of unsupported WI DOJ subrecipient expenditures.
315	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin	OJP	07/31/2019	7	Resolved	Remedy the \$1,256 of unallowable WI DOJ subrecipient lease costs that are in excess of a related party's cost of ownership.
316	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin	OJP	07/31/2019	9	Resolved	Remedy the \$95,599 of unsupported WI DOJ administrative expenditures.
317	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin	OJP	07/31/2019	11	Resolved	Determine the required match amounts for the WI DOJ subrecipients with both reduced award amounts and partial match waivers.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
318	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin	OJP	07/31/2019	12	Resolved	Ensure that the WI DOJ remedies any unmet subrecipient match amounts.
319	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin	OJP	07/31/2019	14	Resolved	Remedy the \$80,482 of unsupported WI DOJ subrecipient matching costs.
320	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin	OJP	07/31/2019	15	Resolved	Ensure the WI DOJ properly tracks and reports all program income generated with VOCA funds from any source.
321	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin	OJP	07/31/2019	16	Resolved	Ensure that the WI DOJ updates its monitoring policies for consistency and clarity with regard to the frequency of monitoring activities.
322	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin	OJP	07/31/2019	17	Resolved	Ensure that the WI DOJ conducts financial monitoring in a timely manner, with an emphasis on completing desk monitoring for those subrecipients that did not receive a desk review in the last subaward cycle.
323	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin	OJP	07/31/2019	18	Resolved	Ensure that the WI DOJ revises its approach to reviewing financial reports to provide reasonable assurance that subrecipient expenditures charged to the VOCA grant are supported and in compliance with grant requirements, including approved budgets.
324	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin	OJP	07/31/2019	19	Resolved	Ensure that the WI DOJ completes all performance monitoring activities for the subaward cycle ending in 2019.
325	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Wisconsin Department of Justice, Madison, Wisconsin	OJP	07/31/2019	20	Resolved	Ensure that the WI DOJ has adequate monitoring policies and procedures to provide assurance that subrecipients are reporting accurate performance information.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
326	Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs	OJP	07/29/2019	1	Resolved	Examine States' spending data, comparatively analyze States' spending plans and program execution, assess the causes for any State implementation delays, and apply the results of this review to assist States in developing and executing future spending plans.
327	Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs	OJP	07/29/2019	3	Resolved	Better define and provide clarification on the allowability of expenses that have generated questions, to include building modifications, subrecipient non-service or indirect costs, and contracting costs.
328	Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs	OJP	07/29/2019	4	Resolved	Clarify to States the supporting requirements for CVF formula grant administrative costs, to include personnel costs.
329	Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs	OJP	07/29/2019	5	Resolved	Review the causes of the discrepancies in the certification form amounts and provide additional clarification to the States on the proper basis for completing this form.
330	Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs	OJP	07/29/2019	6	Resolved	Provide additional guidance on the charging and certification of forensic exam payments with respect to the CVF compensation program, particularly for States that have additional sources of funding available for this purpose.
331	Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs	OJP	07/29/2019	7	Resolved	Highlight the characteristics of well-supported payment amounts for the benefit of States in designing auditable compensation programs that adhere to federal and DOJ grant record-keeping requirements, and assist States in strengthening their policies and procedures where needed.
332	Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs	OJP	07/29/2019	8	Resolved	Clarify the financial reporting expectations for the CVF compensation grants in particular, especially noting any areas in which these grants may be unique from other federal or DOJ awards.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
333	Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs	OJP	07/29/2019	10	Resolved	Further clarify the expected reporting methodology for counting victims and tracking of compliance with priority victim areas, and resolve the discrepancies in the reporting instructions identified in this review.
334	Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs	OJP	07/29/2019	11	Resolved	Consider providing further guidance to the States about effective monitoring of subrecipients, to include suggestions on the strategies for risk assessment as well as the characteristics of effective monitoring techniques and meaningful site visits.
335	Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs	OJP	07/29/2019	13	Resolved	Evaluate supporting grant management training and assistance for a wider audience of grantees and seek to more closely align the services with demand, particularly in the area of financial management.
336	Review of the Office of Justice Programs' Efforts to Address Challenges in Administering the Crime Victims Fund Programs	OJP	07/29/2019	14	Resolved	Review its internal process for addressing CVF grant management questions, particularly with regard to financial management and the allowability of expenditures.
337	Audit of the United States Marshals Service's Justice Prisoner and Alien Transportation System	USMS	07/24/2019	3	Resolved	Continue to work with the Federal Bureau of Prisons to test its electronic movement packet system capabilities to communicate with JPATS on prisoner movement information.
338	Procedural Reform Recommendation for the Department of Justice	ODAG	07/02/2019	1	Response Not Yet Due	Issue guidance regarding the circumstances under which it is permissible for an employee to accept an offer to purchase tickets from a third party at face value, when the offer is made to the employee solely because of the employee's official position, and is not also available to the general public.
339	Audit of the Office on Violence Against Women and Office of Justice Programs Grants Awarded to Catholic Charities, Diocese of Trenton, New Jersey	OVW	06/24/2019	4	Resolved	Ensure CCT requires and maintains justifications for consultant rates for all consultants charged to the grants.
340	Audit of the Office on Violence Against Women and Office of Justice Programs Grants Awarded to Catholic Charities, Diocese of Trenton, New Jersey	OVW	06/24/2019	5	Resolved	Remedy the \$17,440 in unsupported consultant cost.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
341	Audit of the Office on Violence Against Women and Office of Justice Programs Grants Awarded to Catholic Charities, Diocese of Trenton, New Jersey	OVW	06/24/2019	7	Resolved	Remedy \$44,753 in unsupported allocated costs.
342	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Louisiana Commission on Law Enforcement, Baton Rouge, Louisiana	OJP	06/18/2019	1	Resolved	Ensure that the LCLE establishes and implements detailed procedures for establishing the basis for the allocation of payroll costs.
343	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Louisiana Commission on Law Enforcement, Baton Rouge, Louisiana	OJP	06/18/2019	2	Resolved	Ensure that the LCLE develops and implements the employee handbook to provide detailed financial management policies and procedures to improve its practices for new hires.
344	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Louisiana Commission on Law Enforcement, Baton Rouge, Louisiana	OJP	06/18/2019	3	Resolved	Remedy the \$28,811 in unsupported subaward expenditures for Grant Numbers 2015-VA-GX-0003 (\$28,595) and 2016-VA-GX-0074 (\$261).
345	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Louisiana Commission on Law Enforcement, Baton Rouge, Louisiana	OJP	06/18/2019	4	Resolved	Remedy the \$81,982 in unsupported administrative costs for Grant Numbers 2014-VA-GX-0045 (\$11,889), 2015-VA-GX-0003 (\$26,226), and 2016-VA-GX-0074 (\$43,867).
346	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Louisiana Commission on Law Enforcement, Baton Rouge, Louisiana	OJP	06/18/2019	5	Resolved	Remedy the \$2,305 in unsupported district office administrative expenditures for Grant Number 2015-VA-GX-0003.
347	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Louisiana Commission on Law Enforcement, Baton Rouge, Louisiana	OJP	06/18/2019	6	Resolved	Remedy the \$1,221 in unallowable district office administrative expenditures for Grant Number 2015-VA-GX-0003.
348	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Louisiana Commission on Law Enforcement, Baton Rouge, Louisiana	OJP	06/18/2019	7	Resolved	Ensure that the LCLE increases its oversight of district office administrative expenses.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
349	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Louisiana Commission on Law Enforcement, Baton Rouge, Louisiana	OJP	06/18/2019	8	Resolved	Ensure that the LCLE performs routine assessments of the monitoring schedules provided to the district offices to ensure subrecipients are monitored in accordance with its policy.
350	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Louisiana Commission on Law Enforcement, Baton Rouge, Louisiana	OJP	06/18/2019	10	Resolved	Ensure that the LCLE establishes and implements a plan for training and updating district office staff on new VOCA requirements.
351	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Louisiana Commission on Law Enforcement, Baton Rouge, Louisiana	OJP	06/18/2019	11	Resolved	Ensure that the LCLE strengthens its monitoring policies to help ensure that financial data submitted by subrecipients is accurate.
352	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Louisiana Commission on Law Enforcement, Baton Rouge, Louisiana	OJP	06/18/2019	12	Resolved	Ensure that the LCLE implements the new program report to help ensure that all subrecipients report consistently.
353	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the Louisiana Commission on Law Enforcement, Baton Rouge, Louisiana	OJP	06/18/2019	13	Resolved	Ensure that the LCLE modifies district offices' monitoring procedures to verify the accuracy of performance data.
354	Audit of the Office of Justice Programs Cooperative Agreement Awarded to the Vera Institute of Justice, New York, New York	OJP	06/12/2019	4	Resolved	Remedy \$3,233 in unsupported payroll and fringe benefit costs.
355	Audit of the Office of Justice Programs Cooperative Agreement Awarded to the Vera Institute of Justice, New York, New York	OJP	06/12/2019	5	Resolved	Remedy \$23,377 in unsupported and unallowable travel costs.
356	Audit of the Office of Justice Programs Cooperative Agreement Awarded to the Vera Institute of Justice, New York, New York	OJP	06/12/2019	7	Resolved	Require Vera establish and implement policies and procedures documenting its cost allocation methodology and cost allocation plan, including its general approach to costs, how costs are allocated, methodologies used and under what circumstances, and selection of allocation base.
357	Audit of the Office of Justice Programs Cooperative Agreement Awarded to the Vera Institute of Justice, New York, New York	OJP	06/12/2019	12	Resolved	Remedy \$43,764 in unsupported consultant and contract fees billed to the award.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
358	Audit of the Office of Justice Programs Cooperative Agreement Awarded to the Vera Institute of Justice, New York, New York	OJP	06/12/2019	13	Resolved	Ensure Vera obtain, review, and maintain supporting documentation for actual contract expenses when advance payment is made to ensure compliance with regulations and award terms and conditions.
359	Audit of the Office of Justice Programs Cooperative Agreement Awarded to the Vera Institute of Justice, New York, New York	OJP	06/12/2019	14	Resolved	Remedy \$149,858 in unsupported contract costs.
360	Audit of the Office of Justice Programs Cooperative Agreement Awarded to the Vera Institute of Justice, New York, New York	OJP	06/12/2019	15	Resolved	Require Vera establish and implement policies and procedures for subrecipient management and monitoring as required by the DOJ Grants Financial Guide.
361	Audit of the Office of Justice Programs Cooperative Agreement Awarded to the Vera Institute of Justice, New York, New York	OJP	06/12/2019	17	Resolved	Remedy \$50,770 of unsupported subrecipient personnel and fringe benefit costs.
362	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	DEA	06/11/2019	2	Resolved	Ensure the implementation and communication of adequate and clear policies that require both timely coordination with DHS and built-in redundancies to hold sponsoring agents accountable for ensuring that DHS is notified in a timely manner of all absconsions.
363	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	EOUSA	06/11/2019	2	Resolved	Ensure the implementation and communication of adequate and clear policies that require both timely coordination with DHS and built-in redundancies to hold sponsoring agents accountable for ensuring that DHS is notified in a timely manner of all absconsions.
364	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	USMS	06/11/2019	2	Resolved	Ensure the implementation and communication of adequate and clear policies that require both timely coordination with DHS and built-in redundancies to hold sponsoring agents accountable for ensuring that DHS is notified in a timely manner of all absconsions.
365	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	DEA	06/11/2019	3	Resolved	Develop processes to improve compliance with DHS's reporting requirements by providing information to DHS at the time sponsorship-related events occur.
366	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	EOUSA	06/11/2019	3	Resolved	Develop processes to improve compliance with DHS's reporting requirements by providing information to DHS at the time sponsorship-related events occur.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
367	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	USMS	06/11/2019	3	Resolved	Develop processes to improve compliance with DHS's reporting requirements by providing information to DHS at the time sponsorship-related events occur.
368	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	DEA	06/11/2019	4	Resolved	Institute a more efficient process to resolve DHS's existing unresolved sponsorship matters and ensure that any future sponsorship matters needing resolution are addressed expeditiously.
369	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	EOUSA	06/11/2019	4	Resolved	Institute a more efficient process to resolve DHS's existing unresolved sponsorship matters and ensure that any future sponsorship matters needing resolution are addressed expeditiously.
370	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	USMS	06/11/2019	4	Resolved	Institute a more efficient process to resolve DHS's existing unresolved sponsorship matters and ensure that any future sponsorship matters needing resolution are addressed expeditiously.
371	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	DEA	06/11/2019	5	Resolved	Develop a reliable process for managing sponsorship expirations and renewals to mitigate the risk of lapses in sponsorship.
372	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	EOUSA	06/11/2019	5	Resolved	Develop a reliable process for managing sponsorship expirations and renewals to mitigate the risk of lapses in sponsorship.
373	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	FBI	06/11/2019	5	Resolved	Develop a reliable process for managing sponsorship expirations and renewals to mitigate the risk of lapses in sponsorship.
374	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	USMS	06/11/2019	5	Resolved	Develop a reliable process for managing sponsorship expirations and renewals to mitigate the risk of lapses in sponsorship.
375	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	DEA	06/11/2019	6	Resolved	Ensure that policies and practices fully satisfy the monitoring and supervision certifications made to DHS for all individuals sponsored for temporary residence in the United States.
376	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	EOUSA	06/11/2019	6	Resolved	Ensure that policies and practices fully satisfy the monitoring and supervision certifications made to DHS for all individuals sponsored for temporary residence in the United States.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
377	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	USMS	06/11/2019	6	Resolved	Ensure that policies and practices fully satisfy the monitoring and supervision certifications made to DHS for all individuals sponsored for temporary residence in the United States.
378	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	DEA	06/11/2019	8	Resolved	Implement methods to accurately and completely track all foreign national sponsorship information for individual foreign nationals, including expiration dates.
379	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	EOUSA	06/11/2019	8	Resolved	Implement methods to accurately and completely track all foreign national sponsorship information for individual foreign nationals, including expiration dates.
380	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	FBI	06/11/2019	8	Resolved	Implement methods to accurately and completely track all foreign national sponsorship information for individual foreign nationals, including expiration dates.
381	Audit of the Department of Justice's Use of Immigration Sponsorship Programs	USMS	06/11/2019	8	Resolved	Implement methods to accurately and completely track all foreign national sponsorship information for individual foreign nationals, including expiration dates.
382	Audit of the Criminal Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	CRM	05/22/2019	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
383	Audit of the Criminal Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	CRM	05/22/2019	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
384	Audit of the Criminal Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	CRM	05/22/2019	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
385	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Controls over Agent Cashier Funds	ATF	05/21/2019	5	Resolved	Finalize the policy requiring annual reviews by the Inspection Division of trends and common findings among field divisions and communication of those issues to the responsible parties.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
386	Audit of the Executive Office for Immigration Review's Justice Consolidated Office Network/eWorld System Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	EOIR	05/20/2019	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
387	Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York	OJP	05/08/2019	1	Resolved	Ensure IIB adheres to policies and procedures that results in progress reports that can be efficiently verified and performance data being entered accurately into TIMS Online.
388	Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York	OJP	05/08/2019	2	Resolved	Ensure that IIB establishes and adheres to policies and procedures that will result in background checks being conducted on all award-related employees (including subgrantees, volunteers, and contractors) working directly with minors.
389	Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York	OJP	05/08/2019	3	Resolved	Remedy the \$753,766 in unsupported questioned costs, including claimed award-funded expenditures (\$426,272) and matching expenditures (\$327,494).
390	Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York	OJP	05/08/2019	5	Resolved	Remedy the \$37,564 in unsupported allocation of office occupancy costs.
391	Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York	OJP	05/08/2019	6	Resolved	Remedy the \$7,200 in unsupported allocation of supplies costs.
392	Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York	OJP	05/08/2019	7	Resolved	Remedy the \$5,207 in unsupported allocated costs of in-person independent interpreter services.
393	Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York	OJP	05/08/2019	9	Resolved	Ensure IIB develops and implements written policies and adequately documents its subrecipient monitoring procedures to include reviewing subrecipient invoices.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
394	Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York	OJP	05/08/2019	10	Resolved	Remedy \$21,667 of unsupported subrecipient expenditures, through IIB demonstrating the application of its subrecipient monitoring procedures.
395	Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York	OJP	05/08/2019	12	Resolved	Ensure IIB adhere to internal travel policies and procedures, which include preparing vouchers, for award-related travel expenditures.
396	Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York	OJP	05/08/2019	13	Resolved	Remedy the reported amount of match expenditures, totaling \$416,667, and ensure that IIB establishes and implements policies and procedures that will adequately document the source, amount and timing of match expenditures.
397	Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York	OJP	05/08/2019	15	Resolved	Ensure that IIB develop procedures for drawing down funds based on actual expenditure data in its financial management system. Any future process for drawing down funds should demonstrate IIB's compliance with cash management criteria.
398	Audit of the Office of Justice Programs Cooperative Agreements Awarded to International Institute of Buffalo, Buffalo, New York	OJP	05/08/2019	16	Resolved	Review the accuracy and revise as necessary the current or most recent FFR for each award in conjunction with resolving the financial management and expenditure findings. Additionally, OJP should ensure that IIB establish policies and procedures that ensure FFRs are prepared timely and accurately to report award-funded expenditures and match expenditures. Furthermore, based on the issues identified with the financial management system, any future process should include reconciling the expenditure (both award funded and in-kind) amounts reported to the revised financial management system.
399	Audit of the Office on Violence Against Women Grant Awarded to the Omaha Nation Community Response Team, Walthill, Nebraska	OVW	04/29/2019	1	Resolved	Ensure ONCRT fully demonstrates all activities, projects, and milestones completed, and to be completed in order to meet the goals and objectives of the award.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
400	Audit of the Office on Violence Against Women Grant Awarded to the Omaha Nation Community Response Team, Walthill, Nebraska	OVW	04/29/2019	2	Resolved	Ensure that ONCRT maintains auditable source documentation for information reported in progress reports.
401	Audit of the Office on Violence Against Women Grant Awarded to the Omaha Nation Community Response Team, Walthill, Nebraska	OVW	04/29/2019	3	Resolved	Ensure that ONCRT submits all MOU partner changes for review.
402	Audit of the Office on Violence Against Women Grant Awarded to the Omaha Nation Community Response Team, Walthill, Nebraska	OVW	04/29/2019	4	Resolved	Ensure that ONCRT demonstrate that it has compiled with four of the programmatic-based special conditions by providing auditable source documentation.
403	Audit of the Office on Violence Against Women Grant Awarded to the Omaha Nation Community Response Team, Walthill, Nebraska	OVW	04/29/2019	5	Resolved	Ensure that ONCRT update its business and financial policies and procedures manual to accurately reflect ONCRT's current staffing levels.
404	Audit of the Office on Violence Against Women Grant Awarded to the Omaha Nation Community Response Team, Walthill, Nebraska	OVW	04/29/2019	6	Resolved	Remedy the \$26,896 in unsupported transactions charged to the grant.
405	Audit of the Office on Violence Against Women Grant Awarded to the Omaha Nation Community Response Team, Walthill, Nebraska	OVW	04/29/2019	7	Resolved	Remedy the \$5,786 in unallowable transactions charged to the grant.
406	Audit of the Office on Violence Against Women Grant Awarded to the Omaha Nation Community Response Team, Walthill, Nebraska	OVW	04/29/2019	8	Resolved	Coordinate with ONCRT to ensure all future workers compensation expenditures are uniformly charged to the grant, in a way that is consistent with the approved budget.
407	Audit of the Office on Violence Against Women Grant Awarded to the Omaha Nation Community Response Team, Walthill, Nebraska	OVW	04/29/2019	9	Resolved	Coordinate with ONCRT to ensure all future utilities expenses are uniformly, and accurately charged to the grant.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
408	Audit of the Office on Violence Against Women Grant Awarded to the Omaha Nation Community Response Team, Walthill, Nebraska	OVW	04/29/2019	10	Resolved	Remedy the \$2,583 in unsupported payroll costs charged to the grant.
409	Audit of the Office on Violence Against Women Grant Awarded to the Omaha Nation Community Response Team, Walthill, Nebraska	OVW	04/29/2019	11	Resolved	Remedy the \$6,014 in unallowable payroll and fringe benefit costs charged to the grant.
410	Audit of Office of Justice Programs Victim Compensation Grants Awarded to the West Virginia Legislative Claims Commission, Charleston, West Virginia	OJP	04/22/2019	1	Resolved	Require that the Commission develops formal written policies and procedures to guide its compensation claims process.
411	Audit of Office of Justice Programs Victim Compensation Grants Awarded to the West Virginia Legislative Claims Commission, Charleston, West Virginia	OJP	04/22/2019	4	Resolved	Work with the Commission to ensure it understands the expectation for the data fields in the certification form, and ensure the Commission develops and implements formal procedures to promote accurate reporting in its certification forms.
412	Audit of Office of Justice Programs Victim Compensation Grants Awarded to the West Virginia Legislative Claims Commission, Charleston, West Virginia	OJP	04/22/2019	5	Resolved	Require that the Commission enhances its procedures to collect accurate performance data, to include (1) tracking victim demographics as required, and (2) maintaining records to support the data reported to the OVC.
413	Audit of Office of Justice Programs Victim Compensation Grants Awarded to the West Virginia Legislative Claims Commission, Charleston, West Virginia	OJP	04/22/2019	7	Resolved	Work with the Commission to implement claims tracking system controls that: (1) regularly assess the universe of individuals with access to the claims tracking system, (2) restrict access to this information to only necessary personnel, and (3) improve the ability to track and differentiate claims processing activity.
414	Audit of Office of Justice Programs Victim Compensation Grants Awarded to the West Virginia Legislative Claims Commission, Charleston, West Virginia	OJP	04/22/2019	8	Resolved	Require that the Commission develops policies and procedures to guide relevant staff on preparing and submitting federal financial reports.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
415	Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process	FBI	03/29/2019	1	Resolved	Ensure there are appropriate logic controls for data that is manually input into Cyber Guardian and CyNERGY, and that CyNERGY's data input is as automated as appropriate.
416	Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process	FBI	03/29/2019	2	Resolved	Strengthen controls for ensuring victim notifications are tracked in Cyber Guardian, to include agents using "Victim Notification" leads in Sentinel as required by Cyber Division Policy Guide 0853PG.
417	Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process	FBI	03/29/2019	3	Resolved	Ensure that agents index "Victims" in Sentinel as required by the Indexing User Manual for Sentinel to support FBI investigative and administrative matters.
418	Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process	FBI	03/29/2019	4	Resolved	Ensure that all cyber victim notifications conducted in the course of restricted investigations are appropriately tracked in Cyber Guardian.
419	Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process	FBI	03/29/2019	5	Resolved	Clearly define what constitutes a victim of cybercrime for the purposes of indexing victims in Sentinel and notifying victims of their rights under the Attorney General Guidelines for Victim and Witness Assistance, as appropriate.
420	Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process	FBI	03/29/2019	6	Resolved	Ensure that all victims of cybercrime are informed of their rights under the Attorney General Guidelines for Victim and Witness Assistance, Crime Victims' Rights Act, and Victims' Rights and Restitution Act, as appropriate.
421	Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process	FBI	03/29/2019	7	Resolved	Establish timeliness standards in the Cyber Division Policy Guide 0853PG for cyber victim notifications, as appropriate.
422	Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process	FBI	03/29/2019	8	Resolved	Update Cyber Division Policy Guide 0853PG to include a minimum requirement for information that should be included in a victim notification and in victim notification leads, to ensure the consistency and effectiveness of victim notifications.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
423	Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process	FBI	03/29/2019	9	Resolved	Ensure Victim Contact Planning Calls are conducted for all cyber incidents that are labeled "Medium and above" on the National Security Council's Cyber Incidents Severity Schema.
424	Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process	FBI	03/29/2019	10	Resolved	Pursue a mutually agreeable solution with OHS for ensuring all victim notification data is entered into Cyber Guardian.
425	Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process	FBI	03/29/2019	11	Resolved	Coordinate with NSA to identify and implement an automated solution to streamline the post-publication requests for unclassified information in order to conduct timely and useful victim notifications.
426	Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process	FBI	03/29/2019	12	Resolved	Implement controls to ensure that all users of Cyber Guardian, and subsequently CyNERGY, are certified to handle Protected Critical Infrastructure Information.
427	Audit of the Federal Bureau of Investigation's Cyber Victim Notification Process	ODAG	03/29/2019	13	Resolved	Coordinate with the FBI's Cyber Division and update, as necessary, the Attorney General Guidelines for Victim and Witness Assistance to incorporate the nuances of cyber victims.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
428	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	1	On Hold/Pending with OIG	Establish a policy or directive sufficient to ensure that, if the DEA or the Department considers reinstating a version of [REDACTED] or [REDACTED], or initiating another "bulk collection" program by use of administrative subpoenas, the DEA, in consultation with relevant DOJ components (e.g., the Criminal Division and the OLC), conducts a rigorous, objective legal analysis, memorialized in writing, in advance of reinstating or initiating such "bulk collection" program by use of administrative subpoenas. The policy or directive should ensure that any such legal analysis specifically addresses whether 21 U.S.C. § 876(a) authorizes the issuance of subpoenas of the type contemplated (i.e., non-targeted, for exploratory or target-development purposes), as well as the permissible conditions under which such bulk data collected by non-targeted administrative subpoenas may be shared with other federal agencies for non-drug purposes.
429	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
430	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	3	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
431	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
432	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
433	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
434	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	7	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
435	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	8	On Hold/Pending with OIG	Develop legally supportable criteria for retention of all [REDACTED] bulk data collected by use of administrative subpoenas, and policies for the disposition of such [REDACTED] bulk data.
436	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	9	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
437	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	10	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
438	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	11	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
439	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	12	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
440	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	ODAG	03/28/2019	13	On Hold/Pending with OIG	Ensure that a comprehensive review is conducted of the DEA's "parallel construction" policies and practices with respect to [REDACTED] investigative products to ensure that these policies and practices do not conflict with the government's discovery and disclosure obligations in criminal cases, or Department policy on this subject.

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441	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	14	On Hold/Pending with OIG	In the interim, and subject to the results of Recommendation #13, the Department's and the DEA's guidance and training materials regarding "parallel construction," including SOD/ [REDACTED] investigative products and [REDACTED] investigative products, should be clarified to clearly state that "parallel construction" does not negate adherence to discovery and disclosure obligations in criminal cases, if applicable. These guidance and training materials should further make explicit that, if discovery requirements threaten disclosure of the program, prosecutors may seek to protect the program through appropriate process, such as protective orders or ex parte proceedings, and that, depending on the circumstances, the government may eventually be required to choose between disclosure or dismissal, but that "parallel construction" cannot be utilized as a substantive substitute for otherwise applicable discovery and disclosure requirements.
442	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	15	On Hold/Pending with OIG	Review and update its delegations to ensure that Section 876(a) authority has been properly delegated to the officials who are reviewing and signing [REDACTED] subpoenas.
443	A Review of the Drug Enforcement Administration's Use of Administrative Subpoenas to Collect or Exploit Bulk Data	DEA	03/28/2019	16	On Hold/Pending with OIG	Take steps to ensure that all changes to DEA policies, guidance, or procedures adopted as a result of implementing the foregoing recommendations are disseminated widely and readily available to DEA employees and other users of the programs, as appropriate [REDACTED]. All such changes should be incorporated into the DEA Agents Manual and periodic training provided to users of the relevant programs and to SOD and NS personnel, as appropriate.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
444	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	FBI	03/26/2019	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
445	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	FBI	03/26/2019	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
446	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	FBI	03/26/2019	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
447	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	FBI	03/26/2019	11	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
448	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	FBI	03/26/2019	14	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
449	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	FBI	03/26/2019	19	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
450	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	FBI	03/26/2019	20	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
451	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Southwest Center for Law and Policy, Tucson, Arizona	OVW	03/25/2019	4	Resolved	Remedy the \$398 in unallowable costs related to noncompliance with award special conditions.

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452	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Southwest Center for Law and Policy, Tucson, Arizona	OVW	03/25/2019	5	Resolved	Remedy the \$131,532 in unallowable questioned costs related to the \$62,089 in unallowable personnel costs, \$47,436 in unallowable contractor and consultant costs, and \$22,007 in unallowable other direct costs.
453	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Southwest Center for Law and Policy, Tucson, Arizona	OVW	03/25/2019	6	Resolved	Remedy the \$296,379 in unsupported questioned costs related to the \$275,989 in unsupported contractor and consultant costs, \$6,010 in unsupported other direct costs, and \$14,380 in unsupported excess drawdowns.
454	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Southwest Center for Law and Policy, Tucson, Arizona	OVW	03/25/2019	7	Resolved	Coordinate with SWCLAP to develop policies and procedures requiring a contract or agreement for all contractors and consultants, as well as detailed invoices submitted by the contractors and consultants prior to payment for services.
455	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the Southwest Center for Law and Policy, Tucson, Arizona	OVW	03/25/2019	8	Resolved	Coordinate with SWCLAP to develop written policies and procedures to ensure that drawdowns are fully supported, funds are drawn down from the correct award, and drawdowns do not exceed expenditures.
456	Audit of the Office of Justice Programs' Victim Assistance Grants Subgranted by the Nevada Department of Health and Human Services to Washoe Legal Services, Reno, Nevada	OJP	03/20/2019	1	Resolved	Ensure that Washoe accurately reports its programmatic data, and that it maintains documentation supporting the performance data it reported.
457	Audit of the Office of Justice Programs' Victim Assistance Grants Subgranted by the Nevada Department of Health and Human Services to Washoe Legal Services, Reno, Nevada	OJP	03/20/2019	2	Resolved	Ensure that Washoe establishes and maintains an adequate accounting process that separately and accurately tracks all grant-related activities, including all expenses and required matching contributions, and documents its processes and procedures to ensure compliance with federal guidelines, accurate calculation of subgrant expenditures, and continuity of operations in the event of staff turnover or absences.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
458	Audit of the Office of Justice Programs' Victim Assistance Grants Subgranted by the Nevada Department of Health and Human Services to Washoe Legal Services, Reno, Nevada	OJP	03/20/2019	4	Resolved	Remedy \$8,449 in unsupported questioned salary and fringe benefits costs.
459	Audit of the Office of Justice Programs' Victim Assistance Grants Subgranted by the Nevada Department of Health and Human Services to Washoe Legal Services, Reno, Nevada	OJP	03/20/2019	5	Resolved	Ensure that Washoe seek reimbursement for actual expenses based on invoices rather than estimated expenses, and implement controls to ensure that the VOCA portion of all expenses are accurately calculated and are in accordance with approved budgets.
460	Audit of the Office of Justice Programs' Victim Assistance Grants Subgranted by the Nevada Department of Health and Human Services to Washoe Legal Services, Reno, Nevada	OJP	03/20/2019	6	Resolved	Remedy \$128,988 in unsupported questioned costs reported as matching contributions.
461	Audit of the Office of Justice Programs' Victim Assistance Grants Subgranted by the Nevada Department of Health and Human Services to Washoe Legal Services, Reno, Nevada	OJP	03/20/2019	7	Resolved	Remedy \$4,890 in program income that Washoe received and inaccurately applied as a matching contribution.
462	Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	JMD	03/18/2019	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
463	Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	JMD	03/18/2019	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
464	Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	JMD	03/18/2019	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
465	Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	JMD	03/18/2019	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
466	Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	JMD	03/18/2019	7	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
467	Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	JMD	03/18/2019	8	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
468	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Hawaii Department of the Attorney General, Honolulu, Hawaii	OJP	03/18/2019	3	Resolved	Remedy \$112,309 in Victims of Crime Act (VOCA)-related subrecipient expenditures that was inadequately supported or unsupported.
469	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Hawaii Department of the Attorney General, Honolulu, Hawaii	OJP	03/18/2019	5	Resolved	Remedy \$2,380 in inadequately supported audit fees allocated to the grants based on an unsupported methodology.
470	Audit of the Office of Justice Programs Victim Assistance Grants Awarded to the State of Hawaii Department of the Attorney General, Honolulu, Hawaii	OJP	03/18/2019	6	Resolved	Work with the HDAG to provide additional guidance on appropriate and responsible spending of the remaining Crime Victims Fund (CVF) funds, and also provide guidance to the HDAG that returning funds to the CVF is an acceptable outcome versus awarding funds at a faster rate than the state of Hawaii's subrecipients can responsibly handle.
471	Audit of Efforts to Safeguard Minors in Department of Justice Youth-Centered Programs	ODAG	03/13/2019	2	Resolved	Leverage and coordinate existing law enforcement tools, to the extent permissible by law, to facilitate screening that effectively mitigates the risk of improper individuals interacting with youth through DOJ programs.

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472	Audit of Efforts to Safeguard Minors in Department of Justice Youth-Centered Programs	ODAG	03/13/2019	6	Resolved	Implement policies and procedures to enhance its monitoring of grantee background screening processes for all DOJ awards that may involve direct contact with minors in order to ensure that grantees and subgrantees conduct a minimum level of due diligence for individuals in direct contact with minors under funded programs.
473	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Sole-Source Small Business Contracting	ATF	03/13/2019	1	Resolved	Implement controls to enforce FAR, JAR, and ATF acquisition planning requirements by conducting and documenting adequate market research that demonstrates that proposed sole-source awards are the most suitable approach to acquire, distribute, and support the required supplies and services.
474	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Sole-Source Small Business Contracting	ATF	03/13/2019	2	Resolved	Implement internal controls to prohibit using the 8(a) Program to award improper sole-source follow-on contracts to 8(a) Program businesses owned by the same company or individual that were awarded the previous 8(a) Program contract.
475	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Sole-Source Small Business Contracting	ATF	03/13/2019	3	Resolved	Implement policies that require ATF COs to prepare complete and accurate 8(a) Program offer letters in accordance with FAR 19.8042(a).
476	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Sole-Source Small Business Contracting	ATF	03/13/2019	4	Resolved	Implement a procedure to verify that COs provide and maintain in the contract file written COR Appointment Memorandum to memorialize delegations of procurement authority and COR appointments.
477	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Sole-Source Small Business Contracting	ATF	03/13/2019	5	Resolved	Evaluate and update its oversight policies and procedures to facilitate performance-based monitoring by: (1) requiring that future Statements of Work include specific and appropriate measures to assist CORs in evaluating performance, and (2) identifying when CORs should perform and document contractor site visits.
478	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Sole-Source Small Business Contracting	ATF	03/13/2019	6	Resolved	Develop and provide training for all COs and CORs on its required COR Monthly Progress Reports and provide refresher training as necessary.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
479	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Sole-Source Small Business Contracting	ATF	03/13/2019	8	Resolved	Update its policies and procedures to include guidelines for succession planning to demonstrate that the historical knowledge of contract actions are preserved in a manner that facilitates effective, continuous administration and oversight of the contract in the event of responsible contracting officials' separation from the agency.
480	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Sole-Source Small Business Contracting	ATF	03/13/2019	10	Resolved	Implement policies to confirm that contract files for procurements undertaken for unusual and urgent circumstances comply with relevant acquisition planning requirements.
481	Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio	OJP	03/13/2019	2	Resolved	Ensure that CCSD notify families who participated in the WSB-GBG Program that their child or children's information was potentially compromised.
482	Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio	OJP	03/13/2019	4	Resolved	Remedy the \$168,679 in unsupported reimbursed expenditures related to conductor payments.
483	Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio	OJP	03/13/2019	1d	Resolved	Ensure that CCSD updates its Federal Funds Manual to incorporate appropriate internal controls and procedures to safeguard DOJ grant funds and to comply with DOJ requirements with regard to compliance with procurement and competitive bidding guidelines for all contracts associated with federal grants.
484	Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio	OJP	03/13/2019	1e	Resolved	Ensure that CCSD updates its Federal Funds Manual to incorporate appropriate internal controls and procedures to safeguard DOJ grant funds and to comply with DOJ requirements with regard to the preparation and submission of FFRs by appropriate CCSD personnel.

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485	Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio	OJP	03/13/2019	3a	Resolved	Remedy the \$760,199 in unallowable reimbursed grant expenditures due to CCSD's lack of adherence to OJP requirements, implementation of a program that severely deviated from the grant's approved scope and intent, and inadequate progress in achieving grant goals and objectives.
486	Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio	OJP	03/13/2019	3b	Resolved	Remedy the \$33,743 in unallowable reimbursed expenditures associated with the Data Management Coordinator salary because CCSD did not achieve the required level of data collection to accomplish the grant objectives.
487	Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio	OJP	03/13/2019	3c	Resolved	Remedy the \$35,642 in unallowable reimbursed expenses associated with expenditures that were not in the NIJ-approved budget, including an unapproved administrative support position, website development from an unapproved vendor, conductor trainings by unapproved vendors, background checks, consultant travel, and data plans for the tablet computers.
488	Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio	OJP	03/13/2019	3d	Resolved	Remedy the \$112,697 in unallowable expenditures incurred prior to the allowable period of performance.
489	Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio	OJP	03/13/2019	5a	Resolved	Put to better use the \$598,663 in unreimbursed CCSD expenditures that are unallowable due to lack of adherence to OJP requirements, implementation of a program that severely deviated from the grant's approved scope and intent, and inadequate progress in achieving grant goals and objectives.
490	Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio	OJP	03/13/2019	5b	Resolved	Put to better use the \$9,863 in unreimbursed expenditures associated with the Data Management Coordinator salary because CCSD did not achieve the required level of data collection to accomplish grant objectives.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
491	Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio	OJP	03/13/2019	5c	Resolved	Put to better use the \$16,291 in unreimbursed expenses associated with expenditures that were not in the NIJ-approved budget, including conductor trainings by unapproved vendors, background checks, and data plans for the tablet computers.
492	Audit of the Office of Justice Programs Research Grant Awarded to the Cincinnati City School District for a Walking School Bus, Cincinnati, Ohio	OJP	03/13/2019	5d	Resolved	Put to better use the \$110,154 in not yet reimbursed expenditures related to conductor payments that were unsupported.
493	Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	DEA	03/12/2019	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
494	Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	DEA	03/12/2019	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
495	Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	DEA	03/12/2019	6	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
496	Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	DEA	03/12/2019	9	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
497	Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	DEA	03/12/2019	10	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
498	Audit of the Drug Enforcement Administration's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2018	DEA	03/12/2019	11	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
499	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	1	Resolved	Address the different needs of TFOs and determine appropriate vehicle options, considering each task force's operations, TFO safety, cost, and other relevant factors.
500	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	2	Resolved	Submit an update to its HTW Plan to the Department or issue clear policy if its intention is to have TFOs be subject to the same provisions as Special Agents as it related to the HTW Plan.
501	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	3	Resolved	Develop and implement a policy for assessing the capacity of TFOs needed to respond to emergency and other investigative demands during off-duty hours.
502	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	4	Resolved	Provide proper justification and approval for TFOs to use government vehicles for home-to-work transportation.
503	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	5	Resolved	Develop procedures to ensure all invoices are adequately reviewed, which includes a process to obtain supporting documentation and verify invoiced costs.
504	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	7	Resolved	Develop policies and procedures to review and appropriately pay or require TFO reimbursement for citations received by TFOs and to evaluate TFO eligibility in the NVLP program for repeat offenders of traffic laws.
505	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	8	Resolved	Implement policies and procedures to review tolls incurred by TFOs to determine the appropriateness of tolls for official business or commuting.

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506	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	9	Resolved	Implement policy regarding the use of express tolls and transponders.
507	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	10	Resolved	Remedy \$257,031 in unallowable damage costs and fees that EAN charged to the contract that did not comply with contract terms.
508	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	11	Resolved	Remedy \$9,013 in unsupported costs related to third party damages.
509	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	12	Resolved	Develop procedures for TFOs to log vehicle mileage on a routine basis to ensure proper billing for maintenance costs.
510	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	14	Resolved	Remedy the remaining \$4,895 in unsupported miscellaneous costs and other optional equipment that EAN charged to the contract.
511	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	15	Resolved	Remedy \$46,191 in unallowable overbilled costs that EAN charged to the contract.
512	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	16	Resolved	Remedy the \$21,132 in unallowable administration fees that EAN charged to the contract that did not comply with contract terms.
513	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	17	Resolved	Implement policies and procedures over fleet cards to ensure each fleet card is associated with an individual vehicle, the fleet cards are properly distributed to the correct personnel, and the purchases made on the cards are reviewed to identify under-utilized vehicles and unallowable purchases.

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514	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	18	Resolved	Develop policies or procedures to ensure proper contract oversight, such as requiring a review to verify compliance with contract terms prior to each option year being exercised.
515	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	19	Resolved	Develop policy or procedures to ensure a quality assurance surveillance plan is developed for each contract to regularly monitor whether contract requirements are being met by the contractor.
516	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	20	Resolved	Develop policy or procedures to ensure the Contracting Officer completes all required CPARS and submits them into the system in a timely manner.
517	Audit of the Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	FBI	03/11/2019	21	Resolved	Develop policies and procedures to ensure contractors establish a quality control program and complete quality assurance responsibilities.
518	Audit of the Office of Justice Programs Victim Compensation Formula Grants Awarded to the Washington Department of Labor and Industries, Olympia, Washington	OJP	03/11/2019	2	Resolved	Require WADLI to submit a corrected FY 2017 State Certification Form to ensure that the FY 2019 victim compensation award to WADLI is correct.
519	Audit of the Office of Justice Programs Victim Compensation Formula Grants Awarded to the Washington Department of Labor and Industries, Olympia, Washington	OJP	03/11/2019	6	Resolved	Remedy \$2,250 in unsupported expenditures charged to the VOCA victim compensation program grants.
520	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	1	Resolved	Ensure that Wiconi implements policies and procedures that require Wiconi employees to submit a certified voucher or reconciliation after travel is complete to attest to the accuracy and validity of expenses incurred during work-related travel.
521	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	1	Resolved	Ensure that Wiconi implements policies and procedures that require Wiconi employees to submit a certified voucher or reconciliation after travel is complete to attest to the accuracy and validity of expenses incurred during work-related travel.

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522	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	2	Resolved	Ensure that Wiconi implements policies and procedures to ensure that payment for expenses incurred by Wiconi are remitted in a reasonable timeframe in order to minimize the risk of incurring overdue penalties.
523	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	2	Resolved	Ensure that Wiconi implements policies and procedures to ensure that payment for expenses incurred by Wiconi are remitted in a reasonable timeframe in order to minimize the risk of incurring overdue penalties.
524	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	3	Resolved	Ensure that Wiconi enforce its existing policy related to the authorization of award expenditures, which includes: (1) ensuring that all disbursements are approved by a member of the Board of Director's who has reviewed the request; (2) ensuring all mileage and per diem reimbursements are completed by the employee requesting the reimbursement, and subsequently authorized by the Executive Director; and (3) ensuring that the proper official authorizes timesheets and requests for annual and sick leave.
525	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	3	Resolved	Ensure that Wiconi enforce its existing policy related to the authorization of award expenditures, which includes: (1) ensuring that all disbursements are approved by a member of the Board of Director's who has reviewed the request; (2) ensuring all mileage and per diem reimbursements are completed by the employee requesting the reimbursement, and subsequently authorized by the Executive Director; and (3) ensuring that the proper official authorizes timesheets and requests for annual and sick leave.

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526	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	4	Resolved	Ensure that Wiconi implements policies and procedures to ensure that all purchases are properly requested, authorized, and documented prior to when the purchase is initiated, including approving purchases involving credit cards, store accounts, and other credit accounts prior to purchase.
527	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	4	Resolved	Ensure that Wiconi implements policies and procedures to ensure that all purchases are properly requested, authorized, and documented prior to when the purchase is initiated, including approving purchases involving credit cards, store accounts, and other credit accounts prior to purchase.
528	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	5	Resolved	Ensure that Wiconi implements policies and procedures that require Wiconi to properly maintain property records, which should include an inventory log containing: (1) a description of the property; (2) a serial number or other identification number; (3) the source of the property; (4) the acquisition date; (5) the cost of the property; (6) the location of the property; and (7) the use and condition of the property. This policy should ensure that a physical inventory is completed once every two years, which further minimizes the risk of transposing equipment information when tracking items on an inventory log.

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529	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	5	Resolved	Ensure that Wiconi implements policies and procedures that require Wiconi to properly maintain property records, which should include an inventory log containing: (1) a description of the property; (2) a serial number or other identification number; (3) the source of the property; (4) the acquisition date; (5) the cost of the property; (6) the location of the property; and (7) the use and condition of the property. This policy should ensure that a physical inventory is completed once every two years, which further minimizes the risk of transposing equipment information when tracking items on an inventory log.
530	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	6	Resolved	Ensure that that Wiconi implements policies and procedures to ensure that procurement of accountable equipment or property is conducted in open, free, and fair competition, which includes ensuring that items purchased over \$5,000 are competitively bid with 3 quotations, as mandated by Wiconi's existing financial policies. This policy should ensure that the procurement process for equipment is adequately documented.
531	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	6	Resolved	Ensure that Wiconi implements policies and procedures to ensure that procurement of accountable equipment or property is conducted in open, free, and fair competition, which includes ensuring that items purchased over \$5,000 are competitively bid with 3 quotations, as mandated by Wiconi's existing financial policies. This policy should ensure that the procurement process for equipment is adequately documented.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
532	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	7	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately procures contract agreements in compliance with the procurement standards set forth in the Uniform Guidance, which should include a formal process for: (1) soliciting contracts that allows for open, free, and fair competition; (2) properly selecting and authorizing consultants and contractors; (3) verifying consultant and contractor performance; and (4) maintaining sufficient documentation to detail the history of the procurement.
533	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	7	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately procures contract agreements in compliance with the procurement standards set forth in the Uniform Guidance, which should include a formal process for: (1) soliciting contracts that allows for open, free, and fair competition; (2) properly selecting and authorizing consultants and contractors; (3) verifying consultant and contractor performance; and (4) maintaining sufficient documentation to detail the history of the procurement.
534	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	8	Resolved	Ensure that Wiconi implements policies and procedures that contain an adequate segregation of duties for expenses incurred, which ensures that the official that prepares a transaction request, including a time and effort report, is different than the official that authorizes the payment. Specific to soliciting contract agreements, these policies and procedures should ensure that no one person is responsible for executing the entire procurement transaction alone, which includes identifying a needed project, creating a project solicitation, receiving and reviewing bids, awarding the contract, reviewing the contractor's work, and paying the contractor.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
535	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	8	Resolved	Ensure that Wiconi implements policies and procedures that contain an adequate segregation of duties for expenses incurred, which ensures that the official that prepares a transaction request, including a time and effort report, is different than the official that authorizes the payment. Specific to soliciting contract agreements, these policies and procedures should ensure that no one person is responsible for executing the entire procurement transaction alone, which includes identifying a needed project, creating a project solicitation, receiving and reviewing bids, awarding the contract, reviewing the contractor's work, and paying the contractor.
536	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	9	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately administers contract agreements, which includes ensuring that: (1) contracts are properly signed by both parties who agree on the terms and conditions of the contract prior to when any services are rendered; and (2) ensuring that both parties agree to any contract modifications or amendments, and that those changes are adequately documented.
537	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	9	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately administers contract agreements, which includes ensuring that: (1) contracts are properly signed by both parties who agree on the terms and conditions of the contract prior to when any services are rendered; and (2) ensuring that both parties agree to any contract modifications or amendments, and that those changes are adequately documented.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
538	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	10	Resolved	Ensure that Wiconi promptly discloses all real and apparent conflicts of interest in writing to the awarding agency under each of its federal awards, as well as the cognizant Federal audit agency, as mandated by the DOJ Grants Financial Guide.
539	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	10	Resolved	Ensure that Wiconi promptly discloses all real and apparent conflicts of interest in writing to the awarding agency under each of its federal awards, as well as the cognizant Federal audit agency, as mandated by the DOJ Grants Financial Guide.
540	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	11	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately addresses conflicts of interest, both real and apparent, as they arise, which includes: (1) implementing a documented process to check for organizational conflicts of interest with potential contractors; (2) promptly reporting all potential conflicts of interest to the awarding agency and cognizant Federal audit agency, and/or proposed or actual actions regarding each irregularity; and (3) enhancing existing Wiconi policy to ensure that written standards of conduct covering conflict of interest and employee participation in selection, award, and administration of contracts is adequately followed.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
541	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	11	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi adequately addresses conflicts of interest, both real and apparent, as they arise, which includes: (1) implementing a documented process to check for organizational conflicts of interest with potential contractors; (2) promptly reporting all potential conflicts of interest to the awarding agency and cognizant Federal audit agency, and/or proposed or actual actions regarding each irregularity; and (3) enhancing existing Wiconi policy to ensure that written standards of conduct covering conflict of interest and employee participation in selection, award, and administration of contracts is adequately followed.
542	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	12	Resolved	Ensure that Wiconi implements an effective system for adequately and reliably measuring program performance and accomplishments for all future awards for purposes other than shelter services. This includes award programs specific to children's mentoring and counseling.
543	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	12	Resolved	Ensure that Wiconi implements an effective system for adequately and reliably measuring program performance and accomplishments for all future awards for purposes other than shelter services. This includes award programs specific to children's mentoring and counseling.
544	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	13	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi maintains and verifies valid and auditable source documentation that supports performance measures reported in the semi-annual progress reports.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
545	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	13	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi maintains and verifies valid and auditable source documentation that supports performance measures reported in the semi-annual progress reports.
546	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	14	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi complies with award special conditions.
547	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	14	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi complies with award special conditions.
548	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OJP	03/06/2019	15	Resolved	Ensure that Wiconi implements policies and procedures to ensure that federal cash on hand is the minimum needed for disbursement to be made immediately or within 10 days, and, if the funds are not spent or disbursed within 10 days, Wiconi must return them to the awarding agency as required by the DOJ Grants Financial Guide.
549	<u>Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota</u>	OVW	03/06/2019	15	Resolved	Ensure that Wiconi implements policies and procedures to ensure that federal cash on hand is the minimum needed for disbursement to be made immediately or within 10 days, and, if the funds are not spent or disbursed within 10 days, Wiconi must return them to the awarding agency as required by the DOJ Grants Financial Guide.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
550	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	16	Resolved	Ensure that Wiconi implements policies and procedures to ensure the proper accounting and classification of award funds by establishing a system to adequately record, monitor, and track funds, including matching costs, according to project category in order to: (1) maintain program accounts that enable separate identification and accounting for funds applied to each budget category included within the approved award and to prevent the commingling of funds; (2) consistently classify expenses using a clear and precise methodology; (3) ensure lump sum payments to credit accounts are broken down by individual expense, receipt, or invoice; and (4) properly report the correct amount of expenditures on its Federal Financial Reports (FFRs).
551	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	16	Resolved	Ensure that Wiconi implements policies and procedures to ensure the proper accounting and classification of award funds by establishing a system to adequately record, monitor, and track funds, including matching costs, according to project category in order to: (1) maintain program accounts that enable separate identification and accounting for funds applied to each budget category included within the approved award and to prevent the commingling of funds; (2) consistently classify expenses using a clear and precise methodology; (3) ensure lump sum payments to credit accounts are broken down by individual expense, receipt, or invoice; and (4) properly report the correct amount of expenditures on its Federal Financial Reports (FFRs).

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
552	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	17	Resolved	Ensure that all payroll expenditures at Wiconi are supported by a system of internal controls that provide reasonable assurance that all payroll charges are accurate, reliable, allowable, and properly allocated. This system should ensure that any alterations to payroll records are properly authorized and reflect the actual time worked.
553	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	17	Resolved	Ensure that all payroll expenditures at Wiconi are supported by a system of internal controls that provide reasonable assurance that all payroll charges are accurate, reliable, allowable, and properly allocated. This system should ensure that any alterations to payroll records are properly authorized and reflect the actual time worked.
554	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	18	Resolved	Ensure that Wiconi enforces its existing policy and award terms and conditions related to employee work schedules and benefits, which includes ensuring that: (1) Wiconi employees are held accountable to approved work schedules; (2) any hours worked in excess of a regular 40 hour work week is authorized by the appropriate officials; (3) all regularly scheduled holidays are recognized either on the actual holiday, or one day before or after the holiday if the employee is scheduled to work; (4) incentive awards are given only to those that are eligible; (5) employees who receive fringe benefits are working at least 35 hours per week, as mandated by existing policy; and (6) the proper official authorizes timesheets and requests for annual and sick leave.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
555	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	18	Resolved	Ensure that Wiconi enforces its existing policy and award terms and conditions related to employee work schedules and benefits, which includes ensuring that: (1) Wiconi employees are held accountable to approved work schedules; (2) any hours worked in excess of a regular 40 hour work week is authorized by the appropriate officials; (3) all regularly scheduled holidays are recognized either on the actual holiday, or one day before or after the holiday if the employee is scheduled to work; (4) incentive awards are given only to those that are eligible; (5) employees who receive fringe benefits are working at least 35 hours per week, as mandated by existing policy; and (6) the proper official authorizes timesheets and requests for annual and sick leave.
556	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	19	Resolved	Ensure that Wiconi implements policies and procedures to ensure that the accurate amount of annual and sick leave is allocated to each employee, which includes: (1) ensuring that employees only accrue leave based on their regularly scheduled hours; (2) ensuring that all scheduled leave is deducted from each respective employee's balance of leave hours; and (3) ensuring that any administrative leave taken due to an emergency or inclement weather is approved by the appropriate official.
557	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	19	Resolved	Ensure that Wiconi implements policies and procedures to ensure that the accurate amount of annual and sick leave is allocated to each employee, which includes: (1) ensuring that employees only accrue leave based on their regularly scheduled hours; (2) ensuring that all scheduled leave is deducted from each respective employee's balance of leave hours; and (3) ensuring that any administrative leave taken due to an emergency or inclement weather is approved by the appropriate official.

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558	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	20	Resolved	Ensure that Wiconi revise its current policy related to holiday, bereavement, and wellness leave to only include leave that is reasonable and justified.
559	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	20	Resolved	Ensure that Wiconi revise its current policy related to holiday, bereavement, and wellness leave to only include leave that is reasonable and justified.
560	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	21	Resolved	Ensure that Wiconi completes required single audits in compliance with 2 C.F.R. 200, or the current Uniform Guidance.
561	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	21	Resolved	Ensure that Wiconi completes required single audits in compliance with 2 C.F.R. 200, or the current Uniform Guidance.
562	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	22	Resolved	Remedy \$18,754 in unallowable personnel and fringe benefit costs associated with employees that are not listed in the approved award budget.
563	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	23	Resolved	Remedy \$38,084 in unallowable personnel and fringe benefit costs that were incorrectly allocated to the award.
564	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	24	Resolved	Remedy \$7,523 in additional unallowable fringe benefit costs resulting from expenses not allowed by federal award conditions and that were double billed to the award ledgers.
565	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	25	Resolved	Remedy \$17,665 in unallowable consulting costs that were not listed in the approved award budget.

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566	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	26	Resolved	Remedy \$40,168 in unallowable consulting costs that are considered unreasonable or excessive.
567	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	27	Resolved	Remedy \$40,168 in unallowable consulting costs that are considered unreasonable or excessive.
568	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	28	Resolved	Remedy \$10,173 in unallowable consulting costs that were erroneously charged to the award.
569	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	29	Resolved	Remedy \$1,444 in unallowable consulting costs that were charged to the incorrect award.
570	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	30	Resolved	Remedy \$80,396 in unallowable matching expenditures that were not listed in the approved award budget.
571	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	31	Resolved	Remedy \$36,294 in unallowable other direct costs that were not listed in the approved award budget.
572	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	32	Resolved	Remedy \$28,607 in unallowable other direct costs that are considered unreasonable or excessive.
573	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	33	Resolved	Remedy \$7,546 in unallowable other direct costs that were charged to the incorrect award.

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574	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	34	Resolved	Remedy \$15,839 in unallowable other direct costs that were erroneously charged to the award.
575	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	35	Resolved	Remedy \$4,435 in unallowable other direct costs that were double charged to the award.
576	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	36	Resolved	Remedy \$3,438 in unallowable expenses incurred prior to OJP's review and approval of Wiconi's budget and budget narrative.
577	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	37	Resolved	Remedy \$16,514 in unallowable costs resulting from transfers between budget categories exceeding 10 percent of the total award under Award Number 2013-VR-GX-K025.
578	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	38	Resolved	Remedy \$15,560 in unallowable excess drawdowns under Award Number 2013-VI-GX-K008.
579	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	40	Resolved	Remedy \$158,552 in unsupported consulting costs.
580	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	41	Resolved	Remedy \$920 in unsupported equipment costs resulting from purchases that do not contribute towards the completion of award goals and objectives.
581	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	42	Resolved	Remedy \$86,538 in unsupported matching expenditures.

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582	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	43	Resolved	Remedy \$55,514 in unsupported other direct costs.
583	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	44	Resolved	Remedy \$82,251 in unsupported expenditures purchased using a credit account, which are a result of: (1) unauthorized purchases; (2) limited assurance that expenses are properly allocated to the appropriate award; (3) insufficient detail in the accounting records to properly track and monitor expenses; and (4) purchases for purposes unassociated with award activities.
584	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	45	Resolved	Remedy unsupported drawdowns totaling \$450,000 under Award Number 2013-VR-GX-K025 and \$442,245 under Award Number 2013-VI-GX-K008 resulting from the extensive mismanagement of award funds, as well as the limited supportable progress towards the completion of award goals and objectives.
585	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	46	Resolved	Remedy and put to better use the remaining \$429,619 in funds obligated against Award Number 2014-XV-BX-K029, as this funding does not further support the original award goals and objectives.
586	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	48	Resolved	Further review the allowability of expenditures associated with the final drawdown of \$28,102 under Award Number 2013-VI-GX-K008.
587	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	49	Resolved	Ensure that Wiconi implements policies and procedures to ensure that any event broadly defined as a conference is properly administered based on the guidance set forth in the DOJ Grants Financial Guide.

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588	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	50	Resolved	Ensure that Wiconi implements policies and procedures to ensure that all requests for matching contributions are properly authorized, which includes requiring that all matching requests are approved by a member of the Board of Director's who has reviewed the request
589	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	51	Resolved	Ensure that Wiconi implements policies and procedures to ensure the proper accounting of matching contributions, which includes maintaining a general ledger that clearly shows the source, amount, and timing of these expenditures.
590	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	52	Resolved	Ensure that Wiconi implements policies and procedures to ensure that Wiconi retains qualified individuals under each award program, which includes maintaining adequate qualifications for each individual, such as resumes, background checks, or letters of reference.
591	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	53	Resolved	Provide additional oversight and monitoring of Wiconi to ensure that the award goals and objectives are adequately achieved under Award Number 2015 VT BX-K059 and Award Number 2016-VR-GX-K014, which includes potentially reviewing and revising the award goals and objectives for Award Number 2016-VR-GX-K014.
592	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	54	Resolved	Remedy \$11,205 in unallowable personnel and fringe benefit costs associated with employees that are not listed in the approved award budget.
593	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	55	Resolved	Remedy \$30,619 in unallowable personnel and fringe benefit costs that were incorrectly allocated to the award.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
594	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	56	Resolved	Remedy \$27,168 in additional unallowable fringe benefit costs resulting from expenses that are not listed in the approved award budget and are double billed to the award ledger.
595	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	57	Resolved	Remedy \$10,636 in unallowable consulting costs that were not listed in the approved award budget.
596	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	58	Resolved	Remedy \$7,129 in unallowable consulting costs that were paid prior to when the consulting services were rendered (i.e. retainer fees).
597	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	59	Resolved	Remedy \$727 in unallowable consulting costs resulting from costs that were erroneously charged to the award.
598	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	60	Resolved	Remedy \$7,220 in unallowable equipment costs that were not listed in the approved award budget.
599	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	61	Resolved	Remedy \$24,974 in unallowable other direct costs that were not listed in the approved award budget.
600	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	62	Resolved	Remedy \$4,668 in unallowable other direct costs that are considered unreasonable or excessive.
601	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	63	Resolved	Remedy \$6,376 in unallowable other direct costs that were charged to the incorrect award.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
602	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	64	Resolved	Remedy \$10,640 in unallowable other direct costs that were erroneously charged to the award.
603	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	65	Resolved	Remedy \$1,753 in unallowable other direct costs that were double charged to the award.
604	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	66	Resolved	Remedy \$4,061 in unallowable expenditures incurred after the award closeout date under Award Number 2013-CY-AX-K020.
605	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	69	Resolved	Remedy \$24,796 in unsupported consulting costs.
606	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	70	Resolved	Remedy \$34,833 in unsupported equipment expenditures.
607	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	71	Resolved	Remedy \$99,645 in unsupported other direct costs.
608	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	72	Resolved	Remedy \$54,880 in unsupported expenditures purchased using a credit account, which are a result of: (1) unauthorized purchases; (2) limited assurance that expenses are properly allocated to the appropriate award; (3) insufficient detail in the accounting records to properly track and monitor expenses; and (4) purchases for purposes unassociated with award activities.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
609	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	73	Resolved	Remedy \$255,474 in unsupported drawdowns under Award Number 2013 CY AX-K020 resulting from extensive mismanagement of award funds, as well as limited supportable progress towards the completion of award goals and objectives.
610	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	74	Resolved	Remedy \$712,224 in unsupported drawdowns resulting from: (1) extensive mismanagement of award funds; (2) limited supportable progress towards the completion of award goals and objectives; and (3) a duplication of DOJ funding for similar costs and award goals and objectives. This includes \$454,000 under Award Number 2013-TW-AX-0016, \$80,409 under Award Number 2014-KT-AX-0007, \$105,615 under Award Number 2015-WR-AX-0031, and \$72,200 under Award Number 2016-TW-AX-0024.
611	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	75	Resolved	Remedy and put to better use \$1,216,775 in award funds duplicative of existing DOJ funding for similar costs and award objectives, which includes the remaining \$244,591 in funds obligated against Award Number 2014-KT-AX-0007, the \$444,385 in funds obligated against Award Number 2015-WR-AX-0031, and \$527,800 in funds obligated against Award Number 2016-TW-AX-0024.
612	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	76	Resolved	Remedy and put to better use the remaining \$89,013 in funds obligated against Award Number 2013-CY-AX-K020, which has expired but has not yet been closed.
613	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	77	Resolved	Provide additional monitoring and oversight of Wiconi to ensure that the award goals and objectives are adequately met under Award Number 2016-WH-AX-0022.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
614	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	78	Resolved	Ensure that Wiconi implements policies and procedures to ensure that any donations or other sources of revenue related to its projects are adequately tracked and accounted for, which includes establishing and maintaining program accounts that enable separate identification and accounting for receipt and disposition of all funds.
615	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	39a	Resolved	Remedy \$680,207 in unsupported personnel and fringe benefit costs resulting from unreliable payroll records.
616	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OJP	03/06/2019	39b	Resolved	Remedy \$86,096 in unsupported personnel and fringe benefit costs resulting from costs with missing or invalid supporting documentation.
617	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	67a	Resolved	Remedy \$11,805 in unallowable excess drawdowns associated with award reimbursements not supported by expenditures under Award Number 2012-TW-AX-0016.
618	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	67b	Resolved	Remedy \$15,844 in unallowable excess drawdowns associated with award reimbursements not supported by expenditures under Award Number 2013-TW-AX-0016.
619	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	68a	Resolved	Remedy \$1,214,404 in unsupported personnel and fringe benefit costs resulting from unreliable payroll records.
620	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to Wiconi Wawokiya, Inc., Fort Thompson, South Dakota	OVW	03/06/2019	68b	Resolved	Remedy \$38,961 in unsupported personnel and fringe benefit costs resulting from costs with missing or invalid supporting documentation.
621	Audit of the Office on Violence Against Women Grants Awarded to the South Dakota Network Against Family Violence and Sexual Assault, Sioux Falls, South Dakota	OVW	03/05/2019	3	Resolved	Remedy \$3,361 in unallowable insurance expenditures not approved in the award budgets.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
622	Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.	OJP	02/26/2019	1	Resolved	Require that Amara initiates a Grant Adjustment Notice to seek approval to modify the grant goal to reflect the nature of outreach activities supported by the award.
623	Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.	OJP	02/26/2019	2	Resolved	Require that Amara, working with its subrecipient as applicable, correct previous progress report discrepancies with regard to the: a. number of clients it served, b. amount and type of services it provided to clients, c. number of training events and attendees it hosted, d. type of outreach performed, and e. referral sources for client cases.
624	Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.	OJP	02/26/2019	3	Resolved	Require that Amara implement policies and procedures to collect and report accurate and verifiable performance measures.
625	Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.	OJP	02/26/2019	5	Resolved	Require that Amara implements fully its subrecipient monitoring plan to ensure proper oversight and support for use of grant funds.
626	Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.	OJP	02/26/2019	6	Resolved	Require that Amara charge future personnel costs based on actual time worked instead of estimated or budgeted figures.
627	Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.	OJP	02/26/2019	7	Resolved	Remedy \$7,104 in unallowable fundraising charges to the grant.
628	Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.	OJP	02/26/2019	8	Resolved	Require that Amara works with its subrecipient to ensure its financial management system accurately accounts for the Office for Victims of Crime (OVC) funds and subgrant-related activity.
629	Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.	OJP	02/26/2019	9	Resolved	Remedy a total of \$56,970 unsupported subrecipient charges, which include \$41,770 in tested salary costs and \$15,200 in rent expenses paid through June 2018.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
630	Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.	OJP	02/26/2019	10	Resolved	Require that Amara: (a) Implements procedures to account for matching costs accurately under the grant; and (b) Provides adequate support to demonstrate that it has met its match requirements by the end of the award period.
631	Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.	OJP	02/26/2019	11	Resolved	Require that Amara improves its policies and procedures so that drawdown requests accurately reflect actual charges incurred by the grant.
632	Audit of the Office of Justice Programs Specialized Services for Victims of Human Trafficking Award to Amara Legal Center, Inc., Washington, D.C.	OJP	02/26/2019	12	Resolved	Require that Amara implements policies and procedures to ensure the proper monitoring and tracking of both federal and matching expenditures under the grant so that Amara can properly report the correct amount of expenditures on its Federal Financial Reports.
633	Review of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Implementation of the Frontline Initiative	ATF	02/14/2019	1	Resolved	Update and maintain the Intelligence Program Order and Crime Gun Intelligence Center mission guidance to reflect current intelligence functions and structure, and ensure staff accountability for all standards, including referral responsiveness.
634	Review of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Implementation of the Frontline Initiative	ATF	02/14/2019	2	Resolved	Define and develop performance metrics to assess Frontline-driven operational changes and document the work performed and results of external law enforcement outreach and communication efforts.
635	Audit of the Office on Violence Against Women Training and Technical Assistance Program	OVW	02/13/2019	7	Resolved	Develop and implement guidance to prevent unnecessary or inappropriate use of the DOJ maximum consultant rate.
636	Audit of the Office on Violence Against Women Training and Technical Assistance Program	OVW	02/13/2019	10	Resolved	Develop and implement policies to ensure that all content produced under the TA Initiative is made available to the recipient community, and the public, as appropriate.
637	Audit of the Office on Violence Against Women Training and Technical Assistance Program	OVW	02/13/2019	12	Resolved	Develop and implement policies to ensure that resources funded by the TA Initiative are effectively catalogued for future use.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
638	Procedural Reform Recommendation for the U.S. Marshals Service	USMS	02/13/2019	1	Resolved	Maintain a system for tracking employee misconduct matters.
639	Procedural Reform Recommendation for the U.S. Marshals Service	USMS	02/13/2019	2	Resolved	Develop timeliness standards for completing adverse action proposals and decisions.
640	Procedural Reform Recommendation for the U.S. Marshals Service	USMS	02/13/2019	3	Resolved	Ensure that any settlement agreement entered into by the USMS in adverse personnel action matters adequately accounts for the seriousness of the substantiated employee misconduct, includes a tangible element of employee accountability, and is approved at a level of leadership commensurate with the employee's position, the nature of the substantiated misconduct, and the initially proposed penalty.
641	Procedural Reform Recommendation for the U.S. Marshals Service	USMS	02/13/2019	4	Resolved	Develop and implement a "terminal leave" policy that provides that under no circumstances shall a proposed or final determination on a personnel action, whether based on deficient performance or misconduct, be delayed or not taken so that an employee can accumulate service time, whether by remaining on duty, while on administrative leave, while on leave without pay, or while on annual leave, in order to attain eligibility for benefits, including but not limited to retirement benefits.
642	Procedural Reform Recommendation for the Federal Bureau of Investigation	FBI	02/12/2019	1	Resolved	Amend the existing FBI Policy Directive to formally designate an entity to be responsible for text message collection and retention.
643	Procedural Reform Recommendation for the Federal Bureau of Investigation	FBI	02/12/2019	2	Resolved	Conduct additional research and testing of the current collection tool application with the mobile devices deployed by the FBI or seek by other means, in coordination with the collection tool's vendor, to improve reliability of collection and preservation of text messages sent to and from FBI-issued devices, with a goal of 100 percent text message collection and preservation, to the extent technically feasible.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
644	Procedural Reform Recommendation for the Federal Bureau of Investigation	FBI	02/12/2019	3	Resolved	Conduct additional research and testing, or seek by other means, prior to procurement of any new collection tool to be used by the FBI to collect and preserve text messages sent to and from FBI-issued devices, with a goal of 100 percent text message collection and preservation, to the extent technically feasible.
645	Procedural Reform Recommendation for the Federal Bureau of Investigation	FBI	02/12/2019	4	Resolved	Coordinate with the collection tool vendor to ensure that data collected by the tool and stored on the device is saved to a secure or encrypted location.
646	Procedural Reform Recommendation for the Federal Bureau of Investigation	FBI	02/12/2019	5	Resolved	Verify and address the security vulnerabilities identified by the Subject Matter Expert with whom the OIG consulted, which have been provided to the FBI. Current and future mobile devices and data collection and preservation tools should be tested for security vulnerabilities in order to ensure the security of the devices and the safekeeping of the sensitive data therein.
647	Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Nueva Esperanza, Inc., Philadelphia, Pennsylvania	OJP	02/12/2019	2	Resolved	Ensure Esperanza creates and implements written policy requiring time and effort reports for consulting services to ensure consultant invoices are supported in accordance with the DOJ Grants Financial Guide.
648	Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Nueva Esperanza, Inc., Philadelphia, Pennsylvania	OJP	02/12/2019	3	Resolved	Ensure Esperanza creates and implements written policy for the consultant selection process and the determination of consultant compensation rates, and ensure such documentation is appropriately retained.
649	Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Nueva Esperanza, Inc., Philadelphia, Pennsylvania	OJP	02/12/2019	4	Resolved	Ensure Esperanza amends its policy on travel gratuities to ensure such expenditures are not charged to federal grants.
650	Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Nueva Esperanza, Inc., Philadelphia, Pennsylvania	OJP	02/12/2019	5	Resolved	Ensure Esperanza fully captures and retains all required documentation supporting its decision processes and expenditures.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
651	Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Nueva Esperanza, Inc., Philadelphia, Pennsylvania	OJP	02/12/2019	1a	Resolved	Remedy \$42,315 in unsupported consultant costs, which includes unsupported questioned costs totaling \$42,315 for consultant charges not supported with time and effort reports, as required.
652	Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grants Awarded to Nueva Esperanza, Inc., Philadelphia, Pennsylvania	OJP	02/12/2019	1b	Resolved	Remedy \$42,315 in unsupported consultant costs, which includes unsupported questioned costs totaling \$42,315 in charges for consultants who Esperanza could not support were paid reasonable rates, as required.
653	Audit of the Office of Justice Programs Victim Compensation Grants Awarded to the New Jersey Department of Law and Public Safety Trenton, New Jersey	OJP	02/06/2019	1	Resolved	Remedy \$109,030 in unnecessary questioned costs related to untracked or unrecovered rental security deposits.
654	Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013	OJP	12/18/2018	1	Resolved	In collaboration with the Office of the Deputy Attorney General (ODAG), maintain and regularly update a list of federal agencies with law enforcement authority and reach out to those agencies that have not provided reports pursuant to the Death in Custody Reporting Act of 2013.
655	Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013	OJP	12/18/2018	4	On Hold/Pending with OIG	Conduct a study on data collected under the Death in Custody Reporting Act of 2013 as described in the statute and submit a report on the study to Congress as soon as practicable.
656	Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013	FBI	12/18/2018	3a	Resolved	Work together with the Office of Justice Programs (OJP) to identify and implement death in custody data collection best practices and reduce duplicative data collection efforts.
657	Review of the Department of Justice's Implementation of the Death in Custody Reporting Act of 2013	OJP	12/18/2018	3b	On Hold/Pending with OIG	Work together with the Federal Bureau of Investigation (FBI) to identify and implement death in custody data collection best practices and reduce duplicative data collection efforts.
658	Audit of the U.S. Department of Justice Annual Financial Statements Fiscal Year 2018	ALLDOJ	12/17/2018	4	On Hold/Pending with OIG	Enhance the DOJ's level of supervisory review over journal entries, with an emphasis on a more robust review of underlying data and the general ledger accounts affected by the journal entry.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
659	Audit of the Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention Grant Awarded to the AARP Foundation, Washington, D.C.	OJP	11/26/2018	6	Resolved	Ensure that the AARP Foundation develops written policies and procedures to guide the making of drawdown requests and the compiling of accurate financial reports.
660	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	COPS	09/26/2018	1	Resolved	Remedy \$633,667 under Grant Number 2012-HH-WX-0012 and \$318,068 under Grant Number 2014-HH-WX-0014 in grant drawdowns during periods where there were indications of supplanting.
661	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	COPS	09/26/2018	3	Resolved	Remedy \$2,399 in unallowable personnel and associated fringe related to officers that were not part of the approved budget under Grant Number 2012-HH-WX-0012.
662	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	COPS	09/26/2018	5	Resolved	Remedy \$42,490 in unallowable supply costs that were not in budget, scope, or both under Grant Number 2014-HE-WX-0015.
663	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	COPS	09/26/2018	11	Resolved	Coordinate with the Blackfeet Tribe to: (1) obtain an electronic timekeeping system; (2) update the payroll system to properly account for Tribal Holiday hours, overtime, and approved pay rates; (3) train law enforcement supervisors on proper authorization techniques; and (4) train Compliance Monitors to properly allocate unallowable overtime to the appropriate funding source.
664	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	COPS	09/26/2018	12	Resolved	Coordinate with the Blackfeet Tribe to submit budget modifications to account for the updated indirect cost rates under Grant Numbers 2012-HH-WX-0012 and 2014-HH-WX-0014.

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665	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	14	Resolved	Remedy \$180,348 in unsupported grant expenditures under Grant Number 2014-XV-BX-K031 regarding lack of support for program accomplishments from November 2014 through July 2016.
666	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	17	Resolved	Remedy \$1,808 in unsupported personnel and fringe costs under Grant Number 2014-XV-BX-K031.
667	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	20	Resolved	Remedy \$3,050 in unallowable equipment costs that were not in budget, scope, or both under Grant Number 2014-TY-FX-0008.
668	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	27	Resolved	Remedy \$30,541 in unallowable cost categories that were not part of the approved budget.
669	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	28	Resolved	Remedy \$2,648 in unsupported excess drawdowns under Grant Number 2014-XV-BX-K031.
670	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	30	Resolved	Ensure grant activities for the Vision 21 Program, under Grant Number 2014-XV-BX-K031, are completed in a timely manner.
671	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	31	Resolved	Coordinate with the Blackfeet Tribe to correct and resubmit the two progress reports under Grant Number 2014-XV-BX-K031: January through June 2017, and July through December 2017.

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672	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OVW	09/26/2018	40	Resolved	Remedy \$3,510 in unsupported consultant costs under Grant Number 2012-TW-AX-0025
673	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	COPS	09/26/2018	47	Resolved	Coordinate with the Blackfeet Tribe to develop and implement policies and procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program, including those specified in the program solicitation and in the program award documentation.
674	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OJP	09/26/2018	47	Resolved	Coordinate with the Blackfeet Tribe to develop and implement policies and procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program, including those specified in the program solicitation and in the program award documentation.
675	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	OVW	09/26/2018	47	Resolved	Coordinate with the Blackfeet Tribe to develop and implement policies and procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program, including those specified in the program solicitation and in the program award documentation.
676	<u>Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana</u>	COPS	09/26/2018	52	Resolved	Ensure the Blackfeet Tribe implements an after-the-fact travel voucher policy and procedure to verify and account for travel advances.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
677	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	52	Resolved	Ensure the Blackfeet Tribe implements an after-the-fact travel voucher policy and procedure to verify and account for travel advances.
678	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OVW	09/26/2018	52	Resolved	Ensure the Blackfeet Tribe implements an after-the-fact travel voucher policy and procedure to verify and account for travel advances.
679	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	13a	Resolved	Remedy \$23,934 in unsupported grant expenditures regarding the lack of support for program accomplishments and activities that did not support program goals and objectives that occurred before certain Project Coordinators started under the Adult and Juvenile Court Programs under Grant Number 2014-AX-BX-0022 from February 2016 through November 13, 2016.
680	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	13b	Resolved	Remedy \$168,415 in unsupported grant expenditures regarding the lack of support for program accomplishments and activities that did not support program goals and objectives that occurred before certain Project Coordinators started under the Adult and Juvenile Court Programs under Grant Number 2014-TY-FX-0008, from February 2015 through October 2, 2016.
681	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	15a	Resolved	Remedy \$148,787 in unallowable grant expenditures that occurred before related special conditions were removed under Grant Number 2014-TY-FX-0008.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
682	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	15b	Resolved	Remedy \$26,057 in unallowable grant expenditures that occurred before the related special conditions were removed under Grant Number 2014-XV-BX-K031.
683	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	16a	Resolved	Remedy \$5,883 in unsupported personnel and associated fringe costs related to the lack of time and effort reports under Grant Number 2014-AC-BX-0022.
684	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	16b	Resolved	Remedy \$6,764 in unsupported personnel and associated fringe costs related to the lack of time and effort reports under Grant Number 2014-TY-FX-0008.
685	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	16c	Resolved	Remedy \$1,642 in unsupported personnel and associated fringe costs related to the lack of time and effort report under Grant Number 2014-XV-BX-K031.
686	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	18a	Resolved	Remedy \$7,072 in unallowable travel costs under Grant Number 2014-AC-BX-0022.
687	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	18b	Resolved	Remedy \$7,124 in unallowable travel costs under Grant Number 2014-TY-FX-0008.
688	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	18c	Resolved	Remedy \$4,262 in unallowable travel costs under Grant Number 2014-XV-BX-K031.

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689	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	19a	Resolved	Remedy \$2,964 in unsupported travel costs under Grant Number 2014-AC-BX-0022.
690	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	19b	Resolved	Remedy \$2,880 in unsupported travel costs under Grant Number 2014-TY-FX-0008.
691	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	19c	Resolved	Remedy \$490 in unsupported travel costs under Grant Number 2014-XV-BX-K031.
692	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	21a	Resolved	Remedy \$1,199 in unallowable supply costs under Grant Number 2014-AC-BX-0022.
693	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	21b	Resolved	Remedy \$21,143 in unallowable supply costs under Grant Number 2014-TY-FX-0008.
694	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	21c	Resolved	Remedy \$9,278 in unallowable supply costs under Grant Number 2014-XV-BX-K031.
695	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	22a	Resolved	Remedy \$375 in unsupported supply costs under Grant Number 2014-TY-FX-0008.

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696	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	22b	Resolved	Remedy \$652 in unsupported supply costs under Grant Number 2014-XV-BX-K031.
697	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	23a	Resolved	Remedy \$11,190 in unallowable contract and consultant costs under Grant Number 2014-TY-FX-0008.
698	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	23b	Resolved	Remedy \$4,172 in unallowable contractor and consultant costs under Grant Number 2014-XV-BX-K031
699	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	24a	Resolved	Remedy \$5,365 in unsupported contractor and consultant costs under Grant Number 2014-TY-FX-0008.
700	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	24b	Resolved	Remedy \$1,625 in unsupported contractor and consultant costs under Grant Number 2014-XV-BX-K031.
701	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	25a	Resolved	Remedy \$362 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-AC-BX-0022.
702	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	25b	Resolved	Remedy \$2,233 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-TY-FX-0008.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
703	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	25c	Resolved	Remedy \$3,632 in unallowable other direct costs that were not in budget, scope, or both under Grant Number 2014-XV-BX-K031.
704	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	26a	Resolved	Remedy \$100 in unsupported other direct costs under Grant Number 2014-TY-FX-0008.
705	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	OJP	09/26/2018	26b	Resolved	Remedy \$588 in unsupported other direct costs under Grant Number 2014-XV-BX-K031.
706	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	COPS	09/26/2018	2a	Resolved	Remedy \$50,739 in unallowable personnel and fringe related to overtime and pay rates in excess of the entry-level rates under Grant Number 2012-HH-WX-0012.
707	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	COPS	09/26/2018	2b	Resolved	Remedy \$20,680 in unallowable personnel and associated fringe related to overtime and pay rates in excess of entry-level rates under Grant Number 2014-HH-WX-0014.
708	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	COPS	09/26/2018	4a	Resolved	Remedy \$6,115 in unsupported personnel and associated fringe under Grant Number 2012-HH-WX-0012.
709	Audit of the Office of Community Oriented Policing Services, Office of Justice Programs, and Office on Violence Against Women Grants Awarded to the Blackfeet Tribe, Browning, Montana	COPS	09/26/2018	4b	Resolved	Remedy \$2,661 in unsupported personnel and associated fringe under Grant Number 2014-HH-WX-0014.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
710	Audit of the Bureau of Justice Assistance Grant Awarded to the City of Philadelphia, Pennsylvania, for the 2016 Democratic National Convention	OJP	09/26/2018	3	Resolved	Remedy \$9,530 in unallowable duplicate personnel costs for the Philadelphia Fire Department.
711	Audit of the Bureau of Justice Assistance Grant Awarded to the City of Philadelphia, Pennsylvania, for the 2016 Democratic National Convention	OJP	09/26/2018	7	Resolved	Remedy \$349,232 in unallowable subrecipient sole source contract expenditures executed by the subrecipient Host Committee.
712	Audit of the Bureau of Justice Assistance Grant Awarded to the City of Philadelphia, Pennsylvania, for the 2016 Democratic National Convention	OJP	09/26/2018	8	Resolved	Remedy \$61,500 in unallowable subrecipient expenditures for a contract executed by the subrecipient Host Committee.
713	Audit of the Bureau of Justice Assistance Grant Awarded to the City of Philadelphia, Pennsylvania, for the 2016 Democratic National Convention	OJP	09/26/2018	9	Resolved	Remedy \$5,964,797 in unallowable subrecipient expenditures for contracts executed by the subrecipient Host Committee.
714	Audit of the Bureau of Justice Assistance Grant Awarded to the City of Philadelphia, Pennsylvania, for the 2016 Democratic National Convention	OJP	09/26/2018	11	Resolved	Ensures Philadelphia develops policies and procedures to ensure its budget is maintained properly, in compliance with terms and conditions of the award, and award funds are adequately safeguarded.
715	Audit of the Bureau of Justice Assistance Grant Awarded to the City of Philadelphia, Pennsylvania, for the 2016 Democratic National Convention	OJP	09/26/2018	12	Resolved	Ensures Philadelphia develops and implements written policies and procedures for drawdown requests to ensure federal cash on hand complies with the DOJ Grants Financial Guide.
716	Audit of the Federal Bureau of Prisons' Contracts Awarded to Pacific Forensic Psychology Associates, Inc., San Diego, California	BOP	09/21/2018	1	Resolved	Develop measurable performance metrics for its CTS program, and consider performing an evaluation of the CTS program to identify its effectiveness and outcomes.
717	Audit of the Federal Bureau of Prisons' Contracts Awarded to Pacific Forensic Psychology Associates, Inc., San Diego, California	BOP	09/21/2018	4	Resolved	Ensure that its contractor monitoring includes: (a) determining if the contractor's facility is operating within the same space or close proximity to a business that caters to children; and if so, (b) ensuring that the contractor has procedures in place to mitigate such risks.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
718	Audit of the Federal Bureau of Prisons' Contracts Awarded to Pacific Forensic Psychology Associates, Inc., San Diego, California	BOP	09/21/2018	8	Resolved	Develop and implement a strategic plan for transitioning to an electronic system that would allow for electronic submission of contractor invoices and clinical documents.
719	Audit of the Federal Bureau of Prisons' Contracts Awarded to Pacific Forensic Psychology Associates, Inc., San Diego, California	BOP	09/21/2018	11	Resolved	Implement procedures to ensure that all contract employees have received BOP approval prior to working with federal inmates.
720	Audit of the Federal Bureau of Prisons' Contracts Awarded to Pacific Forensic Psychology Associates, Inc., San Diego, California	BOP	09/21/2018	14	Resolved	Remedy \$15,362 in unsupported costs for the services performed by contract employees.
721	Audit of the Office on Violence Against Women Grants Awarded to the Nebraska Domestic Violence Sexual Assault Coalition, dba Nebraska Coalition to End Sexual and Domestic Violence, Lincoln, Nebraska	OVW	09/21/2018	3	Resolved	Remedy the remaining \$13,211 of the original \$13,620 in unallowable questioned costs related to the \$2,446 in unallowable over allocated and misallocated personnel costs, \$4,547 in unallowable contractor and consultant costs, and \$6,627 in unallowable other costs.
722	Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population	BOP	09/18/2018	2	Resolved	Determine the appropriate level of staffing that should be allocated to the Women and Special Populations Branch based on an analysis of its broad mission and responsibilities.
723	Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population	BOP	09/18/2018	3	Resolved	Ensure that all officials who enter into National Executive Staff positions have taken appropriate, current training specific to the unique needs of female inmates and trauma-informed correctional care.
724	Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population	BOP	09/18/2018	4	Resolved	Identify ways to expand the staffing of the Resolve program.
725	Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population	BOP	09/18/2018	5	Resolved	Improve the communication of its pregnancy program availability and eligibility criteria to relevant staff and pregnant inmates to ensure consistent understanding across BOP institutions.
726	Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population	BOP	09/18/2018	7	Resolved	Clarify guidance on the distribution of feminine hygiene products to ensure sufficient access to the amount of products inmates need free of charge.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
727	Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population	BOP	09/18/2018	9	Resolved	Establish policy that determines how long sentenced inmates can be confined in a detention center, or ensures that the conditions of confinement and inmate programming at a detention center more closely approximate those of a non-detention center when sentenced inmates are housed there.
728	Review of the Federal Bureau of Prisons' Management of Its Female Inmate Population	BOP	09/18/2018	10	Resolved	Explore options to procure female Special Housing Unit space closer to Federal Correctional Institution Danbury.
729	Audit of the Federal Bureau of Prisons' Contract Awarded to Sealaska Constructors, LLC, to Build Facilities at Federal Correctional Institution Danbury, in Danbury, Connecticut	BOP	09/18/2018	1	Resolved	Develop a facility design standard for Federal Satellite Low (FSL) facilities and pre-conversion procedures that assess whether a minimum security facility can feasibly sustain an FSL conversion.
730	Audit of the Federal Bureau of Prisons' Contract Awarded to Sealaska Constructors, LLC, to Build Facilities at Federal Correctional Institution Danbury, in Danbury, Connecticut	BOP	09/18/2018	4	Resolved	Establish policies and procedures to ensure that interim performance assessment reports for its construction contracts are entered into the Contractor Performance Assessment Reporting System.
731	Audit of the Federal Bureau of Prisons' Contract Awarded to Sealaska Constructors, LLC, to Build Facilities at Federal Correctional Institution Danbury, in Danbury, Connecticut	BOP	09/18/2018	5	Resolved	Consult the Justice Management Division to determine the best approach to ensure compliance with FAR 15.404-4 and take appropriate action, to include establishing or adopting from another agency a structured approach and examining profit for contract actions requiring cost analysis, as applicable.
732	Audit of the Federal Bureau of Prisons' Residential Reentry Center Contracts Awarded to Reynolds & Associates, Inc., Washington, D.C.	BOP	09/12/2018	3	Resolved	Ensure the Baltimore RRM field office enhances its efforts to track repetitive deficiencies identified over the course of the RRC contract.
733	Audit of the Federal Bureau of Prisons' Residential Reentry Center Contracts Awarded to Reynolds & Associates, Inc., Washington, D.C.	BOP	09/12/2018	16	Resolved	Ensure Reynolds adequately collects and documents resident subsistence payments.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
734	Audit of Compliance with Standards Governing Combined DNA Index System Activities at the San Diego County Sheriff's Department Regional Crime Laboratory, San Diego, California	FBI	08/21/2018	1	Resolved	Work with the Laboratory to ensure that it implements the required physical access controls to properly track and maintain its distribution of keycards to ensure that all former employees' and contractors' keycards have been retrieved and deactivated in a timely manner.
735	Audit of Compliance with Standards Governing Combined DNA Index System Activities at the San Diego County Sheriff's Department Regional Crime Laboratory, San Diego, California	FBI	08/21/2018	2	Resolved	Ensure that the Laboratory reviews its keycard distribution list to confirm that all individuals have appropriate access and that all former employees' and contractors' keycards have been deactivated.
736	Review of Gender Equity in the Department's Law Enforcement Components	ATF	06/25/2018	1a	Resolved	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.
737	Review of Gender Equity in the Department's Law Enforcement Components	DEA	06/25/2018	1b	Resolved	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.
738	Review of Gender Equity in the Department's Law Enforcement Components	FBI	06/25/2018	1c	Resolved	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.
739	Review of Gender Equity in the Department's Law Enforcement Components	USMS	06/25/2018	1d	Resolved	Assess recruitment, hiring, and retention activities to identify barriers to gender equity in the workforce.
740	Review of Gender Equity in the Department's Law Enforcement Components	ATF	06/25/2018	2a	Resolved	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.
741	Review of Gender Equity in the Department's Law Enforcement Components	DEA	06/25/2018	2b	Resolved	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.
742	Review of Gender Equity in the Department's Law Enforcement Components	FBI	06/25/2018	2c	Resolved	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.
743	Review of Gender Equity in the Department's Law Enforcement Components	USMS	06/25/2018	2d	Resolved	Develop and implement component-level recruiting, hiring, and retention strategies and goals that address the identified barriers to gender equity in the workforce.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
744	Review of Gender Equity in the Department's Law Enforcement Components	ATF	06/25/2018	3a	Resolved	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.
745	Review of Gender Equity in the Department's Law Enforcement Components	DEA	06/25/2018	3b	Resolved	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.
746	Review of Gender Equity in the Department's Law Enforcement Components	FBI	06/25/2018	3c	Resolved	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.
747	Review of Gender Equity in the Department's Law Enforcement Components	USMS	06/25/2018	3d	Resolved	Develop and implement a plan to track and analyze demographic information on newly hired staff and applicants, as appropriate, to evaluate recruitment strategies.
748	Review of Gender Equity in the Department's Law Enforcement Components	ATF	06/25/2018	4a	Resolved	Identify and take steps to address barriers to advancement for women within the component and among different job types.
749	Review of Gender Equity in the Department's Law Enforcement Components	DEA	06/25/2018	4b	Resolved	Identify and take steps to address barriers to advancement for women within the component and among different job types.
750	Review of Gender Equity in the Department's Law Enforcement Components	FBI	06/25/2018	4c	Resolved	Identify and take steps to address barriers to advancement for women within the component and among different job types.
751	Review of Gender Equity in the Department's Law Enforcement Components	USMS	06/25/2018	4d	Resolved	Identify and take steps to address barriers to advancement for women within the component and among different job types.
752	Review of Gender Equity in the Department's Law Enforcement Components	ATF	06/25/2018	5a	Resolved	Develop and implement methods to improve the objectivity and transparency of the merit promotion process.
753	Review of Gender Equity in the Department's Law Enforcement Components	DEA	06/25/2018	5b	Resolved	Develop and implement methods to improve the objectivity and transparency of the merit promotion process.

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754	Review of Gender Equity in the Department's Law Enforcement Components	FBI	06/25/2018	5c	Resolved	Develop and implement methods to improve the objectivity and transparency of the merit promotion process.
755	Review of Gender Equity in the Department's Law Enforcement Components	USMS	06/25/2018	5d	Resolved	Develop and implement methods to improve the objectivity and transparency of the merit promotion process.
756	Review of Gender Equity in the Department's Law Enforcement Components	ATF	06/25/2018	6a	Resolved	Develop and implement methods to address perceptions of stigmatization and retaliation associated with the Equal Employment Opportunity complaint process.
757	Review of Gender Equity in the Department's Law Enforcement Components	DEA	06/25/2018	6b	Resolved	Develop and implement methods to address perceptions of stigmatization and retaliation associated with the Equal Employment Opportunity complaint process.
758	Review of Gender Equity in the Department's Law Enforcement Components	USMS	06/25/2018	6d	Resolved	Develop and implement methods to address perceptions of stigmatization and retaliation associated with the Equal Employment Opportunity complaint process.
759	A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election	ODAG	06/14/2018	2	Unresolved	Consider making explicit that, except in situations where the law requires or permits disclosure, an investigating agency cannot publicly announce its recommended charging decision prior to consulting with the Attorney General, Deputy Attorney General, U.S. Attorney, or his or her designee, and cannot proceed without the approval of one of these officials.
760	A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election	ODAG	06/14/2018	4	Unresolved	Consider providing guidance to agents and prosecutors concerning the taking of overt investigative steps, indictments, public announcements, or other actions that could impact an election.
761	A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election	ODAG	06/14/2018	5	On Hold/Pending with OIG	Take steps to improve the retention and monitoring of text messages Department-wide

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
762	A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election	FBI	06/14/2018	6	On Hold/Pending with OIG	Add a warning banner to all of the FBI's mobile phones and devices in order to further notify users that they have no reasonable expectation of privacy.
763	A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election	FBI	06/14/2018	7	On Hold/Pending with OIG	Consider (a) assessing whether it has provided adequate training to employees about the proper use of text messages and instant messages, including any related discovery obligations, and (b) providing additional guidance about the allowable uses of FBI devices for any non-governmental purpose, including guidance about the use of FBI devices for political conversations.
764	A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election	FBI	06/14/2018	8	On Hold/Pending with OIG	Consider whether (a) it is appropriately educating employees about both its media contact policy and the Department's ethics rules pertaining to the acceptance of gifts, and (b) its disciplinary provisions and penalties are sufficient to deter such improper conduct.
765	A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election	ODAG	06/14/2018	9	Unresolved	Department ethics officials include the review of campaign donations for possible conflict issues when Department employees or their spouses run for public office.
766	A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election	ODAG	06/14/2018	1a	Unresolved	Consider developing practice guidance that would assist investigators and prosecutors in identifying the general risks with and alternatives to permitting a witness to attend a voluntary interview of another witness, in particular when the witness is serving as counsel for the other witness.
767	A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election	FBI	06/14/2018	1b	On Hold/Pending with OIG	Consider developing practice guidance that would assist investigators and prosecutors in identifying the general risks with and alternatives to permitting a witness to attend a voluntary interview of another witness, in particular when the witness is serving as counsel for the other witness.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
768	A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election	ODAG	06/14/2018	3a	Unresolved	Consider adopting a policy addressing the appropriateness of Department employees discussing the conduct of uncharged individuals in public statements.
769	A Review of Various Actions by the Federal Bureau of Investigation and Department of Justice in Advance of the 2016 Election	FBI	06/14/2018	3b	On Hold/Pending with OIG	Consider adopting a policy addressing the appropriateness of Department employees discussing the conduct of uncharged individuals in public statements.
770	Audit of the Justice Management Division's Automated Electronic Guard Information System Upgrade Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	JMD	04/04/2018	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
771	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Controls over Weapons, Munitions, and Explosives	ATF	03/30/2018	8	Resolved	Ensure the Special Response Teams fully implement its recent policy requiring them to track all less-lethal munitions on an Ammunition Control Record.
772	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Controls over Weapons, Munitions, and Explosives	ATF	03/30/2018	9	Resolved	Establish guidelines for physically securing seized weapons and ammunition that are temporarily stored outside of the evidence vault during times when the vault custodians are unavailable.
773	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Controls over Weapons, Munitions, and Explosives	ATF	03/30/2018	10	Resolved	Ensure that seized ammunition is tracked in N-Force Vault and secured in the evidence vault until it is shipped to the disposal facility.
774	Audit of the United States Marshals Service's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	USMS	03/30/2018	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
775	Audit of the United States Marshals Service's Property and Asset Control Enterprise Solution Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	USMS	03/30/2018	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
776	Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations	FBI	03/29/2018	1	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
777	Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations	FBI	03/29/2018	2	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
778	Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations	FBI	03/29/2018	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
779	Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations	FBI	03/29/2018	4	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
780	Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations	FBI	03/29/2018	5	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
781	Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations	FBI	03/29/2018	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
782	Review of the Federal Bureau of Investigation's Response to Unresolved Results in Polygraph Examinations	FBI	03/29/2018	8	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
783	Recommendation for the DEA to Review whether its Field Offices are Engaging in Unlawful Fundraising on Behalf of the DEA Survivors Benefit Fund	DEA	03/28/2018	4	On Hold/Pending with OIG	Draft and implement a policy regarding the operation of division "stores" to ensure that stores are operating within the bounds of the law, such as incorporating as a not-for-profit entity, establishing a board of directors, and adopting written by-laws.
784	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Formula Grants Awarded to the Vermont Center for Crime Victim Services, Waterbury, Vermont	OJP	03/26/2018	3	Resolved	Remedy \$3,413 in unallowable consultant expenditures charged by VCCVS.
785	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Formula Grants Awarded to the Vermont Center for Crime Victim Services, Waterbury, Vermont	OJP	03/26/2018	5	Resolved	Remedy the \$13,966 in unsupported matching costs associated with Subrecipients A and B.

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786	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Formula Grants Awarded to the Vermont Center for Crime Victim Services, Waterbury, Vermont	OJP	03/26/2018	8	Resolved	Remedy \$21,624 in unsupported subrecipient personnel expenditures and fringe benefit charges associated with Subrecipient A.
787	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri	OJP	03/23/2018	5	Resolved	Ensure that the Missouri state administering agency has submitted SARs for all of its previously awarded VOCA subgrants.
788	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri	OJP	03/23/2018	7	Resolved	Ensure that the Missouri state administering agency has a compliant method for allocating annual leave payouts.
789	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Missouri Department of Public Safety, Jefferson City, Missouri	OJP	03/23/2018	8	Resolved	Ensure that the Missouri state administering agency prioritizes, as needed, the monitoring of subrecipients that may not have received a desk audit or on-site visit.
790	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Nevada Department of Health and Human Services, Carson City, Nevada	OJP	03/23/2018	6	Resolved	Ensure that the NDHHS obtains the missing statistical information from the 10 subrecipients and file revised Performance Reports for FYs 2013, 2014, 2015, and 2016.
791	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Nevada Department of Health and Human Services, Carson City, Nevada	OJP	03/23/2018	11	Resolved	Remedy \$1,870,566 in VOCA-related subrecipient unsupported questioned costs.
792	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Nevada Department of Health and Human Services, Carson City, Nevada	OJP	03/23/2018	13	Resolved	Remedy \$231,004 in unsupported questioned costs associated with administrative expenditures that were inadequately supported.
793	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Nevada Department of Health and Human Services, Carson City, Nevada	OJP	03/23/2018	15	Resolved	Remedy \$6,379 in unsupported questioned costs associated with match transactions.

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794	Audit of the Office of Justice Programs Office for Victims of Crime Victim Assistance Grants Awarded to the Nevada Department of Health and Human Services, Carson City, Nevada	OJP	03/23/2018	20	Resolved	Remedy \$97,905 in unsupported subrecipient questioned costs.
795	Audit of the Department of Justice Grant Award Closeout Process	COPS	03/12/2018	28	Resolved	Remedy \$33,544 in unallowable personnel-related questioned costs associated with COPS Office Award Number 2010-UM-WX-0245.
796	Audit of the Department of Justice Grant Award Closeout Process	OVW	03/12/2018	39	Resolved	Review and put to better use, as appropriate, the remaining \$12,682,709 in funds obligated against awards that have expired, but have not been closed.
797	Audit of the Department of Justice Grant Award Closeout Process	OVW	03/12/2018	41	Resolved	Develop and implement policies and procedures to ensure that recipients with significant issues identified during the closeout process be shared between the three awarding agencies.
798	Audit of the Department of Justice Grant Award Closeout Process	OVW	03/12/2018	48	Resolved	Remedy \$4,579 in unallowable travel-related questioned costs associated with OVW Award Number 2013-TA-AX-K016.
799	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
800	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
801	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
802	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	6	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
803	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	10	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
804	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	14	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
805	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	21	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
806	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	22	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
807	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	24	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
808	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	27	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
809	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	28	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
810	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	29	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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811	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	30	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
812	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	33	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
813	Audit of the Federal Bureau of Investigation's DirectorNet System Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	1	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
814	Audit of the Federal Bureau of Investigation's DirectorNet System Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2017	FBI	03/09/2018	2	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
815	Audit of the Department of Justice's Efforts to Address Patterns or Practices of Police Misconduct and Provide Technical Assistance on Accountability Reform to Police Departments	ODAG	02/13/2018	14	Resolved	Clarify the circumstances in which a component is responsible for designating an incident to be "high-profile" under the March 2016 guidance.
816	Audit of the Department of Justice's Efforts to Address Patterns or Practices of Police Misconduct and Provide Technical Assistance on Accountability Reform to Police Departments	ODAG	02/13/2018	15	Resolved	Develop procedures detailing the circumstances when notification and coordination with the relevant U.S. Attorney's Office is appropriate in jurisdictions where technical assistance will be provided by the OJP, COPS Office, or CRS.
817	Procedural Reform Recommendation for the U.S. Marshals Service	USMS	01/25/2018	1	Resolved	Take steps to ensure that its contractors are aware of the whistleblower protections that federal law provides for employees for Federal contractors.
818	Procedural Reform Recommendation for the U.S. Marshals Service	USMS	01/25/2018	2	Resolved	Take steps to ensure that its contractors take appropriate actions to conform their internal policies to comply with federal law.
819	Procedural Reform Recommendation for the U.S. Marshals Service	USMS	01/25/2018	3	Resolved	Examine its contracts to ensure that the contracts include no terms that are inconsistent with federal whistleblower protections.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
820	Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey	OJP	01/24/2018	2	Resolved	Ensure Hudson implement and adhere to policies and procedures to ensure program performance is monitored and records are maintained to demonstrate accomplishments towards achieving award goals and objectives.
821	Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey	OJP	01/24/2018	3	Resolved	Ensure Hudson implement and adhere to written policies and procedures to ensure progress reports are accurate and reported data is valid and reliable.
822	Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey	OJP	01/24/2018	5	Resolved	Ensure Hudson implement and adhere to written payroll policies and procedures to ensure personnel expenditures are supported by timesheets or periodic certifications.
823	Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey	OJP	01/24/2018	6	Resolved	Remedy \$231,584 in unsupported contract expenditures.
824	Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey	OJP	01/24/2018	7	Resolved	Ensure Hudson revise its written purchasing procedures to ensure grant funded expenditures are made in compliance with applicable laws, regulations, and the DOJ Grants Financial Guide
825	Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey	OJP	01/24/2018	8	Resolved	Remedy \$2,709,835 in unsupported match expenditures.
826	Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey	OJP	01/24/2018	11	Resolved	Ensure Hudson develops and implements written policies and procedures for drawdown requests to ensure federal cash on hand is kept at or near zero
827	Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey	OJP	01/24/2018	12	Resolved	Remedy \$258,798 in unsupported drawdowns.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
828	Audit of the Office of Justice Programs Second Chance Act Adult Offender Reentry Demonstration Program Grant Awarded to Hudson County, New Jersey	OJP	01/24/2018	13	Resolved	Ensure Hudson implement and adhere to written policies and procedure to ensure FFRs are prepared accurately and time.
829	Procedural Reform Recommendation for the Federal Bureau of Prisons	BOP	12/20/2017	2	Resolved	Ensure that the adjudication vendor is able to reproduce on demand all necessary data elements used to adjudicate the claims (e.g., DRG, all procedure codes, and drug information). The universe of claims data should be available to BOP on a national scale in a format that allows for thorough analysis and oversight regardless of institution.
830	Procedural Reform Recommendation for the Federal Bureau of Prisons	BOP	12/20/2017	1a	Resolved	Move immediately to require all CMS contractors to submit electronic claims.
831	Procedural Reform Recommendation for the Federal Bureau of Prisons	BOP	12/20/2017	1b	Resolved	Ensure those claims are properly analyzed and maintained by BOP's adjudication vendor.
832	Procedural Reform Recommendation for the Federal Bureau of Prisons	BOP	12/20/2017	1c	Resolved	Enforce existing contract language that requires the adjudication vendor to perform fraud analytics and report any indicators of fraud to the BOP.
833	Review of the Department's Tribal Law Enforcement Efforts Pursuant to the Tribal Law and Order Act of 2010	ODAG	12/14/2017	1	Resolved	Update the 2010 policy memoranda to U.S. Attorneys and heads of components to incorporate Tribal Law and Order Act mandates.
834	Review of the Department's Tribal Law Enforcement Efforts Pursuant to the Tribal Law and Order Act of 2010	FBI	12/14/2017	10b	Resolved	Track all training provided to the Bureau of Indian Affairs and tribal law enforcement, including ad hoc training that Special Agents provide, and develop procedures to incorporate this information in planning future training.
835	Review of the Department's Tribal Law Enforcement Efforts Pursuant to the Tribal Law and Order Act of 2010	FBI	12/14/2017	13b	Resolved	Analyze available data to help to identify resource, program, or potential training and law enforcement needs.
836	Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014	ALLDOJ	11/07/2017	2	On Hold/Pending with OIG	Ensure all applicable components are aware of and are following the reporting timelines for the Federal Procurement Data System as required by the Federal Acquisition Regulations for procurement awards, and the newly established Financial Assistance Broker System for financial assistance awards.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
837	Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014	ALLDOJ	11/07/2017	3	On Hold/Pending with OIG	Ensure all accounting entry corrections are addressed prior to submission of file B.
838	Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014	ALLDOJ	11/07/2017	6	On Hold/Pending with OIG	Review all validation warnings generated by the DATA Act broker system prior to submission and Senior Accountable Official certification, to ensure that the data submitted is accurate, and in compliance with the DAIMS instructions.
839	Examination of the U.S. Department of Justice's Compliance with the Federal Funding Accountability and Transparency Act of 2006, as Amended by the Digital Accountability and Transparency Act of 2014	ALLDOJ	11/07/2017	7	On Hold/Pending with OIG	Continue its efforts to implement the Unified Financial Management System (UFMS) in order to submit supported and accurate data to beta.USASpending.gov and to be in compliance with OMB Memorandum M-15-12.
840	Audit of Compliance with Standards Governing Combined DNA Index System Activities at the Los Angeles County Sheriff's Department Scientific Services Bureau Crime Laboratory, Los Angeles, California	FBI	09/29/2017	1	Resolved	Ensure that it implements the required physical access controls to properly track and maintain its distribution of keycards to ensure that all former employee's keycards have been deactivated.
841	Audit of Compliance with Standards Governing Combined DNA Index System Activities at the Los Angeles County Sheriff's Department Scientific Services Bureau Crime Laboratory, Los Angeles, California	FBI	09/29/2017	3	Resolved	Ensure that the distribution of all keycards are properly documented and limited to personnel designated by laboratory management, including performing a review of all unknown keycards and deactivating duplicate keycards.
842	Audit of Compliance with Standards Governing Combined DNA Index System Activities at the Los Angeles County Sheriff's Department Scientific Services Bureau Crime Laboratory, Los Angeles, California	FBI	09/29/2017	4	Resolved	Ensure that the LASD Laboratory strengthen physical security over the CODIS server and client terminals against any unauthorized personnel gaining access to the computer equipment or to any of the stored data.
843	Audit of Compliance with Standards Governing Combined DNA Index System Activities at the Los Angeles County Sheriff's Department Scientific Services Bureau Crime Laboratory, Los Angeles, California	FBI	09/29/2017	5	Resolved	Ensure that the LASD Laboratory has adequate physical security measures in place to protect against unauthorized personnel gaining access to any DNA records or data.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
844	Audit of Compliance with Standards Governing Combined DNA Index System Activities at the Los Angeles County Sheriff's Department Scientific Services Bureau Crime Laboratory, Los Angeles, California	FBI	09/29/2017	7	Resolved	Ensure that all case files contain sufficient information in order to determine CODIS eligibility.
845	Audit of the Office of Justice Programs Bureau of Justice Assistance Cooperative Agreement Awarded to Margolis Healy & Associates, LLC Burlington, Vermont	OJP	09/27/2017	1	Resolved	Remedy \$1,324,113 in unsupported expenditures resulting from costs associated with: personnel and fringe benefits (\$1,223,091), consultants (\$49,162), travel, equipment, and other direct costs (\$38,360), and subrecipients (\$13,500).
846	Audit of the Office of Justice Programs Bureau of Justice Assistance Cooperative Agreement Awarded to Margolis Healy & Associates, LLC Burlington, Vermont	OJP	09/27/2017	2	Resolved	Remedy \$11,988 in unallowable expenditures resulting from other (\$3,399) and professional fees (\$7,449) that exceeded the maximum allowable consultant rate and an expenditure totaling \$1,140 that was not approved in the budget.
847	Audit of the Office of Justice Programs Bureau of Justice Assistance Cooperative Agreement Awarded to Margolis Healy & Associates, LLC Burlington, Vermont	OJP	09/27/2017	3	Resolved	Remedy \$24,636 in unsupported drawdowns that was not recorded in MHA's accounting records.
848	Management Advisory Memorandum: Referring Alleged Misconduct to the Federal Bureau of Investigation's Inspection Division and the Department of Justice's Office of the Inspector General	FBI	09/25/2017	1	On Hold/Pending with OIG	Consider immediate actions to ensure the appropriate reporting of allegations of employee misconduct to the INSD and the OIG as required by FBI and Department policies and federal regulations.
849	Audit of the Federal Bureau of Investigation's Insider Threat Program	FBI	09/22/2017	1	Resolved	Track, summarize, and annually report InTP performance metrics as required.
850	Audit of the Federal Bureau of Investigation's Insider Threat Program	FBI	09/22/2017	2	Resolved	Ensure that leads and referrals concerning insider threats are handled and monitored in a systematic way, including making sure that leads go to the appropriate point of contact at each internal FBI component.
851	Audit of the Federal Bureau of Investigation's Insider Threat Program	FBI	09/22/2017	3	Resolved	Pursue technological solutions to mitigate the need for, or reduce the risk of, stand-alone systems.

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852	Audit of the Federal Bureau of Investigation's Insider Threat Program	FBI	09/22/2017	4	Resolved	Conduct a comprehensive inventory of classified networks, systems, applications, and other information technology assets and identify a component responsible for maintaining the inventory.
853	Audit of the Federal Bureau of Investigation's Insider Threat Program	FBI	09/22/2017	5	Resolved	Ensure user activity monitoring (UAM) coverage over all classified systems and networks and identify a component to maintain an accurate inventory of all information technology assets that have user activity monitoring coverage.
854	Audit of the Federal Bureau of Investigation's Insider Threat Program	FBI	09/22/2017	7	Resolved	Conduct an assessment to determine whether pre-employment psychological evaluations or an expansion of psychological evaluations for current employees should be implemented to improve its insider threat prevention efforts.
855	Audit of the Office of Juvenile Justice and Delinquency Prevention National Mentoring Programs Grants Awarded to the National Council of Young Men's Christian Associations of the USA, Chicago, Illinois	OJP	09/20/2017	1	Resolved	Coordinate with Y-USA to address the potential conflict of interest with having YMCA of San Francisco as both a contractor and subrecipient.
856	Audit of the Office of Juvenile Justice and Delinquency Prevention National Mentoring Programs Grants Awarded to the National Council of Young Men's Christian Associations of the USA, Chicago, Illinois	OJP	09/20/2017	2	Resolved	Remedy the \$1,588,614 in unallowable advances to grant subrecipients.
857	Audit of the Office of Juvenile Justice and Delinquency Prevention National Mentoring Programs Grants Awarded to the National Council of Young Men's Christian Associations of the USA, Chicago, Illinois	OJP	09/20/2017	7	Resolved	Remedy the \$72,479 in unsupported subrecipient expenditures charged to the grant.
858	Audit of the Office of Juvenile Justice and Delinquency Prevention National Mentoring Programs Grants Awarded to the National Council of Young Men's Christian Associations of the USA, Chicago, Illinois	OJP	09/20/2017	8	Resolved	Remedy the \$1,965 in unsupported contractor expenses charged to the grant.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
859	Audit of the Office of Juvenile Justice and Delinquency Prevention National Mentoring Programs Grants Awarded to the National Council of Young Men's Christian Associations of the USA, Chicago, Illinois	OJP	09/20/2017	11	Resolved	Ensure Y-USA complies with the special condition requiring appropriate copyright language in all of its contracts and agreements with subrecipients.
860	Report of Investigation of the Actions of Former DEA Leadership in Connection with the Reinstatement of a Security Clearance	ODAG	09/07/2017	2	Resolved	Amend or supplement the Department Security Officer's delegation of authority to clarify that for the purpose of security adjudications, SPMs report solely to the Department Security Officer, and not to senior officials within the components.
861	Management Advisory Memorandum: Recommendations for a Department of Justice Policy Establishing Standards for its Security Offices to Review Misconduct Investigations for Security Clearance Adjudications	ODAG	09/06/2017	1	Resolved	Issue or clarify policies to require DOJ security offices to routinely request relevant misconduct-related materials from relevant DOJ misconduct offices for consideration in connection with security clearance adjudications. Such policies should include a clear definition of relevant misconduct-related materials that ensures that security offices receive the necessary and appropriate information to make fully-informed decisions.
862	Management Advisory Memorandum: Recommendations for a Department of Justice Policy Establishing Standards for its Security Offices to Review Misconduct Investigations for Security Clearance Adjudications	ODAG	09/06/2017	2	Resolved	Issue or clarify policies to require DOJ misconduct offices to provide such relevant misconduct-related materials to DOJ security offices or OPM to ensure timely and informed security clearance adjudications, whether those materials arise as a result of a misconduct investigation or are requested in connection with a security clearance adjudication.
863	A Report of Investigation of Certain Allegations Referred by the Office of Special Counsel Concerning the Juvenile Justice and Delinquency Prevention Act Formula Grant Program	OJP	07/25/2017	2	On Hold/Pending with OIG	Consider issuing guidance clarifying the circumstances under which juveniles may be confined in unoccupied adult jails consistent with the Jail Removal core requirement.

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864	A Report of Investigation of Certain Allegations Referred by the Office of Special Counsel Concerning the Juvenile Justice and Delinquency Prevention Act Formula Grant Program	OJP	07/25/2017	3	On Hold/Pending with OIG	Expediently notify all states and other interested parties that the VCO non-offender regulation has been determined to be ultra vires.
865	Audit of the Office of Juvenile Justice and Delinquency Prevention Title II Part B Formula Grant Program Related to Allegations of the OJJDP's Inappropriate Conduct	OJP	07/24/2017	3	Resolved	Finalize its OJJDP Guidance Manual-Audit of Compliance Monitoring Systems that was under development as of May 2017.
866	Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness	BOP	07/11/2017	1	Resolved	Establish in policy the circumstances that warrant the placement of inmates in single-cell confinement while maintaining institutional and inmate safety and security and ensuring appropriate, meaningful human contact and out-of-cell opportunities to mitigate mental health concerns.
867	Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness	BOP	07/11/2017	2	Resolved	Define and establish in policy extended placement in measureable terms.
868	Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness	BOP	07/11/2017	3	Resolved	Track all inmates in single-cell confinement and monitor, as appropriate, the cumulative amount of time that inmates with mental illness spend in restrictive housing, including single-cell confinement.
869	Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness	BOP	07/11/2017	4	Resolved	Identify all forms of restrictive housing utilized throughout its institutions and ensure that all local policies are updated to reflect standards for all inmates in restrictive housing consistent with established nationwide policies.
870	Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness	BOP	07/11/2017	5	Resolved	Evaluate and limit as appropriate the consecutive amount of time that inmates with serious mental illness may spend in restrictive housing.
871	Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness	BOP	07/11/2017	13	Resolved	Provide additional mental health training to correctional staff who are responsible for monitoring the behavior of inmates in restrictive housing.

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872	Review of the Federal Bureau of Prisons' Use of Restrictive Housing for Inmates with Mental Illness	BOP	07/11/2017	15	Resolved	Conduct a comprehensive review of U.S. Penitentiary Lewisburg's Special Management Unit that addresses the staffing, treatment, conditions of confinement, and performance metrics of the program.
873	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	DEA	05/24/2017	1	On Hold/Pending with OIG	Establish procedures that will require sufficient training and de-confliction between DEA personnel and host nation counterparts on their respective deadly force policies before commencing future counter-narcotics operations outside the United States.
874	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	DEA	05/24/2017	2	On Hold/Pending with OIG	Establish protocols that will ensure that, in joint operations abroad in which critical incidents are possible, appropriate and sufficient mechanisms are in place in the event of a critical incident to support the law enforcement personnel on the ground (including the availability of additional forces and airlift support), provide for the processing of the crime scene without prolonged delay, and allow for any and all search and rescue missions that may become necessary.
875	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	DEA	05/24/2017	3	On Hold/Pending with OIG	Revised post shooting procedures to ensure DEA investigates all shootings during joint operations abroad in which initial reporting or available information is that DEA personnel either may have discharged their weapons or instructed other individuals to fire their weapons, was in a position to discharge their weapons or instruct other individuals to fire, or it is determined that DEA nevertheless played a leadership role in the operation.

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876	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	DEA	05/24/2017	4	On Hold/Pending with OIG	Because DEA's post-shooting incident procedures do not provide delegation guidelines for foreign incidents or, in the case of domestic incidents, state whether the investigation will be investigated directly by IN or delegated to the field when the incident involves significant injuries, death, or other significant liabilities, DEA's procedures should be revised to clarify the circumstances under which shooting incidents are to be investigated directly by the Office of Inspections and the circumstances under which investigations will be delegated to the field.
877	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	DEA	05/24/2017	5	On Hold/Pending with OIG	Revise DEA's post-shooting incident procedures to include a requirement that will ensure that, when delegated to the field, the supervisory agent assigned to conduct the investigation will be someone outside the supervisory chain or program of the shooter and relevant witnesses.
878	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	ODAG	05/24/2017	7	Resolved	Determine whether revisions to the post-shooting incident procedures should be made across the Department's law enforcement components to address the issue of shooting incidents outside the United States by a foreign LEO working on a joint law enforcement operation with a DOJ component. We also recommend that the Deputy Attorney General consider whether revisions to the components' post-shooting incident procedures should be made to ensure that the requirements are appropriate and consistent across the Department's law enforcement components.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
879	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	DEA	05/24/2017	8	On Hold/Pending with OIG	<p>In connection with future counternarcotics operations outside the United States, DEA should work with the relevant U.S. Embassy and host nation partners to develop a specific protocol, in advance of the operation, that will determine which entity or entities will investigate a shooting or other critical incident that occurs during the operation; the scope and requirements of such an investigation; what information will be shared between and among the relevant entities for use in such investigation and when that will occur; and the time-frame and procedures for sharing of the results of the investigation. Such protocols should ensure timely access to relevant information by the Chief of Mission, as well as whatever entity or entities is or are involved in the investigation of any shooting or other critical incident, and include a procedure to identify and resolve conflicting evidence or investigative gaps when more than one such entity is involved in the investigation. To the extent DEA and the COM determine that certain information should not be provided to the host nation, DEA should work with the Embassy to ensure that any investigation conducted by the host nation receives sufficient information to allow for a meaningful and thorough review of the relevant facts. DEA should not undertake future joint counternarcotics operations with its foreign counterparts outside the United States in instances where it is unable to reach agreement with the U.S. Embassy and its foreign counterparts in advance on such basic post-incident protocols, at least in circumstances where shootings or other critical incidents are a possibility.</p>

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
880	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	DEA	05/24/2017	6a	On Hold/Pending with OIG	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific requirements for the inspection of all weapons of DEA personnel and task force officers to ensure that all such weapons fired during the shooting are identified and that all weapons not fired are identified. All DEA personnel and task force officers should understand that weapons checks must be done as soon as it is practical to do so and procedures should specify how such weapons checks must be conducted, including whether a standard load procedure or other mechanism is required to ensure that missing rounds will be identified.
881	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	DEA	05/24/2017	6b	On Hold/Pending with OIG	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific guidance to the supervisory special agent or inspector assigned to investigate the incident regarding the appropriate steps that should be taken to investigate the incident in addition to the collection of relevant documents.
882	A Special Joint Review of Post-Incident Responses by the Department of State and Drug Enforcement Administration to Three Deadly Force Incidents in Honduras	DEA	05/24/2017	6c	On Hold/Pending with OIG	Revise DEA's post-shooting incident procedures to ensure that a thorough post-shooting investigation is conducted, including, but not necessarily limited to specific requirements for the conduct of interviews and preparation and collection of witness statements.
883	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	6	Resolved	Ensure that FBIC completes all planned objectives for Grant Number 2012-IC-BX-0007.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
884	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	10	Resolved	Ensure that the FBIC has procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program and those specified in the solicitation and award documents.
885	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OVW	05/10/2017	10	Resolved	Ensure the FBIC has procedures to ensure that progress reports are accurate and supported and, for awards after December 2014, document each performance measure required by the program and those specified in the solicitation and award documents.
886	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	11	Resolved	Ensure that FBIC has procedures to ensure compliance with all special conditions.
887	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OVW	05/10/2017	11	Resolved	Ensure that FBIC has procedures to ensure compliance with all special conditions.
888	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	12	Resolved	Ensure that FBIC has a process to ensure grant funds are only paid to recipients that are eligible to receive federal funding.
889	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OVW	05/10/2017	12	Resolved	Ensure that FBIC has a process to ensure grant funds are only paid to recipients that are eligible to receive federal funding.
890	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	13	Resolved	Ensure that FBIC has a process to ensure employee time allocated to multiple grants is properly supported.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
891	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OVW	05/10/2017	13	Resolved	Ensure that FBIC has a process to ensure employee time allocated to multiple grants is properly supported.
892	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	14	Resolved	Ensure that FBIC has policy and procedures to monitor and document contractor performance as to ensure that contractors adhere to the terms of the contract.
893	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OVW	05/10/2017	14	Resolved	Ensure that FBIC has policy and procedures to monitor and document contractor performance as to ensure that contractors adhere to the terms of the contract.
894	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	15	Resolved	Ensure that FBIC has a process to ensure that federal cash on hand is the minimum needed for disbursements to made immediately or within 10 days and, if the funds are not spent or disbursed within 10 days, the FBIC must return them to the awarding agency.
895	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OVW	05/10/2017	15	Resolved	Ensure that FBIC has a process to ensure that federal cash on hand is the minimum needed for disbursements to be made immediately or within 10 days and, if the funds are not spent or disbursed within 10 days, the FBIC must return them to the awarding agency.
896	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OVW	05/10/2017	2a	Resolved	Remedy the \$60,174 in unallowable contractual prosecution services.
897	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OVW	05/10/2017	3c	Resolved	Remedy the \$25,000 in contractual prosecution service transactions that were not adequately documented.

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898	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OVW	05/10/2017	4b	Resolved	Remedy the \$1,897 in prohibited indirect costs questioned as funds to better use.
899	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OVW	05/10/2017	4c	Resolved	Remedy the \$44,277 in unobligated award funds questioned as funds to better use.
900	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OVW	05/10/2017	4d	Resolved	Remedy the \$3,989 in unreimbursed award expenditures questioned as funds to better use.
901	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	7a	Resolved	Assess the FBIC's ability to complete the program goals prior to the end of the award and ensure program sustainability for Grant Number 2014-CZ-BX-0013.
902	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	7b	Resolved	Assess the FBIC's ability to complete the program goals for Grant Number 2015-AC-BX-0011 prior to the end of the award.
903	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	8a	Resolved	Remedy the \$3,100 in unsupported personnel and fringe costs.
904	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	8b	Resolved	Remedy the \$14,163 in unallowable contractual prosecution services.
905	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	8c	Resolved	Remedy the \$12,421 in unallowable other direct costs.

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906	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	8d	Resolved	Remedy the \$5,232 in unallowable indirect costs.
907	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	9a	Resolved	Remedy the \$6,092 in unsupported personnel and fringe costs.
908	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	9b	Resolved	Remedy the \$49,583 in unsupported contractual prosecution services.
909	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	9c	Resolved	Remedy the \$2,161 in unsupported other direct costs.
910	Audit of the Office on Violence Against Women and the Office of Justice Programs Awards to the Fort Belknap Indian Community, Harlem, Montana	OJP	05/10/2017	9d	Resolved	Remedy the \$30,042 in unsupported excess drawdowns.
911	Review of Domestic Sharing of Counterterrorism Information	ODAG	03/30/2017	10	Resolved	Develop a comprehensive internal counterterrorism information sharing strategic plan based on a review of the President's strategic plan and in consultation with relevant partners.
912	Review of Domestic Sharing of Counterterrorism Information	ODAG	03/30/2017	11	Resolved	Implement a council, led by a senior Department official, for the internal coordination of DOJ information sharing strategy and investments, and ensure that relevant components designate senior-level officials responsible for monitoring their component's efforts and communicating their efforts to DOJ as requested.
913	Review of Domestic Sharing of Counterterrorism Information	FBI	03/30/2017	17	Resolved	Direct FBI field divisions to identify and invite key stakeholders to TRP sessions.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
914	Review of Domestic Sharing of Counterterrorism Information	FBI	03/30/2017	18	Resolved	Determine the agencies with which it should share its counterterrorism-related TRP results and implement a process to ensure the TRP results are appropriately shared with those agencies on a systemic and regular basis.
915	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault Canton, Connecticut	OVW	03/28/2017	1	Resolved	Ensure that SCESA implements a financial system that corrects significant internal control deficiencies and produces consistent, accurate, reliable, and verifiable reporting.
916	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault Canton, Connecticut	OVW	03/28/2017	2	Resolved	Ensure SCESA remedies \$2,339,435 in unsupported costs representing the full amount of the awards we audited.
917	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault Canton, Connecticut	OVW	03/28/2017	3	Resolved	Ensure SCESA develops written policies and procedures to ensure personnel and fringe benefit expenditures are accurately charged to the awards and include timesheets that show evidence of supervisory approval.
918	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault Canton, Connecticut	OVW	03/28/2017	5	Resolved	Ensure SCESA develops policies and procedures that ensure it completes its Single Audit Report as required, and in a timely manner, so that award funds totaling \$1,208,853 are not unduly placed at risk.
919	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to the National Organization of Sisters of Color Ending Sexual Assault Canton, Connecticut	OVW	03/28/2017	6	Resolved	Ensure the Board takes a more active oversight role in monitoring and oversight of the organization's overall financial status, programmatic performance, and ongoing awards, holding the Executive Director accountable for results, and developing and implementing appropriate policies and procedures to guide the operation and administration of the organization.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
920	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Management and Oversight of Confidential Informants	ATF	03/27/2017	4	Resolved	Improve the monitoring of foreign national CIs to ensure the legal status of active CIs does not lapse and, as appropriate, coordinate with DHS when the legal status of foreign national CIs has expired. In addition, we recommend that ATF determine whether any current or former CIs with expired sponsorships are in the United States and if so, coordinate with DHS on the status of these individuals.
921	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Management and Oversight of Confidential Informants	ATF	03/27/2017	1a	Resolved	Complete the development of and implement a recordkeeping system sufficient to maintain, in a single location, complete and reliable CI information. To accomplish this, ATF should work with field division CI Coordinators to ensure that any data that has been migrated from the legacy National CI Registry System to CIMRRS is complete, accurate, and standardized.
922	Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Management and Oversight of Confidential Informants	ATF	03/27/2017	1d	Resolved	Complete the development of and implement a recordkeeping system sufficient to maintain, in a single location, complete and reliable CI information. To accomplish this, ATF should implement a method to accurately and completely track all payment information for individual CIs, including at the transaction level as well as annual and lifetime payment amounts.
923	Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2016	JMD	03/27/2017	4	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
924	Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Modernization Act of 2014 Fiscal Year 2016	JMD	03/27/2017	5	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
925	Addendum to the Audit of the Drug Enforcement Administration's Management and Oversight of its Confidential Source Program	DEA	03/22/2017	5g	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
926	Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York	CRM	03/08/2017	1	On Hold/Pending with OIG	Remedy \$59,590 in unsupported drug buy expenditures from FY 2011 through FY 2015 as identified.
927	Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York	CRM	03/08/2017	3	On Hold/Pending with OIG	Remedy \$43,851 in unsupported overtime expenditures from FY 2011 through FY 2015 as identified.
928	Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York	CRM	03/08/2017	4	On Hold/Pending with OIG	Remedy \$73,329 of garage expenditures identified as unsupported.
929	Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York	CRM	03/08/2017	6	On Hold/Pending with OIG	Remedy \$110,548 of unsupported supplies and equipment purchased not properly procured under the Franklin County purchasing policy.
930	Audit of the Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York	CRM	03/08/2017	7	On Hold/Pending with OIG	Remedy \$45,061 in unsupported telecommunication's expenditures.
931	Audit of the Office on Violence Against Women Encourage Arrest Policies and Enforcement of Protection Orders Program Grants Awarded to Bucks County, Pennsylvania	OVW	02/14/2017	6	Resolved	Remedy \$626,133 in unsupported personnel and fringe benefits of subrecipient expenditures.
932	Audit of the Office on Violence Against Women Encourage Arrest Policies and Enforcement of Protection Orders Program Grants Awarded to Bucks County, Pennsylvania	OVW	02/14/2017	8	Resolved	Remedy \$72,000 in unsupported consultant expenses.

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933	Audit of the Office of Justice Programs' Tribal Justice Systems Infrastructure Program	OJP	01/18/2017	3a	Resolved	Remedy \$10,720,232 in unallowable costs awarded to the Nisqually Tribe to fund a correctional facility that was not funded or used in conformity with the statutory authority of the TJSIP, and that was inappropriately built with the intention of being a profit-generating facility.
934	Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon	OVW	01/09/2017	3	Resolved	Remedy \$2,549 in inadequately supported questioned costs relating to grant expenditures
935	Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon	OVW	01/09/2017	4	Resolved	Remedy \$21,311 for unallowable salary and associated fringe benefits.
936	Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon	OVW	01/09/2017	5	Resolved	Remedy \$24,149 in inadequately supported salary and fringe benefits.
937	Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon	OVW	01/09/2017	6	Resolved	Remedy \$1,085 in inadequately supported fringe benefits (health insurance premiums).
938	Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon	OVW	01/09/2017	8	Resolved	Remedy \$284,785 in inadequately supported LGPD and CUPO costs.
939	Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon	OVW	01/09/2017	9a	Resolved	Remedy \$4,511 in unallowable Probation Officer costs.
940	Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon	OVW	01/09/2017	9b	Resolved	Remedy \$7,076 in unallowable counselor costs for payments more than the contracted rate.
941	Audit of the Office on Violence Against Women Grant Awarded to Shelter From the Storm, Incorporated, Island City, Oregon	OVW	01/09/2017	9c	Resolved	Remedy \$6,520 in unallowable counselor costs payments for no-shows and cancellations of scheduled victim counseling sessions.
942	Audit of the Office of Juvenile Justice and Delinquency Prevention Multi-State Mentoring Initiative Grants Awarded to Amachi, Inc., Philadelphia, Pennsylvania	OJP	12/27/2016	1b	Resolved	Remedy \$120,166 in unallowable contract and consultant expenditures that were not procured competitively.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
943	Audit of the Office of Juvenile Justice and Delinquency Prevention Multi-State Mentoring Initiative Grants Awarded to Amachi, Inc., Philadelphia, Pennsylvania	OJP	12/27/2016	1d	Resolved	Remedy \$3,500 in unallowable consultant expenditures that exceeded the maximum allowable consultant rate without prior approval from OJJDP.
944	Audit of the Office of Juvenile Justice and Delinquency Prevention Multi-State Mentoring Initiative Grants Awarded to Amachi, Inc., Philadelphia, Pennsylvania	OJP	12/27/2016	1e	Resolved	Remedy \$18,860 in unsupported consultant expenditures.
945	Audit of the Office of Juvenile Justice and Delinquency Prevention Multi-State Mentoring Initiative Grants Awarded to Amachi, Inc., Philadelphia, Pennsylvania	OJP	12/27/2016	1g	Resolved	Remedy \$1,948,194 in subrecipient expenditures that included unsupported personnel, fringe benefits, indirect costs, and second-tier subawards.
946	Audit of the Federal Bureau of Prisons' Contract with CoreCivic, Inc. to Operate the Adams County Correctional Center in Natchez, Mississippi	BOP	12/19/2016	3	Resolved	Evaluate the extent to which employee qualification levels and turnover rates affect safety and security concerns, and whether its contractual terms should be modified to address those concerns.
947	A Review of the FBI's Use of Section 215 Orders for Business Records in 2012-2014	FBI	09/29/2016	1	Response Not Yet Due	Continue to pursue ways to make the business records process more efficient, particularly for applications related to cyber cases.
948	Audit of Contracts Awarded by the Boys and Girls Clubs of America, Inc. Using Office of Justice Programs Grant Funds	OJP	09/21/2016	1	Resolved	Remedy the \$505,148 in unsupported costs due to the absence of justification in the selection of contracts on a sole source basis.
949	Audit of Contracts Awarded by the Boys and Girls Clubs of America, Inc. Using Office of Justice Programs Grant Funds	OJP	09/21/2016	2	Resolved	Remedy the \$2,457,784 in unsupported costs due to inadequate justification in the selection of contractors on a sole source basis.
950	Audit of Contracts Awarded by the Boys and Girls Clubs of America, Inc. Using Office of Justice Programs Grant Funds	OJP	09/21/2016	9a	Resolved	Remedy the \$66,287 in unsupported costs regarding vendors hired by FirstPic, Inc. to complete contract service tasks in which the Boys and Girls Clubs identified FirstPic, Inc. as a sole source provider for those tasks.
951	Audit of Contracts Awarded by the Boys and Girls Clubs of America, Inc. Using Office of Justice Programs Grant Funds	OJP	09/21/2016	9b	Resolved	Remedy the \$4,630 in unsupported costs regarding the remaining other direct costs in which FirstPic, Inc. did not provide sufficient support for the costs billed and paid.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
952	Follow-Up Audit of the Department of Justice's Implementation of and Compliance with Certain Classification Requirements	JMD	09/12/2016	1	Resolved	Coordinate with the DEA's Office of National Security Intelligence to ensure its classification practices do not result in over-or under-classification.
953	Follow-Up Audit of the Department of Justice's Implementation of and Compliance with Certain Classification Requirements	JMD	09/12/2016	3	Resolved	Publish the updated Mandatory Declassification Review process in the Federal Register to ensure compliance with EO 13526.
954	A Review of ATF's Undercover Storefront Operations	ODAG	09/08/2016	10	Resolved	Promptly design and implement a plan that ensures that its law enforcement and detention components comply with the Rehabilitation Act of 1973.
955	Review of the Federal Bureau of Prisons' Release Preparation Program	BOP	08/30/2016	1	Resolved	Establish a standardized list of courses, covering at least the Release Preparation Program's core categories, as designated by the BOP, to enhance the consistency of Release Preparation Program curricula across BOP institutions.
956	Review of the Federal Bureau of Prisons' Release Preparation Program	BOP	08/30/2016	2	Resolved	Consider implementing the use of validated assessment tools to assess specific inmate programming needs.
957	Review of the Federal Bureau of Prisons' Release Preparation Program	BOP	08/30/2016	3	Resolved	Use evaluation forms to collect feedback from inmates about the Release Preparation Program courses they attend to facilitate improvement.
958	Review of the Federal Bureau of Prisons' Release Preparation Program	BOP	08/30/2016	4	Resolved	Develop and implement quality control for Release Preparation Program courses across all institutions.
959	Review of the Federal Bureau of Prisons' Release Preparation Program	BOP	08/30/2016	5	Resolved	Explore the use of incentives and other methods to increase inmate participation and completion rates for the Institution Release Preparation Programs.
960	Review of the Federal Bureau of Prisons' Release Preparation Program	BOP	08/30/2016	7	Resolved	Establish a mechanism to assess the extent that, through the Release Preparation Program, inmates gain relevant skills and knowledge to prepare them for successful reentry to society.
961	Audit of the Office of Justice Programs Human Trafficking and the Office on Violence Against Women Legal Assistance Awards to the Asian Pacific Islander Legal Outreach, San Francisco, California	OVW	08/22/2016	4	Resolved	Ensure that APILO identifies actual costs paid to its subrecipients, provides adequate support for those expenditures and properly supports these invoices with supportable rates that represent costs of actual services.

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962	Audit of the Federal Bureau of Investigation's Cyber Threat Prioritization	FBI	07/20/2016	1	Resolved	Utilize an algorithmic, data driven, and objective methodology in the scoping and prioritization of cyber threat sets, including: Document policies and procedures and provide training for the use of the methodology, including who should enter the data and how the data should be used in prioritizing cyber threat sets; and Ensure that the results of the threat ranking tool are updated automatically through integration with Sentinel and updated manually at least every 30 days so that emerging threat sets can be identified and mitigated in a timely manner.
963	Audit of the Federal Bureau of Investigation's Cyber Threat Prioritization	FBI	07/20/2016	2	Resolved	Develop and implement a record keeping system that tracks agent time utilization by threat set.
964	Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts	BOP	06/28/2016	3	Resolved	Develop uniform guidelines and criteria for conducting random staff pat searches across all institutions that require a minimum frequency and duration for search events to ensure that appropriate numbers of staff on each shift are searched with appropriate frequency.
965	Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts	BOP	06/28/2016	4	Resolved	Define what quantities, if any, of tobacco and related tobacco products should be authorized for staff to bring into institutions for personal use.
966	Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts	BOP	06/28/2016	5	Resolved	Restrict the size and content of personal property that staff may bring into BOP institutions.
967	Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts	BOP	06/28/2016	6	Resolved	Establish procedures whereby all post-declaration items discovered during staff screening procedures are documented, along with the identity of the staff member involved, as well as more explicit guidelines for when the items should be confiscated and when such instances or a series of them should be referred for investigation and corrective action.
968	Review of the Federal Bureau of Prisons' Contraband Interdiction Efforts	BOP	06/28/2016	11	Resolved	Evaluate the existing security camera system to identify needed upgrades, including to ensure [REDACTED.]

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969	The Federal Bureau of Prisons' Reimbursement Rates for Outside Medical Care	BOP	06/08/2016	3	Resolved	Improve the collection and analysis of utilization data for inmate medical care to better understand the services that inmates need and the impact it has on the BOP's medical spending.
970	Summary of a Review of the Management and Operations of an FBI Recreation Association	FBI	05/10/2016	1	Resolved	Temporarily shut down the stores operated by the FBIRA-NY until they are in compliance with New York State law and FBI Policy.
971	Summary of a Review of the Management and Operations of an FBI Recreation Association	FBI	05/10/2016	2	Resolved	Revise and update CPD 0465D, including prohibiting FBIRA Board Members from using personal financial instruments or accounts in connection with FBIRAs, clearly delineating permissible and impermissible uses of FBIRA resources, and requiring accountability for RA funds.
972	Summary of a Review of the Management and Operations of an FBI Recreation Association	FBI	05/10/2016	3	Resolved	Provide appropriate training and guidance to FBIRA directors and FBI Field Division managers.
973	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota	OVW	04/06/2016	5c	Resolved	Remedy the \$33,840 in unallowable unbudgeted general liability and health insurance costs.
974	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota	OVW	04/06/2016	5d	Resolved	Remedy the \$677 in unallowable grant expenditures overpaid to consultants.
975	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota	OVW	04/06/2016	5e	Resolved	Remedy the \$133 in unallowable grant expenditures overpaid for travel.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
976	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota	OVW	04/06/2016	5f	Resolved	Remedy the \$422 in unallowable grant expenditures incurred after the grant end date for Grant Number 2008-IW-AX-0005.
977	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota	OVW	04/06/2016	5h	Resolved	Remedy the \$1,761 in unallowable unbudgeted audit preparation costs.
978	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota	OVW	04/06/2016	5i	Resolved	Remedy the \$2,649 in unallowable grant expenditures incorrectly charged to Grant Number 2014-IW-AX-0006.
979	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota	OVW	04/06/2016	5j	Resolved	Remedy the \$7,294 in funds drawn down in excess of grant expenditures for Grant Number 2008-IW-AX-0005.
980	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota	OVW	04/06/2016	6c	Resolved	Remedy the \$84,383 for grant expenditures with insufficient documentation incurred while under the fiscal agent.
981	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota	OVW	04/06/2016	6d	Resolved	Remedy the \$11,461 in grant expenditures for consultant expenses with insufficient documentation.
982	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota	OVW	04/06/2016	6e	Resolved	Remedy the \$20,260 for grant expenditures incurred for travel with insufficient documentation.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
983	Audit of the Office on Violence Against Women Tribal Domestic Violence and Sexual Assault Coalition Program Grants Awarded to the Native Women's Society of the Great Plains, Eagle Butte, South Dakota	OVW	04/06/2016	6g	Resolved	Remedy the \$1,831 in grant expenditures for computer equipment with insufficient documentation
984	Audit of the Office of Justice Programs Grant Awarded to the Housing Authority of Plainfield, Plainfield, New Jersey	OJP	03/29/2016	1	On Hold/Pending with OIG	Remedy \$244,233 in unsupported grant funds.
985	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Security Modernization Act Fiscal Year 2015	FBI	03/24/2016	20	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
986	Audit of the Office of Justice Programs Multi-Purpose Grants Awarded to the Lower Brule Sioux Tribe, Lower Brule, South Dakota	OJP	03/22/2016	2a	Resolved	Remedy the \$50,875 in unallowable expenditures which were not budgeted.
987	Audit of the Office of Justice Programs Multi-Purpose Grants Awarded to the Lower Brule Sioux Tribe, Lower Brule, South Dakota	OJP	03/22/2016	2b	Resolved	Remedy the \$656 in unallowable personnel fringe benefits that were not budgeted.
988	Audit of the Office of Justice Programs Multi-Purpose Grants Awarded to the Lower Brule Sioux Tribe, Lower Brule, South Dakota	OJP	03/22/2016	2c	Resolved	Remedy the \$150,331 in unallowable personnel salaries that were not budgeted.
989	Audit of the Office of Justice Programs Multi-Purpose Grants Awarded to the Lower Brule Sioux Tribe, Lower Brule, South Dakota	OJP	03/22/2016	2d	Resolved	Remedy the \$33,397 in unallowable indirect costs that exceeded the approved budget.
990	Audit of the Office of Justice Programs Multi-Purpose Grants Awarded to the Lower Brule Sioux Tribe, Lower Brule, South Dakota	OJP	03/22/2016	2f	Resolved	Remedy the \$549,324 in unallowable costs that were incurred prior to the approval by the Office of the Chief Financial Officer through a Grant Adjustment Notice.
991	Audit of the Office of Justice Programs Multi-Purpose Grants Awarded to the Lower Brule Sioux Tribe, Lower Brule, South Dakota	OJP	03/22/2016	2g	Resolved	Remedy the \$427,429 in unallowable draws that were incurred prior to the approval by the Office of the Chief Financial Officer through a Grant Adjustment Notice.
992	Audit of the Office of Justice Programs Multi-Purpose Grants Awarded to the Lower Brule Sioux Tribe, Lower Brule, South Dakota	OJP	03/22/2016	2h	Resolved	Remedy the \$159,272 in unallowable transfers that exceeded the limits of the 10 percent rule.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
993	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to ASISTA, Des Moines, Iowa	OVW	02/24/2016	1	Resolved	Ensure that ASISTA officially reports the program income to OVW and uses the \$105,440 in identified program income in accordance with award requirements.
994	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to ASISTA, Des Moines, Iowa	OVW	02/24/2016	3	Resolved	Require ASISTA to remedy \$52,764 in misallocated salary expense to the legal training cooperative agreement and ensure salaries are paid on actual time spent and not on estimates or budgets for the project.
995	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to ASISTA, Des Moines, Iowa	OVW	02/24/2016	5	Resolved	Require ASISTA to establish a process to ensure that it allocates general expenditures as accurately as possible between all sources of income and expenses and remedy the related undetermined questioned costs for general operating costs that were not properly allocated to all revenue sources.
996	Audit of the Office on Violence Against Women Cooperative Agreements Awarded to ASISTA, Des Moines, Iowa	OVW	02/24/2016	8	Resolved	Require ASISTA to remedy the \$7,772 in consultant expenses paid to an ASISTA board member.
997	Audit of the Drug Enforcement Administration's Controls Over Seized and Collected Drugs	DEA	02/17/2016	8	Resolved	Develop a method to ensure the laboratories are notified of drug exhibits in transit to the laboratories.
998	Audit of the Drug Enforcement Administration's Controls Over Seized and Collected Drugs	DEA	02/17/2016	1a	Resolved	Reinforce, through official communication and training, that special agents: a. document the gross weight of the exhibit on the DEA-6.
999	Audit of the Drug Enforcement Administration's Controls Over Seized and Collected Drugs	DEA	02/17/2016	1b	Resolved	Completely fill out the Temporary Drug Ledger for each exhibit placed in temporary drug storage.
1000	Audit of the Drug Enforcement Administration's Controls Over Seized and Collected Drugs	DEA	02/17/2016	1c	Resolved	Complete the DEA-7 within the required timeframe.
1001	Audit of the Drug Enforcement Administration's Controls Over Seized and Collected Drugs	DEA	02/17/2016	1d	Resolved	Provide the appropriate memorandum documenting approval of the reasons for which exhibits are held for more than 3 business days in temporary storage.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
1002	Audit of the Drug Enforcement Administration's Controls Over Seized and Collected Drugs	DEA	02/17/2016	1e	Resolved	Maintain both portions of the receipt.
1003	Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana	OVW	11/09/2015	3	Resolved	Remedy the \$44,311 in grant reimbursements claimed for personnel costs not in the OVW-approved budgets.
1004	Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana	OVW	11/09/2015	4	Resolved	Remedy the \$30,353 in grant reimbursements claimed for costs that were unallowable under the terms and conditions of the awards.
1005	Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana	OVW	11/09/2015	5	Resolved	Remedy the \$29,675 in grant reimbursements claimed for rent the DCDV paid to itself for a building it owns.
1006	Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana	OVW	11/09/2015	2b	Resolved	Remedy the \$872,246 in grant reimbursements expended on personnel costs that are not supported by detailed time and attendance records.
1007	Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana	OVW	11/09/2015	2c	Resolved	Remedy the \$45,840 in grant reimbursements claimed for costs which are unsupported by grant documentation.
1008	Audit of the Office on Violence Against Women Grants Awarded to the Dawson County Domestic Violence Program, Glendive, Montana	OVW	11/09/2015	2d	Resolved	Remedy the \$199,427 in grant reimbursements claimed for expenditures for which supporting documentation has been destroyed.
1009	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	OJP	09/30/2015	1a	Resolved	Remedy unsupported expenditures resulting from costs associated with consultant expenditures due to lack of time and effort reports (expenditures of \$157,351) and insufficient time and effort reports (expenditures of \$32,130).

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1010	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	OJP	09/30/2015	1b	Resolved	Remedy unsupported expenditures resulting from costs associated with personnel and fringe benefit expenditures that were not properly supported (expenditures of \$46,061; \$11,372 from the BJA Tribal Courts Assistance Program grant, \$17,023 from the FY 2009 Tribal Youth Program grant, and \$17,666 from the FY 2011 Tribal Youth Program grant).
1011	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	OJP	09/30/2015	2a	Resolved	Remedy unallowable expenditures resulting from costs associated with consultant expenditures where consultant did not enter into an agreement or contract with Penobscot Nation (expenditures of \$157,351) related to the Tribal Youth Program grants and a fringe benefit expenditure that was misclassified and was not approved in the BJA Tribal Courts Assistance Program grant (expenditure of \$2,444).
1012	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	OJP	09/30/2015	2b	Resolved	Remedy unallowable expenditures resulting from costs associated with personnel that was not properly supported or approved by OJP (expenditures of \$20,598) related to the FY 2009 Tribal Youth Program, and personnel that was not properly supported or approved by OJP (expenditures of \$18,134) related to the BJA Tribal Courts Assistance Program.
1013	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	OJP	09/30/2015	2c	Resolved	Remedy unallowable expenditures resulting from costs associated with travel expenditures that were not approved in compliance with the Penobscot Indian Nation Travel Policies and grant award terms and conditions (expenditures of \$28,102).
1014	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	OJP	09/30/2015	2d	Resolved	Remedy unallowable expenditures resulting from costs associated with other costs that was not approved in the grant budget (\$5,120).

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1015	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	OJP	09/30/2015	2e	Resolved	Remedy unallowable expenditures resulting from costs associated with equipment expenditures related to the BJA Tribal Courts Assistance Program grant that were not procured competitively and based on contract proposal (expenditures of \$44,410).
1016	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	OVW	09/30/2015	5a	Resolved	Remedy unallowable expenditures resulting from costs associated with a consultant that was not approved in the award budget (expenditures of \$10,476) related to the OVW Grants to Indian Tribal Governments grant.
1017	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	OVW	09/30/2015	5b	Resolved	Remedy unallowable expenditures resulting from costs associated with personnel and fringe benefit expenditures related to the OVW Grants to Tribal Governments grant that were not approved by OVW (expenditures of \$35,284).
1018	Audit of the Office of Justice Programs and Office on Violence Against Women Grants Awarded to the Penobscot Indian Nation, Indian Island, Maine	OVW	09/30/2015	5c	Resolved	Remedy unallowable expenditures resulting from costs associated with travel expenditures that were not approved in compliance with the Penobscot Indian Nation Travel Policies and grant award terms and conditions (expenditures of \$1,160).
1019	Audit of the Office of Justice Programs Correctional Systems and Correctional Alternatives on Tribal Lands Program Grants Awarded to the Navajo Division of Public Safety, Window Rock, Arizona	OJP	09/28/2015	2	Resolved	Remedy the \$656,921 in unsupported questioned costs for Grant Number 2009-ST-B9-0089.
1020	Audit of the Office of Justice Programs Correctional Systems and Correctional Alternatives on Tribal Lands Program Grants Awarded to the Navajo Division of Public Safety, Window Rock, Arizona	OJP	09/28/2015	3	Resolved	Remedy the \$2,554,924 in unallowable questioned costs for Grant Numbers 2009-ST-B9-0089 and 2009-ST-B9-0100.
1021	Audit of the Office of Justice Programs Correctional Systems and Correctional Alternatives on Tribal Lands Program Grants Awarded to the Navajo Division of Public Safety, Window Rock, Arizona	OJP	09/28/2015	9	Resolved	Remedy \$32,034,623 in unallowable expenditures associated with excessive building sizes for Grant Numbers 2009-ST-B9-0089 and 2009-ST-B9-0100.

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1022	Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California	OJP	09/23/2015	4	Resolved	Ensure the NIJC has policies and procedures in place to ensure expenses are properly approved before payment; consultant agreements are in place and time and effort reports are submitted to support payments; signature pages are maintained for each training event where per diem is distributed; an indirect cost rate is approved before incurring indirect costs; and compliance with all grant special conditions.
1023	Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California	OJP	09/23/2015	5	Resolved	Remedy the \$43,360 from Grant Number 2010-IC-BX-K051 and \$265 Grant Number 2011-VF-GX-K020 in unallowable expenses related to cumulative transfers between budget categories exceeding the allowable 10-percent.
1024	Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California	OJP	09/23/2015	8	Resolved	Ensure that the NIJC implements a process to submit FFRs that accurately reflect expenditures for each reporting period.
1025	Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California	OJP	09/23/2015	9	Resolved	Ensure that the NIJC determines the amount of the total tuition and registration fees collected from the training events partially funded with grant funds that should be considered program income for Grant Number 2011-VF-GX-K020 and either uses the program income for grant purposes or returns generated income to OJP.
1026	Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California	OJP	09/23/2015	2d	Resolved	Remedy \$24,785 in grant reimbursements from Grant Number 2010-IC-BX-K051, \$118,362 in grant reimbursements from Grant Number 2011-IP-BX-K001, and \$93,109 in grant reimbursements from Grant Number 2011-VF-GX-K020 that were paid for indirect costs without an approved indirect cost rate.
1027	Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California	OJP	09/23/2015	3a	Resolved	Remedy \$216,460 in grant reimbursements from Grant Number 2011-IP-BX-K001 for payments to a consultant that were not within the requirements of the MOU or OJP Financial Guide.

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1028	Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California	OJP	09/23/2015	3b	Resolved	Remedy \$18,900 in grant reimbursements from Grant Number 2010-IC-BX-K051 and \$74,508 from Grant Number 2011-VF-GX-K020 for payments to consultants without sufficient time and effort reports.
1029	Audit of the Office of Justice Programs Grants Awarded to the National Indian Justice Center, Santa Rosa, California	OJP	09/23/2015	3c	Resolved	Remedy \$369,418 in unsupported costs related to the following issues: \$9,865 in grant reimbursements from Grant Number 2010-IC-BX-K051, \$43,084 from Grant Number 2011-IP-BX-K001, and \$3,385 from Grant Number 2011-VF-GX-K020 for payments to consultants without an agreement.
1030	Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico	OJP	09/21/2015	2	Resolved	Remedy \$887,752 in grant funds expended for contracts that were not competitively awarded and not approved in advance by OJP as sole-source procurements.
1031	Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico	OJP	09/21/2015	6	Resolved	Ensure the PRDOJ takes steps to address the turnover of grant management staff at the External Resources Division by obtaining a plan to address the turnover and monitoring the implementation of the plan.
1032	Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico	OJP	09/21/2015	12	Resolved	Ensure the PRDOJ performs a needs assessment for its VOCA Victim Assistance program to determine the types of services needed and the geographical areas in need of services.
1033	Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico	OJP	09/21/2015	19	Resolved	Ensure the PRDOJ implements procedures for validating information provided by subrecipients and contractors regarding their project goals and accomplishments and provides adequate training to the staff members tasked with monitoring the subrecipients.
1034	Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico	OJP	09/21/2015	1e	Resolved	Remedy \$2,193,304 in excess grant funds drawn down from Grant Number 2009-SU-B9-0053.
1035	Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico	OJP	09/21/2015	4a	Resolved	Remedy \$259,730 in grant expenditures not supported by adequate documentation from Grant Number 2008-DJ-BX-0050.

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1036	Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico	OJP	09/21/2015	4c	Resolved	Remedy \$405,412 in grant expenditures not supported by adequate documentation from Grant Number 2009-DJ-BX-1102.
1037	Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico	OJP	09/21/2015	4d	Resolved	Remedy \$1,095,102 in grant expenditures not supported by adequate documentation from Grant Number 2009-SU-B9-0053.
1038	Audit of Office of Justice Programs Grants Awarded to the Puerto Rico Department of Justice, San Juan, Puerto Rico	OJP	09/21/2015	4f	Resolved	Remedy \$2,461 in grant expenditures not supported by adequate documentation from Grant Number 2009-VC-GX-0045.
1039	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Management Security Act Fiscal Year 2014	FBI	06/30/2015	13	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1040	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Management Security Act Fiscal Year 2014	FBI	06/30/2015	21	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1041	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Management Security Act Fiscal Year 2014	FBI	06/30/2015	24	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1042	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Management Security Act Fiscal Year 2014	FBI	06/30/2015	37	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1043	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Management Security Act Fiscal Year 2014	FBI	06/30/2015	38	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1044	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Management Security Act Fiscal Year 2014	FBI	06/30/2015	39	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]

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1045	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Management Security Act Fiscal Year 2014	FBI	06/30/2015	40	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1046	Audit of the Federal Bureau of Investigation's Information Security Program Pursuant to the Federal Information Management Security Act Fiscal Year 2014	FBI	06/30/2015	41	On Hold/Pending with OIG	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1047	Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons	BOP	05/05/2015	5	Resolved	Study the feasibility of creating units, institutions, or other structures specifically for aging inmates in those institutions with high concentrations of aging inmates.
1048	Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons	BOP	05/05/2015	6	Resolved	Systematically identify programming needs of aging inmates and develop programs and activities to meet those needs.
1049	Review of the Impact of an Aging Inmate Population on the Federal Bureau of Prisons	BOP	05/05/2015	7	Resolved	Develop sections in release preparation courses that address the post-incarceration medical care and retirement needs of aging inmates.
1050	Audit of the Office on Violence Against Women Grants Awarded to the Utah Domestic Violence Advisory Council, Salt Lake City, Utah	OVW	04/20/2015	7	Resolved	Coordinate with the UDVAC to retroactively apply methodology to determine the amount of program income generated by each award, and to require that the UDVAC provide documentation to support that the applicable program income was spent in accordance with the terms and conditions of the OVW Financial Grants Management Guide. If UDVAC officials are unable to demonstrate that the program income was used in an allowable manner, then the associated income should be refunded to the OVW as appropriate.
1051	Audit of the Office on Violence Against Women Grants Awarded to the Utah Domestic Violence Advisory Council, Salt Lake City, Utah	OVW	04/20/2015	4b	Resolved	Remedy the \$12,065 in drawdowns not supported by the UDVAC accounting records.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
1052	Audit of the Office on Violence Against Women Grants Awarded to the Utah Domestic Violence Advisory Council, Salt Lake City, Utah	OVW	04/20/2015	5a	Resolved	Remedy the Unallowable contractor charges totaling \$78,881 charged to Grant Number 2011-WR-AX-0008.
1053	Audit of the Office on Violence Against Women Grants Awarded to the Utah Domestic Violence Advisory Council, Salt Lake City, Utah	OVW	04/20/2015	5b	Resolved	Remedy unallowable personnel and audit expenses totaling \$3,628 charged to Grant Number 2012-DW-AX-0029.
1054	Audit of the Office on Violence Against Women Grants Awarded to the Utah Domestic Violence Advisory Council, Salt Lake City, Utah	OVW	04/20/2015	5c	Resolved	Remedy drawdowns totaling \$12,065 that were made for expenses incurred after the end of the project period.
1055	The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components	ODAG	03/25/2015	5	Resolved	Ensure that the Department's zero tolerance policy on sexual harassment is enforced in the law enforcement components and that the components' tables of offenses and penalties are complimentary and consistent with respect to sexual harassment.
1056	The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components	ODAG	03/25/2015	7	Resolved	All four law enforcement components, in coordination with ODAG, should acquire and implement technology and establish procedures to effectively preserve text messages and images for a reasonable period of time, and components should make this information available to misconduct investigators and, as appropriate, for discovery purposes.
1057	The Handling of Sexual Harassment and Misconduct Allegations by the Department's Law Enforcement Components	ODAG	03/25/2015	8	Resolved	All four law enforcement components, in coordination with ODAG, should take concrete steps to acquire and implement technology to be able to, as appropriate in the circumstances, proactively monitor text message and image data for potential misconduct.
1058	Audit of the Office on Violence Against Women Grant Awarded to the Denver Center for Crime Victims, Denver, Colorado	OVW	02/18/2015	4b	Resolved	Remedy the \$37,754 in unsupported fringe benefit costs.
1059	Audit of the Office on Violence Against Women Grant Awarded to the Denver Center for Crime Victims, Denver, Colorado	OVW	02/18/2015	4c	Resolved	Remedy the \$1,582 in unsupported other direct costs.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
1060	Audit of the Office on Violence Against Women Grant Awarded to the Denver Center for Crime Victims, Denver, Colorado	OVW	02/18/2015	4d	Resolved	Remedy the \$52,777 in unsupported contractual costs.
1061	Review of the Drug Enforcement Administration's Use of Cold Consent Encounters at Mass Transportation Facilities	DEA	01/28/2015	1	Resolved	Consider how to determine if cold consent encounters are being conducted in an impartial manner, including reinstating the collection of racial and other demographic data and how it could be used to make that assessment.
1062	Review of the Drug Enforcement Administration's Use of Cold Consent Encounters at Mass Transportation Facilities	DEA	01/28/2015	2	Resolved	Develop a way to track cold consent encounters and their results and use the information collected to gain a better understanding of whether and under what circumstances they are an effective use of law enforcement resources.
1063	Review of the Drug Enforcement Administration's Use of Cold Consent Encounters at Mass Transportation Facilities	DEA	01/28/2015	4	Resolved	Ensure appropriate coordination of training, policies, and operations for conducting cold consent encounters and searches, including assessing which policies should apply to cold consent searches at transportation facilities and ensuring that interdiction TFG members know when and how to apply them.
1064	Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho	OVW	12/15/2014	1	Resolved	Remedy the \$166,469 in excess drawdowns.
1065	Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho	OVW	12/15/2014	2	Resolved	Remedy the \$29,583 in unsupported costs due to missing files or inadequate documentation.
1066	Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho	OVW	12/15/2014	3	Resolved	Remedy the \$1,096 in unallowable costs related to IRS fines.
1067	Audit of the Office on Violence Against Women Awarded to the Advocates Against Family Violence Caldwell, Idaho	OVW	12/15/2014	4	Resolved	Remedy the \$6,758 in unallowable unbudgeted personnel costs.
1068	A Review of ATF's Investigation of Jean Baptiste Kingery	ODAG	10/30/2014	1	Resolved	Engage with the leadership at the Department of Homeland Security, ICE, and CBP in an effort to identify and develop opportunities to improve these important and highly consequential relationships.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
1069	Audit of the Office on Violence Against Women Transitional Housing Grant Awarded to the Crisis Center for South Suburbia, Tinley Park, Illinois	OVW	10/28/2014	8	Resolved	Remedy the \$3,691 in unallowable rent expenditures.
1070	Audit of the Office on Violence Against Women Transitional Housing Grant Awarded to the Crisis Center for South Suburbia, Tinley Park, Illinois	OVW	10/28/2014	10	Resolved	Remedy the \$4,476 in unallowable salary costs for the Transitional Housing Specialist and the Program Manager.
1071	A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations	FBI	08/14/2014	3	On Hold/Pending with OIG	Notify the President's Intelligence Oversight Board concerning the unauthorized collections found in this review containing [redacted-classified] from two providers and seek guidance on whether the FBI should undertake the effort necessary to identify and remove similar unauthorized collections that likely remain in many FBI case files.
1072	A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations	FBI	08/14/2014	5	On Hold/Pending with OIG	Reconsider whether Section 1681f of the FCRA prohibits a consumer reporting agency from voluntarily providing the FBI with an NSL target's date of birth, social security number, or telephone number in response to a FCRA NSL under Section 1681u, and provide additional guidance as appropriate.
1073	A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations	FBI	08/14/2014	8	On Hold/Pending with OIG	Revive their efforts to bring about a legislative amendment to Section 2709 by submitting another proposal that defines the phrase "toll billing records."
1074	A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations	FBI	08/14/2014	9	On Hold/Pending with OIG	Take steps to ensure that it does not request or obtain "associated" records without a separate determination and certification of relevance to an authorized national security investigation.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
1075	A Review of the Federal Bureau of Investigation's Use of National Security Letters: Assessment of Progress in Implementing Recommendations	FBI	08/14/2014	10	On Hold/Pending with OIG	Consider implementing a policy that would require agents, in consultation with FBI OGC attorneys, to carefully balance the privacy interests of the individuals against the potential for future investigative value before permitting the uploading into FBI databases of NSL return data received after a case has closed or after the authority for the investigation has expired.
1076	Audit of the OVW Rural Domestic Violence, Sexual Assault, and Stalking Assistance Program Grant Awarded to the Crisis Center For Domestic Abuse and Sexual Assault, Fremont, Nebraska	OVW	05/22/2014	1	Resolved	Remedy the \$163,028 in unsupported personnel costs.
1077	Audit of the OVW Rural Domestic Violence, Sexual Assault, and Stalking Assistance Program Grant Awarded to the Crisis Center For Domestic Abuse and Sexual Assault, Fremont, Nebraska	OVW	05/22/2014	5	Resolved	Remedy the \$10,273 in reimbursements for unsupported subgrantee personnel costs.
1078	The Drug Enforcement Administration's Adjudication of Registrant Actions	DEA	05/20/2014	2	Resolved	Establish policy and procedures, including timeliness guidelines for forwarding a case to the Office of the Administrator for final decision when a hearing is waived or terminated.
1079	Information Handling and Sharing Prior to the April 15, 2013 Boston Marathon Bombings	FBI	04/10/2014	1	Resolved	Clarify the circumstances under which JTTF personnel may change the display status of a TECS record, particularly in closed cases.
1080	Audit of the Office on Violence Against Women Grants and Cooperative Agreement Awarded to the New Mexico Coalition of Sexual Assault Programs, Inc., Albuquerque, New Mexico	OVW	10/21/2013	9a	Resolved	Remedy the \$69,769 in unsupported other direct costs.
1081	Audit of the Office on Violence Against Women Grants and Cooperative Agreement Awarded to the New Mexico Coalition of Sexual Assault Programs, Inc., Albuquerque, New Mexico	OVW	10/21/2013	9b	Resolved	Remedy the \$46,253 in unallowable other direct costs.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
1082	A Review of ATF's Operation Fast and Furious and Related Matters	FBI	09/19/2012	4	Resolved	Review the policies and procedures of its other law enforcement components to ensure that they are sufficient to address the concerns we have identified in the conduct of Operations Wide Receiver and Fast and Furious, particular regarding oversight of sensitive and major cases, the authorization and oversight of "otherwise illegal activity," and the use of information in situations where the law enforcement component also has a regulatory function.
1083	A Review of the Federal Bureau of Investigation's Activities Under Section 702 of the Foreign Intelligence Surveillance Act Amendments Act of 2008	FBI	09/12/2012	3	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1084	A Review of the Federal Bureau of Investigation's Activities Under Section 702 of the Foreign Intelligence Surveillance Act Amendments Act of 2008	FBI	09/12/2012	7	Resolved	[Description omitted; DOJ has determined that this recommendation contains information that is limited official use or classified.]
1085	Audit of the Office on Violence Against Women Technical Assistance Cooperative Agreements Administered by the Pennsylvania Coalition Against Rape, Enola, Pennsylvania	OVW	09/04/2012	2	Resolved	Remedy \$298,980 in unsupported consultant costs.
1086	Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey	COPS	07/10/2012	2	Resolved	Remedy the \$2,282,513 in expenditures for equipment not adequately supported or safeguarded by a property management system with periodic inventories.
1087	Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey	COPS	07/10/2012	3	Resolved	Ensure Newark implement and adhere to policies and procedures for submitting timely FSRs and accurate progress reports.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
1088	Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey	COPS	07/10/2012	4	Resolved	Ensure that Newark implements and adheres to policies and procedures to adequately administer grant funding that address our concerns over the related internal controls. These include, but should not be limited to the following: adhering to procurement regulations, approving grant expenditures in accordance with applicable budgets, and safeguarding equipment.
1089	Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey	COPS	07/10/2012	1a	Resolved	Remedy unallowable expenditures resulting from project changes that were not approved by COPS and failure to achieve the voice communication objective of the grant (net project costs of \$3,539,432).
1090	Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey	COPS	07/10/2012	1b	Resolved	Remedy unallowable expenditures resulting from purchase of equipment not competitively procured and not authorized for purchase under the New Jersey Cooperative Purchasing Program (net expenditures of \$2,777,569).
1091	Audit of the Office of Community Oriented Policing Services Grant to the City of Newark, New Jersey	COPS	07/10/2012	1c	Resolved	Remedy unallowable expenditures resulting from purchase of a mobile communications command center vehicle procured in a manner that likely hindered an open and competitive bid process (\$626,221).
1092	Audit of the Office of Community Oriented Policing Services Grant Awarded to the City of Wilmington, Delaware	COPS	05/11/2012	1	Resolved	Remedy the \$2,990,985 in expenditures that were unsupported as a result of deficiencies related to contract competition, equipment, and an electrical study.
1093	Audit of the Office of Community Oriented Policing Services Grant Awarded to the City of Wilmington, Delaware	COPS	05/11/2012	4	Resolved	Ensure grant-funded equipment is properly recorded and reconciled to a physical inventory at least once every 2 years in accordance with the federal regulations.
1094	Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York	COPS	01/10/2011	1	Resolved	Remedy the \$9,076,609 in unsupported grant-funded contractor expenditures claimed by Nassau and the related drawdowns of grant funding.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
1095	Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York	COPS	01/10/2011	2	Resolved	Remedy the \$9,076,609 in unallowable grant-funded contractor expenditures claimed by Nassau and the related drawdowns of grant funding.
1096	Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York	COPS	01/10/2011	3	Resolved	Remedy the \$1,278 in unsupported overtime expenditures.
1097	Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York	COPS	01/10/2011	4	Resolved	Remedy the \$2,468,129 in excess drawdowns that are unrelated to the grant award.
1098	Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York	COPS	01/10/2011	5	Resolved	Remedy the \$2,066,564 deficiency in the match requirement.
1099	Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York	COPS	01/10/2011	6	Resolved	Remedy the \$1,531,142 in unallowable local match expenditures that are unrelated to the grant award.
1100	Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York	COPS	01/10/2011	7	Resolved	Continue monitoring the grant to ensure grant objectives are met.
1101	Audit of the Community Oriented Policing Services Technology Grant Awarded to Nassau County, Mineola, New York	COPS	01/10/2011	9	Resolved	Ensure that equipment purchased with grant funding is identified and included in a property management system as required.
1102	A Review of the FBI's Investigations of Certain Domestic Advocacy Groups	FBI	09/20/2010	2	On Hold/Pending with OIG	Establish Procedures to Track Source of Facts Provided to the Public and Congress.
1103	A Review of the FBI's Investigations of Certain Domestic Advocacy Groups	FBI	09/20/2010	3	On Hold/Pending with OIG	Require Identification of Federal Crime as Part of Documenting Predication.
1104	A Review of the FBI's Investigations of Certain Domestic Advocacy Groups	FBI	09/20/2010	4	On Hold/Pending with OIG	Consider Revising Attorney General's Guidelines and DIOG to Reinstate Prohibition on Retention of Irrelevant First Amendment Material from Public Events.
1105	A Review of the FBI's Investigations of Certain Domestic Advocacy Groups	FBI	09/20/2010	5	On Hold/Pending with OIG	Clarify When First Amendment Cases Should Be Classified as "Acts of Terrorism" Matters.

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#	Report Title	Component	Issued Date	Rec. No. in OIG Report	Status as of 3/31/20	Recommendation Description
1106	A Review of the Federal Bureau of Investigation's Use of Exigent Letters and Other Informal Requests for Telephone Records	FBI	01/19/2010	8	On Hold/Pending with OIG	Issue guidance regarding when FBI personnel may issue [classified and redacted] community of interest [classified and redacted] requests.
1107	A Review of the Federal Bureau of Investigation's Use of Exigent Letters and Other Informal Requests for Telephone Records	FBI	01/19/2010	13	On Hold/Pending with OIG	Consider how the FBI may use [classified and redacted] when seeking telephone billing records, particularly with respect to [classified and redacted]. We also recommend that the Department notify Congress of this issue and of the OLC opinion interpreting the scope of the FBI's authority under it, so that Congress can consider the [classified and redacted] and the implications of its potential use.
1108	Report on the President's Surveillance Program	FBI	07/10/2009	1	On Hold/Pending with OIG	Assess its discovery obligations regarding Stellar Wind-derived information in international terrorism prosecutions.
1109	Report on the President's Surveillance Program	FBI	07/10/2009	2	On Hold/Pending with OIG	Carefully consider whether it must re-examine past cases to see whether potentially discoverable but undisclosed Rule 16 or Brady material was collected by the NSA under the program, and take appropriate steps to ensure that it has complied with its discovery obligations in such cases.
1110	Report on the President's Surveillance Program	NSD	07/10/2009	3	On Hold/Pending with OIG	As part of the [Redacted] project, the Justice Department's National Security Division (NSD), working with the FBI, should collect information about the quantity of telephone numbers and e-mail addresses disseminated to FBI field offices that are assigned as Action leads and that require offices to conduct threat assessments.
1111	Report on the President's Surveillance Program	NSD	07/10/2009	4	On Hold/Pending with OIG	Consistent with NSD's current oversight activities and as part of its periodic reviews of national security investigations at FBI Headquarters and field offices, NSD should review a representative sampling [Redacted] leads to those offices.

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1112	Report on the President's Surveillance Program	FBI	07/10/2009	5	On Hold/Pending with OIG	In coordination with the NSA, implement a procedure to identify Stellar Wind-derived information that may be associated with international terrorism cases currently pending or likely to be brought in the future and evaluate whether such information should be disclosed in light of the government's discovery obligations under Rule 16 and Brady.
1113	An Investigation of Allegations of Politicized Hiring by Monica Goodling and Other Staff in the Office of the Attorney General	OAG	07/28/2008	1	Unresolved	Clarify its policies regarding the use of political or ideological affiliations to select career attorney candidates for temporary details within the Department.
1114	A Review of the FBI's Use of National Security Letters: Assessment of Corrective Actions and Examination of NSL Usage in 2006	FBI	03/17/2008	2	On Hold/Pending with OIG	Implement measures to verify the accuracy of data entry into the new NSL data system by including periodic reviews of a sample of NSLs in the database to ensure that the training provided on data entry to the support staff of the FBI OGC National Security Law Branch (NSLB), other Headquarters divisions, and field personnel is successfully applied in practice and has reduced or eliminated data entry errors.
1115	A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung	FBI	03/31/2006	1	On Hold/Pending with OIG	Continue its FBI Headquarters-managed asset validation review process and provide sufficient resources for the Analytical Unit to devote to these reviews.
1116	A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung	FBI	03/31/2006	2	On Hold/Pending with OIG	Require that any analytical products relating to the asset, together with red flags, derogatory reporting, anomalies, and other counterintelligence concerns be documented in a subsection of the asset's file.

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1117	A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung	FBI	03/31/2006	3	On Hold/Pending with OIG	Require the field SSA, the ASAC, and the FBI Headquarters SSA responsible for each asset to signify that they have reviewed the entries in this subsection as part of the routine file review or of semi-annual or annual asset re-evaluations. If anomalies exist, the SSA should note what action has been taken with respect to them, or explain why no action is necessary, and the ASAC's agreement should be noted.
1118	A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung	FBI	03/31/2006	6	On Hold/Pending with OIG	Require agents to record in the asset file any documents passed and all matters discussed with the asset, as well as each person who was present for the meeting.
1119	A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung	FBI	03/31/2006	7	On Hold/Pending with OIG	Require alternate case agents to meet with the source on a regular basis, together with the case agent.
1120	A Review of the FBI's Handling and Oversight of FBI Asset Katrina Leung	FBI	03/31/2006	8	On Hold/Pending with OIG	Limit the number of years any Special Agent can continue as an asset's handler. Exceptions should be allowed for good cause only.



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