

FOR OFFICIAL USE ONLY



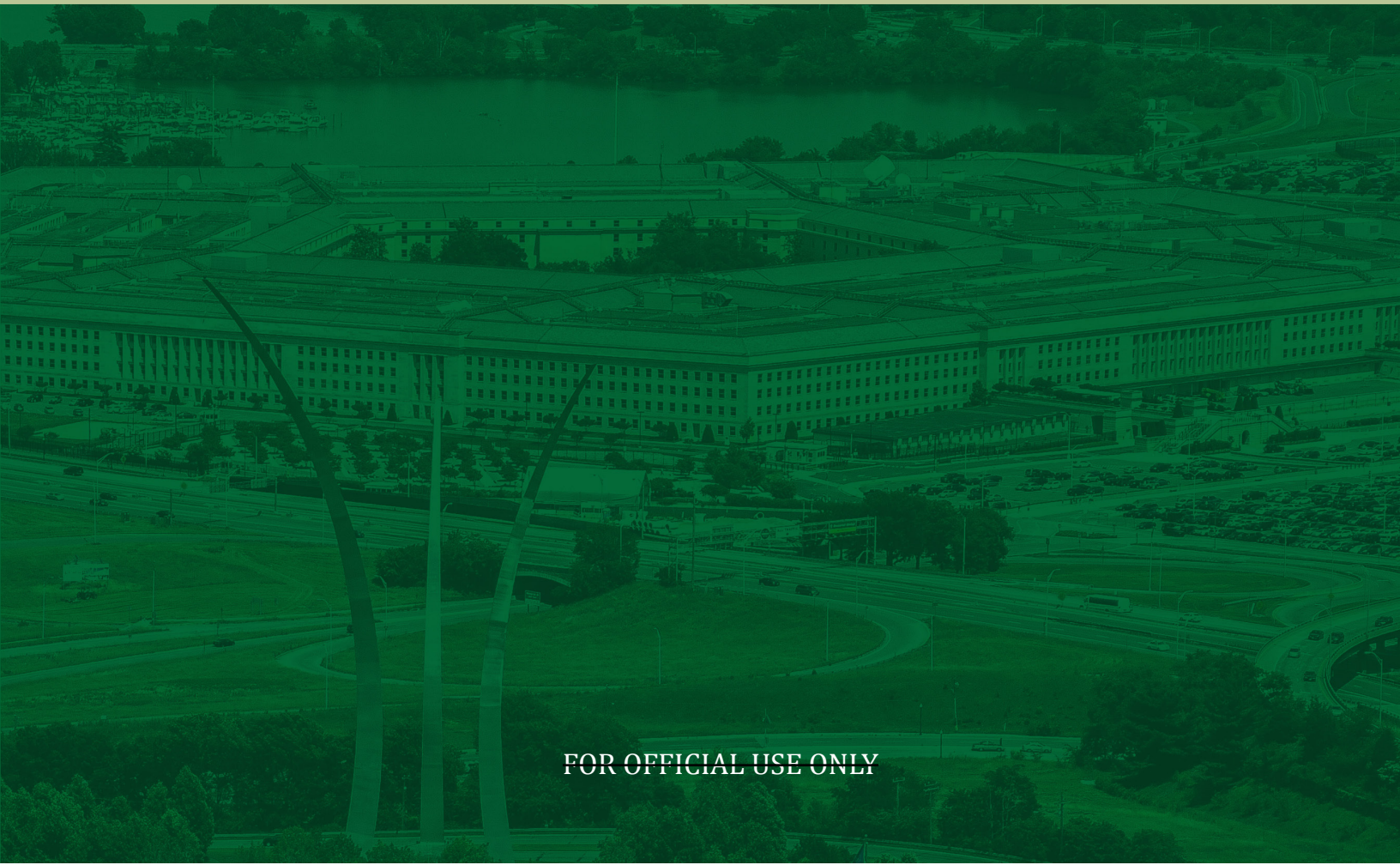
INSPECTOR GENERAL

U.S. Department of Defense



COMPENDIUM OF OPEN OFFICE OF INSPECTOR GENERAL RECOMMENDATIONS TO THE DEPARTMENT OF DEFENSE

as of March 31, 2018



FOR OFFICIAL USE ONLY

MISSION

To detect and deter fraud, waste, and abuse
in Department of Defense programs and operations;
Promote the economy, efficiency, and effectiveness of the DoD; and
Help ensure ethical conduct throughout the DoD

VISION

Engaged oversight professionals dedicated
to improving the DoD



Fraud, Waste, & Abuse
HOTLINE
Department of Defense
dodig.mil/hotline 800.424.9098

INTEGRITY ★ INDEPENDENCE ★ EXCELLENCE



**INSPECTOR GENERAL
DEPARTMENT OF DEFENSE**
4800 MARK CENTER DRIVE
ALEXANDRIA, VIRGINIA 22350-1500

July 30, 2018

MEMORANDUM FOR SECRETARY OF DEFENSE

SUBJECT: Compendium of Open Office of Inspector General Recommendations to the
Department of Defense

The DoD Office of Inspector General (DoD OIG) is issuing its second Compendium of Open Office of Inspector General Recommendations to the Department of Defense. The first Compendium was issued in July 2017. We intend to issue this Compendium on an annual basis.

The DoD OIG regularly conducts independent audits and evaluations of DoD programs and operations. These reports often include recommendations to DoD management which seek to improve the efficiency and effectiveness of DoD programs and operations; ensure integrity and accountability; prevent waste, fraud, and abuse; reduce costs; manage risks; realize monetary benefits; and improve management processes. In response to our recommendations, DoD management normally provides a response as to whether it agrees to the recommendation and whether it intends to implement corrective actions.

The DoD OIG regularly follows up on these recommendations to determine the status of corrective actions. This Compendium is intended to provide a comprehensive list on the status of open recommendations, and also highlight key ones that remain open.

Since the issuance of our first Compendium in July 2017, DoD management has worked with the DoD OIG to improve communication and attempt to address open recommendations. For example, several DoD Components are now meeting regularly with DoD OIG staff to discuss the status of open recommendations and the actions needed to implement and close recommendations.

As a result of these efforts, DoD management has taken action or provided documentation that enabled the DoD OIG to close 421 of the 1,298 (32 percent) recommendations listed in the 2017 Compendium.


However, many previous recommendations remain open, and we have also made new recommendations, in audit and evaluation reports that we have issued since the previous Compendium.

Overall, as of March 31, 2018, there were 1,558 open DoD OIG recommendations. DoD management has agreed to take corrective actions on 1,456 of those recommendations. For the remaining 102 open recommendations, the DoD OIG and DoD Components have not agreed on corrective actions that meet the intent of the recommendation.

Of the 1,558 open recommendations, 33 have identified potential monetary benefits totaling \$2.3 billion.

We appreciate the progress, and the focus, from DoD management on implementing open recommendations. The DoD OIG will continue to track open recommendations and engage with DoD senior managers with regard to implementing corrective actions.

Thank you for your support of these efforts, and for your support of the work of the DoD OIG. If you have questions about the Compendium, please contact me or have your staff contact [REDACTED], at [REDACTED].

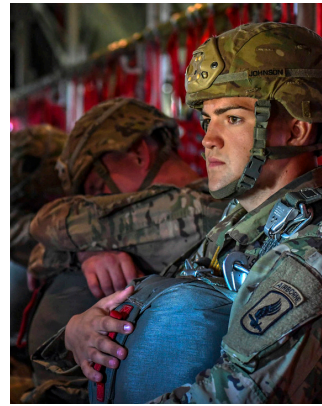

Glenn A. Fine
Principal Deputy Inspector
General, Performing the Duties of
the Inspector General

CONTENTS

| | |
|--|-----|
| INTRODUCTION | 1 |
| 2018 Compendium | 4 |
| BACKGROUND ON OIG FOLLOWUP PROCESS | 6 |
| Policy for OIG Followup Process on Recommendations | 6 |
| Recommendation Followup Process | 7 |
| SUMMARY OF OPEN RECOMMENDATIONS | 11 |
| Recommendations by Top DoD Management Challenges | 17 |
| CHAPTER 1. HIGH-PRIORITY OPEN RECOMMENDATIONS | 19 |
| Table. Status of 2017 High-Priority Open Recommendations | 66 |
| CHAPTER 2. OPEN RECOMMENDATIONS CONTAINING POTENTIAL MONETARY BENEFITS | 78 |
| Table. Open Recommendations Containing Potential Monetary Benefits as of March 31, 2018 | 81 |
| CHAPTER 3. RECOMMENDATIONS OPEN FOR AT LEAST 5 YEARS | 89 |
| Tables. Recommendations Open for at Least 5 years | 93 |
| CHAPTER 4. REPORTS WITH UNRESOLVED RECOMMENDATIONS | 110 |
| Tables. Reports With Unresolved Recommendations | 112 |
| APPENDIX A. ALL OPEN RECOMMENDATIONS AS OF MARCH 31, 2018 | 137 |
| Secretary of Defense | 138 |
| Deputy Secretary of Defense | 139 |
| Department of the Army | 141 |
| Department of the Navy | 213 |
| U.S. Marine Corps | 259 |
| Department of the Air Force | 268 |
| Joint Chiefs of Staff | 300 |
| Under Secretary of Defense for Acquisition and Sustainment | 310 |
| Under Secretary of Defense for Research and Engineering | 332 |
| Under Secretary of Defense for Policy | 335 |
| Under Secretary of Defense (Comptroller) | 346 |
| Under Secretary of Defense for Personnel and Readiness | 357 |
| Under Secretary of Defense for Intelligence | 376 |
| Chief Management Officer | 384 |
| National Guard Bureau | 388 |
| U.S. Indo-Pacific Command | 390 |

CONTENTS

| | |
|--|-----|
| U.S. European Command..... | 391 |
| U.S. Southern Command | 395 |
| U.S. Central Command..... | 396 |
| U.S. Special Operations Command | 409 |
| U.S. Transportation Command..... | 416 |
| U.S. Northern Command | 418 |
| U.S. Strategic Command..... | 419 |
| U.S. Africa Command..... | 420 |
| U.S. Cyber Command..... | 422 |
| DoD Office of the General Counsel..... | 424 |
| Director of Operational Test and Evaluation..... | 425 |
| DoD Chief Information Officer | 426 |
| Assistant Secretary of Defense for Health Affairs..... | 428 |
| Assistant Secretary of Defense for Homeland Defense and Global Security..... | 436 |
| Assistant Secretary of Defense for Special Operations and Low Intensity Conflict..... | 438 |
| Assistant Secretary of Defense for Public Affairs | 441 |
| National Security Agency..... | 442 |
| Defense Threat Reduction Agency | 448 |
| Defense Information Systems Agency..... | 451 |
| National Reconnaissance Office | 454 |
| Defense Intelligence Agency | 456 |
| Defense Logistics Agency | 460 |
| Defense Contract Audit Agency..... | 467 |
| Defense Security Cooperation Agency | 475 |
| Missile Defense Agency | 477 |
| Defense Commissary Agency..... | 481 |
| Defense Finance and Accounting Service | 483 |
| National Geospatial-Intelligence Agency | 491 |
| Defense Contract Management Agency | 493 |
| Pentagon Force Protection Agency..... | 500 |
| Defense Criminal Investigative Service | 501 |
| F-35 Joint Program Office | 503 |
| Single Audit Act | 506 |
| Classified | 507 |
| APPENDIX B. SECRET OPEN RECOMMENDATIONS | 516 |
| APPENDIX C. OPEN RECOMMENDATIONS FROM TOP SECRET REPORTS | 517 |
| APPENDIX D. OTHER CLASSIFICATION OPEN RECOMMENDATIONS | 518 |



INTRODUCTION

The Department of Defense Office of Inspector General (DoD OIG) provides independent oversight of DoD programs and activities, in accordance with the Inspector General Act. Our mission is to detect and deter waste, fraud, and abuse in DoD programs and operations; promote the economy, efficiency, and effectiveness of the DoD; and help ensure ethical conduct throughout the DoD.

Pursuant to this mission, the DoD OIG conducts independent audits, evaluations, and investigations of DoD programs, operations, and personnel. Our audits, evaluations, and investigations contain recommendations to improve program management and operations, and to address fraud, abuse, mismanagement, and waste of DoD funds.

The DoD OIG tracks the status of recommendations made in audit, evaluation, and investigation reports to ensure that management actions are taken to implement the report's agreed-upon recommendations. Timely implementation of agreed-upon corrective actions is critical for DoD Components to improve the efficiency and effectiveness of DoD programs and operations, as well as to ensure integrity and accountability, reduce costs, manage risks, realize monetary benefits, and improve management processes.

In 2017, the DoD OIG issued its first Compendium of Open Recommendations to the DoD. The purpose of the Compendium was to summarize DoD OIG recommendations issued to DoD Components. An open recommendation is a recommendation made in a previously issued DoD OIG report for which corrective

INTRODUCTION

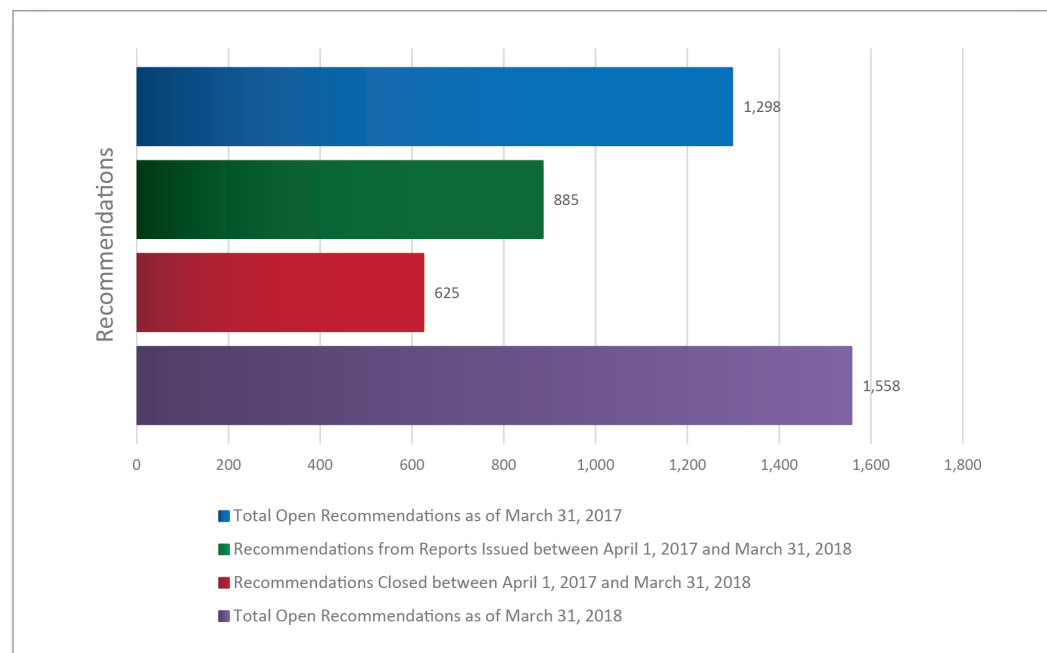
actions had not been completed. The 2017 Compendium identified 1,298 open recommendations, as of March 31, 2017, that had been issued to 46 DoD Components in 288 DoD OIG audit and evaluation reports.

In response to the 2017 Compendium, the DoD OIG and DoD have made process changes in an effort to improve communication and ensure the implementation of recommendations. For example, the DoD OIG routinely meets with the Military Services and provides information regarding their open recommendations.

Since the previous Compendium, DoD management has taken action or provided documentation that enabled the DoD OIG to close 421 of the 1,298 (32 percent) recommendations listed in the 2017 Compendium, including 13 of the 30 (43 percent) high-priority recommendations, and 32 of the 58 (55 percent) recommendations with potential monetary benefits, totaling more than \$31.5 billion.

Figure 1 summarizes the number of recommendations opened and closed by the DoD OIG since last year's Compendium.

Figure 1. Number of Open Recommendations



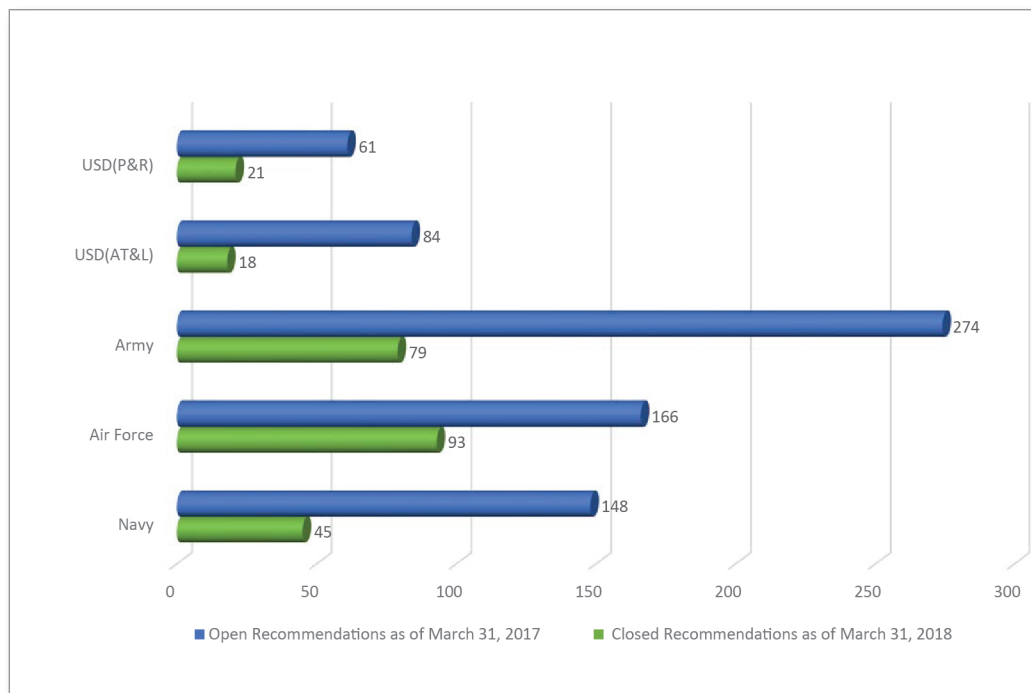
Source: The DoD OIG.

INTRODUCTION

In the 2017 Compendium, we reported that the five DoD Components with the most open recommendations were the Army; Air Force; Navy; Under Secretary of Defense for Acquisition, Technology, and Logistics (USD[AT&L]), and Under Secretary of Defense for Personnel and Readiness (USD[P&R]).¹

Figure 2 shows the progress these five DoD Components have made in closing the recommendations reported in the 2017 Compendium. These five Components have collectively closed 256 of the 733 (35 percent) open recommendations addressed to them in the 2017 Compendium.

Figure 2. Number of Recommendations Closed by the Five DoD Components With the Highest Number of Open Recommendations in the 2017 Compendium



Source: The DoD OIG.

¹ As of February 1, 2018, the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics was reorganized into two offices: (1) the Office of the Under Secretary of Defense for Research and Engineering (2) and the Office of the Under Secretary of Defense for Acquisition and Sustainment.

INTRODUCTION

2018 Compendium

This 2018 Compendium lists the number, type, age, and status of 1,558 open recommendations, as of March 31, 2018.

In this Compendium, we highlight the 25 high-priority open recommendations that we believe, if implemented, have the potential to result in significant improvements to DoD operations. We provide, in each summary of the open recommendations, an overview of the DoD OIG project that produced the recommendations and why they are important. The summaries also include the implementation status of the recommendations, a description of information required to close each recommendation. Recommendations that are not included in our list of high-priority recommendations are still important and warrant the full attention of the DoD.

This Compendium also summarizes 33 recommendations made in 24 OIG reports that identified \$2.3 billion in potential monetary benefits the DoD could achieve based on management implementation of these recommendations.

We also identify the 56 recommendations that have been open for at least 5 years. These recommendations were issued to 17 DoD Components.

In addition, this Compendium identifies 102 recommendations that remain unresolved because the DoD Components have not agreed to implement corrective actions or have not proposed corrective actions to resolve the deficiencies identified in the associated findings.

We did not include classified information in this Compendium. Rather, information on classified open recommendations is presented in separate classified Appendixes B, C and D, which will be provided to appropriate officials in the DoD and congressional committees.

INTRODUCTION

Finally, we believe that DoD senior managers should continue to focus attention on implementing open recommendations and ensure that prompt resolution and action is taken, as required by DoD Instruction 7650.03.² In particular, we believe that there are several actions that DoD managers can take to help improve the followup process:

- provide management comments to the draft and final reports that clearly state whether or not management agrees with the recommendation;
- when management agrees with the recommendation, its response should include a specific plan of action and milestones regarding how it intends to address the recommendation;
- when management agrees that there is an issue that requires action, but does not agree with the DoD OIG recommended solution, management should propose alternate actions to address the underlying issue;
- when DoD management disagrees with the recommendation or the underlying finding, management should be specific in its rationale for disagreement and provide any documentation it has to support its position;
- when management has taken action to address a recommendation, it should provide the supporting documentation to the DoD OIG in a timely manner. This allows the DoD OIG to review and assess the adequacy of the actions in addressing the recommendation; and
- communicate with the DoD OIG's followup division and the team that conducted the initial audit or evaluation, if management has questions about the intent of a recommendation or the adequacy of its intended actions.

² DoD Instruction 7650.03, "Follow-up on Government Accountability Office (GAO), Inspector General of the Department of Defense (IG DoD), and Internal Audit Reports," December 18, 2014.



BACKGROUND ON OIG FOLLOWUP PROCESS

DoD management and the DoD OIG share the responsibility to follow up on recommendations. DoD managers are responsible for implementing recommendations promptly. At the same time, the DoD OIG follows up to assess whether the agreed-upon corrective action is taken and meets the intent of the recommendation.

Policy for OIG Followup Process on Recommendations

The Inspector General Act of 1978 requires the DoD OIG to conduct independent audits and evaluations of agency programs and operations.

Public Law 104-106, "National Defense Authorization Act for FY 1996," requires the Secretary of Defense to make management decisions on all DoD OIG findings and audit recommendations within a maximum of 6 months after an audit report is issued. The Act also requires the Secretary of Defense to complete final action on each DoD management decision within 12 months after report issuance. If action is not completed within 12 months, the DoD OIG must identify the overdue actions in its Semiannual Report to the Congress until final action on the DoD management decision is completed.

The Office of Management and Budget (OMB) Circular A-50 Revised, "Audit Follow-Up," September 29, 1982, defines followup as an integral part of good management and a shared responsibility between agency management officials

BACKGROUND ON OIG FOLLOWUP PROCESS

and auditors. According to the Circular, implementation of OIG recommendations in a timely manner is essential to the DoD improving efficiency and effectiveness of programs and operations, as well as achieving integrity and accountability goals, reducing costs, managing risks, and improving management processes. The Circular requires each agency to establish systems to assure the prompt and proper resolution and implementation of audit recommendations, and it also requires that resolution be made within a maximum of 6 months after a final report is issued.

Resolution for most audits and evaluations is defined as the point at which the DoD OIG and agency management or contracting officials agree on the action to be taken in response to the findings and recommendations.

DoD Instruction 7650.03 requires the DoD OIG to evaluate corrective actions taken by DoD Components on DoD OIG reports. The Instruction states that the DoD OIG oversees and coordinates followup programs within the DoD and that heads of DoD Components will work with the DoD OIG to resolve disagreements between their respective DoD Components and the DoD OIG. Disagreements that may not be resolved at a lower management level can be submitted to the Deputy Secretary of Defense for decision.

Recommendation Followup Process

Before a DoD OIG audit or evaluation report is completed, the DoD OIG requests the views of DoD management regarding the report's findings, conclusions, and recommendations. DoD management is asked to provide written comments to the formal draft report, normally within 30 days of the draft report issue date. These written comments should:

- indicate whether DoD management agrees or disagrees with each finding and recommendation in the report;
- describe and provide the completion dates for corrective actions taken or planned;
- explain specific reasons for each disagreement; and
- propose alternative actions, if appropriate.

BACKGROUND ON OIG FOLLOWUP PROCESS

The DoD OIG also requests that DoD management comment on the potential monetary benefits identified in the report.

The DoD OIG reviews management comments to ensure that they adequately address the report's recommendations. The DoD OIG uses the following categories to describe the management's comments to individual recommendations:

- **Unresolved** – Management has not agreed to implement the recommendation or has not proposed actions that will address the recommendation.
- **Resolved** – Management has agreed to implement the recommendation or has proposed alternative actions that will adequately address the underlying finding that generated the recommendation.
- **Closed** – The DoD OIG has verified that the agreed-upon corrective actions were implemented.

If DoD management agrees with the recommendations in the report, it should also provide information on the corrective actions that have been taken or are planned to be taken to implement the recommendation, and it should include estimated completion dates that will address the recommendations. The DoD OIG then assesses the corrective actions taken or proposed corrective actions and determines if they sufficiently meet the intent of the recommendations. Specifically, in the final report, the DoD OIG evaluates and summarizes the DoD management response to each finding and recommendation, and provides a response to management's comments, including its comments on the recommendations.

After the DoD OIG categorizes each recommendation as unresolved, resolved, or closed, the final report is placed in the followup process. In this process, the DoD OIG monitors the status of DoD management actions to implement corrective actions and periodically requests updates from DoD management on the implementation status of open recommendations. The DoD OIG also reports data related to open recommendations in the OIG's Semiannual Report to the Congress.

BACKGROUND ON OIG FOLLOWUP PROCESS

Recommendations are generally closed only after the DoD OIG has either:

- reviewed information or supporting documentation provided by DoD management and concluded that agreed-upon corrective actions have been completed, or
- performed field verification to confirm that the stated corrective actions were taken.

Recommendations may also be closed when they are overtaken by events, are no longer relevant, or if implementation is not feasible. Although infrequent, closed recommendations may be reopened if we subsequently conclude that documentation provided by the DoD component does not show the recommendation has been fully implemented.

However, if DoD management has not agreed to implement the recommendations or has not proposed alternative actions that will address the recommendations, then the recommendations are considered unresolved and remain open. For example, when DoD management disagrees with the recommendation or comments do not provide a complete response, the DoD OIG may request additional comments in the final report and coordinate with DoD management to address the missing information.

Generally, DoD management is requested to provide additional written comments to the final report within 30 days of the final report issue date. If DoD management does not provide comments to the final report, disagrees with the recommendations, or its comments do not fully address the recommendations, the recommendations are considered unresolved. When DoD management disagrees with the recommendations and does not provide an acceptable alternate solution, the DoD OIG will start the resolution process and elevate the recommendation through multiple levels of management, as necessary.

Ultimately, any unresolved recommendation can be elevated to the Deputy Secretary of Defense (or other levels of Office of the Secretary of Defense management below the Deputy Secretary) if resolution is not reached. Resolution of recommendations is generally achieved at lower levels of management. However, the Deputy Secretary has final decision making authority on unresolved issues and the decision is binding on all parties involved.

BACKGROUND ON OIG FOLLOWUP PROCESS

In addition to the report followup process described above, the DoD OIG conducts followup audits and evaluations. The purpose of these reviews is to verify that corrective action has actually occurred, as agreed to in the DoD management response to the draft and final reports or during the resolution process, and to determine whether the problems identified in the findings still exist. The DoD OIG typically dedicates 10 percent of projects in its oversight plan to followup reviews.

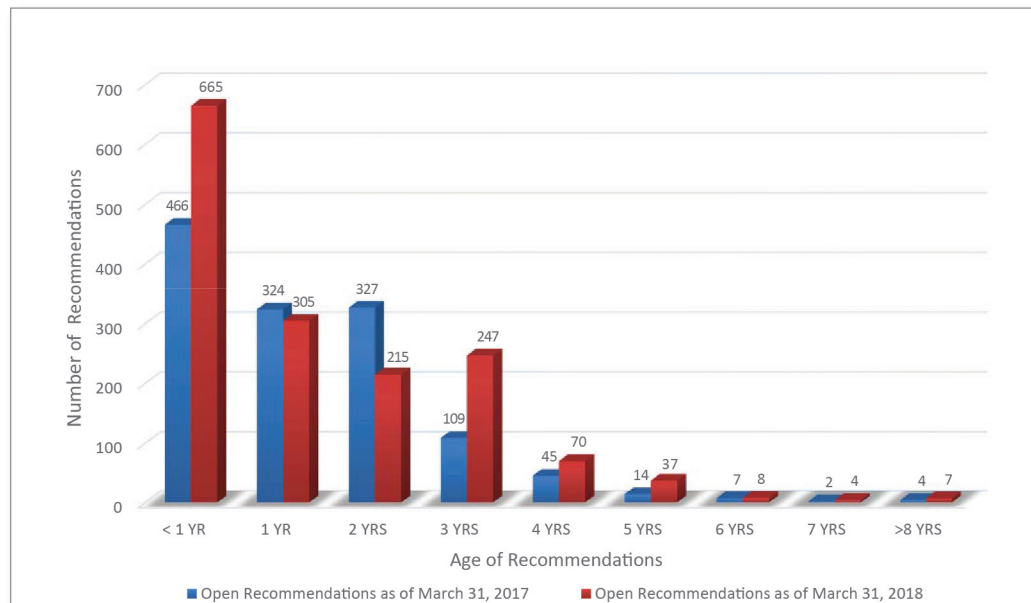
In December 2017, the Deputy Secretary of Defense tasked the Chief Management Officer with tracking the DoD's implementation of OIG recommendations. In response to this tasking, the CMO has assigned staff to work with DoD management to ensure progress on implementing DoD OIG recommendations. As a part of this effort, the Deputy Chief Management Officer meets monthly with the DoD OIG and DoD leadership to discuss the components' plans for implementing agreed-upon corrective actions and for providing the DoD OIG with the necessary documentation to support that corrective actions were completed.



SUMMARY OF OPEN RECOMMENDATIONS

As of March 31, 2018, there were 1,558 open recommendations from OIG reports, with the age of the open recommendations shown in Figure 3 below.³ Of the 1,558 recommendations, 141 are classified and listed in Appendixes B, C, and D.

Figure 3. Number of Open Recommendations as of March 31, 2018



Source: The DoD OIG.

³ For tracking purposes, recommendations made to multiple Components are split into individual recommendations for each component. For example, one recommendation made to the Army, Navy, and Air Force would equate to three recommendations for tracking purposes.

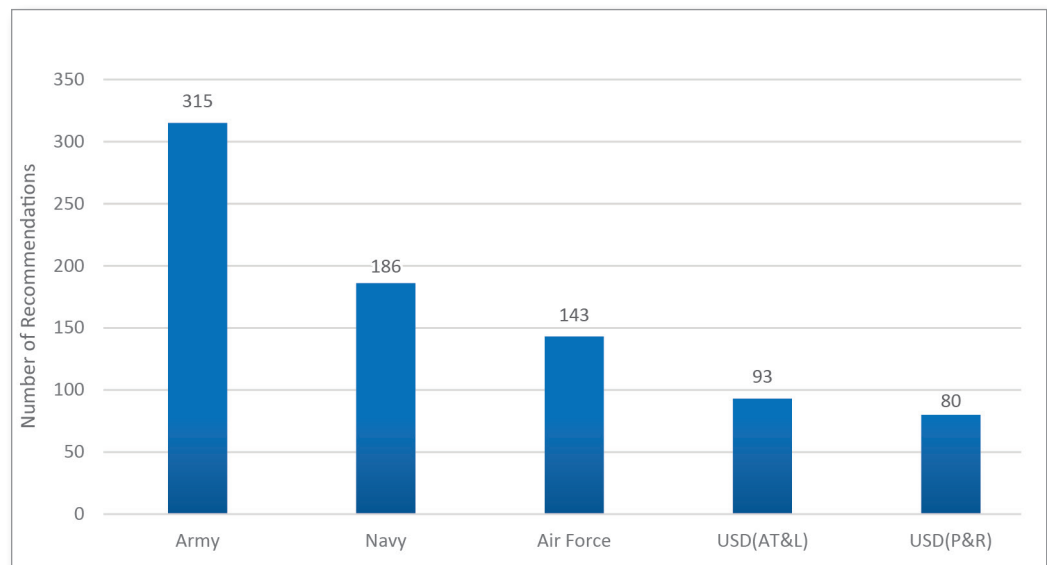
SUMMARY OF OPEN RECOMMENDATIONS

Of the 1,558 open recommendations, DoD management has agreed to take corrective actions on 1,456 recommendations. The remaining 102 open recommendations are considered unresolved until the DoD OIG and DoD Components reach an agreement on the report recommendation and the planned corrective actions to implement or address those recommendations.

The DoD could achieve \$2.3 billion in potential monetary benefits by implementing 33 of the 1,558 recommendations.

The 1,558 open recommendations were issued to 48 DoD Components through 318 reports. Figure 4 presents the five DoD Components with the most open recommendations. These five components collectively have 817 open recommendations, which represent 52 percent of all open recommendations.

Figure 4. DoD Components With the Most Open Recommendations as of March 31, 2018



Source: The DoD OIG.

Note: In February 2018, USD(AT&L) was reorganized into the Under Secretary of Defense for Research and Engineering and the Under Secretary for Acquisition and Sustainment. Because this reorganization occurred 2 months before we compiled this Compendium, recommendations addressed to these two agencies since February 2018 were reported under USD(AT&L).

SUMMARY OF OPEN RECOMMENDATIONS

Recommendations made in DoD OIG reports cover a variety of topic areas, as described below.

Logistics

The planning and carrying out of the movement and maintenance of military forces. The aspects of military operations that deal with the design, development, acquisition, storage, distribution, maintenance, evacuation, and disposition of material; transportation; housing of military personnel; acquisition or construction, maintenance, operation, and disposition of facilities; and acquisition or furnishing of services and medical and health support are within the logistics topic area.

There are 263 open logistics recommendations, related to issues such as management (distribution, storage, and disposal) of excess materiel and spare parts; maintenance and sustainment of military systems; U.S. and coalition plans and efforts to train, advise, assist, and equip Afghan and Iraqi forces; and housing of military personnel.

Intelligence

The intelligence products resulting from the collection, processing, evaluation, analysis, and interpretation of available information concerning national security issues, foreign nations, hostile or potentially hostile forces or elements, or military operations.

There are 256 open intelligence recommendations related to issues such as over classification of national security information; U.S. intelligence sharing with coalition partners; protection and evacuation of U.S. embassies and U.S. citizens; intelligence training for Afghan forces; and DoD personnel security clearance processing.

Acquisition Programs

The formulation and oversight of contracting strategies that support the procurement of defense acquisition programs, automated information systems, and special interest projects for the DoD. Acquisition programs include the management of major defense acquisition program cost, schedule, and performance in accordance with the program requirements.

SUMMARY OF OPEN RECOMMENDATIONS

There are 255 open acquisition program recommendations, such as validation of procurement quantities for major defense acquisition programs; fair and reasonable contract pricing; and contracting practices that support compliance with defense acquisition program requirements such as the Assembled Chemical Weapon Alternatives program in destroying U.S. stockpiled chemical weapons.

Finance and Accounting

The process of reporting on and oversight of DoD's financial transactions resulting from its operations, including the distribution and control of DoD funds and tracking of costs and obligations.

There are 207 open finance and accounting recommendations related to issues such as management of DoD suspense accounts; transactions that support financial statements and budget submissions; proper use of Government travel card; and DoD financial management and accounting systems solution for providing auditable financial statement information.

Information Technology Resources

The use of any equipment or system for storing, retrieving, controlling, and sending information. This category includes protection of the information transmitted and related resources, such as personnel, equipment, funds, and any system or subsystem.

There are 199 information technology open recommendations related to issues such as physical access and general application controls of DoD information technology systems (both classified and unclassified); cybersecurity and protection against cyberattacks on critical infrastructure; DoD use of cloud computing services; development of Standard Operating Procedures for granting access, assigning and elevating privileges, and deactivating user; and the consolidation of DoD components' data centers.

Contractor Oversight

The oversight and integration of contractor personnel and associated equipment providing support to DoD operations. Contractor oversight includes efforts to ensure that supplies and services are delivered in accordance with the terms and conditions of the contract.

SUMMARY OF OPEN RECOMMENDATIONS

There are 161 open recommendations related to contractor oversight, such as assessment of contractor performance through performance assessment reports; management of energy savings performance contracts; development of training, and quality assurance surveillance plans.

Health Care and Morale

The measures to improve or maintain the mental and physical well-being of DoD personnel and their families to enable a healthy and fit force, prevent injury and illness, and protect individuals from health hazards.

There are 96 open recommendations related to issues such as health care costs; DoD Suicide Event Report data quality assessment; the Rights of Conscience protection for service members and their chaplains; Armed Forces Retirement Home Care service; delinquent medical service claims; and recovery of and accounting for service members who became Prisoners Of War/Missing In Action.

Construction and Installation Support

The management and oversight of military installations worldwide, including the construction, alteration, conversion, or extension of military installations.

There are 48 open recommendations related to issues such as controls over the requirements development process for military construction projects; health, safety, and fire protection deficiencies in DoD facilities and military housing inspections; and the management of relocatable buildings.

Environment

The management of environmental resources or programs that may affect the planning and execution of military operations, including the protection of natural resources on military installations.

There are 15 open recommendations related to environmental issues such as the operation, maintenance, and structural stability of DoD dams; DoD guidance for chemical Warfare Material Response Action; and DoD biological safety and security oversight at laboratories.

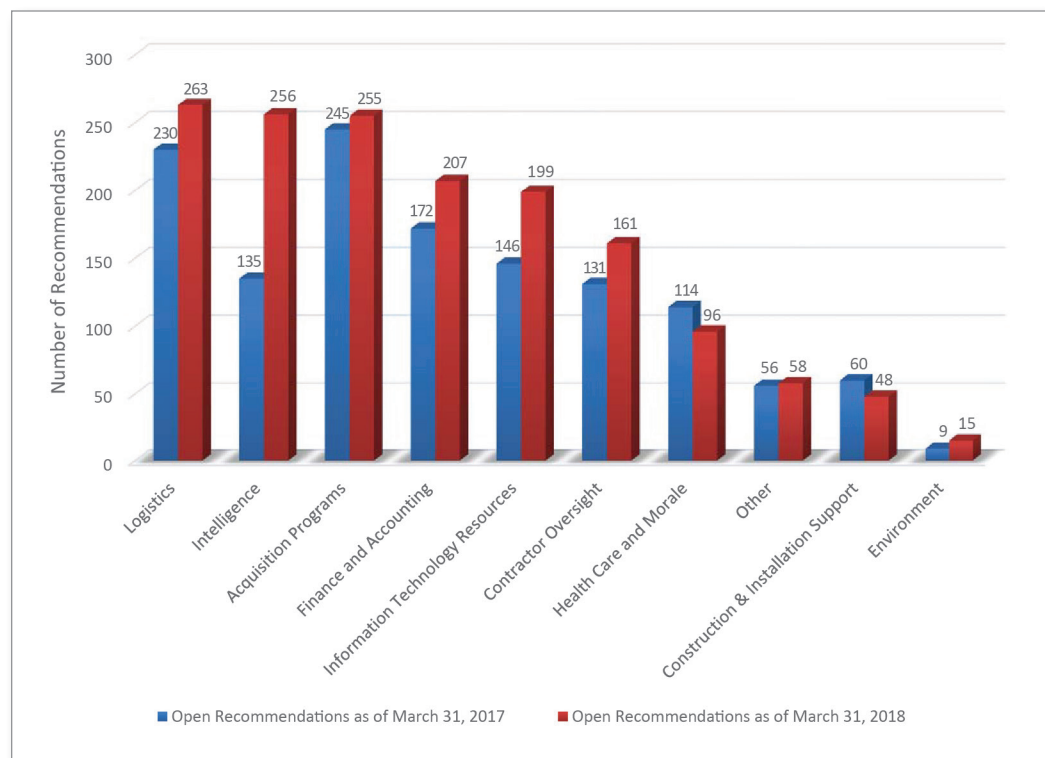
SUMMARY OF OPEN RECOMMENDATIONS

Other

Contains recommendations that do not fall into any of the previously discussed topic areas. There are 58 recommendations related to issues such as the operation of U.S. Military cemeteries; external peer reviews of DoD audit organizations; identification and prioritization of critical assets; and the DoD Combating Trafficking in Persons Program.

Figure 5 shows the number of open recommendations by topic area.

Figure 5. Total Open Recommendations by Topic Area



Source: The DoD OIG.

SUMMARY OF OPEN RECOMMENDATIONS

Recommendations by Top DoD Management Challenges

Each year, the DoD OIG drafts a document explaining what we regard as the top management and performance challenges facing the DoD, which we have identified based on our oversight work, research, and judgment; oversight work done by other Components within the DoD; input from DoD leaders; and oversight projects from the Government Accountability Office.

The DoD OIG uses this document as a planning tool to determine where to allocate its audit, evaluation, and investigative resources. The DoD OIG also uses the top management and performance challenges as a guide for determining future oversight projects. While not all projects planned or undertaken address one of the challenges, the majority address at least one and some more than one.

Each of the high-priority open recommendations in this Compendium lists the related management and performance challenge. These open recommendations coincide with the 2018 top management and performance challenges.

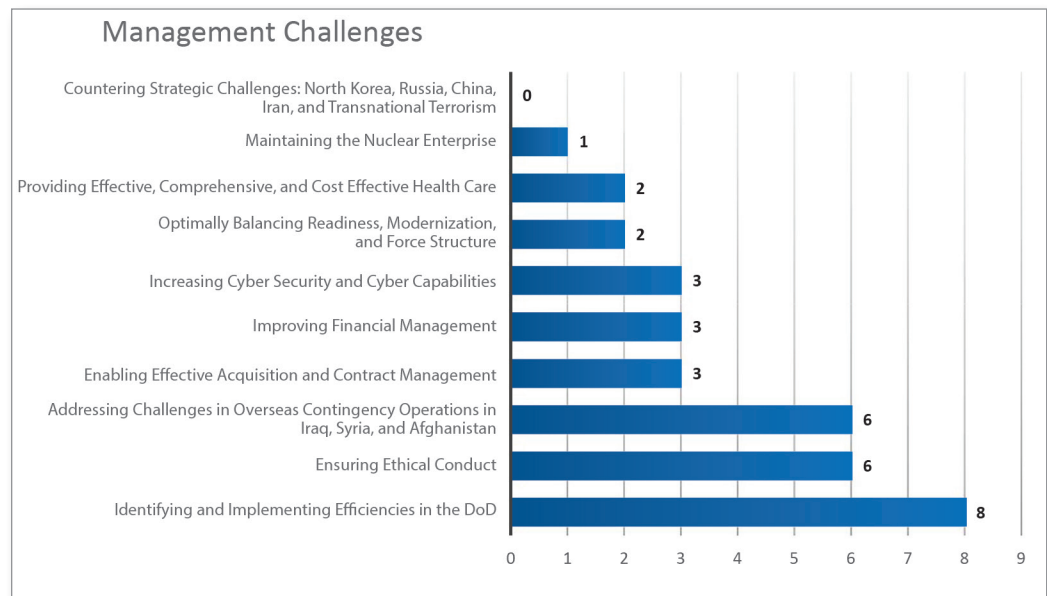
The following is the top 10 management and performance challenges for FY 2018;

1. Countering Strategic Challenges: North Korea, Russia, China, Iran, and Transnational Terrorism
2. Addressing Challenges in Overseas Contingency Operations in Iraq, Syria, and Afghanistan
3. Enabling Effective Acquisition and Contract Management
4. Increasing Cyber Security and Cyber Capabilities
5. Improving Financial Management
6. Maintaining the Nuclear Enterprise
7. Optimally Balancing Readiness, Modernization, and Force Structure
8. Ensuring Ethical Conduct
9. Providing Effective, Comprehensive, and Cost Effective Health Care
10. Identifying and Implementing Efficiencies in the DoD

SUMMARY OF OPEN RECOMMENDATIONS

Figure 6 shows the number of the high-priority open recommendations by FY 2018 Performance and Management Challenge.

Figure 6. High-Priority Open Recommendations by Management Challenge



Source: The DoD OIG.

Note: For six recommendations, more than one management challenge was identified.

For additional information about the top management and performance challenges facing the DoD, visit: <http://www.dodig.mil/Reports/Top-DoD-Management-Challenges/>.

When describing the top open recommendations in this Compendium, we identify the DoD management and performance challenge to which the recommendation relates.

Additional information about each recommendation discussed in the Compendium can be obtained by reviewing the associated reports that are available online at the DoD OIG website: <http://www.dodig.mil/reports.html/>.

CHAPTER 1



CHAPTER 1

High-Priority Open Recommendations

This chapter summarizes 25 open recommendations that we believe the DoD should prioritize.⁴ We made this determination based on the recommendations' potential for improving the effectiveness of DoD operations, financial statements, and contract oversight, or achieving cost savings. Each entry for the 25 top open recommendations contains the:

- title of the report,
- management and performance challenge,
- objective of the report,
- key findings in the report,
- key open recommendations,
- management's response to the recommendations,
- implementation status of each recommendation,
- information required for the DoD OIG to close the recommendation, and
- why the recommendations are important.

⁴ We summarize three additional recommendations that are classified in Appendix B.

CHAPTER 1

Report No. DODIG-2014-073, “Northrop Grumman Improperly Charged Labor for the Counter Narco-Terrorism Technology Program,” May 13, 2014 (Full Report is For Official Use Only)

FY 2018 Management Challenge: Enabling Effective Acquisition and Contract Management

Objective: The objective of this audit was to determine whether DoD was properly charged labor rates for the Counter Narco-Terrorism Technology Program on contract W9113M-07-D-0007.

~~(FOUO)~~ The U.S. Army issued contract W9113M-07-D-0007 to Northrop Grumman Space and Mission Systems Corporation (Northrop Grumman). This contract was established to provide critical equipment, material, and services required to support the Counter Narco-Terrorism Technology Program Office program. The services were to support the development and application of new counterdrug technologies. Additionally, the contract included support for training, operations, and logistics for military and civilian missions, and professional and executive support for information operations and information technology deployment. Northrop Grumman subcontracted to DynCorp for spare parts, maintenance, and training for the Ministry of Defense, Afghan National Army Air Corps, and the Afghanistan Ministry of Interior Counter-Narcotics Air Squadron in Afghanistan. From October 2007 through March 2013, Northrop Grumman officials submitted labor charges valued at almost [REDACTED] for [REDACTED] DynCorp employees.

The DoD OIG determined that for nearly 6 years, Northrop Grumman did not properly charge labor rates for the Counter Narco-Terrorism Technology Program.

~~(FOUO)~~ **Finding:** The DoD OIG determined that for nearly 6 years, Northrop Grumman did not properly charge labor rates for the Counter Narco-Terrorism Technology Program on contract W9113M-07-D-0007. We projected based on our statistical sample that Northrop Grumman officials submitted labor charges performed by 360 of [REDACTED] DynCorp employees that did not meet the labor qualifications specified in contract W9113M-07-D-0007. For example, according to the contract, a program manager should have, at a minimum, a bachelor’s degree in engineering, computer science, systems, business, or in a scientific or technical-related discipline, from an accredited college or university recognized by the U.S. Department of Education. The employee should also have at a minimum 12 years of relevant work experience in military design and development, which includes 5 years of management and supervision of substantive military electronics hardware/software development and 5 years in systems analysis. Northrop Grumman identified a DynCorp employee as a program manager

CHAPTER 1

(FOUO) and billed [REDACTED] hours over an 18-month period, totaling almost [REDACTED]. However, the DynCorp employee did not meet the labor qualifications specified in the contract because he did not have a bachelor's degree and was not qualified to be a program manager.

(FOUO) In addition, Northrop Grumman officials could not provide the resumes for an additional 33 DynCorp employees; therefore, we could not determine if those employees met the labor qualifications specified in the contract. Further, Northrop Grumman charged the Army Contracting Command-Redstone Arsenal (ACC-RSA) [REDACTED] labor hours in excess of 8 hours per day for multiple employees from October 2007 through March 2013. The task orders defined the workweek as 40 hours per week from Saturday through Thursday. While minimizing overtime, the task orders also stated that weekly hours may exceed 40 hours based upon operations and exercises. However, Northrop Grumman billed [REDACTED] hours in excess of 8 hours per day. Specifically, Northrop Grumman charged 29,401 hours in excess of 24 hours per day. For example, one employee billed 1,208 labor hours during a 12-day period, resulting in overpayments totaling \$176,900.

ACC-RSA representatives stated that their contracting officers did not:

- verify that contractor employees met minimum labor qualifications because they relied on Northrop Grumman to verify that those employees were qualified to accomplish the required work; or
- review invoices prior to approving payments for labor charges.

As a result, ACC-RSA authorized questionable costs of \$91.4 million for labor performed by 360 unqualified contractor employees on contract W9113M-07-D-0007. ACC-RSA may have also authorized additional questionable costs of \$10 million for the 33 DynCorp employees for which Northrop Grumman could not provide resumes. Additionally, ACC-RSA authorized questionable costs of \$21.7 million for labor performed in excess of eight hours per day, some of which were also included in the questionable costs for labor performed by unqualified contractor employees. These questionable costs are potential improper payments.

(FOUO) **Recommendation 2:** The DoD OIG recommended that the ACC-RSA Executive Director review the eligibility of the [REDACTED] DynCorp International employees to determine if the employees met the labor qualifications specified in the contract and if they did not, obtain a refund for improper labor charges

CHAPTER 1

(~~FOUO~~) or recoup from any currently owed payments due the contractor on contract W9113M-07-D-0007. Also, obtain resumes to verify that the 33 remaining employees meet the labor qualifications specified in the contract and, if they did not, obtain a refund for additional improper labor charges or recoup from any currently owed payments due the contractor on contract W9113M-07-D-0007.

(~~FOUO~~) **Management Response to the Recommendation:** The Executive Deputy to the U.S. Army Materiel Command Commanding General, responding for the ACC-RSA Executive Director, agreed with the recommendation and stated that [REDACTED]
[REDACTED]
[REDACTED]. The Executive Deputy stated that [REDACTED]
[REDACTED] the U.S. Army Materiel Command would work with ACC-RSA to ensure all necessary audits were conducted to assist in the potential recovery of funds.

(~~FOUO~~) **Implementation Status:** [REDACTED]
[REDACTED]
[REDACTED] In May 2017, the contracting officer sent a letter to Northrop Grumman requesting submission of documentation necessary for the Government to determine whether subcontractor DynCorp International employees met the required eligibility of labor qualifications specified in the contract. According to ACC-RSA, in response to the contracting officer's request, Northrop Grumman provided thousands of gigabytes of data containing information related to the employees and work in question.

In December 2017, ACC-RSA requested DCAA to provide incurred cost audit reports for contractor fiscal years (CFY) 2008-2011 and incurred cost submissions for CFYs 2008-2016. In addition, the contracting officer requested DynCorp invoices and supporting data from Northrop Grumman. The contracting officer also requested the project office to provide copies of reports and analyses related to the reviews of subcontracts, specifically DynCorp International. According to ACC-RSA, as of February 2018, DCAA was organizing the incurred cost reports for Northrop Grumman before providing the information to the ACC-RSA cost and pricing personnel. As of March 2018, ACC-RSA reported that due to the magnitude of the data being reviewed, ACC-RSA personnel did not

CHAPTER 1

have a projected completion date for either the post-award audits or the overall review of the eligibility of the DynCorp International employees. In addition to Recommendation 2, this report contained five other recommendations related to the review of potentially excessive payments of \$21.7 million and the recovery and reporting of improper contract payments. Of the six recommendations, three related to the implementation of procedures to validate contractor employee qualifications and the accuracy of invoices prior to payments for the contract and future contracts, are closed and the remaining three are resolved but remain open.

(FOUO) Information Required to Close the Recommendation: The DoD OIG will close Recommendation 2 after the ACC-RSA provides the results of the review concerning the eligibility of the [REDACTED] contractor employees and, if applicable, evidence that ACC-RSA has received a refund from the contractor for any improper labor hours charged on contract W9113M-07-D-0007. This recommendation has been open 3 years and 11 months.

Why the Recommendation is Important: The contractor employed unqualified individuals and submitted improper labor charges for work performed on the DoD Counter Narco-Terrorism Technology Program. ACC-RSA could potentially recover up to \$101.4 million in potentially improper payments for labor performed by unqualified contractor employees. Additionally, ACC-RSA could establish better procedures to validate contractor employee qualifications for services provided on the current and future contracts, and adequately review invoices prior to approving payments for labor charges. Furthermore, the Federal Acquisition Regulation designates contracting officers as the individuals responsible for ensuring compliance with the terms of the contract and safeguarding the interest of the United States in their contractual relationships. Therefore, it is imperative for DoD contracting officers to validate that contractors and subcontractors employees are qualified to accomplish the required work in an effort to avoid waste and abuse of Government funds.

CHAPTER 1

Report No. DODIG-2014-090, “Improvements Needed in the General Fund Enterprise Business System Budget-to-Report Business Process,” July 2, 2014

FY 2018 Management Challenge: Improving Financial Management

Objective: The objective of this audit was to determine whether the General Fund Enterprise Business System (GFEBS) Program Management Office (PMO) implemented the DoD Business Enterprise Architecture (BEA) Budget-to-Report (B2R) Business Process to properly support the Army General Fund (AGF) Statement of Budgetary Resources (SBR). GFEBS is the AGF general ledger and, beginning in FY 2013, the system of record for AGF fund distribution. The DoD BEA identifies 15 DoD end-to-end business processes that are intended to streamline and enable standard, integrated, and optimized business processes; improve records management; and establish process governance that promotes transparency, collaboration, integration, and innovation across the Army. The B2R business process encompasses all business functions necessary to plan, formulate, create, execute against, and report on the budget and business activities of the Army, including updates to the general ledger.

Finding: The DoD OIG determined that the GFEBS PMO and Army Budget Office (ABO) personnel did not implement the B2R business process to properly support the AGF SBR. Specifically, GFEBS PMO personnel did not configure GFEBS to properly record at least \$6.3 billion in AGF B2R transactions. In addition, ABO personnel did not accurately record \$103.2 billion of AGF B2R transactions in GFEBS, the AGF’s accounting system, and did not record 22 FY 2013 AGF appropriations, totaling \$176.5 billion, in a timely manner. This occurred because Office of the Assistant Secretary of the Army (Financial Management and Comptroller) (OASA[FM&C]) personnel did not provide adequate oversight to verify that the GFEBS PMO configured the system to properly record B2R transactions; OASA(FM&C) and GFEBS PMO personnel did not create adequate procedures for some B2R business processes; and ABO personnel were not aware of all the transactions they were required to record in the system after the implementation of GFEBS. In addition, GFEBS was not the main source of data for the FY 2013 AGF SBR because OASA(FM&C) personnel decided to keep most prior-year funding in legacy systems instead of converting the data into GFEBS. As a result, GFEBS B2R data related to planning, formulating, creating, executing against, and reporting on the budget and business activities of the Army were unreliable. The GFEBS fourth quarter FY 2013 trial balance contained

The DoD OIG determined that the GFEBS PMO and ABO personnel did not implement the B2R business process to properly support the AGF SBR.

CHAPTER 1

\$6.3 billion in reported balances that do not comply with the normal debit or credit accounts or had abnormal balances related to budgetary General Ledger Account Codes. In addition, Defense Finance and Accounting Services (DFAS) personnel could not use the GFEBS budgetary trial balance data to prepare the FY 2013 AGF SBR, which reported \$266.5 billion of Total Budgetary Resources, without making \$141.3 billion of adjustments to the GFEBS trial balance. For example, DFAS personnel made \$3 billion in adjustments to bring the budgetary Fund Balance with Treasury (FBWT) amounts into agreement with the proprietary FBWT amounts.

Recommendation 1.a: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) verify that the GFEBS posting logic documentation is accurate and complete, and use it to validate GFEBS general ledger account postings.

Management Response to the Recommendation: The Deputy Assistant Secretary of the Army (Financial Operations) (DASA[FO]), responding for the ASA(FMC), agreed, stating that the Army initiated an effort to document and validate that the general ledger posting logic programmed into GFEBS is correct. In the first phase, the Army validated transactions that affected the FY 2015 Schedule of Budgetary Activity (SBA) assertion. In the next phase, the Army reviewed transactions affecting the FY 2017 SBR. The DASA(FO) indicated that the Army would also undertake a larger effort to identify, document, and monitor the remaining posting logic for the remainder of the transactions without an SBR impact to include current and prior fiscal years. For the B2R process, the Army stated that it would develop a plan of action with milestones to outline the actions required to document and potentially adjust applicable posting logic.

Implementation Status: On September 16, 2016, the DASA(FO) stated that the Army implemented a process to document, update, and maintain the posting logic within GFEBS. He provided a baseline posting logic document, published in the second quarter FY 2015, which he indicated is updated quarterly. In addition, the OASA(FM&C) conducted a review of posting logic scenarios to determine whether the scenarios complied with the U.S. Government Standard General Ledger (USSGL). The DASA(FO) indicated that the GFEBS posting logic used the DoD Standard Financial Information Structure transaction library and identified B2R transactions affecting the SBA audit. According to the DASA(FO), the Deputy Assistant Secretary of the Army (Financial Reporting), in cooperation with GFEBS Program Management Office, was expected to validate the completeness and

CHAPTER 1

accuracy of the posting logic library by June 2017. On September 20, 2017, the Deputy Assistant Secretary of the Army (Financial Operations) stated that the corrective action plan was revised and the posting logic transaction level historical analysis was scheduled for completion by September 2018. In addition to Recommendation 1.a, this report contained five other recommendations related to recording transactions accurately and posting transactions to the correct general ledger accounts to improve the reliability of budgetary data in GFEBS. Of the six recommendations, three related to providing ABO personnel with training on performing their B2R responsibilities using GFEBS; assessing the effect of implementing the corrective actions on the Army's plan to assert that its SBA was audit ready by September 30, 2014; and implementing job aids consistent with the DoD Standard Financial Information Structure Transaction Library that address which general ledger accounts are required for the B2R process, are closed.⁵ The remaining three recommendations are resolved but remain open.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation 1.a after the DASA(FO) provides the results of its review and validation of the GFEBS posting logic and business rules for the B2R process and provides the list of noncompliant posting logic that the Army submits to the GFEBS Program Management Office. We will also review the final version of the Posting Logic Documentation Maintenance standard operating procedures developed by OASA(FM&C) or other guidance that document this process. In addition, we will determine whether each transaction was submitted to the Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer for a waiver or to the PMO for correction. This recommendation has been open 3 years and 9 months.

Why the Recommendation is Important: This recommendation relates to the Army's ongoing struggle to verify posting logic in its Enterprise Resource Planning Systems. Errors in posting logic contributed to the \$6.5 trillion in unsupported adjustments DFAS prepared to compile the FY 2015 Year-End Army General Fund Financial Statements and continue to cause billions in unsupported adjustments during the compilation of the current AGF financial statements.⁶ The Army must

⁵ The Army asserted the audit readiness of its SBA on July 24, 2014, as a result, this recommendation was overcome by events and the DoD OIG decided to close it. The independent public accounting firm hired to audit the Army's FY 2015 SBA issued a disclaimer of opinion because it was unable to obtain sufficient appropriate audit evidence to conclude that the SBA as a whole was free from material misstatements.

⁶ Report No. DODIG-2016-113, "Army General Fund Adjustments Not Adequately Documented or Supported," July 26, 2016.

CHAPTER 1

be able to readily identify how GFEBS is posting transactions so that it can find posting errors, which result in adjustments; prepare the financial statements; or make required USSGL yearly updates. Without a complete and accurate list of all potential general ledger postings available within GFEBS, the Army also cannot demonstrate that GFEBS is in compliance with the USSGL as required by the Federal Financial Management Improvement Act of 1996. A complete and accurate posting logic list would allow the Army to verify that the transactions programmed into its general ledger system are consistent with the USSGL posting library and reduce the number of adjustments required to correct errors during the compilation of the financial statements. A correct posting logic list would also minimize the risk of unsupported adjustments to the financial statements and help the Army, and the DoD, address one of its material weaknesses.

U.S. Army provides security during the air assault movement for a Combined Arms Live Fire Exercise

Source: U.S. Army.



CHAPTER 1

Report No. DODIG-2014-101, “Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight,” August 13, 2014

FY 2018 Management Challenge: Providing Effective, Comprehensive, and Cost Effective Health Care

Objective: The objective of this audit was to determine whether the Military Services and selected military medical treatment facilities were effectively managing medical service accounts (MSAs) at Brooke Army Medical Center (BAMC) that were more than 180 days delinquent by transferring the debt to the appropriate debt collection agency or actively pursuing collection. We reviewed at BAMC the 25 highest dollar delinquent MSAs valued at \$11 million.

The DoD OIG determined that the BAMC UBO management did not effectively manage delinquent MSAs.

Finding: The DoD OIG determined that the BAMC Uniform Business Office (UBO) management did not effectively manage delinquent MSAs. As of May 29, 2013, there were 15,106 MSAs at BAMC valued at \$73.1 million that were delinquent more than 180 days and were not transferred to the U.S. Treasury for collection. We reviewed 25 of the highest dollar MSAs, valued at \$11 million, and found that the BAMC UBO did not transfer 24 of those accounts, valued at \$10.4 million, to the U.S. Treasury for collection after the account was 180 days delinquent. BAMC did not pursue one MSA because it was part of an ongoing court case. In addition, BAMC UBO management did not pursue collection for 20 of the delinquent MSAs, valued at \$8.8 million. As a result, BAMC UBO missed opportunities to collect approximately \$10.4 million in delinquent payments due on 24 of the 25 highest dollar delinquent MSAs. These are funds that could be applied to administrative, operating, and equipment costs; readiness training; or trauma consortium activities. Unless BAMC UBO management takes prompt and aggressive actions to pursue collection of the delinquent debt among the MSAs we reviewed, including the \$62.5 million for the thousands of additional delinquent MSAs that we did not specifically review, and makes improvements to its collection process, they will continue to incur rising delinquent balances for future MSAs and miss opportunities to put these funds to better use.

Recommendation 1.b: The DoD OIG recommended that the Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts.

Management Response to the Recommendation: The U.S. Army Medical Command (MEDCOM) Chief of Staff, responding for the BAMC Commander, agreed, stating that BAMC UBO reassigned two MSA clerks to exclusively

CHAPTER 1

work aged accounts to process and transfer them to the U.S. Treasury within 18 months. The Chief of Staff also stated that BAMC UBO is working to hire additional MSA clerks to mitigate current claims from aging into the 180-day backlog. In addition, BAMC planned to complete processing and transferring the backlog by January 1, 2016. The MEDCOM Chief of Staff, also expressed concern that in order to transfer debt for patients requesting debt compromise, which is the acceptance of a lesser amount of money as full settlement of the patient's debt, the Treasury required a financial assessment before the debt could be transferred to the Treasury. However, according to the Chief of Staff, BAMC UBO did not have the staff or expertise to conduct these financial assessments. The Chief of Staff is seeking additional guidance from the Office of the Secretary of the Army on how to proceed with these claims.

Implementation Status: Although MEDCOM provided additional staff to assist, BAMC UBO did not process and transfer all of the delinquent MSA backlog by January 1, 2016. On February 6, 2018, BAMC UBO personnel stated that they were taking action to improve processes and were working to close the open delinquent MSAs; however, there are over 15,000 delinquent MSAs that require action. BAMC UBO chief shared that they were working with MEDCOM to confirm the external support necessary to resolve the backlog of delinquent MSAs either through continuation of current support or through a new contract. In addition to Recommendation 1.b, this report contained 10 other recommendations related to improving processes and procedures to identify, prioritize, and collect delinquent medical service accounts, and using available debt collection tools in coordinating with other Federal entities to improve overall collection of medical service accounts. Of those 11 recommendations in the report, seven are closed and four are resolved but remain open.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation 1.b once BAMC UBO personnel have provided us evidence that they have researched, reviewed, and pursued collection on the 15,106 delinquent MSAs, valued at \$73.1 million, that were open at the time of the audit. This recommendation has been open 3 years and 7 months.

Why the Recommendation is Important: MEDCOM could recover \$73.1 million in delinquent payments and if these processes are not fixed, the backlog will continue to grow and additional funds will go uncollected.

CHAPTER 1

Report No. DODIG-2014-104, “Global Combat Support System-Army Did Not Comply With Treasury and DoD Financial Reporting Requirements,” September 3, 2014

FY 2018 Management Challenge: Improving Financial Management

Objective: The objective of this audit was to determine whether the Army fielded the Global Combat Support System–Army (GCSS-Army) with the proper functionality to provide reliable financial information and support audit readiness requirements. GCSS-Army is a single system that performs supply, maintenance, property, and tactical finance functions.

Finding: The DoD OIG determined that the Office of the Assistant Secretary of the Army (Financial Management and Comptroller (ASA[FM&C]) and GCSS-Army Program Management Office (PMO) personnel did not field GCSS-Army with the proper functionality to comply with Department of the Treasury and DoD guidance related to planning, formulating, creating, executing against, and reporting on the budget and business activities of the Army. These functions are necessary to provide reliable financial information and support for audit readiness requirements. This occurred because DoD and Army management did not have adequate controls, including procedures and annual reviews, in place to ensure GCSS-Army complied with Treasury and DoD guidance. In addition, the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer (USD[C]/CFO), DoD, and Office of the Deputy Chief Management Officer personnel did not establish adequate account attribute, chart of account, or posting logic guidance. This occurred because the DoD and Army did not have formal processes for reviewing and ensuring that DoD guidance is complete and consistent with Treasury guidance.

Recommendation 1.a.i: The DoD OIG recommended that the USD(C)/CFO, DoD, in coordination with the DoD Deputy Chief Management Officer, comply with the DoD Financial Management Regulation, which requires compliance with accounting policy, standards, and principles and internal control standards, through the following actions.

- a. Develop and implement processes to validate GCSS-Army compliance by:
 - i. Annually validating Global Combat Support System-Army’s timely compliance with Treasury and DoD guidance for:
 1. Account attributes,
 2. Chart of accounts, and
 3. Posting logic.

The DoD OIG determined that the Office of the ASA(FM&C) and GCSS-Army PMO personnel did not field GCSS-Army with the proper functionality to comply with Treasury and DoD guidance.

CHAPTER 1

Management Response to the Recommendation: The Deputy Chief Financial Officer, responding for the USD(C)/CFO, DoD, stated that the OUSD(C)/DCFO and the Deputy Chief Management Officer engaged the Joint Interoperability Test Command to perform conformance testing of financial management systems and address deficiencies reported in DoD OIG reports on financial management systems. The DCFO issued a memorandum entitled “DoD United States Standard General Ledger and Standard Financial Information Structure System Testing Requirement,” July 14, 2014, outlining the testing initiative. On June 25, 2014, the OUSD(C)/DCFO briefed the Financial Improvement and Audit Readiness Subcommittee on the Joint Interoperability Test Command (JITC) Validation Program. After the initial year of validation, the JITC would assess new requirements in subsequent years. Because the DoD publishes annual requirements, the assessment of new requirements will be completed annually. The JITC was to test GCSS-Army in FY 2016.

Implementation Status: In October 2017, the OUSD(C)/DCFO requested Recommendation 1.a.i be reassigned to ASA(FM&C). The ASA(FM&C) reported that as of March 1, 2018, the funding for the GCSS-Army JITC assessment and support agreements with JITC to perform its assessment was being finalized by the GCSS-Army program office. The Army anticipated initiating its assessment in the second quarter, FY 2018 and completing it by the third quarter, FY 2018. The ASA(FM&C) noted that the completion date of the assessment was dependent upon JITC. Additionally, the ASA(FM&C) stated that due to system complexities coupled with the fact that the General Fund Enterprise Business Systems assessment was completed later than expected, ASA(FM&C) recommended the DoD OIG follow up on in the first quarter of FY 2019. In addition to Recommendation 1.a.i, this report contained 11 other recommendations related to performing annual reviews of the timely compliance of account attributes, chart of accounts, and posting logic; and developing an automated functionality for demonstrating GCSS-Army account postings that are considered closed.

Information required to Close the Recommendation: The DoD OIG will close Recommendation 1.a.i after completion and verification of the JITC’s initial validation of GCSS-Army’s compliance measures with the most current Treasury and DoD guidance for account attributes, chart of accounts, and posting logic. This recommendation has been open 3 years and 7 months.

CHAPTER 1

Why the Recommendation is Important: GCSS-Army is a critical Army logistical and accounting system. This lack of functionality raises doubt as to whether the Army's projected \$4.3 billion investment, as of September 2014, will result in a system that can assist the Army in complying with accounting standards and achieve auditable financial records. In addition, incorrect posting logic for accounts that the Army uses to prepare the Statement of Budgetary Resources resulted in reported balances that did not comply with the normal debit or credit account balances, or abnormal balances of \$703.7 million, or 23.5 percent of the \$3.0 billion GCSS-Army fourth quarter FY 2013 Trial Balance. An Independent Public Accountant's 2017 audit of Army General Fund identified a \$12.8 million GCSS-Army posting logic error impacting the Statement of Budgetary Resources. Beginning in FY 2018, the Army is undergoing a full financial statement audit. In order for the Army to pass a financial statement audit, it is imperative that the Army uses the most current Treasury and DoD guidance for account attributes, chart of accounts, and posting logic and validates its compliance in order to correctly record and report financial activity. A complete and accurate posting logic list would allow the Army to verify that the transactions programmed into its general ledger system are consistent with the posting library guidance and reduce the number of adjustments required to correct errors during the compilation of the financial statements. A correct posting logic list would help the Army, and DoD, resolve one of its material weaknesses and make progress towards clean Army and DoD audit opinions.

The U.S. Navy Flight Demonstration Squadron, the Blue Angels, perform.

Source: U.S. Navy.



CHAPTER 1

Report No. DODIG-2015-016, “Department of Defense Suicide Event Report Data Quality Assessment,” November 14, 2014

FY 2018 Management Challenge: Providing Effective, Comprehensive, and Cost Effective Health Care

Objective: The objective of this assessment was to determine why the Calendar Year (CY) 2011 Department of Defense Suicide Event Report (DoDSER) Annual Report had a high number of “don’t know/data unavailable” responses to questionnaire items.

Finding: The DoD OIG determined that the CY 2011 DoDSER did not consistently include highly relevant information from other sources, such as medical records, law enforcement investigations, or command investigations, that would provide a better understanding of the circumstances and stressors related to suicidal behavior. This occurred because DoD policy did not require a multidisciplinary approach to gathering data for the DoDSER submission.

The CY 2011 DoDSER had a high number of “don’t know/data unavailable” responses in numerous critical data fields, such as, “Did the decedent have a family history of mental illness?” and “Prior to the event, was the decedent seen by a Military Treatment Facility?” This occurred because individuals assigned to prepare the DoDSER lacked awareness of how or where to obtain that information. Additionally, Line of Duty Investigating Officers investigative agencies, and suicide prevention offices, who were likely to have been the sources of information that was reported as “don’t know/data unavailable,” often failed to share this information with those preparing the DoDSER submission.⁷

The DoD OIG determined that the CY 2011 DoDSER did not consistently include highly relevant information from other sources, such as medical records, law enforcement investigations, or command investigations, that would provide a better understanding of the circumstances and stressors related to suicidal behavior.

⁷ A line of duty investigation is typically conducted in the event of the death of a service member in order to determine if the death occurred in line of duty status. The investigation is typically assigned to a service member as an additional duty. The line of duty investigating officer’s determination has an impact on the deceased service member’s survivor benefits received.

CHAPTER 1

Recommendation 2.a: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness publish guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoDSER submissions. For each suicide death, the board should:

- be a locally (command or installation level) chartered board with defined task, purpose, and outcome for each suicide death review;
- include participation by unit leadership, medical and mental health organizations, and Military Criminal Investigative organizations; and
- articulate the requirement to appropriately share information (for example, medical and law enforcement reports) from ongoing investigations.

Management Response to the Recommendation: The Principal Deputy, responding for the USD(P&R), agreed with the recommendation, stating that it would draft guidance for coordination by April 2015.

Implementation Status: In March 2016, the USD(P&R) reported that it anticipated implementing the recommendation in fall 2016 with the publication of the DoD Instruction 6490.aa, "Defense Suicide Prevention Program." On February 2, 2017, the publication of the Instruction was in formal coordination, with anticipated completion in spring 2017. The Acting Director, Defense Suicide Prevention Office response on February 26, 2018, indicated that DoD Instruction 6490.16 (under a new number) was published on November 6, 2017, and language to support the recommendation is included in sections 2.16 and 2.18. However, the DoD OIG determined that these sections did not provide guidance requiring suicide event boards or a multidisciplinary approach to obtain data for DoDSER submissions. In addition to Recommendation 2.a, this report contained 32 other recommendations related to the DoDSER submission process, data quality, and data sharing. Of the 33 recommendations, 16 are closed and 17 are resolved but remain open.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation 2.a after the USD(P&R) revises DoD Instruction 6490.16 requiring suicide event boards or a multidisciplinary approach to obtain data for DoDSER submissions and verify that it meets the intent of the recommendation. This recommendation has been open 3 years and 5 months.

CHAPTER 1

Why the Recommendation is Important: Without a comprehensive and complete DoDSER submission, the DoD will have difficulty conducting the trend or causal analysis necessary for developing effective suicide prevention policy and programs to reduce suicide rates across the force.



Air Force Senior Airman evaluates the engine of a C-130H Hercules

Source: Air Force.

CHAPTER 1

Report No. DODIG-2015-114, “Navy Officials Did Not Consistently Comply With Requirements for Assessing Contractor Performance,” May 1, 2015

FY 2018 Management Challenge: Enabling Effective Acquisition and Contract Management

Objective: The objective of this audit was to determine whether Navy officials completed comprehensive and timely contractor performance assessment reports (PARs).

Finding: The DoD OIG determined that Navy officials did not consistently comply with requirements for evaluating past contractor performance when registering contracts and preparing performance assessment reports. The purpose of a PAR is to provide source selection officials with information on contractor past performance. Navy officials stated that not registering the contracts was an error. As a result, Federal Government source selection officials did not have access to timely, accurate, and complete contractor performance assessment information needed to make informed decisions related to future contract awards or other acquisition matters.

Under Secretary of Defense for Acquisition, Technology, and Logistics memorandum, “Past Performance Assessment Reporting,” January 9, 2009, issued in response to DoD OIG Report No. D-2008-057, “Contractor Past Performance Information,” February 29, 2008, requires DoD officials to register contracts that meet reporting thresholds and prepare PARs for contracts requiring assessments within 120 days of the end of the evaluation period.

Recommendation 4.a: The DoD OIG recommended that the Naval Air Systems Command Commanders and Naval Sea Systems Command and Naval Supply Systems Command Commanding Officer, Fleet Logistics Center Norfolk, develop and implement procedures that require the preparation of performance assessment reports that meet the 120-day requirement in the Under Secretary of Defense for Acquisition, Technology, and Logistics policy.

Management Response to the Recommendation: The Naval Sea Systems Command (NAVSEA) Commander agreed with the recommendation, stating that Contractor Performance Assessment Reporting System (CPARS) procedures

The DoD OIG determined that Navy officials did not consistently comply with requirements for evaluating past contractor performance when registering contracts and preparing performance assessment reports.

CHAPTER 1

promulgated under NAVSEA Instruction 4355.8A, "Contractor Performance Assessment Reporting System," October 7, 2008, were to be updated to include the 120-day CPARS reporting requirement.

Implementation Status: In August 2016, the NAVSEA Commander responded, stating that SEA 06M was drafting a policy memorandum for SEA 00/00B issuance that would state NAVSEA business units shall complete CPARs within 120 days of the end of the contract performance period. On March 3, 2018, NAVSEA stated that it evaluated procedures, timelines, and updated training available at the federal level and determined that it was sufficient for timely and accurate completion of contract past performance evaluations; therefore, a revision to NAVSEA Instruction 4355.8A was no longer necessary. In addition, NAVSEA stated that NAVSEA Instruction 4200.17F had been updated to assign Contracting Officer's Representatives (COR) the responsibility for completing CPARS for assigned contracts. The DoD OIG determined these actions were insufficient because they did not address the 120-day CPARS reporting requirement or provide evidence they were consistently complying with requirements for evaluating contractor past performance when registering contracts and preparing PARs. In addition to Recommendation 4.a, this report contained 10 other recommendations relating to contract registration and PAR procedures. Of the 11 recommendations, 6 are closed and 5 are resolved but remain open.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation 4.a once we verify the NAVSEA policy memorandum includes the 120-day CPARS reporting requirement and implemented procedures that require the preparation of CPARS within the 120-day reporting requirement. This recommendation has been open 2 years and 11 months.

Why the Recommendation is Important: Contracting officials need to have current information on the performance of contractors. Federal Government source selection officials need access to timely, accurate, and complete contractor performance assessment information so that they can make informed decisions when considering prospective contractors for the contract award. Without this information, source selection officials throughout the Federal Government risk selecting contractors for future contracts that are not capable of performing the work or have a history of underperforming. By having this information up front, contracting officials will be better informed and less likely to select a contractor that has been proven to be unresponsive or unreliable.

CHAPTER 1

Report No. DODIG-2016-026, “Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions,” November 24, 2015 (Full Report is Classified)

FY 2018 Management Challenge: Increasing Cyber Security and Cyber Capabilities

Objective: The objective of this audit was to determine whether Cyber Mission Force (CMF) teams had adequate facilities, equipment, and capabilities (cyber tools and programs) to effectively perform missions.

(FOUO)

1. (FOUO)

2. (FOUO)

3. (FOUO)

(FOUO)

(FOUO) Finding:

Recommendation 1: The DoD OIG recommended that the U.S. Cyber Command Commander, the U.S. Army and U.S. Air Force Chiefs of Staff, the Chief of Naval Operations, and the Commandant of the Marine Corps develop a doctrine, organization, training, materiel, leadership and education, personnel, facilities, and policy framework (DOTMLPF-P) that address strategies to build, grow, and sustain the Cyber Mission Force.

CHAPTER 1

The DOTMLPF-P is a process for developing a framework to determine the acceptability, suitability, and feasibility of a proposed force design change and capability solution as part of the Joint Capabilities Integration and Development System.⁸

(FOUO) Management Response to the Recommendation: [REDACTED]

[REDACTED]
[REDACTED]
[REDACTED]

(FOUO) Implementation Status: In February 2018, the Army provided a classified update detailing ongoing efforts. In addition, the Navy and Air Force provided classified documentation describing their frameworks for sustaining the CMF. Based on the documentation provided by the Navy and Air Force, we closed Recommendation 1 for those Services. Furthermore, Marine Corps provided a classified update; however, the documentation and actions taken were not sufficient to close the recommendation. As of March 31, 2018, we have not received a status update on U.S. Cyber Command corrective actions taken to address Recommendation 1. In addition to Recommendation 1, this report contained 11 other recommendations related to developing cyber capabilities across for the CMF, developing a baseline of defensive capabilities needed by Cyber Protection Teams, [REDACTED]

[REDACTED]. Of the 12 recommendations, 4 are closed and 8 are resolved but remain open.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation 1 after we receive documentation showing that the U.S. Cyber Command Commander, the Chief of Staff for the U.S. Army, and Commandant of the Marine Corps has developed a DOTMLPF-P that addresses building, growing, and sustaining the CMF. This recommendation has been open 2 years and 4 months.

⁸ The Joint Capabilities Integration and Development System supports the Chairman of the Joint Chiefs of Staff in identifying, assessing, validating, and prioritizing joint military capability requirements.

CHAPTER 1

~~(FOUO)~~ Why the Recommendation is Important: [REDACTED]

[REDACTED] According to the U.S. Cyber Command Commander, the CMF is essential to achieving the objectives of the DoD Cyber Strategy. The DOTMLPF-P framework identifies capability gaps and the resources needed to execute and sustain new operational or mission requirements. The DoD began building the CMF in late 2012 to meet new DoD cyberspace mission requirements directed by the President. [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

A coalition member hangs an 81-millimeter mortar prior to launch.

Source: U.S. Army.



CHAPTER 1

Report No. DODIG-2016-072, “DoD Needs to Improve Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations,” April 1, 2016 (Full Report is For Official Use Only)

FY 2018 Management Challenge: Optimally Balancing Readiness, Modernization, and Force Structure

Objective: The objective of this audit was to determine whether the DoD had adequate security controls in place for the Military Housing Privatization Initiative (MHPI). Specifically, the DoD OIG focused on whether DoD was effectively screening civilian tenants who lease MHPI housing and adequately controlling installation access to those tenants and their guests.

Finding: The DoD OIG determined that the DoD security officials did not effectively screen and adequately control installation access for general public tenants who leased privatized housing on the installations reviewed in the audit. Specifically, DoD security officials did not properly screen general public tenants before granting unescorted access to Fort Detrick, Maryland, Naval Station Meyport, Florida, and Barksdale Air Force Base, Louisiana, and issued access badges to tenants with expiration dates that exceeded tenants lease terms. Of the 128 general public tenants reviewed:

- 120 received unescorted access without the required background checks, and
- 61 received access badges that expired after the lease termination dates.

This occurred because Army and Air Force guidance did not specify the queries to access the National Crime Information Center (NCIC) and Interstate Identification Index (III) files needed to generate the required general public tenant background check or require that badge expiration dates align with the tenants lease terms.⁹ As a result, the DoD assumed an unnecessary safety and security risk to military personnel, their dependents, civilians, and assets.

Recommendation 2.a: The DoD OIG recommended that the Headquarters U.S. Air Force, Deputy Chief of Staff for Logistics, Engineering, and Force Protection, Security Forces Director; the U.S. Army Assistant Chief of Staff for

The DoD OIG determined that the DoD security officials did not effectively screen and adequately control installation access for general public tenants who leased privatized housing on Fort Detrick, Maryland, Naval Station Meyport, Florida, and Barksdale Air Force Base, Louisiana, and issued access badges to tenants with expiration dates that exceeded tenants lease terms.

⁹ The National Criminal Information Center is the United States central database for tracking crime-related information, while the Interstate Identification Index is a national index of criminal history records. Both are maintained by the Federal Bureau of Investigation.

CHAPTER 1

Installation Management; and the Navy Installations Command Commander conduct a review of all general public tenants leasing privatized housing to ensure those tenants received a complete and adequate background check in accordance with service regulations.

Recommendation 2.b: The DoD OIG recommended that the Headquarters U.S. Air Force, Deputy Chief of Staff for Logistics, Engineering, and Force Protection, Director of Security Forces; U.S. Army Assistant Chief of Staff for Installation Management; and Navy Installations Command Commander instruct security officials to conduct a badge review for general public tenants living inside the installations and re-issue badges as needed to ensure the badge expiration date aligns with the end of the tenants' lease terms.

Management Response to the Recommendations: The Assistant Chief of Staff for Installation Management (ACSIM) agreed with the recommendations, stating that ACSIM will coordinate requirements with the U.S. Army Installation Management Command (IMCOM) Commander and the Office of the Provost Marshal General to ensure installations reviewed local policies and complied with guidance for the issuance of installation access to general public tenants leasing privatized housing. Also, a review of expiration dates of badges and passes would be directed to ensure credential expiration dates coincided with lease obligations. This review would reinforce Army policy that directs the completion of a National Crime Information Center Interstate Identification Index and local criminal background check prior to issuance of a credential.

The Deputy Director, responding for the Director of Security Forces; Deputy Chief of Staff for Logistics, Engineering, and Force Protection; and Headquarters U.S. Air Force; agreed, stating that the Air Force Security Forces Center (AFSFC) Commander would issue a memorandum directing a process review of local installation procedures to ensure privatized housing personnel received the required background check in accordance with Air Force Manual 31-113 "Installation Control," February 2, 2015. In addition, procedures for guest and visitor installation access would be reviewed for compliance with NCIC III checks and credentialing by June 1, 2016. The Director also stated that the review of issued badges would be conducted by June 1, 2016, to ensure badge dates matched lease dates.

The Navy Installations Command Commander (CNIC) agreed with the recommendation, stating that CNIC will direct installations to review local policies to ensure compliance with headquarters guidance for issuing installation

CHAPTER 1

access badges to unaffiliated civilian tenants in privatized housing. In addition, installations will review unaffiliated civilians' background checks for completeness and adequacy. The CNIC also stated that installations would review issued access badges to ensure the expiration dates match lease expiration dates, and would issue new badges if those dates did not match.

Implementation Status: On December 8, 2016, ACSIM reported that it coordinated the requirements with IMCOM and the Office of the Provost Marshal General through e-mail to ensure installations review local policies and comply with guidance for the issuance of installation access to general public tenants leasing privatized housing. In January 2017, ACSIM referred DoD OIG to IMCOM as the primary action office for any future responses to our followup inquiry because IMCOM no longer reported to ACSIM. In response to our followup request, IMCOM reported on March 22, 2018, that ACSIM did not coordinate the management comments to Report No. DODIG-2016-072 and was never tasked to review the expiration dates of tenant badges against leases.

The Director of Security Force reported that the AFSFC Deputy Director directed a review of issued badges to ensure badges matched lease dates. The AFSFC determined after this review that no other installation with screening/credentialing issues were found but did not provide supporting documentation showing the Air Force officials conducted a review of all issued badges.

The CNIC reported that installations reviewed current local policies, as directed, to ensure compliance with higher headquarters guidance for the issuance of installation access credentials to unaffiliated civilian tenants in privatized housing. This review validated the policy that directed the completion of a National Crime Information Center (NCIC) and local background check prior to the issuance of a credential, and ensured compliance with the requirement for installations to maintain documentation confirming proper vetting had been completed. The CNIC also reported that installations had conducted a review of background checks for unaffiliated civilians living in privatized housing onboard the installation for completeness and adequacy and reviewed issued credentials to ensure badge expiration dates align with lease expiration dates. However, CNIC did not provide documentation showing whether the CNIC directed the installations to review local policies or the results from the installation review of background checks conducted for unaffiliated civilians.

CHAPTER 1

In addition to Recommendations 2.a and 2.b, this report contained eight other recommendations related to issuing or updating guidance for accessing the NCIC and III files, conducting background checks of general public housing tenants, and ensuring general public housing tenant credential expiration dates match the expiration dates of privatized lease agreements. Of the 10 recommendations, 1 is closed and 9 are resolved but remain open.

On May 2, 2018, the DoD OIG announced a follow-up audit on Report No. DODIG-2016-072. The objective of the follow-up audit is to determine whether officials implemented actions to correct problems identified in Report No. DODIG-2016-072. The follow-up audit will address whether the actions taken improved controls over the MHPI program's screening and access-related procedures for general public tenants.

Information Required to Close the Recommendations: The DoD OIG will close Recommendations 2.a and 2.b once ACSIM or IMCOM, AFSFC, and CNIC provides documentation showing the results from the installations' background check and badge reviews, or that the reviews were completed. These recommendations have been open 2 years.

Why the Recommendations are Important: DoD officials were not properly screening general public tenants before granting unescorted access to installations and issued access badges with expiration dates that exceeded tenants' lease terms. This created a safety and security risk to military personnel and their dependents, civilians, and DoD information and assets on those facilities. The recommendations to ensure proper procedures are implemented and followed throughout all installations that offer privatized housing would increase safety and security and protect DoD assets.

CHAPTER 1

Report No. DODIG-2016-080, “Army’s Management of Gray Eagle Spare Parts Needs Improvement,” April 29, 2016 (Full Report is For Official Use Only)

FY 2018 Management Challenge: Enabling Effective Acquisition and Contract Management

Objective: The objective of this audit was to determine whether the Department of the Army effectively managed the MQ-1C Gray (Gray Eagle) spare parts. Specifically, the DoD OIG focused on whether the Army effectively managed the spare-parts inventory and purchased spare parts at fair and reasonable prices.

(FOUO) Finding: The DoD OIG determined that the Product Manager–Medium Altitude Endurance (PdM MAE) officials did not effectively manage spare parts inventory. Specifically, PdM MAE officials owned [REDACTED] spare parts, valued at [REDACTED], in available inventory that included [REDACTED] excess spare parts, valued at [REDACTED].

(FOUO) This occurred because PdM MAE officials did not verify that General Atomics considered excess inventory located at DoD-fielded locations when purchasing spare parts for the production contracts. [REDACTED]

[REDACTED]
[REDACTED]
[REDACTED] without considering on-hand quantities of spare parts at DoD-fielded locations. However, [REDACTED] spare parts, or 67 percent, are located at DoD-fielded locations. As a result, PdM MAE officials retained excess inventory, valued at [REDACTED].

Recommendation A.1.b.ii: The DoD OIG recommended that the Unmanned Aircraft System (PM UAS) Project Manager require that PdM MAE use inventory at DoD-fielded locations before purchasing additional spare parts from General Atomics on the performance-based logistics (PBL) contract and production contracts and then conduct a cost-benefit analysis to determine whether it should dispose of the excess spare parts or keep the excess spare parts for future use and then take the appropriate action.

Management Response to the Recommendation: The U.S. Army Deputy for Acquisition and Systems Management, Assistant Secretary of the Army (Acquisition, Logistics, and Technology) responding for the PM UAS, agreed, stating that the Government directed the prime contractor through a contracting

The DoD OIG determined that the PdM MAE officials did not effectively manage spare parts inventory.

CHAPTER 1

officer letter to use all excess inventory before purchasing additional spare parts. As of April 5, 2016, the Deputy for Acquisition and Systems Management stated that the Army Warehouse Management Working Group (AWMWG) continued to monitor usage rates and worked closely with the prime contractor to ensure the correct spare parts quantities were procured and future fielding spares packages used stocks on hand. This was an active/on-going process implemented in conjunction with the Plant Clearance Automated Reutilization Screening System process.

The Deputy for Acquisition and Systems Management also stated that PdM MAE was replacing the One System Ground Station (OSGCS) with the Universal Ground Station (UGCS). The OSGCS is a ground control system that is used to control the Gray Eagle where the UGCS allows for interoperability of multiple U.S. Army unmanned aircraft. Interoperability of multiple unmanned aircraft will decrease spare part requirements based on increased reliability and system redesign. The OSGCS product line will be phased out completely, resulting in reduction of about 30,000 spare parts, with an estimated value of \$106 million.

(FOUO) Implementation Status: In January 2017, the PdM MAE reported that the contracting officer letter, "Inventory of Spares," April 14, 2016, directed the prime contractor to use excess inventories before ordering additional spare parts. However, the DoD OIG determined that the contracting officer's letter did not direct the prime contractor to use excess inventories, but rather it directed the prime contractor to dispose of excess parts in the contractor's warehouse. In addition, the PdM MAE reported that it had not realized cost savings resulting from the contractor using the excess inventory. The realized savings would not be fully understood until the OSGCS and all supporting components had been fully divested from the inventory and replaced with the UGCS. A total of 10 Gray Eagle units had been converted to UGCS, and only one remaining unit requiring conversion from OSGCS to UGCS. The PdM MAE indicated that the divestiture of the OSGCS would provide a cost savings of [REDACTED].

On March 26, 2018, the DoD OIG contacted the Army and requested documentation that showed whether the DoD had directed the contractor to use excess inventory at DoD-fielded locations before purchasing additional parts. We also requested a status update on actions taken to replace the OSGCS with the UGCS and the amount of funds saved resulting from the contractor using excess inventories. In addition to Recommendation A.1.b.ii, this report contained nine other recommendations related to Gray Eagle spare parts in an Army Accountable

CHAPTER 1

Property System of Record, reviewing and disposing unneeded obsolete spare parts, requesting the actual unit cost of the spare parts purchased and verifying whether contracting officers conducted an adequate fair and reasonable price analysis for those spare parts purchased. Of the 10 recommendations, 4 are closed and 6 are resolved but remain open.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation A.1.b.ii once the Army provides sufficient documentation and shows that the contractor used current excess inventory at DoD-fielded locations before purchasing additional spare parts. Also, the DoD OIG must verify the cost savings resulting from the contractor using excess inventories. This recommendation has been open 1 year and 11 months.

(FOUO) Why the Recommendation is Important: PdM MAE could save [REDACTED] and enhance the management of Gray Eagle spare parts by reducing excess inventory and using current excess inventory before purchasing additional spare parts. [REDACTED] implementing this recommendation would significantly reduce future excess inventory and ensure effective use of Gray Eagle spare parts.



Air Force Senior Airman cuts through metal.

Source: Air Force.

CHAPTER 1

Report No. DODIG-2016-125, “Evaluation of DoD Nuclear Enterprise Governance,” September 19, 2016 (Full Report is Classified)

FY 2018 Management Challenge: Maintaining the Nuclear Enterprise

Objective: The objective of this evaluation was to determine whether responsibilities and authorities for nuclear weapons, nuclear weapon systems, and DoD nuclear command and control systems were effectively aligned within the office of the Secretary of Defense, the Joint Staff, and ad hoc or statutory committees. Specifically, the DoD OIG examined decision-making processes, interdepartmental coordination, and any gaps, seams, and overlaps between offices and committees.

Finding: The DoD OIG determined that the reorganization of the Office of the Under Secretary of Defense for Policy, with the establishment of the Council on Oversight of National Leadership Command, Control, and Communications System, created a foundational governance structure for the DoD nuclear enterprise. However, no governance structure existed to address the nuclear weapon delivery systems. Additionally, Presidential Policy Directive 35, “United States Nuclear Weapons Command and Control, Safety, and Security,” December 8, 2015, directs the establishment of a Security and Incident Response Council to address nuclear weapon physical security issues and incident response capabilities. The lack of these oversight bodies, coupled with the temporary status of the Nuclear Deterrence Enterprise Review Group, increases the risk that oversight of the DoD nuclear enterprise will eventually diminish.

Recommendation A.2.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics establish a two-tiered, senior-level governance structure to address nuclear weapon security requirements or include the requirements in an existing, senior-level organizational body.

Management Response to the Recommendation: The Under Secretary of Defense for Acquisition, Technology, and Logistics agreed and stated that Presidential Policy Directive 35 assigns the DoD responsibility for establishing the Security and Incident Response Council. DoD Directive S-5210.81, “United States Nuclear Weapons Command and Control, Safety and Security,” once revised, will establish the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics (OUSD[AT&L]) as the staff agency responsible for the

The DoD OIG determined that the reorganization of the OUSD(P), with the establishment of the Council on Oversight of National Leadership Command, Control, and Communications System, created a foundational governance structure for the DoD nuclear enterprise. However, no governance structure existed to address the nuclear weapon delivery systems.

CHAPTER 1

Security and Incident Response Council. The Under Secretary stated that his staff was in the process of determining the structure and levels of representation for this Council, as well as establishing the Nuclear Transportation Working Group, Nuclear Weapons Accident Incident Response Subcommittees, and Security Policy Verification Committee as subordinate working groups. Once finalized, this structure would provide senior-level oversight for nuclear weapon security requirements, incident response capabilities, and transportation of U.S. weapons.

Implementation Status: On March 12, 2018, the DoD OIG contacted the OUSD(AT&L) and requested an update on the actions taken toward establishing a two-tiered, senior-level governance structure to address nuclear weapon security requirements. The Assistant Secretary of Defense for Nuclear, Chemical, and Biological Defense Programs reported that the revised DoD Directive S-5210.81 established the OUSD(AT&L) as the staff agency responsible for the Security and Incident Response Council (SIRC). Subsequently, and in accordance with the FY 2017 National Defense Authorization Act, the OUSD(AT&L) was realigned into the Offices of the Under Secretary of Defense for Research and Engineering and Under Secretary of Defense for Acquisition and Sustainment. Although full reorganization efforts are not yet complete, the Under Secretary of Defense for Acquisition and Sustainment approved a course of action to serve as the SIRC chairperson. Based on that decision, a draft charter has been sent to the three SIRC subordinate committees identified in Presidential Policy Directive 35, (Nuclear Weapons Accident Incident Response Subcommittee, Security Policy Verification Committee, and the Nuclear Transportation Working Group) in order to create an oversight body for nuclear weapon safety and security, incident response capabilities, and transportation of U.S. nuclear weapons. In addition, to Recommendation A.2.a, this report contained 12 other recommendations related to establishing interdepartmental coordination mechanisms and documenting decision making processes, including risk-management and prioritization, and tracking nuclear enterprise deficiencies and recommendations identified in internal and external reports. Of the 13 recommendations, 2 are closed and 11 are resolved but remain open.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation A.2.a once the DoD provides information on the structure and levels of representation for the Security and Incident Response Council once finalized. This recommendation has been open 1 year and 6 months.

CHAPTER 1

Why the Recommendation is Important: Nuclear weapons require special protection because of their political and military importance, their destruction power, and the consequences of unauthorized use. The safety, security, and control of U.S. nuclear weapons are of paramount importance to the security of the United States and its allies and partners. In August 2017, Secretary of Defense Mattis stated, “The number one priority of the Department of Defense is that we maintain a safe, secure, and effective nuclear deterrent so we make certain those weapons are never used.” However, the DoD has not established the nuclear weapon security and incident response governance structure required by Presidential Policy Directive 35.

CHAPTER 1

Report No. DODIG-2017-033, “Assessment of U.S. and Coalition Efforts to Train, Advise, Assist, and Equip the Kurdish Security Forces in Iraq,” December 16, 2016

FY 2018 Management Challenge: Addressing Challenges in Overseas Contingency Operations in Iraq, Syria, and Afghanistan

Objective: The objective of this evaluation was to assess U.S. and Coalition efforts to train, advise, assist, and equip the Kurdish Security Forces (KSF) to conduct operations against the Islamic State of Iraq and the Levant.

Finding: The DoD OIG determined that U.S. units lacked visibility of U.S.-transported equipment (both U.S.-purchased and Coalition-donated) that was procured for the KSF as it moved through the U.S.-managed supply chain. Each U.S. military command tracked equipment differently because there was no standard operating procedure that applied across all the commands in theater. In addition, there were multiple entry points into Iraq for equipment destined for the KSF. Uncertainty as to shipment and delivery times existed throughout the supply chain. These issues caused the commands to report the same inbound equipment for the KSF as being in different locations. Furthermore, it caused confusion as to what had been ordered and delivered. As a result, there was an increased risk of duplicate acquisitions by the United States and other Coalition partners, as well as a potential loss of accountability and control. Additionally, not knowing delivery dates of equipment hampered the ability of logistics advisors to provide guidance to enable the Ministry of Peshmerga to adequately plan for deliveries and subsequent distribution of U.S. and Coalition provided equipment.

Recommendation C: The DoD OIG recommended that the, U.S. Central Command Commander, in coordination with the 1st Theater Sustainment Command (1st TSC) Commander, review distribution procedures to ensure all equipment items, including Iraq Train and Equip Fund-purchased and Coalition-donated, are tracked and monitored through the supply chain to ensure accountability throughout the distribution process.

Management Response to the Recommendation: The Chief, J4-Operations, U.S. Central Command, responding for the U.S. Central Command Commander, agreed and stated that the U.S. Central Command would work with the 1st TSC and the Combined Joint Task Force—Operation Inherent Resolve to implement a Logistics Management Modernization Program to capture accountability of Iraq

The DoD OIG determined that U.S. units lacked visibility of U.S.-transported equipment (both U.S.-purchased and Coalition-donated) that was procured for the KSF as it moved through the U.S.-managed supply chain.

CHAPTER 1

Train and Equip Fund equipment within the supply and transportation system. Further, the Director, 1st TSC, Iraq Train and Equip Fund Equipping Cell, stated that the 1st TSC would implement a Logistics Management Modernization Program by December 1, 2016, which was expected to produce total asset visibility regardless of where the equipment was initially delivered, eliminating any requirement for the equipment to move through Kuwait first.

Implementation Status: On December 12, 2017, the DoD OIG contacted the U.S. Central Command to obtain a status update on corrective actions taken to address this recommendation. On January 5, 2018, the 1st TSC stated that in early 2017, it began to execute Counter-ISIS Train and Equip Fund (CTEF) transactions in the Global Combat Support System–Army using a derivative unit identification code (DUIC) as the overall CTEF inventory. The 1st TSC further stated that there were multiple storage locations in Kuwait and Iraq represented by this one DUIC. There was work ongoing to develop multiple DUICs (one per storage location) that should fully complete the visibility of equipment as it moved through the chain. This included assigning proper DoD Activity Address Codes so that the new DUICs could receive, transfer, and issue among themselves and properly document the transfer of equipment to the Government of Iraq. In addition to Recommendation C, this report contained 5 other recommendations related to issuing a written sustainment plan that includes the requirements to sustain the functioning of KSF brigade equipment sets, conducting periodic reviews to monitor and maintain acceptable readiness for the KSF brigade sets, and formalizing the current advise and assist mission being conducted in training development, logistics, and resource management. Of the six recommendations, one is closed, and five are resolved but remain open.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation C once the U.S. Central Command provides documentation showing that it has developed an implementation plan for the Logistics Modernization Program. This will ensure all equipment items, including equipment procured through the Iraq Train and Equip Fund, as well as that donated by other members of the Coalition, can be tracked and monitored through the supply chain, enhancing accountability throughout the distribution process. This recommendation has been open 1 year and 3 months.

Why the Recommendation is Important: Lack of visibility over equipment in the supply chain could result in non-detection of theft or other loss or the purchase of unneeded and duplicate equipment.

CHAPTER 1

Report No. DODIG-2017-049, “Unclassified Report of Investigation on Allegations Relating to USCENTCOM Intelligence Products,” January 31, 2017

FY 2018 Management Challenge: Addressing Challenges in Overseas Contingency Operations in Iraq, Syria, and Afghanistan

Objective: The objective of this investigation was to address allegations that senior intelligence officials at U.S. Central Command (USCENTCOM) falsified, distorted, suppressed, or delayed intelligence products. The allegations, in essence, were that from May 2014 until September 2015, intelligence was altered or suppressed to present a more optimistic portrayal of the success of USCENTCOM’s efforts to degrade and destroy the Islamic State of Iraq and the Levant (counter campaign).

Finding: Much of the information involved in this investigation was classified at the Secret level. The DoD OIG determined that USCENTCOM senior intelligence officials did not falsify intelligence or change intelligence products to create a false narrative or systemic distortion of intelligence. Additionally, the DoD OIG did not find that senior officials suppressed intelligence or delayed intelligence. However, the DoD OIG determined that deficiencies in the management processes relating to USCENTCOM intelligence production contributed to the allegations and the perception that intelligence was distorted. Specifically, the DoD OIG identified significant communication and feedback problems, changes in organization and priorities, and inconsistency and ambiguity in the production and analytical review process. As a result, the DoD OIG determined that intelligence practices related to certain USCENTCOM intelligence products could have, and should have, been better.

Recommendation 12: The DoD OIG recommended that the Defense Intelligence Agency provide new Joint Intelligence Center USCENTCOM personnel clear instructions on their direct support relationship with U.S. Central Command, including what analytical standards apply and who sets Joint Intelligence Center priorities and reporting requirements.

Recommendation 17: The DoD OIG recommended that the Under Secretary of Defense for Intelligence should track and follow up on the Defense Intelligence Agency’s training and certification of all DIA employees serving at U.S. Central Command.

The DoD OIG determined that USCENTCOM senior intelligence officials did not falsify intelligence or change intelligence products to create a false narrative or systemic distortion of intelligence. However, deficiencies in the management processes relating to USCENTCOM intelligence production contributed to the allegations and the perception that intelligence was distorted.

CHAPTER 1

Management Response to the Recommendation: Management agreed to implement our recommendations. USCENTCOM has implemented a series of policies and guidance that encourages more effective communication and feedback throughout the organization that should mitigate some of the perception problems and lead to an improved intelligence production process. The Defense Intelligence Agency (DIA) is in the process of conducting analyst career education courses to teach all of USCENTCOM's civilian analysts about analytic standards and who sets Joint Intelligence Center priorities and reporting requirements.

Implementation Status: As of February 3, 2018, DIA informed us that it is on track to complete the training of their intelligence analysts at USCENTCOM by the end of the first quarter FY 2019. In addition to Recommendations 12 and 17, this report contained 26 other recommendations related to improving the USCENTCOM intelligence processes. Of the 28 recommendations, 24 are closed and 4 are resolved but remain open.

Information Required to Close the Recommendations: The DoD OIG will close Recommendations 12 and 17 once the DIA has completed the training of their analysts to address these recommendations. These recommendations have been open 1 year and 2 months.

Why the Recommendation is Important: During our investigation, we found a significant number of intelligence analysts who exhibited uncertainty in their understanding of how DIA standards and joint doctrine applied to their production of USCENTCOM intelligence products. For instance, some analysts misidentified themselves as primarily supporting the larger national intelligence community, while other analysts believed their priority was supporting the combatant command. By providing clear instructions and training on standards, priorities, and reporting requirements, the DIA and USCENTCOM can address this confusion and ambiguity and help ensure that intelligence analysts assigned to USCENTCOM understand their mission and role.

CHAPTER 1

Report No. DODIG-2018-018, “Implementation of the DoD Leahy Law Regarding Allegations of Child Sexual Abuse by Members of the Afghan National Defense and Security Forces,” November 16, 2017

FY 2018 Management Challenge: Addressing Challenges in Overseas Contingency Operations in Iraq, Syria, and Afghanistan

Objective: The objective of this assessment was to evaluate the implementation of the DoD Leahy Law regarding child sexual abuse as it applies to DoD interaction with, and support and funding of, the Afghan Security Ministries and the Afghan National Defense and Security Forces (ANDSF). The term “Leahy Law” refers to two statutory provisions prohibiting the U.S. Government from using funds for assistance to units of foreign security forces that have committed Gross Violation of Human Rights (GVHR).¹⁰ According to the Foreign Assistance Act of 1961, as amended, a “gross violation of human rights” includes torture or cruel, inhuman, or degrading treatment or punishment; prolonged detention without charges and trial; causing the disappearance of persons by the abduction and clandestine detention of those persons; and other flagrant denial of the right to life, liberty, or the security of a person.

Finding: The DoD OIG determined that the Office of the Under Secretary of Defense for Policy (OUSD[P]) did not have standardized guidance or a process for determining whether information supporting GVHR allegations was credible. This occurred because:

- the phrase “credible information” was not defined as it applies to the DoD Leahy Law;
- there was no DoD or OUSD(P) guidance for determining whether credible information existed; and
- the OUSD(P) did not require or maintain any documentation pertaining to whether or how information was determined to be credible.

As a result, the issues identified above created the risk of inconsistent credibility determinations that, in the absence of clearly articulated guidance, could adversely affect the DoD’s ability to comply with the DoD Leahy Law.

The DoD OIG determined that the OUSD(P) did not have standardized guidance or a process for determining whether information supporting GVHR allegations was credible.

¹⁰ The limitations on the use of DoD funds is codified in section 362, title 10, United States Code. The limitation on assistance to security forces is codified in section 2378d, title 22, United States Code.

CHAPTER 1

Recommendation B.2: The DoD OIG recommended that the Secretary of Defense establish the specific process by which DoD Leahy Law credible information determinations are made.

Recommendation B.3: The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia establish and implement a records management policy for all alleged gross violations of human rights in Afghanistan. Specifically, this policy should require the Deputy Assistant to maintain documentation sufficient to identify how and why credible information determinations were made and to clearly identify what credibility determinations were made in each case.

Management Response to the Recommendation: The Assistant Secretary of Defense, International Security Affairs, performing duties of the Under Secretary of Defense for Policy, responding for the Secretary of Defense, agreed with Recommendation B.2. He stated that the Under Secretary of Defense for Policy was directed to develop and implement detailed procedures on GVHR reporting within the DoD and those procedures are addressed in a draft DoD Instruction 2110.A, "Implementation of DoD Leahy Law Restrictions on Assistance to Foreign Security Forces," which was undergoing interagency review. He also stated that the definition of "credible information" would be adapted from the Department of State Leahy Vetting Guide, and included in DoD Instruction 2110.A. He further stated that the DoD would develop a checklist outlining a specific process by which GVHR credible information determinations were made in Afghanistan. Additionally, the Under Secretary of Defense for Policy was directed to develop and implement detailed procedures on GVHR reporting within the DoD. Those procedures were addressed in a draft DoD Instruction 2110.A, "Implementation of DoD Leahy Law Restrictions on Assistance to Foreign Security Forces," which was currently undergoing interagency review. He stated that the Office of the Deputy Assistant Secretary of Defense for Stability and Humanitarian Affairs would issue a clarification memorandum on the application of the DoD Leahy Law in Afghanistan that would include the checklist for the GVHR credibility determination process.

In response to Recommendation B.3, the Assistant Secretary of Defense, International Security Affairs, performing duties of the Under Secretary of Defense for Policy, stated that the USD(P) agreed and that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia created and

CHAPTER 1

launched a central database accessible to all stakeholders in July 2017 to record allegations of GVHR by ANDSF and document the credibility determinations for each report.

Implementation Status: On June 19, 2018, the DoD OIG issued a followup inquiry to the Office of the Under Secretary of Defense for Policy to obtain a status update on corrective actions taken to address these recommendations. In addition to Recommendations B.2 and B.3, this report contained six other recommendations related to developing and implementing detailed procedures on gross violation of human rights reporting within the DoD, defining “credible information” as it applies to gross violation of human rights determinations and the DoD Leahy Law, and maintaining an official system to track gross violation of human rights information. All eight recommendations are resolved but remain open.

Information Required to Close the Recommendations: The DoD OIG will close Recommendation B.2 once the Office of the DASD for Stability and Humanitarian Affairs issues a clarification memorandum on the application of the DoD Leahy Law in Afghanistan that includes the checklist for the GVHR credibility determination process. The DoD OIG will close Recommendation B.3 once the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia updates Secretary of Defense guidance on implementation of the Leahy Law in Afghanistan to include the records management policy. These recommendations have been open 4 months.

Why the Recommendations are Important: Implementing Recommendations B.2 and B.3 will help DoD comply with legal requirements that apply to supporting and funding of the Afghan Security Ministries and the Afghan National Defense and Security Forces and would withhold funding for assistance when there are credible allegations of gross violations of human rights by foreign military and security forces. Furthermore, such withholding may influence behaviors of other foreign military and security force units that want U.S. assistance.

CHAPTER 1

Report No. DODIG-2018-020, “DoD Compliance With the Digital Accountability and Transparency Act of 2014,” November 8, 2017

FY 2018 Management Challenge: Improving Financial Management

Objective: The objective of this audit was to determine whether the DoD complied with Public Law 113-101, “The Digital Accountability and Transparency Act of 2014” (DATA Act), May 9, 2014.¹¹ Specifically, the DoD OIG assessed the completeness, timeliness, quality, and accuracy of the DoD second quarter FY 2017 financial and award data submitted for publication on USASpending.gov and the DoD’s implementation and use of the Government-wide financial data standards (data elements) established by the Office of Management and Budget (OMB) and Department of the Treasury.

Finding: The DoD OIG determined that DoD implemented and used Government-wide data elements applicable to the financial data established by OMB and Treasury, such as appropriation account, program activity, object class code, outlays, and unobligated balance. However, the DoD did not implement and use the Government-wide data elements applicable to the award data. Specifically, the DoD:

- did not submit all required data elements for procurement and grant awards;
- had 11 procurement and grant award data elements out of the 45 applicable procurement and grant award data elements that were inaccurate in more than half of the sample transactions tested; and
- did not comply with OMB and Treasury Government-wide data element definitions.

These conditions occurred because the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistic Defense Procurement and Acquisition Policy and Office of the Assistant Secretary of Defense for Research and Engineering did not establish adequate internal controls to ensure implementation and use of the Government-wide data elements applicable to the award data established by OMB and Treasury. As a result, DoD spending data displayed on USASpending.gov was inconsistent and unreliable to policymakers and taxpayers.

The DoD OIG determined that DoD implemented and used Government-wide data elements applicable to the financial data established by OMB and Treasury. However, the DoD did not implement and use the Government-wide data elements applicable to the award data.

¹¹ The DATA Act requires Federal agencies to provide consistent, reliable, and searchable Government-wide spending data that is displayed accurately for taxpayers and policymakers on [USASpending.gov](https://www.usaspending.gov).

CHAPTER 1

Recommendation A.1.e: The DoD OIG recommended that the Senior Accountable Official responsible for the DoD's Digital Accountability and Transparency Act compliance work with the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics (Defense Procurement and Acquisition Policy) to update DoD guidance to be consistent with OMB and Treasury guidance.

Management Response to the Recommendation: The DoD Accountable Senior Official agreed with the recommendation, stating that the DPAP and Comptroller will work with OMB and Treasury to document Federal-wide acceptable methods for determining the data used for certain data elements, such as primary place of performance address.

Implementation Status: On March 29, 2018, the Office of the Deputy Chief Financial Officer stated that the DoD continued to work with the OMB and Treasury to document Federal-wide acceptable methods for determining the data used for certain data elements that had been identified for potential security concerns. In addition to Recommendation A.1.e, this report contained six other recommendations related to developing policies, procedures, and criteria to address the 90-day delay in the Federal Procurement Data System for DoD procurement award data to ensure compliance with the DATA Act requirements. All seven recommendations are resolved but remain open.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation A.1.e once the DoD provides published guidance that is consistent with OMB and Treasury policy. This recommendation has been open 5 months.

Why the Recommendation is Important: Disclosing direct Federal agency expenditures and linking Federal contract, loan, and grant spending information to programs of Federal agencies will enable taxpayers and policy makers to track Federal spending more effectively. Federal agencies are required to provide consistent, reliable, and searchable Government-wide spending data that is displayed accurately for taxpayers and policymakers on USASpending.gov. Until these policies and associated practices are updated taxpayers will not be able to rely on the DoD's financial and award data displayed on USASpending.gov to track DoD spending. Additionally, policymakers will not be able to rely on the DoD's financial and award data to make decisions and effectively plan for mission-critical programs and operations.

CHAPTER 1

Report No. DODIG-2018-035, “Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations,” December 4, 2017

FY 2018 Management Challenge: Ensuring Ethical Conduct, Identifying and Implementing Efficiencies in the DoD

Objective: The objective of this evaluation was to determine whether all Military Services Law Enforcement Organizations had submitted fingerprint cards and final disposition reports for service members convicted by court-martial of qualifying offenses, as required by DoD Instruction 5505.11 “Fingerprint Card and Final Disposition Report Submission Requirements.”

The DoD OIG determined that from January 1, 2015 through December 31, 2016, the Military Services did not consistently submit fingerprint cards and final disposition reports to the Federal Bureau of Investigation (FBI) Criminal Justice Information Services (CJIS) as required by DoD Instruction 5505.11.

Finding: The DoD OIG determined that from January 1, 2015 through December 31, 2016, the Military Services did not consistently submit fingerprint cards and final disposition reports to the Federal Bureau of Investigation (FBI) Criminal Justice Information Services (CJIS) as required by DoD Instruction 5505.11. Overall, of the 2,502 fingerprint cards required to be submitted to the FBI CJIS for inclusion in the FBI’s Next Generation Identification (NGI) database, 601 (24 percent) were not submitted. Of the 2,502 final disposition reports required to be submitted, 780 (31 percent) were not submitted.¹²

Recommendation A.1.a: The DoD OIG recommended that the Secretary of the Army take prompt action to submit to the FBI Criminal Justice Information Service the 159 Criminal Investigative Division (CID) fingerprint cards and 264 CID final dispositions reports that are not in the FBI NGI database.

Recommendation B.1.a: The DoD OIG recommended that the Secretary of the Army take prompt action to submit to the FBI Criminal Justice Information Service the 103 Installation Management Command fingerprint cards and 121 installation final disposition reports that are not in the FBI NGI database.

Recommendation C.1.a: The DoD OIG recommended that the Navy take prompt action to submit to the FBI Criminal Justice Information Service the 159 Naval Criminal Investigative Service (NCIS) fingerprint cards and 203 NCIS final dispositions reports that are not in the FBI NGI database.

¹² The NGI database’s primary function is to provide the FBI with an automated fingerprint identification and criminal history reporting system. The FBI NGI database is a national computerized system for storing, comparing, and exchanging fingerprint data and criminal history information.

CHAPTER 1

Recommendation D.1.a: The DoD OIG recommended that the Navy take prompt action to submit to the FBI Criminal Justice Information Service the 38 Navy Security Forces fingerprint cards and 40 Navy Security Forces final disposition reports that are not in the FBI NGI database.

Recommendation E.1.a: The DoD OIG recommended that the Air Force take prompt action to submit to the FBI Criminal Justice Information Service the 12 Air Force Office of Special Investigations (AFOSI) fingerprint cards and 13 AFOSI final disposition reports that are not in the FBI NGI database.

Recommendation G.1.a: The DoD OIG recommended that Secretary of the Navy and Commandant of the Marine Corps take prompt action to submit to the FBI Criminal Justice Information Service the 37 fingerprint cards and 46 final disposition reports of the Marine Corps that are not on file in the FBI NGI database.

Management Response to the Recommendations: The Principal Deputy Assistant Secretary of the Army (Manpower and Reserve Affairs) performing duties for the Assistant Secretary of the Army (Manpower and Reserve Affairs) stated that the Office of the Provost Marshal General, CID, and Installation Management Command reviewed the findings and recommendations and consolidated its response. The Army agreed with recommendations A.1.a and B.1.a. Additionally, the Army indicated that it was actively reviewing data and submitting final disposition reports as quickly as possible and that the Office of the Provost Marshal General was working with affected Army commands to identify and address resourcing needs for submission of automated fingerprint cards through LiveScan technology, which is an electronic means of capturing fingerprints in a digitized format and transmitting them to the FBI.

The NCIS Deputy Director stated that NCIS agreed with Recommendation C.1.a. According to the Deputy Director, NCIS has implemented corrective actions to correct previous fingerprint submission deficiencies and to prevent further issues with submissions to include developing a “Fingerprint Verification Plan.” The Deputy Director also stated that this plan included a field office review of open cases and closed case files within the last 12 months to verify submission of fingerprint cards and a NCIS validation of the results. The Deputy Director further stated that the NCIS Headquarters, Criminal Investigation Directorate, would validate each field office submission and remit any cases requiring corrective action back to the field office for completion.

CHAPTER 1

The Navy Installation Command (CNIC) Commander agreed with Recommendation D.1.a. and stated that he would direct Navy Security Forces under its cognizance to promptly take action to submit fingerprint cards and final disposition reports that were not in the FBI CJIS database.

The AFOSI Commander, responding for the Air Force, stated that the Air Force agreed with Recommendation E.1.a. According to the Commander, the AFOSI had already taken steps to obtain fingerprint cards for the 12 subjects and index them into the National Crime Information Center (NCIC) and correct one subject without the proper disposition in the NCIC. Additionally, the AFOSI searched digital databases, hardcopy case files, and contacted confinement facilities in an effort to remedy the missing indices. As of November 29, 2017, AFOSI, had indexed three subjects and submitted a final disposition for one subject. In addition, the AFOSI was unable to index four subjects into the NCIC due to missing or poor quality prints. However, three subjects were submitted to the NICS for indexing. The other subject did not qualify since the drug conviction falls outside the time parameters (one year since conviction) for indexing in the NICS.

The AFOSI was awaiting responses from field units for fingerprints for three subjects. No AFOSI criminal investigation file existed on two subjects identified by the DoD OIG. Both subjects returned negative results from a Defense Central Index of Investigations check.

The Plans Policies and Operations Deputy Commandant, responding for the Marine Corps, stated that the Marine Corps agreed with Recommendation G.1.a. The Deputy Commandant stated that Marine Corps was taking steps to implement the recommendation. This included tasking all installation Provost Marshal's Offices and CID offices to review all incident reporting and determine if the fingerprints of the suspect of the incident were taken, and submitted to the FBI, and if the disposition (command adjudication) was received from the command and submitted to the FBI. Additionally, all locations are to obtain a disposition for all suspects from the command or Staff Judge Advocate, and provide those results to the FBI for the period of January 1, 2015 through October 31, 2017. The incidents referred to in this recommendation will be covered during this review.

Implementation Status: In May 2018, the DoD OIG issued a followup inquiry to the Services to obtain a status update on corrective actions taken to address these recommendations. In addition to Recommendations A.1.a, B.1.a, C.1.a, D.1.a, E.1.a, and G.1.a, this report contained 56 other recommendations related to

CHAPTER 1

the Services performing a comprehensive review, dated back to 1998, to ensure that required fingerprint cards and disposition reports have been submitted to the FBI CJIS Division, and that other required investigative and criminal history information, such as criminal incident data and Deoxyribonucleic Acid (known as DNA) samples, have been submitted for inclusion in FBI databases. All 62 recommendations are resolved but remain open. In June 2018, the Under Secretary of Defense for Intelligence and the Air Force provided a status update on several recommendations. The DoD OIG is evaluating the information received and anticipates receipt of a status update from the other Services within the next month.

Information Required to Close the Recommendations: The DoD OIG will close Recommendations A.1.a, B.1.a, C.1.a, D.1.a, E.1.a, and G.1.a once the Military Services have submitted the missing fingerprint cards and final disposition reports to the FBI. These recommendations have been open 4 months.

Why the Recommendations are Important: The DoD OIG previously conducted two other evaluations, in 1997 and 2015, that found a significant number of missing fingerprint cards and final disposition reports throughout the DoD. In the current evaluation, the DoD OIG again found a significant number of missing fingerprints cards and final disposition reports throughout the DoD. The DoD's failure to populate FBI databases with all the required fingerprint records can result in someone purchasing a weapon who should not, as may have occurred in the November 2017 church shooting in Texas. The DoD's failure to populate FBI databases can also hinder criminal investigations and potentially impact law enforcement and national security interests.

CHAPTER 1

Report No. DODIG-2018-061, “Report of Investigation: Defense POW/MIA Accounting Agency Interactions with Family Members of Corporal Joseph Hargrove, U.S. Marine Corps,” January 22, 2018

FY 2018 Management Challenge: Identifying and Implementing Efficiencies in the DoD

Objective: The objective of this investigation was to review the facts and circumstances surrounding Defense POW/MIA Accounting Agency (DPAA) interactions with family members of Corporal Joseph Hargrove regarding the identification and repatriation of Corporal’s remains to determine if DPAA recovered the service member’s remains and followed the appropriate procedures to perform evidence analysis and accurately inform his family members.

Finding: The DoD OIG determined that DPAA did not recover Corporal Hargrove’s remains. However, the DoD OIG made 12 recommendations for improvements in DPAA’s processes, some of which could avoid the communication issues and misunderstandings that occurred in this case. For example, the DoD OIG determined that DPAA did not have a comprehensive written policy on employee communications with family members. By default, information shared between DPAA and family members was largely dependent on family members requesting information or attending a family member event, rather than DPAA proactively providing family members relevant information in a timely manner. This lack of comprehensive written policy on DPAA external communications prevents consistency in information sharing between DPAA officials and family members. In addition, a written policy that promotes proactive information sharing in appropriate circumstances could help avoid the type of communication issues and misunderstandings DoD OIG found in this case.

Recommendation 4: The DoD OIG recommended that DPAA, in conjunction with service casualty officers, should implement a process to ensure questions and concerns from family members are adequately addressed and documented.

Recommendation 7: The DoD OIG recommended that DPAA develop a process to ensure all material evidence believed to be personal effects belonging to a missing service member is analyzed in a timely manner and documented in appropriate reports. When appropriate, DPAA should proactively provide the information in these reports to the service casualty officer for dissemination to the family of the missing service member.

The DoD OIG determined that DPAA did not have a comprehensive written policy on employee communications with family members.

CHAPTER 1

Management Response to the Recommendations: The DPAA Director stated that the initial fielding of DPAA's cloud-based case management system and the ongoing use of the newly established Salesforce Public Portal would continue to improve DPAA's ability to respond to family members' inquiries.¹³ For example, DPAA uses the Salesforce cloud platform to support the family inquiry. In addition, the DPAA's current lab policy requires that all material evidence associated with an identified Service member is analyzed in a timely manner and included in the Medical Examiner Summary Report. A copy of the Material Evidence Report was provided to the family.

Implementation Status: The DoD OIG received an update from DPAA officials concerning the recommendations in December 2017, when they informed us that they concurred with our recommendations. Just before publication, we received another update and are evaluating DPAA's input regarding several recommendations. We anticipate receiving additional updates in the next several months. In addition to Recommendations 4 and 7, this report contained 10 other recommendations related to improving internal communications, resolving an apparent duplication of personnel and functions, improving internal controls, and improving communication with families of unaccounted-for service members. Of the 12 recommendations, 5 are resolved but remain open, and 7 are unresolved because comments from the DPAA Director only partially addressed the recommendations.

Information Required to Close the Recommendation: The DoD OIG will close Recommendation 4 once the DPAA Director provides a written plan and implements the case management system and cloud platform to support family inquiries. The DoD OIG will close Recommendation 7 once the DPAA Director provides a written plan and implements the plan for ensuring personnel effects are analyzed in a timely manner. The written plan should include standards for timeliness. These recommendations have been open 2 months.

Why the Recommendations are Important: Several process deficiencies adversely affected the DPAA mission and contributed to the complainant's perception that DPAA withheld information. These deficiencies impacted information sharing both within DPAA and externally between DPAA and the families of unaccounted-for service members. Although our investigation focused on Corporal Hargrove's remains, we believe these deficiencies may affect other cases and warrant improvement.

¹³ The Salesforce Public Portal is designed to be the access portal to the DPAA's cloud-based case management system.

CHAPTER 1

Table. Status of 2017 High-Priority Open Recommendations

In our 2017 Compendium, we highlighted 30 recommendations for the DoD to prioritize. As of March 31, 2018, the DoD had implemented 13 of the 30 high-priority recommendations identified in the 2017 Compendium. This section provides a status on the 30 recommendations highlighted in the 2017 Compendium.

Table. Status of 2017 High-Priority Recommendations as of March 31, 2018

| (FOUO) Status of 2017 High-Priority Recommendations | | | |
|--|--|---|--|
| | Report Number, Title, Publication Date | Recommendation Number and Text | Status |
| 1 | Report No. DODIG-2009-062, "Internal Controls Over DoD Cash and Other Monetary Assets," March 25, 2009 | Recommendation A.1.a (1)-(2): The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer (USD[C]/DCFO) charge a proper appropriation, or coordinate with the Office of Management and Budget and the U.S. Treasury to: 1) obtain a waiver to hold a certain amount of cash without charging an appropriation, or 2) establish a new U.S. Treasury account symbol to charge when cash is obtained from the U.S. Treasury. | This recommendation is resolved but remains open. The DoD made progress to implement this recommendation by providing guidance instructing components to use established U.S. Treasury account symbols for "Cash and Investments Held Outside of U.S. Treasury." The DoD OIG is reviewing the actions taken to determine whether the DoD fully addressed the recommendation. |
| 2 | Report No. DODIG-2012-087, "Logistics Modernization Program System Procure-to-Pay Process Did Not Correct Material Weaknesses," May 29, 2012 | Recommendation A.3: The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) (ASA[FMC]) direct the development of a comprehensive internal control program for the LMP P2P business process to assess the quality of performance and regular management and supervisory activities over the business process. The DoD OIG further recommended that Army managers work with LMP Project Office personnel to ensure that they design and implement the necessary procedures and controls and develop the testing needed to ensure control effectiveness. | This recommendation was closed because the Army has an internal control program in place for the Logistics Modernization Program Procure-to-Pay business process that identifies control deficiencies and required corrective actions. |
| (FOUO) | | | |

CHAPTER 1

| (FOUO) | | | |
|--|--|--|---|
| Status of 2017 High-Priority Recommendations | | | |
| | Report Number, Title, Publication Date | Recommendation Number and Text | Status |
| 3 | Report No. DODIG-2013-084, "Increased Procurement Quantity for CH-53K Helicopter Not Justified," May 31, 2013 (Full Report is For Official Use Only) | Recommendation 3: The DoD OIG recommended that the Marine Corps Deputy Commandant for Aviation submit, to the Joint Requirements Oversight Council, any requests for additional CH-53K aircraft, and that the requests be reviewed and a decision made prior to the start of low-rate initial production (also known as Milestone C). Milestone C was planned to begin February 2016. | This recommendation was closed because the U.S. Marine Corps provided a capabilities production document, approved by the Joint Requirements Oversight Council, that supported an increased quantity of the CH-53K aircraft. |
| 4 | Report No. DODIG-2014-052, "DoD Did Not Negotiate Rates With Overseas Health Care Providers and Generally Paid Claims as Billed," April 1, 2014 | Recommendation 1.a: The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) initiate action to either establish negotiated rates with high-dollar-volume overseas health care providers or implement other cost containment measures in high-dollar-volume locations with significant increases. | This recommendation was closed because the Assistant Secretary of Defense (Health Affairs) provided the Negotiated Rates and Access to Care Study, that supported there are some locations where negotiating rates may be appropriate and would not negatively impact access to care. In addition, the TRICARE Overseas Program contract was modified to require negotiating rates in Bahrain, Republic of Korea, and the United Kingdom. |
| 5 | Report No. DODIG-2014-083, "Insufficient Infrastructure Support to the Fixed Submarine Broadcast System," June 23, 2014 (Full Report is Classified) | Recommendation B.2: The DoD OIG recommended that the Space and Naval Warfare Systems Command develop an acquisition strategy to ensure that the requirements of the "Navy Technical Proficiency Inspection" program; Chairman, Joint Chiefs of Staff Instruction 6811.01B, "Nuclear Command and Control Technical Performance Criteria;" and Chairman, Joint Chiefs of Staff Instruction 6810.01B, "Critical Nuclear Command and Control (NC2) Equipment and Facilities," are met. | This recommendation was closed because the Navy established positions and processes to address the absence of power source requirements, measurable outcomes, and an acquisition strategy to meet Presidential and DoD nuclear safety standards. |
| (FOUO) | | | |

CHAPTER 1

(FOUO)

Status of 2017 High-Priority Recommendations

| | Report Number, Title, Publication Date | Recommendation Number and Text | Status |
|---|---|---|---|
| 6 | Report No. DODIG-2014-083, "Insufficient Infrastructure Support to the Fixed Submarine Broadcast System," June 23, 2014 (Full Report is Classified) | Recommendation D.2: The DoD OIG recommended that the Naval Inspector General coordinate with the Navy Technical Proficiency Inspection program managers to ensure the establishment of a comprehensive inspection program based on the requirements directed in the "Navy Technical Proficiency Inspection" program; Chairman, Joint Chiefs of Staff Instruction 6811.01B, "Nuclear Command and Control Technical Performance Criteria;" and Chairman, Joint Chiefs of Staff Instruction 6810.01B, "Critical Nuclear Command and Control (NC2) Equipment and Facilities." | This recommendation is resolved but remains open. The U.S. Fleet Cyber Command/U.S. TENTH Fleet Inspector General has the lead to develop a comprehensive inspection program across all required inspection items at the Fixed Submarine Broadcast System sites to meet Nuclear Command and Control Technical Performance Criteria equipment and facilities requirements. The Naval Inspector General will have the final authority over the comprehensive inspection program. The Naval Inspector General and U.S. Fleet Cyber Command/U.S. TENTH Fleet Inspector General expect the comprehensive inspection program to be formalized by fourth quarter, FY 2018. |
| 7 | Report No. DODIG-2014-090, "Improvements Needed in the General Fund Enterprise Business System Budget-to-Report Business Process," July 2, 2014 | Recommendation 1.a: The DoD OIG recommended that the ASA(FMC) verify that the General Fund Enterprise Business System (GFEBS) posting logic documentation is accurate and complete, and use it to validate GFEBS general ledger account postings. | This recommendation is resolved but remains open and on our list of high-priority recommendations. Extensive review of all Functional Governance Board business cases are ongoing. No estimated completion date has been provided. |

(FOUO)

CHAPTER 1

| (FOUO) | | | |
|--|---|---|--|
| Status of 2017 High-Priority Recommendations | | | |
| | Report Number, Title, Publication Date | Recommendation Number and Text | Status |
| 8 | Report No. DODIG-2014-104, "Global Combat Support System—Army Did Not Comply With Treasury and DoD Financial Reporting Requirements," September 3, 2014 | <p>Recommendation 1: The DoD OIG recommended that the USD(C)/CFO, DoD, in coordination with the DoD Deputy Chief Management Officer, comply with DoD Financial Management Regulation, volume 1, chapter 1, which requires compliance with accounting policy, standards, and principles and internal control standards, through the following actions:</p> <ul style="list-style-type: none"> a. Develop and implement processes to validate Global Combat Support System (GCSS)-Army timely compliance by: i. Annually validating Global Combat Support System—Army's timely compliance with Treasury and DoD guidance for: <ul style="list-style-type: none"> 1) Account attributes, 2) Chart of accounts, and 3) Posting logic. | This recommendation is resolved but remains open and on our list of high-priority recommendations. The Joint Interoperability Test Command assessment of the Global Combat Support System—Army compliance measures was anticipated to be completed by third quarter FY 2018. However, the Army noted that the completion date of the assessment is dependent upon the Joint Interoperability Test Command. |
| 9 | Report No. DODIG-2015-001, "Assessment of the Department of Defense Prisoner Of War/Missing In Action Accounting Community," October 17, 2014 | Recommendation 5: The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness (USD[P&R]) establish DoD-wide policy regarding the disinterment of unknowns from past conflicts. | This recommendation is resolved but remains open. New standard operating procedures and an updated Mortuary Affairs policy will be developed upon completion of ongoing efforts to update pertinent DoD directives and instructions, and administrative instructions. The OIG is following up on this recommendation as part of a followup review. |
| (FOUO) | | | |

CHAPTER 1

(FOUO)

Status of 2017 High-Priority Recommendations

| | Report Number, Title, Publication Date | Recommendation Number and Text | Status |
|----|---|--|--|
| 10 | Report No.DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014 | Recommendation 2.a: The DoD OIG recommended that the USD(P&R) publish guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive DoD Suicide Event Report submissions. For each suicide death, the board should: 1) be a locally (command or installation level) chartered board with defined task, purpose, and outcome for each suicide death review; 2) include participation by unit leadership, medical and mental health organizations, and Military Criminal Investigative organizations; and 3) articulate the requirement to appropriately share information (for example, medical and law enforcement reports) from ongoing investigations. | This recommendation is resolved but remains open and on our list of high-priority recommendations. The OIG is awaiting revision to DoD Instruction 6490.16, "Defense Suicide Prevention Program," that specifically provides guidance requiring suicide event boards or a multidisciplinary approach to obtain data for DoDSER submissions. No estimated completion date has been provided. |
| 11 | Report No. DODIG-2015-092, "F-35 Lightning II Program Quality Assurance and Corrective Action Evaluation," March 11, 2015 | Recommendation A.1: The DoD OIG recommended that the F-35 Joint Program Office ensure that the F-35 Critical Safety Item Program is compliant with Public Law 108-136, Section 802, "Quality control in procurement of aviation CSIs and related services," and the Joint Service Critical Safety Item Instruction, "Management of Aviation Critical Safety Items." | This recommendation is resolved but remains open. The OIG is awaiting issuance of a System Design and Development contract modification to verify that it requires development of a Critical Safety Items management program. The OIG will also review the Low Rate Initial Production contractual modifications to verify it includes the updated Critical Safety Items parts list. No estimated completion date has been provided. |

(FOUO)

CHAPTER 1

| (FOUO) Status of 2017 High-Priority Recommendations | | | |
|--|---|---|--|
| | Report Number, Title, Publication Date | Recommendation Number and Text | Status |
| 12 | Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015 (Full Report is Classified) | Recommendation 1.a: The DoD OIG recommended that the Chairman of the Joint Chiefs of Staff update CJCSI 3110.04, "Nuclear Supplement to the Joint Strategic Capabilities Plan" planning guidance to identify Geographic Combatant Command planning responsibilities and requirements for developing nuclear response options. Guidance should include type and level of planning information required for directed contingency plans. | This recommendation is resolved but remains open. The OIG is awaiting issuance of Chairman of the Joint Chiefs of Staff Instruction 3110.04 to ensure Geographic Combatant Commands planning responsibilities and requirements are included. No estimated completion date has been provided. |
| 13 | Report No. DODIG-2015-177, "Assessment of DoD/USCENTCOM and Coalition Plans/Efforts to Train, Advise, and Assist the Iraqi Army to Defeat the Islamic State of Iraq and the Levant," September 30, 2015 (Full Report is Classified) | Recommendation 3: The DoD OIG recommended that the Commander, Joint Task Force–Operation Inherent Resolve (CJTF-OIR), in coordination with Commander, Combined Joint Forces Land Component Command–Iraq and the Iraqi Minister of Defense, develop a plan that allows U.S. and Coalition access to the warehouses at Taji National Depot and other supply depot sites within an expedited time period. | This recommendation was closed because the Chief of Staff, Combined Joint Task Force–Operation Inherent Resolve, provided documentation to support that the Task Force Guardian Logistics Advise and Assist teams have access and visited the warehouses at Taji National Depot. A memorandum was issued granting U.S. and Coalition access to all warehouses. |
| (FOUO) | | | |

CHAPTER 1

(FOUO)

Status of 2017 High-Priority Recommendations

| | Report Number, Title, Publication Date | Recommendation Number and Text | Status |
|----|---|--|--|
| 14 | Report No. DODIG-2016-026, "Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions," November 24, 2015 (Full Report is Classified) | Recommendation 1: The DoD OIG recommended that the Commander, U.S. Cyber Command, and the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps develop a doctrine, organization, training, materiel, leadership and education, personnel, facilities, and policy framework (DOTMLPF-P) that address strategies to build, grow, and sustain the CMF. Chairman of the Joint Chiefs of Staff Instruction 3170.01H, "Joint Capabilities Integration and Development System," January 10, 2012, defines the DOTMLPF-P as a defined process to determine the acceptability, suitability, and feasibility of a proposed force design change. DOTMLPF-P is the framework for developing the design. Only after all shortfalls are addressed should senior leaders approve the new force design. | This recommendation is resolved but remains open and on our list of high-priority recommendations. The OIG is awaiting documentation that U.S. Cyber Command, Army, and the Marine Corps has developed a DOTMLPF-P that addresses building, growing, and sustaining the CMF. No estimated completion date has been provided. |
| 15 | Report No. DODIG-2016-044, "U.S. Transportation Command Needs Further Improvements to Address Performance Concerns Over the Global Privately Owned Vehicle Contract III," February 3, 2016 | Recommendation 1.e: The DoD OIG recommended that the Director, U.S. Transportation Command Acquisition, take appropriate action to ensure that all overpayments were recouped. | This recommendation was closed because the Chief of Staff, U.S. Transportation Command provided copies of final settlement memos to substantiate collections of all overpayments. |
| 16 | Report No. DODIG-2016-044, "U.S. Transportation Command Needs Further Improvements to Address Performance Concerns Over the Global Privately Owned Vehicle Contract III," February 3, 2016 | Recommendation 2.c: The DoD OIG recommended that the Commander, Surface Deployment and Distribution Command review all invoices that were not prepared in accordance with the FAR and DoD regulations to verify if the invoices and payment amounts were accurate and if performance met contract requirements. | This recommendation was closed because the Chief of Staff, U.S. Transportation Command provided copies of final settlement memos to substantiate collections of all overpayments. |
| 17 | Report No. DODIG-2016-044, "U.S. Transportation Command Needs Further Improvements to Address Performance Concerns Over the Global Privately Owned Vehicle Contract III," February 3, 2016 | Recommendation 2.d: The DoD OIG recommended that the Commander, Surface Deployment and Distribution Command, take appropriate action to ensure that all overpayments were recouped. | This recommendation was closed because the Chief of Staff, U.S. Transportation Command provided copies of final settlement memos to substantiate collections of all overpayments. |

(FOUO)

CHAPTER 1

| (FOUO) Status of 2017 High-Priority Recommendations | | | |
|--|---|--|--|
| | Report Number, Title, Publication Date | Recommendation Number and Text | Status |
| 18 | Report No. DODIG-2016-075, "Evaluation of the Air Force Office of Special Investigations' Conduct of Internet-Based Operations and Investigations," April 25, 2016 (Full Report is For Official Use Only) | Recommendation 1: The DoD OIG recommended that the Under Secretary of Defense for Policy clarify DoD Instruction 3025.21, "Defense Support of Civilian Law Enforcement Agencies," Enclosure 3, "Participation of DoD Personnel in Civilian Law Enforcement Activities," to reflect the holding in <i>United States v. Dreyer</i> , 767 F.3d 826, affirmed in relevant part by 2015 U.S. App. LEXIS 19226 (9th Cir., en banc). | This recommendation is resolved but remains open. The revision to DoD Instruction 3025.21, "Defense Support of Civilian Law Enforcement Agencies," is expected to be completed by September 2018. Awaiting the publication of Change 2 to DoD Directive 3025.18, "Defense Support of Civil Authorities," since there are policy changes that will affect DoD Instruction 3025.21. Change 2 to DoD Directive 3025.18, is under review by the Deputy Secretary of Defense for approval. |
| 19 | Report No. DODIG-2016-078, "Evaluation of DoD Biological Safety and Security Implementation," April 27, 2016 | Recommendation 1.a: The DoD OIG recommended that the Deputy Secretary of Defense appoint a single Executive Agent responsible for biosafety and biosecurity. | This recommendation was closed. We verified that the Secretary of the Army was designated as the Executive Agent responsible for DoD Biological Select Agent and Toxins (BSAT) Biosafety and Biosecurity Program. |
| 20 | Report No. DODIG-2016-078, "Evaluation of DoD Biological Safety and Security Implementation," April 27, 2016 | Recommendation 1.b: The DoD OIG recommended that the Deputy Secretary of Defense direct the Executive Agent for Biosafety and Biosecurity to: 1) conduct standardized oversight and inspections in accordance with applicable Federal regulations of DoD Biological Select Agents and Toxins (BSAT) laboratories; 2) track all internal and external inspection results and report status of all findings, recommendations, and actions taken to address deficiencies to the appropriate DoD management level; 3) develop and implement training for BSAT laboratory inspectors and subject matter expert inspection team augmentees; and 4) ensure that all personnel included in inspection teams have sufficient scientific expertise and experience. | This recommendation is resolved but remains open. The OIG is awaiting issuance of a DoD Directive for the DoD Biological Select Agents and Toxins (BSAT) Biosafety and Biosecurity Program. This directive will establish policy and designate and define the roles and responsibilities of the Secretary of the Army as the DoD Executive Agent for the DoD BSAT Biosafety and Biosecurity Program. The DoD Directive will also require site-specific laboratory security vulnerability assessment findings be included during BSAT laboratory inspections. No estimated completion date has been provided. |
| (FOUO) | | | |

CHAPTER 1

(FOUO)

Status of 2017 High-Priority Recommendations

| | Report Number, Title, Publication Date | Recommendation Number and Text | Status |
|----|--|--|--|
| 21 | Report No. DODIG-2016-081, "Evaluation of U.S. Intelligence and Information Sharing with Coalition Partners in Support of Operation Inherent Resolve," April 25, 2016 (Full Report is Classified) | (FOUO) Recommendation A.2.a: [REDACTED] | (FOUO) This recommendation is resolved but remains open. [REDACTED] No estimated completion date has been provided. |
| 22 | Report No. DODIG-2016-082, "DoD Needs to Require Performance of Software Assurance Countermeasures During Major Weapon System Acquisitions," April 29, 2016 (Full Report is For Official Use Only) | Recommendation 1.a: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop and issue policy to require program offices to implement the applicable software assurance countermeasures in approved Program Protection Plans throughout the lifecycle of DoD programs. | This recommendation was closed because the Under Secretary of Defense for Acquisition, Technology, and Logistics modified Department of Defense Instruction 5000.02 to require program offices to implement the applicable software assurance countermeasures in approved Program Protection Plans throughout the lifecycle of DoD programs. |
| 23 | Report No. DODIG-2016-082, "DoD Needs to Require Performance of Software Assurance Countermeasures During Major Weapon System Acquisitions," April 29, 2016 (Full Report is For Official Use Only) | Recommendation 1.b: The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop and issue procedures to guide the consistent application of software assurance countermeasures in approved Program Protection Plans. | This recommendation was closed because the Under Secretary of Defense for Acquisition, Technology, and Logistics updated the Defense Acquisition Guidebook, chapter 9, and provided guidance on consistent application of software assurance countermeasures in approved Program Protection Plans. |
| 24 | Report No. DODIG-2016-087, "Air Force Civil Engineer Center Management of Energy Savings Performance Contracts Needs Improvement," May 4, 2016 | Recommendation 1.b: The DoD OIG recommended that the Director, Air Force Civil Engineer Center, conduct a review of existing electronic data management systems to determine if there is a system capable of tracking energy savings and project status. | This recommendation is resolved but remains open. The DoD OIG audit team announced a followup audit on April 2, 2018, to determine if Army implemented actions to correct problem previously identified in the report. |

(FOUO)

CHAPTER 1

| (FOUO) | | | |
|--|--|--|--|
| Status of 2017 High-Priority Recommendations | | | |
| | Report Number, Title, Publication Date | Recommendation Number and Text | Status |
| 25 | Report No. DODIG-2016-118, "Army Justified Initial Production Plan for the Paladin Integrated Management Program but Has Not Resolved Two Vehicle Performance Deficiencies," August 5, 2016 (Full Report is For Official Use Only) | Recommendation 2: The DoD OIG recommended that the Program Executive Officer, Ground Combat Systems, evaluate and fix the deficiency in the Automatic Fire Extinguisher System (AFES) in howitzer crew compartments before deploying the first vehicles to minimize fire risk to soldiers and reduce retrofit costs. | This recommendation was closed because the Army provided detailed information regarding the cost and schedule impact of delaying or not delaying the deployment of the Paladin Integrated Management (PIM) program howitzer. The Army also provided revision 2 of the Work Directive (WD) Crew Compartment for Automatic Fire Extinguisher System (AFES). The purpose of Revision 2 of the WD required the contractor to complete hardware design for complete crew compartment AFES coverage. Revision 2 reduced the scope by removing fabrication, integration, and test efforts. The revised WD will take this effort through Critical Design Review. |
| 26 | Report No. DODIG-2016-119, "Army Commands Need to Improve Logical and Physical Security Safeguards That Protect SIPRNet Access Points," August 5, 2016 (Full Report is Classified) | (FOUO) Recommendation A.2a-b: [REDACTED] a) establish and implement procedures to identify who owns each SIPRNet circuit and the Component responsible for managing and securing each circuit; and [REDACTED] | This recommendation is resolved but remains open. The DoD OIG audit team announced a followup audit on January 16, 2018, to determine if Army implemented actions to correct problem related to the improvement of logical and physical security controls that protect Secret Internet Protocol Router Network access points. The Army completed actions for section (a) but section (b) remains open. |
| (FOUO) | | | |

CHAPTER 1

(FOUO)

Status of 2017 High-Priority Recommendations

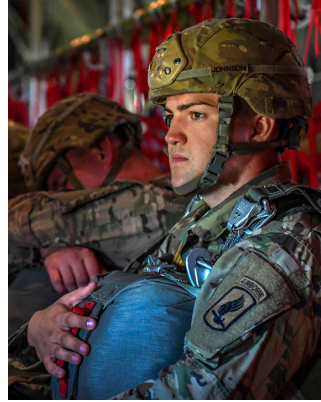
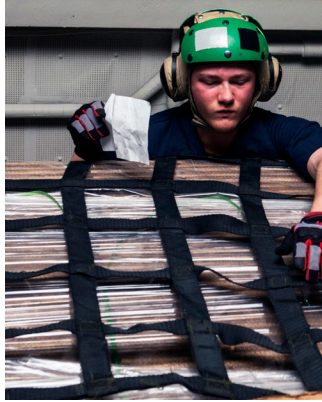
| | Report Number, Title, Publication Date | Recommendation Number and Text | Status |
|----|---|---|--|
| 27 | Report No. DODIG-2016-128, "XM25 Schedule Delays, Cost Increases, and Performance Problems Continue, and Procurement Quantity Not Justified," August 29, 2016 (Full Report is For Official Use Only) | Recommendation A.1.a: The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology (ASA[ALT]) determine whether to proceed with the XM25 program and the initial production decision after Government testing is completed in the fall of 2016. Specifically, if the test results show that the XM25 meets all of its primary and secondary performance requirements, the milestone decision authority should immediately schedule an initial production decision. If the test results show that the weapon does not meet all of its primary and secondary requirements, the program should be canceled. | This recommendation is resolved but remains open. The Major General, U.S. Army, Deputy for Acquisition and Systems Management provided a memorandum directing the Program Executive Officer Soldier to prepare and submit a program termination plan outlining the shutdown and defunding of the XM25 program for the Army Acquisition Executive's approval. The OIG will close this recommendation when the finalized documents are provided. |
| 28 | Report No. DODIG-2017-004, "Summary Report—Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts," October 14, 2016 | Recommendation A.1: The DoD OIG recommended that the Secretaries of the Military Departments annually perform at least two comprehensive, independent inspections of installations. The purpose of these inspections is to verify compliance with all applicable health and safety requirements. | This recommendation is resolved but remains open. The Headquarters, Department of the Army (HQDA) staff will continue to participate in future installation inspection visits through 2018, to conduct field assessments of the safety hazards and deficiencies identified in the report. HQDA staff will implement changes in Army policies, regulations, and other governing guidance to affect a long-term solution for maintaining Army facility safety standards. No estimated completion date has been provided. |
| 29 | Report No. DODIG-2017-029, "Army National Guard Companies Have Not Developed Effective Training Programs to Attain or Sustain Mission Essential Task Proficiency," December 5, 2016 (Full Report is Classified) | Recommendation 1: The DoD OIG recommended that the Deputy Chief of Staff for Operations and Plans, Department of the Army, ensure that units implement standardized Mission Essential Tasks at the company level, establish suspense dates for implementation, and monitor unit progress toward completion. | This recommendation was closed because the Army has completed development of standard mission essential task lists (METL) for virtually all operating force units (regardless of component) down through company-level. Standard METL is now available to company-level units for training purposes via the Army Training Network website. Standard METL is automatically uploaded for company-level units reporting readiness in the Defense Readiness Reporting System—Army (DRRS-A). |

(FOUO)

CHAPTER 1

| (FOUO) Status of 2017 High-Priority Recommendations | | | |
|--|--|--|--|
| | Report Number, Title, Publication Date | Recommendation Number and Text | Status |
| 30 | Report No. DODIG-2017-033, "Assessment of U.S. and Coalition Efforts to Train, Advise, Assist, and Equip the Kurdish Security Forces in Iraq," December 14, 2016 | Recommendation B.1: The DoD OIG recommended that the Commander, U.S. Central Command, determine the requirements to sustain the functioning of the Kurdish Security Forces brigade equipment sets and issue a written sustainment plan that includes those requirements. | This recommendation is resolved but remains open. The U.S. Central Command is developing a written sustainment plan that addresses the development of current and future capability gaps. No estimated completion date was provided. |
| (FOUO) | | | |

CHAPTER 2



CHAPTER 2

Open Recommendations Containing Potential Monetary Benefits

DoD OIG reports also provide information on the estimated potential monetary benefits that can be achieved based on DoD management implementation of report recommendations. DoD OIG staff calculates projected potential monetary benefits during the performance of an audit or evaluation and includes them as part of a recommendation when providing the report to DoD management for comments. As of March 31, 2018, there were 33 open recommendations from 24 DoD OIG reports, with associated potential monetary benefits of \$2.3 billion. This chapter lists the 24 final reports, the 33 open recommendations, and the associated potential monetary benefits.

The vast majority of the potential monetary benefits associated with the 33 open recommendations resulted from reports related to acquisition and contract management. These recommendations affect the current and future procurement of weapon systems and DoD compliance with acquisition regulations.

Potential monetary benefits can be classified as questioned costs or funds put to better use. Questioned costs are identified from an alleged violation of law, regulation, contract, grant, cooperative agreement, or other agreements or documents governing the expenditure of funds or reimbursement of costs. Questioned costs may also be costs that were not supported by adequate documentation at the time of the DoD OIG review, or unnecessary or

CHAPTER 2

unreasonable expenditures of funds for an intended purpose. For example, in Report No. DODIG-2014-073, the DoD OIG determined the contractor did not properly charge labor rates for the Counter Narco-Terrorism Technology Program. Specifically, the contractor submitted labor charges performed by 360 contractor employees that did not meet the qualifications specified in the contract. Contracting officers also did not review invoices prior to approving payments. As a result, Army Contracting Command–Redstone Arsenal (ACC-RSA) authorized questionable costs of \$91.4 million for labor performed by unqualified contractor employees. Also, ACC-RSA may have authorized additional questionable costs of \$10 million for 33 contractor employees that may not have met the qualifications specified in the contract and were not reviewed by ACC-RSA contracting officers. Additionally, ACC-RSA authorized questionable costs of \$21.7 million for labor performed in excess of 8 hours per day, some of which were included in the questionable costs performed by unqualified contractor employees. These questionable costs are potential improper payments, and may be remedied by offset, waiver, recovery of funds, or the provision of supporting documentation.

Funds put to better use could be used more efficiently if management takes action to implement and complete the recommendations in the report, such as reducing expenditures, de-obligating funds from programs or operations, implementing improvements to operations, or taking actions that will result in avoiding costs. For example, in Report No. DODIG-2014-101, the DoD OIG determined that Brooke Army Medical Center Uniform Business Office management did not effectively manage medical service accounts that were delinquent more than 180 days, but were not transferred to the U.S. Treasury for collection. This occurred because Brooke Army Medical Center Uniform Business Office management did not have a system in place to monitor the delinquent medical service accounts, prioritize the aging accounts, or notify staff of the medical service accounts requiring follow up. Therefore, unless Brooke Army Medical Center Uniform Business Office management acts to collect \$73.1 million in delinquent debt and improves its collection process, they will continue to incur rising delinquent balances for future medical service accounts.

Following the issuance of the 2017 Compendium, personnel from the Army, Air Force, and Marine Corps met with OIG personnel to ensure that appropriate actions were taken in response to the recommendations and that adequate documentation was provided to close recommendations, as appropriate. As a result of these actions, 33 of the 58 recommendations with the potential monetary benefits in the 2017 Compendium totaling \$31.5 billion have

CHAPTER 2

been closed. We have been working closely with Army personnel to close Recommendation A.1.a from DODIG-2016-128, “XM25 Schedule Delays, Cost Increases, and Performance Problems Continue, and Procurement Quantity Not Justified,” August 29, 2016, which has the highest potential monetary benefit in this Compendium.

CHAPTER 2

Table. Open Recommendations Containing Potential Monetary Benefits as of March 31, 2018

| (FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2018 | | | |
|---|---------------------------------------|-------------------------------------|------------------|
| Report/Recommendation | Remaining Potential Monetary Benefits | Type of Potential Monetary Benefits | Date Recommended |
| DODIG-2016-128, XM25 Schedule Delays, Cost Increases, and Performance Problems Continue, and Procurement Quantity Not Justified Recommendation A.1.a: The Assistant Secretary of the Army for Acquisition, Logistics, and Technology determine whether to proceed with the XM25 program and the initial production decision after Government testing is completed in the fall of 2016. Specifically, if the test results show that the XM25 meets all of its primary and secondary performance requirements, the milestone decision authority should immediately schedule an initial production decision. If the test results show that the weapon does not meet all of its primary and secondary requirements, the program should be canceled. | (FOUO) | Funds Put to Better Use | 8/29/2016 |
| DODIG-2013-100, Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan Improved, but Additional Actions are Needed Recommendation 1.a: The Defense Logistics Agency Troop Support Commander initiate corrective actions to recover premium transportation fees and refund the Army after litigation is completed. | \$631,700,000 ¹ | Funds Put to Better Use | 7/2/2013 |
| DODIG-2014-077, Hotline Complaint Regarding the Settlement of the Pratt & Whitney Commercial Engine Cost Accounting Standards Case Recommendation F.5: The Defense Contract Management Agency Director follow the procedures in Federal Acquisition Regulation clause 30.605, paragraphs (c) through (h), to correct the noncompliant cost accounting practice in a timely manner and ensure that (i) the cost accounting practice used by Pratt includes the actual cost of collaboration parts in the allocation base used to allocate material overhead costs to U.S. Government contracts in accordance with the rules and regulations established by the Cost Accounting Standards Board, and (ii) the U.S. Government recovers any increased costs paid to Pratt since 2005 and resulting from the contractor's use of a cost accounting practice determined by DCMA to be noncompliant with CAS 418 on June 5, 2006. | \$210,968,414 ¹ | Questioned Costs | 5/30/2014 |
| (FOUO) | | | |

CHAPTER 2

(FOUO)

Open Recommendations Containing Potential Monetary Benefits as of March 31, 2018

| Report/Recommendation | Remaining Potential Monetary Benefits | Type of Potential Monetary Benefits | Date Recommended |
|---|---------------------------------------|-------------------------------------|------------------|
| DODIG-2014-073, Northrop Grumman Improperly Charged Labor for the Counter Narco-Terrorism Technology Program (FOUO) Recommendation 2: The Army Contracting Command–Redstone Executive Director review the eligibility of the [REDACTED] DynCorp International employees to determine if the employees met the labor qualifications specified in the contract and, if they did not, obtain a refund for improper labor charges or recoup from any currently owed payments due the contractor. | \$101,445,000 | Questioned Costs | 5/13/2014 |
| DODIG-2014-101, Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight Recommendation 1.b: The Brooke Army Medical Center Commander review, research, and pursue collections on the remaining open delinquent medical service accounts. | \$69,184,113 | Funds Put to Better Use | 8/13/2014 |
| DODIG-2016-087, Air Force Civil Engineer Center Management of Energy Savings Performance Contracts Needs Improvement Recommendation 1.c: The Air Force Civil Engineer Center Director validate Joint Base Charleston energy savings performance contract savings achieved for performance years 2 through 8 as statutorily mandated and recommend the contracting officer take appropriate contractual action, such as recovering unrealized guaranteed energy savings or buying out the remaining portion of the contract. | \$48,951,000 | Questioned Costs | 5/4/2016 |
| DODIG-2017-045, Medical Service Accounts at U.S. Army Medical Command Need Additional Management Oversight Recommendation 1.a: The U.S. Army Medical Command Commander review the 21,742 medical service accounts that military treatment facility Uniform Business Offices determined were uncollectible to ensure that all collection efforts have been exhausted and to obtain approval from the proper authority to terminate the accounts that are uncollectible. | \$40,212,000 | Funds Put to Better Use | 1/27/2017 |
| DODIG-2016-074, Army Contracting Officials Could Have Purchased Husky Mounted Detection System Spare Parts at Lower Prices Recommendation 2: The Army Contracting Command–Aberdeen Proving Ground Executive Director determine and document whether it is appropriate to request a \$27 million voluntary refund from the contractor for sole-source Husky Mounted Detection System spare parts, in accordance with Defense Federal Acquisition Regulation Supplement Subpart 242.71, “Voluntary Refunds.” | \$27,032,000 | Questioned Costs | 3/31/2016 |

(FOUO)

CHAPTER 2

| (FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2018 | | | |
|---|---------------------------------------|-------------------------------------|------------------|
| Report/Recommendation | Remaining Potential Monetary Benefits | Type of Potential Monetary Benefits | Date Recommended |
| DODIG-2014-073, Northrop Grumman Improperly Charged Labor for the Counter Narco-Terrorism Technology Program Recommendation 3: The Army Contracting Command–Redstone Executive Director conduct a review of the \$21.7 million in potentially excessive payments and recover improper payments or recoup from any currently owed payments due the contractor on contract W9113M-07-D-007 that were not already recovered through Recommendation 2. | \$21,740,000 | Questioned Cost | 5/13/2014 |
| DODIG-2016-080, Army's Management of Gray Eagle Spare Parts Needs Improvement Recommendation A.1.b.ii: The Unmanned Aircraft System Project Manager require that the Product Manager–Medium Altitude Endurance use inventory at DoD-fielded locations before purchasing additional spare parts from General Atomics on the performance-based logistics contract and production contracts and then conduct a cost-benefit analysis to determine whether it should dispose of the excess spare parts or keep the excess spare parts for future use and take the appropriate action. | (FOUO) | Funds Put to Better Use | 4/29/2016 |
| DODIG-2016-036, Management of Items in the Defense Logistic Agency's Long-Term Storage Needs Improvement Recommendation B.2: The Defense Logistics Agency Director determine why eligible long-term storage inventory items are not automatically recouped and correct those deficiencies in the Enterprise Business System. | \$17,900,000 | Questioned Costs | 12/22/2015 |
| DODIG-2015-053, Naval Supply Systems Command Needs to Improve Cost Effectiveness of Purchases for the Phalanx Close-In Weapon System (FOUO) Recommendation 1.b: The Naval Supply Systems Command Weapon Systems Support Commander require the Naval Supply Systems Command Weapon Systems Support contracting officers to [REDACTED] | \$17,800,000 | Questioned Costs | 12/19/2014 |
| (FOUO) | | | |

CHAPTER 2

(FOUO)

Open Recommendations Containing Potential Monetary Benefits as of March 31, 2018

| Report/Recommendation | Remaining Potential Monetary Benefits | Type of Potential Monetary Benefits | Date Recommended |
|--|---------------------------------------|-------------------------------------|------------------|
| DODIG-2018-050, Naval Facilities Engineering Command Administration of Selected Energy Savings Performance Contracts Recommendation A.2.a: The Naval Facilities Engineering Command Commander direct Naval Facilities Engineering Command–Expeditionary Warfare Center and base Public Works officials to validate energy savings performance contracts contractor-claimed energy savings achieved for Naval Air Station Oceana (Main Base Delivery Order #1) for performance years 0, 1, 2, 3, 4, 5, 6, 9, 10, and 11; and Marine Corps Logistic Base Albany (Delivery Order #1) performance years 1, 2, 3, 4, 5, 6, 8 and 9. | \$16,600,000 | Questioned Costs | 12/19/2017 |
| DODIG-2012-135, Mi-17 Overhauls Had Significant Cost Overruns and Schedule Delays Recommendation A.4.a: The Army Contracting Command–Redstone contracting officer responsible for the cited task orders make no payments on additional costs associated with the requests for equitable adjustment until all costs have been determined to be reasonable, allowable, and allocable, and the requisite analyses have been reviewed by the Head of the Contracting Activity. | \$12,600,000 | Funds Put to Better Use | 9/27/2012 |
| DODIG-2016-080, Army's Management of Gray Eagle Spare Parts Needs Improvement Recommendation A.1.b.i: The Unmanned Aircraft System Project Manager require that Product Manager–Medium Altitude Endurance review the obsolete spare parts and initiate disposal of any unneeded obsolete spare parts. | (FOUO) | Funds Put to Better Use | 4/29/2016 |
| D-2011-104, Pricing and Escalation Issues Weaken the Effectiveness of the Army Contract With Sikorsky to Support the Corpus Christi Army Depot Recommendation 2.b(8): The U.S. Army Aviation and Missile Command Commander to instruct the contracting officer to account for and request a refund for excessive escalation for 2011. | \$6,875,000 | Funds Put to Better Use | 9/8/2011 |
| DODIG-2018-050, Naval Facilities Engineering Command Administration of Selected Energy Savings Performance Contracts Recommendation B.1.a: The Naval Facilities and Engineering Command Commander direct the Naval Facilities Engineering Command Expeditionary Warfare Center contracting officer to validate contractor-proposed currency adjustments for the Commander Fleet Activities Yokosuka performance of September 1, 2008, through August 31, 2017 (performance years 1, 2, 3, 4, 5, 6, 7, 8, and 9). | \$6,700,000 | Questioned Costs | 12/19/2017 |

(FOUO)

CHAPTER 2

(FOUO)

Open Recommendations Containing Potential Monetary Benefits as of March 31, 2018

| Report/Recommendation | Remaining Potential Monetary Benefits | Type of Potential Monetary Benefits | Date Recommended |
|---|---------------------------------------|-------------------------------------|------------------|
| DODIG-2013-123, Army Needs to Improve Mi-17 Overhaul Management and Contract Administration (FOUO) Recommendation A.2.a: The Executive Deputy to the Commanding General of the U.S. Army Materiel Command [REDACTED] | \$6,438,000 | Questioned Costs | 8/30/2013 |
| D-2011-104, Pricing and Escalation Issues Weaken the Effectiveness of the Army Contract With Sikorsky to Support the Corpus Christi Army Depot Recommendation 2.b(7): The U.S. Army Aviation and Missile Command Commander to instruct the contracting officer to request a voluntary refund of about \$5.4 million from Sikorsky for profits caused by excessive escalation from 2008 through 2010. | \$5,410,000 | Funds Put to Better Use | 9/8/2011 |
| DODIG-2018-050, Naval Facilities Engineering Command Administration of Selected Energy Savings Performance Contracts Recommendation A.2.c: The Naval Facilities Engineering Command Commander direct the Naval Facilities Engineering Command–Expeditionary Warfare Center program and contracting officials to perform higher-level review of energy savings performance contracts contractor-guaranteed energy savings achieved for Naval Air Station Oceana (Delivery Order #1) performance period years 9 and 10; and Marine Corps Logistics Base Albany (Delivery Order #1) performance years 8 and 9. | \$5,400,000 | Questioned Costs | 12/19/2017 |
| DODIG-2016-079, Delinquent Medical Service Accounts at Landstuhl Regional Medical Center Need Additional Management Oversight Recommendation 1.a: The Regional Health Command–Europe Commander review, research, and pursue collection on the delinquent medical service accounts that remain open. | \$4,287,000 | Funds Put to Better Use | 4/28/2016 |
| DODIG-2017-098, Defense Logistics Agency Compliance With the Berry Amendment and the Buy American Act Recommendation A.4.b: The Defense Logistics Agency Finance Director complete the preliminary review as required by DoD 7000.14-R, “DoD Financial Management Regulation,” volume 14, chapter 3, and provide results to the DoD Office of Inspector General. If violations of the Antideficiency Act occurred, determine which officials are responsible and recommend corrective actions. | \$2,472,456 | Questioned Costs | 7/7/2017 |

(FOUO)

CHAPTER 2

(FOUO)

Open Recommendations Containing Potential Monetary Benefits as of March 31, 2018

| Report/Recommendation | Remaining Potential Monetary Benefits | Type of Potential Monetary Benefits | Date Recommended |
|--|---------------------------------------|-------------------------------------|------------------|
| DODIG-2017-064, The Defense Health Agency Improperly Paid for Autism-Related Services to Selected Companies in the TRICARE South Region Recommendation 1.b: The Defense Health Agency Director review claims from the five applied behavior analysis companies in our sample and provide the results of the review to the Defense Health Agency Program Integrity Office for appropriate action. | \$1,896,179 | Funds Put to Better Use | 3/10/2017 |
| DODIG-2013-123, Army Needs to Improve Mi-17 Overhaul Management and Contract Administration Recommendation C.1: The Executive Deputy to the Commanding General of the U.S. Army Materiel Command conduct an independent cost analysis in accordance with Federal Acquisition Regulation 15.401-1 to validate that a fair and reasonable price is determined for the 127 Mi-17 parts, storage space, and authenticity check and recoup any questionable costs with specific consideration to the \$1,306,957 in higher prices for 48 parts and storage expenses paid to the contractor. | \$1,307,000 | Questioned Costs | 8/30/2013 |
| DODIG-2017-113, Defense Information System Agency's Expired Communication Service Authorizations Recommendation 2.a: The Director of the Procurement Services Directorate at the Defense Information Technology Contracting Office institute procedures to require all contracting personnel to monitor communication services authorizations for instances when the amount paid by the customer consistently exceeds charges billed by the vendor, and perform account reconciliation efforts in accordance with the "Desktop Procedures for the PL82 AP Validation Database." | \$716,682 ² | Funds Put to Better Use | 8/25/2017 |
| D-2008-097, Hurricane Relief Effort Costs on the Navy Construction Capabilities Contract Recommendation B.1.c: The Naval Facilities Engineering Command Southeast Commanding Officer request Kellogg, Brown, and Root to refund any amount paid under the material markup provisions determined to represent profit. | \$639,000 ¹ | Funds Put to Better Use | 5/23/2008 |

(FOUO)

CHAPTER 2

| (FOUO) Open Recommendations Containing Potential Monetary Benefits as of March 31, 2018 | | | |
|---|---------------------------------------|-------------------------------------|------------------|
| Report/Recommendation | Remaining Potential Monetary Benefits | Type of Potential Monetary Benefits | Date Recommended |
| DODIG-2016-061, U.S. Army Military Surface Deployment and Distribution Command Needs to Improve its Oversight of Labor Detention Charges at Military Ocean Terminal Sunny Point Recommendations 1.b: The U.S. Transportation Command Contracting Officer take action to recoup charges for time charged as safety briefings erroneously charged as labor detention time. | \$635,000 | Questioned Costs | 3/16/2016 |
| DODIG-2016-061, U.S. Army Military Surface Deployment and Distribution Command Needs to Improve its Oversight of Labor Detention Charges at Military Ocean Terminal Sunny Point Recommendations 3.b: The U.S. Army Surface Deployment and Distribution Command's Deputy Chief of Staff for Resource Management work with the U.S. Transportation Command contracting officer, and Transportation Brigade contracting officer's representatives to review time records for ongoing Stevedore and Related Terminal Services contracts to identify labor detention charges subject to recoupment, and take action to recoup these costs. | \$439,000 | Questioned Costs | 3/16/2016 |
| DODIG-2018-050, Naval Facilities Engineering Command Administration of Selected Energy Savings Performance Contracts Recommendation B.1.b.1: The Naval Facilities and Engineering Command Commander direct the Naval Facilities Engineering Command Expeditionary Warfare Center contracting officer to recover the unsupported currency fluctuation payments calculated by the audit at \$250,000. | \$250,000 | Funds Put to Better Use | 12/19/2017 |
| DODIG-2014-118, Improvements Needed in Contract Award of Mi-17 Cockpit Modification Task Order Recommendation B.2: The Director of the Army Contracting Command-Redstone Non-Standard Rotary Wing Aircraft Directorate take action to recoup payments for the sets of manuals that were not accepted or delivered. | \$216,000 | Questioned Costs | 9/19/2014 |
| DODIG-2016-080, Army's Management of Gray Eagle Spare Parts Needs Improvements Recommendation A.1.b.iv: The Unmanned Aircraft System Project Manager require that Product Manager-Medium Altitude Endurance use existing Defense Logistics Agency inventory, when possible, before purchasing the spare parts from General Atomics. | (FOUO) [REDACTED] | Funds Put to Better Use | 4/29/2016 |
| (FOUO) | | | |

CHAPTER 2

(FOUO)

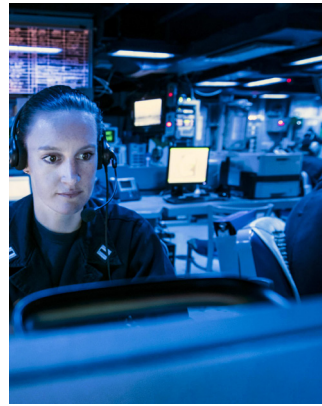
Open Recommendations Containing Potential Monetary Benefits as of March 31, 2018

| Report/Recommendation | Remaining Potential Monetary Benefits | Type of Potential Monetary Benefits | Date Recommended |
|---|---------------------------------------|-------------------------------------|------------------|
| DODIG-2014-096, Improvements Needed in Contract Administration of Mi-17 Cockpit Modification Task Order (FOUO) Recommendation A: The Director of the Army Contracting Command–Redstone Non-Standard Rotary Wing Aircraft Directorate require the contracting officer to modify the contract to remove the additional fee and recoup from the contractor the [REDACTED] unallowable fixed-fee increase. | (FOUO) [REDACTED] | Questioned Costs | 7/28/2014 |
| DODIG-2017-116, Defense Logistics Agency Fuel Contract for Al Udeid Air Base, Qatar Recommendation B.1.b: The Defense Logistics Agency Director direct the contracting officer to recoup the \$58,816 in interest paid to the contractor for delivery orders 0002, 0009, and 0013. | \$58,816 | Questioned Costs | 9/5/2017 |
| Total | \$2,264,424,660 | | |

(FOUO)

- ¹ The agreed-upon corrective actions have been completed by DoD management; however, the Department's recovery of monetary benefits accruing from those actions is a matter in litigation at the Armed Services Board of Contract Appeals.
- ² The agreed-upon corrective actions have been completed by DoD management; however, recovery of monetary benefits from those actions is still pending.

CHAPTER 3



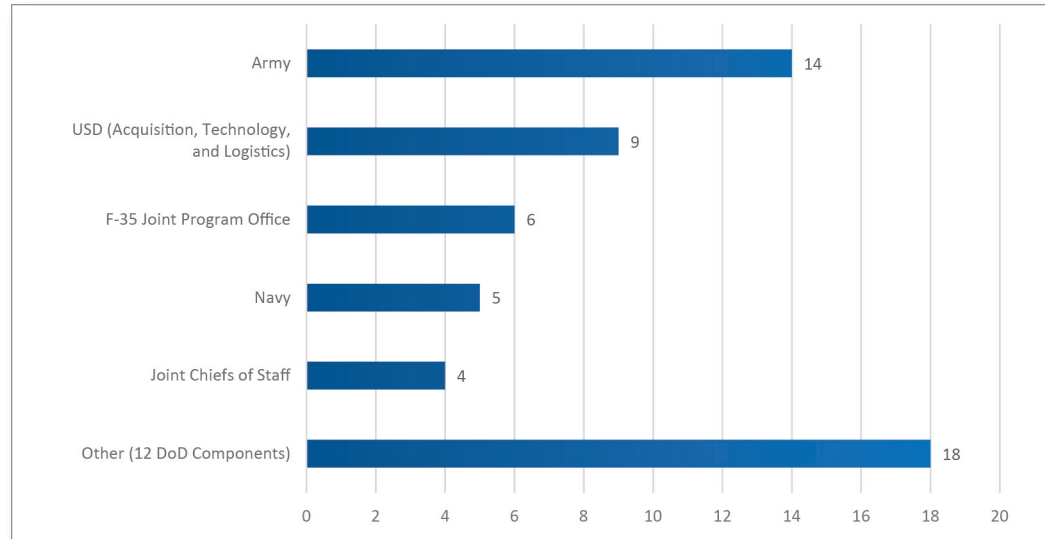
CHAPTER 3

Recommendations Open for at Least 5 Years

This chapter highlights the 56 DoD OIG recommendations that have been open at least 5 years. Of the 56 recommendations, 38 were issued to the Department of the Army, Under Secretary of Defense for Acquisition, Technology, and Logistics, Department of the Navy, Joint Chiefs of Staff, and the F-35 Joint Program. The remaining 18 recommendations were issued to 12 other DoD Components.

CHAPTER 3

Figure 7. Number of Recommendations Open for at Least 5 years by Responsible Component



Source: The DoD OIG

Note: As of February 1, 2018, the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics was reorganized into two offices: (1) the Office of the Under Secretary of Defense for Research and Engineering (2) and the Office of the Under Secretary of Defense for Acquisition and Sustainment.

The 56 open recommendations are related to the following areas: Acquisition Programs, Logistics, Information Technology Resources, Finance and Accounting, Intelligence, and Contractor Oversight. Although there are different reasons for the DoD Components' inability to implement the 56 recommendations, we found that the biggest challenge has been implementing recommendations that direct changes in policies that require extensive coordination. For example, implementation of a recommendation to change the DoD FMR involves a rigorous review and approval process from multiple DoD offices, as well as external agencies.

In addition, the Military Services experience excessive time to write and coordinate Service-level policy when the overarching DoD policy is under revision. For example, in Report No. DODIG-2012-039, "Summary Report on DoD's Management of Unfinalized Contractual Actions," January 13, 2012, the DoD OIG recommended that the Defense Procurement and Acquisition Policy Director personnel revise the Defense Federal Acquisition Regulation Supplement (DFARS) Procedures, Guidance, and Information 217.74 "Unfinalized Contract Actions," May 12, 2006, to provide additional guidance for managing unfinalized

CHAPTER 3

contractual actions. As a result, the Defense Procurement and Acquisition Policy Director initiated a DFARS case to provide additional guidance for managing undefinitized contractual actions. However, Section 804 of the FY 2013 National Defense Authorization Act directed the Secretary of Defense to conduct a review and modify the DoD's profit guidelines. Rather than issuing two separate rules, the DFARS case was combined with another ongoing DFARS case to address the requirements of Section 804. The target completion date for this final rule was second quarter FY 2018; however, as of March 31, 2018, it remained unpublished.

In another example, Report No. DODIG-2006-077, "Human Capital: DoD Personnel Security Clearance Process at Requesting Activities," April 19, 2006, the DoD OIG recommended that the Army Deputy Chief of Staff for Intelligence; the Naval Criminal Investigative Service Director; the Air Force Director of Security Forces, Information Security; the Defense Information Systems Agency Director; and the Defense Logistics Agency Director update policies for the DoD personnel security clearance program. However, the Services were delayed by the DoD's ongoing efforts to revise and publish the overarching guidance, DoD Instruction 5200.2-R, "Personnel Security Program," January 1987. As of March 31, 2018, the Services continue to wait for the publication of the revised DoD Instruction 5200.2-R.

(FOUO) Another reason for the DoD Components' inability to implement these recommendations is pending investigations (or litigation). For example, in Report No. DODIG-2012-135, "Mi-17 Overhauls Had Significant Cost Overruns and Schedule Delays," September 24, 2012, the DoD OIG recommended that U.S. Army Contracting Command-Redstone Arsenal contracting officer make no payments to two contractors until all costs have been determined to be reasonable, allowable, and allocable, and the requisite analyses have been reviewed by the Head of the Contracting Activity. Although the Army stopped making payments, as recommended, and engaged the assistance of the Defense Contract Audit Agency to perform a cost analysis over the contracted costs, the recommendation remains open [REDACTED].

While there is no standard timeframe for implementation, we believe maximum benefit is generally achieved when recommendations are implemented within 3 years. However, some recommendations, such as those relating to cyber security, require immediate implementation since noncompliance can have far-reaching, devastating consequences. Additionally, some recommendations have critical

CHAPTER 3

life and safety impacts. For example, Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017, found that the Military Services did not consistently submit fingerprint cards and final disposition reports for service members convicted by court-martial of qualifying offenses, as required, and included several recommendations to address these findings. The DoD OIG had reported these findings; however, the recommendations were not fully implemented. Missing fingerprint cards and final disposition reports can have serious consequences, as may have occurred in the November 2017 church shooting in Texas. Failure to populate law enforcement databases with all the required fingerprint records can result in someone purchasing a weapon who should not. Failure to populate law enforcement databases can also hinder criminal investigations and potentially impact law enforcement and national security interests.

Tables. Recommendations Open for at Least 5 years

The tables below lists 56 recommendations that have been open for at least 5 years.

Department of the Army

Report No. D-2006-077, “Human Capital: DoD Personnel Security Clearance Process at Requesting Activities,” April 19, 2006

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3.a-f | The DoD OIG recommended that the Army Deputy Chief of Staff for Intelligence; the Director, Naval Criminal Investigative Service; the Air Force Director of Security Forces, Information Security; the Director, Defense Information Systems Agency; and the Director, Defense Logistics Agency update policies for the DoD personnel security clearance program to include the following areas: a) program management responsibilities; b) agencies responsible for conducting personnel security investigations (PSI) and investigative responsibilities; c) security clearance systems for tracking security clearance information; d) Personnel Security Investigation submission processes; e) the relationship among the levels of security clearances, types of PSIs required for different levels of clearance, and scopes of investigations to include documentation required for each PSI; and f) training requirements for security personnel. | 11 years 11 months 12 days |

Report No. D-2011-104, “Pricing and Escalation Issues Weaken the Effectiveness of the Army Contract With Sikorsky to Support the Corpus Christi Army Depot,” September 8, 2011 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.b(7) | The DoD OIG recommended that the Commander, Army Aviation and Missile Life Cycle Management Command, request a voluntary refund of about \$5.4 million from Sikorsky for profits caused by excessive escalation from 2008 through 2010. | 6 years 6 months 23 days |
| 2.b(8) | The DoD OIG recommended that the Commander, Army Aviation and Missile Life Cycle Management Command, account for and request a refund from Sikorsky for excessive escalation for 2011. | 6 years 6 months 23 days |

CHAPTER 3

Department of the Army (cont'd)

Report No. D-2012-041, "Evaluation of DoD Contracts Regarding Combating Trafficking in Persons: U.S. European Command and U.S. Africa Command," January 17, 2012

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology should ensure that the Federal Acquisition Regulation clause 52.222-50, "Combating Trafficking in Persons" is included in all contracts identified as deficient in our review. | 6 years 2 months 14 days |

Report No. DODIG-2012-135, "Mi-17 Overhauls Had Significant Cost Overruns and Schedule Delays," September 27, 2012 (Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|----------------------------------|
| A.4.a | (FOUO) The DoD OIG recommended that the U.S. Army Contracting Command-Redstone contracting officer responsible for task orders W9113M-07-D-0007-0021 and W9113M-07-D-0007-0035 make no payments on additional costs associated with the [REDACTED] requests for equitable adjustment until all costs have been determined to be reasonable, allowable, and allocable, and the requisite analyses have been reviewed by the Head of the Contracting Activity in accordance with Recommendation A.3. | 5 years 6 months 4 days |
| (FOUO) | | |

CHAPTER 3

Department of the Army (cont'd)

Report No. DODIG-2013-036, "Improvements Are Needed to Strengthen the Security Posture of USACE, Civil Works, Critical Infrastructure and Industrial Control Systems in the Northwestern Division," January 14, 2013 (Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|----------------------------------|
| A.1.a | (FOUO) The DoD OIG recommended that the Portland District Commander and District Engineer, in coordination with the Operations Project Manager for [REDACTED], implement physical security measures in accordance with the Headquarters, U.S. Army Corps of Engineers memorandum, "Baseline Security Posture Guide for Civil Works Projects," December 10, 2004, as follows: [REDACTED] | 5 years 2 months 17 days |
| A.1.b | (FOUO) The DoD OIG recommended that the Portland District Commander and District Engineer, in coordination with the Operations Project Manager for [REDACTED], implement physical security measures in accordance with the Headquarters, U.S. Army Corps of Engineers memorandum, "Baseline Security Posture Guide for Civil Works Projects," December 10, 2004, as follows: [REDACTED] | 5 years 2 months 17 days |
| A.1.c | (FOUO) The DoD OIG recommended that the Portland District Commander and District Engineer, in coordination with the Operations Project Manager for [REDACTED], implement physical security measures in accordance with the Headquarters, U.S. Army Corps of Engineers memorandum, "Baseline Security Posture Guide for Civil Works Projects," December 10, 2004, as follows: [REDACTED] | 5 years 2 months 17 days |
| A.1.d | (FOUO) The DoD OIG recommended that the Portland District Commander and District Engineer, in coordination with the Operations Project Manager for [REDACTED], implement physical security measures in accordance with the Headquarters, U.S. Army Corps of Engineers memorandum, "Baseline Security Posture Guide for Civil Works Projects," December 10, 2004, as follows: [REDACTED] | 5 years 2 months 17 days |
| B.2.a | (FOUO) The DoD OIG recommended that the Chief, Hydroelectric Design Center, in coordination with the Generic Data Acquisition Control System Maintenance Team, [REDACTED] | 5 years 2 months 17 days |
| (FOUO) | | |

CHAPTER 3

*Department of the Army (cont'd)***Report No. DODIG-2013-036 (Full Report is FOUO) (cont'd)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|---------------------------------|--|----------------------------------|
| A.6.a.(1) | (FOUO) The DoD OIG recommended that the Seattle District Commander and District Engineer, in coordination with the [REDACTED] Project Manager, implement physical security measures in accordance with the Headquarters, U.S. Army Corps of Engineers memorandum, "Baseline Security Posture Guide for Civil Works Projects," December 10, 2004, [REDACTED] | 5 years 2 months 17 days |
| A.6.a.(2) | (FOUO) The DoD OIG recommended that the Seattle District Commander and District Engineer, in coordination with the [REDACTED] Project Manager, implement physical security measures in accordance with the Headquarters, U.S. Army Corps of Engineers memorandum, "Baseline Security Posture Guide for Civil Works Projects," December 10, 2004, [REDACTED] | 5 years 2 months 17 days |
| A.6.a.(3) | (FOUO) The DoD OIG recommended that the Seattle District Commander and District Engineer, in coordination with the [REDACTED] Project Manager, implement physical security measures in accordance with the Headquarters, U.S. Army Corps of Engineers memorandum, "Baseline Security Posture Guide for Civil Works Projects," December 10, 2004, [REDACTED] | 5 years 2 months 17 days |
| B.3.a.(5) | (FOUO) The DoD OIG recommended that the Seattle District Commander and District Engineer, in coordination with the [REDACTED] Project Manager, [REDACTED] | 5 years 2 months 17 days |
| (FOUO) | | |

CHAPTER 3

Department of the Navy

Report No. D-2008-097, “Hurricane Relief Costs on the Navy Construction Capabilities Contract,” May 23, 2008 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.1.c | The DoD OIG recommended that the Naval Facilities Engineering Command Southeast Commanding Officer request Kellogg, Brown, and Root to refund any amount paid under the material markup provisions determined to represent profit. The DoD OIG calculated that this could result in the Navy recovering as much as \$7.2 million from Kellogg, Brown, and Root for the inappropriate payments. | 9 years 10 months 8 days |

Report No. DODIG-2012-017, “U.S. Naval Academy Officials Did Not Adhere to Contracting and Gift Policies,” November 7, 2011

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1.d | The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to implement the Naval Heritage and History Command inventory system, Department of Navy Heritage Asset Management System, and record all the in-kind gifts into the system. | 6 years 4 months 24 days |
| B.3.b | The DoD OIG recommended that the Director, Naval Heritage and History Command, require the United States Naval Academy Museum Director to use the Naval Heritage Command inventory system, the Department of Navy Heritage Asset Management System. | 6 years 4 months 24 days |

Report No. DODIG-2012-122, “DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access,” August 29, 2012 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1.b | The DoD OIG recommended that the Assistant Secretary of the Army (Manpower and Reserve Affairs), in conjunction with the Assistant Secretary of the Army (Installations, Energy, and Environment); Commander, Headquarters Air Force Security Forces Center; Deputy Chief of Naval Operations for Fleet Readiness and Logistics; and Assistant Deputy Commandant, Plans, Policies and Operations (Security), U.S. Marine Corps, before approving physical access control systems for a location require installation security personnel to be involved during the site surveys. | 5 years 7 months 2 days |

CHAPTER 3

Department of the Navy (cont'd)

Report No. DODIG-2012-124, "DoD Efforts to Protect Critical Program Information: The Navy's EA-18G 'Growler'," August 30, 2012
(Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|--|
| A.1 | (FOUO) [REDACTED] | 5 years 7 months 1 day |
| (FOUO) | | |

U.S. Marine Corps

Report No. D-2011-060, "Marine Corps Inventory of Small Arms Was Generally Accurate but Improvements Are Needed for Related Guidance and Training," April 22, 2011

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|--|
| 2.a | The DoD OIG recommended that the Deputy Commandant, Plans, Policies, and Operations, update the small arms accountability guidance in Marine Corps Order 5530.14A consistent with Marine Corps Bulletin 4440 and the updates to Marine Corps Order 8300.1C. | 6 years 11 months 9 days |

CHAPTER 3

Department of the Air Force

Report No. D-2006-077, "Human Capital: DoD Personnel Security Clearance Process at Requesting Activities," April 19, 2006

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3.a-f | The DoD OIG recommended that the Army Deputy Chief of Staff for Intelligence; the Director, Naval Criminal Investigative Service; the Air Force Director of Security Forces, Information Security; the Director, Defense Information Systems Agency; and the Director, Defense Logistics Agency update policies for the DoD personnel security clearance program to include the following areas: a) program management responsibilities; b) agencies responsible for conducting personnel security investigations (PSI) and investigative responsibilities; c) security clearance systems for tracking security clearance information; d) Personnel Security Investigation submission processes; e) the relationship among the levels of security clearances, types of PSIs required for different levels of clearance, and scopes of investigations to include documentation required for each PSI; and f) training requirements for security personnel. | 11 years 11 months 12 days |

Report No. DODIG-2012-079, "Review of United States Air Force Nuclear Weapon Security Program," April 20, 2012 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| 1.a | Recommendation is Classified. | 5 years 11 months 11 days |

Report No. DODIG-2013-035, "Better Reporting and Certification Processes Can Improve Red Teams' Effectiveness," December 21, 2012 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|----------------------------------|
| B.7 | (FOUO) [REDACTED] | 5 years 3 months 10 days |
| (FOUO) | | |

CHAPTER 3

Joint Chiefs of Staff

Report No. 10-INTEL-04, “Review of Joint Task Force Guantanamo Inclusion of Detainee Mental Health Information in Intelligence Information Reports,” May 4, 2010 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Director, Joint Staff, issue guidance requiring that the Combatant Commands, when preparing contingency plans and operations plans for staffing through the Joint Operations Planning and Execution System, specify in Annex Q (Medical Services) the medical information to be provided in support of interrogation activities. | 7 years 10 months 27 days |
| A.2 | The DoD OIG recommended that the Director, Joint Staff, issue guidance requiring that the Combatant Commands, when preparing contingency plans and operations plans for staffing through the Joint Operations Planning and Execution System, include in Annex Q the process for sharing medical information with interrogation components. | 7 years 10 months 27 days |
| A.3 | The DoD OIG recommended that the Director, Joint Staff, issue guidance requiring that the Combatant Commands, when preparing contingency plans and operations plans for staffing through the Joint Operations Planning and Execution System, specify in Annex B (Intelligence) how interrogation elements obtained medical information. | 7 years 10 months 27 days |
| A.4 | The DoD OIG recommended that the Director, Joint Staff, issue guidance requiring that the Combatant Commands, when preparing contingency plans and operations plans for staffing through the Joint Operations Planning and Execution System, specify in Annex B how interrogation elements include medical information in intelligence reporting. | 7 years 10 months 27 days |

Under Secretary of Defense for Acquisition and Sustainment

Report No. D-2010-024, “Contracted Advisory and Assistance Services for the U.S. Army Future Combat Systems,” November 24, 2009 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 7.b | The DoD OIG recommended that the Director, Defense Procurement and Acquisition Policy, emphasize to the acquisition community the importance of complying with Federal Acquisition Regulation Subpart 9.5 and the significance of not placing contractors in positions to make decisions that favor their own capabilities and that any decisions to waive the requirements must be adequately supported and documented in the contract files. | 8 years 4 months 7 days |

CHAPTER 3

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2012-039, "Summary Report on DoD's Management of Undefined Contractual Actions," January 13, 2012**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the Director, Defense Procurement and Acquisition Policy, develop a transparent means to document incurred costs and reduced cost risk related to substantial incurred costs during the undefinitized period. For example, revise the Department of Defense Form 1547, "Record of Weighted Guidelines," to include a separate line in item 24 for assigning lower than normal factors to reflect reduced risk. | 6 years 2 months 18 days |

Report No. DODIG-2012-082, "DoD Can Improve Its Accounting for Residual Value From the Sale of U.S. Facilities in Europe," May 4, 2012

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B | The DoD OIG recommended that the Deputy Under Secretary of Defense for Installations and Environment and the Commander, U.S. European Command, revise DoD Instruction 4165.69 and U.S. European Command Instruction 4101.02, respectively, to require that future residual value settlement negotiations analyze and document how the residual value settlement amount was determined, to include at a minimum: 1) results of an independent appraisal of the facility's value or the reasons why it was deemed not worth performing one; 2) analysis of any agreed-upon calculation methodology used to determine the values for the land and capital improvements; 3) evaluation of any environmental remediation being claimed for reasonableness, if there is an offsetting effect on the residual value received; and 4) description of specific documents that should be maintained supporting residual value settlements and how long these documents should be maintained. | 5 years 10 months 27 days |

Report No. DODIG-2012-117, "DoD Needs to Improve Controls Over Economy Act Orders with U.S. Agency for International Development," August 14, 2012

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.2.a | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics revise the DoD Federal Acquisition Regulation Supplement to require that DoD agencies use either a reimbursement process or a direct cite when establishing economy act orders with non DoD agencies. | 5 years 7 months 17 days |

CHAPTER 3

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2012-117 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.2.b | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics revise the DoD Federal Acquisition Regulation Supplement to include procedures on how to properly monitor interagency acquisitions. At a minimum, these procedures should include collection and maintenance of project documentation such as contracts, task orders, statements of work, modifications, accounting data, payment vouchers, invoices, relevant correspondence, and contract completion and closeout paperwork. | 5 years 7 months 17 days |

Report No. DODIG-2012-137, "U.S. Pacific Command's Petroleum War Reserve Requirements and Stocks Were Inadequate," September 26, 2012 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Assistant Secretary of Defense for Logistics and Material Readiness, in coordination with Director of Logistics, Joint Chiefs of Staff revise DoD Manual 4140.25-M, "DoD Management of Bulk Petroleum Products, Natural Gas, and Coal," February 26, 2009, to include a requirement for updating the days of supply planning factors at least biannually. | 5 years 6 months 5 days |

Report No. DODIG-2013-031, "Audit of the F-35 Lightning II Autonomic Logistics Information System," December 10, 2012 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| A | Recommendation is Classified | 5 years 3 months 21 days |
| C.1 | Recommendation is Classified. | 5 years 3 months 21 days |

CHAPTER 3

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2013-050, “Recovering Organizational Clothing and Individual Equipment from Civilians and Contractor Employees Remains a Challenge,” February 22, 2013

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a-c | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics implement corrective actions to address all recommendations, with the exception of Recommendation (1) (b), in DoD Office of Inspector General Report No. D-2010-069, “Central Issue Facilities at Fort Benning and Related Activities,” June 21, 2010, specifically: a) identify civilians and contractor employees who returned from deployment but did not return organizational clothing and individual equipment; b) obtain unreturned organizational clothing and individual equipment or reimbursement from civilians and contractor employees; and c) require DoD Components to include proper language in new contracts and modify existing contracts to hold contracting companies liable for unreturned organizational clothing and individual equipment. | 5 years 1 month 9 days |

Under Secretary of Defense for Policy

Report No. DODIG-2012-124, “DoD Efforts to Protect Critical Program Information: The Navy’s EA-18G “Growler,” August 30, 2012 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.3 | The DoD OIG recommended that the Under Secretary of Defense for Policy review its policy to ensure that the use of the Foreign Visits System-Confirmation Module is mandatory for DoD components, as originally required by the Deputy Secretary of Defense. | 5 years 7 months 1 day |

CHAPTER 3

*Under Secretary of Defense (Comptroller)***Report No. D-2009-062, “Internal Controls Over DoD Cash and Other Monetary Assets,” March 25, 2009**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a | The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer charge a proper appropriation, or coordinate with the Office of Management and Budget and the U.S. Treasury to: 1) obtain a waiver to hold a certain amount of cash without charging an appropriation, or 2) establish a new U.S. Treasury account symbol to charge when cash is obtained from the U.S. Treasury. | 9 years 6 days |
| A.1.b | The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer revise DoD Financial Management Regulation 7000.14, volume 5, to reflect the implementation of Recommendation A.1.a.(1)-(2). | 9 years 6 days |

*Under Secretary of Defense for Personnel and Readiness***Report No. DODIG-2012-122, “DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access,” August 29, 2012 (Full Report is FOUO)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a(1) | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, as the DoD Homeland Security Presidential Directive-12 Implementation Lead, coordinate and convene a meeting with the Under Secretary of Defense for Acquisition, Technology, and Logistics; the Under Secretary of Defense for Policy; Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD; the Under Secretary of Defense for Intelligence; the DoD Chief Information Officer, and the Director of Cost Assessment and Program Evaluations within 90 days to reassess and require each office responsible for the full implementation of HSDP-12 to provide oversight and accountability including the reprogramming of funding when appropriate or necessary. | 5 years 7 months 2 days |
| A.1.b | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, as the DoD Homeland Security Presidential Directive-12 Implementation Lead, establish a requirement for the Services and DoD agencies to report semiannually to the Under Secretary of Defense for Personnel and Readiness on the status of their Homeland Security Presidential Directive-12 implementation efforts to include compliance with the Office of Management and Budget Homeland Security Presidential Directive-12 implementation requirements. | 5 years 7 months 2 days |

CHAPTER 3

Under Secretary of Defense for Intelligence

Report No. DODIG-2012-122, “DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access,” August 29, 2012 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.2 | The DoD OIG recommended that the Under Secretary of Defense for Intelligence establish a requirement for the heads of DoD Components to report semiannually to the Under Secretary of Defense for Intelligence on the number of physical access control systems that comply with Federal Information Processing Standard 201. The findings should be forwarded to the Under Secretary of Defense for Personnel and Readiness to assist in updating the DoD Homeland Security Presidential Directive-12 Implementation Plan and the DoD Office of Inspector General. The report should include information such as: a) list of facilities with installed physical access control systems and physical access control equipment that comply with Federal Information Processing Standard 201; and b) list of facilities required to install or upgrade physical access control systems and physical access control equipment to comply with Federal Information Processing Standard 201, including completion time frame. | 5 years 7 months 2 days |

Report No. DODIG-2012-124, “DoD Efforts to Protect Critical Program Information: The Navy’s EA-18G “Growler,” August 30, 2012 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.2.a | The DoD OIG recommended that the Under Secretary of Defense for Policy, in coordination with the Under Secretary of Defense for Intelligence, harmonize the requirements of their respective policies directing the use of the Foreign Visits System-Confirmation Module to confirm the occurrence of official visits by foreign nationals to DoD component facilities where classified, controlled unclassified information, and critical program information are resident. | 5 years 7 months 1 day |

Report No. D-2013-004, “Audit of Hotline Allegations Involving Defense Intelligence Mission Area,” October 17, 2012 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | We recommend that the Under Secretary of Defense for Intelligence determine where to align the Defense Intelligence Mission Area portfolio, formally establish the program management office, and execute the Defense Intelligence Mission Area in accordance with DoD policy. | 5 years 5 months 14 days |

CHAPTER 3

National Guard Bureau

Report No. DODIG-2012-110, “Better Oversight Needed for the National Guard’s Weapons of Mass Destruction Civil Support Teams,” July 2, 2012

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1-2 | The DoD OIG recommended that the Director, National Guard Bureau-J3, Domestic Operations and Force Development Directorate, develop a written oversight plan in coordination with personnel from each Joint Force Headquarters-State that: 1) verifies compliance with mission reporting requirements; and 2) provides feedback to Weapons of Mass Destruction Civil Support Teams on omissions and errors. | 5 years 8 months 29 days |

Assistant Secretary of Defense for Homeland Defense and Global Security

Report No. DODIG-2012-064, “Vulnerability and Risk Assessments Needed to Protect Defense Industrial Base Critical Assets,” March 13, 2012 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.b | The DoD OIG recommended that the Under Secretary of Defense for Policy create a DoD instruction for the Defense Industrial Base Sector that sets requirements for risk management of the non-Government-owned critical assets and assigns appropriate roles and responsibilities to Under Secretary of Defense for Acquisition, Technology, and Logistics personnel. | 6 years 18 days |

CHAPTER 3

Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict

Report No. DODIG-2013-005, “Performance Framework and Better Management of Resources Needed for the Ministry of Defense Advisors Program,” October 23, 2012

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Partnership Strategy and Stability Operations, in coordination with the Commander, U.S. Combined Security Transition Command-Afghanistan, develop a performance management framework to include goals, objectives, and performance indicators to assess progress and measure program results. The performance management framework should also consider environmental and external factors that could affect the goals and objectives from being accomplished. | 5 years 5 months 8 days |

Assistant Secretary of Defense for Public Affairs

Report No. D-2010-026, “Joint Civilian Orientation Conference Program,” December 9, 2009

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.a | The DoD OIG recommended that the Assistant Secretary of Defense (Public Affairs) and the Director, Washington Headquarters Services, work with the DoD General Counsel to establish detailed policies and procedures for managing future Joint Civilian Orientation Conferences in compliance with section 2262, title 10, United States Code. Specifically, they should update DoD Instruction 5410.19 to provide guidance on how to effectively administer and manage the Joint Civilian Orientation Conference program. This guidance should require the development and submission of an annual budget estimate for conducting the program, including an estimate of the amount of appropriated funding needed to support DoD personnel involved in the program and the amount of reimbursable authority needed to collect fees from non-DoD participants. The instruction should also describe refund procedures for conference fees. | 5 years 8 months 22 days |

CHAPTER 3

Defense Logistics Agency

Report No. DODIG-2012-098, “Controls Governing the Procurement Automated Contract Evaluation System Need Improvement,” June 5, 2012
(Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Director, Defense Logistics Agency, cease the current process of automated affixing of contracting officers' electronic signatures to fully automated awards in the Procurement Automated Contract Evaluation System and develop an alternate method compliant with Public Law 105-277, “Government Paperwork Elimination Act,” October 21, 1998, Public Law 106-229, “Electronic Signatures in Global and National Commerce Act,” June 30, 2000, and the Federal Acquisition Regulation. | 5 years 9 months 26 days |

Defense Finance and Accounting Service

Report No. DODIG-2012-107, “Defense Finance and Accounting Service Needs to Improve the Process for Reconciling the Other Defense Organizations’ Fund Balance with Treasury,” July 9, 2012

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Director, Defense Finance and Accounting Service-Indianapolis, develop a systems infrastructure that will allow personnel to readily retrieve the detailed transactions supporting all open appropriations that the Accounts Maintenance and Control branch is responsible for accounting for and reconciling on the Cash Management Report. | 5 years 8 months 22 days |

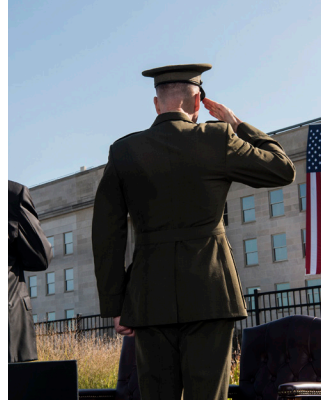
F-35 Joint Program Office

Report No. DODIG-2013-031, "Audit of the F-35 Lightning II Autonomic Logistics Information System," December 10, 2012

(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| B | Recommendation is Classified. | 5 years 3 months 21 days |
| C.2 | Recommendation is Classified. | 5 years 3 months 21 days |
| D.1 | Recommendation is Classified. | 5 years 3 months 21 days |
| D.3 | Recommendation is Classified. | 5 years 3 months 21 days |
| D.4 | Recommendation is Classified. | 5 years 3 months 21 days |
| D.5 | Recommendation is Classified. | 5 years 3 months 21 days |

CHAPTER 4



CHAPTER 4

Reports With Unresolved Recommendations

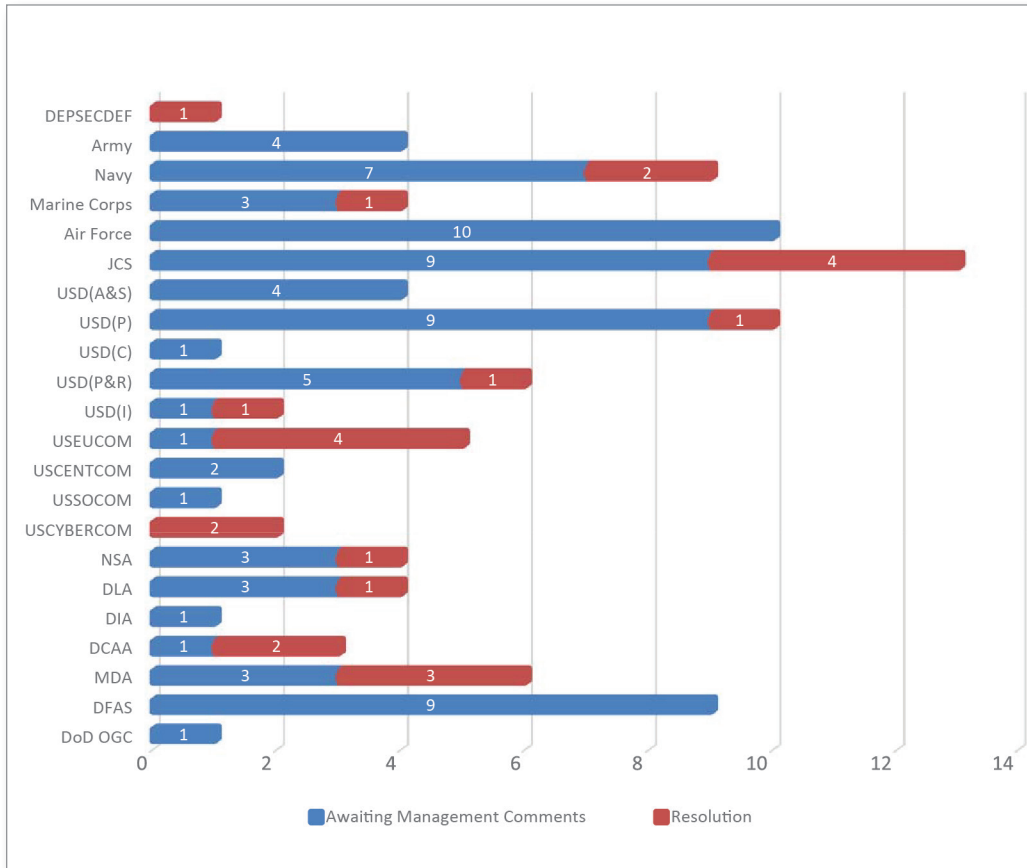
Open recommendations can be either resolved or unresolved. Resolved recommendations are those that DoD management has agreed to implement but has not yet completed agreed-upon actions. DoD OIG recommendations remain unresolved when DoD OIG management disagrees with the recommendations and does not agree to implement them or provide an alternative corrective action. In these cases, the OIG and DoD management enter the resolution process in an attempt to reach agreement on the recommendations. Recommendations are also considered unresolved when DoD management does not adequately explain in its management comments to OIG draft reports what actions it plans to take in order to implement the recommendations. In such cases, the OIG requests DoD management to provide comments in response to the final report.

This chapter provides a list of all 102 unresolved recommendations. DoD Components have not agreed to implement these recommendations and have not proposed alternative corrective action to address the recommendations. Specifically, DoD management comments did not fully address 78 of the 102 unresolved recommendations. DoD management disagreed with the other 24 recommendations, and the DoD OIG is still working with the DoD Components to resolve these issues.

Figure 8 presents a breakdown of the 102 unresolved recommendations awaiting management comments and in resolution by DoD Components.

CHAPTER 4

Figure 8. Unresolved Recommendations by DoD Component



Source: The DoD OIG.

CHAPTER 4

Tables. Reports With Unresolved Recommendations

The tables below list 102 unresolved recommendations that remain open. Reasons for unresolved status include management responses that:

- did not fully address the intent of the recommendations (Type of Action: Management Comments), or
- did not agree to implement the recommendations; therefore, the recommendations are in the resolution process (Type of Action: Resolution).

The tables do not include the recommendation text for nine unresolved classified recommendations. See Appendixes B and C for this information.

Deputy Secretary of Defense

Report No. DODIG-2018-097, “U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans” March 30, 2018 (Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|----------------|----------------------------------|
| 1 | The DoD OIG recommended that the Secretary of Defense, in coordination with the Chairman of the Joint Chiefs of Staff and the U.S. Cyber Command Commander, consider the planning models and their respective characteristics identified in the report and develop and implement a cyberspace planning model that will improve the integration of cyberspace operations into long-term planning at all combatant commands. | Resolution | 1 day |

Department of the Army

Report No. DODIG-2018-046, “DoD Antiterrorism Programs,” December 21, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| 3.a | The DoD OIG recommended that the Naval Base San Diego Commanding Officer and the Commanding General of 1st Armored Division and Fort Bliss require their antiterrorism officers to develop and implement procedures to document and track mitigation measures for antiterrorism vulnerabilities in the Core Vulnerability Assessment Management Program. | Management Comments | 3 months 10 days |

CHAPTER 4

*Department of the Army (cont'd)***Report No. DODIG-2018-046 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| 3.c | The DoD OIG recommended that the Naval Base San Diego Commanding Officer and the Commanding General of 1st Armored Division and Fort Bliss require their antiterrorism officers to develop and implement procedures to maintain updated lists of installation tenant antiterrorism representatives. | Management Comments | 3 months 10 days |

Report No. DODIG-2018-068, "Evaluation of Oversight of Privileged Users Within the Army's Intelligence Component," January 30, 2018
(Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| 2.c | The DoD OIG recommended that the U.S. Army Intelligence and Security Command Chief of Staff review privileged users and enter all missing records into the Army Training and Certification Tracking System and correct all errors in Army Training and Certification System records. | Management Comments | 2 months 1 day |
| 2.d | The DoD OIG recommended that the U.S. Army Intelligence and Security Command Chief of Staff revalidate all privileged users to ensure that access is commensurate with current mission requirements, and promptly revoke privileged access from any user that no longer requires such access. | Management Comments | 2 months 1 day |

CHAPTER 4

Department of the Navy

Report No. DODIG-2013-082, “Hotline Allegation Regarding the Failure to Take Action on Material Management and Accounting System Audit Findings,” May 29, 2013

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|----------------|----------------------------------|
| A.2.d | The DoD OIG recommended that the Supervisor of Shipbuilding, Conversion, and Repair Commanding Officer reevaluate the determination that the costs of complying with Standard 2 outweigh the benefits, and document adequate rationale for any disagreements with the auditor in accordance with DoD Instruction 7640.02, “Policy for Follow-up on Contract Audit Reports.” | Resolution | 4 years 10 months 2 days |
| A.2.e | The DoD OIG recommended that the Supervisor of Shipbuilding, Conversion, and Repair Commanding Officer, in consultation with Defense Contract Audit Agency, reassess the appropriateness of the March 15, 2013, agreement with the contractor on the master production schedule accuracy calculation. | Resolution | 4 years 10 months 2 days |

Report No. DODIG-2014-001, “MV-22 Squadrons Could Improve Reporting of Mission Capability Rates and Readiness,” October 23, 2013
(Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| 1 | The DoD OIG recommended that the Naval Air Forces Commander revise Commander, Naval Air Forces Instruction 4790.2A, “Naval Aviation Maintenance Program,” November 10, 2009, to include detailed procedures for maintenance officers to use to verify the accuracy and completeness of aircraft inventory reports and work orders before submission. | Management Comments | 4 years 5 months 8 days |

CHAPTER 4

Department of the Navy (cont'd)

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| B.1 | The DoD OIG recommended that the Secretary of the Navy and the Secretary of the Air Force, along with the Chairman of the Joint Chiefs of Staff, develop joint mission-essential task lists for an explosive ordnance disposal response to a nuclear weapon incident. | Management Comments | 8 months 3 days |
| C | The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Defense Threat Reduction Agency Director and the Deputy Assistant Secretary of Defense for Countering Weapons of Mass Destruction, comply with Presidential Policy Directive 35 and develop and field secure communications between custodial explosive ordnance disposal units and national responders. | Management Comments | 8 months 3 days |

Report No. DODIG-2018-046, "DoD Antiterrorism Programs," December 21, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| 3.a | The DoD OIG recommended that the Naval Base San Diego Commanding Officer and the Commanding General of the 1st Armored Division and Fort Bliss require their antiterrorism officers to develop and implement procedures to document and track mitigation measures for antiterrorism vulnerabilities in the Core Vulnerability Assessment Management Program. | Management Comments | 3 months 10 days |
| 3.b | The DoD OIG recommended that the Naval Base San Diego Commanding Officer and the Commanding General of the 1st Armored Division and Fort Bliss require their antiterrorism officers to develop and implement procedures to ensure all installation tenants are included in the installation antiterrorism program. | Management Comments | 3 months 10 days |
| 3.c | The DoD OIG recommended that the Naval Base San Diego Commanding Officer and the Commanding General of the 1st Armored Division and Fort Bliss require their antiterrorism officers to develop and implement procedures to maintain updated lists of installation tenant antiterrorism representatives. | Management Comments | 3 months 10 days |

CHAPTER 4

*Department of the Navy (cont'd)***Report No. DODIG-2018-092, "DoD Emergency Management Programs in the U.S. Africa Command," March 28, 2018 (Full Report is Classified)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|---------------------|----------------------------------|
| 9 | Recommendation is Classified. | Management Comments | 3 days |

*U.S. Marine Corps***Report No. DODIG-2014-001, "MV-22 Squadrons Could Improve Reporting of Mission Capability Rates and Readiness," October 23, 2013 (Full Report is Classified)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| 2.a | The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations require mandatory training and establish refresher training for all MV-22 operations personnel on how to complete readiness reports in the Defense Readiness Reporting System—Marine Corps. | Management Comments | 4 years 5 months 8 days |
| 2.b.(1)-(2) | The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations revise the Commander's Readiness Handbook, January 2012, to include, at a minimum: 1) detailed examples of the commander's category-level remarks and equipment condition-level remarks; and 2) in the Commander's Checklist, at a minimum, the equipment condition data elements and a requirement for the commander's signature on the readiness reports to attest to the accuracy of information provided. | Management Comments | 4 years 5 months 8 days |
| 2.c | The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations require submission of the revised Commander's Checklist with the readiness report. | Resolution | 4 years 5 months 8 days |

CHAPTER 4

U.S. Marine Corps (cont'd)

Report No. DODIG-2018-046, "DoD Antiterrorism Programs," December 21, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| 2 | The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations revise guidance to require the use of the Core Vulnerability Assessment Management Program in accordance with DoD Instruction O-2000.16, volume 1. | Management Comments | 3 months 10 days |

Department of the Air Force

Report No. DODIG-2015-168, "Air Force Commands Need to Improve Logical and Physical Security Safeguards That Protect SIPRNet Access Points," September 3, 2015 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|--------------------------|-------------------------------|---------------------|----------------------------------|
| A.4 | (FOUO) [REDACTED] | Management Comments | 2 years 6 months 28 days |
| A.5.b | (FOUO) [REDACTED] | Management Comments | 2 years 6 months 28 days |
| A.6 | Recommendation is Classified. | Management Comments | 2 years 6 months 28 days |
| B.2 | (FOUO) [REDACTED] | Management Comments | 2 years 6 months 28 days |
| (FOUO) | | | |

CHAPTER 4

Department of the Air Force (cont'd)

Report No. DODIG-2017-106 “Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident,” July 28, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| B.5 | The DoD OIG recommended that the Secretary of the Air Force review Air Force Instruction 32-3001, “Explosive Ordnance Disposal Program,” November 14, 2014, (Incorporating Change 2, May 13, 2016), to align Custodial Explosive Ordnance Disposal guidance with Presidential Directives. | Management Comments | 8 months 3 days |
| C | The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Defense Threat Reduction Agency Director and the Deputy Assistant Secretary of Defense for Countering Weapons of Mass Destruction, comply with Presidential Policy Directive 35 and develop and field secure communications between custodial explosive ordnance disposal units and national responders. | Management Comments | 8 months 3 days |

Report No. DODIG-2018-049 “U.S. Military-Occupied Facilities Evaluation—Al Udeid Air Base, Qatar,” December 21, 2017

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| C.1.b | The DoD OIG recommended that the 379 Air Expeditionary Wing Commander prepare and implement a corrective action plan to ensure that all construction projects that involve fuel systems are reviewed for compliance with applicable fuel systems standards before the Government accepts them as complete. Provide the DoD Office of Inspector General a copy of the corrective action plan within 30 days of the issuance of this report. | Management Comments | 3 months 10 days |
| D.1 | The DoD OIG recommended that the 379 Air Expeditionary Wing Commander conduct a root cause analysis and implement a corrective action plan for all indoor air quality deficiencies identified in this report. Determine the causes of the moisture intrusion into occupied facilities. Ensure that all current and future facility operations and maintenance comply with the Air Force 2005 Mold Policy Memorandum. Provide the DoD Office of Inspector General a copy of the analysis and plan within 30 days of the issuance of this report. | Management Comments | 3 months 10 days |

CHAPTER 4

*Department of the Air Force (cont'd)***Report No. DODIG-2018-081 “The Army’s Tactical Explosive Detection Dog Disposition Process from 2011 to 2014,” March 1, 2018**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| B.2.a | The DoD OIG recommended that the Secretary of the Air Force, as the Executive Agent for the Department of Defense Military Working Dog Program, in collaboration with the Department of Defense Components with Military Working Dog assets, review Air Force Instruction 31-126, Army Regulation 700-81, OPNAVINST 5585.2C, MCO 5585.6, “DoD Military Working Dog (MWD) Program,” dated February 28, 2017, to ensure that it includes guidance that addresses the vetting of non-military transfer and adoption applicants for Military Working Dogs. | Management Comments | 30 days |
| B.2.b | The DoD OIG recommended that the Secretary of the Air Force, as the Executive Agent for the Department of Defense Military Working Dog Program, in collaboration with the Department of Defense Components with Military Working Dog assets, review Air Force Instruction 31-126, Army Regulation 700-81, OPNAVINST 5585.2C, MCO 5585.6, “DoD Military Working Dog (MWD) Program,” dated February 28, 2017, to ensure that it includes guidance for temporary, nontraditional Military Working Dog capabilities that are not directly supported by the 341st Training Squadron. | Management Comments | 30 days |

*Joint Chiefs of Staff***Report No. DODIG-2017-106 “Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident,” July 28, 2017 (Full Report is Classified)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|----------------|----------------------------------|
| B.1 | The DoD OIG recommended that the Secretary of the Navy and the Secretary of the Air Force, along with the Chairman of the Joint Chiefs of Staff, develop joint mission-essential task lists for an explosive ordnance disposal response to a nuclear weapon incident. | Resolution | 8 months 3 days |

CHAPTER 4

Joint Chiefs of Staff (cont'd)

Report No. DODIG-2017-117, “Joint Requirements Oversight Council Procurement Quantity Validation Process for Major Defense Acquisition Programs,” September 6, 2017 (Full Report is FOUO)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| 1.a | The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff identify which Major Defense Acquisition Programs reached, or will reach, Milestone A on or before October 1, 2017, and will not be affected by the new investment review process required by the FY 2017 change to section 181, title 10, United States Code. | Management Comments | 6 months 25 days |
| 1.b.1 | The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that have reached Milestone A, or will reach Milestone A on or before October 1, 2017, that are not affected by the new investment review process required by the FY 2017 change to section 181, title 10, United States Code establish a practice within the Joint Requirements Oversight Council to consistently evaluate procurement quantity submitted by sponsors and execute procedures to assess the validity and accuracy of the procurement quantity submitted by sponsors. | Management Comments | 6 months 25 days |
| 1.b.2 | The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that have reached Milestone A, or will reach Milestone A on or before October 1, 2017, that are not affected by the new investment review process required by the FY 2017 change to section 181, title 10, United States Code require subordinate boards to obtain input and reviews from advisers and stakeholders to assess and review procurement quantity. | Management Comments | 6 months 25 days |
| 1.b.3 | The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that have reached Milestone A, or will reach Milestone A on or before October 1, 2017, that are not affected by the new investment review process required by the FY 2017 change to section 181, title 10, United States Code establish expectations for stakeholders and advisers, particularly the Under Secretary of Defense for Acquisition, Technology, and Logistics and the Cost Assessment and Program Evaluation Director, to assist the Joint Requirements Oversight Council in evaluating procurement quantity throughout the validation process. | Management Comments | 6 months 25 days |
| 1.b.4 | The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that have reached Milestone A, or will reach Milestone A on or before October 1, 2017, that are not affected by the new investment review process required by the FY 2017 change to section 181, title 10, United States Code document and maintain the methodology for evaluating procurement quantity for each validation decision. | Management Comments | 6 months 25 days |

CHAPTER 4

*Joint Chiefs of Staff (cont'd)***Report No. DODIG-2017-117 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| 2.a | The DoD OIG recommended that the Deputy Secretary of Defense and the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that reach Milestone A after October 1, 2017, clearly define the roles and responsibilities for supporting the new investment review process required by the FY 2017 change to section 181, title 10, United States Code, to ensure that appropriate tradeoffs are made among life-cycle cost, schedule, performance, and procurement quantity when developing recommendations for program costs. | Management Comments | 6 months 25 days |
| 2.b.1 | The DoD OIG recommended that the Deputy Secretary of Defense and the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that reach Milestone A after October 1, 2017, ensure the new investment review process clearly defines the roles for assessing, reviewing, and analyzing procurement quantity. | Management Comments | 6 months 25 days |
| 2.b.2 | The DoD OIG recommended that the Deputy Secretary of Defense and the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that reach Milestone A after October 1, 2017, develop and implement oversight procedures and accountable methods to ensure that procurement quantity is evaluated. | Management Comments | 6 months 25 days |
| 2.b.3 | The DoD OIG recommended that the Deputy Secretary of Defense and the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that reach Milestone A after October 1, 2017, establish expectations and accountability for the Cost Assessment and Program Evaluation Director, to ensure that appropriate tradeoffs are made among life-cycle cost, schedule, performance, and procurement quantity. | Management Comments | 6 months 25 days |

CHAPTER 4

Joint Chiefs of Staff (cont'd)

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018
(Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|----------------|----------------------------------|
| 1 | The DoD OIG recommended that the Secretary of Defense, in coordination with the Chairman of the Joint Chiefs of Staff and the U.S. Cyber Command Commander, consider the planning models and their respective characteristics identified in the report and develop and implement a cyberspace planning model that will improve the integration of cyberspace operations into long-term planning at all combatant commands. | Resolution | 1 day |
| 2 | Recommendation is Classified. | Resolution | 1 day |
| 3 | Recommendation is Classified. | Resolution | 1 day |

Under Secretary of Defense for Acquisition and Sustainment

Report No. DODIG-2017-092, "Audit of the Defense Contract Audit Agency Field Detachment," June 14, 2017 (Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|--------------------------|----------------------|---------------------|----------------------------------|
| A.1.b | (U//FOUO) [REDACTED] | Management Comments | 9 months 17 days |
| (FOUO) | | | |

CHAPTER 4

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2018-092, “DoD Emergency Management Programs in the U.S. Africa Command,” March 28, 2018 (Full Report is Classified)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| 7.a | The DoD OIG recommended that the Deputy Assistant Secretary of Defense (Environment, Safety, and Occupation Health) update DoD Instruction 6055.17 to require DoD Components to complete risk assessments at all locations worldwide to determine whether locations require an emergency management program and report the results of the assessments to the responsible combatant command. The update should also include instructions for determining which DoD Components are responsible for completing the risk assessment and determining whether a location requires an emergency management program. | Management Comments | 3 days |
| 7.b | The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Environment, Safety, and Occupation Health issue interim guidance until the Instruction is updated that requires DoD Components to evaluate all locations worldwide to determine the need for an emergency management program. | Management Comments | 3 days |
| 7.c | The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Environment, Safety, and Occupation Health develop an assessment process to ensure that DoD Components are effectively and consistently applying and integrating the DoD Emergency Management Program. | Management Comments | 3 days |

*Under Secretary of Defense for Policy***Report No. DODIG-2016-002, “DoD Needs a Comprehensive Approach to Address Workplace Violence,” October 15, 2015**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| 1.a | The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Global Security review and analyze all of the Fort Hood and Defense Science Board recommendations and the concurrent internal reviews conducted by the Military Departments, combatant commands, and DoD Components to verify existing policies and procedures have addressed identified gaps and deficiencies; identify systemic issues not addressed; and identify opportunities for streamlining processes and eliminating redundancies. | Management Comments | 2 years 5 months 16 days |

CHAPTER 4

*Under Secretary of Defense for Policy (cont'd)***Report No. DODIG-2016-002 (cont'd)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| 1.b | The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Global Security review and analyze all of the Fort Hood and Defense Science Board recommendations and the concurrent internal reviews conducted by the Military Departments, combatant commands, and DoD Components to develop and execute an implementation plan to close existing gaps and deficiencies through cross-functional coordination with DoD Components; employ corrective action to remedy systemic issues identified; streamline processes and eliminate redundancies; and provide completion dates for any outstanding recommendations. | Management Comments | 2 years 5 months 16 days |

Report No. DODIG-2018-061, “Report of Investigation: Defense POW/MIA Accounting Agency Interactions with Family Members of Corporal Joseph Hargrove, U.S. Marine Corps” January 22, 2018

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| 2 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency should ensure all personnel working on cases have access to all relevant information and reports. | Management Comments | 2 months 9 days |
| 3 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency should implement a process to require employees to coordinate and share case information throughout the organization. | Management Comments | 2 months 9 days |
| 4 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency, in conjunction with service casualty officers, should implement a process to ensure questions and concerns from family members are adequately addressed and documented. | Management Comments | 2 months 9 days |
| 5 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency should clarify the Southeast Asia analysts’ relationships, reporting responsibilities, and job requirements. | Management Comments | 2 months 9 days |
| 6 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency should develop internal controls to ensure that recovery leaders complete all search and recovery reports within 9 working days after returning from the recovery mission, in accordance with the Defense Prisoner of War/Missing in Action Accounting Agency Laboratory Manual. | Management Comments | 2 months 9 days |

CHAPTER 4

*Under Secretary of Defense for Policy (cont'd)***Report No. DODIG-2018-061 (cont'd)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| 7 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency should develop a process to ensure all material evidence believed to be personal effects belonging to a missing Service member is analyzed in a timely manner and documented in appropriate reports. When appropriate, Defense Prisoner of War/Missing in Action Accounting Agency should proactively provide the information in these reports to the service casualty officer for dissemination to the family of the missing Service member. | Management Comments | 2 months 9 days |
| 8 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency should develop a policy for medical and dental records, which includes guidance for when to obtain those records for each unaccounted-for Service member or document the unavailability of those records. | Management Comments | 2 months 9 days |

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans" March 30, 2018
(Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------|----------------------------------|
| 3 | Recommendation is Classified. | Resolution | 1 day |

Under Secretary of Defense (Comptroller)

Report No. DODIG-2018-041, "The Defense Finance and Accounting Service Financial Reporting Process for Other Defense Organizations' General Funds," December 15, 2017

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| 1.a | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer manage the development of a universe of Other Defense Organizations' General Fund transactions. | Management Comments | 3 months 16 days |

CHAPTER 4

Under Secretary of Defense for Personnel and Readiness

Report No. DODIG-2014-060, “An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies,” April 14, 2014

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|----------------|----------------------------------|
| B.4 | The DoD OIG recommended that the Director, Defense Human Resources Activity, work with General Services Administration to add Excluded Parties List System/System for Award Management to the set of databases being accessed by the Automated Continuing Evaluation System. | Resolution | 3 years 11 months 17 days |

Report No. DODIG-2016-002, “DoD Needs a Comprehensive Approach to Address Workplace Violence,” October 15, 2015

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| 2.a | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, based on the results of Recommendation 1, revise current policies and procedures and integrate existing programs to develop a comprehensive DoD-wide approach to address prevention and response to workplace violence. | Management Comments | 9 months 4 days |
| 2.b | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and issue interim, comprehensive DoD-wide policy for the prevention and response to workplace violence until the publication of final policies and procedures. | Management Comments | 9 months 4 days |

Report No. DODIG-2018-079, “Followup Audit on DoD IG Report No. DODIG-2014-097, ‘Transfer of DoD Service Treatment Records to the Department of Veterans Affairs,’ July 31, 2014,” February 22, 2018

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| 1.a | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Defense Health Agency Director, develop a plan and timeline to ensure that the Military Departments implement the DD Form 3024 if it has not been implemented by December 31, 2017. | Management Comments | 1 month 9 days |

CHAPTER 4

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2018-079 (cont'd)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| 1.b | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Defense Health Agency Director, determine, once the DD Form is implemented, whether the Periodic Health Assessment and Individual Medical Readiness programs are adequate to satisfy the service members' service treatment record annual review requirement. | Management Comments | 1 month 9 days |
| 1.c | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Defense Health Agency Director, conduct periodic checks of Service Treatment Records transferred to the Department of Veterans Affairs in order to ensure compliance with the timeliness and completeness requirements in DoD Instruction 6040.45. The periodic checks should include service treatment records of separated personnel from every Military Department. | Management Comments | 1 month 9 days |

*Under Secretary of Defense for Intelligence***Report No. DODIG-2013-004, "Audit of Hotline Allegations Involving Defense Intelligence Mission Area," October 17, 2012 (Full Report is FOUO)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| 1 | The DoD OIG recommended that the Under Secretary of Defense for Intelligence determine where to align the Defense Intelligence Mission Area portfolio, formally establish the program management office, and execute the Defense Intelligence Mission Area in accordance with DoD policy. | Management Comments | 5 years 5 months 14 days |

CHAPTER 4

Under Secretary of Defense for Intelligence (cont'd)

Report No. DODIG-2018-097, “U.S. European Command Efforts to Integrate Cyberspace Operations into Contingency Plans” March 30, 2018
(Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------|----------------------------------|
| 2 | Recommendation is Classified. | Resolution | 1 day |

U.S. European Command

Report No. DODIG-2017-106, “Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident,” July 28, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| B.2.a | The DoD OIG recommended that the U.S. European Command Commander develop a plan of action to ensure Service explosive ordnance disposal custodial units and their base or installation commanders within U.S. European Command have access to the procedures for execution of Concept of Operations Plan 0300-14 responses. | Management Comments | 8 months 3 days |

CHAPTER 4

U.S. Central Command

Report No. DODIG-2017-122, “Combined Security Transition Command-Afghanistan Oversight of Ammunition Provided to Afghanistan National Defense and Security Forces,” September 22, 2017

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| 1.a | The DoD OIG recommended that the Combined Security Transition Command–Afghanistan Commanding General develop and document a long-term strategy for improving ministries' ammunition reporting that includes clearly defined roles and responsibilities for the personnel involved in providing oversight of ammunition, criteria to evaluate the ministries' compliance with ammunition commitment letter requirements, and procedures to review Ministry Inspectors General inspection results when assessing the accuracy of ammunition reports. | Management Comments | 6 months 9 days |
| 1.b | The DoD OIG recommended that the Combined Security Transition Command–Afghanistan Commanding General include in FY 1397 Commitment Letters consequences for ministries' noncompliance that would not impact operational readiness and that the Combined Security Transition Command–Afghanistan would be willing to enforce. | Management Comments | 6 months 9 days |

U.S. Special Operations Command

Report No. DODIG-2016-098, “Evaluation of Foreign Officer Involvement at the United States Special Operations Command,” June 15, 2016 (Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| A.2.b | The DoD OIG recommended that the U.S. Special Operations Command Commander ensure existing Annex B to the international agreements contain the level of detail and classification consistent with the foreign officer's actual mission requirement. | Management Comments | 1 year 9 months 16 days |
| A.2.e | The DoD OIG recommended that the U.S. Special Operations Command Commander seek appropriate authority for the foreign intelligence officers assigned or attached to U.S. Special Operations Command and follow established procedures for the collection and exchange of intelligence in accordance with DoD Directive 5530.0. | Resolution | 1 year 9 months 16 days |

CHAPTER 4

*U.S. Special Operations Command (cont'd)***Report No. DODIG-2016-098 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|----------------|----------------------------------|
| B.1.a | The DoD OIG recommended that the U.S. Special Operations Command Commander discontinue the practice of Five Eye partners providing escort within Sensitive Compartmented Information Facility spaces in order to comply with Intelligence Community Directive 705, "Sensitive Compartmented Information Facilities," and DoD Manual 5105.21-V2, "Sensitive Compartmented Information (SCI) Administrative Security Manual," October 19, 2012. | Resolution | 1 year 9 months 16 days |
| C.1 | (FOUO) [REDACTED] | Resolution | 1 year 9 months 16 days |
| D.2 | The DoD OIG recommended that the U.S. Special Operations Command Commander obtain automated information systems accreditations for the secure facilities that process sensitive compartmented information electronically. | Resolution | 1 year 9 months 16 days |
| (FOUO) | | | |

*U.S. Cyber Command***Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans" March 30, 2018 (Full Report is Classified)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|----------------|----------------------------------|
| 1 | The DoD OIG recommended that the Secretary of Defense, in coordination with the Chairman of the Joint Chiefs of Staff and the U.S. Cyber Command Commander, consider the planning models and their respective characteristics identified in the report and develop and implement a cyberspace planning model that will improve the integration of cyberspace operations into long-term planning at all combatant commands. | Resolution | 1 day |
| 3 | Recommendation is Classified. | Resolution | 1 day |

CHAPTER 4

DoD Office of the General Counsel

Report No. DODIG-2014-060, “An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies,” April 14, 2014

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| A.3 | The DoD OIG recommended that the DoD Office of General Counsel prepare an update to—or replacement—for DoD Directive 5220.6 to make it compliant with the requirements of DoD Instruction 5025.01 for accuracy and currency. | Management Comments | 3 years 11 months 17 days |

National Security Agency

Report No. DODIG-2014-060, “An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies,” April 14, 2014

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|----------------|----------------------------------|
| B.2.a | The DoD OIG recommended that the Directors of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, in the absence of an overarching Defense Central Index of Investigations (DCII) policy, evaluate titling and indexing in the DCII the Subjects of all non-criminal investigations conducted by all agency investigative elements. | Resolution | 3 years 11 months 17 days |

Report No. DODIG-2016-129, “The National Security Agency Should Take Additional Steps to Effectively Implement Its Privileged Access-Related Secure-the-Net Initiatives,” August 29, 2016 (Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|---------------------|----------------------------------|
| 2.a | Recommendation is Classified. | Management Comments | 1 year 7 months 2 days |
| 2.b | Recommendation is Classified. | Management Comments | 1 year 7 months 2 days |

CHAPTER 4

National Security Agency (cont'd)

Report No. DODIG-2018-043, "The National Security Agency Enterprise," December 19, 2017 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|------------------------|--|
| 1.a | (FOUO) [REDACTED] | Management Comments | 3 months 12 days |
| (FOUO) | | | |

Defense Intelligence Agency

Report No. DODIG-2016-098, "Evaluation of Foreign Officer Involvement at the United States Special Operations Command," June 15, 2016 (Full Report is Classified)

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|------------------------|--|
| B.2.c | The DoD OIG recommended that the Defense Intelligence Agency Director review the United States Special Operations Command's automated information systems accreditation. | Management Comments | 1 year 9 months 16 days |

Defense Logistics Agency

Report No. DODIG-2012-098, "Controls Governing the Procurement Automated Contract Evaluation System Need Improvement," June 5, 2012

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|----------------|--|
| A.1 | The DoD OIG recommended that the Defense Logistics Agency Director cease the current process of automated affixing of contracting officers' electronic signatures to fully automated awards in the Procurement Automated Contract Evaluation System and develop an alternate method compliant with Public Law 105-277, "Government Paperwork Elimination Act," October 21, 1998, Public Law 106-229, "Electronic Signatures in Global and National Commerce Act," June 30, 2000, and the Federal Acquisition Regulation. | Resolution | 5 years 9 months 26 days |

CHAPTER 4

*Defense Logistics Agency (cont'd)***Report No. DODIG-2017-098, "Defense Logistics Agency Compliance With the Berry Amendment and the Buy American Act," July 7, 2017**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| A.4.a | The DoD OIG recommended that the Defense Logistics Agency Finance Director initiate a preliminary review in accordance with DoD 7000.14-R, "DoD Financial Management Regulation," volume 14, chapter 3, to determine whether reportable violations of the Antideficiency Act occurred on contract SPE1C1-15-M-2729 and contract SPMYM2-15-C-0007. | Management Comments | 8 months 24 days |
| A.4.b | The DoD OIG recommended that the Defense Logistics Agency Finance Director complete the preliminary review as required by DoD 7000.14-R, "DoD Financial Management Regulation," volume 14, chapter 3, and provide results to the DoD Office of Inspector General. If violations of the Antideficiency Act occurred, determine which officials are responsible and recommend corrective actions. | Management Comments | 8 months 24 days |
| A.4.c | The DoD OIG recommended that the Defense Logistics Agency Finance Director provide to the DoD Office of Inspector General DoD policy concerning "retroactive" non-availability determinations. | Management Comments | 8 months 24 days |

*Defense Contract Audit Agency***Report No. DODIG-2017-092, "Audit of the Defense Contract Audit Agency Field Detachment," June 14, 2017 (Full Report is FOUO)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| B.2.b.3 | The DoD OIG recommended that the Defense Contract Audit Agency Field Detachment Regional Director reassess the use of regular telework schedules to ensure adequate personnel are available to audit classified and Special Action Program contracts. | Management Comments | 9 months 17 days |
| B.2.c | The DoD OIG recommended that the Defense Contract Audit Agency Field Detachment Regional Director acquire and use a classified automated information system for conducting classified audit assignments and reports. | Resolution | 9 months 17 days |

CHAPTER 4

*Defense Contract Audit Agency (cont'd)***Report No. DODIG-2018-028, “External Peer Review on the Defense Contract Audit Agency System Review Report,” November 17, 2017**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|----------------|----------------------------------|
| 4 | The DoD OIG recommended that the Defense Contract Audit Agency Director assess and improve the quality assurance procedures for assisting supervisors in their reviews of audits, to include ensuring that the auditor sufficiently documents the work, obtains sufficient evidence, and prepares reports that comply with Government Accountability Office, “Government Auditing Standards,” December 2011. The Director should consider requiring supervisors to complete a checklist addressing the key professional auditing standards. | Resolution | 4 months 14 days |

*Missile Defense Agency***Report No. DODIG-2018-094, “Logical and Physical Access Controls at Missile Defense Agency Contractor Locations,” March 29, 2018**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| 1 | The DoD OIG recommended that the Missile Defense Agency Director for Acquisition revise acquisition strategies for contract proposals involving ballistic missile defense system technical information to require contract offerors to submit a system security plan and associated plans of action that shows the condition of an offeror’s internal information system and network that will process, store, and transmit classified and unclassified ballistic missile defense system technical information. | Management Comments | 2 days |
| 2 | The DoD OIG recommended that the Missile Defense Agency Director for Acquisition establish a separate technical evaluation factor in the source selection process to evaluate whether an offeror’s approach to securing its networks and systems complied with Defense Federal Acquisition Regulation Supplement clause 252.204-7012. | Management Comments | 2 days |
| 3 | The DoD OIG recommended that the Missile Defense Agency Director for Acquisition conduct risk assessments prior to awarding contracts to evaluate the overall risk introduced by the condition of an offeror’s information system and network that will process, store, and transmit ballistic missile defense system technical information and perform periodic risk assessments throughout the life cycle of the contract. | Resolution | 2 days |

CHAPTER 4

*Missile Defense Agency (cont'd)***Report No. DODIG-2018-094 (cont'd)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| 4 | The DoD OIG recommended that the Missile Defense Agency Director for Acquisition include penalty clauses in awarded contracts to levy monetary sanctions on contractors that fail to implement physical and logical security controls for protecting classified and unclassified ballistic missile defense system technical information. | Resolution | 2 days |
| 5 | The DoD OIG recommended that the Missile Defense Agency Director for Acquisition provide oversight to ensure that contractors comply with National Institute of Standards and Technology requirements for protecting controlled unclassified information throughout the life cycle of the contract. | Resolution | 2 days |
| 6 | The DoD OIG recommended that the Missile Defense Agency Director for Acquisition take corrective actions against contractors that failed to meet National Institute of Standards and Technology and DoD requirements for protecting classified and unclassified ballistic missile defense system technical information. | Management Comments | 2 days |

*Defense Finance and Accounting Service***Report No. DODIG-2018-041, "The Defense Finance and Accounting Service Financial Reporting Process for Other Defense Organizations' General Funds," December 15, 2017**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|--|---------------------|----------------------------------|
| 2.a | The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director develop a process narrative and process map that describes the detailed processes for the Other Defense Organizations' General Fund compilation process. | Management Comments | 3 months 16 days |
| 2.b.1 | The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director develop adequate standard operating procedures that provide the steps necessary to compile the Other Defense Organizations' General Fund financial statements. | Management Comments | 3 months 16 days |

CHAPTER 4

*Defense Finance and Accounting Service (cont'd)***Report No. DODIG-2018-041 (cont'd)**

| Recommendation | Recommendation Text | Type of Action | Age of Recommendation on 3/31/18 |
|----------------|---|---------------------|----------------------------------|
| 2.b.2 | The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director develop adequate standard operating procedures that provide the steps necessary to reconcile the list of Other Defense Organizations' General Fund reporting entities and their sub-entities to the Defense Finance and Accounting Service Report 804E, "Entity Code Listing and Supplemental Line of Accounting," and Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer Financial Improvement and Audit Readiness Directorate listing. | Management Comments | 3 months 16 days |
| 2.b.3 | The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director develop adequate standard operating procedures that provide the steps necessary to prepare Other Defense Organizations' General Fund financial statements (combining and consolidating) that reflect the current Other Defense Organizations General Funds' reporting entities. | Management Comments | 3 months 16 days |
| 2.c | The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director develop a plan to reduce the number of journal vouchers needed to compile the Other Defense Organizations' General Fund financial statements. | Management Comments | 3 months 16 days |
| 2.d.3 | The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director revise Defense Finance and Accounting Service-Indianapolis Standard Operating Procedure 3057, "Journal Voucher Processing, Accountability, and Controls," May 29, 2015, to include adequate procedures to complete and sign checklists for journal voucher reviews. | Management Comments | 3 months 16 days |
| 2.d.4 | The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director revise Defense Finance and Accounting Service-Indianapolis Standard Operating Procedure 3057, "Journal Voucher Processing, Accountability, and Controls," May 29, 2015, to include adequate procedures that require preparers, reviewers, and approvers to sign journal vouchers in the proper sequence. | Management Comments | 3 months 16 days |
| 3.b | The DoD OIG recommended that the Director of Defense Finance and Accounting Service Enterprise Solutions and Standards prepare quarterly metric reports that include all the journal vouchers, including system-generated journal vouchers, made to compile the Other Defense Organizations General Funds' financial statements. | Management Comments | 3 months 16 days |
| 3.c | The DoD OIG recommended that the Director of Defense Finance and Accounting Service Enterprise Solutions and Standards monitor the status of the open recommendation and, when appropriate, expedite the implementation of the Department 97 Reconciliation and Reporting Tool and develop milestones for its implementation. | Management Comments | 3 months 16 days |

APPENDICES



APPENDIX A

All Open Recommendations as of March 31, 2018

This appendix provides a comprehensive table of all 1,558 open recommendations as of March 31, 2018.¹ The recommendations are listed according to responsible component. This appendix also includes a brief description of 141 classified open recommendations that are summarized in detail in Appendixes B, C, and D of this Compendium.

¹ For tracking purposes, recommendations made to multiple components are split into individual recommendations for each component. For example, a recommendation made to the Army, Navy, and Air Force would equate to three recommendations for tracking purposes.

APPENDIX A

Listing by Responsible Component

Secretary of Defense

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Secretary of Defense, in coordination with the Chairman of the Joint Chiefs of Staff and the U.S. Cyber Command Commander, consider the planning models and their respective characteristics identified in this report and develop and implement a cyberspace planning model that will improve the integration of cyberspace operations into long-term planning at all combatant commands. | 1 day |

APPENDIX A

Deputy Secretary of Defense

Report No. DODIG-2014-055, “Investigation of a Hotline Allegation of a Questionable Intelligence Activity Concerning the Joint IED Defeat Organization (JIEDDO) Counter-Improvised Explosive Device Operations/Intelligence Integration Center (COIC),” April 4, 2014
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------|--|----------------------------------|
| A.1; E.1 | The DoD OIG recommended that the Deputy Secretary of Defense determine whether the Joint Improvised Explosive Device Defeat Organization should be designated a DoD intelligence component, much like the intelligence elements of the Services and the senior intelligence offices of the combatant commands. If so designated, approve appropriate authorization for incorporation into DoD 5240.01-R and DoD Directive 2000.19E within 120 days. If not designated, direct the Joint Improvised Explosive Device Defeat Organization to cease all intelligence collection activities. | 3 years 11 months 27 days |
| A.2; D.1; E.2 | The DoD OIG recommended that the Deputy Secretary of Defense approve DoD Directive 2000.19E to reflect the Joint Improvised Explosive Device Defeat Organization Counter-Improvised Explosive Device Operations/Intelligence Integration Center’s authorized intelligence functions, roles, and responsibilities, and assign an executive agent for the Joint Improvised Explosive Device Defeat Organization’s external intelligence oversight. | 3 years 11 months 27 days |
| A.3 | The DoD OIG recommended that the Deputy Secretary of Defense direct the Joint Improvised Explosive Device Defeat Organization to participate only in tasks directly related to its counter-Improvised Explosive Device mission. | 3 years 11 months 27 days |
| A.4 | The DoD OIG recommended that the Deputy Secretary of Defense determine whether the Joint Improvised Explosive Device Defeat Organization’s mission evolution from “defeat the network” to “attack the network” is in accordance with DoD Directive 2000.19E. | 3 years 11 months 27 days |
| A.5; B.1; C.1; D.2 | The DoD OIG recommended that the Deputy Secretary of Defense create an internal Inspector General for the Joint Improvised Explosive Device Defeat Organization to oversee the organization's intelligence oversight program. | 3 years 11 months 27 days |

APPENDIX A

*Deputy Secretary of Defense (cont'd)***Report No. DODIG-2016-125, "Evaluation of the DoD Nuclear Enterprise Governance," September 19, 2016 (Full Report is Classified)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Deputy Secretary of Defense codify the Nuclear Deterrent Enterprise Review Group in Department of Defense Directive 5105.79, "DoD Senior Governance Councils." | 1 year 6 months 12 days |
| C.3 | The DoD OIG recommended that the Under Secretaries of Defense and the Chairman, Joint Chiefs of Staff, document and track nuclear enterprise deficiencies or recommendations identified in Federal Advisory Committee reports, Government Accountability reports, Department of Defense Office on Inspector General reports, or reports produced by other task forces. | 1 year 6 months 12 days |

Report No. DODIG-2017-111, "Evaluation of the European Reassurance Initiative (ERI)," August 22, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2 | The DoD OIG recommended that the Office of the Deputy Secretary of Defense develop options for changes to the European Reassurance Initiative budgeting cycle to better align with and support allied and partner-nation training and capacity-building activities. | 7 months 9 days |

Report No. DODIG-2018-076, "Chemical Demilitarization–Assembled Chemical Weapons Alternatives Program," February 22, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the Cost Assessment and Program Evaluation Director prepare an independent cost estimate for the Assembled Chemical Weapons Alternative program. | 1 month 9 days |

APPENDIX A

*Department of the Army***Report No. D-2006-077, "Human Capital: DoD Personnel Security Clearance Process at Requesting Activities," April 19, 2006**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3.a-f | The DoD OIG recommended that the Army Deputy Chief of Staff for Intelligence; the Director, Naval Criminal Investigative Service; the Air Force Director of Security Forces, Information Security; the Director, Defense Information Systems Agency; and the Director, Defense Logistics Agency update policies for the DoD personnel security clearance program to include the following areas: a) program management responsibilities; b) agencies responsible for conducting personnel security investigations (PSI) and investigative responsibilities; c) security clearance systems for tracking security clearance information; d) Personnel Security Investigation submission processes; e) the relationship among the levels of security clearances, types of PSIs required for different levels of clearance, and scopes of investigations to include documentation required for each PSI; and f) training requirements for security personnel. | 11 years 11 months 12 days |

Report No. D-2011-104, "Pricing and Escalation Issues Weaken the Effectiveness of the Army Contract With Sikorsky to Support the Corpus Christi Army Depot," September 8, 2011 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.b(7) | The DoD OIG recommended that the Commander, Army Aviation and Missile Life Cycle Management Command, request a voluntary refund of about \$5.4 million from Sikorsky for profits caused by excessive escalation from 2008 through 2010. | 6 years 6 months 23 days |
| 2.b(8) | The DoD OIG recommended that the Commander, Army Aviation and Missile Life Cycle Management Command, account for and request a refund from Sikorsky for excessive escalation for 2011. | 6 years 6 months 23 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2012-041, "Evaluation of DoD Contracts Regarding Combating Trafficking in Persons: U.S. European Command and U.S. Africa Command," January 17, 2012

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology should ensure that the Federal Acquisition Regulation clause 52.222-50, "Combating Trafficking in Persons," is included in all contracts identified as deficient in our review. | 6 years 2 months 14 days |

Report No. DODIG-2012-135, "Mi-17 Overhauls Had Significant Cost Overruns and Schedule Delays," September 27, 2012 (Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|----------------------------------|
| A.4.a | (FOUO) The DoD OIG recommended that the U.S. Army Contracting Command–Redstone Arsenal Contracting Officer responsible for task orders W9113M-07-D-0007-0021 and W9113M-07-D-0007-0035 make no payments on additional costs associated with [REDACTED] and [REDACTED]. [REDACTED] requests for equitable adjustment until all costs have been determined to be reasonable, allowable, and allocable, and the requisite analyses have been reviewed by the Head of the Contracting Activity in accordance with Recommendation A.3. | 5 years 6 months 4 days |
| (FOUO) | | |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2013-036, "Improvements Are Needed to Strengthen the Security Posture of USACE, Civil Works, Critical Infrastructure and Industrial Control Systems in the Northwestern Division," January 14, 2013 (Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|--|
| A.1.a | (FOUO) The DoD OIG recommended that the Commander and District Engineer, Portland District, in coordination with the Operations Project Manager for [REDACTED], implement physical security measures in accordance with the Headquarters, U.S. Army Corps of Engineers memorandum, "Baseline Security Posture Guide for Civil Works Projects," December 10, 2004, as follows: [REDACTED] [REDACTED] | 5 years 2 months 17 days |
| A.1.b | (FOUO) The DoD OIG recommended that the Commander and District Engineer, Portland District, in coordination with the Operations Project Manager for [REDACTED], implement physical security measures in accordance with the Headquarters, U.S. Army Corps of Engineers memorandum, "Baseline Security Posture Guide for Civil Works Projects," December 10, 2004, as follows: [REDACTED] [REDACTED] | 5 years 2 months 17 days |
| A.1.c | (FOUO) The DoD OIG recommended that the Commander and District Engineer, Portland District, in coordination with the Operations Project Manager for [REDACTED], implement physical security measures in accordance with the Headquarters, U.S. Army Corps of Engineers memorandum, "Baseline Security Posture Guide for Civil Works Projects," December 10, 2004, as follows: [REDACTED] [REDACTED] | 5 years 2 months 17 days |
| A.1.d | (FOUO) The DoD OIG recommended that the Commander and District Engineer, Portland District, in coordination with the Operations Project Manager for [REDACTED], implement physical security measures in accordance with the Headquarters, U.S. Army Corps of Engineers memorandum, "Baseline Security Posture Guide for Civil Works Projects," December 10, 2004, as follows: [REDACTED] [REDACTED] | 5 years 2 months 17 days |
| (FOUO) | | |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2013-036 (Full Report is FOUO) (cont'd)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|--|
| A.6.a(1) | (FOUO) The DoD OIG recommended that the Seattle District Commander and District Engineer, in coordination with the [REDACTED] Project Manager, implement physical security measures in accordance with the Headquarters, U.S. Army Corps of Engineers, memorandum, "Baseline Security Posture Guide for Civil Works Projects," December 10, 2004, to [REDACTED] | 5 years 2 months 17 days |
| A.6.a(2) | (FOUO) The DoD OIG recommended that the Seattle District Commander and District Engineer, in coordination with the [REDACTED] Project Manager, implement physical security measures in accordance with the Headquarters, U.S. Army Corps of Engineers, memorandum, "Baseline Security Posture Guide for Civil Works Projects," December 10, 2004, to [REDACTED] | 5 years 2 months 17 days |
| A.6.a(3) | (FOUO) The DoD OIG recommended that the Seattle District Commander and District Engineer, in coordination with the [REDACTED] Project Manager, implement physical security measures in accordance with the Headquarters, U.S. Army Corps of Engineers, memorandum, "Baseline Security Posture Guide for Civil Works Projects," December 10, 2004, to [REDACTED] | 5 years 2 months 17 days |
| B.2.a | (FOUO) The DoD OIG recommended that the Chief, Hydroelectric Design Center, in coordination with the Generic Data Acquisition Control System Maintenance Team, [REDACTED] | 5 years 2 months 17 days |
| B.3.a(5) | (FOUO) The DoD OIG recommended that the Seattle District Commander and District Engineer, in coordination with the [REDACTED] Project Manager, [REDACTED] | 5 years 2 months 17 days |
| (FOUO) | | |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2013-097, "Improvements Needed in the Oversight of the Medical-Support Services and Award-Fee Process Under the Camp As Sayliyah, Qatar, Base Operation Support Services Contract," June 26, 2013

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Commander, U.S. Army Medical Command, revise Army Regulation 40-68, "Clinical Quality Management," to align the regulation with supervision requirements set forth in Federal Acquisition Regulation 37.4. | 4 years 9 months 5 days |

Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June 28, 2013

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.b | The DoD OIG recommended that the Executive Director of the Army National Cemeteries Program complete the update of the "Army Post Cemeteries Way Ahead" in the Department of the Army's memorandum, dated September 11, 2012, addressing each area assessed in Observation 1, including: 1) consolidation of all manuals into one comprehensive regulation or pamphlet, and 2) an outline of practical guidance for Army leaders in the management, operations, maintenance, and support of Army Post Cemeteries. | 4 years 9 months 3 days |
| 3.b | The DoD OIG recommended that the Executive Director of the Army National Cemeteries Program, Assistant Secretary of the Navy for Manpower and Reserve Affairs, and Assistant Secretary of the Air Force for Manpower and Reserve Affairs, update current publications to reflect Under Secretary of Defense for Personnel and Readiness and Under Secretary of Defense for Acquisition, Logistics, and Technology guidance. | 4 years 9 months 3 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2013-103, "Boeing Overstated Contract Requirements for the CH-47F Helicopter," July 16, 2013 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2 | The DoD OIG recommended that the Commander, Army Aviation and Missile Life Cycle Management Command, determine a use for the existing CH-47F Government-furnished property stored at New Breed. | 4 years 8 months 15 days |

Report No. DODIG-2013-123, "Army Needs To Improve Mi-17 Overhaul Management and Contract Administration," August 30, 2013 (Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|----------------------------------|
| A.2.a | (FOUO) The DoD OIG recommended that the Executive Deputy to the Commanding General, U.S. Army Materiel Command, [REDACTED] | 4 years 7 months 1 day |
| C.1 | The DoD OIG recommended that the Executive Deputy to the Commanding General, U.S. Army Materiel Command, conduct an independent cost analysis in accordance with Federal Acquisition Regulation 15.401-1 to validate that a fair and reasonable price is determined for the 127 Mi-17 parts, storage space, and authenticity, and check and recoup any questionable costs with specific consideration to the \$1,306,957 in higher prices for 48 parts and storage expenses paid to Science and Engineering Service. | 4 years 7 months 1 day |
| (FOUO) | | |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2013-130, "Army Needs to Improve Controls and Audit Trails for the General Fund Enterprise Business System Acquire-to-Retire Business Process," September 13, 2013

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management; the Chief, U.S. Army Corps of Engineers; and the General Fund Enterprise Business System Program Manager to identify all Acquire-to-Retire functionality not in the General Fund Enterprise Business System necessary for complete Army real property management. | 4 years 6 months 18 days |
| A.2 | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management; the Chief, U.S. Army Corps of Engineers; and the General Fund Enterprise Business System Program Manager to develop and implement the identified functionality into the General Fund Enterprise Business System, including the capability to generate an Army-wide real property universe. | 4 years 6 months 18 days |
| A.6 | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management; the Chief, U.S. Army Corps of Engineers; and the General Fund Enterprise Business System Program Manager to perform a review of all real property data in the General Fund Enterprise Business System to ensure that the General Fund Enterprise Business System contains the correct data going forward and track the costs associated with this effort and other data cleansing efforts so they can be calculated as part of the cost of the General Fund Enterprise Business System implementation or as part of the Army's audit readiness efforts. | 4 years 6 months 18 days |
| A.7.a | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management; the Chief, U.S. Army Corps of Engineers; and the General Fund Enterprise Business System Program Manager to develop an integrated process within the General Fund Enterprise Business System to record construction costs from the Corps of Engineers Financial Management System in the General Fund Enterprise Business System, the Army's general ledger. | 4 years 6 months 18 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2013-130 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.7.b | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management; the Chief, U.S. Army Corps of Engineers; and the General Fund Enterprise Business System Program Manager to record in-house costs incurred in the construction of a real property asset to the corresponding project's construction-in-progress account. | 4 years 6 months 18 days |
| A.10 | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a working group, including the Assistant Chief of Staff for Installation Management; the Chief, U.S. Army Corps of Engineers; and the General Fund Enterprise Business System Program Manager to develop an automated functionality for demonstrating the general ledger account postings for each business event in the General Fund Enterprise Business System. | 4 years 6 months 18 days |
| B | The DoD OIG recommended that the Assistant Chief of Staff for Installation Management develop a working group, consisting of the Chief, U.S. Army Corps of Engineers and the General Fund Enterprise Business System Program Manager to perform a 100 percent review of land assets to ensure General Fund Enterprise Business System land information is correct and consistent with land data in the Real Estate Management Information System. | 4 years 6 months 18 days |

Report No. DODIG-2014-026, "Assessment of Arlington and Soldiers' and Airmen's Home National Cemeteries," December 20, 2013

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the Executive Director, Army National Military Cemeteries, develop and implement a single data entry process and system for record management at the Arlington National Cemetery that captures all the burial requirements needed from initial record creation intake through burial scheduling to final interment. | 4 years 3 months 11 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2014-037, (FOUO) “[REDACTED],”
 February 10, 2014 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2.f | The DoD OIG recommended that the Chief Information Officer, U.S. Army Corps of Engineers, develop time-phased plans of action and milestones to verify whether U.S. Army Corps of Engineers Commanders and District Engineers, operations project managers, operations managers, and information assurance managers assessed the applicability of the weaknesses, and implemented procedures to identify and remediate, systemic cyber security weaknesses by designing appropriate platforms for testing vulnerability patches. | 4 years 1 month 21 days |
| B.2.g | The DoD OIG recommended that the Chief Information Officer, U.S. Army Corps of Engineers, develop time-phased plans of action and milestones to verify whether U.S. Army Corps of Engineers Commanders and District Engineers, operations project managers, operations managers, and information assurance managers assessed the applicability of the weaknesses, and implemented procedures to identify and remediate, systemic cyber security weaknesses by installing security technology and software and managing communications to protect against malware and unauthorized access to the industrial control systems from internal or external sources. | 4 years 1 month 21 days |

APPENDIX A

Department of the Army (cont'd)

**Report No. DODIG-2014-066, "Logistics Modernization Program System Not Configured to Support Statement of Budgetary Resources,"
May 5, 2014**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Deputy Chief Financial Officer, DoD, approve the baseline configuration of the Logistics Modernization Program system Budget-to-Report business process based on Army certification that the Army has implemented the appropriate DoD United States Government Standard General Ledger Transaction Library transactions for recording budgetary accounts for the Army Working Capital Fund.* | 3 years 10 months 26 days |
| A.3.a | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in coordination with Army Materiel Command G-8, develop a plan of action and milestones to validate and certify that they have configured Logistics Modernization Program system functionality according to the DoD United States Government Standard General Ledger Transaction Library, applicable business events, and the DoD Standard Chart of Accounts for the Budget-to-Report business process. As part of the comprehensive business process reengineering effort, they should investigate the root causes for each manual adjustment and other workarounds related to the Budget-to-Report business process and develop the reengineering plan for implementing the system functionality to record the data correctly. The reengineering plan should also incorporate, to the extent possible, the functionality to integrate within the Logistics Modernization Program system the: 1) Debt Management Process and 2) Cash Management Process. | 3 years 10 months 26 days |

* Responsibility for implementing Recommendation A.1 was transferred from the OUSD(C) to the Army.

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2014-066 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.3.b | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in coordination with Army Materiel Command G-8, develop a plan of action and milestones to validate and certify that they have configured Logistics Modernization Program system functionality according to the DoD United States Government Standard General Ledger Transaction Library, applicable business events, and the DoD Standard Chart of Accounts for the Budget-to-Report business process. As part of the comprehensive business process reengineering effort, they should document and certify to the Deputy Chief Financial Officer the comprehensive review of Army Working Capital Fund business activities that baselines the DoD transaction codes and general ledger accounts needed for recording the Logistics Modernization Program Budget-to-Report business process. | 3 years 10 months 26 days |
| A.3.e | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in coordination with Army Materiel Command G-8, develop a plan of action and milestones to validate and certify that they have configured Logistics Modernization Program system functionality according to the DoD United States Government Standard General Ledger Transaction Library, applicable business events, and the DoD Standard Chart of Accounts for the Budget-to-Report business process. As part of the comprehensive business process reengineering effort, they should direct activities to conduct an immediate investigation of abnormal balances in unobligated accounts to determine whether a potential funding violation occurred. | 3 years 10 months 26 days |

APPENDIX A

Department of the Army (cont'd)

**Report No. DODIG-2014-073, “Northrop Grumman Improperly Charged Labor for the Counter Narco-terrorism Technology Program,”
May 13, 2014 (Full Report is FOUO)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|--|
| 2 | (FOUO) The DoD OIG recommended that the Executive Director, Army Contracting Command–Redstone Arsenal, review the eligibility of the [REDACTED] DynCorp International employees to determine if the employees met the labor qualifications specified in the contract and, if they did not, obtain a refund for improper labor charges or recoup from any currently owed payments due the contractor on contract W9113M-07-D-0007. Also, obtain résumés to verify that the 33 remaining employees meet the labor qualifications specified in the contract and, if they did not, obtain a refund for additional improper labor charges or recoup from any currently owed payments due the contractor on contract W9113M-07-D-0007. | 3 years 10 months 18 days |
| 3 | The DoD OIG recommended that the Executive Director, Army Contracting Command–Redstone Arsenal, conduct a review of the \$21.7 million in potentially excessive payments and recover improper payments or recoup from any currently owed payments due the contractor on contract W9113M-07-D-0007 that were not already recovered through Recommendation 2. | 3 years 10 months 18 days |
| 5 | The DoD OIG recommended that the Executive Director, Army Contracting Command–Redstone Arsenal, report improper contract W9113M-07-D-0007 payments to the Defense Finance and Accounting Service to ensure that improper payments are accurately reported. Also, notify the DoD Office of Inspector General of all identified improper payments. | 3 years 10 months 18 days |
| (FOUO) | | |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2014-081, "Army Integrated Air and Missile Defense Program Needs to Improve Software, Test, and Requirements Planning," June 9, 2014 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1 | The DoD OIG recommended that the Commander, Army Fires Center of Excellence, revise the draft capability production document for the Integrated Air and Missile Defense to define capability requirements for developing the initial capability of the system and to state that another capability production document will follow, which will define capability requirements for the Pre-Planned Product Improvements One and Two. | 3 years 9 months 22 days |
| B.2 | The DoD OIG recommended that the Commander, Army Fires Center of Excellence, prepare a follow-on capability production document that defines capability requirements for the Pre-Planned Product Improvements One and Two to support the planned management review for Pre-Planned Product Improvement One at the end of FY 2018. | 3 years 9 months 22 days |

Report No. DODIG-2014-090, "Improvements Needed in the General Fund Enterprise Business System Budget-to-Report Business Process," July 2, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) verify that the General Fund Enterprise Business System posting logic documentation is accurate and complete, and use it to validate General Fund Enterprise Business System general ledger account postings. | 3 years 8 months 29 days |
| 1.b | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) direct the General Fund Enterprise Business System Program Management Office to reconfigure the General Fund Enterprise Business System to properly record Budget-to-Report transactions, including implementing system controls to address items identified in this report. | 3 years 8 months 29 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2014-090 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.d | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) use the General Fund Enterprise Business System to execute all Army General Fund appropriations. | 3 years 8 months 29 days |

Report No. DODIG-2014-096, "Improvements Needed in Contract Administration of Mi-17 Cockpit Modification Task Order," July 28, 2014
(Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|----------------------------------|
| A | (FOUO) The DoD OIG recommended that the Director, Army Contracting Command–Redstone Non-Standard Rotary Wing Aircraft Directorate, require the contracting officer to modify the contract to remove the additional fee and recoup from the contractor the [REDACTED] unallowable fixed fee increase (which includes the [REDACTED] the Army Contracting Command-Redstone agreed to recoup) in accordance with Federal Acquisition Regulation subpart 16.306, "Cost-plus-fixed-fee contracts." | 3 years 8 months 3 days |
| B.1 | The DoD OIG recommended that the Director, Army Contracting Command–Redstone Non-Standard Rotary Wing Aircraft Directorate, take action to terminate the sixth cockpit modification, and as appropriate, negotiate a settlement with the contractor. | 3 years 8 months 3 days |
| (FOUO) | | |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2014-101, "Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight," August 13, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.b | The DoD OIG recommended that the Commander, Brooke Army Medical Center, review, research, and pursue collections on the remaining open delinquent medical service accounts. | 3 years 7 months 18 days |
| 1.g | The DoD OIG recommended that the Commander, Brooke Army Medical Center, provide U.S. Army Medical Command all the Medicaid-eligible claims denied by Texas Medicaid Health Partnership for missing the 95-day filing requirement to identify the value and impact of those claims to Brooke Army Medical Center. | 3 years 7 months 18 days |
| 1.h | The DoD OIG recommended that the Commander, Brooke Army Medical Center, provide U.S. Army Medical Command all the Medicaid-eligible claims processed and paid by Texas Medicaid Health Partnership to identify the reimbursed and disallowed amounts, the amounts the beneficiaries now are responsible to pay, and request direction on a course of action to eliminate the debt. | 3 years 7 months 18 days |

Report No. DODIG-2014-104, "Global Combat Support System-Army Did Not Comply With Treasury and DoD Financial Reporting Requirements," September 3, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a.i | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, in coordination with the DoD Deputy Chief Management Officer, comply with DoD Financial Management Regulation, volume 1, chapter 1, which requires his office to ensure system compliance with accounting policy, standards, and principles and internal control standards, and develop and implement processes to validate Global Combat Support System-Army by annually validating Global Combat Support System-Army's timely compliance with Treasury and DoD guidance for: 1) account attributes, 2) chart of accounts, and 3) posting logic.* | 3 years 6 months 28 days |

* Responsibility for implementing Recommendation 1.a.i was transferred from the OUSD(C) to the Army.

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2014-118, "Improvements Needed in Contract Award of Mi-17 Cockpit Modification Task Order," September 19, 2014
(Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Assistant Secretary of the Army for Acquisition, Logistics, and Technology perform a review of actions by Army Contracting Command-Redstone contracting officers and Non-Standard Rotary Wing Aircraft Program Management Office officials in noncompetitively awarding more than \$200 million in Mi-17 work to Science and Engineering Services under the Logistical Support Facility indefinite-delivery, indefinite-quantity contract, and, as appropriate, initiate corrective measures and actions to hold personnel accountable. | 3 years 6 months 12 days |
| B.2 | The DoD OIG recommended that the Director, Army Contracting Command-Redstone Non-Standard Rotary Wing Aircraft Directorate, take action to recoup payments for the sets of manuals that were not accepted or delivered. | 3 years 6 months 12 days |

Report No. DODIG-2014-121, "Military Housing Inspections – Japan," September 30, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A | The DoD OIG recommended that the respective Military Departments, as applicable: a) conduct an effective root cause analysis and corrective action for all 1,057 deficiencies in this report; b) ensure that these deficiencies do not exist in other housing units; c) ensure the inspection, maintenance, and repair program is in compliance with applicable codes and standards for fire protection systems, electrical systems, and environmental health and safety. | 3 years 6 months 1 day |
| B | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with requirements for fire protection systems. | 3 years 6 months 1 day |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2014-121 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| C | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with requirements for electrical systems. | 3 years 6 months 1 day |
| D.1 | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with environmental health and safety requirements. | 3 years 6 months 1 day |
| E | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that housing management systems and processes are fully implemented and followed for all installations. This includes improving processes for tracking service calls and work orders through completion, ensuring that inspections and maintenance are conducted as required, and analyzing historical work order information for trends and lessons learned to improve housing management programs. | 3 years 6 months 1 day |

Report No. DODIG-2014-124, "Army Needs to Improve the Reliability of the Spare Parts Forecasts It Submits to the Defense Logistics Agency,"
September 29, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Secretary of the Army direct the Deputy Chief of Staff G-4 (Logistics) to develop Army-wide policy and establish controls on monitoring and updating depot overhaul factors consistently. At a minimum, the policy should prioritize depot overhaul factor reviews for items with low demand plan accuracy or buyback rates as well as for projects that require high-volume, high-dollar parts. The policy should address the frequency and priority of the updates and consider more frequent reviews for specific items deemed high priority. | 3 years 6 months 2 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2014-124 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.a | The DoD OIG recommended that the Commander, Army Materiel Command, develop a plan of action with milestones to improve the accuracy of the spare parts forecasts that Army Life Cycle Management Commands provide to the Defense Logistics Agency. The plan should address the issues this report identified and include establishing procedures and controls that ensure Life Cycle Management Command personnel use the correct parts lists to identify the parts, supply sources, and quantities needed for future depot maintenance work. The plan should consider training, the addition of edit checks in the Logistics Modernization Program, the comparison of forecasts and depot orders, or a combination of these actions. In addition, the plan should consider requesting Aviation and Missile Life Cycle Management Command personnel to review planned Corpus Christi Army Depot maintenance projects and correct any forecasts that the Logistics Modernization Program developed using the wrong parts list. | 3 years 6 months 2 days |
| 2.b | The DoD OIG recommended that the Commander, Army Materiel Command, develop a plan of action with milestones to improve the accuracy of the spare parts forecasts that Army Life Cycle Management Commands provide to the Defense Logistics Agency. The plan should address the issues this report identified and include establishing procedures and controls that ensure depot personnel accurately code spare parts in the Army Logistics Modernization Program system to prevent the system from generating erroneous spare parts forecasts to the Defense Logistics Agency. In addition, the plan should involve requesting depot personnel to review existing spare parts coding in the Army Logistics Modernization Program system and cancel all related existing erroneous special program requirements for future maintenance projects submitted to the Defense Logistics Agency. | 3 years 6 months 2 days |

Report No. DODIG-2015-013, "Military Housing Inspections—Republic of Korea," October 28, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A | The DoD OIG recommended that the respective Military Departments, as applicable: a) conduct an effective root cause analysis and corrective action for all 646 deficiencies in this report; b) ensure that these deficiencies do not exist in other housing units; and c) ensure the inspection, maintenance, and repair program is in compliance with applicable codes and standards for fire protection systems, electrical systems, and environmental health and safety. | 3 years 5 months 3 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2015-013 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with requirements for fire protection systems. | 3 years 5 months 3 days |
| C | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with requirements for electrical systems. | 3 years 5 months 3 days |
| D.1 | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with environmental health and safety requirements. | 3 years 5 months 3 days |
| E | The DoD OIG recommended that the respective Military Departments, as applicable, ensure housing management policies are implemented and procedures are followed. | 3 years 5 months 3 days |

Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.b | The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate Recommendation 1.a. | 3 years 4 months 17 days |
| 2.b | The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate Recommendation 2.a. | 3 years 4 months 17 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2015-016 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 3.d | The DoD OIG recommended that the Chiefs of the Military Services update guidance to: 1) identify subject matter experts to provide Department of Defense Suicide Event Report tech support to address questions, and 2) adapt and implement the proposed standard operating procedure/guidelines for Department of Defense Suicide Event Report submission process to help Department of Defense Suicide Event Report submitters understand the various sources of information (for example, military law enforcement and medical) needed to submit a complete Department of Defense Suicide Event Report. | 3 years 4 months 17 days |
| 4.e | The DoD OIG recommended that the Chiefs of the Military Services update policies to integrate Department of Defense Suicide Event Report data collection and submission practices into their Service suicide prevention lessons learned processes. | 3 years 4 months 17 days |

Report No. DODIG-2015-066, "U.S. Military Academy, West Point, Controls Over Gift Funds Need Improvements," January 14, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.3 | The DoD OIG recommended that the Director, Center of Military History, require Museum personnel to use the appraised value field in the Army Museum Information System to record acquisition costs or appraisal values of nonmonetary gifts received and artifacts purchased. | 3 years 2 months 17 days |
| B.3 | The DoD OIG recommended that the Deputy Chief of Staff, G-1, coordinate with the Assistant Secretary of the Army (Financial Management and Comptroller) to update Army Regulation 210-3, Nonstandard Activities of the United States Military Academy and West Point Military Operations to reflect the DoD Financial Management Regulation and Assistant Secretary of the Army (Financial Management and Comptroller) requirements related to the management and disbursement of gift funds. | 3 years 2 months 17 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2015-128, "Army Needs to Improve Processes Over Government-Furnished Material Inventory Actions," May 21, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) create a subaccount within the Logistics Modernization Program system to track receipt, acceptance, and consumption of Government-furnished material within an "Inventory, Work-in-Process" account. | 2 years 10 months 10 days |
| 2 | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) expedite efforts to transition to the consumption method of accounting for Government-furnished material, unless it can document a strong business case for using the purchase method to recognize operating materials and supplies expenses. In developing this business case, consider the capitalization effect of Government-furnished material items included for upgrades, modifications, or assembly of end items, to include general equipment. | 2 years 10 months 10 days |
| 3 | The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-4, and the Assistant Secretary of the Army (Financial Management and Comptroller), in collaboration with the Commander, Army Materiel Command, develop a business process and the Logistics Modernization Program posting logic to identify and track Army Working Capital Fund inventory provided to contractors as Government-furnished material within the Logistics Modernization Program system. Specifically, the Army should use the Logistics Modernization Program system to report Army Working Capital Fund inventory provided to contractors as Government-furnished material to meet requirements in the DoD Manual 4140.01, volume 9, and DoD 7000.14-R, DoD Financial Management Regulation, volume 4, chapter 4. | 2 years 10 months 10 days |
| 5 | The DoD OIG recommended that the Commander, Army Materiel Command, in coordination with each Life Cycle Management Command, validate data in the Logistics Modernization Program Management Control Activity table and perform reasonableness checks quarterly. | 2 years 10 months 10 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2015-143, "Patriot Express Program Could Be More Cost-Effective for Overseas Permanent Change of Station and Temporary Duty Travels," July 6, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.b | The DoD OIG recommended that the Chief of Staff, Army G-4, implement controls in the Defense Travel System to automatically route all travel orders for travel outside of the continental United States to transportation office personnel to check Patriot Express availability before booking commercial transportation. | 2 years 8 months 25 days |

Report DODIG-2015-148, "Rights of Conscience Protections for Armed Forces Service Members and Their Chaplains," July 22, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 6 | The DoD OIG recommended that the Army Deputy Chief of Staff for Personnel ensure that programs of Instruction for commissioned and noncommissioned officers include the updated guidance regarding religious accommodations contained in Department of Defense Instruction 1300.17. | 2 years 8 months 9 days |

Report No. DODIG-2015-160, "U.S. Army Generally Designed Adequate Controls to Monitor Contractor Performance at the King Abdullah II Special Operations Training Center, but Additional Controls Are Needed," August 7, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Commanding General, U.S. Army Central Command, should require U.S. Government subject matter experts to perform regular electrical service inspections to ensure the facilities are maintained and operating according to applicable standards at the King Abdullah II Special Operations Training Center. | 2 years 7 months 24 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2015-162, "Continental United States Military Housing Inspections–National Capital Region," August 13, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.2 | The DoD OIG recommended that the Commander, U.S. Army Garrison Fort Belvoir, create and execute a plan for performing ongoing inspection and maintenance of all housing units to attain compliance with applicable electrical codes and standards. | 2 years 7 months 18 days |
| A.3 | The DoD OIG recommended that the Commander, U.S. Army Garrison Fort Belvoir, work with the private housing partner to ensure that an electrical inspection and maintenance plan is achieved. | 2 years 7 months 18 days |
| B.1 | The DoD OIG recommended that the Commander, U.S. Army Garrison Fort Belvoir, conduct an effective root cause analysis and implement a corrective action plan for all fire protection deficiencies identified in this report. | 2 years 7 months 18 days |
| B.2 | The DoD OIG recommended that the Commander, U.S. Army Garrison Fort Belvoir, create and execute a plan for performing ongoing inspection and maintenance of all housing units to attain compliance with applicable fire protection codes and standards. | 2 years 7 months 18 days |
| B.3 | The DoD OIG recommended that the Commander, U.S. Army Garrison Fort Belvoir, work with the private housing partner to ensure that a fire protection inspection and maintenance plan is achieved. | 2 years 7 months 18 days |
| C.1 | The DoD OIG recommended that the Commander, U.S. Army Garrison Fort Belvoir, conduct an effective root cause analysis and implement a corrective action plan for all environmental health and safety deficiencies identified in this report. | 2 years 7 months 18 days |
| C.2 | The DoD OIG recommended that the Commander, U.S. Army Garrison Fort Belvoir, improve heating, ventilation, and air conditioning maintenance in its barracks. | 2 years 7 months 18 days |
| C.3 | The DoD OIG recommended that the Commander, U.S. Army Garrison Fort Belvoir, work with the private housing partner to abate all defective lead based paint in accordance with its Operation and Maintenance Plan. | 2 years 7 months 18 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2015-181, "Continental United States Military Housing Inspections – Southeast," September 24, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| G.1 | The DoD OIG recommended that the Fort Gordon Commander conduct an effective root cause analysis and perform corrective actions for all fire protection deficiencies identified. | 2 years 6 months 7 days |
| G.2 | The DoD OIG recommended that the Fort Gordon Commander verify or create a plan for the performance of ongoing inspection and maintenance of all housing units to applicable fire protection codes and standards. | 2 years 6 months 7 days |
| G.3 | The DoD OIG recommended that the Fort Gordon Commander work with the privatized housing partner to ensure that fire protection inspection and maintenance plans are achieved. | 2 years 6 months 7 days |
| G.5 | The DoD OIG recommended that the Fort Gordon Commander provide training to installation personnel occupying unaccompanied housing units regarding the importance of proper fire door operation to maintain structural fire resistance between laundry, kitchen, and common areas of dormitory buildings. | 2 years 6 months 7 days |
| G.6 | The DoD OIG recommended that the Fort Gordon Commander work with the privatized housing partner to ensure that smoke alarms are properly installed and maintained in all Fort Gordon family housing units. | 2 years 6 months 7 days |
| H.1 | The DoD OIG recommended that the Fort Gordon Commander conduct an effective root cause analysis and perform corrective actions for all electrical deficiencies identified. | 2 years 6 months 7 days |
| H.2 | The DoD OIG recommended that the Fort Gordon Commander verify or create a plan for the performance of ongoing inspection and maintenance of all housing units to applicable electrical codes and standards. | 2 years 6 months 7 days |
| H.3 | The DoD OIG recommended that the Fort Gordon Commander work with the privatized housing partner to ensure that electrical inspection and maintenance plans are achieved. | 2 years 6 months 7 days |
| I.1 | The DoD OIG recommended that the Assistant Secretary of the Army for Installations, Energy, and Environment review and update its policy to ensure that Army publications properly and consistently address radon assessment and mitigation requirements. | 2 years 6 months 7 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2015-181 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| I.2.a | The DoD OIG recommended that the Fort Gordon Commander conduct an effective root cause analysis and perform corrective actions for all environmental health and safety deficiencies identified. | 2 years 6 months 7 days |
| I.2.b | The DoD OIG recommended that the Fort Gordon Commander improve heating, ventilation, and air conditioning maintenance in unaccompanied housing facilities. | 2 years 6 months 7 days |
| I.2.c | The DoD OIG recommended that the Fort Gordon Commander, in accordance with the U.S. Army Corps of Engineers, "One-Stop Assessment of Buildings 25000–28000 Stairwells; Fort Gordon, Augusta, Georgia," January 2015, implement corrective actions as necessary, to ensure the structural integrity of the volunteer Army barracks buildings. | 2 years 6 months 7 days |
| I.2.d | The DoD OIG recommended that the Fort Gordon Commander establish a radon assessment and mitigation program in accordance with updated Department of the Army guidance and ensure that buildings previously identified to have elevated radon levels are retested and mitigated as necessary. | 2 years 6 months 7 days |

Report No. DODIG-2016-004, "Army Needs to Improve Contract Oversight for the Logistics Civil Augmentation Program's Task Orders,"
October 28, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Commander, 414th Contracting Support Brigade, Principal Assistant Responsible for Contracting, should develop procedures that require administrative contracting officers to verify that contracting officer's representatives with adequate experience are identified before the contract work begins and require them to take contracting officer's representatives training before leaving for deployment or obtain training waivers in accordance with DoD guidance. | 2 years 5 months 3 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2016-004 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.b | The DoD OIG recommended that the Commander, 414th Contracting Support Brigade, Principal Assistant Responsible for Contracting, should develop procedures that require administrative contracting officers to provide pertinent documents to the contracting officer's representatives prior to appointment so that they have adequate guidance to perform their duties. | 2 years 5 months 3 days |
| 1.c | The DoD OIG recommended that the Commander, 414th Contracting Support Brigade, Principal Assistant Responsible for Contracting, should develop procedures that outline alternate contractor surveillance methods, in accordance with DoD policy, if the contracting officer's representative is unable to perform contractor surveillance until they are on site. | 2 years 5 months 3 days |
| 2 | The DoD OIG recommended that the Executive Director and Principal Assistant Responsible for Contracting for the Army Contracting Command–Rock Island should issue guidance that requires all procurement contracting officers to create a Quality Assurance Surveillance Plan specific to each task order issued under the Logistics Civil Augmentation Program. | 2 years 5 months 3 days |

Report No. DODIG-2016-026, "Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions," November 24, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Commander, U.S. Cyber Command, and the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps develop a doctrine, organization, training, materiel, leadership and education, personnel, facilities, and policy framework that address strategies to build, grow, and sustain the Cyber Mission Force. | 2 years 4 months 7 days |
| 2 | The DoD OIG recommended that the Commander, U.S. Cyber Command, and the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps formalize an agreement to focus capability development on functional and mission areas consistent with results of the mission alignment board. | 2 years 4 months 7 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2016-058, "Army Warfighter Information Network–Tactical Increment 2 Procurement Quantity Not Supported for Future Army Forces," March 1, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Program Executive Officer, Command, Control, Communications-Tactical use an approved Army structure memorandum in the procurement quantity calculation for Warfighter Information Network-Tactical Increment 2 and estimate the necessary Army units beyond the approved Army structure memorandum to cover the Warfighter Information Network-Tactical Increment 2 production and fielding period through FY 2029. | 2 years 30 days |
| 1.b | The DoD OIG recommended that the Program Executive Officer, Command, Control, Communications-Tactical update acquisition documentation to align with changes to the requirements documents for the Warfighter Information Network-Tactical Increment 2 as a result of refining the Mission Command portfolio. | 2 years 30 days |
| 3.a | The DoD OIG recommended that the Commanding General, U.S. Army Training and Doctrine Command, upon completion of the Mission Command Network Refinement update the basis of issue plans for the Warfighter Information Network-Tactical Increment 2 and submit them to the Organizational Requirements Document Approval Board for review and approval. | 2 years 30 days |
| 3.b | The DoD OIG recommended that the Commanding General, U.S. Army Training and Doctrine Command, upon completion of the Mission Command Network Refinement update all Warfighter Information Network-Tactical Increment 2 requirements documentation with any changes to quantity and cost for the production and deployment phase. | 2 years 30 days |
| 3.c | The DoD OIG recommended that the Commanding General, U.S. Army Training and Doctrine Command, upon completion of the Mission Command Network Refinement submit the updated capability production document for the Warfighter Information Network-Tactical Increment 2 to the Army Capabilities Integration Center for validation and approval. | 2 years 30 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2016-058 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 4.a | The DoD OIG recommended that the Deputy Commanding General, Futures/Director, Army Capabilities Integration Center conduct analysis required by U.S. Army Training and Doctrine Command Regulation 71-20 to validate the Warfighter Information Network-Tactical Increment 2 capability production document includes the approved force structure quantities, associated costs, and the results from the Mission Command Network Refinement. | 2 years 30 days |
| 4.b | The DoD OIG recommended that the Deputy Commanding General, Futures/Director, Army Capabilities Integration Center submit the updated and validated capability production document to the Army Requirements Oversight Council for validation. | 2 years 30 days |
| 5.a | The DoD OIG recommended that the Vice Chief of Staff, Army, Chair, Army Requirements Oversight Council complete the Mission Command Network Refinement to determine an affordable and sustainable Mission Command portfolio, including life cycle affordability analysis and goals and caps for in the Warfighter Information Network-Tactical Increment 2. | 2 years 30 days |
| 5.b | The DoD OIG recommended that the Vice Chief of Staff, Army, Chair, Army Requirements Oversight Council, conduct analysis required by U.S. Army Training and Doctrine Command Regulation 71-20 to validate the Warfighter Information Network-Tactical Increment 2 capability production document includes the approved force structure quantities and associated costs. | 2 years 30 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2016-072, "DoD Needs to Improve Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations," April 1, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force, and the Provost Marshal General, U.S. Army issue or update guidance specifying the queries required to access the National Crime Information Center and the Interstate Identification Index files and conduct background checks in accordance with service regulations. | 1 year 11 months 30 days |
| 1.b | The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force, and the Provost Marshal General, U.S. Army issue or update guidance that specifically addresses general public housing tenants' access badge expiration dates. | 1 year 11 months 30 days |
| 2.a | The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force; U.S. Army Assistant Chief of Staff for Installation Management; and Commander, Navy Installations Command conduct a review of all general public tenants leasing privatized housing to ensure those tenants received the complete and adequate background check in accordance with service regulations. | 1 year 11 months 30 days |
| 2.b | The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force; U.S. Army Assistant Chief of Staff for Installation Management; and Commander, Navy Installations Command, instruct security officials to conduct a badge review for general public tenants living inside the installations and re-issue badges as needed to ensure the badge expiration date aligns with the end of the tenants' lease terms. | 1 year 11 months 30 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2016-074, "Army Contracting Officials Could Have Purchased Husky Mounted Detection System Spare Parts at Lower Prices," March 31, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the Executive Director, Army Contracting Command–Aberdeen Proving Ground, determine and document whether it is appropriate to request a \$27 million voluntary refund from NIITEK, Inc. for sole-source Husky Mounted Detection System spare parts, in accordance with Defense Federal Acquisition Regulation Supplement Subpart 242.71, "Voluntary Refunds." | 2 years |

Report No. DODIG-2016-079, "Delinquent Medical Service Accounts at Landstuhl Regional Medical Center Need Additional Management Oversight," April 28, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Commander, Regional Health Command–Europe, review, research, and pursue collection on the delinquent medical service accounts that remain open. | 1 year 11 months 3 days |
| 2.b | The DoD OIG recommended that the Commander, U.S. Army Medical Command, take action to collect the 619 medical service accounts elevated by Regional Health Command Europe to the U.S. Army Medical Command in 2008 or coordinate with the Assistant Secretary of Defense (Health Affairs) to determine what action is needed to write off the debt. | 1 year 11 months 3 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2016-080, "Army's Management of Gray Eagle Spare Parts Needs Improvement," April 29, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a | The DoD OIG recommended that the Project Manager, Unmanned Aircraft System, complete the actions necessary to include the Gray Eagle spare parts in an Army Accountable Property System of Record. | 1 year 11 months 2 days |
| A.1.b.i | The DoD OIG recommended that the Project Manager, Unmanned Aircraft System, require that Product Manager-Medium Altitude Endurance review the obsolete spare parts and initiate disposal of any unneeded obsolete spare parts. | 1 year 11 months 2 days |
| A.1.b.ii | The DoD OIG recommended that the Project Manager, Unmanned Aircraft System, require that Product Manager-Medium Altitude Endurance use inventory at DoD-fielded locations before purchasing additional spare parts from General Atomics on the performance-based logistics contract and production contracts and then conduct a cost-benefit analysis to determine whether it should dispose of the excess spare parts or keep the excess spare parts for future use and take the appropriate action. | 1 year 11 months 2 days |
| A.1.b.iii | The DoD OIG recommended that the Project Manager, Unmanned Aircraft System, require that Product Manager-Medium Altitude Endurance review the contract terms and determine if the contract should be modified to require General Atomics to include inventory located at DoD-fielded locations when forecasting spare parts for the performance-based logistics contract and review General Atomics' forecasting process to verify spare parts are being forecasted based on the actual parts used. | 1 year 11 months 2 days |
| A.1.b.iv | The DoD OIG recommended that the Project Manager, Unmanned Aircraft System, require that Product Manager-Medium Altitude Endurance use existing Defense Logistics Agency inventory, when possible, before purchasing the spare parts from General Atomics. | 1 year 11 months 2 days |
| B.2 | The DoD OIG recommended that the Project Manager, Unmanned Aircraft System, verify that the Catalog, Order, and Logistics Tracking System include the Army's actual unit cost of the spare parts purchased. | 1 year 11 months 2 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2016-084, "Evaluation of DoD Ammunition Data Cards," April 29, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Joint Munitions Command document ammunition data card creation and verification procedures to ensure standardization of the ammunition data card process is in accordance with MIL-STD-1168, the Ammunition-Data Repository Program User Manual, and contracts. Ensure onsite Government representatives develop methods to verify that the ammunition data cards are accurate, complete, and contractually compliant. | 1 year 11 months 2 days |
| 1.b | The DoD OIG recommended that the Joint Munitions Command document ammunition data card creation and verification procedures to ensure standardization of the ammunition data card process is in accordance with MIL-STD-1168, the Ammunition-Data Repository Program User Manual, and contracts. Create instructions for ammunition data card review that include the specific information fields to verify on the ammunition data cards and what material pedigree data that ammunition data card information is verified against. | 1 year 11 months 2 days |
| 2 | The DoD OIG recommended that the Joint Munitions Command document periodic reviews of ammunition data cards for evidence of review as required by Joint Munitions Command's QA-OP-PQM-12 Operating Procedure. | 1 year 11 months 2 days |
| 3 | The DoD OIG recommended that the Joint Munitions Command ensure that MIL-STD-1168 B and C requirements are incorporated into current open and future contracts, the Quality Assurance Letter of Instruction, and Letters of Delegation. | 1 year 11 months 2 days |
| 4 | The DoD OIG recommended that the Joint Munitions Command train Joint Munitions Command onsite Government representatives to improve oversight of the ammunition data card approval process. Train Joint Munitions Command onsite Government representatives on MIL-STD-1168C requirements. | 1 year 11 months 2 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2016-108, "Army Needs Greater Emphasis on Inventory Valuation," July 12, 2016**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Commander, U.S. Army Materiel Command establish policies and procedures focused on computing inventory valuation at moving average cost, including monitoring moving average cost values for National Item Identification Numbers at plants and making supported corrections of moving average cost values. | 1 year 8 months 19 days |
| 1.b | The DoD OIG recommended that the Commander, U.S. Army Materiel Command, review and correct moving average cost valuation for all Inventory, Available and Purchased for Resale. | 1 year 8 months 19 days |
| 1.c | The DoD OIG recommended that the Commander, U.S. Army Materiel Command, implement procedures to properly maintain historical cost when transferring inventory between plants, and properly capture and record all appropriate purchase, transportation, and production costs to bring the inventory items to their current condition and location. | 1 year 8 months 19 days |
| 1.d | The DoD OIG recommended that the Commander, U.S. Army Materiel Command, expedite the development and implementation of procedures limiting the use of "Receipt Without Purchase Order" and the reversing "Reverse Receipt Without Purchase Order" inventory movement type transactions and develop a comprehensive goods receipt and acceptance process involving the Logistics Modernization Program system to ensure that historical cost information is not lost for proper valuation of inventory. | 1 year 8 months 19 days |
| 1.e | The DoD OIG recommended that the Commander, U.S. Army Materiel Command, implement continuous training for Army logistics and inventory management personnel involved with inventory movements that affect inventory valuation at moving average cost in the Logistics Modernization Program system. The training should cover how to properly record inventory receipt, acceptance, transfer, and sale transactions within the system to ensure that the historical costs of the inventory are properly captured for inventory valuation. | 1 year 8 months 19 days |
| 1.f | The DoD OIG recommended that the Commander, U.S. Army Materiel Command, develop procedures that post reconciling transactions to the same general ledger accounts as the original transactions. | 1 year 8 months 19 days |
| 1.g | The DoD OIG recommended that the Commander, U.S. Army Materiel Command, restrict access to the transaction codes related to the end-of-day reconciliation process to only those with authority and training. | 1 year 8 months 19 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2016-108 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.h | The DoD OIG recommended that the Commander, U.S. Army Materiel Command, direct the Logistics Modernization Program Product Office to implement standard tolerance levels and other validation controls for processing inventory transactions. | 1 year 8 months 19 days |
| 1.i | The DoD OIG recommended that the Commander, U.S. Army Materiel Command, establish Non-Army Managed Items unit of measure elements properly in the Logistics Modernization Program system. Review the Logistics Modernization Program system Material Master data to identify all items with incorrect unit of measure elements and develop a strategy to correct them. | 1 year 8 months 19 days |
| 1.j | The DoD OIG recommended that the Commander, U.S. Army Materiel Command, implement controls, including management oversight to review and approve all Material Master file data changes prior to implementing them within the Logistics Modernization Program system, and issue guidance on how personnel should properly establish and maintain unit of measure elements in the Logistics Modernization Program system. | 1 year 8 months 19 days |
| 2 | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in collaboration with Commander, U.S. Army Materiel Command, develop a comprehensive strategic plan to overcome material deficiencies with the Army Working Capital Fund's inventory, including moving average cost value accuracy. As part of the plan, coordinate and integrate the efforts already in place to address known problems with valuing inventory at moving average cost, ensuring that the Logistics Modernization Plan system is fully compliant with Statement of Federal Financial Accounting Standards No. 3, and make needed system and business process changes before making an unreserved assertion that the Army Working Capital Fund inventory line item is presented fairly in accordance with U.S. generally accepted accounting principles. | 1 year 8 months 19 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2016-112, "Army Officials Did Not Consistently Comply With Requirements for Assessing Contractor Performance,"
July 25, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the Commander, United States Army Corps of Engineers, Engineering Support Center–Huntsville; and Executive Directors, Army Contracting Command–Aberdeen Proving Ground, Army Contracting Command–Redstone Arsenal, and Army Contracting Command–Warren, develop and implement organization-wide procedures that identify specific timeframes and steps for Contractor Performance Assessment Reporting System officials to perform to ensure they prepare performance assessment reports within the 120-day requirement in the Under Secretary of Defense for Acquisition, Technology, and Logistics memorandum and include the 60-day contractor comment period. | 1 year 8 months 6 days |
| 3.a-b | The DoD OIG recommended that the Principal Assistant Responsible for Contracting, National Guard Bureau; Commander, United States Army Corps of Engineers, Engineering Support Center–Huntsville; and Executive Directors, Army Contracting Command–Aberdeen Proving Ground, Army Contracting Command–Redstone Arsenal, and Army Contracting Command–Warren, develop and implement procedures that require assessors and contracting officers' representatives responsible for preparing performance assessment reports to take: a) training on the rating and evaluation factor definitions, as outlined in the Federal Acquisition Regulation and Contractor Performance Assessment Reporting System Guide; and b) initial and periodic refresher Contractor Performance Assessment Reporting System Quality and Narrative Writing Training. | 1 year 8 months 6 days |
| 4 | The DoD OIG recommended that the Principal Assistant Responsible for Contracting, National Guard Bureau; Commander, United States Army Corps of Engineers, Engineering Support Center–Huntsville; and Executive Directors, Army Contracting Command–Aberdeen Proving Ground and Army Contracting Command–Redstone Arsenal, develop and implement organization-wide procedures for performing reviews of performance assessment reports and monitor reviews of the performance assessment reports to verify compliance with the Federal Acquisition Regulation. | 1 year 8 months 6 days |
| 5 | The DoD OIG recommended that the Executive Director, Army Contracting Command–Warren, update and improve procedures for performing reviews of performance assessment reports to ensure compliance with the Federal Acquisition Regulation and identify when focal points should perform the reviews. | 1 year 8 months 6 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2016-112 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 6 | The DoD OIG recommended that the Commander, United States Army Corps of Engineers, Engineering Support Center–Huntsville; and Executive Director, Army Contracting Command–Redstone Arsenal, develop and implement organization-wide procedures for registering contracts in the Contractor Performance Assessment Reporting System. | 1 year 8 months 6 days |
| 7 | The DoD OIG recommended that the Principal Assistant Responsible for Contracting, National Guard Bureau; Commander, United States Army Corps of Engineers, Engineering Support Center–Huntsville; and Executive Director, Army Contracting Command–Redstone Arsenal, ensure assessors complete the performance assessment reports for the 21 contracts. | 1 year 8 months 6 days |

Report No. DODIG-2016-113, “Army General Fund Adjustments Not Adequately Documented or Supported,” July 26, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management & Comptroller) periodically review a sample of system-generated journal voucher adjustments, at a minimum annually, to understand the reasons the adjustments are occurring and verify the support provided. | 1 year 8 months 5 days |

APPENDIX A

Department of the Army (cont'd)

**Report No. DODIG-2016-114, "Actions Needed to Improve Reporting of Marine Corps, Navy, and Air Force Operating Materials and Supplies,"
July 26, 2016**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.a | The DoD OIG recommended that the Commander, Army Joint Munitions Command, as Chairman of the Joint Ordnance Commanders Group, develop and issue policies and procedures to require the Army and other Services to perform complete, quarterly reconciliations of Ownership/Purpose Codes, Routing Identifier Codes, National Item Identification Numbers, DoD Activity Address Codes, Unit Identification Codes, Condition Codes, and Quantities for all Army-held, other Services-owned Operating Materials and Supplies-Ammunition records (both wholesale and retail) and resolve differences to improve the accuracy of financial reporting. | 1 year 8 months 5 days |
| A.1.b | The DoD OIG recommended that the Commander, Army Joint Munitions Command, as Chairman of the Joint Ordnance Commanders Group, develop and issue policies and procedures to require the Army and other Services to provide transaction-level data for all transactions related to Army-held, other Services-owned Operating Materials and Supplies-Ammunition. | 1 year 8 months 5 days |
| A.1.c | The DoD OIG recommended that the Commander, Army Joint Munitions Command, as Chairman of the Joint Ordnance Commanders Group, develop and issue policies and procedures to require the Army and other Services to provide assurance that all National Item Identification Numbers, manufacturers part numbers, and Commercial and Government Entity Codes are accurately and completely cataloged in the Federal Logistics Information System to minimize differences between the Army's and other Services records. | 1 year 8 months 5 days |
| A.1.d | The DoD OIG recommended that the Commander, Army Joint Munitions Command, as Chairman of the Joint Ordnance Commanders Group, develop and issue policies and procedures to require the Army and other Services to provide and validate complete Army-held, other Services-owned Operating Materials and Supplies-Ammunition record reconciliation files. | 1 year 8 months 5 days |
| A.1.e | The DoD OIG recommended that the Commander, Army Joint Munitions Command, as Chairman of the Joint Ordnance Commanders Group, develop and issue policies and procedures to require the Army and other Services to retain Operating Materials and Supplies-Ammunition reconciliation data files for at least 2 years after closure of the financial statement, in accordance with DoD Regulation 7000.14-R, DoD Financial Management Regulation, volume 1, chapter 9, Financial Records Retention, and U.S. National Archives and Records Administration, General Records Schedule 1.1 requirements. | 1 year 8 months 5 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2016-114 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.f | The DoD OIG recommended that the Commander, Army Joint Munitions Command, as Chairman of the Joint Ordnance Commanders Group, develop and issue policies and procedures to require the Army and other Services to specify and define the codes the Army and other Services use to indicate ownership of Operating Materials and Supplies-Ammunition. | 1 year 8 months 5 days |

Report No. DODIG-2016-119, "Army Commands Need to Improve Logical and Physical Security Safeguards That Protect SIPRNet Access Points," August 5, 2016 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Army Chief Information Officer develop and implement policies and procedures to verify whether SECRET Internet Protocol Router Network users were properly authorized to access the system when transferring from one command to another. | 1 year 7 months 26 days |
| A.2.b | (FOUO) [REDACTED] | 1 year 7 months 26 days |
| A.4 | (FOUO) [REDACTED] | 1 year 7 months 26 days |
| A.7.c | (FOUO) [REDACTED] | 1 year 7 months 26 days |
| (FOUO) | | |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2016-119 (Full Report is Classified) (cont'd)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|--|
| B.1.a | (FOUO) [REDACTED] | 1 year 7 months 26 days |
| B.1.b | (FOUO) [REDACTED] | 1 year 7 months 26 days |
| B.4.a | (FOUO) [REDACTED] | 1 year 7 months 26 days |
| B.4.c | (FOUO) [REDACTED] | 1 year 7 months 26 days |
| B.7 | (FOUO) [REDACTED] | 1 year 7 months 26 days |
| (FOUO) | | |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2016-128, "XM25 Schedule Delays, Cost Increases, and Performance Problems Continue, and Procurement Quantity Not Justified," August 29, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a | The DoD OIG recommended that the Assistant Secretary of the Army, Acquisition, Logistics, and Technology determine whether to proceed with the XM25 program and the initial production decision after Government testing is completed in the fall of 2016. Specifically, if the test results show that the XM25 meets all of its primary and secondary performance requirements, the milestone decision authority should immediately schedule an initial production decision. If the test results show that the weapon does not meet all of its primary and secondary requirements, the program should be canceled. | 1 year 7 months 2 days |
| A.1.b | The DoD OIG recommended that the Assistant Secretary of the Army, Acquisition, Logistics, and Technology review and approve the binding affordability constraints developed by Army G-8 officials for the XM25 programs in accordance with DoD Instruction 5000.02, "Operation of the Defense Acquisition System," dated January 7, 2015, within 30 days of the issuance of this report. | 1 year 7 months 2 days |
| A.2 | The DoD OIG recommended that the Vice Chief of Staff, Army, Chair, Army Requirements Oversight Council, approve the XM25 capability production document to support a first quarter FY 2017 initial production decision. | 1 year 7 months 2 days |
| A.3.a | The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-8, conduct an affordability analysis for the XM25 program within 30 days of the issuance of this report, in accordance with DoD Instruction 5000.02, "Operation of the Defense Acquisition System," dated January 7, 2015. | 1 year 7 months 2 days |
| A.3.b | The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-8, develop binding affordability constraints for the XM25 program within 30 days of the issuance of this report, in accordance with DoD Instruction 5000.02, "Operation of the Defense Acquisition System," dated January 7, 2015. | 1 year 7 months 2 days |
| B.3 | The DoD OIG recommended that the Army Organizational Requirements Document Approval Board Co-chairs, Deputy Chief of Staff of the Army, G-3/5/7, and Deputy Chief of Staff of the Army, G-8, verify and validate the comprehensive analysis supporting the recommended XM25 basis of issue plan and corresponding procurement quantity, prior to approving the basis of issue plan. | 1 year 7 months 2 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2016-128 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.4 | The DoD OIG recommended that the Commanding General, U.S. Army Maneuver Center of Excellence, perform and document comprehensive analyses to determine the necessary procurement quantities of the XM25 weapon system prior to the XM25 full-rate production decision in FY 2019. The analyses should include factual and verifiable data supporting the U.S. Army Maneuver Center of Excellence official's basis of issue plan recommendations. | 1 year 7 months 2 days |

Report No. DODIG-2016-131, "Designation of Contracting Officer's Representatives and Oversight Framework Could Be Improved for Contracts in Afghanistan," August 30, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.2.a | The DoD OIG recommended that the Executive Director, U.S. Army Contracting Command–Rock Island, direct contracting officers to review all future Contracting Officer's Representative designation letters for contracts in Afghanistan for compliance with DoD Instruction 5000.72 before issuance. | 1 year 7 months 1 day |
| A.2.b | The DoD OIG recommended that the Executive Director, U.S. Army Contracting Command–Rock Island, direct contracting officers to review all current Contracting Officer's Representative designation letters for contracts in Afghanistan produced since the issuance of DoD Instruction 5000.72 and before the implementation of their revised contracting policies for compliance with DoD Instruction 5000.72, and issue updated designation letters to address all requirements in the instruction. | 1 year 7 months 1 day |
| A.3.a | The DoD OIG recommended that the Executive Director, U.S. Army Contracting Command–Aberdeen Proving Ground, direct contracting officers to review all future Contracting Officer's Representative designation letters for contracts in Afghanistan for compliance with DoD Instruction 5000.72 before issuance. | 1 year 7 months 1 day |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2016-131 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.3.b | The DoD OIG recommended that the Executive Director, U.S. Army Contracting Command–Aberdeen Proving Ground, direct contracting officers to review all current Contracting Officer's Representative designation letters for contracts in Afghanistan produced since the issuance of DoD Instruction 5000.72 and before the implementation of their revised contracting policies for compliance with DoD Instruction 5000.72, and issue updated designation letters to address all requirements in the Instruction. | 1 year 7 months 1 day |
| B.2 | The DoD OIG recommended that the Executive Director, U.S. Army Contracting Command–Rock Island, direct contracting officers to review the workload of the Contracting Officer's Representatives designated to oversee contracts in Afghanistan, in coordination with the requiring activities, and ensure that a sufficient number of CORs have been designated and the designated CORs have sufficient time to perform oversight responsibilities. | 1 year 7 months 1 day |

Report No. DODIG-2016-139, "Military Housing Inspection–Camp Buehring, Kuwait," September 30, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.2 | The DoD OIG recommended that the Commander, U.S. Army Central, create and execute a plan for ongoing inspection and maintenance of all U.S. military-occupied facilities at Camp Buehring and other locations where the Commander, Area Support Group Kuwait, provides base operations support and inspections to ensure that inspections and maintenance of these locations complies with applicable electrical codes. | 1 year 6 months 1 day |
| B.2 | The DoD OIG recommended that the Commander, U.S. Army Central, create and execute a plan for ongoing inspection and maintenance of all U.S. military-occupied facilities at Camp Buehring and other locations where the Commander, Area Support Group Kuwait, provides base operations support and inspections to ensure inspections and maintenance of these locations complies with applicable fire protection safety codes and standards. | 1 year 6 months 1 day |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2016-139 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| C.1 | The DoD OIG recommended that the Commander, U.S. Army Central, revise the contract Performance Work Statement to ensure that the contract requires the contractor to maintain the electrical systems to the National Electrical Code. | 1 year 6 months 1 day |
| C.2 | The DoD OIG recommended that the Commander, U.S. Army Central, revise the contract Performance Work Statement to ensure the contract requires the contractor to maintain the fire protection systems to Unified Facilities Criteria 3-601-02. | 1 year 6 months 1 day |
| C.3 | The DoD OIG recommended that the Commander, U.S. Army Central, provide the contracting officer's representative staff with the required technical assistance of a master electrician at Camp Buehring to evaluate and inspect contractor performance (under the Performance Work Statement revised in Recommendation C.1) to ensure that all military housing and other structures comply and maintain compliance with applicable electrical codes. | 1 year 6 months 1 day |
| C.4 | The DoD OIG recommended that the Commander, U.S. Army Central, provide the COR with the required technical assistance of a fire protection engineer at Camp Buehring to evaluate and inspect contractor performance (under the PWS revised in Recommendation C.2) to perform inspections, design reviews, and acceptance testing, and verify that all military housing and other structures meet UFC codes, standards, and policies for fire protection systems. | 1 year 6 months 1 day |

Report No. DODIG-2017-004, "Summary Report—Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts," October 14, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Secretaries of the Military Departments annually perform at least two comprehensive, independent inspections of installations. The purpose of these inspections is to verify compliance with all applicable health and safety requirements. | 1 year 5 months 17 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2017-042, "Army Contracting Command–Redstone and Space and Missile Defense Command Need to Improve Contract Oversight for the Web-Based Military Information Support Operations Contract," January 18, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Executive Director, Army Contracting Command–Redstone, in conjunction with the requiring activities, appoint contracting officer's representatives or alternative contracting officer's representatives with sufficient knowledge of web-based Military Information Support Operations for each Combatant Command to provide oversight of contractor actions at each Combatant Command. | 1 year 2 months 13 days |
| 2.a | The DoD OIG recommended that the Army Contracting Command–Redstone Executive Director review work conducted on behalf of U.S. Africa Command and U.S. Pacific Command to initiate appropriate action to resolve any out-of-scope work. | 1 year 2 months 13 days |
| 2.b | The DoD OIG recommended that the Army Contracting Command–Redstone Executive Director update the existing performance work statement to include changes in the scope of work. | 1 year 2 months 13 days |

Report No. DODIG-2017-045, "Medical Service Accounts at U.S. Army Medical Command Need Additional Management Oversight," January 27, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Commander, U.S. Army Medical Command, review the 21,742 medical service accounts that military treatment facility Uniform Business Offices determined were uncollectible to ensure all collection efforts have been exhausted and to obtain approval from the proper authority to terminate the accounts that are uncollectible. | 1 year 2 months 4 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2017-057, "Army Officials Need to Improve the Management of Relocatable Buildings," February 16, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Installations, Housing, and Partnerships revise Army Regulation 420-1, "Army Facilities Management" to align the Army's definition of relocatable buildings to the definition in DoD Instruction 4165.56, "Relocatable Buildings," thus eliminating the requirement for the analysis pertaining to the disassembly, repackaging, and nonrecoverable costs of relocatable buildings. | 1 year 1 month 15 days |
| 1.b | The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Installations, Housing, and Partnerships develop additional policy for circumstances where requirements would dictate that relocatable buildings are appropriate instead of modular facilities or other minor construction. | 1 year 1 month 15 days |
| 2 | The DoD OIG recommended that the Commander, U.S. Army Installation Management Command, coordinate with the Defense Logistics Agency, Disposition Services officials to streamline the demolition process once officials have determined that the opportunities for reuse or sale of relocatable buildings are minimal. | 1 year 1 month 15 days |
| 3 | The DoD OIG recommended that the Chief, Directorate of Public Works, Fort Stewart, submit extensions for the relocatable buildings on the installation where disposal is not imminent. | 1 year 1 month 15 days |
| 4.a | The DoD OIG recommended that the Chief, Directorate of Public Works at Joint Base Lewis–McChord, perform the steps necessary to convert the six nonrelocatable buildings from relocatable to real property. | 1 year 1 month 15 days |
| 4.b | The DoD OIG recommended that the Chief, Directorate of Public Works at Joint Base Lewis–McChord, submit extensions for the relocatable buildings on the installation where disposal is not imminent. | 1 year 1 month 15 days |
| 4.c | The DoD OIG recommended that the Chief, Directorate of Public Works at Joint Base Lewis–McChord, coordinate with U.S. Army Installation Command and Defense Logistics Agency, Disposition Services officials to streamline the demolition process once officials have determined that the opportunities for reuse or sale of relocatable buildings are minimal. | 1 year 1 month 15 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2017-057 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 5 | The DoD OIG recommended that the Chief, Directorate of Public Works, Fort Campbell, submit extensions for the relocatable buildings on the installation where disposal is not imminent. | 1 year 1 month 15 days |
| 6 | The DoD OIG recommended that the Chief, Directorate of Public Works, Fort Bragg, submit extensions for the relocatable buildings on the installation where disposal is not imminent. | 1 year 1 month 15 days |

Report No. DODIG-2017-065, “The Army Needs to Improve Processes for Single-Award, Indefinite-Delivery, Indefinite-Quantity Contracts,”
March 14, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Deputy Assistant Secretary of the Army (Procurement) issue internal guidance addressing the preparation, review, and submission of Determinations and Findings documents for single-award, indefinite-delivery indefinite-quantity contracts. | 1 year 17 days |
| 2 | The DoD OIG recommended that the Executive Director, Army Contracting Command–Aberdeen Proving Ground, direct contracting officials to prepare and submit for approval a Determination and Findings document for contract W91CRB-15-D-0022. | 1 year 17 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2017-066, "Army Did Not Support Business Case Analysis Recommending Transition of Human Immunodeficiency Virus Testing," March 14, 2017 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a.1-6 | The DoD OIG recommended that the Chief of Staff, U.S. Army Medical Command re-perform the business case analysis for HIV testing and ensure the analysis: 1) includes only scope cited in the problem statement; 2) uses accurate assumptions and current information and costs; 3) includes three or more courses of actions and alternatives; 4) consistently uses total costs associated with the project; 5) uses well-defined and measurable alternative selection criteria; and 6) is adequately documented and supported. | 1 year 17 days |
| 1.b | The DoD OIG recommended that the Chief of Staff, U.S. Army Medical Command, not enter into any leases to move Army laboratories until the business case analysis is re-performed. | 1 year 17 days |

Report No. DODIG-2017-069, "Ineffective Fund Balance With Treasury Reconciliation Process for Army General Fund," March 23, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and Director, Defense Finance and Accounting Service—Indianapolis, reengineer their reconciliation process to meet the 10-workday deadline or coordinate with the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, to determine whether DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 4, chapter 2, needs to be revised to increase the number of days for the reconciliation process. | 1 year 8 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2017-069 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.a-d | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and Director, Defense Finance and Accounting Service-Indianapolis, reengineer the Fund Balance With Treasury reconciliation process for the Army General Fund to: a) Correct system deficiencies known to cause Fund Balance With Treasury differences; b) Research and resolve all differences within 60 days, as required by DoD Financial Management Regulation 7000.14-R, "DoD Financial Management Regulation," volume 4, chapter 2; c) Document the Defense Departmental Reporting System—Budgetary business rules that create the system-generated adjustments; and d) Support all Fund Balance With Treasury adjustments to the Army General Fund with transaction-level detail, as required by DoD Financial Management Regulation 7000.14-R, "DoD Financial Management Regulation," volume 6a, chapter 2, and establish and properly document the cause of the difference requiring adjustment to comply with the Treasury Financial Manual. | 1 year 8 days |
| 3.a-c | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop and implement: a) system changes to ensure that Fund Balance With Treasury transaction data for the Army General Fund are transmitted, processed, maintained, and accessed in a standardized format for all non-legacy accounting and financial systems; b) a methodology for standardizing data from legacy accounting and financial systems; and c) the system functionality to demonstrate posting logic for all non-legacy accounting and financial systems containing Fund Balance With Treasury transactions to ensure that the Army posts transactions in a standard format. | 1 year 8 days |

Report No. DODIG-2017-075, "The Army Needs to More Effectively Prepare for Production of the Common Infrared Countermeasure System,"
April 26, 2017 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.2 | The DoD OIG recommended that the Aircraft Survivability Equipment Project Manager update the Test and Evaluation Master Plan to require the Common Infrared Countermeasure system to achieve the system reliability threshold (minimum) requirement of 214 flight hours before the full-rate production decision. | 11 months 5 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2017-075 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| C.1 | The DoD OIG recommended that the Program Executive Officer for Intelligence, Electronic Warfare, and Sensors establish a control procedure, such as using a checklist, to ensure that the reliability, availability, and maintainability requirement and other required cost, schedule, and performance elements are included in the acquisition program baseline. | 11 months 5 days |

Report No. DODIG-2017-077, “Army is Effectively Managing the Armored Multi-Purpose Vehicle, but There Are Concerns That Could Impact Program Cost, Schedule, and Performance,” April 28, 2017 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A | The DoD OIG recommended that the Project Manager at the Armored Multi-Purpose Vehicle Project Management Office monitor and adequately address concerns with performance requirements, vehicle design stability, and issues identified during all future testing events prohibiting successful completion of entrance criteria before entering Milestone C planned for 2019. | 11 months 3 days |
| B | The DoD OIG recommended that the Deputy Chief of Staff, G-8 (Programming), update the procurement quantity for inclusion in the capabilities production document using the current Army Modified Table of Organization and Equipment and force structure at Milestone C. | 11 months 3 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2017-085, "Protection of Electronic Patient Health Information at Army Military Treatment Facilities," July 6, 2017
(Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|--|
| 2.a | The DoD OIG recommended that the U.S. Army Medical Command Chief Information Officer develop and implement a plan to ensure that the military treatment facilities appropriately configure changes to enforce the use of Common Access Cards to access the Armed Forces Health Longitudinal Technology Application, Composite Health Care System, and Clinical Information System/Essentris Inpatient System. | 8 months 25 days |
| 2.b | (FOUO) The DoD OIG recommended that the U.S. Army Medical Command Chief Information Officer develop and implement a plan to ensure that the military treatment facilities configure passwords for [REDACTED] to meet DoD complexity requirements. | 8 months 25 days |
| 2.c | The DoD OIG recommended that the U.S. Army Medical Command Chief Information Officer review and identify all systems used to process, store, and transmit patient health information; develop a baseline of systems used at each military treatment facility; and regularly, at least annually, validate the accuracy of the inventory of Army-specific systems. | 8 months 25 days |
| 3.a | The DoD OIG recommended that the Chief Information Officers for Army military treatment facilities implement appropriate configuration changes to enforce the use of Common Access Cards to access all Army-specific systems containing patient health information or obtain a waiver that exempts the systems from using Common Access Cards. | 8 months 25 days |
| 3.b | The DoD OIG recommended that the Chief Information Officers for Army military treatment facilities configure passwords for all Army-specific systems to meet DoD complexity requirements. | 8 months 25 days |
| 3.c | The DoD OIG recommended that the Chief Information Officers for Army military treatment facilities develop a plan of action and milestones and take appropriate steps in a timely manner to mitigate known network vulnerabilities. | 8 months 25 days |
| (FOUO) | | |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2017-085 (Full Report is FOUO) (cont'd)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|--|
| 3.d | (FOUO) The DoD OIG recommended that the Chief Information Officers for Army military treatment facilities [REDACTED] for all Army-specific systems that store patient health information. | 8 months 25 days |
| 3.e | The DoD OIG recommended that the Chief Information Officers for Army military treatment facilities require written justification as a condition for obtaining access to the Armed Forces Health Longitudinal Technology Application, Composite Health Care System, Clinical Information System/Essentris Inpatient System, and all Army-specific systems, and implement procedures to grant access to the systems based on roles that align with user responsibilities. | 8 months 25 days |
| 3.f | The DoD OIG recommended that the Chief Information Officers for Army military treatment facilities configure all Army-specific systems to automatically lock after 15 minutes of inactivity. | 8 months 25 days |
| 3.g | The DoD OIG recommended that the Chief Information Officers for Army military treatment facilities appropriately configure and regularly review system audit logs to identify user and system activity anomalies. | 8 months 25 days |
| 3.h | The DoD OIG recommended that the Chief Information Officers for Army military treatment facilities develop and maintain standard operating procedures for granting access, assigning and elevating privileges, and deactivating user access. | 8 months 25 days |
| 3.i | The DoD OIG recommended that the Chief Information Officers for Army military treatment facilities review and identify all systems used to process, store, and transmit patient health information; develop a baseline of systems used at each military treatment facility; and regularly, at least annually, validate the accuracy of the inventory of Army-specific systems. | 8 months 25 days |
| 5 | The DoD OIG recommended that the Kimbrough Ambulatory Care Center Chief Information Officer require Picture Archiving and Communications System users, at a minimum, to require a password to protect patient health information stored on or downloaded to external media. | 8 months 25 days |
| (FOUO) | | |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2017-085 (Full Report is FOUO) (cont'd)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|--|
| 6 | The DoD OIG recommended that the Brooke Army Medical Center Chief Information Officer develop, test, and implement applicable changes to the Mammography Reporting System to allow users to authenticate using a Common Access Card when accessing multiple systems simultaneously. | 8 months 25 days |
| (FOUO) | | |

Report No. DODIG-2017-089, "Evaluation of Military Services' Compliance with Military Accessions Vital to the National Interest Program Security Reviews and Monitoring Programs," June 27, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|--|
| 3.a | Recommendation is Classified. | 9 months 4 days |
| 3.b | Recommendation is Classified. | 9 months 4 days |

Report No. DODIG-2017-090, "The Army Needs to Improve Controls Over Chemical Surety Materials," June 7, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|--|
| A.3.a | The DoD OIG recommended that the U.S. Army Materiel Command Commanding General require chemical surety material custodians who are not performing semiannual inventories in accordance with AR 50-6 to perform a 100-percent physical inventory of chemical agents, by primary container, to establish a baseline of the chemical agent inventory prior to implementing any alternate inventory processes defined as a result of Recommendations A.1 and A.2. | 9 months 24 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2017-090 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.3.b | The DoD OIG recommended that, upon completion of Recommendations A.1 and A.2, the U.S. Army Materiel Command Commanding General ensure that the contractor's standard operating procedures are updated to include revised inventory requirements. | 9 months 24 days |
| A.4 | The DoD OIG recommended that the U.S. Army Inspector General update chemical surety inspections to align with DoD Instruction 5210.65 and Army Regulation 50-6. | 9 months 24 days |
| B.1 | The DoD OIG recommended that the U.S. Army Materiel Command Commanding General require the U.S. Army Materiel Command chemical surety officer to perform periodic reviews of the contractor certifying official files to ensure that chemical surety guidance is being followed. | 9 months 24 days |
| B.3 | The DoD OIG recommended that the Pueblo Chemical Depot Commander develop and implement additional internal controls to ensure that the chemical surety officer provides effective oversight of compliance. | 9 months 24 days |

Report No. DODIG-2017-095, "U.S. Army's Management of the Heavy Lift VII Commercial Transportation Contract Requirements in the Middle East," June 26, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the 1st Sustainment Command (Theater) Commander implement a systemic process for collecting Heavy Lift asset usage and establish a consistent schedule for analyzing usage information in order to use quantitative and qualitative factors when forecasting requirement quantities on future task orders. | 9 months 5 days |
| 1.b | The DoD OIG recommended that the 1st Sustainment Command (Theater) Commander review instances of poor mission planning and execution that resulted in ordering wasted assets in the Heavy Lift program, track the trends that led to inefficiency in the program, and implement corrective actions to prevent those inefficiencies from reoccurring. | 9 months 5 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2017-095 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.c | The DoD OIG recommended that the 1st Sustainment Command (Theater) Commander update the requirement review process standard operating procedures to ensure that requirements packages that are submitted to the review boards include all information that is necessary for the validation authority to make an informed decision. | 9 months 5 days |
| 2 | The DoD OIG recommended that the U.S. Army Central Commander develop updated procedures to ensure that requirement review boards are validating the entire requirement that the 1st Sustainment Command (Theater) is requesting. | 9 months 5 days |
| 4 | The DoD OIG recommended that the Army Contracting Command–Rock Island Executive Director establish a more reasonable and achievable guaranteed minimum on the Heavy Lift 8 contracts to ensure that the Army does not pay for services that it will not use by either lowering the guaranteed minimums or by spreading the guaranteed minimum over a longer period, such as the life of the contract. | 9 months 5 days |

Report No. DODIG-2017-096, “Audit of the Training and Airframe Evaluations for the H-60 Black Hawk Helicopter,” June 27, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Vice Chief of Staff of the Army provide future training capacity for new equipment training to all U.S. Army H-60M and H-60V pilots. | 9 months 4 days |
| A.3.b | The DoD OIG recommended that the Utility Helicopters Project Manager evaluate the impact of funding the H-60M and H-60V New Equipment Training has on the H-60M and H-60V programs’ costs and update program documentation as needed. | 9 months 4 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2017-096 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1.a | The DoD OIG recommended that the U.S. Army Aviation and Missile Command Commander compare the H-60 fleet inventory list to the data provided by the airframe condition evaluations for each evaluation period and determine which H-60 helicopters did not receive an evaluation. Based on the results, initiate appropriate actions to ensure that those evaluations are conducted during the March 1 through February 28 evaluation period for the following year. | 9 months 4 days |
| B.1.b | The DoD OIG recommended that the U.S. Army Aviation and Missile Command Commander coordinate with U.S. Army Forces Command to communicate to all H-60 unit commanders that they are required to comply with applicable airframe condition evaluation guidance. | 9 months 4 days |
| B.1.c | The DoD OIG recommended that the U.S. Army Aviation and Missile Command Commander schedule an airframe condition evaluation, in coordination with the H-60 unit commanders, for any H-60 helicopters that do not receive an airframe condition evaluation for any reason other than a valid exemption. | 9 months 4 days |
| B.1.d | The DoD OIG recommended that the U.S. Army Aviation and Missile Command Commander require the airframe condition evaluation teams to document a unit commander's refusal to make an H-60 helicopter available for evaluation and report that refusal to the U.S. Army Aviation and Missile Command Commander. | 9 months 4 days |
| B.1.e | The DoD OIG recommended that the U.S. Army Aviation and Missile Command Commander coordinate with the U.S. Army Aviation and Missile Research, Development, and Engineering Center to determine whether H-60 helicopters that are less than 3 years old should undergo an airframe condition evaluation. If an airframe condition evaluation should not be required, update the applicable guidance. If an evaluation is needed, require airframe condition evaluations on all H-60 helicopters, regardless of age, unless a valid exemption applies. | 9 months 4 days |

APPENDIX A

Department of the Army (cont'd)

**Report No. DODIG-2017-103, "Under-Vehicle Force Protection Requirement for the Army Paladin Integrated Management Program,"
July 21, 2017 (Full Report is Classified)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Army Fires Center of Excellence Commander consider requesting funds to redesign ammunition stowage and floor mats to protect soldiers on combat missions that require increased under-vehicle protection. | 8 months 10 days |
| A.2 | The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-8 (Programming), consider seeking funds to redesign ammunition stowage and floor mats for Paladin Integrated Management program vehicles. | 8 months 10 days |
| A.3 | The DoD OIG recommended that the Ground Combat Systems Program Executive Officer consider redesigning ammunition stowage and floor mats to protect soldiers on combat missions that require increased under-vehicle protection. | 8 months 10 days |
| B.1 | The DoD OIG recommended that the Army Fires Center of Excellence Commander analyze future combat and training needs for under-vehicle armor kits to ensure that appropriate quantities are available. | 8 months 10 days |
| B.2 | The DoD OIG recommended that the Deputy Chief of Staff of the Army, G-3/5/7 (Operations), review and approve quantities of under-vehicle armor kits. | 8 months 10 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2017-104, "Followup on DoD OIG Report No. DODIG-2015-013, 'Military Housing Inspections – Republic of Korea,' October 28, 2014," July 20, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Secretary of the Army and the Secretary of the Air Force involve U.S. Forces Korea and other entities, such as the Army Installation Management Command and the Air Force Installation and Mission Support Center, to perform oversight and provide guidance on addressing and closing Finding A of this report. | 8 months 11 days |

Report No. DODIG-2017-114, "Documentation to Support Costs for Army Working Capital Fund Inventory Valuation," August 24, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) establish detailed standard operating procedures, flowcharts, and narratives for each significant inventory process, including how to maintain and access key supporting documentation that key personnel and service providers are responsible for retaining and providing in response to audit requests. | 7 months 7 days |
| 1.b | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) identify key supporting documentation for each inventory process and the location of the documentation. | 7 months 7 days |
| 1.c | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a "perfect package" of supporting documentation for transactions associated with significant Army Working Capital Fund inventory processes, providing examples of all documents required to support the Army Working Capital Fund inventory value recorded in the financial system of record (the Logistics Modernization Program system). | 7 months 7 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2017-114 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.d | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) identify and document key positions for each business process that can provide key supporting documentation in response to audit requests within the established timeframe. | 7 months 7 days |
| 1.e | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) ensure that memorandums of understanding with service providers clearly identify what office would maintain the documentation, where the documentation would be stored, protocols for requesting and providing documentation, and documentation retention policies. | 7 months 7 days |
| 1.f | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop and implement detailed corrective action plans and milestones to correct the problems identified by the independent public accounting firm, as well as the problems identified in this report. | 7 months 7 days |
| 1.g | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop a process to maintain credit values given for returns for credit and unserviceable credit transactions. | 7 months 7 days |
| 2 | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller), in coordination with the U.S. Army Materiel Command, incorporate data fields within the Logistics Modernization Program system to identify the receiving reports (shipment) and invoice documents in the Invoicing, Receipt, Acceptance, and Property Transfer system. | 7 months 7 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a | The DoD OIG recommended that the Secretary of the Army take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 159 Criminal Investigation Division fingerprint cards and 264 Criminal Investigation Division final disposition reports that are not in the Federal Bureau of Investigation Next Generation Identification database. | 3 months 27 days |
| A.1.b | The DoD OIG recommended that the Secretary of the Army take prompt action to immediately perform a comprehensive review of all Army criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses since at least 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Service in compliance with DoD and Federal Bureau of Investigation requirements. | 3 months 27 days |
| A.1.c | The DoD OIG recommended that the Secretary of the Army take prompt action to ensure that Criminal Investigation Division command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is included as a special interest item in Criminal Investigation Division Inspector General inspections, and is actually conducted. | 3 months 27 days |
| A.1.d | The DoD OIG recommended that the Secretary of the Army take prompt action to conduct a comprehensive review of Criminal Investigation Division criminal history reporting programs to ensure that fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11, and are being implemented. | 3 months 27 days |
| A.1.e | The DoD OIG recommended that the Secretary of the Army take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and deoxyribonucleic acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases. | 3 months 27 days |
| B.1.a | The DoD OIG recommended that the Secretary of the Army take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 103 Installation Management Command fingerprint cards and 121 installation final disposition reports that are not in the Federal Bureau of Investigation Next Generation Identification database. | 3 months 27 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2018-035 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.1.b | The DoD OIG recommended that the Secretary of the Army immediately perform a comprehensive review of all Installation Management Command criminal investigative databases and files to ensure that all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses since at least 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Service in compliance with DoD and Federal Bureau of Investigation requirements. | 3 months 27 days |
| B.1.c | The DoD OIG recommended that the Secretary of the Army take prompt action to ensure that Installation Management Command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is included as a special interest item in Army Inspector General inspections, and is actually conducted. | 3 months 27 days |
| B.1.d | The DoD OIG recommended that the Secretary of the Army take prompt action to conduct a comprehensive review of Installation Management Command criminal history reporting programs to ensure that all fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11, and have been implemented. | 3 months 27 days |
| B.1.e | The DoD OIG recommended that the Secretary of the Army take prompt action to also ensure that other required investigative and criminal history information, such as criminal incident data and deoxyribonucleic acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases. | 3 months 27 days |
| B.1.f | The DoD OIG recommended that the Secretary of the Army take prompt action to direct the U.S. Army Provost Marshal General to revise Army Regulation 190-45 to align with the fingerprint card and final disposition report submission requirements in DoD Instruction 5505.11. | 3 months 27 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2018-036, "DoD's Response to the Patient Safety Elements in the 2014 Military Health System Review," December 14, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.1 | The DoD OIG recommended that the U.S. Army Medical Command Commander evaluate the Madigan Army Medical Center's Patient Safety Indicator #90 performance after the new Patient Safety Indicator #90 measures and benchmarks are available to determine if the facility is outperforming, performing the same as, or underperforming compared to other healthcare facilities, and take appropriate action to correct all identified deficiencies. | 3 months 17 days |

Report No. DODIG-2018-038, "Joint Air-to-Ground Missile Program," December 7, 2017 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that, at the Joint Air-to-Ground Missile increment one initial production decision, the U.S. Army Training and Doctrine Command Commander; the Assistant Secretary of the Army (Acquisition, Logistics, and Technology); and the Assistant Secretary of the Navy (Research, Development, and Acquisition) evaluate the costs to achieve full Joint Air-to-Ground Missile capability. | 3 months 24 days |
| 1.b | The DoD OIG recommended that, at the Joint Air-to-Ground Missile increment one initial production decision, the U.S. Army Training and Doctrine Command Commander; the Assistant Secretary of the Army (Acquisition, Logistics, and Technology); and the Assistant Secretary of the Navy (Research, Development, and Acquisition) determine whether the Joint Air-to-Ground Missile incremental strategy provides the most affordable alternative to meet the self-guided missile capability gap. | 3 months 24 days |

APPENDIX A

Department of the Army (cont'd)

**Report No. DODIG-2018-040, "Army Oversight of Logistics Civil Augmentation Program Government-Furnished Property in Afghanistan,"
December 11, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Army Contracting Command Commanding General coordinate with the Expeditionary Contracting Command–Afghanistan Commander to review and validate the contractors' Government-furnished property listings, modify Logistics Civil Augmentation Program task orders 0004 and 0005 to ensure all Government-furnished property currently possessed by the Logistics Civil Augmentation Program contractors is properly reflected by modifications to the contract, and provide the property book officer with the updated contract attachment. | 3 months 20 days |
| 1.b | The DoD OIG recommended that the Army Contracting Command Commanding General coordinate with the Expeditionary Contracting Command–Afghanistan Commander to develop a Government-furnished property training manual and train personnel on the Army guidance and processes for Logistics Civil Augmentation Program accountability. | 3 months 20 days |
| 1.c | The DoD OIG recommended that the Army Contracting Command Commanding General coordinate with the Expeditionary Contracting Command–Afghanistan Commander to ensure that corrective actions implemented on Logistics Civil Augmentation Program IV are effectively included in the establishment and execution of the Logistics Civil Augmentation Program V contract. | 3 months 20 days |
| 2.a | The DoD OIG recommended that the Army Sustainment Command Commanding General task the 401st Army Field Support Brigade Commander to use the contract modification referenced in Recommendation 1.a to update the Army's official Government-furnished property accountable records. | 3 months 20 days |
| 2.b | The DoD OIG recommended that the Army Sustainment Command Commanding General task the 401st Army Field Support Brigade Commander to update the Theater Provided Equipment standard operating procedures to require the theater property book officer to coordinate with Expeditionary Contracting Command–Afghanistan to reconcile, at least twice per year, the Government-furnished property accountable records with the contractors' records and address any discrepancies. | 3 months 20 days |

*Department of the Army (cont'd)***Report No. DODIG-2018-042, "Evaluation of Army Recovered Chemical Warfare Materiel Response Actions," December 14, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Assistant Secretary of the Army for Installations, Energy, and Environment issue policy to replace the Army Interim Guidance and direct the U.S. Army Corps of Engineers Commander to update Engineering Pamphlet 75-1-3 to comply with Army Regulation 25-30. | 3 months 17 days |

Report No. DODIG-2018-046, "DoD Antiterrorism Programs," December 21, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 3.a | The DoD OIG recommended that the Naval Base San Diego Commanding Officer and the Commanding General of 1st Armored Division and Fort Bliss require their antiterrorism officers to develop and implement procedures to document and track mitigation measures for antiterrorism vulnerabilities in the Core Vulnerability Assessment Management Program. | 3 months 10 days |
| 3.b | The DoD OIG recommended that the Naval Base San Diego Commanding Officer and the Commanding General of 1st Armored Division and Fort Bliss require their antiterrorism officers to develop and implement procedures to ensure that all installation tenants are included in the installation antiterrorism program. | 3 months 10 days |
| 3.c | The DoD OIG recommended that the Naval Base San Diego Commanding Officer and the Commanding General of 1st Armored Division and Fort Bliss require their antiterrorism officers to develop and implement procedures to maintain updated listings of installation tenant antiterrorism representatives. | 3 months 10 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2018-052, "The Army Demilitarization Program," December 19, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1 | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) develop procedures to annually determine a reasonable and supportable estimate for the cost to dispose of the demilitarization stockpile and report the associated liability in the Army General Fund Financial Statements and related notes. | 3 months 12 days |

Report No. DODIG-2018-055, "The U.S. Army Civilian Pay Budget Process," March 8, 2018 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.3 | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and the Deputy Chief of Staff, G-8 (Programming), include overtime pay in the Army's Budget Estimate Submission, starting with the FY 2019 Budget Estimate Submission, to accurately and completely present the Army's funding needs to the Office of the Secretary of Defense and Congress, in accordance with Office of Management and Budget Circular No. A-11, "Preparation, Submission, and Execution of the Budget," and Army Regulation 570-4, "Manpower and Equipment Control Manpower Management," February 8, 2006. | 23 days |
| B.1 | The DoD OIG recommended that the Assistant Secretary of the Army (Manpower and Reserve Affairs) issue guidance requiring the Army Commands to hire personnel based on their approved authorization levels. If the Army Commands cannot hire up to their approved authorization levels for strategic reasons, require them to provide written justification for not hiring up to their approved authorization levels. | 23 days |
| B.2.a | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and the Deputy Chief of Staff, G-8 (Programming), hold the Army Commands accountable for not hiring to their authorizations by reducing the authorizations and full-time equivalents for the Army Commands that intentionally under execute their full-time equivalents, to accurately reflect the Army's anticipated workload, in accordance with Office of Management and Budget Circular No. A-11, "Preparation, Submission, and Execution of the Budget." | 23 days |

*Department of the Army (cont'd)***Report No. DODIG-2018-055 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.2.b | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and the Deputy Chief of Staff, G-8 (Programming), reevaluate the Army's operation and maintenance civilian pay and non-pay funding requirements. This could include assessing civilian workload compared to recent year execution. Then, adjust the Future Years' Defense Program, starting with the FY 2019 Budget Estimate Submissions, to more accurately request funding for the Army's civilian pay and non-pay expenses. | 23 days |

Report No. DODIG-2018-068, "Evaluation of Oversight of Privileged Users Within the Army's Intelligence Component," January 30, 2018
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the U.S. Army Cyber Command Commander complete and execute the "Army User Activity Monitoring Program Concept of Operations" to document the Army's User Activity Monitoring Program's authorities, program oversight and governance, and U.S. Army Cyber Command and mission partners roles and responsibilities. | 2 months 1 day |
| 2.a | The DoD OIG recommended that the U.S. Army Intelligence and Security Command Chief of Staff complete and execute Draft Policy 6-3 to define the authorities and program oversight and governance, and to ensure a cybersecurity audit process is in place prior to the transfer of user activity monitoring responsibilities to U.S. Army Cyber Command. | 2 months 1 day |
| 2.b | The DoD OIG recommended that the U.S. Army Intelligence and Security Command Chief of Staff enhance controls and processes to ensure that all records in the Army Training and Certification Tracking System are complete, accurate, and properly reviewed to comply with DoD policies and Army regulations. | 2 months 1 day |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2018-068 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.c | The DoD OIG recommended that the U.S. Army Intelligence and Security Command Chief of Staff review privileged users and enter all missing records into the Army Training and Certification Tracking System and correct all errors in the Army Training and Certification Tracking System records. | 2 months 1 day |
| 2.d | The DoD OIG recommended that the U.S. Army Intelligence and Security Command Chief of Staff revalidate all privileged users to ensure that access is commensurate with current mission requirements, and promptly revoke privileged access from any user that no longer requires such access. | 2 months 1 day |

Report No. DODIG-2018-073, "Completeness and Accuracy of the U.S. Army Corps of Engineers Improper Payment Estimates,"
February 13, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a | The DoD OIG recommended that the U.S. Army Corps of Engineers Finance Center Director establish standard operating procedures to identify all commercial payments required for inclusion in the improper payment estimates reported in the DoD Agency Financial Report. | 1 month 18 days |
| A.1.b | The DoD OIG recommended that the U.S. Army Corps of Engineers Finance Center Director implement a review process to verify that accurate and complete information is reported to the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, for inclusion in the DoD Agency Financial Report. | 1 month 18 days |
| A.1.e | The DoD OIG recommended that the U.S. Army Corps of Engineers Finance Center Director implement a review process to verify that accurate testing for improper payments is being performed by U.S. Army Corps of Engineers Finance Center personnel. | 1 month 18 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2018-073 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1.a | The DoD OIG recommended that the U.S. Army Corps of Engineers Finance Center Director implement a process for higher-level reviews before submitting information to the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, for inclusion in the DoD Agency Financial Report. | 1 month 18 days |
| B.1.b | The DoD OIG recommended that the U.S. Army Corps of Engineers Finance Center Director update standard operating procedures to include centrally billed travel payments in the U.S. Army Corps of Engineers Travel Pay program for Improper Payments Elimination and Recovery Act reporting. | 1 month 18 days |
| B.1.c | The DoD OIG recommended that the Director, U.S. Army Corps of Engineers Finance Center subject all travel payments, to include centrally billed account payments, permanent change of station payments, and local travel payments, to improper payment testing. | 1 month 18 days |
| B.1.f | The DoD OIG recommended that the U.S. Army Corps of Engineers Finance Center Director establish standard operating procedures to establish an effective Improper Payments Elimination and Recovery Act review process that includes procedures to: 1) identify a complete and accurate universe of payments; 2) review selected payments for improper payments; and 3) ensure improper payments estimates for the Travel Pay program are calculated consistently, accurately, and in accordance with the approved Improper Payments Elimination and Recovery Act sampling plan. | 1 month 18 days |

Report No. DODIG-2018-076, "Chemical Demilitarization—Assembled Chemical Weapons Alternatives Program," February 22, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Assembled Chemical Weapons Alternatives Program Executive Officer, in coordination with the U.S. Army Contracting Command—Rock Island Executive Director, determine award fees based on the contractor's actual performance during the award fee period, not on projected cost, schedule, and performance in later periods, as required by the award fee plan. | 1 month 9 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2018-076 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.b | The DoD OIG recommended that the Assembled Chemical Weapons Alternatives Program Executive Officer, in coordination with the U.S. Army Contracting Command–Rock Island Executive Director, convene a working group of DoD subject matter experts to help determine the best way to structure the additional incentive to motivate the contractors to reduce costs at the Pueblo Chemical Agent-Destruction Pilot Plant and the Blue Grass Chemical Agent-Destruction Pilot Plant and achieve an accelerated safe destruction of the remaining chemical weapons. | 1 month 9 days |
| 1.c | The DoD OIG recommended that the Assembled Chemical Weapons Alternatives Program Executive Officer, in coordination with the U.S. Army Contracting Command–Rock Island Executive Director, analyze the rework performed at the Pueblo Chemical Agent-Destruction Pilot Plant and the Blue Grass Chemical Agent-Destruction Pilot Plant to determine the cost of additional rework. | 1 month 9 days |
| 1.d | The DoD OIG recommended that the Assembled Chemical Weapons Alternatives Program Executive Officer, in coordination with the U.S. Army Contracting Command–Rock Island Executive Director, based on the cost of additional construction rework, either recoup funds paid by the Government or obtain other appropriate consideration. | 1 month 9 days |
| 1.e | The DoD OIG recommended that the Assembled Chemical Weapons Alternatives Program Executive Officer, in coordination with the U.S. Army Contracting Command–Rock Island Executive Director, increase quality assurance monitoring and validate the contractor's test and inspection processes and procedures at the Pueblo Chemical Agent-Destruction Pilot Plant and the Blue Grass Chemical Agent-Destruction Pilot Plant. | 1 month 9 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2018-081, "The Army's Tactical Explosive Detection Dog Disposition Process from 2011 to 2014," March 1, 2018**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| C | The DoD OIG recommended that the Secretary of the Army review, revise, and ensure that Accountable Unit Commanders enforce Army Regulation 190-12, "Military Working Dogs," March 11, 2013, to ensure that it complies with the requirements of "Air Force Instruction 31-126, Army Regulation 700-81, OPNAVINST 5585.2C, MCO 5585.6, DoD Military Working Dog (MWD) Program," February 28, 2017, particularly with respect to the disposition process. | 30 days |
| D.2 | The DoD OIG recommended that the Secretary of the Army ensure that all future Army-funded Military Working Dog programs establish individual modules for tracking each nontraditional capability, such as Tactical Explosive Detection Dogs, within the established DoD Working Dog Management System. | 30 days |

Report No. DODIG-2018-086, "Small Business Subcontracting at Two Army Contracting Command Locations," March 19, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Army Contracting Command–Redstone Executive Director ensure individual subcontracting reports are entered into the Electronic Subcontracting Reporting System for contracts W58RGZ-12-C-0010, W58RGZ-13-C-0086, W58RGZ-14-C-0037, W31P4Q-15-C-0078, W31P4Q-13-C-0023, W31P4Q-15-C-0002, and W31P4Q-13-C-0046. If the individual subcontracting reports show that the contractors did not meet the contract's subcontracting goals, contracting officials should determine whether the contractors made a good-faith effort to meet their subcontracting goals and, if not, whether liquidated damages can be imposed against the contractors. | 12 days |
| 1.b | The DoD OIG recommended that the Army Contracting Command–Redstone Executive Director determine whether the contractors for contracts W58RGZ-13-C-0127 and W31P4Q-13-C-0069 made a good-faith effort to meet the small business subcontracting goals in their subcontracting plans and, if not, determine whether liquidated damages can be imposed against the contractors. | 12 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2018-086 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.c | The DoD OIG recommended that the Army Contracting Command–Warren Executive Director require the contractor to submit a corrected individual subcontracting report in the Electronic Subcontracting Reporting System for contract W56HZV-15-C-0092 for the period ending September 30, 2017, when the next report is due. If the individual subcontracting report shows the contractor did not meet the contract's subcontracting goals, determine whether the contractor made a good-faith effort to meet its subcontracting goals and, if not, whether liquidated damages can be imposed against the contractor. | 12 days |
| 3.a | The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Procurement, in coordination with the Army Office of Small Business Programs Director, train contracting officials on Federal Acquisition Regulation subpart 19.7 responsibilities for approving and administering subcontracting plans. | 12 days |
| 3.b | The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Procurement, in coordination with the Army Office of Small Business Programs Director, revise Army Federal Acquisition Regulation Supplement subpart 5119.7 to incorporate guidance on administering subcontracting plans and procedures for transferring subcontracting plan administration duties when a contract is transferred from one contracting officer to another. | 12 days |
| 3.c | The DoD OIG recommended that the Deputy Assistant Secretary of the Army for Procurement, in coordination with the Army Office of Small Business Programs Director, issue a policy alert to notify contracting officials of the revision to Army Federal Acquisition Regulation Supplement subpart 5119.7 incorporating guidance on administering subcontracting plans and procedures for transferring subcontracting plan administration duties when a contract is transferred from one contracting officer to another. | 12 days |

APPENDIX A

Department of the Army (cont'd)

Report No. DODIG-2018-099, "Army Internal Controls Over Foreign Currency Accounts and Payments," March 29, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.4 | The DoD OIG recommended that the Deputy Assistant Secretary of the Army (Financial Operations) update the Army accounting systems once the Under Secretary of Defense (Comptroller), Office of the Deputy Chief Financial Officer issues the DoD standard general ledger transactions and guidance for recording foreign currency exchange rate gains and losses as required by the DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 6a, chapter 7. | 2 days |
| A.5.b | The DoD OIG recommended that the 266th Financial Management Support Center Director develop and implement procedures to maintain alternate certifying officials for each payment system, to ensure continuity of payment operations at the Finance Offices. | 2 days |
| A.5.c | The DoD OIG recommended that the 266th Financial Management Support Center Director implement controls to ensure the Italy Finance Office maintains proper separation of duties between personnel responsible for payroll system maintenance and personnel in the Local National Payroll Office and Accounting Office. | 2 days |
| A.5.d | The DoD OIG recommended that the 266th Financial Management Support Center Director implement controls to ensure senior management is directly involved in oversight of the payroll process. | 2 days |
| A.5.e | The DoD OIG recommended that the 266th Financial Management Support Center Director develop and implement a plan to replace the current Italian Local National Payroll System with a system that meets U.S. Government Federal Financial Management Improvement Act of 1996, section 803(a), and Office of Management and Budget Circular No. A-123 requirements for Federal financial management systems. | 2 days |
| B.2 | The DoD OIG recommended that the Commander of the 176th Financial Management Support Unit, U.S. Army Garrison Yongsan, South Korea; U.S. Army Corps of Engineers Finance Center Director; and 266th Financial Management Support Center Director adjust the cash holding authorities of the 176th Financial Management Support Unit Disbursing Officer, the U.S. Army Corps of Engineers Finance Center Disbursing Officer, the Benelux Finance Office and the Italy Finance Office to include the balances of the limited depository checking accounts, or reduce the balances of the limited depository checking accounts to comply with the current cash holding authorities. | 2 days |

APPENDIX A

*Department of the Army (cont'd)***Report No. DODIG-2018-099 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.3 | The DoD OIG recommended that the U.S. Army Financial Management Command's Army Financial Services Director verify that quarterly cash verifications determine and report on whether the disbursing officer's cash balance held at personal risk, including funds kept in limited depository checking accounts, comply with the cash holding authority letter as required by DoD 7000.14-R, "Financial Management Regulation," volume 5. | 2 days |
| B.4 | The DoD OIG recommended that the Commander of the 176th Financial Management Support Unit, U.S. Army Garrison Yongsan, South Korea; Defense Finance and Accounting Service-Japan Director; and U.S. Army Corps of Engineers Finance Center Director develop local procedures to comply with revised guidance identified in Recommendation B.1, when issued by the Under Secretary of Defense (Comptroller), Office of the Deputy Chief Financial Officer. | 2 days |
| B.5.a | The DoD OIG recommended that the Commander of the 176th Financial Management Support Unit, U.S. Army Garrison Yongsan, South Korea, develop and implement an approval process for all currency exchanges to ensure all collections of Korean won into the unrestricted limited depository checking account have an immediate need and will not cause the balance to exceed a 7-day supply or obtain approval to exceed the 7-day supply of funds using a plan that provides a timeline and outlines how the funds will be fully expended. | 2 days |

APPENDIX A

*Department of the Navy***Report No. D-2008-097, “Hurricane Relief Effort Costs on the Navy Construction Capabilities Contract,” May 23, 2008 (Full Report is FOUO)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1.c | The DoD OIG recommended that the Naval Facilities Engineering Command Southeast Commanding Officer request Kellogg, Brown, and Root to refund any amount paid under the material markup provisions determined to represent profit. The DoD OIG calculated that this could result in the Navy recovering as much as \$7.2 million from Kellogg, Brown, and Root for the inappropriate payments. ¹ | 9 years 10 months 8 days |

¹ The agreed-upon corrective actions have been completed by DoD management; however, monetary benefits accruing from those actions may still be recouped and the Department is awaiting the Armed Services Board of Contract Appeals ruling

Report No. DODIG-2012-017, “U.S. Naval Academy Officials Did Not Adhere to Contracting and Gift Policies,” November 7, 2011

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1.d | The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to implement the Naval Heritage and History Command inventory system, Department of Navy Heritage Asset Management System, and record all the in-kind gifts into the system. | 6 years 4 months 24 days |
| B.3.b | The DoD OIG recommended that the Director, Naval Heritage and History Command, require the United States Naval Academy Museum Director to use the Naval Heritage Command inventory system, the Department of Navy Heritage Asset Management System. | 6 years 4 months 24 days |

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2012-122, “DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access,” August 29, 2012 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1.b | The DoD OIG recommended that the Assistant Secretary of the Army (Manpower and Reserve Affairs), in conjunction with the Assistant Secretary of the Army (Installations, Energy, and Environment); Commander, Headquarters Air Force Security Forces Center; Deputy Chief of Naval Operations for Fleet Readiness and Logistics; and Assistant Deputy Commandant, Plans, Policies and Operations (Security), U.S. Marine Corps, before approving physical access control systems for a location require installation security personnel to be involved during the site surveys. | 5 years 7 months 2 days |

Report No. DODIG-2012-124, “DoD Efforts to Protect Critical Program Information: The Navy’s EA-18G “Growler,” August 30, 2012 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|----------------------------------|
| A.1 | (FOUO) [REDACTED] | 5 years 7 months 1 day |
| (FOUO) | | |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2013-082, "Hotline Allegation Regarding the Failure to Take Action on Material Management and Accounting System Audit Findings," May 29, 2013**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.2.d | The DoD OIG recommended that the Commanding Officer, Supervisor of Shipbuilding, Conversion and Repair, should re-evaluate the determination that the costs of complying with Standard 2 outweigh the benefits, and document adequate rationale for any disagreements with the auditor in accordance with DoD Instruction 7640.02, "Policy for Follow-up on Contract Audit Reports." | 4 years 10 months 2 days |
| A.2.e | The DoD OIG recommended that the Commanding Officer, Supervisor of Shipbuilding, Conversion and Repair, should, in consultation with Defense Contract Audit Agency, reassess the appropriateness of the March 15, 2013, agreement with the contractor on the master production schedule accuracy calculation. | 4 years 10 months 2 days |

Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June, 28, 2013

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.b.(2) | The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs, Assistant Secretary of the Air Force for Manpower and Reserve Affairs, and Executive Director of the Army National Cemeteries Program, ensure training opportunities are provided for individuals identified with cemetery operations responsibilities. | 4 years 9 months 3 days |
| 1.b.(3) | The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs develop and implement a cemetery inspections program. | 4 years 9 months 3 days |
| 1.b.(4) | The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs develop and implement local cemetery management Standard Operating Procedures. | 4 years 9 months 3 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2013-098 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.b.(5) | The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs direct installation commanders to conduct a 100 percent record-to-graves verification. | 4 years 9 months 3 days |
| 2.c | The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs update the applicable service manuals to reflect upcoming Under Secretary of Defense for Acquisition, Logistics, and Technology policy. | 4 years 9 months 3 days |
| 3.b | The DoD OIG recommended that the Executive Director of the Army National Cemeteries Program, Assistant Secretary of the Navy for Manpower and Reserve Affairs, and Assistant Secretary of the Air Force for Manpower and Reserve Affairs, update current publications to reflect Under Secretary of Defense for Personnel and Readiness and Under Secretary of Defense for Acquisition, Technology, and Logistics USD(AT&L) guidance. | 4 years 9 months 3 days |

Report No. DODIG-2013-134, "Navy Commercial Access Control System Did Not Effectively Mitigate Access Control Risks," September 16, 2013
(Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| C.1 | The DoD OIG recommended that the Assistant Secretary of the Navy (Research, Development, and Acquisition) initiate a review of the inappropriate contracting practices related to the Navy Commercial Access Control System and establish a corrective action plan to resolve the contracting improprieties. | 4 years 6 months 15 days |

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2014-001, "MV-22 Squadrons Could Improve Reporting of Mission Capability Rates and Readiness," October 23, 2013
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Commander, Naval Air Forces, revise the Commander, Naval Air Forces Instruction 4790.2A, "Naval Aviation Maintenance Program," November 10, 2009, to include detailed procedures for maintenance officers to use to verify the accuracy and completeness of aircraft inventory reports and work orders before submission. | 4 years 5 months 8 days |

Report No. DODIG-2014-083, "Insufficient Infrastructure Support to the Fixed Submarine Broadcast System," June 23, 2014
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1 | The DoD OIG recommended that the Chief of Naval Operations establish criteria for Broadcast Transmit Stations power sources. This criteria should include a requirement establishing the minimum duration backup power is needed. | 3 years 9 months 8 days |
| C.1 | Recommendation is Classified. | 3 years 9 months 8 days |
| D.2 | The DoD OIG recommended that the Naval Inspector General coordinate with the Navy Technical Proficiency Inspection program managers to ensure establishment of a comprehensive inspection program based on requirements directed in the "Navy Technical Proficiency Inspection" program; Chairman, Joint Chiefs of Staff Instruction 6811.01B, "Nuclear Command and Control Technical Performance Criteria," and Chairman, Joint Chiefs of Staff Instruction 6810.01B, "Critical Nuclear Command and Control (NC2) Equipment and Facilities." | 3 years 9 months 8 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2014-092, "Navy and Marine Corps Have Weak Procurement Processes for Cost-Reimbursement Contract Issuance and Management," July 11, 2014**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.b | The DoD OIG recommended that the Deputy Director of Contracting Policy, Naval Sea Systems Command Headquarters develop checklists and guides that can be used by contracting personnel regarding the extra planning, approval, and oversight of cost-reimbursement contracts. | 3 years 8 months 20 days |

Report No. DODIG-2014-121, "Military Housing Inspections – Japan," September 30, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A | The DoD OIG recommended that the respective Military Departments, as applicable: a. Conduct an effective root cause analysis and corrective action for all 1,057 deficiencies in this report. b. Ensure that these deficiencies do not exist in other housing units. c. Ensure the inspection, maintenance, and repair program is in compliance with applicable codes and standards for fire protection systems, electrical systems, and environmental health and safety. | 3 years 6 months 1 day |
| B | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with requirements for fire protection systems. | 3 years 6 months 1 day |
| C | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with requirements for electrical systems. | 3 years 6 months 1 day |
| D.1 | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with environmental health and safety requirements. | 3 years 6 months 1 day |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2014-121 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| E | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that housing management systems and processes are fully implemented and followed for all installations. This includes improving processes for tracking service calls and work orders through completion, ensuring that inspections and maintenance are conducted as required, and analyzing historical work order information for trends and lessons learned to improve housing management programs. | 3 years 6 months 1 day |

Report No. DODIG-2015-011, "Evaluation of the Defense Criminal Investigative Organizations' Defense Incident-Based Reporting System Reporting and Reporting Accuracy," October 29, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.b | The DoD OIG recommended that the Commander, U.S. Army Criminal Investigative Command; Director, Naval Criminal Investigative Service; Commander, Air Force Office of Special Investigations ensure Defense Incident-Based Reporting System error corrections are completed within 30 days of Defense Manpower Data Center providing notification as required by DoD Manual 7730.47-M, volume 1. | 3 years 5 months 2 days |

Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November, 14, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.b | The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate Recommendation 1.a. | 3 years 4 months 17 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2015-016 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.b | The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate Recommendation 2.a. | 3 years 4 months 17 days |
| 4.e | The DoD OIG recommended that the Chiefs of the Military Services update policies to integrate Department of Defense Suicide Event Report data collection and submission practices into their Service suicide prevention lessons learned processes. | 3 years 4 months 17 days |

Report No. DODIG-2015-046, "Navy Commands Need to Improve Logical and Physical Controls Protecting SIPRNET Access Points,"**December 10, 2014 (Full Report is Classified)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|----------------------------------|
| A.3 | The DoD OIG recommended that the Deputy Under Secretary of the Navy, Policy, update Department of the Navy policy to implement at least the minimum requirements for performing a risk assessment as required by DoD Manual 5200.01, volume 3. | 3 years 3 months 21 days |
| A.5.b | (FOUO) The DoD OIG recommended that the Department of the Navy Deputy Chief Information Officer (Navy) [REDACTED] | 3 years 3 months 21 days |
| | | (FOUO) |

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2015-053, "Naval Supply Systems Command Needs to Improve Cost Effectiveness of Purchases for the Phalanx Close-In Weapon System," December 19, 2014 (Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|--|
| 1.b | (FOUO) The DoD OIG recommended that the Commander, Naval Supply Systems Command Weapon Systems Support, require the Naval Supply Systems Command Weapon Systems Support contracting officers to [REDACTED] | 3 years 3 months 12 days |
| 1.c | The DoD OIG recommended that the Commander, Naval Supply Systems Command Weapons Systems Support, require the Naval Supply Systems Command Weapon Systems Support contracting officers to complete timely reviews for variations in quantity before determining forecasted demand. | 3 years 3 months 12 days |
| (FOUO) | | |

Report No. DODIG-2015-072, "Improvements Needed for Navy's Triannual Review," January 22, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|--|
| 1 | The DoD OIG recommended that the Director, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) Office of Budget, develop standard queries for the budget submitting offices to ensure completeness of data extracted for triannual reviews. | 3 years 2 months 9 days |
| 2.a | The DoD OIG recommended that the Director, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) Office of Budget, develop and implement Navy triannual review standard procedures, based on U.S. Marine Corps best practices, to compile a universe of obligations for the budget submitting offices to use in performing the triannual review. | 3 years 2 months 9 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2015-072 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.b | The DoD OIG recommended that the Director, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) Office of Budget, develop and implement Navy triannual review standard procedures, based on U.S. Marine Corps best practices, to validate that the budget submitting offices consistently extract data on unliquidated obligations and unfilled orders from the Navy accounting systems when completing the triannual review. | 3 years 2 months 9 days |
| 2.d | The DoD OIG recommended that the Director, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) Office of Budget, develop and implement Navy triannual review standard procedures, based on U.S. Marine Corps best practices, to record the status of each obligation to identify how many obligations in the Department of the Navy are valid, adjusted, canceled, or awaiting contract review by the Defense Contract Audit Agency. | 3 years 2 months 9 days |
| 2.e | The DoD OIG recommended that the Director, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) Office of Budget, develop and implement Navy triannual review standard procedures, based on U.S. Marine Corps best practices, to develop standard naming conventions and formats for triannual review reporting. | 3 years 2 months 9 days |
| 3 | The DoD OIG recommended that the Director, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) Office of Budget, conduct comprehensive reviews, including reconciliations, of the triannual review results and follow up on inconsistencies. | 3 years 2 months 9 days |

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2015-078, "Evaluation of the Defense Criminal Investigative Organizations' Compliance with the Lautenberg Amendment Requirements and Implementing Guidance," February 6, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.2 | The DoD OIG recommended that the Defense Criminal Investigative Service, U.S. Army Criminal Investigation Command, and Naval Criminal Investigative Service develop policies for commanders and supervisors to advise all employees (military and civilian) with qualifying convictions to dispose of privately owned firearms and ammunition to preclude an employee from inadvertently violating the law. This should be included in existing training and added to any internal checklists to ensure employees comply with the law. | 3 years 1 month 25 days |

Report No. DODIG-2015-081, "Evaluation of Department of Defense Compliance with Criminal History Data Reporting Requirements," February 12, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Secretaries of the Navy and Air Force take prompt action to submit the missing 304 fingerprints and 334 final disposition reports to the Federal Bureau of Investigation for inclusion into the Integrated Automated Fingerprint Identification System. | 3 years 1 month 19 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2015-090, "Evaluation of Aircraft Ejection Seat Safety When Using Advanced Helmet Sensors," March 9, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.2 | The DoD OIG recommended that the Navy and Air Force ensure consistent documentation of aircraft ejection data to increase the data available for ejections with Helmet Mounted Devices and/or Night Vision Goggles thus improving the safety risk analysis. The data should include aircraft speed at time of ejection, whether aircrew was wearing HMD and/or NVGs, and type of injury sustained. | 3 years 22 days |

Report No. DODIG-2015-102, "Additional Actions Needed to Effectively Reconcile Navy's Fund Balance With Treasury Account,"**April 3, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) develop a reconciliation process that is based on detail-level transaction data from Department of the Navy's general ledger systems. As part of this process, the Department of the Navy needs to demonstrate how these detail-level transactions are used in the preparation of their financial statements. | 2 years 11 months 28 days |
| 2 | The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) review the control weaknesses identified for the Defense Cash Accountability System and Program Budget Information System during Federal Information System Controls Audit Manual testing and implement a plan to reduce ineffective or untested controls. | 2 years 11 months 28 days |
| 3 | The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) review and approve current standard operating procedures to ensure the Fund Balance With Treasury reconciliation is completed according to Treasury and DoD policies and reconciliations are tested and proven to be a sustainable and repeatable process. | 2 years 11 months 28 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2015-102 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 4 | The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) design and implement controls within the end-to-end Fund Balance With Treasury business process for resolving amounts reported on the "Statement of Differences-Disbursements". | 2 years 11 months 28 days |

Report No. DODIG-2015-114, "Navy Officials Did Not Consistently Comply With Requirements for Assessing Contractor Performance,"**May 1, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Commander, Naval Sea Systems Command, develop and implement procedures for contract registration, including procedures to validate that personnel properly register contracts. | 2 years 10 months 30 days |
| 4.a | The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement procedures that require assessors to prepare performance assessment reports that meet the 120-day requirement in the Under Secretary of Defense for Acquisition, Technology, and Logistics policy. | 2 years 10 months 30 days |
| 4.b | The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement quality control procedures for evaluating performance assessment report narratives and descriptions of the contract purpose. | 2 years 10 months 30 days |
| 4.c | The DoD OIG recommended that the Commanders of Naval Air Systems Command and Naval Sea Systems Command and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, develop and implement procedures that require assessors to take initial and periodic refresher quality and narrative writing training for the Contractor Performance Assessment Reporting System. | 2 years 10 months 30 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2015-114 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 5 | The DoD OIG recommended that the Commanders of Naval Air Systems Command, Naval Sea Systems Command, Space and Naval Warfare Systems Center Atlantic, and Space and Naval Warfare Systems Center Pacific and Commanding Officer of Naval Supply Systems Command, Fleet Logistics Center Norfolk, train or re-emphasize to assessors the definitions of the ratings and what is required to justify each rating, as outlined in the Federal Acquisition Regulation. | 2 years 10 months 30 days |

Report No. DODIG-2015-122, "Naval Air Systems Command Needs to Improve Management of Waiver Requests," May 15, 2015**(Full Report is FOUO)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition and the Chief of Naval Operations, Director, Innovation, Test and Evaluation, and Technology, update Secretary of the Navy Instruction 5000.2E, "Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System;" and Secretary of the Navy Manual, M-5000.2, "Acquisition and Capabilities Guidebook," May 9, 2012, Section 4.6, "Certification of Readiness for Operational Testing," to: a) emphasize that program managers must request waivers whenever they do not meet any of the 20 criteria the Secretary of the Navy Instruction 5000.2E requires programs to meet to certify readiness for initial operational test and evaluation; and, b) clarify that Operational Test Readiness Review briefings to stakeholder groups should include specific explanations of program accomplishments against each of the 20 certification criteria to clearly document either that the criteria was met or a waiver or deferral request was coordinated with the Chief of Naval Operations, Director, Innovation, Test and Evaluation, and Technology; the program sponsors; and the Commander, Operational Test and Evaluation Force. | 2 years 10 months 16 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2015-127, "Triannual Review Processes Need Improvement at Three Naval Budget Submitting Offices," May 18, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.a | The DoD OIG recommended that the Director, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller), Office of Budget, create and implement procedures based on updates to DoD Financial Management Regulation Volume 3, Chapter 8 "Standards for Recording and Reviewing Commitments and Obligations." | 2 years 10 months 13 days |
| 2.c | The DoD OIG recommended that the Director, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller), Office of Budget, perform reviews of all budget submitting offices to determine the effectiveness of implementation of the triannual review. | 2 years 10 months 13 days |
| 2.e | The DoD OIG recommended that the Director, Office of the Assistant Secretary of the Navy (Financial Management and Comptroller), Office of Budget, develop standard naming conventions and formats for triannual review reporting. | 2 years 10 months 13 days |

Report No. DODIG-2015-137, "Improvements Needed on DoD Procurements from Robertson Fuel Systems," June 25, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.a | The DoD OIG recommended that the Assistant Commander for Contracts, Naval Air Systems Command, require contracting officers to obtain the necessary documentation to support the commerciality of any product from Robertson, as defined by Federal Acquisition Regulation Subpart 2.101. If adequate support is not obtained, deem the item noncommercial and obtain certified cost or pricing data in accordance with Federal Acquisition Regulation Part 15 or obtain a waiver where appropriate. | 2 years 9 months 6 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2015-142, "Navy's Contract/Vendor Pay Process Was Not Auditable," July 1, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) develop a process or system interface between Navy Enterprise Resource Planning and Wide Area Work Flow that provides timely processing of transactions. Update the Department of the Navy's system business processes to ensure transactions are processed in compliance with the Statement of Federal Financial Accounting Standards 1. | 2 years 8 months 30 days |

Report No. DODIG-2015-143, "Patriot Express Program Could Be More Cost-Effective for Overseas Permanent Change of Station and Temporary Duty Travel," July 6, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3.b | The DoD OIG recommended that the Commander, Naval Supply Systems Command, implement controls in the Defense Travel System to automatically route all travel orders for travel outside of the continental United States to transportation office personnel to check Patriot Express availability before booking commercial transportation. | 2 years 8 months 25 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2015-148, "Rights of Conscience Protections for Armed Forces Service Members and Their Chaplains," July 22, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the Army Deputy Chief of Staff for Personnel, the Chief of Naval Personnel, and the Air Force Deputy Chief of Staff for Manpower, Personnel, and Services streamline existing procedures to ensure requests for accommodation requiring waivers are evaluated within the timeframes established by Department of Defense Instruction 1300.17, including any changes made as a result of Recommendation 1. | 2 years 8 months 9 days |
| 6 | The DoD OIG recommended that the Chief of Naval Personnel ensure that programs of Instruction for commissioned and noncommissioned officers include the updated guidance regarding religious accommodations contained in Department of Defense Instruction 1300.17. | 2 years 8 months 9 days |

Report No. DODIG-2015-155, "U.S. Forces Korea Service Components Can Improve Management of Individual Protective Equipment," July 31, 2015 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|----------------------------------|
| 2.a | (FOUO) [REDACTED] | 2 years 8 months |
| 2.b | (FOUO) [REDACTED] | 2 years 8 months |
| (FOUO) | | |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2015-155 (Full Report is Classified) (cont'd)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|--|
| 2.c | (FOUO) [REDACTED] | 2 years 8 months |
| (FOUO) | | |

Report No. DODIG-2015-161, "Naval Personnel Can Improve Compliance With the Berry Amendment and Buy American Act," August 12, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|--|
| A.2 | The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller): a) initiate a preliminary review in accordance with DoD 7000.14-R, "DoD Financial Management Regulation," volume 14, chapter 3 to determine whether reportable violations of the Antideficiency Act occurred as a result of any items purchased on contracts N00189-13-D-0001, N00189-13-P-1264, N68335-13-C-0164 and N68335-13-C-0186 in violation of the Berry Amendment; and b) complete the preliminary review as required by regulation and provide the results to the DoD Office of Inspector General. If an Antideficiency Act violation has occurred, determine which officials are responsible and recommend corrective actions. | 2 years 7 months 19 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2015-162, "Continental United States Military Housing Inspections – National Capital Region," August 13, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| D.1 | The DoD OIG recommended that the Commander, Joint Base Anacostia-Bolling, conduct an effective root cause analysis and implement a corrective action plan for all electrical deficiencies identified in this report. | 2 years 7 months 18 days |
| E.1 | The DoD OIG recommended that the Commander, Joint Base Anacostia-Bolling, conduct an effective root cause analysis and implement a corrective action plan for all fire protection deficiencies identified in this report. | 2 years 7 months 18 days |
| F.1 | The DoD OIG recommended that the Commander, Joint Base Anacostia-Bolling, conduct an effective root cause analysis and implement a corrective action plan for all environmental health and safety deficiencies identified in this report. | 2 years 7 months 18 days |

Report No. DODIG-2015-172, "Naval Sea Systems Command Needs to Improve Management of Waiver and Deferral Requests," September 14, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the Secretary of the Navy should revise Secretary of the Navy Instruction 5000.2E, "Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System," September 1, 2011, after the Vice Chairman, Joint Chiefs of Staff, revises the Joint Capabilities Integration and Development System Manual in response to Recommendation 1. | 2 years 6 months 17 days |
| 3 | The DoD OIG recommended that the Commander, Naval Sea Systems Command, should revise Naval Sea System Command Instruction 3960.2D, "Test and Evaluation," April 22, 1988, to implement the Navy policy in the planned revision of Secretary of the Navy Instruction 5000.2E, "Department of the Navy Implementation and Operation of the Defense Acquisition System and the Joint Capabilities Integration and Development System," planned for designation as Secretary of the Navy Instruction 5000.2E. | 2 years 6 months 17 days |

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2015-181, "Continental United States Military Housing Inspections – Southeast," September 24, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| D.1 | The DoD OIG recommended that the Naval Station Mayport Commander conduct an effective root cause analysis and perform corrective actions for all fire protection deficiencies identified. | 2 years 6 months 7 days |
| E.1 | The DoD OIG recommended that the Naval Station Mayport Commander conduct an effective root cause analysis and perform corrective actions for all electrical deficiencies identified. | 2 years 6 months 7 days |

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2016-026, "Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions," November 24, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the Commander, U.S. Cyber Command, and the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps formalize an agreement to focus capability development on functional and mission areas consistent with results of the mission alignment board. | 2 years 4 months 7 days |

Report No. DODIG-2016-054, "Navy Controls for Invoice, Receipt, Acceptance, and Property Transfer System Need Improvement," February 25, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a.2 | The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to develop and communicate comprehensive procedures to out-process Invoice, Receipt, Acceptance, and Property Transfer system users who leave the commands. Both users and supervisors should provide a formal notification to the Invoice, Receipt, Acceptance, and Property Transfer system group administrator indicating that a user is separating from the command and the corresponding system access should end. | 2 years 1 month 6 days |
| 1.b | The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to review the Invoice, Receipt, Acceptance, and Property Transfer system to verify that the Defense Logistics Agency's automated control for inactive users is working properly and ensure separated employees user accounts were automatically disabled. | 2 years 1 month 6 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2016-054 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.d | The DoD OIG recommended that the Deputy Assistant Secretary of the Navy for Financial Operations coordinate with the Deputy Assistant Secretary of the Navy for Acquisition and Procurement and the Director of the Program Executive Office for Enterprise Information Systems to review other commands that use the Navy Enterprise Resource Planning system and direct the commands to disable the certifying officer role in the Invoice, Receipt, Acceptance, and Property Transfer system if their duties do not require it. | 2 years 1 month 6 days |

Report No. DODIG-2016-068, “DoD’s Efforts to Consolidate Data Centers Need Improvement,” March 29, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.2 | The DoD OIG recommended that the Chief Information Officer, Department of the Army; Department of the Navy Chief Information Officer; Chief Information Officer, Office of the Secretary of the Air Force; and Chief Information Officer, Defense Information Systems Agency revise their current processes for validating data center information to ensure the accuracy and completeness of information reported to the DoD Chief Information Officer. | 2 years 2 days |

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2016-072, "DoD Needs to Improve Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations," April 1, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.a | The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force; U.S. Army Assistant Chief of Staff for Installation Management; and Commander Navy Installations Command conduct a review of all general public tenants leasing privatized housing to ensure those tenants received the complete and adequate background check in accordance with service regulations. | 1 year 11 months 30 days |
| 2.b | The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force; U.S. Army Assistant Chief of Staff for Installation Management; and Commander, Navy Installations Command instruct security officials to conduct a badge review for general public tenants living inside the installations and re-issue badges as needed to ensure the badge expiration date aligns with the end of the tenants' lease terms. | 1 year 11 months 30 days |

Report No. DODIG-2016-100, "Contract Awards at Naval Oceanographic Office Need Improvement," June 17, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.a | The DoD OIG recommended that the Chief of Contracts, Naval Oceanographic Office, develop and implement procedures for awarding task orders under multiple-award contracts. | 1 year 9 months 14 days |
| B.1.c | The DoD OIG recommended that the Chief of Contracts, Naval Oceanographic Office, train contracting officials on awarding contracts both under and over the simplified acquisition threshold. | 1 year 9 months 14 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2016-104, "Improvements Needed in Managing Department of the Navy Suspense Accounts," June 30, 2016**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) work with Defense Finance and Accounting Service, Office of the Under Secretary of Defense (Comptroller), and U.S. Department of the Treasury to remove Department of the Navy recycling, agricultural leasing, forestry, and trademark program transactions from suspense accounts, and identify a more appropriate, Generally Accepted Accounting Principles-compliant accounting treatment. | 1 year 9 months 1 day |

Report No. DODIG-2016-107, "Advanced Arresting Gear Program Exceeded Cost and Schedule Baselines," July 5, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Assistant Secretary of the Navy for Research, Development, and Acquisition perform cost-benefit analyses to determine whether the Advanced Arresting Gear is an affordable solution for Navy aircraft carriers before deciding to go forward with the system on future aircraft carriers. | 1 year 8 months 26 days |
| 2 | The DoD OIG recommended that the Program Manager, Aircraft Launch and Recovery Equipment, update the Advanced Arresting Gear Test and Evaluation Master Plan to revise the planned test strategy, test schedule, developmental and operational funding, and add measures to support the programs reliability growth plan before the Acquisition Category IC Acquisition Program Baseline is finalized. | 1 year 8 months 26 days |

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2016-116, "Navy Needs to Establish Effective Metrics to Achieve Desired Outcomes for SPY-1 Radar Sustainment,"
August 1, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a | The DoD OIG recommended that the Commander, Naval Supply Systems Command, require the Naval Supply Systems Command Weapon Systems Support follow Department of Defense guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should consult with Advanced Traceability and Control and the operational commands when reevaluating the SPY-1 radar's product support strategy and designing the performance metrics included in future performance-based logistics contracts. | 1 year 7 months 30 days |
| A.1.b | The DoD OIG recommended that the Commander, Naval Supply Systems Command, require the Naval Supply Systems Command Weapon Systems Support follow Department of Defense guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should establish formal support agreements with Advanced Traceability and Control and the operational commands used to supply SPY-1 radar parts to fleet customers. | 1 year 7 months 30 days |
| A.1.c | The DoD OIG recommended that the Commander, Naval Supply Systems Command, require the Naval Supply Systems Command Weapon Systems Support follow Department of Defense guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should review the readiness and sustainment performance history and costs of the AEGIS and SPY-1 radars and use that data to identify the difference between existing and desired SPY-1 radar performance outcomes, and develop metrics that incentivize the contractors to deliver the desired performance outcomes. | 1 year 7 months 30 days |
| A.1.d | The DoD OIG recommended that the Commander, Naval Supply Systems Command, require the Naval Supply Systems Command Weapon Systems Support follow Department of Defense guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should design performance metrics that accurately reflect the user's needs and effectively measure the product support provider's performance. | 1 year 7 months 30 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2016-116 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.e | The DoD OIG recommended that the Commander, Naval Supply Systems Command, require the Naval Supply Systems Command Weapon Systems Support follow Department of Defense guidance when developing the performance metrics incorporated in future performance-based logistics contracts used to sustain the SPY-1 radar. Naval Supply Systems Command Weapon Systems Support should breakdown system-level requirements into lower-level metrics that appropriately link contractor performance to the accomplishment of warfighter readiness and performance needs. | 1 year 7 months 30 days |
| B.1.b | The DoD OIG recommended that the Commander, Naval Supply Systems Command require the Naval Supply Systems Command Weapon Systems Support to perform additional reviews of the completed semiannual reports for contracts N00104-12-D-ZD21 and N00104-13-D-ZD00, to determine if there is a change to the amount of incentives the contractors received and take corrective actions if appropriate. | 1 year 7 months 30 days |

Report No. DODIG-2016-130, "The Navy Needs More Comprehensive Guidance for Evaluating and Supporting Cost-Effectiveness of Large-Scale Renewable Energy Projects," August 25, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Assistant Secretary of the Navy for Energy, Installations, and Environment develop new, or modify existing, Navy guidance to include comprehensive steps to evaluate and document the cost effectiveness assessments for large-scale renewable energy projects. | 1 year 7 months 6 days |
| 1.b | The DoD OIG recommended that the Assistant Secretary of the Navy for Energy, Installations, and Environment, once new or modified guidance is issued, determine whether approved renewable energy projects are cost-effective based on these policies and procedures, and take appropriate action based on that determination. | 1 year 7 months 6 days |

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2017-004, "Summary Report—Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts," October 14, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Secretaries of the Military Departments annually perform at least two comprehensive, independent inspections of installations. The purpose of these inspections is to verify compliance with all applicable health and safety requirements. | 1 year 5 months 17 days |

Report No. DODIG-2017-014, "Acquisition of the Navy Surface-Mine Countermeasure Unmanned Undersea Vehicle (Knifefish) Needs Improvement," November 8, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Director, Expeditionary Warfare Division (N95), coordinate with the Director, Surface Warfare (N96), to develop capability requirements in the Knifefish capability production document relating to communication interface and launch and recovery operations between the Knifefish system and the Littoral Combat Ship, unless Knifefish is no longer required. | 1 year 4 months 23 days |

Report No. DODIG-2017-031, "Fleet Logistics Center Norfolk Price Reasonableness Determinations for Federal Supply Schedule Orders for Supplies Need Improvement," December 7, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.b | The DoD OIG recommended that the Commander, Fleet Logistics Center Norfolk, train contracting personnel on the procedures for making price reasonableness determinations and performing price analysis for General Services Administration Federal supply schedule orders for supplies. | 1 year 3 months 24 days |

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2017-043, "Management of Excess Material in the Navy's Real-Time Reutilization Asset Management Facilities Needs Improvement," January 23, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Chief of Naval Operations develop and implement retention and disposition guidance for excess consumable material in the Real-Time Reutilization Asset Management facilities that includes, at a minimum, standardized procedures for retaining material based on demand, validating material for continued need if the retention decision is not based on demand, and properly categorizing material. | 1 year 2 months 8 days |
| B.1 | The DoD OIG recommended that the Commander, Naval Supply Systems Command, update Naval Supply Systems Command Publication 485 to require users requisitioning material to use the Navy Enterprise Resource Planning system before using the alternative methods, which should ensure the Navy maximizes use of excess consumable material available in the Real-Time Reutilization Asset Management facilities. | 1 year 2 months 8 days |

Report No. DODIG-2017-063, (U//FOUO) "[REDACTED]," March 13, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Program Executive Office Integrated Warfare System Program Executive Officer correct Surface Electronic Warfare Improvement Program Blocks 1B1 and 1B2 deficiencies. | 1 year 18 days |
| B.1.a | The DoD OIG recommended that the Program Executive Office Integrated Warfare System Program Executive Officer correct Surface Electronic Warfare Improvement Block 2 system deficiencies. | 1 year 18 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2017-067, "Navy Inaccurately Reported Costs for Operation Freedom's Sentinel in the Cost of War Reports," March 16, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A | The DoD OIG recommended that the Deputy Assistant Secretary of Financial Operations, Accounting and Financial Reporting Division, and Deputy Assistant Secretary for Budget, in coordination with the Comptroller, Pacific Fleet Command, and the Comptroller, U.S. Fleet Forces Command, reengineer processes to identify the Navy's transactions for overseas contingency operations. | 1 year 15 days |
| B.1 | The DoD OIG recommended that the Deputy Assistant Secretary for Budget in coordination with Navy budget submitting offices and support activities, develop and implement standard operating procedures that cover end-to-end Cost of War reporting processes. These standard operating procedures should include, at a minimum, procedures for the receipt, review, and reporting of obligations and disbursements for Operation Freedom's Sentinel to ensure costs are accurately reflected in the Cost of War reports. | 1 year 15 days |
| B.2 | The DoD OIG recommended that the Deputy Assistant Secretary for Budget develop and issue updated guidance that requires Navy activities to, at a minimum, use a consistent methodology for allocating incremental operations and depot-level maintenance costs, as required by Public Law 113-235, "The Consolidated and Further Continuing Appropriations Act, 2015," and defined by DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 12, chapter 23. | 1 year 15 days |

Report No. DODIG-2017-068, "Strategic Plan Needed for Navy Financial Management Systems," March 16, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) develop and implement milestones and performance measures for a Navy-wide information technology strategic plan that will implement financial management systems that are compliant with the Federal Financial Management Improvement Act of 1996 and the Standard Financial Information Structure. | 1 year 15 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2017-068 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.b | The DoD OIG recommended that the Assistant Secretary of the Navy (Financial Management and Comptroller) develop and implement milestones and performance measures for a Navy-wide information technology strategic plan that will provide cost benefit analysis of system capabilities to determine which systems can provide reliable financial data in a cost effective manner. | 1 year 15 days |

Report No. DODIG-2017-087, “U.S.-Controlled and -Occupied Military Facilities Inspection—Camp Lemonnier, Djibouti,” June 2, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.a | The DoD OIG recommended that the Commander of Navy Region Europe, Africa, Southwest Asia, conduct a root cause analysis and implement a corrective action plan for all electrical deficiencies identified in this report. Ensure that all facility operations and maintenance comply with the Unified Facilities Criteria and the National Fire Protection Association standards. Provide the DoD OIG a copy of the analysis and corrective action plan within 90 days of the issuance of this report. | 9 months 29 days |
| B.1.a | The DoD OIG recommended that the Commander of Navy Region Europe, Africa, Southwest Asia, conduct a root cause analysis and implement a corrective action plan for all deficiencies identified in this report. Ensure that all facility operations and maintenance complies with the Unified Facilities Criteria and the National Fire Protection Association standards. Provide the DoD OIG a copy of the analysis and corrective action plan within 90 days of the issuance of this report. | 9 months 29 days |

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2017-089, "Evaluation of Military Services' Compliance with Military Accessions Vital to the National Interest Program Security Review and Monitoring Programs," June 27, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| 4.a | Recommendation is Classified. | 9 months 4 days |
| 4.b | Recommendation is Classified. | 9 months 4 days |

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A | The DoD OIG recommended that the Office of the Chief of Naval Operations' Expeditionary Warfare Director update Instruction F3501.97H with the new manpower authorization for Explosive Ordnance Disposal Mobile Unit 6, Shore Based Detachment Kings Bay, Georgia; and Explosive Ordnance Disposal Mobile Unit 11, Shore Based Detachment Bangor, Washington. | 8 months 3 days |
| B.1 | The DoD OIG recommended that the Secretary of the Navy and the Secretary of the Air Force, along with the Chairman of the Joint Chiefs of Staff, develop joint mission-essential task lists for an explosive ordnance disposal response to a nuclear weapon incident. | 8 months 3 days |
| C | The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Defense Threat Reduction Agency Director and the Deputy Assistant Secretary of Defense for Countering Weapons of Mass Destruction, comply with Presidential Policy Directive 35 and develop and field secure communications between custodial explosive ordnance disposal units and national responders. | 8 months 3 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2017-107, "Followup Audit: U.S. Naval Academy Museum Management of Heritage Assets," August 7, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to prioritize the completion of a baseline inventory of all U.S. Naval Academy Museum assets and document the inventory results. | 7 months 24 days |
| 1.b | The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to reconcile the U.S. Naval Academy Museum Found-in-Collection and duplicate items with already accessioned inventory items when possible. | 7 months 24 days |
| 1.c | The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to complete a DD Form 200 for lost assets as the director deems necessary. If a DD Form 200 was not needed, document the reason. | 7 months 24 days |
| 1.d | The DoD OIG recommended that the U.S. Naval Academy Superintendent direct the U.S. Naval Academy Museum Director to prepare and complete a transfer agreement for any artifacts that were physically transferred to the Smithsonian Museum. If the artifacts are not permanently transferred, then these artifacts should be recorded as loaned items in the U.S. Naval Academy Museum inventory. | 7 months 24 days |
| 2 | The DoD OIG recommended that the U.S. Naval Academy Inspector General provide progress updates to the Superintendent on completion of the baseline inventory. | 7 months 24 days |

Report No. DODIG-2017-121, "U.S. Africa Command's Management of Acquisition and Cross-Servicing Agreements," September 21, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the Assistant Secretary of the Navy (Research, Development, and Acquisition) designate a Chief of Naval Operations and Headquarters Marine Corps Office of Prime Responsibility to oversee the execution of the Acquisition and Cross-Servicing Agreement program for their respective Service Components. | 6 months 10 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2017-123, "The Troops-to-Teachers Program," September 28, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.a | The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to develop procedures for reviewing participant applications that align with newly developed Troops-to-Teachers policy. | 6 months 3 days |
| 2.b | The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to review the current standard operating procedures in coordination with management officials and the Office of General Counsel to ensure they fully comply with 10 U.S.C. § 1154 [2015], and finalize the procedures when new policy is developed. | 6 months 3 days |
| 2.c | The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to provide training for all Government and contract employees working with the Troops-to-Teachers program after new policy and procedures are created. | 6 months 3 days |
| 2.d | The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to review all approved stipend and bonus application packages submitted between October 1, 2014, and the date of this report, and bring into compliance applications that are determined to be incomplete and collect payments from applicants that are determined to be ineligible. | 6 months 3 days |
| 2.e | The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to develop a transition plan with the Defense Human Resources Activity to ensure a seamless transition into the Defense Agencies Initiative system and establish roles and responsibilities for tax withholding, payments of stipends and bonuses, collections, and grant administration and payments. In addition, ensure that the Defense Finance and Accounting Service completes the Automated Disbursing System change to properly withhold the taxes. | 6 months 3 days |
| 2.f | The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to provide IRS Form 4669 to participants who receive stipends and bonuses after 2015 until the systems have the capability to withhold the taxes and research whether there is a benefit to provide IRS Form 4669 to participants who received stipends and bonuses prior to 2015. | 6 months 3 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2017-123 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.g | The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to develop a memorandum of agreement with the Defense Finance and Accounting Service to define roles and responsibilities for income tax withholding and the collections process for the Troops-to-Teachers program. | 6 months 3 days |
| 2.h | The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to maintain a database of current addresses for participants. | 6 months 3 days |
| 2.i | The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to submit debt collection packages to the Defense Finance and Accounting Service for outstanding debt. | 6 months 3 days |
| 2.j | The DoD OIG recommended that the Naval Education and Training Command Commander direct Troops-to-Teachers management to implement the grant program as described in the corrective action plan. | 6 months 3 days |

Report No. DODIG-2017-125, “Naval Facilities Engineering Command Southwest and Marine Corps Base Camp Pendleton Officials’ Use of Utility Energy Service Contracts,” September 28, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.a | The DoD OIG recommended that the Naval Facilities Engineering Command Southwest Commanding Officer direct the Naval Facilities Engineering Command Southwest contracting officers to approve all future scope of work changes before the contractor begins performance, and reemphasize and hold training sessions to implement the existing process for notifying and approving minor expedited changes for the Marine Corps Base Camp Pendleton Utility Energy Services Contracts. | 6 months 3 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2017-125 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.c | The DoD OIG recommended that the Naval Facilities Engineering Command Southwest Commanding Officer establish a written agreement with each organization that the contracting officers rely on to perform contract administration or quality assurance duties. The agreement should clearly outline each organization's duties, roles, and responsibilities; documentation and retention requirements; procedures for providing contractor performance information to Naval Facilities Engineering Command Southwest contracting officials; and procedures for requesting and obtaining approval for scope of work changes from the Naval Facilities Engineering Command Southwest contracting officers. | 6 months 3 days |

Report No. DODIG-2018-025, "Defense Hotline Allegations on the Surface Electronic Warfare Improvement Program Block 3 Costs,"**November 9, 2017 (Full Report is FOUO)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.b | The DoD OIG recommended that the Program Executive Office Integrated Warfare System Program Executive Officer establish an approved Engineering and Manufacturing Development phase cost baseline estimate to consistently measure and control costs for Surface Electronic Warfare Improvement Program Block 3. | 4 months 22 days |
| 1.c | The DoD OIG recommended that the Program Executive Office Integrated Warfare System Program Executive Officer verify that Northrop Grumman adequately meets the established Engineering and Manufacturing Development phase baseline estimate to minimize existing or future problems. | 4 months 22 days |

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| C.1.a | The DoD OIG recommended that the Secretary of the Navy take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 159 Naval Criminal Investigative Service fingerprint cards and 203 Naval Criminal Investigative Service final dispositions reports that are not in the Federal Bureau of Investigation Next Generation Identification database. | 3 months 27 days |
| C.1.b | The DoD OIG recommended that the Secretary of the Navy take prompt action to immediately perform a comprehensive review of all Naval Criminal Investigative Service criminal investigative databases and files to ensure all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses at least to 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Service in compliance with DoD and Federal Bureau of Investigation requirements. | 3 months 27 days |
| C.1.c | The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that Naval Criminal Investigative Service command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is included as a special interest item in Naval Criminal Investigative Service Inspector General inspections, and is actually conducted. | 3 months 27 days |
| C.1.d | The DoD OIG recommended that the Secretary of the Navy take prompt action to conduct a comprehensive review of Naval Criminal Investigative Service criminal history reporting programs to ensure all applicable agency policy, training, fingerprinting, and final disposition report submission processes are consistent with DoD Instruction 5505.11, and have been implemented. | 3 months 27 days |
| C.1.e | The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and deoxyribonucleic acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases. | 3 months 27 days |
| D.1.a | The DoD OIG recommended that the Secretary of the Navy take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 38 Navy Security Forces fingerprint cards and 40 Navy Security Forces final disposition reports that are not in the Federal Bureau of Investigation Next Generation Identification database. | 3 months 27 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2018-035 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| D.1.b | The DoD OIG recommended that the Secretary of the Navy take prompt action to immediately perform a comprehensive review of all Navy Security Forces criminal investigative databases and files to ensure all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses at least to 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Service in compliance with DoD and Federal Bureau of Investigation requirements. | 3 months 27 days |
| D.1.c | The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that Navy Security Forces command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is included as a special interest item in future Inspector General inspections and is actually conducted. | 3 months 27 days |
| D.1.d | The DoD OIG recommended that the Secretary of the Navy take prompt action to conduct a comprehensive review of Navy Security Forces criminal history reporting programs to ensure all applicable agency policy, training, fingerprinting, and final disposition report submission processes are consistent with DoD Instruction 5505.11, and have been implemented. | 3 months 27 days |
| D.1.e | The DoD OIG recommended that the Secretary of the Navy take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and deoxyribonucleic acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases. | 3 months 27 days |
| D.1.f | The DoD OIG recommended that the Secretary of the Navy take prompt action to direct the Commander of Naval Installations Command to revise Navy Tactics, Techniques, and Procedures 3-07.2.3 to align with fingerprint card submission requirements set forth in DoD Investigation 5505.11. | 3 months 27 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2018-038, "Joint Air-to-Ground Missile Program," December 7, 2017 (Full Report is FOUO)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that, at the Joint Air-to-Ground Missile increment one initial production decision, the U.S. Army Training and Doctrine Command Commander; the Assistant Secretary of the Army (Acquisition, Logistics, and Technology); and the Assistant Secretary of the Navy (Research, Development, and Acquisition) evaluate the costs to achieve full Joint Air-to-Ground Missile capability. | 3 months 24 days |
| 1.b | The DoD OIG recommended that, at the Joint Air-to-Ground Missile increment one initial production decision, the U.S. Army Training and Doctrine Command Commander; the Assistant Secretary of the Army (Acquisition, Logistics, and Technology); and the Assistant Secretary of the Navy (Research, Development, and Acquisition) determine whether the Joint Air-to-Ground Missile incremental strategy provides the most affordable alternative to meet the self-guided missile capability gap. | 3 months 24 days |

Report No. DODIG-2018-046, "DoD Antiterrorism Programs," December 21, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 3.a | The DoD OIG recommended that the Naval Base San Diego Commanding Officer and the Commanding General of the 1st Armored Division and Fort Bliss require their antiterrorism officers to develop and implement procedures to document and track mitigation measures for antiterrorism vulnerabilities in the Core Vulnerability Assessment Management Program. | 3 months 10 days |
| 3.b | The DoD OIG recommended that the Naval Base San Diego Commanding Officer and the Commanding General of the 1st Armored Division and Fort Bliss require their antiterrorism officers to develop and implement procedures to ensure all installation tenants are included in the installation antiterrorism program. | 3 months 10 days |
| 3.c | The DoD OIG recommended that the Naval Base San Diego Commanding Officer and the Commanding General of the 1st Armored Division and Fort Bliss require their antiterrorism officers to develop and implement procedures to maintain updated listings of installation tenant antiterrorism representatives. | 3 months 10 days |

APPENDIX A

Department of the Navy (cont'd)

**Report No. DODIG-2018-050, “Naval Facilities Engineering Command Administration of Selected Energy Savings Performance Contracts,”
December 19, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.2.a | The DoD OIG recommended that the Naval Facilities Engineering Command Commander direct Naval Facilities Engineering Command—Expeditionary Warfare Center and base Public Works officials to validate energy savings performance contracts contractor-claimed energy savings achieved for Naval Air Station Oceana (Main Base Delivery Order #1) for performance years 0, 1, 2, 3, 4, 5, 6, 9, 10, and 11; and Marine Corps Logistic Base Albany (Delivery Order #1) performance years 1, 2, 3, 4, 5, 6, 8 and 9. | 3 months 12 days |
| A.2.b | The DoD OIG recommended that the Naval Facilities Engineering Command Commander direct Naval Facilities Engineering Command—Expeditionary Warfare Center contracting officers, based on the result of the validation, as mandated by law, to take appropriate contractual action (if necessary), such as recovering unrealized guaranteed energy savings. | 3 months 12 days |
| A.2.c | The DoD OIG recommended that the Naval Facilities Engineering Command Commander direct the Naval Facilities Engineering Command—Expeditionary Warfare Center program and contracting officials to perform higher-level review of energy savings performance contracts contractor-guaranteed energy savings achieved for Naval Air Station Oceana (Delivery Order #1) performance period years 9 and 10; and Marine Corps Logistics Base Albany (Delivery Order #1) performance years 8 and 9. | 3 months 12 days |
| A.2.d | The DoD OIG recommended that the Naval Facilities Engineering Command Commander direct the Naval Facilities Engineering Command—Expeditionary Warfare Center contracting officer to develop tailored quality assurance surveillance plans for energy savings performance contracts at Commander Fleet Activities Yokosuka, Naval Air Station Oceana, and Marine Corps Logistics Base Albany to: 1) include methods for energy savings performance contracts on how to validate periodic contractor-claimed energy savings in post-installation and annual measurement and validation reports; and 2) include methods on how to monitor the specifics of the individual energy conservation measures listed in the energy savings performance contract to aid in properly monitoring the onsite performance of energy savings performance contracts. | 3 months 12 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2018-050 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.1.a | The DoD OIG recommended that the Naval Facilities and Engineering Command Commander direct the Naval Facilities Engineering Command–Expeditionary Warfare Center contracting officer to validate contractor-proposed currency adjustments for the Commander Fleet Activities Yokosuka performance of September 1, 2008, through August 31, 2017 (performance years 1, 2, 3, 4, 5, 6, 7, 8, and 9). | 3 months 12 days |
| B.1.b.1 | The DoD OIG recommended that the Naval Facilities and Engineering Command Commander direct the Naval Facilities Engineering Command–Expeditionary Warfare Center contracting officer to recover the unsupported currency fluctuation payments calculated by the audit at \$250,000. | 3 months 12 days |
| B.1.b.2 | The DoD OIG recommended that the Naval Facilities and Engineering Command Commander direct the Naval Facilities Engineering Command–Expeditionary Warfare Center contracting officer to follow the contractually required process to calculate and approve currency adjustments in future years or modify contract Clause H-27 and the detailed energy survey to establish a revised process. | 3 months 12 days |
| B.1.c | The DoD OIG recommended that the Naval Facilities and Engineering Command Commander issue guidance to adjust electronic accounting systems to record and account for future year payment revisions created by contractual currency rate adjustments. | 3 months 12 days |
| B.1.d | The DoD OIG recommended that the Naval Facilities and Engineering Command Commander direct the Naval Facilities Engineering Command–Expeditionary Warfare Center Director to provide training to all Energy Savings Performance Contract contracting officials on adjustments to the electronic accounting systems to record and account for future year payment revisions created by contractual currency rate adjustments. | 3 months 12 days |

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2018-063, "Navy and Marine Corps Management of Relocatable Buildings," January 29, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest, Public Works Department, Naval Base Coronado (formerly known as Chief of Directorate of Public Works, Naval Base Coronado) coordinate with the Naval Special Warfare Command and apply for approval of relocatable buildings that initially were never submitted for approval. | 2 months 2 days |
| A.1.b | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest, Public Works Department, Naval Base Coronado (formerly known as Chief of Directorate of Public Works, Naval Base Coronado) coordinate with the Naval Special Warfare Command and establish exit strategies for relocatable buildings that do not have one. | 2 months 2 days |
| A.1.c | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest, Public Works Department, Naval Base Coronado (formerly known as Chief of Directorate of Public Works, Naval Base Coronado) coordinate with the Naval Special Warfare Command to ensure personnel are performing and following the required lease versus buy analysis before extending existing leases or obtaining additional relocatable buildings. | 2 months 2 days |
| A.2.a | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest, Public Works Department Naval Base San Diego (formally known as Chief of Directorate of Public Works, Naval Base San Diego) coordinate with the Naval Supply Systems Command Fleet Logistics Center and apply for approval of relocatable buildings that initially were never submitted for approval. | 2 months 2 days |
| A.2.b | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest, Public Works Department Naval Base San Diego (formally known as Chief of Directorate of Public Works, Naval Base San Diego) coordinate with the Naval Supply Systems Command Fleet Logistics Center and establish exit strategies for relocatable buildings that do not have one. | 2 months 2 days |
| A.2.c | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Southwest, Public Works Department Naval Base San Diego (formally known as Chief of Directorate of Public Works, Naval Base San Diego) coordinate with the Naval Supply Systems Command Fleet Logistics Center to ensure personnel are performing the required lease versus buy analysis before extending existing leases or obtaining additional relocatable buildings. | 2 months 2 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2018-063 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.3.a | The DoD OIG recommended that the Navy Installations Command Commander issue guidance to emphasize that tenant organization personnel on Navy installations coordinate the acquisition of relocatable buildings with the installation's Department of Public Works personnel. | 2 months 2 days |
| A.3.b | The DoD OIG recommended that the Navy Installations Command Commander develop procedures to ensure Department of Public Works staff are disposing of their excess relocatable buildings through Defense Logistics Agency–Disposition Services as required by DoD Manual 4160.21 and Office of the Chief of Naval Operations Instruction 11010.33C. | 2 months 2 days |
| B.2.a | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command and Chief of Civil Engineers revise the Naval Facilities Engineering Command's Real Property Inventory Procedures Manual to reflect updates made to DoD Instruction 4165.56 and train Department of Public Works personnel on the proper classification of relocatable buildings. | 2 months 2 days |
| B.2.b | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command and Chief of Civil Engineers develop procedures to ensure that the Department of Public Works personnel properly apply the interim facility requirement when classifying relocatable buildings as required by DoD Instruction 4165.56. | 2 months 2 days |
| B.4 | The DoD OIG recommended that the Chief of Naval Operations revise the Office of the Chief of Naval Operations Instruction 11010.33C to reflect updates made to DoD Instruction 4165.56 and train Department of Public Works personnel on the proper classification of relocatable buildings. | 2 months 2 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2018-069, "Navy Single-Award Indefinite-Delivery Indefinite-Quantity Contracts," February 1, 2018**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.b | The DoD OIG recommended that the Deputy Assistant Secretary of the Navy (Acquisition and Procurement) review the adequacy of existing Navy policies and procedures pertaining to the preparation, review, and reporting of determination and findings documents for single-award, indefinite-delivery indefinite-quantity contracts and ensure that the processes used meet Federal and DoD requirements. | 1 month 30 days |
| 1.c | The DoD OIG recommended that the Deputy Assistant Secretary of the Navy (Acquisition and Procurement), based on the results of the review, provide updated instructions to the workforce, through training or updated guidance, on any areas requiring clarification to ensure the application of Federal and DoD requirements. The updated instructions should clearly define what information must be in the determination and findings document to ensure that the standalone document fully supports a single-award determination, and the processes used to report a determination and findings document to Congress and Defense Procurement and Acquisition Policy. | 1 month 30 days |

Report No. DODIG-2018-074, "The U.S. Navy's Oversight and Administration of the Base Support Contracts in Bahrain," February 13, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia establish a summary of the contracting officer's representatives' oversight responsibilities. | 1 month 18 days |
| A.1.b | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia provide base operating support contract specific training to each incoming contracting officer's representative on these oversight responsibilities, including the delegation of responsibilities, and on the base operating support contract requirements. | 1 month 18 days |
| A.1.c | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia provide periodic refresher training to each contracting officer's representative. | 1 month 18 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2018-074 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.d | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia review performance assessment representative responsibilities and monitor the contracting officer's representatives' usage of performance assessment representatives. | 1 month 18 days |
| A.2.a | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia coordinate with Public Works Department–Bahrain to tailor the Naval Support Activity–Bahrain and Isa Air Base performance assessment plans and functional assessment plans so that all levels of the contractual requirements are periodically assessed and all of the assessment criteria is clearly specified in the functional assessment plans. | 1 month 18 days |
| A.2.b | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia coordinate with Public Works Department–Bahrain to provide each performance assessment representative with the applicable regulations and standards for his oversight area, such as Manual of Naval Preventive Medicine for the Isa Air Base galley performance assessment representative. | 1 month 18 days |
| A.2.c | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia coordinate with Public Works Department–Bahrain to train performance assessment representatives on proper assessment procedures. | 1 month 18 days |
| B.1 | The DoD OIG recommended that the Commanders of Naval Facilities Engineering Command Atlantic and Europe, Africa, Southwest Asia update their delegation procedures to ensure the procuring contracting officer explicitly assigns all contract administration functions immediately after award. The updated delegation procedures should assign responsibility for contract file maintenance, property accountability, and Combating Trafficking in Persons compliance. The delegation procedures should also include procedures for reassignment upon transition of personnel. | 1 month 18 days |
| B.2.a | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia require the administrative contracting officer to routinely monitor the files for completeness and accuracy. | 1 month 18 days |
| B.2.b | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia train contracting officer's representatives on contract file contents. | 1 month 18 days |

APPENDIX A

*Department of the Navy (cont'd)***Report No. DODIG-2018-074 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2.c | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia implement a records retention method that will ensure files are available upon transition in personnel. | 1 month 18 days |
| B.3.a | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia coordinate with the Public Works Department–Bahrain Commander, to create an updated contract attachment that reflects the correct amount of Government-furnished property provided to the Isa Air Base support contractor and ensure the updated attachment is used to modify the Government-furnished property records in the U.S. Navy accountable property system of record and the contractor's property management system. | 1 month 18 days |
| B.3.b | The DoD OIG recommended that the Commander of Naval Facilities Engineering Command Europe, Africa, Southwest Asia delegate property administration duties to the contracting office in Bahrain, including ensuring the contracting officer's representative is performing annual reconciliations of the U.S. Navy records with the contractor's records. | 1 month 18 days |
| B.4.a | The DoD OIG recommended that the Commanders of Naval Facilities Engineering Command Atlantic and Europe, Africa, Southwest Asia institute proactive procedures to ensure the contractor's compliance with Combating Trafficking in Persons requirements; specifically, for future base operating support service contracts, include the 2015 Federal Acquisition Regulation Combating Trafficking in Persons clause and require contractors to submit a certification that the offeror has a Combating Trafficking in Persons compliance plan before award and annual certifications of Combatting Trafficking in Persons compliance. | 1 month 18 days |
| B.4.b | The DoD OIG recommended that the Commanders of Naval Facilities Engineering Command Atlantic and Europe, Africa, Southwest Asia institute proactive procedures to ensure the contractor's compliance with Combating Trafficking in Persons requirements; specifically, for future base operating support service contracts, update their performance assessment plans, functional assessment plans, and Performance Assessment User Guide to include procedures to monitor a contractor's compliance with Combating Trafficking in Persons requirements. | 1 month 18 days |

APPENDIX A

Department of the Navy (cont'd)

Report No. DODIG-2018-092, "DoD Emergency Management Programs in the U.S. Africa Command," March 28, 2018 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Installation Commander, [REDACTED], verify that incoming personnel assigned to the emergency management office at [REDACTED], have received required emergency management training outlined in DoD Instruction 6055.17, "DoD Emergency Management Program," February 13, 2017, and Commander, Navy Installations Command Instruction 3440.17, "Navy Installation Emergency Management (EM) Program," January 23, 2006, and require completion of training within one month for staff without the required emergency management training. | 3 days |
| 1.b | The DoD OIG recommended that the Installation Commander, [REDACTED], review and if appropriate, sign any emergency management-related support agreements revised as a result of the annual reviews. | 3 days |
| 2 | The DoD OIG recommended that the Installation Emergency Manager, [REDACTED], establish procedures to ensure emergency management-related support agreements are annually reviewed and documented by the responsible offices. | 3 days |
| 9 | Recommendation is Classified. | 3 days |

APPENDIX A

*U.S. Marine Corps***Report No. D-2011-060, “Marine Corps Inventory of Small Arms Was Generally Accurate but Improvements Are Needed for Related Guidance and Training,” April 22, 2011**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.a | The DoD OIG recommended that the Deputy Commandant, Plans, Policies, and Operations, update the small arms accountability guidance in Marine Corps Order 5530.14A consistent with Marine Corps Bulletin 4440 and the updates to Marine Corps Order 8300.1C. | 6 years 11 months 9 days |

Report No. DODIG-2014-001, “MV-22 Squadrons Could Improve Reporting of Mission Capability Rates and Readiness,” October 23, 2013 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.a | The DoD OIG recommended that the Deputy Commandant for Plans, Policies, and Operations require mandatory training and establish refresher training for all MV-22 operations personnel on how to complete readiness reports in the Defense Readiness Reporting System–Marine Corps. | 4 years 5 months 8 days |
| 2.b.(1)-(2) | The DoD OIG recommended that the Deputy Commandant for Plans, Policies, and Operations revise the Commander’s Readiness Handbook, January 2012 to include at a minimum: 1) detailed examples of the commander’s category level remarks and equipment condition level remarks; and 2) in the Commander’s Checklist, the equipment condition data elements and a requirement for the commander’s signature on the readiness reports to attest to the accuracy of information provided. | 4 years 5 months 8 days |
| 2.c | The DoD OIG recommended that the Deputy Commandant for Plans, Policies, and Operations require submission of the revised Commander’s Checklist with the readiness report. | 4 years 5 months 8 days |

APPENDIX A

U.S. Marine Corps (cont'd)

Report No. DODIG-2014-100, “Assessment of DoD Wounded Warrior Matters: Selection and Training of Warrior Transition Unit and Wounded Warrior Battalion Leaders and Cadre,” August 22, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.a | The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs, modify the Table of Organization and Equipment to appropriately reflect the required Wounded Warrior Battalion leaders and cadre manning levels to sustain the mission. | 3 years 7 months 3 days |
| 2.b | The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs, revalidate whether the manning precedence level category of Wounded Warrior Battalion–East and Wounded Warrior Battalion–West should be changed in the Commandant of the Marine Corps Precedence Levels for Manning and Staffing. | 3 years 7 months 3 days |
| 2.c | The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs, develop risk mitigation plans, procedures, and contingencies for Wounded Warrior Battalion active duty and Reserve forces to ensure Wounded Warrior Battalion leadership billets are not largely dependent on Reserve Individual Mobilization Augmentee authorizations. | 3 years 7 months 3 days |
| 2.d | The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs should develop policy and procedures to extend the standard length of Wounded Warrior Battalion Reserve Individual Mobilization Augmentee assignments to 2 years, to ensure greater stability in force structure, staff continuity, and to sustain the mission. | 3 years 7 months 3 days |
| 3.a | The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs establish a standard formalized screening, selection, and assignment process for Enlisted Active Component Marines filling Wounded Warrior Battalions positions similar to the process currently used for Reserve Individual Mobilization augmentee Marines. | 3 years 7 months 3 days |
| 3.b | The DoD OIG recommended that the Deputy Commandant for Manpower and Reserve Affairs establish a standard review process whereby regiment and battalion leaders can interview potential Enlisted Active Component U.S. Marine Corps Wounded Warrior Battalions candidates to ensure they are the “best fit” and most qualified to better serve the Marines in the Wounded Warrior Battalions. | 3 years 7 months 3 days |

APPENDIX A

U.S. Marine Corps (cont'd)

Report No. DODIG-2015-016, “Department of Defense Suicide Event Report Data Quality Assessment,” November 14, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.b | The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate Recommendation 1.a. | 3 years 4 months 17 days |
| 2.b | The DoD OIG recommended that the Chiefs of the Military Services update their policies to incorporate Recommendation 2.a. | 3 years 4 months 17 days |
| 3.d | The DoD OIG recommended that the Chiefs of the Military Services update guidance to: 1) identify subject matter experts to provide Department of Defense Suicide Event Report tech support to address questions, and 2) adapt and implement the proposed standard operating procedure/guidelines for Department of Defense Suicide Event Report submission process to help Department of Defense Suicide Event Report submitters understand the various sources of information (for example, military law enforcement and medical) needed to submit a complete Department of Defense Suicide Event Report. | 3 years 4 months 17 days |
| 4.e | The DoD OIG recommended that the Chiefs of the Military Services update policies to integrate Department of Defense Suicide Event Report data collection and submission practices into their Service suicide prevention lessons learned processes. | 3 years 4 months 17 days |

Report No. DODIG-2015-117, “U.S. Cyber Command and Military Services Need to Reassess Processes for Fielding Cyber Mission Force Teams,” April 30, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| 4 | Recommendation is Classified. | 2 years 11 months 1 day |

APPENDIX A

U.S. Marine Corps (cont'd)

Report No. DODIG-2015-143, "Patriot Express Program Could Be More Cost-Effective for Overseas Permanent Change of Station and Temporary Duty Travel," July 6, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 5 | The DoD OIG recommended that the Director, U.S. Marine Corps Logistics Plans, Policy, and Strategic Mobility, in coordination with the Commander, U.S. Transportation Command, perform a review to determine the primary reasons why passengers do not show up for, or cancel, booked Patriot Express flights and implement any necessary changes to the program, such as developing cancellation guidelines, to minimize the burden of no-show passengers. | 2 years 8 months 25 days |

Report No. DODIG-2015-159, "Follow-up Audit: More Improvements Needed for the Development of Wounded Warrior Battalion-East Marines' Recovery Plans," August 7, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.d | The DoD OIG recommended that the Director, U.S. Marine Corps Regional Contracting Office—National Capital Region should initiate a performance review of the Wounded Warrior Regiment contracting officer(s) for the Recovery Care Coordinator contract to determine whether administrative actions are warranted. | 2 years 7 months 24 days |

APPENDIX A

U.S. Marine Corps (cont'd)

Report No. DODIG-2016-019, "Small Business Contracting at Marine Corps Systems Command Needs Improvement," November 10, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.5 | The DoD OIG recommended that the Assistant Commander for Contracts, Marine Corps Systems Command, establish guidance for contracting officers for reviewing, approving, and administering subcontracting plans, and to verify contractors submit the required subcontracting reports to the Electronic Subcontracting Reporting System. | 2 years 4 months 21 days |

Report No. DODIG-2016-026, "Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions," November 24, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Commander, U.S. Cyber Command, and the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the U.S. Marine Corps develop a doctrine, organization, training, materiel, leadership and education, personnel, facilities, and policy framework that address strategies to build, grow, and sustain the Cyber Mission Force. | 2 years 4 months 7 days |
| 2 | The DoD OIG recommended that the Commander, U.S. Cyber Command, and the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the U.S. Marine Corps formalize an agreement to focus capability development on functional and mission areas consistent with results of the mission alignment board. | 2 years 4 months 7 days |

APPENDIX A

U.S. Marine Corps (cont'd)

Report No. DODIG-2017-125, “Naval Facilities Engineering Command Southwest and Marine Corps Base Camp Pendleton Officials’ Use of Utility Energy Services Contracts,” September 28, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1 | The DoD OIG recommended that the Commanding Officer of Marine Corps Installation West–Marine Corps Base Camp Pendleton, direct the Installation Energy Manager at the Marine Corps Base Camp Pendleton Energy Office to develop and implement a process to track realized energy savings for Utility Energy Services Contracts. | 6 months 3 days |

Report No. DODIG-2018-035, “Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations,” December 4, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| G.1.a | The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 37 fingerprint cards and 46 final disposition reports of the Marine Corps that are not on file in the Federal Bureau of Investigation Next Generation Identification database. | 3 months 27 days |
| G.1.b | The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to immediately perform a comprehensive review of all Marine Corps criminal investigative databases and files to ensure all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses at least to 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and Federal Bureau Investigation requirements. | 3 months 27 days |

APPENDIX A

*U.S. Marine Corps (cont'd)***Report No. DODIG-2018-035 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| G.1.c | The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to ensure Marine Corps command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure such compliance is included as a special interest item in Inspector General inspections and is conducted. | 3 months 27 days |
| G.1.d | The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to conduct a comprehensive review of Marine Corps criminal history reporting programs to ensure all fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11, and have been implemented. | 3 months 27 days |
| G.1.e | The DoD OIG recommended that the Secretary of the Navy and Commandant of the Marine Corps take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and deoxyribonucleic acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases. | 3 months 27 days |

Report No. DODIG-2018-046, "DoD Antiterrorism Programs," December 21, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the U.S. Marine Corps Deputy Commandant for Plans, Policies, and Operations revise guidance requiring the use of the Core Vulnerability Assessment Management Program in accordance with DoD Instruction O-2000.16, volume 1. | 3 months 10 days |

APPENDIX A

*U.S. Marine Corps (cont'd)***Report No. DODIG-2018-060, "Marine Corps Assault Amphibious Vehicle Survivability Upgrade," January 4, 2018 (Full Report is FOUO)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Program Executive Officer Land Systems reestablish a cost control to minimize procurement costs, focusing on mission needs instead of available funding. | 2 months 27 days |
| 1.b | The DoD OIG recommended that the Program Executive Officer Land Systems verify that a troop commander wearing full personal protective equipment can exit the rear of the vehicle before procuring additional low-rate initial production vehicles. | 2 months 27 days |
| 1.c | The DoD OIG recommended that the Program Executive Officer Land Systems develop a solution, in coordination with Headquarters Marine Corps, Combat Development and Integration, for the Assault Amphibious Vehicle Survivability Upgrade to demonstrate the required level of reliability before procuring additional low-rate initial production vehicles. | 2 months 27 days |

Report No. DODIG-2018-063, "Navy and Marine Corps Management of Relocatable Buildings," January 29, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.4.a | The DoD OIG recommended that the Chief of Directorate of Public Works at Marine Corps Base Camp Pendleton apply for approval of relocatable buildings that initially were never submitted for approval. | 2 months 2 days |
| A.4.b | The DoD OIG recommended that the Chief of Directorate of Public Works at Marine Corps Base Camp Pendleton establish exit strategies for relocatable buildings that do not have one. | 2 months 2 days |
| A.4.c | The DoD OIG recommended that the Chief of Directorate of Public Works at Marine Corps Base Camp Pendleton request extensions for relocatable buildings that are past the established expiration date and still needed or terminate the use of the relocatable buildings that are no longer needed. | 2 months 2 days |

APPENDIX A

*U.S. Marine Corps (cont'd)***Report No. DODIG-2018-063 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.5.a | The DoD OIG recommended that the Chief of Directorate of Public Works at Marine Corps Base Camp Lejeune apply for approval of relocatable buildings that initially were never submitted for approval. | 2 months 2 days |
| A.5.b | The DoD OIG recommended that the Chief of Directorate of Public Works at Marine Corps Base Camp Lejeune develop procedures to ensure personnel are performing the required lease versus buy analysis before extending existing leases or obtaining additional relocatable buildings. | 2 months 2 days |
| B.3.a | The DoD OIG recommended that the Commandant of the Marine Corps revise Marine Corps Order 11000.12, appendix G, and the Marine Corps Headquarters GF-6 Real Estate and Real Property Accountability Handbook to reflect updates made to DoD Instruction 4165.56 and train Department of Public Works personnel on the proper classification of relocatable buildings. | 2 months 2 days |
| B.3.b | The DoD OIG recommended that the Commandant of the Marine Corps develop procedures to ensure that the Department of Public Works personnel properly apply the interim facility requirement when classifying relocatable buildings as required by DoD Instruction 4165.56. | 2 months 2 days |
| B.3.c | The DoD OIG recommended that the Commandant of the Marine Corps revise guidance to separate non-relocatable buildings from properly classified relocatable buildings within the Internet Navy Facility Assets Data Store system for tracking all facilities if fire and emergency services are needed. | 2 months 2 days |

APPENDIX A

*Department of the Air Force***Report No. D-2006-077, “DoD Personnel Security Clearance Process at Requesting Activities,” April 19, 2006**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3.a-f | The DoD OIG recommended that the Army Deputy Chief of Staff for Intelligence; the Director, Naval Criminal Investigative Service; the Air Force Director of Security Forces, Information Security; the Director, Defense Information Systems Agency; and the Director, Defense Logistics Agency update policies for the DoD personnel security clearance program to include the following areas: a) program management responsibilities; b) agencies responsible for conducting personnel security investigations (PSI) and investigative responsibilities; c) security clearance systems for tracking security clearance information; d) Personnel Security Investigation submission processes; e) the relationship among the levels of security clearances, types of PSIs required for different levels of clearance, and scopes of investigations to include documentation required for each PSI; and f) training requirements for security personnel. | 11 years 11 months 12 days |

Report No. DODIG-2012-079, “Review of United States Air Force Nuclear Weapon Security Program,” April 20, 2012 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| 1.a | Recommendation is Classified. | 5 years 11 months 11 days |

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2013-035, "Better Reporting and Certification Processes Can Improve Red Teams' Effectiveness," December 21, 2012
(Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|--|
| B.7 | (FOUO) [REDACTED] | 5 years 3 months 10 days |
| (FOUO) | | |

Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June 28, 2013

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|--|
| 2.c | The DoD OIG recommended that the Assistant Secretary of the Navy for Manpower and Reserve Affairs and Assistant Secretary of the Air Force for Manpower and Reserve Affairs, update the applicable service manuals to reflect upcoming Under Secretary of Defense for Acquisition, Logistics, and Technology policy. | 4 years 9 months 3 days |
| 3.b | The DoD OIG recommended that the Executive Director of the Army National Cemeteries Program, Assistant Secretary of the Navy for Manpower and Reserve Affairs, and Assistant Secretary of the Air Force for Manpower and Reserve Affairs, update current publications to reflect Under Secretary of Defense for Personnel and Readiness and Under Secretary of Defense for Acquisition, Logistics, and Technology guidance. | 4 years 9 months 3 days |

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2014-038, "Air Force Life Cycle Management Center Could Not Identify Actual Cost of F119 Engine Spare Parts Purchased from Pratt and Whitney," February 10, 2014 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Commander, Air Force Life Cycle Management Center, develop a process to identify and document actual spare part costs for 2010 and each subsequent year on contract FA8611-08-C-2896 for use in determining fair and reasonable prices. | 4 years 1 month 21 days |
| 2 | The DoD OIG recommended that the Commander, Air Force Life Cycle Management Center, develop an action plan with defined milestones to transition to a firm-fixed-price contract for F119 engine spare parts. | 4 years 1 month 21 days |

Report No. DODIG-2014-121, "Military Housing Inspections – Japan," September 30, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A | The DoD OIG recommended that the respective Military Departments, as applicable: a) conduct an effective root cause analysis and corrective action for all 1,057 deficiencies in this report; b) ensure that these deficiencies do not exist in other housing units; and c) ensure the inspection, maintenance, and repair program is in compliance with applicable codes and standards for fire protection systems, electrical systems, and environmental health and safety. | 3 years 6 months 1 day |
| B | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with requirements for fire protection systems. | 3 years 6 months 1 day |
| C | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with requirements for electrical systems. | 3 years 6 months 1 day |

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2014-121 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| D.1 | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with environmental health and safety requirements. | 3 years 6 months 1 day |
| E | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that housing management systems and processes are fully implemented and followed for all installations. This includes improving processes for tracking service calls and work orders through completion, ensuring that inspections and maintenance are conducted as required, and analyzing historical work order information for trends and lessons learned to improve housing management programs. | 3 years 6 months 1 day |

Report No. DODIG-2015-013, "Military Housing Inspections – Republic of Korea," October 28, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A | The DoD OIG recommended that the respective Military Departments, as applicable: a) conduct an effective root cause analysis and corrective action for all 646 deficiencies in this report; b) ensure that these deficiencies do not exist in other housing units; and c) ensure the inspection, maintenance, and repair program is in compliance with applicable codes and standards for fire protection systems, electrical systems, and environmental health and safety. | 3 years 5 months 3 days |
| B | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with requirements for fire protection systems. | 3 years 5 months 3 days |
| C | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with requirements for electrical systems. | 3 years 5 months 3 days |

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2015-013 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| D.1 | The DoD OIG recommended that the respective Military Departments, as applicable, ensure that sufficient, qualified resources are available and assigned to inspect and verify that all housing buildings and units are in compliance with environmental health and safety requirements. | 3 years 5 months 3 days |
| E | The DoD OIG recommended that the respective Military Departments, as applicable, ensure housing management policies are implemented and procedures are followed. | 3 years 5 months 3 days |

Report No. DODIG-2015-039, "C-5 Reliability Enhancement and Re-engining Program Hotline Evaluation," November 18, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| E.1 | The DoD OIG recommended that the C-5 Reliability Enhancement and Re-engining Program System Program Office work with the Procuring Contracting Officer to change the contract to require Lockheed Martin to accumulate and report costs in the appropriate Cost Accounting Standard-compliant manner.* | 3 years 4 months 13 days |

* Recommendation E.1 was listed under DCMA in the 2017 Compendium; however, DCMA has since clarified that the Air Force has responsibility to implement Recommendation E.1.

APPENDIX A

Department of the Air Force (cont'd)

**Report No. DODIG-2015-052, "Air Force Life Cycle Management Center's Management of F119 Engine Spare Parts Needs Improvement,"
December 19, 2014 (Full Report is FOUO)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Director, Air Force Life Cycle Management Center, develop and implement a process to monitor Pratt and Whitney's management of obsolete and excess spare parts, and verify enough spare parts are available to sustain the F119 engines through 2017. | 3 years 3 months 12 days |
| A.3 | The DoD OIG recommended that the Director, Air Force Life Cycle Management Center, develop and implement a process to modify funding for purchasing spare parts when Air Force, Operations, Plans and Requirements, A3/5, provides actual flight hours which can change the flight hour requirements. | 3 years 3 months 12 days |
| A.4 | The DoD OIG recommended that the Director, Air Force Life Cycle Management Center, develop and implement a process to verify that Pratt and Whitney reviews and, if appropriate, disposes of unavailable inventory on an at least annual basis. | 3 years 3 months 12 days |
| A.5 | The DoD OIG recommended that the Director, Air Force Life Cycle Management Center, clarify Defense Contract Management Agency's responsibility to formally accept F119 Engine spare parts on behalf of Life Cycle Management Center. | 3 years 3 months 12 days |
| A.6 | The DoD OIG recommended that the Director, Air Force Life Cycle Management Center, coordinate with Air Force Materiel Command, Headquarters, Logistics, A4, to report the spare parts located at the central inventory on the annual Department of the Air Force financial statements. | 3 years 3 months 12 days |
| B | The DoD OIG recommended that the Director, Air Force Life Cycle Management Center, develop and implement guidance that removes the nonrepair costs from the stabilized rate when calculating incentive fees for future contracts. | 3 years 3 months 12 days |
| C | The DoD OIG recommended that the Director, Air Force Life Cycle Management Center, review the mean time between maintenance and cost management metrics for 2010 to verify the incentive fees were accurate and, if they were not, take appropriate action to correct any potential underpayments or overpayments. | 3 years 3 months 12 days |

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2015-062, "DoD Needs Dam Safety Inspection Policy To Enable the Services To Detect Conditions That Could Lead to Dam Failure," December 31, 2014 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the Secretary of the Army, Navy, and Air Force, and the Commandant of the Marine Corps should implement a dam safety inspection program in accordance with DoD dam safety inspection policy, after that policy is issued. | 3 years 3 months |

Report No. DODIG-2015-090, "Evaluation of Aircraft Ejection Seat Safety When Using Advanced Helmet Sensors," March 9, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B | The DoD OIG recommended that the Navy and Air Force review and update the Joint Service Specification Guide to reflect changes in policy and technology that have occurred in the last 16 years. | 3 years 22 days |

Report No. DODIG-2015-117, "U.S. Cyber Command and Military Services Need to Reassess Processes for Fielding Cyber Mission Force Teams," April 30, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| 3 | Recommendation is Classified. | 2 years 11 months 1 day |
| 4 | Recommendation is Classified. | 2 years 11 months 1 day |

APPENDIX A

Department of the Air Force (cont'd)

**Report No. DODIG-2015-133, "Evaluation of the Integrated Tactical Warning and Attack Assessment's Mobile Ground System,"
June 18, 2015 (Full Report is Classified)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| A.3 | Recommendation is Classified. | 2 years 9 months 13 days |

Report No. DODIG-2015-138, "The Air Force Did Not Monitor the Energy Savings Performance Contract at Joint Base McGuire," June 29, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.d | The DoD OIG recommended that the Commander, Joint Base McGuire, require the 87th Civil Engineering Squadron develop a quality assurance surveillance plan that includes procedures to survey, observe, test, sample, evaluate, and validate contractor-reported energy savings for performance years 0 through 3 and maintenance activities. | 2 years 9 months 2 days |
| 1.e | The DoD OIG recommended that the Commander, Joint Base McGuire, require the 87th Civil Engineering Squadron develop and implement base-level controls covering contract voucher analysis and certification responsibilities. | 2 years 9 months 2 days |
| 2.a | The DoD OIG recommended that the Commander, 87th Contracting Squadron, direct the contracting officer to validate actual energy savings achieved for contract performance years 0 through 3 before approving additional contract payments. | 2 years 9 months 2 days |
| 2.b | The DoD OIG recommended that the Commander, 87th Contracting Squadron, direct the contracting officer to review payments made for performance years 0 through 3 and determine whether the contractor's performance warranted the energy savings paid to the contractor. | 2 years 9 months 2 days |

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2015-138 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.c | The DoD OIG recommended that the Commander, 87th Contracting Squadron, direct the contracting officer to review the quality assurance surveillance plan developed by program officials to determine whether the plan sufficiently addresses monitoring and documenting contractor performance. | 2 years 9 months 2 days |
| 2.d | The DoD OIG recommended that the Commander, 87th Contracting Squadron, direct the contracting officer to suspend plans to modify the Ameresco Select, Incorporated delivery order until the project is determined to be life-cycle cost effective and actual savings generated from the project have been validated in accordance with contract requirements. | 2 years 9 months 2 days |

Report No. DODIG-2015-143, “Patriot Express Program Could Be More Cost-Effective for Overseas Permanent Change of Station and Temporary Duty Travel,” June 6, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 4.b | The DoD OIG recommended that the Director, Headquarters Air Force Logistics, perform a review, in coordination with the Commander, U.S. Transportation Command, to determine the primary reasons why passengers do not show up for, or cancel, booked Patriot Express flights and implement any necessary changes to the program, such as developing cancellation guidelines, to minimize the burden of no-show passengers. | 2 years 8 months 25 days |

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2015-148, "Rights of Conscience Protections for Armed Forces Service Members and Their Chaplains," July 22, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the Army Deputy Chief of Staff for Personnel, the Chief of Naval Personnel, and the Air Force Deputy Chief of Staff for Manpower, Personnel, and Services streamline existing procedures to ensure requests for accommodation requiring waivers are evaluated within the timeframes established by Department of Defense Instruction 1300.17, including any changes made as a result of Recommendation 1. | 2 years 8 months 9 days |
| 3 | The DoD OIG recommended that the Air Force Deputy Chief of Staff for Manpower, Personnel, and Services track accommodations requiring waivers and the timeframes for approval to ensure compliance with Department of Defense Instruction 1300.17. | 2 years 8 months 9 days |

Report No. DODIG-2015-155, "U.S. Forces Korea Service Components Can Improve Management of Individual Protective Equipment," July 31, 2015 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|----------------------------------|
| 3.b | (FOUO) [REDACTED] | 2 years 8 months |
| (FOUO) | | |

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2015-168, "Air Force Commands Need to Improve Logical and Physical Security Safeguards That Protect SIPRNet Access Points," September 3, 2015 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|-------------------------------|--|
| A.4 | (FOUO) [REDACTED] | 2 years 6 months 28 days |
| A.5.a | (FOUO) [REDACTED] | 2 years 6 months 28 days |
| A.5.b | (FOUO) [REDACTED] | 2 years 6 months 28 days |
| A.6 | Recommendation is Classified. | 2 years 6 months 28 days |
| A.8.c | (FOUO) [REDACTED] | 2 years 6 months 28 days |
| A.9.c | (FOUO) [REDACTED] | 2 years 6 months 28 days |
| (FOUO) | | |

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2015-168 (Full Report is Classified) (cont'd)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|-------------------------------|--|
| A.10.a | Recommendation is Classified. | 2 years 6 months 28 days |
| A.10.b | Recommendation is Classified. | 2 years 6 months 28 days |
| A.10.c | Recommendation is Classified. | 2 years 6 months 28 days |
| A.10.d | (FOUO) [REDACTED] | 2 years 6 months 28 days |
| B.1 | (FOUO) [REDACTED] | 2 years 6 months 28 days |
| B.2 | (FOUO) [REDACTED] | 2 years 6 months 28 days |
| (FOUO) | | |

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2016-045, "DoD Could Save Millions in Profit Paid to Contractors in DoD Depot Labor," February 8, 2016
(Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the Commander, Air Force Sustainment Center, (for the AN/APN-241 Low Power Color Radar and the Digital Mapping System and Advanced Display Core Processor sustainment), and the Commander, Air Force Life Cycle Management Center, (for the C-17 aircraft), direct the responsible program offices to prepare or update a business case analysis that evaluates the partnership type by considering the costs, benefits, and best use of public and private sector capabilities to include the impact each type has on profit and fees. | 2 years 1 month 23 days |

Report No. DODIG-2016-059, "U.S. Air Force Spent Billions on F117 Engine Sustainment Without Knowing What a Fair Price Was," March 11, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition establish a baseline for the performance and costs of the F117 engine sustainment services before it awards any future performance-based logistics F117 engine sustainment contracts. | 2 years 20 days |
| 1.b | The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition include a reporting requirement in all future sole-source, commercial, performance-based logistics contracts for F117 engine sustainment services so that data other than certified cost or pricing data is collected that would permit future re-baselining of the F117 engine sustainment services. | 2 years 20 days |
| 1.c | The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition include metrics in any future performance-based logistics contracts for F117 engine sustainment services that would allow the Air Force to monitor the availability, reliability, and affordability of the F117 engine sustainment. | 2 years 20 days |

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2016-059 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.d | The DoD OIG recommended that the Assistant Secretary of the Air Force for Acquisition obtain and use actual cost data from the Globemaster III Integrated Sustainment Program contract to support negotiations of a future sole-source, performance-based logistics contract for F117 engine sustainment to ensure a fair and reasonable price is negotiated. | 2 years 20 days |

Report No. DODIG-2016-072, "DoD Needs to Improve Screening and Access Controls for General Public Tenants Leasing Housing on Military Installations," April 1, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force, and the Provost Marshal General, U.S. Army, issue or update guidance specifying the queries required to access the National Crime Information Center and the Interstate Identification Index files and conduct background checks in accordance with service regulations. | 1 year 11 months 30 days |
| 1.b | The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force, and the Provost Marshal General, U.S. Army, issue or update guidance that specifically addresses general public housing tenants' access badge expiration dates. | 1 year 11 months 30 days |
| 2.b | The DoD OIG recommended that the Director of Security Forces, Deputy Chief of Staff for Logistics, Engineering and Force Protection, Headquarters U.S. Air Force; U.S. Army Assistant Chief of Staff for Installation Management; and Commander, Navy Installations Command, instruct security officials to conduct a badge review for general public tenants living inside the installations and re-issue badges as needed to ensure the badge expiration date aligns with the end of the tenants' lease terms. | 1 year 11 months 30 days |

APPENDIX A

Department of the Air Force (cont'd)

**Report No. DODIG-2016-087, "Air Force Civil Engineer Center Management of Energy Savings Performance Contracts Needs Improvement,"
May 4, 2016**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Director, Air Force Civil Engineer Center, revise existing engineering technical letters to establish and maintain an energy-savings performance-contract mechanism to track energy savings and project status for planned, in-process, completed, and terminated Air Force energy-savings performance-contract projects. The database should include, but not be limited to, appropriate contract references, validated baselined energy savings achieved, payments made, and related primary energy-savings performance-contract documents such as preliminary assessments, investment-grade audits, measurement and verification plans, post-installation reports, annual measurement and verification reports, and Government technical analyses and validation documentation of such reports. | 1 year 10 months 27 days |
| 1.b | The DoD OIG recommended that the Director, Air Force Civil Engineer Center, conduct a review of existing electronic data management systems to determine if there is a system capable of tracking energy savings and project status. | 1 year 10 months 27 days |
| 1.c | The DoD OIG recommended that the Director, Air Force Civil Engineer Center, validate Joint Base Charleston energy savings performance contract savings achieved for performance years 2 through 8 as statutorily mandated and recommend the contracting officer take appropriate contractual action, such as recovering unrealized guaranteed energy savings or buying out the remaining portion of the contract. | 1 year 10 months 27 days |
| 1.d | The DoD OIG recommended that the Director, Air Force Civil Engineer Center, develop and maintain a process to distribute and coordinate Department of Energy-Federal Energy Management Program Energy Savings Performance Contract training for Air Force stakeholders. | 1 year 10 months 27 days |
| 1.e | The DoD OIG recommended that the Director, Air Force Civil Engineer Center, coordinate with the Department of Energy-Federal Energy Management Program to obtain Air Force Energy Savings Performance Contract training data. | 1 year 10 months 27 days |
| 1.g | The DoD OIG recommended that the Director, Air Force Civil Engineer Center, develop and implement a management plan for Air Force Civil Engineer Center and Base Civil Engineer oversight of existing Air Force energy savings performance contract projects. | 1 year 10 months 27 days |

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2016-114, "Actions Needed to Improve Reporting of Marine Corps, Navy, and Air Force Operating Materials and Supplies,"
July 26, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.2.a | The DoD OIG recommended that the Deputy Chief of Staff, Air Force Headquarters, A4, develop a plan to perform complete, quarterly reconciliations of Army-held Operating Materials and Supplies-Ammunition using alternative procedures that resolve all differences between the summary-level data provided by Army and used by Combat Ammunition System and Logistics Modernization Program until Combat Ammunition System is capable of receiving transaction-level data from the Army. | 1 year 8 months 5 days |
| A.2.b | The DoD OIG recommended that the Deputy Chief of Staff, Air Force Headquarters, A4, develop a plan to perform complete, quarterly reconciliations of Army-held Operating Materials and Supplies-Ammunition using the Combat Ammunition System once it is capable of receiving transaction-level data from the Army. | 1 year 8 months 5 days |
| B.2 | The DoD OIG recommended that the Commander, Naval Supply Systems Command, Global Logistics Support Ammo, and Deputy Chief of Staff Air Force Headquarters, A4, in coordination with the Assistant Secretaries of the Navy and Air Force (Financial Management and Comptroller), implement procedures to ensure ongoing compliance with DoD Regulation 7000.14-R, DoD Financial Management Regulation, volume 4, chapter 4 guidance for crosswalking Condition Codes to Operating Materials and Supplies categories. | 1 year 8 months 5 days |
| B.3 | The DoD OIG recommended that the Assistant Secretary of the Air Force (Financial Management and Comptroller) completely reconcile amounts reported by field locations in the summary data submission to General Accounting and Finance System-Re Engineered on a quarterly basis and resolve differences. | 1 year 8 months 5 days |

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2016-133, "Evaluation of the Integrated Tactical Warning/Attack Assessment Ground-Based Radars," September 8, 2016
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a | The DoD OIG recommended that the Chief of Staff, U.S. Air Force, develop an Integrated Tactical Warning/Attack Assessment Ground-Based Radar mission essential facility and equipment list that includes all necessary supporting infrastructure to ensure maintenance, testing and funding responsibilities are clearly defined. | 1 year 6 months 23 days |
| A.1.b | The DoD OIG recommended that the Chief of Staff, U.S. Air Force, define the Integrated Tactical Warning/Attack Assessment Ground-Based Radar weapon system to include all items on the essential facility and equipment list to ensure critical Nuclear Command, Control, and Communications maintenance issues are prioritized. | 1 year 6 months 23 days |
| B.1.a | The DoD OIG recommended that the Commander, Air Force Space Command, complete the planned Cavalier Air Force Station Studies and the Thule Air Base power protection study, then implement solutions at those sites to meet the requirements to be survivable and endurable. | 1 year 6 months 23 days |
| B.1.b | The DoD OIG recommended that the Commander, Air Force Space Command, ensure all scheduled Integrated Threat Warning/Attack Assessment Electromagnetic Pulse projects, to include final verification testing, are completed. | 1 year 6 months 23 days |

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2017-003, "The Air Force Needs to Improve Cost-Effectiveness and Availability of the Joint Surveillance Target Attack Radar System," November 1, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Senior Center Contracting Official, Robins Air Force Base, require the contracting officer to revise the Total System Support Responsibility contract clause to establish a procedure for the contracting officer to verify the appropriateness of all contractor-proposed over and above work before performance of the work as required by the Defense Federal Acquisition Regulation Supplement 252.217-7028. | 1 year 4 months 30 days |
| 1.b | The DoD OIG recommended that the Senior Center Contracting Official, Robins Air Force Base, require the contracting officer to establish evaluation criteria in the award-fee plan for Total System Support Responsibility contract option period 17 that adequately motivate Northrop Grumman to reduce cost and that discourage inefficiency, in accordance with Federal Acquisition Regulation 16.401(a)(2)(ii). | 1 year 4 months 30 days |
| 2.a | The DoD OIG recommended that the Program Executive Officer for Battle Management develop a requirement to determine the need for Government engineers to be located full-time at the Lake Charles Maintenance and Modification Center to provide technical support to the Joint Surveillance Target Attack Radar System contracting officer in determining whether contractor-proposed over and above work is appropriate. | 1 year 4 months 30 days |
| 2.b | The DoD OIG recommended that the Program Executive Officer for Battle Management direct the program manager for the Joint Surveillance Target Attack Radar System to perform a service-life study to determine if there are cost-effective options to sustain the aging fleet of E-8C aircraft to mitigate operational capability risks until the Next Generation Joint Surveillance Target Attack Radar System Recapitalization Program achieves full production. | 1 year 4 months 30 days |
| 3 | The DoD OIG recommended that the Program Executive Officer for Battle Management direct the Joint Surveillance Target Attack Radar System program manager, with support from the Joint Surveillance Target Attack Radar System contracting officer, to revise the sustainment metric requirement on the follow-on contract for Total System Support Responsibility contract option period 17 to link the aircraft availability metric requirement to the Air Control Wing user's desired outcome for aircraft availability, in accordance with the Performance Based Logistics Guidebook. | 1 year 4 months 30 days |

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2017-004, "Summary Report—Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts," October 14, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Secretaries of the Military Departments annually perform at least two comprehensive, independent inspections of installations. The purpose of these inspections is to verify compliance with all applicable health and safety requirements. | 1 year 5 months 17 days |

Report No. DODIG-2017-039, "Requirements for the Air Force Civilian Pay Budget Still Need Improvement," January 5, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.d | The DoD OIG recommended that the Assistant Secretary of the Air Force (Financial Management and Comptroller) develop written procedures for the civilian pay budget process. At a minimum, the procedures should require Air Force budget officials to document decisions when making adjustments to the civilian pay requirements throughout the Air Force Corporate Structure budget review process. | 1 year 2 months 26 days |

Report No. DODIG-2017-070, "Evaluation of the National Airborne Operations Center Mission Sustainment and Modernization," March 23, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| A.1.a | Recommendation is Classified. | 1 year 8 days |

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2017-093, "Control Systems Supporting Tier I Task Critical Assets Lacked Basic Cybersecurity Controls," June 15, 2017
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 3 | The DoD OIG recommended that the Headquarters Air Force Director of Civil Engineers develop and implement cybersecurity training for all civil engineer personnel responsible for control system cybersecurity management. | 9 months 16 days |

Report No. DODIG-2017-094, "Audit of Air Force Munitions Requirements and Storage Facilities in the Republic of Korea," June 26, 2017
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.b | The DoD OIG recommended that the 7th Air Force Commander implement the Assistant Secretary of the Air Force for installations, Environment and Energy's 18-month plan, when approved. | 9 months 5 days |
| A.1.c | The DoD OIG recommended that the 7th Air Force Commander implement proposed actions resulting from the Air Force Chief of Safety report, after the report is issued. | 9 months 5 days |
| B.1.a | The DoD OIG recommended that the HAF/A4 develop guidance that requires installation munitions personnel to verify and correct net explosive weight placards in each munitions storage facility during annual inspections. | 9 months 5 days |
| B.1.b | The DoD OIG recommended that the HAF/A4 develop guidance that requires installation munitions personnel to update all Combat Ammunition System information, including facility data, when explosive limits change. | 9 months 5 days |

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2017-094 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.1.c | The DoD OIG recommended that the HAF/A4 develop guidance that requires installation munitions personnel to manage and oversee all Combat Ammunition System overrides related to munitions storage, including download, review, and approval of override reports, and acceptance of the associated explosive risks if they decide not to move explosives to comply with explosive limits. | 9 months 5 days |
| C.1.a | The DoD OIG recommended that the 7th Air Force Commander correct the maintenance deficiencies identified in Appendix B. | 9 months 5 days |
| C.1.b | The DoD OIG recommended that the 7th Air Force Commander conduct an inspection of facilities and immediately correct deficiencies that would affect life and safety, categorize the remaining deficiencies by importance, and schedule corrective actions in accordance with DoD and Air Force standards. | 9 months 5 days |
| C.1.c | The DoD OIG recommended that the 7th Air Force Commander provide maintenance at facilities scheduled to close until the actual closing date. | 9 months 5 days |
| C.1.d | The DoD OIG recommended that the 7th Air Force Commander require maintenance personnel to complete outstanding work orders. | 9 months 5 days |
| C.1.e | The DoD OIG recommended that the 7th Air Force Commander ensure the Republic of Korea Air Force complies with the terms established in the Munitions Activities Gained by Negotiations between the United States Air Force and Republic of Korea Air Force Memorandum of Understanding by addressing the maintenance deficiencies identified at those facilities. | 9 months 5 days |

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2017-104, "Followup on DoD OIG Report No. DODIG-2015-013, 'Military Housing Inspections – Republic of Korea,' October 24, 2014," July 20, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Secretary of the Army and the Secretary of the Air Force involve U.S. Forces Korea and other entities, such as the Army Installation Management Command and the Air Force Installation and Mission Support Center, to perform oversight and provide guidance on addressing and closing Finding A of this report. | 8 months 11 days |

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.1 | The DoD OIG recommended that the Secretary of the Navy and the Secretary of the Air Force, along with the Chairman of the Joint Chiefs of Staff, develop joint mission-essential task lists for an explosive ordnance disposal response to a nuclear weapon incident. | 8 months 3 days |
| B.5 | The DoD OIG recommended that the Secretary of the Air Force revise Air Force Instruction 32-3001, "Explosive Ordnance Disposal Program," November 14, 2014, (Incorporating Change 2, May 13, 2016), to align Custodial Explosive Ordnance Disposal guidance with Presidential Directives. | 8 months 3 days |
| C | The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Defense Threat Reduction Agency Director and the Deputy Assistant Secretary of Defense for Countering Weapons of Mass Destruction, comply with Presidential Policy Directive 35 and develop and field secure communications between custodial explosive ordnance disposal units and national responders. | 8 months 3 days |

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2018-029, "Followup Audit: Basic Expeditionary Airfield Resources Support and Repair Spare Kits," November 16, 2017
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Headquarters Air Force/A4L Director update Air Force Instruction 25-101 to include the War Reserve Materiel Global Management Office's requirement to conduct annual War Reserve Materiel Unit Type Code Requirements Document and War Plans Additive Requirements review. | 4 months 15 days |
| 1.b | The DoD OIG recommended that the Headquarters Air Force/A4L Director update Air Force Instruction 25-101 to include a process that this report recommends to reconcile Basic Expeditionary Airfield Resources support and repair spare kit on-hand inventories with Basic Expeditionary Airfield Resources requirements. | 4 months 15 days |
| 2.a | The DoD OIG recommended that the U.S. Pacific Air Forces Commander comply with the War Reserve Materiel Global Management Office's annual cycle for validating requirements by June 1, 2018. | 4 months 15 days |
| 2.b | The DoD OIG recommended that the U.S. Pacific Air Forces Commander ensure that base commanders promptly update their inventories upon receipt of the annually validated requirements. | 4 months 15 days |

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| E.1.a | The DoD OIG recommended that the Secretary of the Air Force take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 12 Air Force Office of Special Investigations (AFOSI) fingerprint cards and 13 AFOSI final disposition reports that are not in the Federal Bureau of Investigation Next Generation Identification database. | 3 months 27 days |

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2018-035 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| E.1.b | The DoD OIG recommended that the Secretary of the Air Force take prompt action to submit to immediately perform a comprehensive review of all Air Force Office of Special Investigations (AFOSI) criminal investigative databases and files to ensure all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses at least to 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and Federal Bureau of Investigation requirements. | 3 months 27 days |
| E.1.c | The DoD OIG recommended that the Secretary of the Air Force take prompt action to ensure that Air Force Office of Special Investigations (AFOSI) command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is included as a special interest item in AFOSI Inspector General inspections, and is actually conducted. | 3 months 27 days |
| E.1.d | The DoD OIG recommended that the Secretary of the Air Force take prompt action to conduct a comprehensive review of Air Force Office of Special Investigations (AFOSI) criminal history reporting programs to ensure all applicable agency policy, training, fingerprinting, and final disposition report submission processes are consistent with DoD Instruction 5505.11, and are being implemented. | 3 months 27 days |
| E.1.e | The DoD OIG recommended that the Secretary of the Air Force take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and deoxyribonucleic acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases. | 3 months 27 days |
| F.1.a | The DoD OIG recommended that the Secretary of the Air Force take prompt action to submit to the Federal Bureau of Investigation Criminal Justice Information Service the 93 Air Force Security Forces fingerprint cards and 93 Air Force Security Forces final disposition reports that are not on file in the Federal Bureau of Investigation Next Generation Identification database. | 3 months 27 days |
| F.1.b | The DoD OIG recommended that the Secretary of the Air Force take prompt action to immediately perform a comprehensive review of all Air Force Security Forces criminal investigative databases and files to ensure all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses at least to 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and Federal Bureau of Investigation requirements. | 3 months 27 days |

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2018-035 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| F.1.c | The DoD OIG recommended that the Secretary of the Air Force take prompt action to ensure Air Force Security Forces command, supervisory, and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure such compliance is included as a special interest item in future Inspector General inspections, and is actually conducted. | 3 months 27 days |
| F.1.d | The DoD OIG recommended that the Secretary of the Air Force take prompt action to conduct a comprehensive review of Air Force Security Forces criminal history reporting programs to ensure all fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11, and have been implemented. | 3 months 27 days |
| F.1.e | The DoD OIG recommended that the Secretary of the Air Force take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and deoxyribonucleic acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases. | 3 months 27 days |
| F.1.f | The DoD OIG recommended that the Secretary of the Air Force take prompt action to direct the Director of Air Force Security Forces to revise Air Force Instruction 31-120 to align with final disposition report submission requirements set forth in DoD Instruction 5505.11. | 3 months 27 days |

Report No. DODIG-2018-036, "DoD's Response to the Patient Safety Elements in the 2014 Military Health System Review," December 14, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2 | The DoD OIG recommended that the Air Force Medical Operations Agency Commander evaluate the 88th Medical Group's Patient Safety Indicator #90 performance after the new Patient Safety Indicator #90 measures and benchmarks are available to determine if the facility is outperforming, performing the same as, or underperforming compared to other healthcare facilities, and take appropriate action to correct all identified deficiencies. | 3 months 17 days |

APPENDIX A

Department of the Air Force (cont'd)

**Report No. DODIG-2018-045, "Evaluation of the Evolved Expendable Launch Vehicle Program Quality Management System,"
December 15, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Evolved Expendable Launch Vehicle System Program Office Director and the Defense Contract Management Agency Director conduct a root cause analysis and implement corrective actions for the 181 nonconformities identified during our evaluation and provide the DoD OIG a copy of the root cause analysis and corrective action plan within 90 days of the issuance of this report. | 3 months 16 days |
| 2 | The DoD OIG recommended that the Evolved Expendable Launch Vehicle (EELV) System Program Office Director and the Defense Contract Management Agency Director develop a corrective action plan to improve EELV quality assurance management to ensure that the EELV contractors comply with all AS9100C requirements and provide the DoD OIG a copy of the corrective action plan within 90 days of the issuance of this report. | 3 months 16 days |

Report No. DODIG-2018-049, "U.S. Military-Occupied Facilities Evaluation—Al Udeid Air Base, Qatar," December 21, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the 379 Air Expeditionary Wing Commander conduct a root cause analysis and implement a corrective action plan for all electrical deficiencies identified in this report. Ensure that all current and future facility operations and maintenance comply with the National Fire Protection Association standards. Provide the DoD OIG a copy of the analysis and plan within 30 days of the issuance of this report. | 3 months 10 days |
| B.1.a | The DoD OIG recommended that the 379 Air Expeditionary Wing Commander conduct a root cause analysis and implement a corrective action plan for all fire protection deficiencies identified in this report. Ensure that all current and future facility operations and maintenance comply with the Unified Facilities Criteria and the National Fire Protection Association standards. Provide the DoD OIG a copy of the corrective action plan within 30 days of the issuance of this report. | 3 months 10 days |

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2018-049 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1.b | The DoD OIG recommended that the 379 Air Expeditionary Wing Commander prepare and implement a corrective action plan to ensure that all construction projects are reviewed for compliance with applicable fire protection standards before they are accepted by the Government as complete. Provide the DoD OIG a copy of the corrective action plan within 30 days of the issuance of this report. | 3 months 10 days |
| C.1.a | The DoD OIG recommended that the 379 Air Expeditionary Wing Commander conduct a root cause analysis and implement a corrective action plan for all close air support ramp fuel hydrant system deficiencies identified in this report. Ensure that all current and future facility operations and maintenance comply with the Unified Facilities Criteria and the National Fire Protection Association standards. The plan should include an assessment of the pipelines at fuel facilities in accordance with the American Petroleum Institute (API 570) piping inspection code. Provide the DoD OIG a copy of the analysis and corrective action plan within 30 days of the issuance of this report. | 3 months 10 days |
| C.1.b | The DoD OIG recommended that the 379 Air Expeditionary Wing Commander prepare and implement a corrective action plan to ensure all construction projects that involve fuel systems are reviewed for compliance with applicable fuel systems standards before they are accepted by the Government as complete. Provide the DoD OIG a copy of the corrective action plan within 30 days of the issuance of this report. | 3 months 10 days |
| D.1 | The DoD OIG recommended that the 379 Air Expeditionary Wing Commander conduct a root cause analysis and implement a corrective action plan for all indoor air quality deficiencies identified in this report. Determine the causes of the moisture intrusion into occupied facilities. Ensure that all current and future facility operations and maintenance comply with the Air Force 2005 Mold Policy Memorandum. Provide the DoD OIG a copy of the analysis and plan within 30 days of the issuance of this report. | 3 months 10 days |

APPENDIX A

Department of the Air Force (cont'd)

Report No. DODIG-2018-058, "Progress of U.S. and Coalition Efforts to Train, Advise, and Assist the Afghan Air Force," January 4, 2018
(Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| C | The DoD OIG recommended that the Train, Advise, Assist Command–Air coordinate with the Combined Security Transition Command–Afghanistan Commander to identify requirements and modify aircraft Contractor Logistic Support contracts as appropriate to increase emphasis on building the Afghan aircraft maintenance capability, increasing the Afghan responsibility for daily aircraft maintenance, and identifying the transition criteria for Afghan-led maintenance within the Afghan Air Force. | 2 months 27 days |

Report No. DODIG-2018-081, "The Army's Tactical Explosive Detection Dog Disposition Process from 2011 to 2014," March 1, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2.a | The DoD OIG recommended that the Secretary of the Air Force, as the Executive Agent for the DoD Military Working Dog Program, in collaboration with the DoD Components with Military Working Dog assets, review Air Force Instruction 31-126, Army Regulation 700-81, OPNAVINST 5585.2C, MCO 5585.6, "DoD Military Working Dog (MWD) Program," dated February 28, 2017, to ensure that it includes guidance that addresses the vetting of non-military transfer and adoption applicants for Military Working Dogs. | 30 days |
| B.2.b | The DoD OIG recommended that the Secretary of the Air Force, as the Executive Agent for the DoD Military Working Dog Program, in collaboration with the DoD Components with Military Working Dog assets, review Air Force Instruction 31-126, Army Regulation 700-81, OPNAVINST 5585.2C, MCO 5585.6, "DoD Military Working Dog (MWD) Program," dated February 28, 2017, to ensure that it includes guidance for temporary, nontraditional Military Working Dog capabilities that are not directly supported by the 341st Training Squadron. | 30 days |

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2018-081 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| D.1 | The DoD OIG recommended that the Secretary of the Air Force, as the Executive Agent for the DoD Military Working Dog program, maintain accountability for Military Working Dogs in nontraditional programs by ensuring the Services sufficiently maintain all mandatory records within the DoD Working Dog Management System. | 30 days |

Report No. DODIG-2018-087, "Evaluation of the Joint Targeting Toolbox," March 15, 2018 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|----------------------|----------------------------------|
| 2.a | (U//FOUO) [REDACTED] | 16 days |
| 2.b | (U//FOUO) [REDACTED] | 16 days |
| (FOUO) | | |

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2018-089, "Contracting Strategy for F-22 Modernization," March 21, 2018**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.a | The DoD OIG recommended that the F-22 Program Office determine the contracting strategy to best incentivize the contractor to develop and deliver capabilities prior to awarding the order for the next modernization program. | 10 days |
| 2.b | The DoD OIG recommended that the F-22 Program Office document the lessons learned when developing the contracting strategy for potential use by other program offices implementing agile software development methods on weapon systems. | 10 days |

Report No. DODIG-2018-092, "DoD Emergency Management Programs in the U.S. Africa Command," March 28, 2018 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3 | The DoD OIG recommended that the Chief of the Civil Engineer Readiness Division at U.S. Air Forces Headquarters, finalize the update to Air Force Manual 10-2502, "Air Force Incident Management Systems (AFIMS) Standards and Procedures," September 26, 2011. | 3 days |
| 4.a | The DoD OIG recommended that the U.S. Air Forces Europe–Africa Command Emergency Management Functional Manager implement procedures to track required training for emergency management personnel, including the Emergency Management Senior Leader Orientation training. | 3 days |
| 4.b | Recommendation is Classified. | 3 days |
| 5.a | The DoD OIG recommended that the Installation Commander, [REDACTED], use Air Force Manual 10-2502, "Air Force Incident Management Systems (AFIMS) Standards and Procedures," when finalized by U.S. Air Forces, Headquarters to categorize [REDACTED], personnel and provide the milestones for completion. | 3 days |

APPENDIX A

*Department of the Air Force (cont'd)***Report No. DODIG-2018-092 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 5.b | The DoD OIG recommended that the Installation Commander, [REDACTED], ensure emergency management officials, [REDACTED], develop a communications plan. | 3 days |
| 5.c | The DoD OIG recommended that the Installation Commander, [REDACTED], establish an Emergency Management Working Group in accordance with DoD Instruction 6055.17, "DoD Emergency Management Program," February 13, 2017. | 3 days |
| 5.d | The DoD OIG recommended that the Installation Commander, [REDACTED], develop a multi-year exercise plan and establish an exercise evaluation team. | 3 days |
| 5.e | The DoD OIG recommended that the Installation Commander, [REDACTED], establish a process to ensure the exercise evaluation team documents its evaluations in after-action reports, develops a corrective action plan, and implements the recommended improvements. | 3 days |
| 5.f | Recommendation is Classified. | 3 days |
| 5.g | Recommendation is Classified. | 3 days |
| 5.h | The DoD OIG recommended that the Installation Commander, [REDACTED], establish a [REDACTED], emergency operating center and ensure the emergency operating center personnel develop and maintain a common operating picture for the installation. | 3 days |
| 5.i | The DoD OIG recommended that the Installation Commander, [REDACTED], ensure that the emergency management plan for [REDACTED], is accurate and executable before approving the plan. | 3 days |
| 6.a | The DoD OIG recommended that the Installation Emergency Manager, [REDACTED], update the [REDACTED], emergency management plan to reflect the installation-specific emergency response capabilities of [REDACTED]. | 3 days |

*Department of the Air Force (cont'd)***Report No. DODIG-2018-092 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 6.b | The DoD OIG recommended that the Installation Emergency Manager, [REDACTED], establish procedures to validate that the responsible office annually reviews and documents the Fire and Emergency Services Support Agreement between [REDACTED], and any future support agreements. | 3 days |

APPENDIX A

Joint Chiefs of Staff

Report No. 10-INTEL-04, “Review of Joint Task Force Guantanamo’s Inclusion of Mental Health Information in Intelligence Information Reports,” May 4, 2010 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Director, Joint Staff, issue guidance requiring that the Combatant Commands, when preparing contingency plans and operations plans for staffing through the Joint Operations Planning and Execution System, specify in Annex Q (Medical Services) the medical information to be provided in support of interrogation activities. | 7 years 10 months 27 days |
| A.2 | The DoD OIG recommended that the Director, Joint Staff, issue guidance requiring that the Combatant Commands, when preparing contingency plans and operations plans for staffing through the Joint Operations Planning and Execution System, include in Annex Q the process for sharing medical information with interrogation components. | 7 years 10 months 27 days |
| A.3 | The DoD OIG recommended that the Director, Joint Staff, issue guidance requiring that the Combatant Commands, when preparing contingency plans and operations plans for staffing through the Joint Operations Planning and Execution System, specify in Annex B (Intelligence) how interrogation elements obtained medical information. | 7 years 10 months 27 days |
| A.4 | The DoD OIG recommended that the Director, Joint Staff, issue guidance requiring that the Combatant Commands, when preparing contingency plans and operations plans for staffing through the Joint Operations Planning and Execution System, specify in Annex B how interrogation elements include medical information in intelligence reporting. | 7 years 10 months 27 days |

APPENDIX A

Joint Chiefs of Staff (cont'd)

Report No. DODIG-2015-048, (FOUO) " [REDACTED]
 [REDACTED], " December 9, 2014 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Director, Joint Staff, develop a communications strategy for disseminating incremental decisions and timely guidance affecting cyberspace command and control to facilitate across Combatant Command information sharing and to allow the combatant commanders to effectively plan and prioritize cyberspace operations and integrate Cyber Mission Forces into operations until the end-state for command and control of cyberspace operations is defined and achieved for all Combatant Commands. | 3 years 3 months 22 days |

Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Chairman of the Joint Chiefs of Staff update Chairman of the Joint Chiefs of Staff Instruction 3110.04, "Nuclear Supplement to the Joint Strategic Capabilities Plan" planning guidance to identify Geographic Combatant Command planning responsibilities and requirements for developing nuclear response options. Guidance should include type and level of planning information required for directed contingency plans. | 2 years 9 months 13 days |
| 1.c | Recommendation is Classified. | 2 years 9 months 13 days |
| 1.d | The DoD OIG recommended that the Chairman of the Joint Chiefs of Staff review applicable combatant command theater nuclear planning capabilities as part of the annual staff/command assessment visits. | 2 years 9 months 13 days |
| 1.e | Recommendation is Classified. | 2 years 9 months 13 days |

APPENDIX A

*Joint Chiefs of Staff (cont'd)***Report No. DODIG-2015-134 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.f | The DoD OIG recommended that the Chairman of the Joint Chiefs of Staff oversee integration of nuclear planning into combatant command exercises. | 2 years 9 months 13 days |

Report No. DODIG-2015-172, “Naval Sea Systems Command Needs to Improve Management of Waiver and Deferral Requests,”
September 14, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Vice Chairman, Joint Chiefs of Staff, revise the Joint Capabilities Integration and Development System Manual to require sponsors of Acquisition Category I programs, or programs of interest to the Joint Chiefs of Staff, to notify the Joint Chiefs of Staff when deferrals to operationally testing system performance will delay demonstrating primary system requirements beyond the scheduled date for initial operational capability, as defined in the requirements document. | 2 years 6 months 17 days |

APPENDIX A

Joint Chiefs of Staff (cont'd)

Report No. DODIG-2016-032, (FOUO) “[REDACTED],” December 18, 2015
(Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|--|
| 1 | (FOUO) [REDACTED] | 2 years 3 months 13 days |
| | | (FOUO) |

Report No. DODIG-2016-120, “Joint Improvised-Threat Defeat Agency Needs to Improve Assessment and Documentation of Counter-Improvised Explosive Device Initiatives,” August 9, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|--|
| 1.a | The DoD OIG recommended that the Director, Joint Staff, establish controls to make sure the sponsors of counter-Improvised Explosive Device solutions that the Joint Improvised-Threat Defeat Agency delivers in response to validated Joint Urgent Operational Needs or Joint Emergent Operational Needs meet the requirements in the “Manual for the Operation of the Joint Capabilities Integration and Development System,” February 12, 2015, for completing an assessment of the solution’s ability to deliver required capabilities within 6 months of initial delivery to operational users in theater. | 1 year 7 months 22 days |

APPENDIX A

*Joint Chiefs of Staff (cont'd)***Report No. DODIG-2016-120 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.b | The DoD OIG recommended that the Director, Joint Staff, establish controls to make sure the sponsors of counter-Improvised Explosive Device solutions that the Joint Improvised-Threat Defeat Agency delivers in response to validated Joint Urgent Operational Needs or Joint Emergent Operational Needs meet the requirements in the "Manual for the Operation of the Joint Capabilities Integration and Development System," February 12, 2015, for posting completed assessments to the Knowledge Management/Decisions Support repository maintained in the Office of the Joint Chiefs of Staff. | 1 year 7 months 22 days |

Report No. DODIG-2016-125, "Evaluation of the DoD Nuclear Enterprise Governance," September 19, 2016 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.3 | The DoD OIG recommended that the Director, Joint Staff, update and reissue Doctrine for Joint Nuclear Operations. | 1 year 6 months 12 days |

Report No. DODIG-2017-049, "Unclassified Report of Investigation on Allegations Relating to U.S. Central Command Intelligence Products," January 31, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 19 | The DoD OIG recommended that the Joint Staff update Joint Publication 2-0 to bring it into compliance with the 2015 version of ICD 203. The Expressions of Uncertainties in Appendix A and Figure A-1 should match the ICD 203's expressions of likelihood or probability (Para D.6.e.(2)(a)). | 1 year 2 months |

APPENDIX A

Joint Chiefs of Staff (cont'd)

Report No. DODIG-2017-075, “The Army Needs to More Effectively Prepare for Production of the Common Infrared Countermeasure System,” April 26, 2017 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.3 | The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff revise the capability development document for the Common Infrared Countermeasure system to clarify that the requirements developer and the acquisition milestone decision authority must have concurrence from the Joint Requirements Oversight Council, as validation authority, before lowering threshold (minimum) values of any primary system requirement. | 11 months 5 days |

Report No. DODIG-2017-106, “Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident,” July 28, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1 | The DoD OIG recommended that the Secretary of the Navy and the Secretary of the Air Force, along with the Chairman of the Joint Chiefs of Staff, develop joint mission-essential task lists for an explosive ordnance disposal response to a nuclear weapon incident. | 8 months 3 days |
| B.2.b | The DoD OIG recommended that the Joint Staff Director of Operations Incorporate Custodial EOD Unit roles and responsibilities in Concept of Operations Plan 0300-14. | 8 months 3 days |
| B.4 | The DoD OIG recommended that the Chairman of the Joint Chiefs of Staff review Chairman of the Joint Chiefs of Staff Instruction 3261.01C, “Recapture and Recovery of Nuclear Weapons,” January 31, 2014, (Incorporating Change 1, December 11, 2015), to align Custodial Explosive Ordnance Disposal guidance with Presidential Directives. | 8 months 3 days |
| B.6 | The DoD OIG recommended that the Joint Staff Director identify explosive ordnance disposal response to a nuclear weapon incident as a Special Interest Item for Nuclear Weapon Technical Inspections. | 8 months 3 days |

APPENDIX A

*Joint Chiefs of Staff (cont'd)***Report No. DODIG-2017-111, "Evaluation of the European Reassurance Initiative (ERI)," August 22, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1 | The DoD OIG recommended that the Joint Staff Director assess competing mission and personnel priorities relative to the European Reassurance Initiative to determine whether U.S. European Command and its subordinate commands have sufficient personnel resources to execute the European Reassurance Initiative mission. | 7 months 9 days |

Report No. DODIG-2017-117, "Joint Requirements Oversight Council Procurement Quantity Validation Process for Major Defense Acquisition Programs," September 6, 2017 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff identify which Major Defense Acquisition Programs reached, or will reach, Milestone A on or before October 1, 2017, and will not be affected by the new investment review process required by the FY 2017 change to section 181, title 10, United States Code. | 6 months 25 days |
| 1.b.1 | The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that have reached Milestone A, or will reach Milestone A on or before October 1, 2017, that are not affected by the new investment review process required by the change to section 181, title 10, United States Code, establish a practice within the Joint Requirements Oversight Council to consistently evaluate procurement quantity submitted by sponsors and execute procedures to assess the validity and accuracy of the procurement quantity submitted by sponsors. | 6 months 25 days |
| 1.b.2 | The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that have reached Milestone A, or will reach Milestone A on or before October 1, 2017, that are not affected by the new investment review process required by the change to section 181, title 10, United States Code, require subordinate boards to obtain input and reviews from advisors and stakeholders to assess and review procurement quantity. | 6 months 25 days |

APPENDIX A

*Joint Chiefs of Staff (cont'd)***Report No. DODIG-2017-117 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.b.3 | The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that have reached Milestone A, or will reach Milestone A on or before October 1, 2017, that are not affected by the new investment review process required by the change to section 181, title 10, United States Code, establish expectations for stakeholders and advisors, particularly the Under Secretary of Defense for Acquisition, Technology, and Logistics and the Cost Assessment and Program Evaluation Director, to assist the Joint Requirements Oversight Council in evaluating procurement quantity throughout the validation process. | 6 months 25 days |
| 1.b.4 | The DoD OIG recommended that the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that have reached Milestone A, or will reach Milestone A on or before October 1, 2017, that are not affected by the new investment review process required by the change to section 181, title 10, United States Code, document and maintain the methodology for evaluating procurement quantity for each validation decision. | 6 months 25 days |
| 2.a | The DoD OIG recommended that the Deputy Secretary of Defense and the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that reach Milestone A after October 1, 2017, clearly define the roles and responsibilities for supporting the new investment review process required by the FY 2017 change to section 181, title 10, United States Code, in ensuring appropriate tradeoffs are made among life-cycle cost, schedule, performance, and procurement quantity when developing recommendations for program costs. | 6 months 25 days |
| 2.b.1 | The DoD OIG recommended that the Deputy Secretary of Defense and the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that reach Milestone A after October 1, 2017, ensure the new investment review process clearly defines the roles for assessing, reviewing, and analyzing procurement quantity. | 6 months 25 days |
| 2.b.2 | The DoD OIG recommended that the Deputy Secretary of Defense and the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that reach Milestone A after October 1, 2017, ensure the new investment review process develops and implements oversight procedures and accountable methods to ensure that procurement quantity is evaluated. | 6 months 25 days |

APPENDIX A

*Joint Chiefs of Staff (cont'd)***Report No. DODIG-2017-117 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.b.3 | The DoD OIG recommended that the Deputy Secretary of Defense and the Vice Chairman of the Joint Chiefs of Staff, for Major Defense Acquisition Programs that reach Milestone A after October 1, 2017, ensure the new investment review process establishes expectations and accountability for the Cost Assessment and Program Evaluation Director, in ensuring appropriate tradeoffs are made among life-cycle cost, schedule, performance, and procurement quantity. | 6 months 25 days |

Report No. DODIG-2018-087, "Evaluation of the Joint Targeting Toolbox," March 15, 2018 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|----------------------|----------------------------------|
| 1.a | (U//FOUO) [REDACTED] | 16 days |
| 1.b | (U//FOUO) [REDACTED] | 16 days |
| (FOUO) | | |

Joint Chiefs of Staff (cont'd)

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Secretary of Defense, in coordination with the Chairman of the Joint Chiefs of Staff and the U.S. Cyber Command Commander, consider the planning models and their respective characteristics identified in this report and develop and implement a cyberspace planning model that will improve the integration of cyberspace operations into long-term planning at all combatant commands. | 1 day |
| 2 | Recommendation is Classified. | 1 day |
| 3 | Recommendation is Classified. | 1 day |

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment

**Report No. D-2010-024, “Contracted Advisory and Assistance Services for the U.S. Army Future Combat Systems,”
November 24, 2009 (Full Report is FOUO)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 7.b | The DoD OIG recommended that the Director, Defense Procurement and Acquisition Policy, emphasize to the acquisition community the importance of complying with Federal Acquisition Regulation Subpart 9.5 and the significance of not placing contractors in positions to make decisions that favor their own capabilities and that any decisions to waive the requirements must be adequately supported and documented in the contract files. | 8 years 4 months 7 days |

Report No. DODIG-2012-039, “Summary Report on DoD’s Management of Undefined Contractual Actions,” January 13, 2012

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the Director, Defense Procurement and Acquisition Policy, develop a transparent means to document incurred costs and reduced cost risk related to substantial incurred costs during the undefinitized period. For example, revise the Department of Defense Form 1547, “Record of Weighted Guidelines,” to include a separate line in item 24 for assigning lower than normal factors to reflect reduced risk. | 6 years 2 months 18 days |

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2012-082, "DoD Can Improve Its Accounting for Residual Value From the Sale of U.S. Facilities in Europe,"
May 4, 2012

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B | The DoD OIG recommended that the Deputy Under Secretary of Defense for Installations and Environment and the Commander, U.S. European Command, revise DoD Instruction 4165.69 and U.S. European Command Instruction 4101.02, respectively, to require that future residual value settlement negotiations analyze and document how the residual value settlement amount was determined, to include at a minimum: 1) results of an independent appraisal of the facility's value or the reasons why it was deemed not worth performing one; 2) analysis of any agreed-upon calculation methodology used to determine the values for the land and capital improvements; 3) evaluation of any environmental remediation being claimed for reasonableness, if there is an offsetting effect on the residual value received; and 4) description of specific documents that should be maintained supporting residual value settlements and how long these documents should be maintained. | 5 years 10 months 27 days |

Report No. DODIG-2012-117, "DoD Needs to Improve Controls Over Economy Act Orders with U.S. Agency for International Development,"
August 14, 2012

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.2.a | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics revise the DoD Federal Acquisition Regulation Supplement to require that DoD agencies use either a reimbursement process or a direct cite when establishing economy act orders with non-DoD agencies. | 5 years 7 months 17 days |
| A.2.b | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics revise the DoD Federal Acquisition Regulation Supplement to include procedures on how to properly monitor interagency acquisitions. At a minimum, these procedures should include collection and maintenance of project documentation such as contracts, task orders, statements of work, modifications, accounting data, payment vouchers, invoices, relevant correspondence, and contract completion and closeout paperwork. | 5 years 7 months 17 days |

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2012-137, "U.S. Pacific Command's Petroleum War Reserve Requirements and Stocks Were Inadequate," September 26, 2012 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Assistant Secretary of Defense for Logistics and Material Readiness, in coordination with Director of Logistics, Joint Chiefs of Staff revise DoD Manual 4140.25-M, "DoD Management of Bulk Petroleum Products, Natural Gas, and Coal," February 26, 2009, to include a requirement for updating the days of supply planning factors at least biannually. | 5 years 6 months 5 days |

Report No. DODIG-2013-031, "Audit of the F-35 Lightning II Autonomic Logistics Information System," December 10, 2012 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| A | Recommendation is Classified. | 5 years 3 months 21 days |
| C.1 | Recommendation is Classified. | 5 years 3 months 21 days |

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2013-050, "Recovering Organizational Clothing and Individual Equipment from Civilians and Contractor Employees Remains a Challenge," February 22, 2013

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a-c | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics implement corrective actions to address all recommendations, with the exception of Recommendation 1.b, in DoD Office of Inspector General Report No. D-2010-069, "Central Issue Facilities at Fort Benning and Related Activities," June 21, 2010, specifically: a) identify civilians and contractor employees who returned from deployment but did not return organizational clothing and individual equipment; b) obtain unreturned organizational clothing and individual equipment or reimbursement from civilians and contractor employees; and c) require DoD Components to include proper language in new contracts and modify existing contracts to hold contracting companies liable for unreturned organizational clothing and individual equipment. | 5 years 1 month 9 days |

Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June 28, 2013

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with Under Secretary of Defense for Personnel and Readiness, publish and implement cemetery management training guidance focusing on industry standards and best practices. | 4 years 9 months 3 days |
| 2.a | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with Under Secretary of Defense for Personnel and Readiness, provide guidance for military cemetery operations and administration. The guidance should take into consideration Service components' lessons learned on installation cemetery operations and administration. | 4 years 9 months 3 days |

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2014-049, “DoD Considered Small Business Innovation Research Intellectual Property Protections in Phase III Contracts, but Program Improvements Are Needed,” March 27, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Administrator, DoD Office of Small Business Programs, Small Business Innovation Research Office, issue single, overarching guidance and related training for all DoD organizations to follow that will provide for the uniform application of intellectual property protections across DoD. Guidance and training should include: 1) standard intellectual property protections within the Small Business Innovation Research Program, including the use and application of the data assertions table; and 2) when the protection period begins and when it can be extended. | 4 years 4 days |
| 1.b | The DoD OIG recommended that the Administrator, DoD Office of Small Business Programs, Small Business Innovation Research Office issue clarifying guidance to address the requirement for organizations to provide the Small Business Administration a complete and timely notification detailing why a proposed Small Business Innovation Research Phase III contract could not be awarded to the developer. The clarifying guidance should provide a single DoD interpretation of the requirement and address reporting requirements outlined in the Small Business Administration Small Business Innovation Research Policy Directive. | 4 years 4 days |
| 1.c | The DoD OIG recommended that the Administrator, DoD Office of Small Business Programs, Small Business Innovation Research Office issue guidance for database users to refer to when recording Small Business Innovation Research information in existing databases to increase the accuracy and uniformity of information used to track and report on the DoD Small Business Innovation Research Program. | 4 years 4 days |
| 2 | The DoD OIG recommended that the Director, Defense Procurement and Acquisition Policy address inconsistencies between the Defense Federal Acquisition Regulation Supplement 252.227-7018 and the Small Business Administration Small Business Innovation Research Policy Directive regarding intellectual property protections, specifically on when the Small Business Innovation Research data protection period begins, when it can be extended, and whether the protection period can be revived after expiration. | 4 years 4 days |

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2014-121, "Military Housing Inspections – Japan," September 30, 2014**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| D.2.a-b | The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics include guidance for both accompanied and unaccompanied housing within the Overseas Environmental Baseline Guidance Document for: a) control and remediation of mold; and b) radon evaluation and mitigation. | 3 years 6 months 1 day |

Report No. DODIG-2015-013, "Military Housing Inspections – Republic of Korea," October 28, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| D.2.a-b | The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics include guidance for both accompanied and unaccompanied housing within the Overseas Environmental Baseline Guidance Document for: a) control and remediation of mold; and b) radon evaluation and mitigation. | 3 years 5 months 3 days |

Report No. DODIG-2015-031, "The Services Need To Improve Accuracy When Initially Assigning Demilitarization Codes," November 7, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Assistant Secretary of Defense for Logistics and Materiel Readiness revise DoD Manual 4160.28, volume 1, to detail the roles and responsibilities of the Services' inventory control point demilitarization administrators that include minimum qualifications and training requirements. | 3 years 4 months 24 days |

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2015-031 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 6 | The DoD OIG recommended that the Assistant Secretary of Defense for Logistics and Materiel Readiness require the Services to establish controls for personnel to assign accurate demilitarization codes and hold personnel accountable for not reviewing and assigning accurate demilitarization codes. | 3 years 4 months 24 days |

Report No. DODIG-2015-062, "DoD Needs Dam Safety Inspection Policy To Enable the Services To Detect Conditions That Could Lead to Dam Failure," December 31, 2014 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics establish DoD dam safety inspection policy that is in accordance with the Federal Guidelines for Dam Safety, which define inspection frequency, scope, and inspector qualifications and outline the need to develop and maintain inspection support documentation. | 3 years 3 months |

Report No. DODIG-2015-103, "Summary of DoD Office of Inspector General Spare-Parts Pricing Audits: Additional Guidance is Needed," March 31, 2015 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Director, Defense Pricing, in conjunction with the Director, Defense Procurement and Acquisition Policy, finalize and issue policies to the Military Services and Defense Agencies that reiterate and strengthen the Federal Acquisition Regulation and the Defense Federal Acquisition Regulation Supplement requirements to obtain fair and reasonable prices when purchasing spare parts. | 3 years |

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2015-103 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the Director, Defense Pricing, in conjunction with the Director, Defense Procurement and Acquisition Policy, require the Military Services and Defense Agencies to provide plans on how they intend to verify the consistent implementation of pricing policies, guidance, and training issued by the Office of the Secretary of Defense. | 3 years |

Report No. DODIG-2015-150, "Theater Blood Application Was Not Effectively Developed and Implemented," July 17, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Program Executive Officer for the Defense Healthcare Management Systems coordinate with the Defense Health Agency and ensure that the policies and procedures to manage future requirements for medical information systems are documented, reviewed, and updated as necessary. | 2 years 8 months 14 days |
| 2 | The DoD OIG recommended that the Program Executive Officer for the Defense Healthcare Management Systems coordinate with the Defense Health Agency and the Military Services to develop an acquisition strategy that includes a long-term sustainment strategy to provide blood-tracking capability in theater and not invest any additional money in the continued development of the Theater Blood Application until they can determine and agree on the application's sustainability. | 2 years 8 months 14 days |
| 3.a | The DoD OIG recommended that the Program Executive Officer for the Defense Healthcare Management Systems coordinate with the Military Departments to develop policies and procedures for the Theater Blood Application training requirements. | 2 years 8 months 14 days |
| 3.b | The DoD OIG recommended that the Program Executive Officer for the Defense Healthcare Management Systems coordinate with the Military Departments to establish and implement a training program to ensure users receive initial training prior to deployment, followed by refresher training. | 2 years 8 months 14 days |

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2015-150 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 4 | The DoD OIG recommended that the Program Executive Officer for the Defense Healthcare Management Systems develop policies, procedures, and implement a process to ensure users are granted access to the Theater Blood Application before deployment to the theater. | 2 years 8 months 14 days |

Report No. DODIG-2015-181, "Continental United States Military Housing Inspections – Southeast," September 24, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| J | The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations and Environment (ASD[EI&E]) address the inconsistencies between the applicability of Unified Facilities Criteria (UFC) 3-600-01 and the position taken by ASD(EI&E) regarding fire protection requirements for privatized military housing and initiate appropriate changes to the UFC or other applicable policy and guidance. | 2 years 6 months 7 days |

Report No. DODIG-2016-002, "DoD Needs a Comprehensive Approach to Address Workplace Violence," October 15, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 3 | The DoD OIG recommended that the Director, Defense Procurement and Acquisition Policy, Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, direct the Defense Acquisition Regulations Council to revise the Defense Federal Acquisition Regulation Supplement to address interim and final contractor requirements for the prevention of workplace violence. | 2 years 5 months 16 days |

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2016-005, "Follow up on the Actions to Improve the Defense Contract Management Agency's Cost Analysis Function,"
October 29, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A | The DoD OIG recommended that the Directors of Defense Procurement and Acquisition Policy and Defense Pricing monitor the impact of the audit thresholds decisions until the Defense Contract Management Agency can sufficiently perform a cost analysis of proposals below the audit thresholds and report reliable cost analysis statistics. | 2 years 5 months 2 days |

Report No. DODIG-2016-078, "Evaluation of DoD Biological Safety and Security Implementation," April 27, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.b.(1) | The DoD OIG recommended that the Deputy Secretary of Defense direct the Executive Agent for Biosafety and Biosecurity to conduct standardized oversight and inspections in accordance with applicable Federal regulations of Department of Defense Biological Select Agent and Toxins laboratories. | 1 year 11 months 4 days |
| 1.b.(2) | The DoD OIG recommended that the Deputy Secretary of Defense direct the Executive Agent for Biosafety and Biosecurity to track all internal and external inspection results and report status of all findings, recommendations, and actions taken to address deficiencies to the appropriate Department of Defense management level. | 1 year 11 months 4 days |
| 1.b.(3) | The DoD OIG recommended that the Deputy Secretary of Defense direct the Executive Agent for Biosafety and Biosecurity to develop and implement training for Biological Select Agent and Toxins laboratory inspectors and subject matter expert inspection team augmentees. | 1 year 11 months 4 days |
| 1.b.(4) | The DoD OIG recommended that the Deputy Secretary of Defense direct the Executive Agent for Biosafety and Biosecurity to ensure that all personnel included in inspection teams have sufficient scientific expertise and experience. | 1 year 11 months 4 days |

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2016-078 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.b | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics issue guidance that all Department of Defense Biological Select Agent and Toxins laboratories implement an internal technical and scientific peer review function that addresses both biosafety and biosecurity issues. | 1 year 11 months 4 days |
| 3.a | The DoD OIG recommended that the Deputy Secretary of Defense direct the Department of Defense Executive Agent for Biosafety and Biosecurity to serve as the single Department of Defense point of contact with the Centers for Disease Control and Prevention and the Animal and Plant Health Inspection Service for coordinating and participating in inspections of Department of Defense Biological Select Agents and Toxins laboratories. | 1 year 11 months 4 days |
| 3.b | The DoD OIG recommended that the Deputy Secretary of Defense direct the Department of Defense Executive Agent for Biosafety and Biosecurity to develop and implement an agreement with the Centers for Disease Control and Prevention and the Animal and Plant Health Inspection Service for scheduling combined inspections of Department of Defense Biological Select Agents and Toxins laboratories. | 1 year 11 months 4 days |
| 3.c | The DoD OIG recommended that the Deputy Secretary of Defense direct the Department of Defense Executive Agent for Biosafety and Biosecurity to define combined inspection criteria and guidance with the Centers for Disease Control and Prevention and the Animal and Plant Health Inspection Service for Department of Defense Biological Select Agents and Toxins laboratories. | 1 year 11 months 4 days |
| 3.d | The DoD OIG recommended that the Deputy Secretary of Defense direct the Department of Defense Executive Agent for Biosafety and Biosecurity to serve as the formal communication entity with the Federal Select Agent Program regarding findings and lessons learned from the Centers for Disease Control and Prevention and the Animal and Plant Health Inspection Service relevant to the Department of Defense Biological Select Agents and Toxins program. | 1 year 11 months 4 days |
| 4.a | The DoD OIG recommended that the Deputy Secretary of Defense direct the Department of Defense Executive Agent for Biosafety and Biosecurity to implement criteria for inclusion of site-specific security vulnerability assessment findings into Department of Defense Biological Select Agent and Toxins laboratory biosafety and biosecurity inspections. | 1 year 11 months 4 days |

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2016-078 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 4.b | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop implementing guidance that requires site-specific laboratory security vulnerability assessment findings be included during Biological Select Agent and Toxins laboratory inspections. | 1 year 11 months 4 days |

Report No. DODIG-2016-094, "Audit of the DoD Healthcare Management System Modernization Program," May 31, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Program Executive Officer for Defense Healthcare Management Systems perform a schedule analysis to determine whether the December 2016 initial operational capability deadline is achievable. | 1 year 10 months |
| 1.b | The DoD OIG recommended that the Program Executive Officer for Defense Healthcare Management Systems continue to monitor the DoD Healthcare Management System Modernization program risks and report to Congress quarterly on the progress of the program. | 1 year 10 months |

Report No. DODIG-2016-097, "DoD Generally Provided Effective Oversight of AbilityOne® Contracts," June 17, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.b | The DoD OIG recommended that the Director, Defense Procurement and Acquisition Policy update existing training to clearly define DoD contracting officers' roles and responsibilities when awarding contracts under AbilityOne. | 1 year 9 months 14 days |

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2016-097 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.c | The DoD OIG recommended that the Director, Defense Procurement and Acquisition Policy, require that all contracting personnel planning to procure under AbilityOne complete the updated AbilityOne training. | 1 year 9 months 14 days |

Report No. DODIG-2016-125, "Evaluation of the DoD Nuclear Enterprise Governance," September 19, 2016 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.2.a | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics establish a two-tiered senior-level governance structure to address nuclear weapon security requirements or include the requirements in an existing, senior-level organizational body. | 1 year 6 months 12 days |
| A.2.b | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics include the oversight of delivery platform acquisition and sustainment in an existing, senior-level organizational body. | 1 year 6 months 12 days |
| B.2 | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics collaborate with the Assistant Secretary of Defense for Special Operations and Low Intensity Conflict on policy, committees, and exercises of the response to nuclear weapons accidents and incidents. | 1 year 6 months 12 days |
| C.2 | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics document actions taken on recommendations or advice from the Defense Science Board Permanent Task Force on Nuclear Weapon Surety. | 1 year 6 months 12 days |
| C.3 | The DoD OIG recommended that the Under Secretaries of Defense and the Chairman, Joint Chiefs of Staff, document and track nuclear enterprise deficiencies or recommendations identified in Federal Advisory Committee reports, Government Accountability reports, Department of Defense Office of Inspector General reports, or reports produced by other task forces. | 1 year 6 months 12 days |

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2017-002, "Consolidation Needed for Procurements of DoD H-60 Helicopter Spare Parts," October 12, 2016
(Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics perform a cost-benefit analysis to determine whether the procurement responsibility for all H-60 spare parts, including those procured under performance based logistics and contractor logistics support contracts, should be transferred to the Defense Logistics Agency, as originally required by Base Realignment and Closure Act 2005 Recommendation 176. | 1 year 5 months 19 days |
| 1.b | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review and update DoD's policy, specifically DoD Manual 4140.26, volume 2, enclosure 2, section 2.d, based on decisions made in response to Recommendation 1.a regarding the procurement of depot level reparable and consumable spare parts to include those procured under performance based logistics and contractor logistics support contracts. | 1 year 5 months 19 days |
| 1.c | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics notify Congress if DoD determines it will not transfer the procurement responsibility to the Defense Logistics Agency. | 1 year 5 months 19 days |

Report No. DODIG-2017-004, "Summary Report—Inspections of DoD Facilities and Military Housing and Audits of Base Operations and Support Services Contracts," October 14, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.2 | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics establish a joint-Service working group that meets periodically to identify improvements in facility inspection and maintenance programs. The working group should, as a minimum, use the results from the independent inspections recommended in Recommendation A.1 and the results of the root cause analyses recommended in the previous DoD Office of Inspector General inspection reports to create and implement a plan for improvements in inspection and maintenance programs across the DoD. | 1 year 5 months 17 days |

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2017-004 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1.a | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop standard procedures or templates for each service that can be performed under Base Operations and Support Services contracts (for example, facility maintenance and life support functions) in contingency environments to assist the DoD in the development and oversight of those contracts. Identify minimum requirements to include in the performance work statement and minimum standards to measure those requirements in the quality assurance surveillance plans. Identify applicable Federal Acquisition Regulation clauses and DoD regulations that should be included in the contract. | 1 year 5 months 17 days |
| B.1.b | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop standard procedures or templates for each service that can be performed under Base Operations and Support Services contracts (for example, facility maintenance and life support functions) in contingency environments to assist the DoD in the development and oversight of those contracts. Identify minimum training that must be completed by personnel before overseeing Base Operations and Support Services contracts. | 1 year 5 months 17 days |
| B.1.c | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop standard procedures or templates for each service that can be performed under Base Operations and Support Services contracts (for example, facility maintenance and life support functions) in contingency environments to assist the DoD in the development and oversight of those contracts. Develop minimum requirements for a comprehensive risk assessment for each potential service performed under Base Operations and Support Services contracts that includes risk levels, timeframes for addressing each risk, and a mechanism to recover funds for services not completed. | 1 year 5 months 17 days |
| C | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics establish permanent policy for the sustainment of facilities, including standardized facility inspections. This policy should incorporate the requirements set forth in the September 10, 2013, "Standardizing Facility Condition Assessments," and in the April 29, 2014, "Facility Sustainment and Recapitalization Policy," memorandums. | 1 year 5 months 17 days |

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2017-090, "The Army Needs to Improve Controls Over Chemical Surety Materials," June 7, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Chemical and Biological Defense revise DoD Instruction 5210.65 to define acceptable inventory practices and to provide guidance on appropriate segregation of duties. | 9 months 24 days |

Report No. DODIG-2017-092, "Audit of the Defense Contract Audit Agency Field Detachment," June 14, 2017 (Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|----------------------|----------------------------------|
| A.1.a | (U//FOUO) [REDACTED] | 9 months 17 days |
| A.1.b | (U//FOUO) [REDACTED] | 9 months 17 days |
| (FOUO) | | |

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2017-121, "U.S. Africa Command's Management of Acquisition and Cross-Servicing Agreements," September 21, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003. | 6 months 10 days |
| 1.a.1 | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003. The updated guidance should clearly define Office of the Secretary of Defense, Military Department, Defense Agency, Joint Staff, and combatant command oversight responsibilities. | 6 months 10 days |
| 1.a.2 | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003. The updated guidance should require the use of a DoD system of record for maintaining all Acquisition and Cross-Servicing Agreement transactions and supporting documentation. | 6 months 10 days |
| 1.a.3 | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003. The updated guidance should clearly define roles and responsibilities of Acquisition and Cross-Servicing Agreement order and billing officials (financial management staff), including appointment requirements. | 6 months 10 days |

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2017-121 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a.4 | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics review the current implementation and execution of the Acquisition and Cross-Servicing Agreement program and update DoD Directive 2010.9, "Acquisition and Cross-Servicing Agreements," November 24, 2003. The updated guidance should clarify requirements for an implementing arrangement and what is acceptable for establishing parameters under Acquisition and Cross Servicing Agreement authorities. | 6 months 10 days |
| 1.c | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics develop a training program or training program requirements for the implementation of the Acquisition and Cross-Servicing Agreement program and execution of Acquisition and Cross-Servicing Agreement authorities. | 6 months 10 days |

Report No. DODIG-2018-003, "Report of Investigation on Allegations Related to the Department of Defense's Decision to Relocate a Joint Intelligence Analysis Complex," October 30, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3 | The DoD OIG recommended that the Office of the Assistant Secretary of Defense for Energy, Installations, and Environment review the records retention standards used by the DoD during basing decision processes, including those used in the Base Realignment and Closure (BRAC) 2005 process, and publish guidelines to ensure that the methodologies, decision processes, and supporting documentation used are retained for future overseas basing decisions. | 5 months 1 day |

APPENDIX A

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

**Report No. DODIG-2018-050, "Naval Facilities Engineering Command Administration of Selected Energy Savings Performance Contracts,"
December 19, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.a | The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment develop and implement DoD-wide guidance to monitor energy savings performance contracts, to include validating contractor-claimed energy savings included in post-installation and annual measurement and verification reports. | 3 months 12 days |
| A.1.b | The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment coordinate with the Defense Procurement and Acquisition Policy Director to: 1) require oversight of the energy savings performance contracts by the development of quality assurance surveillance plans tailored to the specific energy conservation measures in the energy savings performance contracts; and 2) monitor energy savings performance contract programs to ensure consistent award and administration throughout the Department. | 3 months 12 days |

Report No. DODIG-2018-063, "Navy and Marine Corps Management of Relocatable Buildings," January 29, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1 | The DoD OIG recommended that the Assistant Secretary of Defense for Energy, Installations, and Environment update DoD Instruction 4165.56 to include details and illustrated examples on how to properly classify relocatable buildings based on the definition and interim facility requirement. | 2 months 2 days |

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2018-069, "Navy's Single-Award Indefinite-Delivery Indefinite-Quantity Contracts," February 1, 2018**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.a | The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director conduct a review of the use of the determination and findings document to determine if there is a continued need for the Military Services to submit determination and findings documents; revise the reporting requirements accordingly; and communicate the reporting requirements to the Military Services. | 1 month 30 days |
| 2.b | The DoD OIG recommended that the Defense Procurement and Acquisition Policy Director implement procedures to verify that the Military Services are submitting all approved determination and findings documents. | 1 month 30 days |

Report No. DODIG-2018-070, "Summary Report of DoD Compliance With the Berry Amendment and the Buy American Act," February 6, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.a | The DoD OIG recommended that the Defense Pricing/Defense Procurement and Acquisition Policy Director re-emphasize guidance to DoD contracting personnel on the requirement to incorporate and enforce the Berry Amendment provisions and clauses in applicable solicitations and contracts | 1 month 25 days |
| A.1.b | The DoD OIG recommended that the Defense Pricing/Defense Procurement and Acquisition Policy Director re-emphasize guidance to DoD contracting personnel on Defense Federal Acquisition Regulation Supplement requirements regarding exceptions to the Berry Amendment. | 1 month 25 days |
| A.1.c | The DoD OIG recommended that the Defense Pricing/Defense Procurement and Acquisition Policy Director re-emphasize guidance to DoD contracting personnel on the requirements of the Berry Amendment, such as inclusion of clause, posting award notices, and exception notices into the electronic contract writing systems used by the Air Force and the Defense Logistics Agency. | 1 month 25 days |

APPENDIX A

*Under Secretary of Defense for Acquisition and Sustainment (cont'd)***Report No. DODIG-2018-070 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.1.a | The DoD OIG recommended that the Defense Pricing/Defense Procurement and Acquisition Policy Director re-emphasize policy guidance to DoD contracting personnel on the requirement to incorporate and enforce the Buy American Act provisions and clauses in applicable solicitations and contracts. | 1 month 25 days |
| B.1.b | The DoD OIG recommended that the Defense Pricing/Defense Procurement and Acquisition Policy Director re-emphasize policy guidance to DoD contracting personnel on the requirements of the Buy American Act, such as inclusion of clauses, into the electronic contract writing systems used by the Air Force and the Defense Logistics Agency. | 1 month 25 days |
| B.1.c | The DoD OIG recommended that the Defense Pricing/Defense Procurement and Acquisition Policy Director re-emphasize policy guidance to DoD contracting personnel on Defense Federal Acquisition Regulation Supplement requirements regarding exceptions to the Buy American Act. | 1 month 25 days |

Report No. DODIG-2018-089, "Contracting Strategy for F-22 Modernization," March 21, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics review the DoD Instruction 5000.02 and relevant acquisition guidance and revise, as necessary, to allow for the implementation of agile software development methods on programs that include both hardware and software. | 10 days |
| 1.b | The DoD OIG recommended that the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics compile lessons learned from DoD programs implementing agile software development methods to share with other DoD programs. | 10 days |

Under Secretary of Defense for Acquisition and Sustainment (cont'd)

Report No. DODIG-2018-092, "DoD Emergency Management Programs in the U.S. Africa Command," March 28, 2018 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 7.a | The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Environment, Safety, and Occupation Health update DoD Instruction 6055.17 to require DoD Components to complete risk assessments at all locations worldwide to determine whether locations require an emergency management program and report the results of the assessments to the responsible combatant command. The update should also include instructions for determining which DoD Components are responsible for completing the risk assessment and determining whether a location requires an emergency management program. | 3 days |
| 7.b | The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Environment, Safety, and Occupation Health issue interim guidance until the Instruction is updated that requires DoD Components to evaluate all locations worldwide to determine the need for an emergency management program. | 3 days |
| 7.c | The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Environment, Safety, and Occupation Health develop an assessment process to ensure that DoD Components are effectively and consistently applying and integrating the DoD Emergency Management Program. | 3 days |

APPENDIX A

Under Secretary of Defense for Research and Engineering

Report No. DODIG-2013-142, “DoD Evaluation of Over-Classification of National Security Information,” September 30, 2013

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| C.1 | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, in coordination with the Under Secretary of Defense for Acquisition, Technology, and Logistics incorporate into policy that security classification guides forwarded to the Defense Technical Information Center must be forwarded with the requisite DD Form 2024, and signed by the appropriate Original Classification Authority to ensure accountability. | 4 years 6 months 1 day |
| C.2 | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, in coordination with the Under Secretary of Defense for Acquisition, Technology, and Logistics incorporate into policy that Defense Technical Information Center not accept DD Forms 2024 that are not completely filled out and signed by the appropriate agency. | 4 years 6 months 1 day |
| C.3 | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, in coordination with the Under Secretary of Defense for Acquisition, Technology, and Logistics incorporate into policy that a time requirement for the submission of updated security classification guides be established. | 4 years 6 months 1 day |
| C.4 | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, in coordination with the Under Secretary of Defense for Acquisition, Technology, and Logistics incorporate into policy that reminders be sent to organizations as security classification guides near biennial review requirements. | 4 years 6 months 1 day |

APPENDIX A

*Under Secretary of Defense for Research and Engineering (cont'd)***Report No. DODIG-2014-116, "Assurance Policy Evaluation—Spacecraft and Strategic Systems," September 17, 2014**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A | The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Systems Engineering update the Defense Acquisition Guidebook to recommend that Major Defense Acquisition Programs review, tailor, and apply applicable mission assurance concepts and principles, such as those found in the Mission Assurance Guide, when developing System Engineering Plans and contract requirements to promote a higher probability of mission success. | 3 years 6 months 14 days |
| B | The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Systems Engineering incorporate into the Defense Acquisition Guidebook the best practices of Missile Defense Agency, Missile Systems Center and Navy Strategic Systems Program that were identified and highlighted within the report. Present these practices at the next DASD(SE) bi-monthly systems engineering best practice meeting, to ensure dissemination of these best practices. | 3 years 6 months 14 days |

Report No. DODIG-2016-109, "Assessment of the Department of Defense Militarily Critical Technologies Program," July 8, 2016**(Full Report is FOUO)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics determine if the requirement in the Export Administration Act for a critical technologies list is currently being met by means other than the Militarily Critical Technologies List, and adjust policy to reflect that determination. | 1 year 8 months 23 days |
| 2 | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, along with the Under Secretary of Defense for Policy, inform the internal and external export control stakeholder community—through written notice, such as the Federal Register or directive-type memoranda, and by updating organizational websites—that the Militarily Critical Technologies List is not being updated and should not be used as a technical reference. | 1 year 8 months 23 days |

APPENDIX A

Under Secretary of Defense for Research and Engineering (cont'd)

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.3 | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics revise DoD Manual 3150.08, "Nuclear Weapon Accident Response Procedures (NARP)," August 22, 2013, to align Custodial Explosive Ordnance Disposal guidance with Presidential Directives. | 8 months 3 days |

APPENDIX A

Under Secretary of Defense for Policy

Report No. DODIG-2012-124, “DoD Efforts to Protect Critical Program Information: The Navy’s EA-18G “Growler,” August 30, 2012
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.3 | The DoD OIG recommended that the Under Secretary of Defense for Policy review its policy to ensure that the use of the Foreign Visits System-Confirmation Module is mandatory for DoD components, as originally required by the Deputy Secretary of Defense. | 5 years 7 months 1 day |

Report No. DODIG-2015-001, “Assessment of the Department of Defense Prisoner of War/Missing in Action Accounting Community,”
October 17, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 4 | The DoD OIG recommended that the Under Secretary of Defense for Policy task the Director of the new Defense agency to establish standard operating procedures for accounting community organizations where they do not exist, and review and revise as needed all existing standard operating procedures. | 3 years 5 months 14 days |

APPENDIX A

Under Secretary of Defense for Policy (cont'd)

Report No. DODIG-2015-070, "Evaluation of Alternative Compensatory Control Measures Program," January 28, 2015 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|--|
| A | (FOUO) [REDACTED] | 3 years 2 months 3 days |
| B | (FOUO) [REDACTED] | 3 years 2 months 3 days |
| C | (FOUO) [REDACTED] | 3 years 2 months 3 days |
| D | (FOUO) [REDACTED] | 3 years 2 months 3 days |
| E | (FOUO) [REDACTED] | 3 years 2 months 3 days |
| (FOUO) | | |

APPENDIX A

*Under Secretary of Defense for Policy (cont'd)***Report No. DODIG-2016-002, "DoD Needs a Comprehensive Approach to Address Workplace Violence," October 15, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Global Security review and analyze all of the Fort Hood and Defense Science Board recommendations and the concurrent internal reviews conducted by the Military Departments, Combatant Commands, and DoD Components to verify existing policies and procedures have addressed identified gaps and deficiencies; identify systemic issues not addressed; and identify opportunities for streamlining processes and eliminating redundancies. | 2 years 5 months 16 days |
| 1.b | The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Global Security review and analyze all of the Fort Hood and Defense Science Board recommendations and the concurrent internal reviews conducted by the Military Departments, Combatant Commands, and DoD Components to develop and execute an implementation plan to close existing gaps and deficiencies through cross-functional coordination with DoD Components; employ corrective action to remedy systemic issues identified; streamline processes and eliminate redundancies; and provide completion dates for any outstanding recommendations. | 2 years 5 months 16 days |

Report No. DODIG-2016-024, "U.S. Africa Command Needs to Improve Planning and Coordination for the Protection and Evacuation of U.S. Embassies and U.S. Citizens," November 23, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the Director, Office of the Under Secretary of Defense for Policy, Special Operations/Low Intensity Conflict, Stability and Humanitarian Affairs, coordinate with the Department of State to establish a joint memorandum for updating emergency action plans within the U.S. Africa Command area of responsibility with critical and required new normal and noncombatant evacuation operations information. The agreement should identify specific critical elements within DoD protection and evacuation plans and Joint Staff new normal requirements that should be included in the emergency action plans. | 2 years 4 months 8 days |

APPENDIX A

Under Secretary of Defense for Policy (cont'd)

Report No. DODIG-2016-075, "Evaluation of the Air Force Office of Special Investigations' Conduct of Internet-Based Operations and Investigations," April 25, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Under Secretary of Defense for Policy clarify DoD Instruction 3025.21, "Defense Support of Civilian Law Enforcement Agencies," Enclosure 3, "Participation of DoD Personnel in Civilian Law Enforcement Activities," to reflect the holding in <i>United States v. Dreyer</i> , 767 F.3d 826, affirmed in relevant part by 2015 U.S. App. LEXIS 19226 (9th Cir., en banc). | 1 year 11 months 6 days |

Report No. DODIG-2016-081, "Evaluation of U.S. Intelligence and Information Sharing with Coalition Partners in Support of Operation Inherent Resolve," April 25, 2016 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|----------------------------------|
| A.1 | (FOUO) [REDACTED] | 1 year 11 months 6 days |
| (FOUO) | | |

APPENDIX A

Under Secretary of Defense for Policy (cont'd)

Report No. DODIG-2016-098, "Evaluation of Foreign Officer Involvement at the United States Special Operations Command," June 15, 2016
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Under Secretary of Defense for Policy update DoD Directive 5230.20, "Visits and Assignments of Foreign Nationals," June 22, 2005, to include the establishment of criteria for granting exceptions to policy and clarification of guidance on the use of extended visit requests. | 1 year 9 months 16 days |

Report No. DODIG-2017-099, "Evaluation of Department of Defense Efforts to Build Counterterrorism and Stability Operations Capacity of Foreign Military Forces with Section 1206/2282 Funding," July 21, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.1.a | The DoD OIG recommended that the Under Secretary of Defense for Policy conduct Department of Defense activities authorized under 10 U.S.C. § 2282 in accordance with Office of Management and Budget Circular No. A-11 and all applicable Department of Defense and other U.S. Government statutes, directives, and guidance for Department of Defense programs by designating a lead manager and management office with the responsibility to coordinate, synchronize, and integrate relevant activities, with sufficient operating authority over Department of Defense implementing components, to ensure effective management control in program execution. | 8 months 10 days |
| B.1.b | The DoD OIG recommended that the Under Secretary of Defense for Policy conduct Department of Defense activities authorized under 10 U.S.C. § 2282 in accordance with Office of Management and Budget Circular No. A-11 and all applicable Department of Defense and other U.S. Government statutes, directives, and guidance for Department of Defense programs by ensuring that the designated program management office has sufficient professional staff with the necessary expertise and appropriate resources to effect timely procurement and delivery of appropriate equipment components, training, and other services necessary for enabling partner nations to reach the intended full operational capability. | 8 months 10 days |

APPENDIX A

*Under Secretary of Defense for Policy (cont'd)***Report No. DODIG-2017-099 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1.c | The DoD OIG recommended that the Under Secretary of Defense for Policy conduct Department of Defense activities authorized under 10 U.S.C. § 2282 in accordance with Office of Management and Budget Circular No. A-11 and all applicable Department of Defense and other U.S. Government statutes, directives, and guidance for Department of Defense programs by issuing updated instructions to support effective program implementation, execution, and management oversight. | 8 months 10 days |

Report No. DODIG-2017-119, “The Global Discovery Program and DoD Counternarcotics Agreements,” September 11, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Under Secretary of Defense for Policy review the circumstances that led to ineffective management and oversight of the Global Discovery Program and, if appropriate, initiate action to hold personnel accountable. | 6 months 20 days |
| B.1 | The DoD OIG recommended that the Under Secretary of Defense for Policy immediately conduct a review to determine whether the Office of the Deputy Assistant Secretary of Defense for Counternarcotic and Global Threats has the experienced personnel and controls to effectively oversee the counternarcotics program. | 6 months 20 days |

APPENDIX A

Under Secretary of Defense for Policy (cont'd)

Report No. DODIG-2018-018, "Implementation of the DoD Leahy Law Regarding Allegations of Child Sexual Abuse by Members of the Afghan National Defense and Security Forces," November 16, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Secretary of Defense designate an Office of Primary Responsibility to develop and implement detailed procedures on gross violation of human rights reporting within the Department. | 4 months 15 days |
| A.2 | The DoD OIG recommended that the U.S. Forces–Afghanistan Commander establish more detailed procedures for DoD-affiliated personnel in Afghanistan to report allegations of child sexual abuse committed by Afghan National Defense and Security Forces personnel, and other human rights violations, including procedures that verify U.S. Forces–Afghanistan Staff Judge Advocate receives such reports. | 4 months 15 days |
| B.1 | The DoD OIG recommended that the Secretary of Defense define “credible information” as it applies to gross violation of human rights determinations and the DoD Leahy Law. | 4 months 15 days |
| B.2 | The DoD OIG recommended that the Secretary of Defense establish the specific process by which DoD Leahy Law credible information determinations are made. | 4 months 15 days |
| B.3 | The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia establish and implement a records management policy for all alleged gross violations of human rights in Afghanistan. Specifically, this policy should require that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia maintain documentation sufficient to identify how and why credible information determinations were made and to clearly identify what credibility determinations were made in each case. | 4 months 15 days |
| C | The DoD OIG recommended that the Secretary of Defense develop procedures for application of the DoD Leahy Law, as stated in our recommendations from Findings A and B, including requiring timeframes for reaching credible information decisions. | 4 months 15 days |

APPENDIX A

*Under Secretary of Defense for Policy (cont'd)***Report No. DODIG-2018-018 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| D.1 | The DoD OIG recommended that the Secretary of Defense issue guidance outlining the requirements for creating and maintaining an official system to track gross violation of human rights information, which could include allegations of child sexual abuse by Afghan National Defense and Security Forces personnel in Afghanistan. | 4 months 15 days |
| D.2 | The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Afghanistan, Pakistan, and Central Asia review the U.S. Central Command and U.S. Special Operations Command historical records to determine whether allegations of child sexual abuse by Afghan National Defense and Security Forces personnel are gross violations of human rights that require further review by U.S. Forces–Afghanistan or the Gross Violation of Human Rights Forum. Subsequently, if those allegations have credible information, determine what actions should be taken to comply with the DoD Leahy Law. | 4 months 15 days |

Report No. DODIG-2018-046, “DoD Antiterrorism Programs,” December 21, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Under Secretary of Defense for Policy conduct a review to ensure the Services are tracking the mitigation status of installation vulnerabilities in the DoD-required assessment database of record. | 3 months 10 days |

APPENDIX A

Under Secretary of Defense for Policy (cont'd)

Report No. DODIG-2018-061, "Report of Investigation: Defense POW/MIA Accounting Agency Interactions with Family Members of Corporal Joseph Hargrove, U.S. Marine Corps," January 22, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency fully implement case management system by January 2019. | 2 months 9 days |
| 2 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency ensure all personnel working on cases have access to all relevant information and reports. | 2 months 9 days |
| 3 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency implement a process to require employees to coordinate and share case information throughout the organization. | 2 months 9 days |
| 4 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency, in conjunction with service casualty officers, implement a process to ensure questions and concerns from family members are adequately addressed and documented. | 2 months 9 days |
| 5 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency clarify the Southeast Asia analysts' relationships, reporting responsibilities, and job requirements. | 2 months 9 days |
| 6 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency develop internal controls to ensure that recovery leaders complete all search and recovery reports within 9 working days after returning from the recovery mission, in accordance with the Defense Prisoner of War/Missing in Action Accounting Agency Laboratory Manual. | 2 months 9 days |
| 7 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency develop a process to ensure all material evidence believed to be personal effects belonging to a missing Service member is analyzed in a timely manner and documented in appropriate reports. When appropriate, Defense Prisoner of War/Missing in Action Accounting Agency should proactively provide the information in these reports to the service casualty officer for dissemination to the family of the missing Service member. | 2 months 9 days |

APPENDIX A

*Under Secretary of Defense for Policy (cont'd)***Report No. DODIG-2018-061 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 8 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency develop a policy for medical and dental records, which includes guidance for when to obtain those records for each unaccounted-for Service member or document the unavailability of those records. | 2 months 9 days |
| 9 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency develop a written policy regarding communications with family members. | 2 months 9 days |
| 10 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency develop a plain language, user-friendly family conference report format. | 2 months 9 days |
| 11 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency correct the formatting issues associated with the case coordination chronology section of the family conference report. | 2 months 9 days |
| 12 | The DoD OIG recommended that the Defense Prisoner of War/Missing in Action Accounting Agency create a process to provide family members with a summary of relevant recovery efforts, without compromising the privacy interests of family members of other missing Service members. | 2 months 9 days |

APPENDIX A

*Under Secretary of Defense for Policy (cont'd)***Report No. DODIG-2018-090, "Summary Report on U.S. Direct Funding Provided to Afghanistan," March 21, 2018**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Under Secretary of Defense for Policy evaluate whether using Bilateral Financial Commitment Letters is the most effective method to manage and oversee the administering and expending of U.S. direct funding to the Ministry of Defense and the Ministry of Interior. If the non-binding Bilateral Financial Commitment Letter process is determined not the most effective method, identify a more effective method. When establishing the most effective method, the DoD OIG recommended that the Under Secretary of Defense for Policy identify more realistic and achievable terms and conditions for the Ministry of Defense and the Ministry of Interior to accomplish and show incremental improvement, and develop a formal documented process for assessing penalties against the Ministry of Defense and the Ministry of Interior for continued commitment letter violations. | 10 days |

**Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018
(Full Report is Classified)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| 3 | Recommendation is Classified. | 1 day |

APPENDIX A

*Under Secretary of Defense (Comptroller)***Report No. D-2009-062, “Internal Controls Over DoD Cash and Other Monetary Assets,” March 25, 2009**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a.(1)-(2) | The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, charge a proper appropriation, or coordinate with the Office of Management and Budget and the U.S. Treasury to: 1) obtain a waiver to hold a certain amount of cash without charging an appropriation, or 2) establish a new U.S. Treasury account symbol to charge when cash is obtained from the U.S. Treasury. | 9 years 6 days |
| A.1.b | The DoD OIG recommended that the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise DoD Financial Management Regulation 7000.14, volume 5, to reflect the implementation of Recommendation A.1.a.(1)-(2). | 9 years 6 days |

Report No. DODIG-2013-070, “Defense Agencies Initiative Did Not Contain Some Required Data Needed to Produce Reliable Financial Statements,” April 19, 2013

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.a | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise the guidance contained in the DoD Financial Management Regulation, volume 6B, chapter 5, paragraph 050301.B. to require costs of program reported in the Statement of Net Cost to be accounted for by program costs and not by appropriation, enabling the use of the Program Indicator Code attribute. | 4 years 11 months 12 days |

APPENDIX A

Under Secretary of Defense (Comptroller) (cont'd)

Report No. DODIG-2013-138, "The U.S. Air Force Academy Lacked Effective Controls Over Heritage Assets and Guest House Inventories, and Inappropriately Solicited and Accepted Monetary Gifts," September 23, 2013

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| F.1 | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise the DoD Regulation 7000.14-R, Financial Management Regulation, volume 12, chapter 30, "Operation and Use of General Gift Funds," to provide guidance and instruction to acceptance authorities on reporting for nonmonetary gifts of services to include guidance for those gifts received through volunteer gratuitous service agreements. | 4 years 6 months 8 days |

Report No. DODIG-2014-066, "Logistics Modernization Program System Not Configured to Support Statement of Budgetary Resources," May 5, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.2 | The DoD OIG recommended that the Deputy Chief Financial Officer, DoD, collaborate with the Deputy Chief Management Officer, DoD, to extend the DoD transaction codes' alignment in the Business Enterprise Architecture by expanding current definitions of business process steps to a sufficient level that allows for DoD transaction codes mapping at the specific detailed process step level. | 3 years 10 months 26 days |
| B.1.a | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, develop procedures for distributing Defense Working Capital Fund budget authority to the budget offices for recording in the Enterprise Resource Planning systems that support the Defense Working Capital Fund. The procedures should designate each Defense Working Capital Fund budget office as the funds-control official for recording all budgetary related business events and require that the Revolving Fund Directorate provide budget offices documentation supporting the anticipated annual budget authority amounts determined based on enactment of the annual Defense Appropriation Act or as provided by other legislation and prior to submission of the Defense Working Capital Fund Apportionment and Reapportionment Schedule to the Office of Management and Budget. | 3 years 10 months 26 days |

APPENDIX A

*Under Secretary of Defense (Comptroller) (cont'd)***Report No. DODIG-2014-066 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2 | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, update DoD Financial Management Regulation, volume 3, to provide suballotment procedures for the Enterprise Resource Planning systems to record and distribute each type of budget authority below the allotment level. | 3 years 10 months 26 days |

Report No. DODIG-2015-056, "Opportunities to Improve the Elimination of Intragovernmental Transactions in DoD Financial Statements,"
December 22, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.b | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review the results of the Department of the Treasury Invoice Processing Platform pilot program at the U.S. Marine Corps to determine whether it should be implemented throughout DoD. If it is determined that the Invoice Processing Platform should be implemented throughout DoD develop cost estimates and obtain funding for implementing the Invoice Processing Platform across DoD. | 3 years 3 months 9 days |
| 1.e | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review the results of the Department of the Treasury Invoice Processing Platform pilot program at the U.S. Marine Corps to determine whether it should be implemented throughout DoD. If it is determined that the Invoice Processing Platform should be implemented throughout DoD revise DoD Financial Management Regulation, volume 6B, chapter 13, "Adjustments, Eliminations, and Other Special Intragovernmental Reconciliation Procedures," to mandate the use of the Invoice Processing Platform for Buy/Sell transactions. | 3 years 3 months 9 days |
| 1.f | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review the results of the Department of the Treasury Invoice Processing Platform pilot program at the U.S. Marine Corps to determine whether it should be implemented throughout DoD. If it is determined that the Invoice Processing Platform should be implemented throughout DoD ensure implementation guidance includes procedures for reconciling and eliminating intragovernmental transactions other than Buy/Sell intragovernmental transactions including intragovernmental Benefit, Fiduciary, and Transfer transactions. | 3 years 3 months 9 days |

APPENDIX A

*Under Secretary of Defense (Comptroller) (cont'd)***Report No. DODIG-2016-064, "Other Defense Organizations and Defense Finance and Accounting Service Controls Over High-Risk Transactions Were Not Effective," March 28, 2016**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/ Chief Financial Officer, DoD, and the Deputy Chief Management Officer develop a coordinated and standardized strategy with the Defense Finance and Accounting Service and the Other Defense Organizations to exchange and manage problem disbursement data. The strategy should focus on the end-to-end integrated business process that includes the identification of key internal and compensating controls at the Defense Finance and Accounting Service and the Other Defense Organizations. | 2 years 3 days |
| 1.b | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/ Chief Financial Officer, DoD, and the Deputy Chief Management Officer oversee the implementation of the strategy developed to exchange and manage problem disbursements to ensure that the process is standardized and systemized for the Other Defense Organizations. | 2 years 3 days |

Report No. DODIG-2016-066, "Improvements Could Be Made in Reconciling Other Defense Organizations Civilian Pay to the General Ledger," March 25, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.b | The DoD OIG recommended that the Director, Defense Finance and Accounting Service–Indianapolis, develop a formal plan to reconcile civilian pay records or review reconciliations for the 14 of 15 of the remaining Other Defense Organizations they service. At a minimum, the plan should: 1) provide a time line for starting these civilian pay reconciliations, and 2) define the roles and responsibilities of Defense Finance and Accounting Service–Indianapolis and the Other Defense Organizations.* | 2 years 6 days |

* Responsibility for implementing Recommendation 1.b was transferred from DFAS to the OUSD(C).

APPENDIX A

*Under Secretary of Defense (Comptroller) (cont'd)***Report No. DODIG-2016-066 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.d | The DoD OIG recommended that the Director, Defense Finance and Accounting Service–Indianapolis, revise existing Standard Operating Procedures to clearly describe the civilian pay reconciliation process. At a minimum, the Standard Operating Procedure should: 1) identify all the components involved in the reconciliation process; 2) define the roles and responsibilities of components involved in the Other Defense Organizations civilian pay reconciliations; 3) provide the general ledger accounts (budgetary and proprietary) that are used in the reconciliation processes; and 4) establish procedures to check the accuracy of the system generated payroll accrual entry in the Defense Agency Initiatives general ledger system.* | 2 years 6 days |
| 1.e | The DoD OIG recommended that the Director, Defense Finance and Accounting Service–Indianapolis, centralize the Other Defense Organizations civilian payroll reconciliations processes.* | 2 years 6 days |

* Responsibility for implementing Recommendations 1.d and 1.e were transferred from DFAS to the OUSD(C).

Report No. DODIG-2016-086, “DoD Met Most Requirements of the Improper Payments Elimination and Recovery Act in FY 2015, but Improper Payment Estimates Were Unreliable,” May 3, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.b | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, determine the source of all disbursed obligations not reviewed for improper payments and whether those disbursements are subject to improper payment reporting requirements. | 1 year 10 months 28 days |

APPENDIX A

Under Secretary of Defense (Comptroller) (cont'd)

Report No. DODIG-2016-102, "Additional Controls Needed to Issue Reliable DoD Cost of War Reports That Accurately Reflect the Status of Air Force Operation Inherent Resolve Funds," June 23, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 4.b | The DoD OIG recommended that the Deputy Comptroller (Program/Budget), Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, examine options for automating the preparation of the Cost of War report's summary charts and corresponding footnotes to complete them more efficiently and enabling the report to be issued by the submission deadline. | 1 year 9 months 8 days |

Report No. DODIG-2016-114, "Actions Needed to Improve Reporting of Marine Corps, Navy, and Air Force Operating Materials and Supplies," July 26, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.1.a | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, update the DoD Regulation 7000.14-R, DoD Financial Management Regulation, volume 4, chapter 4, "Inventory and Related Property," to accurately and completely crosswalk Condition Codes to specific General Ledger Account Codes, which at a minimum should include explicitly crosswalking all Operating Materials and Supplies-Ammunition records with: Condition Code N to the Held in Reserve for Future Use category; Condition Code T to the Held for Use category; Condition Codes H, P, and V to the Excess, Obsolete, and Unserviceable category; and Condition Codes E, J, K, L, and Q to the Held in Reserve for Future Use category. | 1 year 8 months 5 days |
| B.1.b | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, develop and issue appropriate, Statements of Federal Financial Accounting Standards No. 3-compliant Net Realizable Value guidance for assets categorized as Excess, Obsolete, and Unserviceable Operating Materials and Supplies. | 1 year 8 months 5 days |

APPENDIX A

*Under Secretary of Defense (Comptroller) (cont'd)***Report No. DODIG-2016-114 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1.c | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, require the other Services financial management personnel to disclose in their financial statements that assets categorized as Excess, Obsolete, and Unserviceable Operating Materials and Supplies were valued at zero dollars based on Office of the Under Secretary of Defense (Comptroller) Chief Financial Officer, DoD, interim policy until appropriate Statements of Federal Financial Accounting Standards No. 3-compliant guidance is issued. | 1 year 8 months 5 days |

Report No. DODIG-2016-126 “Improvements Needed In Managing the Other Defense Organizations’ Suspense Accounts,” August 25, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.b | The DoD OIG recommended that the Directors, Defense Finance and Accounting Service—Indianapolis and—Columbus obtain the complete universe of detailed transactions supporting the suspense account balances, perform regular and recurring reconciliations of the data, and remediate any deficiencies that impact the accuracy of the balances.* | 1 year 7 months 6 days |

* Responsibility for implementing Recommendation A.1.b was transferred from DFAS to the OUSD(C).

Report No. DODIG-2017-078, “The DoD Did Not Comply With the Improper Payment Elimination and Recovery Act in FY 2016,” May 8, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a.1 | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, coordinate with DoD Improper Payment Elimination and Recovery Act reporting Components to verify that all payments are assessed for the risk of improper payments or are reporting estimated improper payments. | 10 months 23 days |

APPENDIX A

*Under Secretary of Defense (Comptroller) (cont'd)***Report No. DODIG-2017-078 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a.2 | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, coordinate with DoD Improper Payment Elimination and Recovery Act reporting Components to report consistent, accurate, complete, and statistically valid improper payment estimates in compliance with all Improper Payment Elimination and Recovery Act and Office of Management and Budget requirements. | 10 months 23 days |
| 1.c | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, review existing corrective action plans and coordinate with the appropriate reporting Components to establish planned or actual completion dates and determine if actions can be modified or updated to further reduce improper payments. | 10 months 23 days |

Report No. DODIG-2018-020, “DoD Compliance With the Digital Accountability and Transparency Act of 2014,” November 8, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.a | The DoD OIG recommended that the Senior Accountable Official responsible for the DoD’s Digital Accountability and Transparency Act compliance work with the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD; the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics (Defense Procurement and Acquisition Policy); and the Office of the Assistant Secretary of Defense (Research and Engineering) personnel to allocate adequate resources for the Digital Accountability and Transparency Act efforts; develop Digital Accountability and Transparency Act processes and procedures ensuring DoD financial and award data are collected, validated, reconciled, and reported in compliance with Office of Management and Budget Memorandum No. M-17-04; and maintain documentation as required by section 3101, title 44, United States Code, and DoD Financial Management Regulation. | 4 months 23 days |
| A.1.b | The DoD OIG recommended that the Senior Accountable Official responsible for the DoD’s Digital Accountability and Transparency Act compliance work with Office of the Assistant Secretary of Defense (Research and Engineering) personnel to develop a central repository for grant award documentation. | 4 months 23 days |

APPENDIX A

*Under Secretary of Defense (Comptroller) (cont'd)***Report No. DODIG-2018-020 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.c | The DoD OIG recommended that the Senior Accountable Official responsible for the DoD's Digital Accountability and Transparency Act compliance work with the Office of Management and Budget and Department of the Treasury to develop policies, procedures, and criteria to address the 90-day delay in the Federal Procurement Data System for DoD procurement award data to ensure compliance with the Digital Accountability and Transparency Act requirements. | 4 months 23 days |
| A.1.d | The DoD OIG recommended that the Senior Accountable Official responsible for the DoD's Digital Accountability and Transparency Act compliance work with the Office of the Assistant Secretary of Defense (Research and Engineering) to update the grant award feeder systems to interface appropriately with the Defense Assistant Award Data System, which interfaces with the Federal grant award system. | 4 months 23 days |
| A.1.e | The DoD OIG recommended that the Senior Accountable Official responsible for the DoD's Digital Accountability and Transparency Act compliance work with the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics (Defense Procurement and Acquisition Policy) to update DoD guidance to be consistent with Office of Management and Budget and Department of the Treasury guidance. | 4 months 23 days |
| A.1.f | The DoD OIG recommended that the Senior Accountable Official responsible for the DoD's Digital Accountability and Transparency Act compliance work with the Department of the Treasury to develop a process for ensuring all submission issues are resolved prior to Digital Accountability and Transparency Act reporting deadlines. | 4 months 23 days |
| B.1 | The DoD OIG recommended that the Senior Accountable Official work with the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD; the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics (Defense Procurement and Acquisition Policy); and the Office of the Assistant Secretary of Defense (Research and Engineering) personnel to develop Digital Accountability and Transparency Act processes, procedures, and internal controls to ensure compliance with Office of Management and Budget and Department of the Treasury Government-wide data elements. | 4 months 23 days |

APPENDIX A

Under Secretary of Defense (Comptroller) (cont'd)

Report No. DODIG-2018-021, "USACE Compliance With the Digital Accountability and Transparency Act of 2014," November 8, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a | The DoD OIG recommended that the Senior Accountable Official responsible for the U.S. Army Corps of Engineers' Digital Accountability and Transparency Act compliance work with the DoD and the Department of the Treasury to develop processes and procedures to identify and separate U.S. Army Corps of Engineers award data from the DoD data to ensure compliance with Digital Accountability and Transparency Act requirements, or combine the DoD and U.S. Army Corps of Engineers submissions into one Digital Accountability and Transparency Act submission including both DoD and U.S. Army Corps of Engineers data. | 4 months 23 days |
| A.1.b | The DoD OIG recommended that the Senior Accountable Official responsible for the U.S. Army Corps of Engineers' Digital Accountability and Transparency Act compliance work with the DoD, the Office of Management and Budget, and the Department of the Treasury to develop policies, procedures, and criteria to address the 90-day delay in the Federal Procurement Data System for DoD procurement award data to ensure U.S. Army Corps of Engineers' financial data related to procurement and grant awards are submitted in accordance with Digital Accountability and Transparency Act requirements. | 4 months 23 days |
| A.1.c | The DoD OIG recommended that the Senior Accountable Official responsible for the U.S. Army Corps of Engineers' Digital Accountability and Transparency Act compliance develop Digital Accountability and Transparency Act processes and procedures for ensuring the U.S. Army Corps of Engineers financial data are collected, validated, reconciled, and reported in accordance with Office of Management and Budget Memorandum No. M-17-04. | 4 months 23 days |
| B.1 | The DoD OIG recommended that the Senior Accountable Official work with the DoD, the Office of Management and Budget and the Department of the Treasury to develop policies, procedures, and criteria to address the 90-day delay in the Federal Procurement Data System for DoD procurement award data to ensure all required data elements applicable to the U.S. Army Corps of Engineers financial data related to procurement and grant awards are submitted in accordance with Digital Accountability and Transparency Act requirements. | 4 months 23 days |

APPENDIX A

Under Secretary of Defense (Comptroller) (cont'd)

Report No. DODIG-2018-041, “The Defense Finance and Accounting Service Financial Reporting Process for Other Defense Organizations’ General Funds,” December 15, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer manage the development of a universe of Other Defense Organizations’ General Fund transactions. | 3 months 16 days |

Report No. DODIG-2018-099, “Army Internal Controls Over Foreign Currency Accounts and Payments,” March 29, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.1.a | The DoD OIG recommended that the Under Secretary of Defense (Comptroller), Office of the Deputy Chief Financial Officer, revise DoD 7000.14-R, “DoD Financial Management Regulation,” volume 5, to clarify the requirements for managing foreign government contributions (burden sharing funds) and identify whether these contributions should be included in the cash holding authority. | 2 days |
| B.1.b | The DoD OIG recommended that the Under Secretary of Defense (Comptroller), Office of the Deputy Chief Financial Officer, revise DoD 7000.14-R, “DoD Financial Management Regulation,” volume 5, to clarify the requirements for managing foreign government contributions (burden sharing funds) and identify the responsibilities of the disbursing officers to obtain approval when the foreign government contributions of funds cause the limited depositary checking account balances to exceed a 7-day supply. | 2 days |
| B.1.d | The DoD OIG recommended that the Under Secretary of Defense (Comptroller), Office of the Deputy Chief Financial Officer, revise DoD 7000.14-R, “DoD Financial Management Regulation,” volume 5, to clarify the requirements for managing foreign government contributions (burden sharing funds) and ensure that disbursing officers across the DoD develop procedures to comply with the revised guidance for limited depositary checking account balances to ensure the excessive balances identified in the Army are not occurring in other parts of the DoD. | 2 days |

APPENDIX A

Under Secretary of Defense for Personnel and Readiness

Report No. DODIG-2012-122, “DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access,” August 29, 2012 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a.(1) | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, as the DoD Homeland Security Presidential Directive-12 Implementation Lead, coordinate and convene a meeting with the Under Secretary of Defense for Acquisition, Technology, and Logistics; the Under Secretary of Defense for Policy; Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD; the Under Secretary of Defense for Intelligence; the DoD Chief Information Officer, and the Director of Cost Assessment and Program Evaluations within 90 days to reassess and require each office responsible for the full implementation of HSDP-12 to provide oversight and accountability including the reprogramming of funding when appropriate or necessary. | 5 years 7 months 2 days |
| A.1.b | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, as the DoD Homeland Security Presidential Directive-12 Implementation Lead, establish a requirement for the Services and DoD agencies to report semiannually to the Under Secretary of Defense for Personnel and Readiness on the status of their Homeland Security Presidential Directive-12 implementation efforts to include compliance with the Office of Management and Budget Homeland Security Presidential Directive-12 implementation requirements. | 5 years 7 months 2 days |

Report No. DODIG-2013-072, “Data Loss Prevention Strategy Needed for the Case Adjudication Tracking System,” April 24, 2013 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B | The DoD OIG recommended that the Director of Information Management, Department of the Army Military Intelligence, and the Program Executive Officer, Defense Logistics Agency, immediately move the back-up servers to an approved location outside of the geographic region that complies with Federal and DoD information assurance requirements. If moving the back-up servers is not immediately feasible, request an interim waiver from the Designated Approving Authority and develop a time-phased plan to move the back-up servers outside of the geographic region. | 4 years 11 months 7 days |

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2013-098, "Assessment of U.S. Military Cemeteries," June 28, 2013**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3.a.(1) | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness define what constitutes an interment/inurnment record. | 4 years 9 months 3 days |
| 3.a.(2) | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and require maintenance of a standard spreadsheet of a complete record of interments. | 4 years 9 months 3 days |
| 3.a.(3) | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop a standard for temporary grave marking. | 4 years 9 months 3 days |
| 3.a.(4) | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness define and revamp the burial reservation system. | 4 years 9 months 3 days |

Report No. DODIG-2014-060, "An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies," April 14, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.4 | The DoD OIG recommended that the Director, Defense Human Resources Activity, work with General Services Administration to add Excluded Parties List System/System for Award Management to the set of databases being accessed by the Automated Continuing Evaluation System. | 3 years 11 months 17 days |
| E | The DoD OIG recommended that the Director, Defense Human Resources Activity, develop software to automatically flag the Case Adjudication Tracking System of the Defense Information System for Security family of systems that a Defense Central Index of Investigations file exists on a specific Subject. | 3 years 11 months 17 days |

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2014-079, "Evaluation of the Department of Defense Combating Trafficking in Persons Program," June 16, 2014**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness expand program monitoring by the Combating Trafficking in Persons Program Management Office to include a review of Department of Defense Components' self-assessments of their Combating Trafficking in Persons programs. | 3 years 9 months 15 days |
| 4.a.2 | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Under Secretary of Defense for Acquisition, Technology, and Logistics, the director of the Joint Staff, and the secretaries of the military departments, develop and implement specialized Combating Trafficking in Persons training for legal counsel and strategic planners. | 3 years 9 months 15 days |

Report No. DODIG-2014-093, "Inspection of the Armed Forces Retirement Home," July 23, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 21 | The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer, modify Armed Forces Retirement Home Agency Directive 8-13, "Incapable of Earning a Livelihood Designation," July 3, 2012, to reflect the established Armed Forces Retirement Home practice and the Armed Forces Retirement Home Legal Team opinion, with respect to determining eligibility of those deemed incapable of earning a livelihood. | 3 years 8 months 8 days |
| 23 | The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer, in coordination with Armed Forces Retirement Home—Gulfport and Armed Forces Retirement Home—Washington, D.C. facility Administrators, review and revise the standard operating procedures and directives to resolve any contradictions. | 3 years 8 months 8 days |

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2014-093 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 45 | The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer, establish a security guard program that meets the minimum qualification and training requirements of Department of Defense or Department of Homeland Security Federal Protective Service guards, including a plan to respond to an active shooter incident. | 3 years 8 months 8 days |
| 47.b(2) | The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer, update directives and standard operating procedures regarding the disposition of decedent's effects and estates to ensure they expand on the documentation requirements needed to verify conduct of all employees in these matters. | 3 years 8 months 8 days |
| 47.c | The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer, develop policies that require each facility Administrator to arrange for training and implement other measures to ensure that Armed Forces Retirement Home staff involved in the disposition of decedents' effects and the administration of estates are knowledgeable about their roles. | 3 years 8 months 8 days |
| 53.b | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, under the authority given to the Secretary of Defense in section 411(d)(3), title 24, United States Code, issue a directive-type memorandum for immediate action (followed by a revision of Department of Defense Instruction 1000.28, "Armed Forces Retirement Home," February 1, 2010) to codify the results from Recommendation 53.a. | 3 years 8 months 8 days |

APPENDIX A

Under Secretary of Defense for Personnel and Readiness (cont'd)

Report No. DODIG-2015-001, "Assessment of the Department of Defense Prisoner of War/Missing in Action Accounting Community,"
October 17, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 5 | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, establish DoD-wide policy regarding the disinterment of unknowns from past conflicts. | 3 years 5 months 14 days |

Report No. DODIG-2015-002, "Assessment of DoD-Provided Healthcare for Members of the United States Armed Forces Reserve Components,"
October 8, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish guidance that requires all Active Component service members who transfer into the Selected Reserve meet Individual Medical Readiness requirements. | 3 years 5 months 23 days |
| 4 | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish policy that assigns responsibilities to Commanders and medical authorities to manage medical histories and line of duty documentation for deployed or temporary duty RC service members in a standardized manner across all Services, so that both are complete and available to their units in a timely manner. | 3 years 5 months 23 days |
| 5 | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish standardized DoD form(s) and procedures that provide access for all Reserve Component service members to line of duty care at all military treatment facilities. | 3 years 5 months 23 days |

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2015-011, "Evaluation of the Defense Criminal Investigative Organizations' Defense Incident-Based Reporting System Reporting and Reporting Accuracy," October 29, 2014**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Director, Defense Human Resources Activity, provide functional guidance to Defense Manpower Data Center and the Defense Incident-Based Reporting System (DIBRS) data submitters by reestablishing the cross-functional DIBRS Council to provide a forum for the exchange of information, best practices, and the continuing operation of the DIBRS, as required by DoD Manual 7730.47-M, volume 1. | 3 years 5 months 2 days |

Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.a | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness publish guidance requiring suicide event boards to establish a multidisciplinary approach for obtaining the data necessary to make comprehensive Department of Defense Suicide Event Report submissions. For each suicide death this board should: 1) be a locally (command or installation level) chartered board with defined task, purpose, and outcome for each suicide death review; 2) include participation by unit leadership, medical/mental health, and Military Criminal Investigative Organizations; and 3) articulate the requirement to appropriately share information (for example, medical and law enforcement reports) from ongoing investigations. | 3 years 4 months 17 days |
| 3.a | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in accordance with Recommendation 2.a, publish guidance requiring a suicide event board to enable a multidisciplinary approach for obtaining the data required to make a comprehensive Department of Defense Suicide Event Report submission. | 3 years 4 months 17 days |

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2015-016 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 4.a | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness authorize senior commanders to produce unit/installation reports to better understand suicide trends, make informed local suicide prevention policy, and relate their trends to Service and DoD trends. | 3 years 4 months 17 days |

Report No. DODIG-2015-078, "Evaluation of the Defense Criminal Investigative Organizations' Compliance with the Lautenberg Amendment Requirements and Implementing Guidance," February 6, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.1 | The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 to incorporate language requiring commanders and supervisors to advise all employees (military and civilian) found to have a qualifying conviction to dispose of their privately owned firearms and ammunitions in accordance with the law. | 3 years 1 month 25 days |
| C.1 | The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 to require all employees (military and civilian) serving in a covered position to complete the DD Form 2760 annually, at a minimum. | 3 years 1 month 25 days |
| D | The DoD OIG recommended that the Office of the Deputy Assistant Secretary of Defense for Military Community and Family Policy revise DoD Instruction 6400.06 by removing the requirement for a separate memorandum of understanding executed between civilian law enforcement and the Military Criminal Investigative Organizations. | 3 years 1 month 25 days |

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2015-148, "Rights of Conscience Protections for Armed Forces Service Members and Their Chaplains," July 22, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness in coordination with the Military Services, review the practicality of the requirement for final review of requests for accommodation of religious practices by the Secretary's of the Military Departments within 30 days for cases arising within the United States and 60 days for all other cases, and modify the requirement accordingly. | 2 years 8 months 9 days |
| 4 | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness amend Department of Defense Instruction 1300.17 to state that requests by service members for accommodation of religious practices that are approved by the delegated authorities of the Secretaries of the Military Departments remain in effect unless suspended or revoked by said authority at the request of a commander following the reassignment, transfer, or significant change in circumstances of the service member. | 2 years 8 months 9 days |
| 5 | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Office of General Counsel, develop and publish additional guidance regarding the criteria and process for adjudicating religious accommodation requests that include the use of controlled substances. | 2 years 8 months 9 days |
| 7 | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, in coordination with the Office of Diversity Management and Equal Opportunity, the Service Military Equal Opportunity and Inspectors General Offices, and the Defense Privacy and Civil Liberties Division, develop a more efficient and effective means of gathering data in support of the mandated report to Congress detailing DoD civil liberties oversight efforts, including those data regarding the status of rights of conscience protections for service members. | 2 years 8 months 9 days |

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2016-002, "DoD Needs a Comprehensive Approach to Address Workplace Violence," October 15, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.a | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, based on the results of Recommendation 1, revise current policies and procedures and integrate existing programs to develop a comprehensive DoD-wide approach to address prevention and response to workplace violence. | 2 years 5 months 16 days |
| 2.b | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness develop and issue interim, comprehensive DoD-wide policy for the prevention and response to workplace violence until the publication of final policies and procedures. | 2 years 5 months 16 days |

Report No. DODIG-2016-127, "DoD Officials Did Not Take Appropriate Action When Notified of Potential Travel Card Misuse at Casinos and Adult Entertainment Establishments," August 30, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.c | The DoD OIG recommended that the Director, Defense Travel Management Office establish a working group with Citibank, component program managers, and others necessary to identify whether automated tools exist or could be developed to deactivate and close the travel card account automatically when cardholders separate. | 1 year 7 months 1 day |

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2017-038, "Assessment of Warriors in Transition Program Oversight," December 31, 2016**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness define and direct common inspection criteria and a standardized reporting format for the Military Departments' Inspectors General Triennial Disability Evaluation System reports. | 1 year 3 months |
| A.2 | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness revise DoD Instruction 1332.18, "Disability Evaluation System," August 5, 2014, to clarify the application of the Instruction for record-of-proceedings. | 1 year 3 months |
| B.1 | The DoD OIG recommended that the Deputy Assistant Secretary of Defense (Warrior Care Policy) establish guidance for writing Recovery Coordination Program oversight reports that include the requirement to specifically assign a person or organization to take action on each recommendation. | 1 year 3 months |
| B.2 | The DoD OIG recommended that the Deputy Assistant Secretary of Defense (Warrior Care Policy) establish policy that ensures that follow-up of all Recovery Coordination Program oversight report recommendations until corrective actions are complete. | 1 year 3 months |

Report No. DODIG-2017-089, "Evaluation of Military Services' Compliance with Military Accessions Vital to the National Interest Program Security Review and Monitoring Programs," June 27, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| 2.a | Recommendation is Classified. | 9 months 4 days |
| 2.b | Recommendation is Classified. | 9 months 4 days |
| 2.c | Recommendation is Classified. | 9 months 4 days |

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2017-123, "The Troops-to-Teachers Program," September 28, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Assistant Secretary of Defense for Readiness develop and implement policies to clearly define the Troops to Teachers program requirements for participant eligibility. | 6 months 3 days |
| 1.b | The DoD OIG recommended that the Assistant Secretary of Defense for Readiness develop and implement policies to implement, manage, and oversee the Troops to Teachers grant program to ensure the planned way forward complies with regulations. | 6 months 3 days |

Report No. DODIG-2018-034, "Armed Forces Retirement Home Healthcare Services," December 14, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.a | The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer require that the Healthcare Services Chief at each facility develop and implement a process for regular reviews of provider visits to ensure that providers see residents in long-term care at the required frequency, and that resident healthcare needs are met. | 3 months 17 days |
| A.1.b | The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer require that the Healthcare Services Chief at each facility review and align current healthcare practices with approved facility-level standard operating procedures for documenting the administration of medications and treatments, conducting infection-control rounds, and monitoring cold-storage medications. | 3 months 17 days |

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2018-034 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.1.a | The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer require that the Healthcare Services Chief at each facility develop and implement administrative controls over controlled substances, including establishing a clear chain of custody from the receipt of a controlled substance from the supporting military pharmacy to its release to the intended resident. | 3 months 17 days |
| B.1.b | The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer require that the Healthcare Services Chief at each facility develop and implement administrative controls over controlled substances, including establishing procedures requiring Wellness Center personnel to implement a reconciliation process to maintain appropriate accountability and control of controlled substances stored in Armed Forces Retirement Home facilities. | 3 months 17 days |
| B.1.c | The DoD OIG recommended that the Armed Forces Retirement Home Chief Operating Officer require that the Healthcare Services Chief at each facility develop and implement administrative controls over controlled substances, including updating facility-level standard operating procedures to identify the people or billets with authorized access to Wellness Center medication storage areas. | 3 months 17 days |

Report No. DODIG-2018-036, "DoD's Response to the Patient Safety Elements in the 2014 Military Health System Review," December 14, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| D.2 | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness establish and implement specific Department of Defense policy on fatigue risk management for Military Health System staff. | 3 months 17 days |

APPENDIX A

Under Secretary of Defense for Personnel and Readiness (cont'd)

**Report No. DODIG-2018-077, "Financial Management and Contract Award and Administration for the Armed Forces Retirement Home,"
February 21, 2018**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a | The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, establish and implement a long-term strategy for maintaining fiscal solvency at the Armed Forces Retirement Home that uses supported estimates of future revenue streams, establishes a trust fund minimum balance, and updates the replenishment plan as additional revenues streams are realized. | 1 month 10 days |
| A.1.b | The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, develop a plan of action with milestones to implement the approved initiatives from the study of Armed Forces Retirement Home operations that will replenish the trust fund to a level that will ensure long-term solvency and allow the Armed Forces Retirement Home to meet its responsibilities to veterans. Specific action should be taken to lease non-excess Armed Forces Retirement Home property, and increase the amount withheld each month from the pay of active duty enlisted members, warrant officers, and limited duty officers of the Armed Forces from \$0.50 to \$1.00, if other funding sources are not identified. | 1 month 10 days |
| A.1.c | The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, quantify the impact each major capital project has on the Armed Forces Retirement Home Trust Fund balance and describe the effects on the resident population of the Armed Forces Retirement Home. In addition, the Deputy Chief Management Officer should establish a threshold in which it considers a capital project to be a major capital project and require that the Armed Forces Retirement Home detail how the major capital project risks will be isolated, minimized, monitored, and controlled to prevent problems associated with investment cost, schedule, and performance. | 1 month 10 days |
| B.1.a | The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, review the actions of the Chief Financial Officer as the official responsible for the procurement program at the Armed Forces Retirement Home to determine whether management action is appropriate to hold the Chief Financial Officer accountable for the contracting problems experienced by the Armed Forces Retirement Home. | 1 month 10 days |

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2018-077 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.1.b | The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, follow the section 813, National Defense Authorization Act for FY 2017, guidance to avoid using lowest price technically acceptable source selection criteria when contracting officials recomplete the major requirements for the consulting contract. | 1 month 10 days |
| B.1.c | The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, develop and implement an acquisition strategy to reduce the likelihood of future problems with the nursing contracts. When developing the acquisition strategy, also determine whether the Armed Forces Retirement Home needs to change how it schedules Government nurses. | 1 month 10 days |
| B.1.d | The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, review the duties of the Chief Financial Officer and the head of procurement and design and implement appropriate internal controls or segregate the duties. | 1 month 10 days |

Report No. DODIG-2018-079, "Followup Audit: Transfer of Service Treatment Records to the Department of Veterans Affairs,"
February 22, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended the Under Secretary of Defense for Personnel and Readiness, in coordination with the Defense Health Agency Director, develop a plan and timeline to ensure the Military Departments implement the DD Form 3024 if it has not been implemented by December 31, 2017. | 1 month 9 days |

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2018-079 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.b | The DoD OIG recommended the Under Secretary of Defense for Personnel and Readiness, in coordination with the Defense Health Agency Director, determine, once the DD Form is implemented, whether the Periodic Health Assessment and Individual Medical Readiness programs are adequate to satisfy the service members' Service Treatment Records annual review requirement. | 1 month 9 days |
| 1.c | The DoD OIG recommended the Under Secretary of Defense for Personnel and Readiness, in coordination with the Defense Health Agency Director, conduct periodic checks of Service Treatment Records transferred to the Department of Veterans Affairs in order to ensure compliance with the timeliness and completeness requirements in DoD Instruction 6040.45. The periodic checks should include Service Treatment Records of separated personnel from every Military Department. | 1 month 9 days |

Report No. DODIG-2018-081, "The Army's Tactical Explosive Detection Dog Disposition Process from 2011 to 2014," March 1, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1 | The DoD OIG recommended that the Under Secretary of Defense for Personnel and Readiness, as the Principal Staff Assistant for the DoD Military Working Dog Program, revise DoD Directive 5200.31E, "DoD Military Working Dog (MWD) Program," dated August 10, 2011, to clarify Military Working Dog Executive Agent management and oversight authorities in cases where needs of the Services require nontraditional Military Working Dog programs. | 30 days |

APPENDIX A

Under Secretary of Defense for Personnel and Readiness (cont'd)

Report No. DODIG-2018-093, "DoD Voting Assistance Programs for Calendar Year 2017," March 30, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Federal Voting Assistance Program Director, in coordination with the Undersecretary of Defense for Personnel and Readiness, coordinate with the Navy senior service voting representative to bring the Navy voting assistance program into compliance with section 1566, title 10, United States Code, and DoD Instruction 1000.04, "Federal Voting Assistance Program (FVAP)," September 13, 2012, with regard to unit voting assistance officers. | 1 day |
| A.2 | The DoD OIG recommended that the Federal Voting Assistance Program Director, in coordination with the Undersecretary of Defense for Personnel and Readiness, coordinate with the Air Force senior service voting representative to bring the Air Force voting assistance program into compliance with section 1566, title 10, United States Code, and DoD Instruction 1000.04, "Federal Voting Assistance Program (FVAP)," September 13, 2012, with regard to unit voting assistance officers. | 1 day |
| B.1.a | The DoD OIG recommended that the Federal Voting Assistance Program Director, in coordination with the Undersecretary of Defense for Personnel and Readiness, clarify or revise DoD Instruction 1000.04 to ensure that all elements of The Uniformed and Overseas Citizens Absentee Voting Act population, including all eligible civilian U.S. citizens residing outside the United States, are included in all provisions of DoD Instruction 1000.04 "Federal Voting Assistance Program (FVAP)," September 13, 2012. | 1 day |
| B.1.b | The DoD OIG recommended that the Federal Voting Assistance Program Director, in coordination with the Undersecretary of Defense for Personnel and Readiness, clarify or revise DoD Instruction 1000.04 to specify what guidance "written voting-related policies" should contain. | 1 day |
| B.1.c | The DoD OIG recommended that the Federal Voting Assistance Program Director, in coordination with the Undersecretary of Defense for Personnel and Readiness, clarify or revise DoD Instruction 1000.04 to establish a standardized reporting format for the Service Inspectors General annual voting assistance program report to the DoD OIG. | 1 day |
| B.2 | The DoD OIG recommended that the Federal Voting Assistance Program Director, in coordination with the Undersecretary of Defense for Personnel and Readiness, clarify or revise DoD Instruction 1000.04, enclosure 4, paragraph 2.n, to require that the Inspectors General of the Army, Navy, Air Force, and Marine Corps complete their annual reviews and report the results to the DoD IG by December 1 of each year. | 1 day |

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2018-095, "Defense Human Resources Activity Reimbursable Agreements," March 27, 2018**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Defense Human Resources Activity Director develop and implement procedures requiring Defense Human Resources Activity personnel to document the performance of the required annual and triennial reviews after reimbursable agreement approval, as required by DoD Instruction 4000.19, "Support Agreements," April 25, 2013. | 4 days |
| B.1.a | The DoD OIG recommended that the Defense Human Resources Activity Director complete a preliminary review of the potential Antideficiency Act violations within 14 weeks of initial discovery as required by DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 14, chapter 3, and provide the results of the preliminary investigation to the DoD OIG. | 4 days |
| B.1.b | The DoD OIG recommended that the Defense Human Resources Activity Director implement procedures to review all reimbursable programs to identify and correct funding and reimbursement processes that are not cost-effective. | 4 days |
| B.1.c | The DoD OIG recommended that the Defense Human Resources Activity Director implement procedures to maintain a centralized database containing reimbursable agreements and related funding documents for reimbursable agreements that went into effect before FY 2017. | 4 days |
| B.1.d | The DoD OIG recommended that the Defense Human Resources Activity Director implement an audit infrastructure that allows for the provision of records, documentation, and other information within 5 business days, in accordance with "Financial Improvement and Audit Readiness" guidance, April 2016. | 4 days |
| B.2.a | The DoD OIG recommended that the Defense Human Resources Activity Director implement corrective action plans, document Defense Agencies Initiative procedures, and test Defense Agencies Initiative to ensure personnel record expense transactions in the reporting period that the services are provided, as required DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 4, chapter 17. | 4 days |
| B.2.b | The DoD OIG recommended that the Defense Human Resources Activity Director implement corrective action plans, document Defense Agencies Initiative procedures, and test Defense Agencies Initiative to ensure personnel record payment transactions concurrent with or after recording the corresponding expense and accounts payable transactions to prevent abnormal accounts payable. | 4 days |

APPENDIX A

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2018-095 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.2.c | The DoD OIG recommended that the Defense Human Resources Activity Director implement corrective action plans, document Defense Agencies Initiative procedures, and test Defense Agencies Initiative to ensure personnel record corresponding revenue and expense transactions in the same reporting period, including procedures to reconcile revenue and expense transactions, as required by DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 4, chapters 16 and 17. | 4 days |
| B.3 | The DoD OIG recommended that the Defense Human Resources Activity Director, in coordination with the Defense Finance and Accounting Service–Columbus Director, develop and implement a plan to identify and correct all misstated account balances converted from the Defense Business Management System, including the \$4.7 million misstatement due to expense transactions exceeding revenue transactions and the \$9.3 million misstatement due to incorrectly accounting for advanced billing in prior fiscal years. | 4 days |

Report No. DODIG-2018-096, "Followup Audit: The Defense Enrollment Eligibility Reporting System Security Posture," March 30, 2018
(Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|----------------------------------|
| 1.a | (FOUO) The DoD OIG recommended that the Defense Manpower Data Center Director update the Defense Enrollment Eligibility Reporting System server [REDACTED] in accordance with National Institute of Standards and Technology Special Publication 800-53 requirements. | 1 day |
| 1.b | The DoD OIG recommended that the Defense Manpower Data Center Director establish a centralized procedure for out-processing terminated personnel. | 1 day |
| (FOUO) | | |

*Under Secretary of Defense for Personnel and Readiness (cont'd)***Report No. DODIG-2018-096 (Full Report is FOUO) (cont'd)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|--|
| 1.c | The DoD OIG recommended that the Defense Manpower Data Center Director identify and appoint trusted agents responsible for revoking access for out-processing terminated personnel. | 1 day |
| 1.d | (FOUO) The DoD OIG recommended that the Defense Manpower Data Center Director [REDACTED] | 1 day |
| (FOUO) | | |

APPENDIX A

Under Secretary of Defense for Intelligence

Report No. DODIG-2012-122, “DoD Should Procure Compliant Physical Access Control Systems to Reduce the Risk of Unauthorized Access,” August 29, 2012 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.2 | The DoD OIG recommended that the Under Secretary of Defense for Intelligence establish a requirement for the heads of DoD Components to report semiannually to the Under Secretary of Defense for Intelligence on the number of physical access control systems that comply with Federal Information Processing Standard 201. The findings should be forwarded to the Under Secretary of Defense for Personnel and Readiness to assist in updating the DoD Homeland Security Presidential Directive-12 Implementation Plan and the DoD Office of the Inspector General. The report should include information such as: a) listing of facilities with installed physical access control systems and physical access control equipment that comply with Federal Information Processing Standard 201; and b) listing of facilities required to install or upgrade physical access control systems and physical access control equipment to comply with Federal Information Processing Standard 201, including completion time frame. | 5 years 7 months 2 days |

Report No. DODIG-2012-124, “DoD Efforts to Protect Critical Program Information: The Navy’s EA-18G “Growler,” September 4, 2012 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.2.a | The DoD OIG recommended that the Under Secretary of Defense for Policy, in coordination with the Under Secretary of Defense for Intelligence, harmonize the requirements of their respective policies directing the use of the Foreign Visits System-Confirmation Module to confirm the occurrence of official visits by foreign nationals to DoD component facilities where classified, controlled unclassified information, and critical program information are resident. | 5 years 7 months 1 day |

APPENDIX A

Under Secretary of Defense for Intelligence (cont'd)

Report No. DODIG-2013-004, "Audit of Hotline Allegations Involving Defense Intelligence Mission Area," October 17, 2012
(Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Under Secretary of Defense for Intelligence determine where to align the Defense Intelligence Mission Area portfolio, formally establish the program management office, and execute the Defense Intelligence Mission Area in accordance with DoD policy. | 5 years 5 months 14 days |

Report No. DODIG-2013-142, "DoD Evaluation of Over-Classification of National Security Information," September 30, 2013

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Under Secretary of Defense for Intelligence provide the implementation status of DoD Component actions to include a critical element on security in the Component's performance evaluations. | 4 years 6 months 1 day |
| A.2.a-e | The DoD OIG recommended that the Under Secretary of Defense for Intelligence revise policy to incorporate template language for security classification guides that is consistent with the intent of Executive Order 13526, as follows: a) Section 5.3 of Executive Order 13526 and Enclosure 4, paragraph 22 of DoD Manual 5200.01, volume 1, "DoD Information Security Program: Overview, Classification, and Declassification," February 24, 2012, contain guidance for individuals who wish to challenge information that they believe has been improperly or unnecessarily classified; b) such challenges are encouraged and expected and should be forwarded through the appropriate channels to the office of primary responsibility; c) pending final decision, handle and protect the information at its current classification level or at the recommended change level, whichever is higher; d) challenges should include sufficient description to permit identification of the specific information under challenge with reasonable effort; and e) challenges should include detailed justification outlining why the information is improperly or unnecessarily classified. | 4 years 6 months 1 day |

APPENDIX A

*Under Secretary of Defense for Intelligence (cont'd)***Report No. DODIG-2013-142 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B | The DoD OIG recommended that the Under Secretary of Defense for Intelligence direct Component reviews of Original Classification Authority positions to ensure that the position is needed. | 4 years 6 months 1 day |
| C.1 | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, in coordination with the Under Secretary of Defense for Acquisition, Technology, and Logistics, incorporate into policy that security classification guides forwarded to the Defense Technical Information Center must be forwarded with the requisite DD Form 2024, and signed by the appropriate Original Classification Authority to ensure accountability. | 4 years 6 months 1 day |
| C.2 | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, in coordination with the Under Secretary of Defense for Acquisition, Technology, and Logistics, incorporate into policy that Defense Technical Information Center not accept DD Forms 2024 that are not completely filled out and signed by the appropriate agency. | 4 years 6 months 1 day |
| C.3 | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, in coordination with the Under Secretary of Defense for Acquisition, Technology, and Logistics, incorporate into policy that a time requirement for the submission of updated security classification guides be established. | 4 years 6 months 1 day |
| C.4 | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, in coordination with the Under Secretary of Defense for Acquisition, Technology, and Logistics, incorporate into policy that reminders be sent to organizations as security classification guides near biennial review requirements. | 4 years 6 months 1 day |

APPENDIX A

Under Secretary of Defense for Intelligence (cont'd)

Report No. DODIG-2014-060, "An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies,"
April 14, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, develop and issue an overarching policy governing operation of the System of Record for Personnel Security Clearances. | 3 years 11 months 17 days |
| A.2.b | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, finalize updates to-or replacements for-the personnel security portions of DoD 5220.22-R, "Industrial Security Regulation," December 1985. | 3 years 11 months 17 days |
| A.2.c | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, finalize updates to-or replacements for-the personnel security portions of DoD 5220.22-M-Sup 1, "National Industrial Security Program: Operating Manual Supplement," February 1995. | 3 years 11 months 17 days |
| B.1.a | The DoD OIG recommended that the Under Secretary of Defense for Intelligence prepare an overarching policy governing the operation of the Defense Central Index of Investigations, including identification of the categories of investigations to be titled and indexed, and the retention criteria for investigations so titled and indexed. | 3 years 11 months 17 days |
| B.1.b | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, direct the Defense intelligence agencies to review the procedures that their Offices of Security use to ensure that the Joint Personnel Adjudicative System and SCATTERED CASTLES system are being properly populated. | 3 years 11 months 17 days |
| B.1.c | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, direct the Defense intelligence agencies to ensure that the Subjects of Agency Inspector General criminal investigations are titled and indexed in the Defense Central Index of Investigations in accordance with DoD Instruction 5505.16. | 3 years 11 months 17 days |

APPENDIX A

*Under Secretary of Defense for Intelligence (cont'd)***Report No. DODIG-2014-060 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1.d | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, conduct one of the following actions to ensure Subjects of past investigations are titled and indexed in the Defense Central Index of Investigations (DCII): 1) initiate action with the Office of Personnel and Management to require that OPM investigators conducting background investigations on current and former civilian employees, military assignees, and contract employees of the Defense intelligence agencies conduct name checks with the inspector generals of those agencies; or 2) direct that the Directors of the Agencies ensure that the Subjects of past agency inspector general criminal investigations are titled and indexed in DCII. | 3 years 11 months 17 days |
| C | The DoD OIG recommended that the Under Secretary of Defense for Intelligence, initiate the process to revise Executive Order 12968 by requiring that in substantiated misconduct cases personnel security clearance adjudicative actions continue, even if the contractor employee has been terminated and/or no longer has access to classified information. If the misconduct is sufficient to warrant denial or revocation of security clearance/access, then that action should be formally accomplished. | 3 years 11 months 17 days |

Report No. DODIG-2016-081, "Evaluation of U.S. Intelligence and Information Sharing with Coalition Partners in Support of Operation Inherent Resolve," April 25, 2016 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|----------------------------------|
| A.2.a | (FOUO) [REDACTED] | 1 year 11 months 6 days |
| A.2.b | (FOUO) [REDACTED] | 1 year 11 months 6 days |
| (FOUO) | | |

APPENDIX A

*Under Secretary of Defense for Intelligence (cont'd)***Report No. DODIG-2016-081 (Full Report is Classified) (cont'd)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|--|
| A.3 | (FOUO) | 1 year 11 months 6 days |
| (FOUO) | | |

Report No. DODIG-2016-125, "Evaluation of the DoD Nuclear Enterprise Governance," September 19, 2016 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|--|
| B.1.a | The DoD OIG recommended that the Under Secretary of Defense for Intelligence develop and oversee implementation policy, programs, plans, and guidance for Defense Intelligence warfighting support for the U.S. nuclear mission to combatant commands. | 1 year 6 months 12 days |
| B.1.b | The DoD OIG recommended that the Under Secretary of Defense for Intelligence evaluate and oversee U.S. nuclear enterprise intelligence activities of the Defense Intelligence Agency, National Security Agency, National Geospatial-Intelligence Agency, and National Reconnaissance Office to ensure effective support to DoD and U.S. Government interagency operations and activities. | 1 year 6 months 12 days |
| C.3 | The DoD OIG recommended that the Under Secretaries of Defense and the Chairman, Joint Chiefs of Staff, document and track nuclear enterprise deficiencies or recommendations identified in Federal Advisory Committee reports, Government Accountability reports, Department of Defense Office of Inspector General reports, or reports produced by other task forces. | 1 year 6 months 12 days |

APPENDIX A

Under Secretary of Defense for Intelligence (cont'd)

Report No. DODIG-2017-049, "Unclassified Report of Investigation on Allegations Relating to U.S. Central Command Intelligence Products," January 31, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 17 | The DoD OIG recommended that the Under Secretary of Defense (Intelligence) track and follow up on the Defense Intelligence Agency's training and certification of all Defense Intelligence Agency employees serving at U. S. Central Command. | 1 year 2 months |

Report No. DODIG-2017-051, "Evaluation of the Defense Cover Program's Oversight Process," January 30, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Office of the Secretary of Defense for Intelligence's Human Intelligence and Sensitive Activities Directorate create written instructions in the form of a standard operating procedure, or similar type guidance to, to standardize the Defense Cover Program oversight process, and provide the DoD OIG the timeline and milestones for the development of the standard operating procedure. | 1 year 2 months 1 day |

Report No. DODIG-2017-089, "Evaluation of Military Services' Compliance with Military Accessions Vital to the National Interest Program Security Review and Monitoring Programs," June 27, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| 1.a | Recommendation is Classified. | 9 months 4 days |
| 1.b | Recommendation is Classified. | 9 months 4 days |

Under Secretary of Defense for Intelligence (cont'd)

**Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018
(Full Report is Classified)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| 2 | Recommendation is Classified. | 1 day |

APPENDIX A

Chief Management Officer

Report No. DODIG-2015-010, “Defense Logistics Agency Did Not Fully Implement the Enterprise Business System Procure-To-Pay Business Process in the Enterprise Business System,” October 28, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 4 | The DoD OIG recommended that the Deputy Chief Management Officer conduct a comprehensive business process re-engineering assessment of the Defense Logistics Agency’s Procure-to-Pay phases affected by the Enterprise Business System and EProcurement. | 3 years 5 months 3 days |

Report No. DODIG-2016-124, “DoD Freedom of Information Act Policies Need Improvement,” August 16, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Deputy Chief Management Officer update DoD Directive 5400.07 and DoD Regulation 5400.7-R, “DoD Freedom of Information Act Program,” to comply with DoD Instruction 5025.01 and include requirements of Executive Order 13392 and the “OPEN Government Act of 2007.” | 1 year 7 months 13 days |
| 1.b | The DoD OIG recommended that the Deputy Chief Management Officer incorporate the notification procedures for “significant” FOIA releases into DoD Regulation 5400.7-R. | 1 year 7 months 13 days |

APPENDIX A

Chief Management Officer (cont'd)

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| H.1.a | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to immediately perform a comprehensive review of all their criminal investigative databases and files to ensure all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses at least to 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and FBI requirements. | 3 months 27 days |
| H.1.b | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that supervisory and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is regularly inspected. | 3 months 27 days |
| H.1.c | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to conduct a comprehensive review of their criminal history reporting programs to ensure all fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11, and have been implemented. | 3 months 27 days |
| H.1.d | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and deoxyribonucleic acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases. | 3 months 27 days |

APPENDIX A

*Chief Management Officer (cont'd)***Report No. DODIG-2018-071, "Evaluation of the Pentagon Force Protection Agency's Critical Law Enforcement Programs," February 14, 2018**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Office of the Chief Management Officer revise DoD Directive 5105.68, "Pentagon Force Protection Agency (PFPA)," December 5, 2013, (Incorporating Change 1, December 6, 2017), to direct the Pentagon Force Protection Agency to comply with the provisions of DoD Instruction 5505.18. | 1 month 17 days |

Report No. DODIG-2018-077, "Financial Management and Contract Award and Administration for the Armed Forces Retirement Home," February 21, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a | The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, establish and implement a long-term strategy for maintaining fiscal solvency at the Armed Forces Retirement Home that uses supported estimates of future revenue streams, establishes a trust fund minimum balance, and updates the replenishment plan as additional revenues streams are realized. | 1 month 10 days |
| A.1.b | The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, develop a plan of action with milestones to implement the approved initiatives from the study of Armed Forces Retirement Home operations that will replenish the trust fund to a level that will ensure long-term solvency and allow the Armed Forces Retirement Home to meet its responsibilities to veterans. Specific action should be taken to lease non-excess Armed Forces Retirement Home property, and increase the amount withheld each month from the pay of active duty enlisted members, warrant officers, and limited duty officers of the Armed Forces from \$0.50 to \$1.00, if other funding sources are not identified. | 1 month 10 days |

APPENDIX A

*Chief Management Officer (cont'd)***Report No. DODIG-2018-077 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.c | The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, quantify the impact each major capital project has on the Armed Forces Retirement Home Trust Fund balance and describe the effects on the resident population of the Armed Forces Retirement Home. In addition, Deputy Chief Management Officer should establish a threshold in which it considers a capital project to be a major capital project and require that the Armed Forces Retirement Home detail how the major capital project risks will be isolated, minimized, monitored, and controlled to prevent problems associated with investment cost, schedule, and performance. | 1 month 10 days |
| B.1.a | The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, review the actions of the Chief Financial Officer as the official responsible for the procurement program at the Armed Forces Retirement Home to determine whether management action is appropriate to hold the Chief Financial Officer accountable for the contracting problems experienced by the AFRH. | 1 month 10 days |
| B.1.b | The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, follow the section 813, National Defense Authorization Act for FY 2017, guidance to avoid using lowest price technically acceptable source selection criteria when contracting officials recompile the major requirements for the consulting contract. | 1 month 10 days |
| B.1.c | The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, develop and implement an acquisition strategy to reduce the likelihood of future problems with the nursing contracts. When developing the acquisition strategy, also determine whether the Armed Forces Retirement Home needs to change how it schedules Government nurses. | 1 month 10 days |
| B.1.d | The DoD OIG recommended that the Deputy Chief Management Officer, in coordination with the Armed Forces Retirement Home Chief Operating Officer, review the duties of the Chief Financial Officer and the head of procurement and design and implement appropriate internal controls or segregate the duties. | 1 month 10 days |

APPENDIX A

National Guard Bureau

**Report No. DODIG-2012-110, “Better Oversight Needed for the National Guard’s Weapons of Mass Destruction Civil Support Teams,”
July 2, 2012**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1-2 | The DoD OIG recommended that the Director, National Guard Bureau-J3, Domestic Operations and Force Development Directorate, develop a written oversight plan in coordination with personnel from each Joint Force Headquarters-State that: 1) verifies compliance with mission reporting requirements; and 2) provides feedback to Weapons of Mass Destruction Civil Support Teams on omissions and errors. | 5 years 8 months 29 days |

**Report No. DODIG-2013-102, “Improved Oversight of Communications Capabilities Preparedness Needed for Domestic Emergencies,”
July 1, 2013**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 4 | The DoD OIG recommended that the Chief, National Guard Bureau, establish oversight procedures, including performance metrics, to verify that National Guard units report the readiness status of personnel and equipment for the Joint Incident Site Communications Capability system in a timely manner. | 4 years 8 months 30 days |

Report No. DODIG-2016-035, “External Peer Review Report on the National Guard Bureau Internal Review Office,” December 18, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the Director, National Guard Bureau Internal Review Office, clarify National Guard Bureau Internal Review policies and procedures, and prepare a plan for monitoring and summarizing the quality of the work performed at the NGB IR Office. | 2 years 3 months 13 days |

APPENDIX A

*National Guard Bureau (cont'd)***Report No. DODIG-2016-035 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 3 | The DoD OIG recommended that the Director, National Guard Bureau Internal Review Office, implement an official training program to ensure auditors maintain their professional competence and complete sufficient continuing professional education. The program should include monitoring to assess whether auditors are meeting the continuing professional education requirements. | 2 years 3 months 13 days |
| 4 | The DoD OIG recommended that the Chief, National Guard Bureau, evaluate efforts to staff United States Property and Fiscal Internal Review Offices with competitive civilian employees to ensure that an independent outlook and appearance is maintained and to fully comply with the Government Auditing Standards, National Guard Regulation 130-6/Air National Guard Instruction 36-2, and Army Regulation 11-7. | 2 years 3 months 13 days |
| 6 | The DoD OIG recommended that the Director, National Guard Bureau Internal Review Office, update audit policies to include procedures for: 1) evaluating threats to independence after the audit report is issued; 2) obtaining reasonable assurance of detecting fraud when the auditors identify fraud risks that have occurred or likely to have occurred; and 3) extending the audit steps when information comes to the auditors' attention indicating that fraud, significant to the audit objectives, may have occurred. | 2 years 3 months 13 days |
| 7 | The DoD OIG recommended that the Director, National Guard Bureau Internal Review Office, finalize and issue the Audit Documentation and Reporting Policy to the National Guard Bureau Internal Review auditors. | 2 years 3 months 13 days |
| 8 | The DoD OIG recommended that the Director, National Guard Bureau Internal Review Office, take action to ensure that referencing is completed and in accordance with their policy. | 2 years 3 months 13 days |
| 9 | The DoD OIG recommended that the Director, National Guard Bureau Internal Review Office, remind the Internal Review audit staff to document independence considerations to provide evidence of the auditor's judgments in forming conclusions regarding compliance with independence requirements. | 2 years 3 months 13 days |
| 10 | The DoD OIG recommended that the Director, National Guard Bureau Internal Review Office, issue guidance and provide training to the United States Property and Fiscal Offices Internal Review Divisions on the usage of audit report templates. | 2 years 3 months 13 days |

APPENDIX A

U.S. Indo-Pacific Command

Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| 3.a | Recommendation is Classified. | 2 years 9 months 13 days |
| 3.b | Recommendation is Classified. | 2 years 9 months 13 days |
| 3.c | Recommendation is Classified. | 2 years 9 months 13 days |

APPENDIX A

U.S. European Command

Report No. DODIG-2015-048, (FOUO) "[REDACTED]
[REDACTED]," December 9, 2014 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the Commanders, U.S. Northern Command, U.S. Transportation Command, U.S. Pacific Command, U.S. Southern Command, U.S. Central Command, U.S. Africa Command, U.S. European Command, U.S. Strategic Command, and U.S. Special Operations Command, conduct a detailed command-wide, mission-impact analysis to identify all cyberspace mission requirements and tasks, needed resources, and capability gaps affecting their ability to effectively implement C2 of cyberspace operations. | 3 years 3 months 22 days |

Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| 3.a | Recommendation is Classified. | 2 years 9 months 13 days |
| 3.b | Recommendation is Classified. | 2 years 9 months 13 days |
| 3.c | Recommendation is Classified. | 2 years 9 months 13 days |

APPENDIX A

U.S. European Command (cont'd)

Report No. DODIG-2017-056, “U.S. European Command Needs to Improve Oversight of the Golden Sentry Program,” February 17, 2017 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Director, U.S. European Command, J5/8–Policy, Strategy, Partnering, and Capabilities, develop and implement a plan of action to ensure that the U.S. European Command is providing adequate oversight for all Security Cooperation Organization Golden Sentry Program Managers in the U.S. European Command area of responsibility and not just those with upcoming Defense Security Cooperation Agency and U.S. European Command Office of Inspector General inspections. | 1 year 1 month 14 days |
| 3 | The DoD OIG recommended that the U.S. European Command’s Golden Sentry Program Manager update U.S. European Command’s standard operating procedures so that the standard operating procedures provide standards and expectations on how the Security Cooperation Organization’s Golden Sentry Program Managers should verify the recipient country complied with the security checklist requirements. | 1 year 1 month 14 days |

Report No. DODIG-2017-106, “Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident,” July 28, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2.a | The DoD OIG recommended that the U.S. European Command Commander develop a plan of action to ensure Service explosive ordnance disposal custodial units and their base or installation commanders within U.S. European Command have access to the procedures for execution of Concept of Operations Plan 0300-14 responses. | 8 months 3 days |

APPENDIX A

*U.S. European Command (cont'd)***Report No. DODIG-2017-111, "Evaluation of the European Reassurance Initiative (ERI)," August 22, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| C.1 | The DoD OIG recommended that the U.S. European Command Commander ensure that future infrastructure facility improvements meet U.S. and North Atlantic Treaty Organization operational requirements and design standards. | 7 months 9 days |
| C.4 | The DoD OIG recommended that the U.S. European Command Commander request a European Reassurance Initiative funding authorization that supports multiyear infrastructure construction and improvements. | 7 months 9 days |
| D | The DoD OIG recommended that the U.S. European Command Commander consider developing and establishing command processes to assess the impact of European Reassurance Initiative funds on exercises and training, infrastructure improvement, and activities in support of building allied and partner capacity. | 7 months 9 days |
| E.2 | The DoD OIG recommended that the U.S. European Command Commander complete theater-wide operations plans to inform decisions for European Reassurance Initiative support to fill training gaps in the national military plans of Operation Atlantic Resolve countries, and to convey a coordinated and unified message to allied and partner countries. | 7 months 9 days |

Report No. DODIG-2018-003, "Report of Investigation on Allegations Related to the Department of Defense's Decision to Relocate a Joint Intelligence Analysis Complex," October 30, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the U.S. European Command Commander and other DoD officials ensure that, when responding to congressional requests and inquiries, DoD personnel provide accurate information, and if any inaccuracies are discovered, those inaccuracies are promptly corrected with the appropriate committees. | 5 months 1 day |

APPENDIX A

*U.S. European Command (cont'd)***Report No. DODIG-2018-003 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the U.S. European Command Commander, Defense Intelligence Agency Director, and the Defense Information Systems Agency Director ensure that, when providing information to Congress, particularly technical information, the information is reviewed and validated by appropriate offices that have technical expertise or primary area of responsibility and the authority to approve such a release on behalf of the DoD organization. | 5 months 1 day |
| 4 | The DoD OIG recommended that the DoD, including the Office of the Deputy Secretary of Defense, the U.S. European Command Commander, the Defense Intelligence Agency Director, and the Defense Information Systems Agency Director review this report, the facts described in it, and any other relevant factors to assess the overall performance of the individuals described in this report during the time period of this investigation. | 5 months 1 day |

APPENDIX A

U.S. Southern Command

Report No. DODIG-2015-048, (FOUO)

," December 9, 2014 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the Commanders, U.S. Northern Command, U.S. Transportation Command, U.S. Pacific Command, U.S. Southern Command, U.S. Central Command, U.S. Africa Command, U.S. European Command, U.S. Strategic Command, and U.S. Special Operations Command, conduct a detailed command-wide, mission-impact analysis to identify all cyberspace mission requirements and tasks, needed resources, and capability gaps affecting their ability to effectively implement C2 of cyberspace operations. | 3 years 3 months 22 days |

APPENDIX A

*U.S. Central Command***Report No. DODIG-2013-099, “Compliance with Electrical and Fire Protection Standards of U.S. Controlled and Occupied Facilities in Afghanistan,” July 18, 2013**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 8 | The DoD OIG recommended that the U.S. Forces-Afghanistan review the Government oversight and inspection requirements for fire protection systems and ensure that sufficient qualified resources are available and deployed to meet requirements throughout the USFOR-A area of responsibility. | 4 years 8 months 13 days |
| 10 | The DoD OIG recommended that the Base Camp Master Plans include a comprehensive fire protection plan. | 4 years 8 months 13 days |

Report No. DODIG-2014-102, “Government of the Islamic Republic of Afghanistan Needs to Provide Better Accountability and Transparency Over Direct Contributions,” August 29, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.e.1 | The DoD OIG recommended that the Commander, Combined Security Transition Command-Afghanistan, require the Government of Islamic Republic of Afghanistan to provide pension and cooperative police association account balances for amounts previously withheld. | 3 years 7 months 2 days |
| 1.e.3 | The DoD OIG recommended that the Commander, Combined Security Transition Command–Afghanistan, require the Government of Islamic Republic of Afghanistan to use the abundance of cooperative funds for the Afghan National and Local Police or return the funds to the cooperative members from which they were withheld. | 3 years 7 months 2 days |
| 1.f.1 | The DoD OIG recommended that the Commander, Combined Security Transition Command–Afghanistan, require the Ministries of Defense and Interior to develop a process to provide a statement of pay and benefits information to all Afghanistan government employees paid with Afghanistan Security Forces Fund direct contributions. | 3 years 7 months 2 days |

APPENDIX A

*U.S. Central Command (cont'd)***Report No. DODIG-2014-102 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.f.2 | The DoD OIG recommended that the Commander, Combined Security Transition Command–Afghanistan, require the Ministries of Defense and Interior to automate their payroll processes and eliminate manual edits after payroll documents have been approved. | 3 years 7 months 2 days |
| 1.g.1 | The DoD OIG recommended that the Commander, Combined Security Transition Command–Afghanistan, require the Ministry of Interior to continue their ongoing efforts to reduce and eliminate the use of “trusted agent” payroll payments using Afghanistan Security Forces Fund direct contributions and provide a date when they expect to complete this initiative. | 3 years 7 months 2 days |
| 1.g.2 | The DoD OIG recommended that the Commander, Combined Security Transition Command–Afghanistan, require the Ministry of Interior to develop data analytics to reconcile attrition reports with payroll packages to identify improper payments and a process for correcting any improper payments identified. | 3 years 7 months 2 days |

Report No. DODIG-2015-107, “Challenges Exist for Asset Accountability and Maintenance and Sustainment of Vehicles within the Afghan National Security Forces,” April 17, 2015 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Commander, Combined Security Transition Command-Afghanistan, instruct the Security Assistance Office to reconcile information in Operational Verification of Reliable Logistics Oversight Database against information in Security Cooperation Information Portal to ensure vehicle information is accurate and complete. | 2 years 11 months 14 days |
| A.2 | The DoD OIG recommended that the Commander, Combined Security Transition Command-Afghanistan, assess the accuracy of property transfer records after the Security Assistance Office completes its reconciliation and take the necessary steps to maintain the completeness and accuracy of these records. | 2 years 11 months 14 days |

APPENDIX A

*U.S. Central Command (cont'd)***Report No. DODIG-2015-107 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.6 | The DoD OIG recommended that the Commander, Combined Security Transition Command-Afghanistan, obtain a complete inventory of vehicles received by the Afghan National Security Force and reconcile this list to help identify any missing records in the Security Cooperation Portal. | 2 years 11 months 14 days |
| A.7 | The DoD OIG recommended that the Commander, Combined Security Transition Command-Afghanistan, advise Ministry of Defense and Ministry of Interior officials to maintain consolidated property book records for all vehicles received from DoD and Coalition forces. | 2 years 11 months 14 days |

Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| 3.a | Recommendation is Classified. | 2 years 9 months 13 days |
| 3.b | Recommendation is Classified. | 2 years 9 months 13 days |
| 3.c | Recommendation is Classified. | 2 years 9 months 13 days |

APPENDIX A

U.S. Central Command (cont'd)

**Report No. DODIG-2016-055, "U.S. and Coalition Efforts to Train, Advise, Assist, and Equip Iraqi Sunni Popular Mobilization Forces,"
February 29, 2016 (Full Report is Classified)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| 2.b | Recommendation is Classified. | 2 years 1 month 2 days |
| 3.a | Recommendation is Classified. | 2 years 1 month 2 days |

**Report No. DODIG-2016-106, "U.S. Military-Occupied Facilities Inspection—King Abdullah II Special Operations Training Center,"
July 7, 2016**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Commander, U.S. Army Central, conduct a root-cause analysis and implement a corrective action plan for all electrical deficiencies identified in this report. | 1 year 8 months 24 days |
| A.2 | The DoD OIG recommended that the Commander, U.S. Army Central, create and execute a plan for ongoing inspection and maintenance of all U.S. military-occupied facilities at the King Abdullah II Special Operations Training Center and other locations where Commander, Combined Joint Operations Center-Jordan provides base operations support and inspection. Ensure that inspection and maintenance of these locations complies with applicable electrical codes. | 1 year 8 months 24 days |
| B.1 | The DoD OIG recommended that the Commander, U.S. Army Central, conduct a root-cause analysis and implement a corrective action plan for all fire protection deficiencies identified in this report. | 1 year 8 months 24 days |

APPENDIX A

*U.S. Central Command (cont'd)***Report No. DODIG-2016-106 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.2 | The DoD OIG recommended that the Commander, U.S. Army Central, create and execute a plan for ongoing inspection and maintenance of all U.S. military-occupied facilities at the King Abdullah II Special Operations Training Center and other locations where Commander, Combined Joint Operations Center-Jordan provides base operations support and inspection. Ensure inspection and maintenance of these locations complies with applicable fire protection codes. | 1 year 8 months 24 days |

Report No. DODIG-2016-111, “DoD Effectively Planned and Executed Military Information Support Operations for Operation Inherent Resolve but Needs to Develop Formal Processes and Procedures for Web-Based Operations,” July 20, 2016 (Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the U.S. Central Command formalize procedures for requesting, conducting, and monitoring web-based Military Information Support Operations. | 1 year 8 months 11 days |

Report No. DODIG-2016-140, “Assessment of U.S. and Coalition Efforts to Train, Advise, and Assist the Afghan National Army Special Operations Forces,” September 29, 2016 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| B.2 | Recommendation is Classified. | 1 year 6 months 2 days |

APPENDIX A

*U.S. Central Command (cont'd)***Report No. DODIG-2016-140 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| C.2 | Recommendation is Classified. | 1 year 6 months 2 days |
| C.3 | Recommendation is Classified. | 1 year 6 months 2 days |
| E | Recommendation is Classified. | 1 year 6 months 2 days |

Report No. DODIG-2017-025, "Evaluation of U.S. Forces–Afghanistan Intelligence Training for Afghan Ministry of Defense," November 21, 2016 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| A.1 | Recommendation is Classified. | 1 year 4 months 10 days |
| A.2 | Recommendation is Classified. | 1 year 4 months 10 days |

APPENDIX A

U.S. Central Command (cont'd)

**Report No. DODIG-2017-033, "Assessment of U.S. and Coalition Efforts to Train, Advise, Assist, and Equip the Kurdish Security Forces in Iraq,"
December 14, 2016**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1 | The DoD OIG recommended that the Commander, U.S. Central Command, determine the requirements to sustain the functioning of the Kurdish Security Forces brigade equipment sets and issue a written sustainment plan that includes those requirements. | 1 year 3 months 17 days |
| B.2 | The DoD OIG recommended that the Commander, U.S. Central Command, execute the requirements identified in the written sustainment plan. | 1 year 3 months 17 days |
| B.3 | The DoD OIG recommended that the Commander, U.S. Central Command, conduct periodic reviews to monitor readiness and take necessary actions to maintain acceptable readiness for the Kurdish Security Forces brigade sets. | 1 year 3 months 17 days |
| C | The DoD OIG recommended that the Commander, U.S. Central Command, in coordination with the Commander, 1st Theater Sustainment Command, review distribution procedures to ensure all equipment items, including Iraqi Train and Equip Fund-purchased and Coalition-donated, are tracked and monitored through the supply chain to ensure accountability throughout the distribution process. | 1 year 3 months 17 days |
| D.1 | The DoD OIG recommended that the Commander, Combined Joint Task Force–Operation Inherent Resolve, in coordination with Combined Joint Force Land Component Command-Iraq, formalize and continue the current advise and assist missions being conducted in training development, logistics, and resource management. | 1 year 3 months 17 days |

APPENDIX A

U.S. Central Command (cont'd)

Report No. DODIG-2017-041, “Combined Security Transition Command–Afghanistan Improved Controls Over U.S.-Funded Ministry of Defense Fuel Contracts, but Further Improvements are Needed,” January 11, 2017 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| b | The DoD OIG recommended that the Commanding General, Combined Security Transition Command–Afghanistan, direct the Essential Function 1 Audit division to conduct an assessment of the current General Staff, Inspector General and General Staff, Chief of Logistics consumption report verification processes to determine its adequacy. | 1 year 2 months 20 days |

Report No. DODIG-2017-074, “Assessment of U.S. and Coalition Plans and Efforts to Train, Advise, Assist, and Equip the Iraqi Counterterrorism Service and the Iraqi Special Operations Forces,” April 19, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B | The DoD OIG recommended that the Combined Joint Special Operations Task Force–Iraq Commander, in coordination with Office of Security Cooperation–Iraq Chief, advise and assist the Iraqi Counterterrorism Service Commander to develop a plan establishing release authority for Counterterrorism Service equipment and supplies in warehouses that are specifically required to improve the logistic support necessary for Counterterrorism Service training. | 11 months 12 days |
| C | The DoD OIG recommended that the Special Operations Training Command–Iraq Commander, in coordination with Iraqi Counterterrorism Service Academia leadership, develop and incorporate objective and measurable training evaluation criteria and standards for all tasks trained in Academia programs of instruction. | 11 months 12 days |
| D.1 | The DoD OIG recommended that the Special Operations Joint Task Force–Operation Inherent Resolve Commander, in coordination with the Iraqi Counterterrorism Service Academia, identify all training requirements to support live-fire of the AT-4, M-72, and SPG-9 weapons by Academia trainees and develop the training programs of instruction to support these requirements. | 11 months 12 days |

APPENDIX A

*U.S. Central Command (cont'd)***Report No. DODIG-2017-074 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| E | The DoD OIG recommended that the Special Operations Joint Task Force–Operation Inherent Resolve Commander, in coordination with Office of Security Cooperation–Iraq and the Iraqi Counterterrorism Service Commander, develop a plan for improving the refit process for the Iraqi Counterterrorism Service. | 11 months 12 days |

Report No. DODIG-2017-095, “U.S. Army’s Management of the Heavy Lift VII Commercial Transportation Contract Requirements in the Middle East,” June 26, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3 | The DoD OIG recommended that the U.S. Central Command Commander direct supported units to use the Trans-Arabian Network, establish metrics for Trans-Arabian Network movements, and perform quarterly assessments of the Trans-Arabian Network’s performance and effectiveness, and continue to monitor Trans-Arabian Network performance to determine whether directives had the intended impact on its usage. | 9 months 5 days |

Report No. DODIG-2017-105, “Evaluation of U.S. and Coalition Efforts to Enable the Afghan Ministry of Defense to Develop Its Oversight and Internal Control Capability,” August 4, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1 | The DoD OIG recommended that the Resolute Support Commander, in coordination with the Combined Security Transition Command–Afghanistan Commanding General, advise the Minister of Defense and Afghan National Army Chief of the General Staff to emphasize the importance of the implementation plans for the Ministerial Internal Control Program and to ensure timely development of these plans. | 7 months 27 days |

APPENDIX A

U.S. Central Command (cont'd)

Report No. DODIG-2017-105 (Full Report is Classified) (cont'd)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.2 | The DoD OIG recommended that the Resolute Support Chief of Staff, in coordination with the Deputy Advisor to the Ministry of Defense, review and update Essential Function coordination processes to ensure that all Essential Function organizations train, advise, and assist the development of implementation plans for the Ministerial Internal Control Program as a synchronized and integrated effort at the Ministry of Defense, Afghan National Army Corps, and subordinate commands. | 7 months 27 days |
| B.3 | The DoD OIG recommended that the Essential Function 2 Director, in coordination with the Resolute Support Chief of Staff and the Deputy Advisor to the Ministry of Defense, update the Ministerial Internal Control Program advisory training to ensure that U.S. and Coalition advisors for the Ministry of Defense, Afghan National Army Corps, and subordinate commands can train, advise, and assist in the development and implementation of the Ministerial Internal Control Program. | 7 months 27 days |
| C.1.a | The DoD OIG recommended that the Resolute Support Commander, in coordination with the Resolute Support Chief of Staff and the Deputy Advisor to the Ministry of Defense, advise the Minister of Defense and Afghan National Army Chief of the General Staff to require Inspectors General to adhere to established Ministry of Defense investigation and inspections standards. | 7 months 27 days |
| C.1.b | The DoD OIG recommended that Commanders of the Train, Advise, and Assist Commands advise Afghan National Army and Afghan Air Force Commanders to support and require their Inspectors General to adhere to established Ministry of Defense Inspector General investigation and inspections standards. | 7 months 27 days |
| C.2 | The DoD OIG recommended that the Resolute Support Deputy Advisor to the Ministry of Defense, in coordination with the Resolute Support Deputy Chief of Staff for Security Assistance and the Commanders of the Train, Advise, and Assist Commands, ensure the assignment of enough U.S. and Coalition Inspector General advisors with the experience and training to train, advise, and assist Ministry of Defense Inspectors General to perform to the required inspection standards for Ministry of Defense Inspector General. | 7 months 27 days |
| D.1.a | The DoD OIG recommended that the Resolute Support Commander, in coordination with the Resolute Support Chief of Staff and the Deputy Advisor to the Ministry of Defense, assist the Minister of Defense to clarify and update policy to eliminate commander-imposed limitations on Inspectors General and clarify roles and responsibilities between Inspectors General, G-2 (Intelligence), and Staff Judge Advocate personnel. | 7 months 27 days |

APPENDIX A

*U.S. Central Command (cont'd)***Report No. DODIG-2017-105 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| D.1.b | The DoD OIG recommended that the Commanders of the Train, Advise, and Assist Commands advise Corps Commanders to take action to eliminate obstacles to the ability of Corps Inspectors General to combat corruption. | 7 months 27 days |
| D.2 | The DoD OIG recommended that the Resolute Support Deputy Advisor to the Ministry of Defense, in coordination with the Army Chief of the General Staff, define clear roles and responsibilities of Corps-level Inspectors General, legal staff, and G-2 personnel related to combating corruption. | 7 months 27 days |
| D.3 | The DoD OIG recommended that the Resolute Support Commander, in coordination with the Deputy Advisor to the Ministry of Defense, advise the Minister of Defense and the Afghan National Army Chief of the General Staff to update their policies and procedures to ensure that the reports by Afghan National Army Corps Inspectors General about alleged corruption are processed in accordance with Afghan law. | 7 months 27 days |
| E | The DoD OIG recommended that the Resolute Support Chief of Staff, in coordination with the Combined Security Transition Command–Afghanistan Commanding General, review the capacity of the Resolute Support Defense National Logistics Directorate to train, advise, and assist the transparency, accountability, and oversight effort at Ministry of Defense national-level logistics institutions to ensure that current Resolute Support advisory staffing at the National Logistics Directorate is sufficient to support development of internal controls. | 7 months 27 days |

APPENDIX A

U.S. Central Command (cont'd)

Report No. DODIG-2017-122, “Combined Security Transition Command–Afghanistan Oversight of Ammunition Provided to Afghanistan National Defense and Security Forces,” September 22, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Combined Security Transition Command–Afghanistan Commanding General develop and document a long-term strategy for improving ministries’ ammunition reporting that includes clearly defined roles and responsibilities for the personnel involved in providing oversight of ammunition, criteria to evaluate the ministries’ compliance with ammunition commitment letter requirements, and procedures to review Ministry Inspectors General inspection results when assessing the accuracy of ammunition reports. | 6 months 9 days |
| 1.b | The DoD OIG recommended that the Combined Security Transition Command–Afghanistan Commanding General include in FY 1397 Commitment Letters consequences for ministries’ noncompliance that would not impact operational readiness and the Combined Security Transition Command–Afghanistan would be willing to enforce. | 6 months 9 days |

Report No. DODIG-2018-058, “Progress of U.S. and Coalition Efforts to Train, Advise, and Assist the Afghan Air Force,” January 4, 2018
(Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| D.1 | The DoD OIG recommended that the Resolute Support Commander coordinate with the Afghan Ministry of Defense and General Staff to identify and create the follow-on institutional aviation-specific and mission-support functions training capability necessary to support future requirements. | 2 months 27 days |
| E | The DoD OIG recommended that the Resolute Support Commander closely monitor the implementation of the recently signed Afghan directive, [REDACTED], and provide additional advice and assistance to the Afghan Ministry of Defense as required. | 2 months 27 days |

APPENDIX A

*U.S. Central Command (cont'd)***Report No. DODIG-2018-058 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| F.1 | The DoD OIG recommended that the North Atlantic Treaty Organization Air Command–Afghanistan Commander provide relevant and Afghan-specific information to incoming advisors. | 2 months 27 days |
| F.2 | The DoD OIG recommended that the U.S. Air Force Expeditionary Operations School Air Advisor Training program manager periodically assess the training they provide and incorporate feedback into the training syllabus to support the Train, Advise, and Assist Command-Air mission. | 2 months 27 days |

APPENDIX A

U.S. Special Operations Command

Report No. DODIG-2015-048, (FOUO) “
 ,” December 9, 2014 (Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the Commanders, U.S. Northern Command, U.S. Transportation Command, U.S. Pacific Command, U.S. Southern Command, U.S. Central Command, U.S. Africa Command, U.S. European Command, U.S. Strategic Command, and U.S. Special Operations Command conduct a detailed command-wide, mission-impact analysis to identify all cyberspace mission requirements and tasks, needed resources, and capability gaps affecting their ability to effectively implement C2 of cyberspace operations. | 3 years 3 months 22 days |

Report No. DODIG-2016-098, “Evaluation of Foreign Officer Involvement at the United States Special Operations Command,” June 15, 2016 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|----------------------------------|
| A.2.a | The DoD OIG recommended that the Commander, U.S. Special Operations Command, ensure all international agreements for the foreign officers assigned or on extended visits to the U.S. Special Operations Command and subordinate commands are in compliance with Public Law 111-84, DoD Directive 5503.3, “International Agreements,” July 18, 1987, Circular 175, “Authority to Negotiate and Conclude Non-Reciprocal International Defense Personnel Exchange Agreements,” October 20, 2011, and Circular 175, “Authority to Negotiate and Conclude Foreign Liaison Assignments,” October 17, 2011. | 1 year 9 months 16 days |
| A.2.b | The DoD OIG recommended that the Commander, U.S. Special Operations Command, ensure existing Annex Bs to the international agreements contain the level of detail and classification consistent with the foreign officer’s actual mission requirement. | 1 year 9 months 16 days |
| (FOUO) | | |

APPENDIX A

*U.S. Special Operations Command (cont'd)***Report No. DODIG-2016-098 (Full Report is Classified) (cont'd)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|--|
| A.2.c | The DoD OIG recommended that the Commander, U.S. Special Operations Command, require component commanders to ensure that all required annexes, certifications, and designated disclosure letters are ratified in accordance with Circular 175 authority and DoD Directive 5530.03, "International Agreements," July 18, 1987. | 1 year 9 months 16 days |
| A.2.d | The DoD OIG recommended that the Commander, U.S. Special Operations Command, request an exception to policy for the non-reciprocal and exchange officers who are currently assigned to the U.S. Special Operations Command without concluded international agreements. | 1 year 9 months 16 days |
| A.2.e | The DoD OIG recommended that the Commander, U.S. Special Operations Command, seek appropriate authority for the foreign intelligence officers assigned or attached to U.S. Special Operations Command and follow established procedures for the collection and exchange of intelligence in accordance with DoD Directive 5530.0. | 1 year 9 months 16 days |
| A.2.f | The DoD OIG recommended that the Commander, U.S. Special Operations Command, maintain oversight of all foreign Special Operations Forces assigned or on extended visit to U.S. Special Operations Command's subordinate commands and Service components. | 1 year 9 months 16 days |
| A.2.g | The DoD OIG recommended that the Commander, U.S. Special Operations Command, ensure that U.S. Special Operations Command components maintain compliance with DoD Directive 5230.20, "Visits and Assignments of Foreign Nationals" concerning the invitation, visit, and assignment of foreign officers. | 1 year 9 months 16 days |
| A.2.h | The DoD OIG recommended that the Commander, U.S. Special Operations Command, eliminate the "dual" use of foreign officers (with or without concluded agreements) in accordance with current regulatory guidance. | 1 year 9 months 16 days |
| A.2.i | The DoD OIG recommended that the Commander, U.S. Special Operations Command, establish a process for reimbursement of costs associated with hosting Foreign Liaison Officers. | 1 year 9 months 16 days |
| (FOUO) | | |

APPENDIX A

U.S. Special Operations Command (cont'd)

Report No. DODIG-2016-098 (Full Report is Classified) (cont'd)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|--|
| B.1.a | The DoD OIG recommended that the Commander, U.S. Special Operations Command, discontinue the practice of Five Eye partners providing escort within Sensitive Compartmented Information Facility spaces in order to comply with Intelligence Community Directive 705, "Sensitive Compartmented Information Facilities," and DoD Manual 5105.21-V2, "Sensitive Compartmented Information (SCI) Administrative Security Manual," October 19, 2012. | 1 year 9 months 16 days |
| B.1.b | The DoD OIG recommended that the Commander, U.S. Special Operations Command, restrict Five Eye partners' swipe access to the Global Mission Support Center when the meeting sign does not illuminate "RELEASABLE." | 1 year 9 months 16 days |
| B.1.c | The DoD OIG recommended that the Commander, U.S. Special Operations Command, establish formal procedures for processing requests for information concerning science and technology information by foreign liaison officers. | 1 year 9 months 16 days |
| C.1 | (FOUO) [REDACTED] | 1 year 9 months 16 days |
| C.2 | The DoD OIG recommended that the Commander, U.S. Special Operations Command, identify the number of foreign disclosure officers required by the Headquarters and subordinate commands under the U.S. Special Operations Command purview to maintain the international exchange programs. | 1 year 9 months 16 days |
| C.3 | The DoD OIG recommended that the Commander, U.S. Special Operations Command, determine whether the foreign disclosure offices at the Headquarters and subordinate commands under the U.S. Special Operations Command purview are adequately staffed. | 1 year 9 months 16 days |
| (FOUO) | | |

APPENDIX A

U.S. Special Operations Command (cont'd)

Report No. DODIG-2016-098 (Full Report is Classified) (cont'd)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|--|
| C.4 | The DoD OIG recommended that the Commander, U.S. Special Operations Command, assess the training requirements for foreign disclosure officers and ensure all special operation forces' foreign disclosure officers receive the necessary training. | 1 year 9 months 16 days |
| C.5 | The DoD OIG recommended that the Commander, U.S. Special Operations Command, assess the requirements for security education and training for personnel who are involved with international exchange programs and foreign government information, or work in coalition or bi-lateral environments, or in offices, activities, or organizations hosting foreign exchange officers. | 1 year 9 months 16 days |
| D.1 | The DoD OIG recommended that the Commander, U.S. Special Operations Command, conclude international agreements, with appropriate language, for the French, German, and Spanish non-reciprocal exchange officers, allowing the continued use of their national secure communication systems. | 1 year 9 months 16 days |
| D.2 | The DoD OIG recommended that the Commander, U.S. Special Operations Command, obtain automated information systems accreditations for the secure facilities that process sensitive compartmented information electronically. | 1 year 9 months 16 days |
| D.3 | The DoD OIG recommended that the Commander, U.S. Special Operations Command, establish a comprehensive training program to educate all U.S. Special Operations Command personnel in "writing for release" to reduce the risk and incidents of misclassifying information and potentially excluding its availability to partner nations. | 1 year 9 months 16 days |
| D.4 | The DoD OIG recommended that the Commander, U.S. Special Operations Command, incorporate recommendations from the U.S. Special Operations Command Cybersecurity Readiness inspection into guidance to reduce the risk of vulnerable systems. | 1 year 9 months 16 days |
| (FOUO) | | |

APPENDIX A

U.S. Special Operations Command (cont'd)

Report No. DODIG-2016-099, "U.S. Special Operations Command Controls Over the Requirements Development Process for Military Construction Projects Need Improvement," June 17, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.b | The DoD OIG recommended that the Commander, U.S. Special Operations Command, update U.S. Special Operations Command Directive 415-1 to include procedures for validating military construction projects to require Components to confirm the accuracy of the project justification on DD Form 1391, Military Construction Project Data. | 1 year 9 months 14 days |
| A.1.c | The DoD OIG recommended that the Commander, U.S. Special Operations Command, update U.S. Special Operations Command Directive 415-1 to require that Components maintain documentation to fully support scope calculations and cost estimates for military construction requirements. | 1 year 9 months 14 days |

Report No. DODIG-2017-030, "USSOCOM Needs to Improve Management of Sensitive Equipment," December 12, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Director, Special Operations Forces Acquisition, Technology, and Logistics, update guidance to include specific procedures for establishing sensitive equipment accountability. | 1 year 3 months 19 days |
| 1.b | The DoD OIG recommended that the Director, Special Operations Forces Acquisition, Technology, and Logistics, require U.S. Special Operations Command, Directorate of Logistics, to conduct a 100-percent inventory of sensitive equipment to establish a sensitive equipment baseline and reconcile inventory discrepancies. | 1 year 3 months 19 days |

APPENDIX A

*U.S. Special Operations Command (cont'd)***Report No. DODIG-2017-030 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.c.1 | The DoD OIG recommended that the Director, Special Operations Forces Acquisition, Technology, and Logistics, instruct U.S. Special Operations Command, Directorate of Logistics, to determine the utility of continuing the temporary loan process and if continued, ensure the process is comprehensively defined in U.S. Special Operations Command guidance to include whether the U.S. Special Operations Command warehouse or the units are responsible for maintaining equipment accountability. | 1 year 3 months 19 days |
| 1.c.2 | The DoD OIG recommended that the Director, Special Operations Forces Acquisition, Technology, and Logistics, instruct U.S. Special Operations Command, Directorate of Logistics, to mandate Special Operations Logistics Management System equipment-level reporting requirements to include identifying standardized data elements and establishing an equipment reporting frequency for U.S. Special Operations Command warehouses and Service Component commands. | 1 year 3 months 19 days |

Report No. DODIG-2018-100, “U.S. Special Operations Command’s Management of Excess Equipment,” March 29, 2018 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the U.S. Special Operations Command Commander update U.S. Special Operations Command guidance to include detailed procedures for reporting and updating Special Operations–Peculiar equipment authorizations and allocations in the U.S. Special Operations Command Table of Equipment Distribution and Allowance. The procedures should provide clear and concise policy outlining the source of the authorization data and how this data should be presented, and should establish periodic reviews of the information to ensure that the authorizations match the capability documents. | 2 days |
| 1.b | The DoD OIG recommended that the U.S. Special Operations Command Commander direct a review of existing Special Operations–Peculiar equipment authorizations and allocations and update the U.S. Special Operations Command Table of Equipment Distribution and Allowance and all systems and documents that contain authorizations and allocations accordingly. | 2 days |

*U.S. Special Operations Command (cont'd)***Report No. DODIG-2018-100 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.c | The DoD OIG recommended that the U.S. Special Operations Command Commander update U.S. Special Operations Command guidance to include detailed procedures for conducting periodic reconciliations of Special Operations–Peculiar equipment authorizations and allocations to inventory. | 2 days |
| 1.d | The DoD OIG recommended that the U.S. Special Operations Command Commander direct a reconciliation of Special Operations–Peculiar equipment authorizations and allocations to inventory based on the updated guidance, and if excess equipment is identified, redistribute or dispose of the excess equipment. | 2 days |

APPENDIX A

U.S. Transportation Command

Report No. DODIG-2016-061, “U.S. Army Military Surface Deployment and Distribution Command Needs to Improve its Oversight of Labor Detention Charges at Military Ocean Terminal Sunny Point,” March 16, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.b | The DoD OIG recommended that the Contracting Officer, U.S. Transportation Command, take action to recoup charges for time charged as safety briefings erroneously charged as labor detention time. | 2 years 15 days |
| 3.b | The DoD OIG recommended that the Deputy Chief of Staff for Resource Management, U.S. Army Surface Deployment and Distribution Command, work with the Contracting Officer, U.S. Transportation Command, and Transportation Brigade Contracting Officer’s Representatives to review time records for ongoing Stevedore and Related Terminal Services contracts to identify labor detention charges subject to recoupment, and take action to recoup these costs. | 2 years 15 days |

Report No. DODIG-2017-108, “United States Transportation Command Triannual Reviews,” August 9, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the U.S. Transportation Command’s Program Analysis and Financial Management Directorate Director develop and implement processes and procedures to execute triannual reviews in accordance with DoD Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 3, chapter 8, “Standards for Recording and Reviewing Commitments and Obligations.” The processes and procedures, at a minimum, should identify staff positions responsible for executing proper triannual reviews. | 7 months 22 days |

*U.S. Transportation Command (cont'd)***Report No. DODIG-2017-108 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.b | The DoD OIG recommended that the U.S. Transportation Command's Program Analysis and Financial Management Directorate Director develop and implement processes and procedures to execute triannual reviews in accordance with DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 3, chapter 8, "Standards for Recording and Reviewing Commitments and Obligations." The processes and procedures, at a minimum, should include detailed review requirements to ensure that each commitment, obligation, accounts payable, unfilled customer order, and accounts receivable is properly recorded in the general ledger. | 7 months 22 days |
| 1.c | The DoD OIG recommended that the U.S. Transportation Command's Program Analysis and Financial Management Directorate Director develop and implement processes and procedures to execute triannual reviews in accordance with DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 3, chapter 8, "Standards for Recording and Reviewing Commitments and Obligations." The processes and procedures, at a minimum, should include detailed instructions to ensure reports are prepared for submission in the DoD standard format and contain the valid, accurate, and complete status of each fund balance. | 7 months 22 days |

APPENDIX A

U.S. Northern Command

Report No. DODIG-2014-019, “Assessment of Continental United States-Based Nuclear Response Task Force Programs,” December 3, 2013
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1 | The DoD OIG recommended that the U.S. Northern Command, Air Force Global Strike Command, and the Joint Staff determine the appropriate method of supporting the logistical and operational requirements to deploy the Air Force Global Strike Command Response Task Force. Stakeholders should consider drafting an “Execute Order” addressing the necessary requirements, capabilities, resources, and procedures. | 4 years 3 months 28 days |
| C.1 | The DoD OIG recommended that the U.S. Northern Command, in conjunction with the Commander, U.S. Pacific Command, update the appropriate concept of operations plan and operations orders to identify clear authorities and a clear chain-of-command for nuclear weapon incidents or accidents occurring in U.S. Northern Command’s geographic area of responsibility, but currently outside U.S. Northern Command’s operational area of responsibility. | 4 years 3 months 28 days |

APPENDIX A

U.S. Strategic Command

Report No. DODIG-2015-048, (FOUO) "[REDACTED]
[REDACTED]," December 9, 2014 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the Commanders, U.S. Northern Command, U.S. Transportation Command, U.S. Pacific Command, U.S. Southern Command, U.S. Central Command, U.S. Africa Command, U.S. European Command, U.S. Strategic Command, and U.S. Special Operations Command conduct a detailed command-wide, mission-impact analysis to identify all cyberspace mission requirements and tasks, needed resources, and capability gaps affecting their ability to effectively implement C2 of cyberspace operations. | 3 years 3 months 22 days |
| 3 | The DoD OIG recommended that the Commanders, U.S. Strategic Command and U.S. Cyber Command, increase Cyber Support Element staffing at Combatant Commands without Cyber Support Element support and develop alternative solutions for building and sustaining combatant commanders' capabilities for planning and integrating cyberspace operations. | 3 years 3 months 22 days |

Report No. DODIG-2015-134, "Assessment of the U.S. Theater Nuclear Planning Process," June 18, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| 4 | Recommendation is Classified. | 2 years 9 months 13 days |

APPENDIX A

U.S. Africa Command

Report No. DODIG-2016-024, “U.S. Africa Command Needs to Improve Planning and Coordination for the Protection and Evacuation of U.S. Embassies and U.S. Citizens,” November 23, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Commander, U.S. Africa Command develop embassy protection and evacuation guidance that: a) clarifies and defines roles and responsibilities for U.S. Africa Command’s new normal and noncombatant evacuation operations planning in the current new normal threat environment; and b) incorporates the increased role of new normal for noncombatant evacuation operations planning requirements. | 2 years 4 months 8 days |
| 3 | The DoD OIG recommended that the Commander, U.S. Africa Command, review emergency action plans, in whole, for adequacy of supporting military operations and account for critical and required DoD new normal and noncombatant evacuation operations information. Document and coordinate the results of the reviews to the Department of State Chiefs of Mission. | 2 years 4 months 8 days |

Report No. DODIG-2017-121, “U.S. Africa Command’s Management of Acquisition and Cross-Servicing Agreements,” September 21, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 6.a | The DoD OIG recommended that the U.S. Naval Forces Africa Commander review U.S. Navy logistics support, supplies, and services provided to foreign military services in the U.S. Africa Command area of responsibility to determine whether the support and services are supplied under Acquisition and Cross-Servicing Agreement authority. | 6 months 10 days |
| 6.b | The DoD OIG recommended that the U.S. Naval Forces Africa Commander track and maintain logistics support, supplies, and services provided under Acquisition and Cross-Servicing Agreement authority in accordance with the updated DoD policy. | 6 months 10 days |

*U.S. Africa Command (cont'd)***Report No. DODIG-2018-092, "DoD Emergency Management Programs in the U.S. Africa Command," March 28, 2018 (Full Report is Classified)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 8 | The DoD OIG recommended that the U.S. Africa Command J34 Protection Chief assign an emergency management program manager to ensure that the emergency management program is fully implemented in the U.S. Africa Command area of responsibility in accordance with DoD Instruction 6055.17 and based on the results of the risk assessments provided by the DoD Components. | 3 days |

APPENDIX A

U.S. Cyber Command

Report No. DODIG-2015-048, (FOUO) “[REDACTED],” December 9, 2014 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 3 | The DoD OIG recommended that the Commanders, U.S. Strategic Command and U.S. Cyber Command, increase Cyber Support Element staffing at Combatant Commands without Cyber Support Element support and develop alternative solutions for building and sustaining combatant commanders’ capabilities for planning and integrating cyberspace operations. | 3 years 3 months 22 days |

Report No. DODIG-2016-026, “Combat Mission Teams and Cyber Protection Teams Lacked Adequate Capabilities and Facilities to Perform Missions,” November 24, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Commander, U.S. Cyber Command, and the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps develop a doctrine, organization, training, materiel, leadership and education, personnel, facilities, and policy framework that address strategies to build, grow, and sustain the Cyber Mission Force. | 2 years 4 months 7 days |
| 2 | The DoD OIG recommended that the Commander, U.S. Cyber Command, and the Chiefs of Staff for the U.S. Army and U.S. Air Force, the Chief of Naval Operations, and the Commandant of the Marine Corps formalize an agreement to focus capability development on functional and mission areas consistent with results of the mission alignment board. | 2 years 4 months 7 days |
| 3 | The DoD OIG recommended that the Commander, U.S. Cyber Command, in coordination with the Service Components and the Defense Information Systems Agency, develop and specify a capability baseline and interoperability standards for all Cyber Protection Teams. | 2 years 4 months 7 days |

U.S. Cyber Command (cont'd)

Report No. DODIG-2018-097, "U.S. European Command Efforts to Integrate Cyberspace Operations Into Contingency Plans," March 30, 2018
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Secretary of Defense, in coordination with the Chairman of the of the Joint Chiefs of Staff and the U.S. Cyber Command Commander, consider the planning models and their respective characteristics identified in this report and develop and implement a cyberspace planning model that will improve the integration of cyberspace operations into long-term planning at all combatant commands. | 1 day |
| 3 | Recommendation is Classified. | 1 day |

APPENDIX A

DoD Office of the General Counsel

Report No. DODIG-2014-060, “An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies,”
April 14, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.3 | The DoD OIG recommended that the DoD Office of General Counsel prepare an update to-or replacement for-DoD Directive 5220.6 to make it compliant with the requirements of DoD Instruction 5025.01 for accuracy and currency. | 3 years 11 months 17 days |

*Director of Operational Test and Evaluation***Report No. DODIG-2013-079, “Advanced Combat Helmet Technical Assessment,” May 29, 2013**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A | The DoD OIG recommended that the Director of Operational Test and Evaluation and Program Executive Office Soldier fully characterize the performance of all helmet designs included in the combat helmet test protocols. Performance characterization should consider threat, historical test data, prototype test data, and manufacturing capabilities. Based on helmet performance characterizations, the Director, Operational Test and Evaluation and Program Executive Office Soldier, should determine if modification to the First Article Testing and Lot Acceptance Testing protocols are appropriate. | 4 years 10 months 2 days |

APPENDIX A

DoD Chief Information Officer

Report No. DODIG-2015-044, “DoD Needs to Reinitiate Migration to Internet Protocol Version 6,” December 1, 2014 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the Commander, U.S. Cyber Command; the Director, Defense Information Systems Agency; the Commander, U.S. Army Information Systems and Engineering Command/Army Technology Integration Center; the Director, High Performance Computing Modernization Program/Defense Research and Engineering Network; and other DoD test and evaluation components, establish a process to integrate component testing results and lessons learned into DoD Internet Protocol version 6 migration efforts. | 3 years 3 months 30 days |
| 3 | The DoD OIG recommended that the DoD Chief Information Officer, in coordination with the Commander, U.S. Cyber Command, and the Director, Defense Information Systems Agency, develop new DoD Internet Protocol version 6 transition milestones, roles and responsibilities of each DoD office involved with the migration, and enforcement mechanisms to ensure successful migration to Internet Protocol version 6, and update the DoD Internet Protocol version 6 Transition Plan to reflect these changes. | 3 years 3 months 30 days |

Report No. DODIG-2015-045, “DoD Cloud Computing Strategy Needs Implementation Plan and Detailed Waiver Process,” December 4, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.3 | The DoD OIG recommended that the DoD Chief Information Officer develop and publish a waiver process providing detailed guidance on how to obtain a Global Information Grid waiver for cloud computing in DoD. | 3 years 3 months 27 days |

APPENDIX A

*DoD Chief Information Officer (cont'd)***Report No. DODIG-2016-068, "DoD's Efforts to Consolidate Data Centers Need Improvement," March 29, 2016**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.2 | The DoD OIG recommended that the DoD Chief Information Officer perform a review of installations with multiple Installation Processing Nodes and work with executive agents at installations to select a single Installation Processing Node. | 2 years 2 days |
| B.1.b | The DoD OIG recommended that the DoD Chief Information Officer develop a process for validating the accuracy and completeness of information in the Data Center Inventory Management system. | 2 years 2 days |

Report No. DODIG-2017-082, "DoD Components Did Not Report Complete and Accurate Data in the DoD Information Technology Portfolio Repository," May 10, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the DoD Chief Information Officer establish a process that holds DoD Component Chief Information Officers accountable for the completeness and accuracy of DoD Information Technology Portfolio Repository data. | 10 months 21 days |

Report No. DODIG-2017-093, "Control Systems Supporting Tier I Task Critical Assets Lacked Basic Cybersecurity Controls," June 15, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the DoD Chief Information Officer develop and issue a control system overlay or mandate the use of National Institute of Standards and Technology Special Publication 800-82, Revision 2, appendix G, as the tailored set of cybersecurity controls for all control systems across the DoD. | 9 months 16 days |

APPENDIX A

*Assistant Secretary of Defense for Health Affairs***Report No. DODIG-2013-078, “TRICARE Management Activity Needs to Improve Oversight of Acquisition Workforce,” May 1, 2013**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the Under Secretary of Defense for Acquisition, Technology, and Logistics, in coordination with the Under Secretary of Defense for Personnel and Readiness, perform a comprehensive review of TRICARE Management Activity’s compliance with Recommendation 1.* | 4 years 10 months 30 days |

*Responsibility for implementing Recommendation 2 was transferred from OUSD(P&R) to ASD(HA).

Report No. DODIG-2014-052, “DoD Did Not Negotiate Rates With Overseas Health Care Providers and Generally Paid Claims as Billed,” April 1, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.b | The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) establish procedures to negotiate rates directly with the TRICARE Overseas Program contractor when the contractor provides service as a health care provider. | 3 years 11 months 30 days |

Report No. DODIG-2014-101, “Delinquent Medical Service Accounts at Brooke Army Medical Center Need Additional Management Oversight,” August 13, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3 | The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) meet with Department of Health and Human Services to discuss difficulties Brooke Army Medical Center has encountered with denied claims and reimbursement levels from the Texas Medicaid and Healthcare Partnership. | 3 years 7 months 18 days |

APPENDIX A

*Assistant Secretary of Defense for Health Affairs (cont'd)***Report No. DODIG-2015-008, "Follow-up Audit: Enterprise Blood Management System Not Ready for Full Deployment," October 23, 2014**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Program Executive Officer for the Defense Health Clinical Systems, in coordination with the Director for Armed Services Blood Program, continue efforts to ensure that in-transit inventory is not counted twice in the Enterprise Blood Management System. | 3 years 5 months 8 days |
| A.2 | The DoD OIG recommended that the Program Executive Officer for the Defense Health Clinical Systems, in coordination with the Director for Armed Services Blood Program, continue efforts to develop and implement the Blood Management Blood Bank Transfusion Services interface capability with Composite Health Care System. | 3 years 5 months 8 days |

Report No. DODIG-2015-016, "Department of Defense Suicide Event Report Data Quality Assessment," November 14, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3.c | The DoD OIG recommended that the Director, National Center for Telehealth & Technology, conduct after action reviews of selected Department of Defense Suicide Event Report submissions directly with submitters in order to identify what they perceived as confusing questions. | 3 years 4 months 17 days |
| 4.c | The DoD OIG recommended that the Director, National Center for Telehealth and Technology, upon receipt of authority resulting from Recommendation 4.a, update software to allow unit/installation trend reports. | 3 years 4 months 17 days |
| 4.d | The DoD OIG recommended that the Director, National Center for Telehealth and Technology, perform annual independent quality assurance reviews of a representative sample of Department of Defense Suicide Event Report submissions to identify opportunities for improving data quality. | 3 years 4 months 17 days |

APPENDIX A

Assistant Secretary of Defense for Health Affairs (cont'd)

Report No. DODIG-2015-040, "Defense Health Agency Did Not Have Adequate Controls in the North Region to Detect Improper Payments for Claims Submitted by Skilled Nursing Facilities," November 25, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) conduct comprehensive medical reviews on a statistically valid number of skilled nursing facility claims to ensure an adequate number of claims are reviewed. Reviews should compare the patients medical records to the skilled nursing facility claims to determine whether all required documentation exists and is adequate. | 3 years 4 months 6 days |

Report No. DODIG-2015-087, "Delinquent Medical Service Accounts at Naval Medical Center Portsmouth Need Additional Management Oversight," March 4, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3 | The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) meet with Department of Health and Human Services and the Department of Veterans Affairs to discuss the difficulties Naval Medical Center Portsmouth has encountered with receiving reimbursement for services provided to Medicare and Veterans Affairs beneficiaries to identify a way forward to improve collections. | 3 years 27 days |

APPENDIX A

Assistant Secretary of Defense for Health Affairs (cont'd)

Report No. DODIG-2015-151, "Follow-up Audit: DoD Military Treatment Facilities Continue to Miss Opportunities to Collect on Third Party Outpatient Claims," July 24, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) conduct an analysis to determine the sufficient time needed to conduct adequate follow up on billed claims for Third Party Collection Program. | 2 years 8 months 7 days |
| 2.b | The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) coordinate with the Services to ensure that the Military Treatment Facilities refer outstanding third party claims to the appropriate legal office as required. | 2 years 8 months 7 days |
| 3.a | The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) update DoD 6010.15-M, "Military Treatment Facility Uniform Business Office Manual" to revise and mandate the use of a standardized quarterly compliance checklist for all Services. | 2 years 8 months 7 days |
| 3.b | The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) update DoD 6010.15-M, "Military Treatment Facility Uniform Business Office Manual" to include results of analysis of timeframes to conduct follow-up on billed claims for Third Party Collection Program. | 2 years 8 months 7 days |
| 3.c | The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) update DoD 6010.15-M, "Military Treatment Facility Uniform Business Office Manual" to provide clear and explicit requirements for documenting the follow up on outstanding claims and the rationale for writing-off a claim. | 2 years 8 months 7 days |
| 4.a | The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) establish a quality assurance program that monitors Third Party Collection Program compliance audit results and present solutions to the Services as necessary such as the precertification or preauthorization denials. | 2 years 8 months 7 days |
| 4.b | The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) establish a quality assurance program that monitors implementation of revised follow-up requirements. | 2 years 8 months 7 days |

APPENDIX A

*Assistant Secretary of Defense for Health Affairs (cont'd)***Report No. DODIG-2015-151 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 5 | The DoD OIG recommended that the Assistant Secretary of Defense (Health Affairs) coordinate with medical treatment facilities' leadership to establish new protocols or procedures as appropriate for 90-day prescriptions that are subject to third-party reimbursement. | 2 years 8 months 7 days |

Report No. DODIG-2016-064, "Other Defense Organizations and Defense Finance and Accounting Service Controls Over High-Risk Transactions Were Not Effective," March 28, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the Directors, Other Defense Organizations and Defense Finance and Accounting Service, revise service-level agreements based on the end-to-end business process identified. Service-level agreements should include clearly defined roles and responsibilities, internal controls, performance metrics, and quality assurance plans to ensure that detail-level data for problem disbursements are provided, and problem disbursements are reduced and corrected in a timely manner. | 2 years 3 days |

Report No. DODIG-2017-064, "The Defense Health Agency Improperly Paid for Autism-Related Services to Selected Companies in the TRICARE South Region," March 10, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.b | The DoD OIG recommended that the Director, Defense Health Agency, review claims from the five applied behavior analysis companies in our sample and provide the results of the review to the DHA Program Integrity Office for appropriate action. | 1 year 21 days |

APPENDIX A

Assistant Secretary of Defense for Health Affairs (cont'd)

Report No. DODIG-2017-085, "Protection of Electronic Patient Health Information at Army Military Treatment Facilities," July 6, 2017
(Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|--|
| 1.a | The DoD OIG recommended that the Defense Health Agency's Health Information Technology Chief Information Officer implement appropriate configuration changes to enforce the use of Common Access Cards to access the Armed Forces Health Longitudinal Technology Application, Composite Health Care System, and Clinical Information System/Essentris Inpatient System or obtain a waiver that exempts the systems from using Common Access Cards. | 8 months 25 days |
| 1.b | (FOUO) The DoD OIG recommended that the Defense Health Agency's Health Information Technology Chief Information Officer configure passwords for the [REDACTED] to meet DoD complexity requirements. | 8 months 25 days |
| 1.c | (FOUO) The DoD OIG recommended that the [REDACTED] for the [REDACTED] | 8 months 25 days |
| 1.d | The DoD OIG recommended that the Defense Health Agency's Health Information Technology Chief Information Officer implement procedures to verify that privacy impact assessments are developed for all systems, including the Comed Anatomic Pathology System that store, process, and transmit patient health information. | 8 months 25 days |
| (FOUO) | | |

APPENDIX A

*Assistant Secretary of Defense for Health Affairs (cont'd)***Report No. DODIG-2018-034, "Armed Forces Retirement Home Healthcare Services," December 14, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.2.a | The DoD OIG recommended that the Defense Health Agency Deputy Director, in line with the quarterly oversight requirements outlined in the Senior Medical Advisor Oversight Plan, include a review of resident medical records to determine whether Armed Forces Retirement Home providers conducted visits with residents in long-term care units at the required frequency. | 3 months 17 days |
| A.2.b | The DoD OIG recommended that the Defense Health Agency Deputy Director, in line with the quarterly oversight requirements outlined in the Senior Medical Advisor Oversight Plan, advise the Healthcare Services Chief at each facility on developing healthcare practices that align with documented procedures, specifically with regard to documenting the administration of medications and treatments, conducting infection-control rounds, and monitoring cold-storage medications. | 3 months 17 days |
| B.2.a | The DoD OIG recommended that the Defense Health Agency Deputy Director, in line with the quarterly oversight requirements outlined in the Senior Medical Advisor Oversight Plan, advise the Healthcare Services Chief at each facility on the development and implementation of administrative controls related to the storage, handling, and monitoring of controlled substances. | 3 months 17 days |
| B.2.b | The DoD OIG recommended that the Defense Health Agency Deputy Director, in line with the quarterly oversight requirements outlined in the Senior Medical Advisor Oversight Plan, review Armed Forces Retirement Home Wellness Center internal controls over controlled substances to ensure that they mitigate the risk of the diversion of controlled substances. | 3 months 17 days |

Report No. DODIG-2018-036, "DoD's Response to the Patient Safety Elements in the 2014 Military Health System Review," December 14, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A | The DoD OIG recommended that the Defense Health Agency Director notify the DoD OIG when the Military Health System has implemented all actions in the Military Health System Review Action Plans regarding patient safety. | 3 months 17 days |

APPENDIX A

*Assistant Secretary of Defense for Health Affairs (cont'd)***Report No. DODIG-2018-036 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| D.1 | The DoD OIG recommended that the Defense Health Agency Director determine the actionable root causes for the staffing survey results being below national average in the "Hospital Survey on Patient Safety Culture," and take appropriate actions to improve those factors that pose a risk to patient safety. | 3 months 17 days |

Report No. DODIG-2018-067, "The DoD's Response to the Quality of Care Elements in the 2014 Military Health System Review,"
February 8, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Defense Health Agency Director notify the DoD OIG when the Military Health System has implemented all the Military Health System Review Action Plans regarding quality of care. | 1 month 23 days |

Report No. DODIG-2018-084, "TRICARE North Region Payments for Applied Behavior Analysis Services for the Treatment of Autism Spectrum Disorder," March 14, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.b | The DoD OIG recommended that the Defense Health Agency Director review and pursue appropriate action, such as recouping any overpayments, on the claims in our sample for which there was insufficient or no documentation from the applied behavior analysis companies. | 17 days |

APPENDIX A

Assistant Secretary of Defense for Homeland Defense and Global Security

Report No. DODIG-2012-064, “Vulnerability and Risk Assessments Needed to Protect Defense Industrial Base Critical Assets,” March 13, 2012
(Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.b | The DoD OIG recommended that the Under Secretary of Defense for Policy create a DoD Instruction for the Defense Industrial Base Sector that sets requirements for risk management of the non-Government-owned critical assets and assigns appropriate roles and responsibilities to Under Secretary of Defense for Acquisition, Technology, and Logistics personnel. | 6 years 18 days |

Report No. DODIG-2013-119, “Better Procedures and Oversight Needed to Accurately Identify and Prioritize Task Critical Assets,” August 16, 2013 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Under Secretary of Defense for Policy update DoD Instruction 3020.45, “Defense Critical Infrastructure Program Management,” April 21, 2008, to require that the Assistant Secretary of Defense for Homeland Security and Americas’ Security Affairs, perform comprehensive Defense Critical Infrastructure Program reviews to help identify and resolve challenges in implementing the Critical Asset Identification Process across all DoD Components and Defense Infrastructure Sector Lead Agents. | 4 years 7 months 15 days |
| 1.b | The DoD OIG recommended that the Under Secretary of Defense for Policy, in coordination with the DoD Chief Information Officer and the Chairman of the Joint Chiefs of Staff, develop and implement a Defense Critical Infrastructure Program net-centric approach to facilitate asset information sharing among the DoD Components and Defense Infrastructure Sector Lead Agents. | 4 years 7 months 15 days |

APPENDIX A

*Assistant Secretary of Defense for Homeland Defense and Global Security (cont'd)***Report No. DODIG-2013-119 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.a | The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs implement a comprehensive program review process to verify that the critical asset identification and prioritization process is working effectively for DoD Components and Defense Infrastructure Sector Lead Agents to identify, prioritize, and coordinate critical asset information that could effect each other's missions or functions. | 4 years 7 months 15 days |
| 2.b | The DoD OIG recommended that the Assistant Secretary of Defense for Homeland Defense and Americas' Security Affairs require the heads of DoD Components to develop or update policies and procedures to include all Defense Critical Infrastructure Program requirements and critical asset identification process steps in DoD Manual 3020.45, volume 1, "Defense Critical Infrastructure Program: DoD Mission-Based Critical Asset Identification Process," October 24, 2008. | 4 years 7 months 15 days |

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| C | The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Defense Threat Reduction Agency Director and the Deputy Assistant Secretary of Defense for Countering Weapons of Mass Destruction, comply with Presidential Policy Directive 35 and develop and field secure communications between custodial explosive ordnance disposal units and national responders. | 8 months 3 days |

APPENDIX A

Assistant Secretary of Defense for Special Operations and Low Intensity Conflict

Report No. DODIG-2013-005, “Performance Framework and Better Management of Resources Needed for the Ministry of Defense Advisors Program,” October 23, 2012

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Partnership Strategy and Stability Operations, in coordination with the Commander, U.S. Combined Security Transition Command-Afghanistan, develop a performance management framework to include goals, objectives, and performance indicators to assess progress and measure program results. The performance management framework should also consider environmental and external factors that could affect the goals and objectives from being accomplished. | 5 years 5 months 8 days |

Report No. DODIG-2017-099, “Evaluation of Department of Defense Efforts to Build Counterterrorism and Stability Operations Capacity of Foreign Military Forces with Section 1206/2282 Funding,” July 21, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| C.1.a | The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, pursuant to implementing activities authorized under 10 U.S.C. § 2282, take action to issue and enforce proposal guidance, including standards for submitting specific information necessary to fully describe partner-nation requirements, the metrics to assess project impact, and the means to sustain a project, if applicable. | 8 months 10 days |
| C.1.b | The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, pursuant to implementing activities authorized under 10 U.S.C. § 2282, take action to update proposal forms to provide guidance about including sections that enable geographic combatant commands and U.S. Embassy Security Cooperation Organizations to document required data. | 8 months 10 days |

APPENDIX A

*Assistant Secretary of Defense for Special Operations and Low Intensity Conflict (cont'd)***Report No. DODIG-2017-099 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| C.2 | The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with applicable combatant commands and Military Department implementing agencies, take action to ensure that Security Cooperation Organization personnel assigned to U.S. Embassies have the appropriate training, capability, and necessary DoD support to develop equipment and requirement details meeting project-proposal standards required by 10 U.S.C. § 2282. | 8 months 10 days |
| D.1 | The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict establish a rigorous, transparent methodology for prioritizing and selecting projects that meets the intent of 10 U.S.C. § 2282, using clearly defined criteria, such as a comparison of respective costs, benefits, and risks, to effectively justify selections to stakeholders, especially Congress. Consider adapting elements of the methodology of the U.S. Special Operations Command for selecting and documenting project decisions. | 8 months 10 days |
| D.2 | The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict ensure that DoD Components responsible for implementing 10 U.S.C. § 2282 comply with DoD security cooperation directives and procedures for documenting and retaining records pursuant to that authority. | 8 months 10 days |
| F.1 | The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with relevant U.S. Government stakeholders, take action to ensure project proposals currently authorized under 10 U.S.C. § 2282 include fully developed and coordinated sustainment plans, as warranted. | 8 months 10 days |
| F.2 | The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with relevant U.S. Government stakeholders, take action to ensure geographic combatant commands and U.S. Embassy Security Cooperation Organizations are aware of, and fully use, all funding authorities and sources available for sustaining capability provided by 10 U.S.C. § 2282. | 8 months 10 days |
| G | The DoD OIG recommended that the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict, in coordination with relevant DoD stakeholders, systematically monitor implementation of 10 U.S.C. § 2282 with performance measures and indicators that enable senior-level management reviews in accordance with applicable DoD, Office of Management and Budget, and other Government directives and guidance. | 8 months 10 days |

APPENDIX A

*Assistant Secretary of Defense for Special Operations and Low Intensity Conflict (cont'd)***Report No. DODIG-2017-119, "The Global Discovery Program and DoD Counternarcotics Agreements," September 11, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.2 | The DoD OIG recommended that the Deputy Assistant Secretary of Defense for Counternarcotics and Global Threats develop processes and procedures that define roles and responsibilities for counternarcotics agreements, to include tracking and oversight of signed agreements, tracking and reconciliation of funding, and compliance with existing guidance on performance metrics to measure success. | 6 months 20 days |

Report No. DODIG-2018-059, "U.S. Central and U.S. Africa Commands' Oversight of Counternarcotics Activities," December 26, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that, as part of the processes and procedures recommended in DODIG-2017-119, the Deputy Assistant Secretary of Defense for Counternarcotics and Global Threats define the combatant commands' and Military Services' roles and responsibilities for oversight of counternarcotics activities, to include tracking the completion status and funding of individual counternarcotics activities. | 3 months 5 days |

APPENDIX A

*Assistant Secretary of Defense for Public Affairs***Report No. D-2010-026, "Joint Civilian Orientation Conference Program," December 9, 2009**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.a | The DoD OIG recommended that the Assistant Secretary of Defense (Public Affairs) and the Director, Washington Headquarters Services, work with the DoD General Counsel to establish detailed policies and procedures for managing future Joint Civilian Orientation Conferences in compliance with section 2262, title 10, United States Code. Specifically, they should update DoD Instruction 5410.19 to provide guidance on how to effectively administer and manage the Joint Civilian Orientation Conference program. This guidance should require the development and submission of an annual budget estimate for conducting the program, including an estimate of the amount of appropriated funding needed to support DoD personnel involved in the program and the amount of reimbursable authority needed to collect fees from non-DoD participants. The Instruction should also describe refund procedures for conference fees. | 8 years 3 months 22 days |

APPENDIX A

National Security Agency

**Report No. DODIG-2013-085, “Cryptographic Modernization of Critical Nuclear Command, Control, and Communications Systems,”
May 29, 2013 (Full Report is Classified)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3 | The DoD OIG recommended that the National Security Agency consolidate “Last Year of Use” and “cease key date” into a single date. | 4 years 10 months 2 days |

**Report No. DODIG-2014-060, “An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies,”
April 14, 2014**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2.a | The DoD OIG recommended that the Directors of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, in the absence of an overarching Defense Central Index of Investigations (DCII) policy, evaluate titling and indexing in the DCII the Subjects of all non-criminal investigations conducted by all agency investigative elements. | 3 years 11 months 17 days |
| B.2.b | The DoD OIG recommended that the Directors of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, direct their Offices of Security to develop formal procedures to ensure that reports of investigation into misconduct by contractor personnel are reported to DoD Consolidated Adjudication Facility. | 3 years 11 months 17 days |
| B.2.c | The DoD OIG recommended that the Directors of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, ensure that controls are in place to ensure that favorable personnel security adjudicative determinations made with conditions, deviations, or waivers are documented in the “Exception Information” block of the Subject’s SCATTERED CASTLES file. | 3 years 11 months 17 days |

APPENDIX A

National Security Agency (cont'd)

Report No. DODIG-2014-060 (cont'd)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.3 | The DoD OIG recommended that the Inspectors General of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, work with the Offices of Security of those Agencies to ensure that Inspector General reports of investigation into misconduct by contractor personnel are reported to DoD Consolidated Adjudication Facility. | 3 years 11 months 17 days |

Report No. DODIG-2016-129, (FOUO) "[REDACTED]
 [REDACTED]," August 29, 2016 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|----------------------------------|
| 1.a | Recommendation is Classified. | 1 year 7 months 2 days |
| 1.b | Recommendation is Classified. | 1 year 7 months 2 days |
| 2.a | Recommendation is Classified. | 1 year 7 months 2 days |
| 2.b | Recommendation is Classified. | 1 year 7 months 2 days |
| 3.a | (FOUO) The DoD OIG recommended that the Director, Technology Directorate, National Security Agency/ Central Security Service Chief Information Officer, in coordination with system owners, [REDACTED] [REDACTED] | 1 year 7 months 2 days |
| (FOUO) | | |

APPENDIX A

National Security Agency (cont'd)

Report No. DODIG-2016-129 (Full Report is Classified) (cont'd)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|--|
| 3.b | (FOUO) The DoD OIG recommended that the Director, Technology Directorate, National Security Agency/ Central Security Service Chief Information Officer, in coordination with system owners [REDACTED] [REDACTED] | 1 year 7 months 2 days |
| 3.c | (FOUO) The DoD OIG recommended that the Director, Technology Directorate, National Security Agency/ Central Security Service Chief Information Officer, in coordination with system owners [REDACTED] [REDACTED] | 1 year 7 months 2 days |
| (FOUO) | | |

Report No. DODIG-2017-061, "Evaluation of the National Security Agency Counterterrorism Tasking Process Involving Second Party Partners,"
March 1, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|--|
| 1 | Recommendation is Classified. | 1 month 30 days |
| 2 | Recommendation is Classified. | 1 month 30 days |
| 3 | Recommendation is Classified. | 1 month 30 days |

APPENDIX A

National Security Agency (cont'd)

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| H.1.a | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to immediately perform a comprehensive review of all their criminal investigative databases and files to ensure all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses at least to 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and FBI requirements. | 3 months 27 days |
| H.1.b | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that supervisory and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is regularly inspected. | 3 months 27 days |
| H.1.c | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to conduct a comprehensive review of their criminal history reporting programs to ensure all fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11, and have been implemented. | 3 months 27 days |
| H.1.d | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and deoxyribonucleic acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases. | 3 months 27 days |

APPENDIX A

National Security Agency (cont'd)

Report No. DODIG-2018-043, "The National Security Agency Enterprise," December 19, 2017 (Full Report is Classified)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---------------------|--|
| 1.a | (FOUO) [REDACTED] | 3 months 12 days |
| 1.b | (FOUO) [REDACTED] | 3 months 12 days |
| 2.b | (FOUO) [REDACTED] | 3 months 12 days |
| 3.a | (FOUO) [REDACTED] | 3 months 12 days |
| 3.b | (FOUO) [REDACTED] | 3 months 12 days |
| (FOUO) | | |

APPENDIX A

National Security Agency (cont'd)

Report No. DODIG-2018-043 (Full Report is Classified) (cont'd)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|-------------------------------|--|
| 3.c | (FOUO) [REDACTED] | 3 months 12 days |
| 3.d | Recommendation is Classified. | 3 months 12 days |
| 4 | (FOUO) [REDACTED] | 3 months 12 days |
| 5 | (FOUO) [REDACTED] | 3 months 12 days |
| (FOUO) | | |

APPENDIX A

Defense Threat Reduction Agency

Report No. DODIG-2014-055, “Investigation of a Hotline Allegation of a Questionable Intelligence Activity Concerning the Joint IED Defeat Organization (JIEDDO) Counter-Improvised Explosive Device Operations/Intelligence Integration Center (COIC),” April 4, 2014
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|---------------------|---|----------------------------------|
| A.6 | The DoD OIG recommended that the Director, Joint Improvised Explosive Device Defeat Organization, cease intelligence collection activities, pending Office of the Secretary of Defense authorization. | 3 years 11 months 27 days |
| A.7; B.2; C.2; D.3 | The DoD OIG recommended that the Director, Joint Improvised Explosive Device Defeat Organization, authorize the Counter-Improvised Explosive Device Operations/Intelligence Integration Center a full time Deputy General Counsel for Operations and Intelligence billet. | 3 years 11 months 27 days |
| A.8; B.3; C.3 | The DoD OIG recommended that the Director, Joint Improvised Explosive Device Defeat Organization, review hardcopy and softcopy holdings for U.S. persons information, and delete or retain in accordance with DoD 5240.1-R, Chapter 3. | 3 years 11 months 27 days |
| A.9 | The DoD OIG recommended that the Director, Joint Improvised Explosive Device Defeat Organization, review internal procedures and current legal opinions to ensure that collecting information about U.S. persons is in accordance with Title 10 United States Code, Executive Order 12333, DoD Directive 5240.01, DoD 5240.1-R, and other applicable policies and instructions. | 3 years 11 months 27 days |
| A.10; B.4; C.4; D.4 | The DoD OIG recommended that the Director, Joint Improvised Explosive Device Defeat Organization, tailor JIEDDO’s intelligence oversight training to fit the Counter-Improvised Explosive Device Operations/Intelligence Integration Center’s unique mission and activities. | 3 years 11 months 27 days |

APPENDIX A

Defense Threat Reduction Agency (cont'd)

Report No. DODIG-2015-157, "Assessment of the Nuclear Warhead Unsatisfactory Report Process," August 5, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A | The DoD OIG recommended that the Director, Nuclear Enterprise Support Directorate, Defense Threat Reduction Agency, representing DoD's role in the Unsatisfactory Report Integrated Process Team, oversee changes to Technical Publication 5-1 addressing a long-term process control solution for the Unsatisfactory Report Integrated Process Team to ensure: a) roles and responsibilities are clearly defined across the Department of Energy and Department of Defense; b) a mechanism for feedback from operational units and information system administrators to the Unsatisfactory Report Integrated Process Team; c) a reporting structure to provide the Nuclear Weapons Council with visibility into Unsatisfactory Report Integrated Process Team issues. | 2 years 7 months 26 days |

Report No. DODIG-2017-106, "Evaluation of the Air Force and Navy Explosive Ordnance Disposal Capabilities to Respond to a Nuclear Weapon Accident or Incident," July 28, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| C | The DoD OIG recommended that the Secretary of the Air Force and the Secretary of the Navy, in coordination with the Defense Threat Reduction Agency Director and the Deputy Assistant Secretary of Defense for Countering Weapons of Mass Destruction, comply with Presidential Policy Directive 35 and develop and field secure communications between custodial explosive ordnance disposal units and national responders. | 8 months 3 days |

APPENDIX A

Defense Threat Reduction Agency (cont'd)

Report No. DODIG-2018-064, “Defense Threat Reduction Agency Cooperative Threat Reduction Contract in the U.S. Pacific Command Area of Responsibility,” February 1, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Defense Threat Reduction Agency Director of Acquisition, Finance, and Logistics establish implementing guidance to clarify that all contracts for services require a quality assurance surveillance plan, whether or not goods are also provided under the contract, in accordance with the Defense Federal Acquisition Regulation Supplement. | 1 month 30 days |
| 1.b | The DoD OIG recommended that the Defense Threat Reduction Agency Director of Acquisition, Finance, and Logistics develop and implement a quality assurance surveillance plan for all ongoing service contracts and task orders, whether or not goods are also provided under the contract, to document work requiring surveillance, the method of surveillance, and the process used to review invoices. | 1 month 30 days |

APPENDIX A

*Defense Information Systems Agency***Report No. DODIG-2017-113, “Defense Information Systems Agency’s Expired Communication Service Authorizations,” August 25, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Defense Information Systems Agency Director, in coordination with the Defense Information Technology Contracting Office Procurement Services Directorate Director, complete a comprehensive review of all expired communication service authorizations, including services that may have transferred to another contract, to determine whether they should be discontinued or re-awarded and take appropriate action. | 7 months 6 days |
| 1.b | The DoD OIG recommended that the Defense Information Systems Agency Director, in coordination with the Defense Information Technology Contracting Office Procurement Services Directorate Director, complete a comprehensive review of all soon-to-expire communication services authorizations to determine whether they should be discontinued or re-awarded and take appropriate action. | 7 months 6 days |
| 1.c.1 | The DoD OIG recommended that Defense Information Systems Agency Director, in coordination with the Defense Information Technology Contracting Office Procurement Services Directorate Director, develop and maintain a system to enable Defense Information Systems Agency personnel and customers to track the status of communication services authorizations, to include up-to-date communication service authorization points of contact for the customer or requirement owner and a circuit management representative for the service or agency requesting the service. | 7 months 6 days |
| 1.c.2 | The DoD OIG recommended that the Defense Information Systems Agency Director, in coordination with the Defense Information Technology Contracting Office Procurement Services Directorate Director, develop and maintain a system to enable Defense Information Systems Agency personnel and customers to track the status of communication services authorizations, to include an automated verification process that requires customer points of contact to confirm and update their contact information on a periodic basis, including the addition of a process to manually verify contact information in instances of customer non-response. | 7 months 6 days |
| 1.c.3 | The DoD OIG recommended that Defense Information Systems Agency Director, in coordination with the Defense Information Technology Contracting Office Procurement Services Directorate Director, develop and maintain a system to enable Defense Information Systems Agency personnel and customers to track the status of communication services authorizations, to include a module for customers or requirement owners to confirm the review and revalidation of requirements to support the bona fide need. | 7 months 6 days |

APPENDIX A

*Defense Information Systems Agency (cont'd)***Report No. DODIG-2017-113 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.c.4 | The DoD OIG recommended that the Defense Information Systems Agency Director, in coordination with the Defense Information Technology Contracting Office Procurement Services Directorate Director, develop and maintain a system to enable Defense Information Systems Agency personnel and customers to track the status of communication services authorizations, to include automatic alerts to the customer at specific intervals prior to communication service authorization expiration which requires a customer response to discontinue or re-award the service. | 7 months 6 days |
| 1.e | The DoD OIG recommended that the Defense Information Systems Agency Director, in coordination with the Defense Information Technology Contracting Office Procurement Services Directorate Director, determine whether payments on expired communication services authorizations were improper. Report the results and initiate recovery actions, when appropriate, in accordance with the Improper Payments Elimination and Recovery Improvement Act. | 7 months 6 days |
| 2.a | The DoD OIG recommended that the Defense Information Technology Contracting Office, Procurement Services Directorate Director institute procedures to require all contracting personnel monitor communication services authorizations for instances when the amount paid by the customer consistently exceeds charges billed by the vendor, and perform account reconciliation efforts in accordance with the "Desktop Procedures for the PL82 AP Validation Database." | 7 months 6 days |

APPENDIX A

Defense Information Systems Agency (cont'd)

Report No. DODIG-2018-003, "Report of Investigation on Allegations Related to the Department of Defense's Decision to Relocate a Joint Intelligence Analysis Complex," October 30, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the U.S. European Command Commander, Defense Intelligence Agency Director, and the Defense Information Systems Agency Director should ensure that, when providing information to Congress, particularly technical information, the information is reviewed and validated by appropriate offices that have technical expertise or primary area of responsibility and the authority to approve such a release on behalf of the DoD organization. | 5 months 1 day |
| 4 | The DoD OIG recommended that the DoD, including the Office of the Deputy Secretary of Defense, the U.S. European Command Commander, the Defense Intelligence Agency Director, and the Defense Information Systems Agency Director review this report, the facts described in it, and any other relevant factors to assess the overall performance of the individuals described in this report during the time period of this investigation. | 5 months 1 day |

APPENDIX A

National Reconnaissance Office

**Report No. DODIG-2014-060, “An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies,”
April 14, 2014**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2.a | The DoD OIG recommended that the Directors of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, in the absence of an overarching Defense Central Index of Investigations (DCII) policy, evaluate titling and indexing in the DCII the Subjects of all non-criminal investigations conducted by all agency investigative elements. | 3 years 11 months 17 days |
| B.2.b | The DoD OIG recommended that the Directors of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, direct their Offices of Security to develop formal procedures to ensure that reports of investigation into misconduct by contractor personnel are reported to DoD Consolidated Adjudication Facility. | 3 years 11 months 17 days |
| B.2.c | The DoD OIG recommended that the Directors of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, ensure that controls are in place to ensure that favorable personnel security adjudicative determinations made with conditions, deviations, or waivers are documented in the “Exception Information” block of the Subject’s SCATTERED CASTLES file. | 3 years 11 months 17 days |
| B.3 | The DoD OIG recommended that the Inspectors General of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, work with the Offices of Security of those Agencies to ensure that Inspector General reports of investigation into misconduct by contractor personnel are reported to DoD Consolidated Adjudication Facility. | 3 years 11 months 17 days |

APPENDIX A

National Reconnaissance Office (cont'd)

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| H.1.a | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to immediately perform a comprehensive review of all their criminal investigative databases and files to ensure all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses at least to 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and FBI requirements. | 3 months 27 days |
| H.1.b | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that supervisory and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is regularly inspected. | 3 months 27 days |
| H.1.c | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to conduct a comprehensive review of their criminal history reporting programs to ensure all fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11, and have been implemented. | 3 months 27 days |
| H.1.d | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and deoxyribonucleic acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases. | 3 months 27 days |

APPENDIX A

Defense Intelligence Agency

**Report No. DODIG-2014-060, “An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies,”
April 14, 2014**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2.a | The DoD OIG recommended that the Directors of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, in the absence of an overarching Defense Central Index of Investigations (DCII) policy, evaluate titling and indexing in the DCII the Subjects of all non-criminal investigations conducted by all agency investigative elements. | 3 years 11 months 17 days |
| B.2.b | The DoD OIG recommended that the Directors of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, direct their Offices of Security to develop formal procedures to ensure that reports of investigation into misconduct by contractor personnel are reported to DoD Consolidated Adjudication Facility. | 3 years 11 months 17 days |
| B.2.c | The DoD OIG recommended that the Directors of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, ensure that controls are in place to ensure that favorable personnel security adjudicative determinations made with conditions, deviations, or waivers are documented in the “Exception Information” block of the Subject’s SCATTERED CASTLES file. | 3 years 11 months 17 days |
| B.3 | The DoD OIG recommended that the Inspectors General of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, work with the Offices of Security of those Agencies to ensure that Inspector General reports of investigation into misconduct by contractor personnel are reported to DoD Consolidated Adjudication Facility. | 3 years 11 months 17 days |

APPENDIX A

Defense Intelligence Agency (cont'd)

Report No. DODIG-2016-098, "Evaluation of Foreign Officer Involvement at the United States Special Operations Command,"
June 15, 2016 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2.a | The DoD OIG recommended that the Director, Defense Intelligence Agency, establish appropriate policy and procedures for integrating partner nation representatives into Defense Intelligence Agency accredited Sensitive Compartmented Information Facilities.* | 1 year 9 months 16 days |
| B.2.b | The DoD OIG recommended that the Director, Defense Intelligence Agency, review the accreditation for the Five Eye Sensitive Compartmented Information Facility (S0-14-004) and ensure the accreditation certificate is in accordance with Defense Intelligence Agency and Intelligence Community Directive 705 requirements.* | 1 year 9 months 16 days |
| B.2.c | The DoD OIG recommended that the Director, Defense Intelligence Agency review the United States Special Operations Command's automated information systems accreditation.* | 1 year 9 months 16 days |

* Responsibility for implementing Recommendations B.2.a, B.2.b, and B.2.c were transferred from OUSD(I) to DIA.

Report No. DODIG-2017-049, "Unclassified Report of Investigation on Allegations Relating to U.S. Central Command Intelligence Products,"
January 31, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 11 | The DoD OIG recommended that the relationship, reporting responsibilities, and intelligence requirements that apply to Defense Intelligence Agency analysts detailed to combatant commands be clarified, in writing, so that Defense Intelligence Agency employees and their supervisors clearly understand their roles and responsibilities. | 1 year 2 months |
| 12 | The DoD OIG recommended that the Defense Intelligence Agency provide new Joint Intelligence Center personnel clear instructions on their direct support relationship with U.S. Central Command, including what analytical standards apply and who sets Joint Intelligence Center priorities and reporting requirements. | 1 year 2 months |

APPENDIX A

Defense Intelligence Agency (cont'd)

Report No. DODIG-2018-003, "Report of Investigation on Allegations Related to the Department of Defense's Decision to Relocate a Joint Intelligence Analysis Complex," October 30, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the U.S. European Command Commander, Defense Intelligence Agency Director, and the Defense Information Systems Agency Director should ensure that when providing information to Congress, particularly technical information, the information is reviewed and validated by appropriate offices that have technical expertise or primary area of responsibility and the authority to approve such a release on behalf of the DoD organization. | 5 month 1 day |
| 4 | The DoD OIG recommended that the DoD, including the Office of the Deputy Secretary of Defense, the U.S. European Command Commander, the Defense Intelligence Agency Director, and the Defense Information Systems Agency Director review this report, the facts described in it, and any other relevant factors to assess the overall performance of the individuals described in this report during the time period of this investigation. | 5 month 1 day |

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| H.1.a | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to immediately perform a comprehensive review of all their criminal investigative databases and files to ensure all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses at least to 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and FBI requirements. | 3 months 27 days |

APPENDIX A

*Defense Intelligence Agency (cont'd)***Report No. DODIG-2018-035 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| H.1.b | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that supervisory and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is regularly inspected. | 3 months 27 days |
| H.1.c | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to conduct a comprehensive review of their criminal history reporting programs to ensure all fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11, and have been implemented. | 3 months 27 days |
| H.1.d | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and deoxyribonucleic acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases. | 3 months 27 days |

APPENDIX A

Defense Logistics Agency

Report No. DODIG-2012-098, "Controls Governing the Procurement Automated Contract Evaluation System Need Improvement," June 5, 2012
(Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1 | The DoD OIG recommended that the Director, Defense Logistics Agency, cease the current process of automated affixing of contracting officers' electronic signatures to fully automated awards in the Procurement Automated Contract Evaluation System and develop an alternate method compliant with Public Law 105-277, "Government Paperwork Elimination Act," October 21, 1998, Public Law 106-229, "Electronic Signatures in Global and National Commerce Act," June 30, 2000, and the Federal Acquisition Regulation. | 5 years 9 months 26 days |

Report No. DODIG-2013-100, "Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan Improved, but Additional Actions are Needed," July 2, 2013

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.a | The DoD OIG recommended that the Commander, Defense Logistics Agency Troop Support, implement corrective actions to address all recommendations, with the exception of Recommendations A1.b, A2.a, A2.b, A2.c, A3, A4.a, A4.b, B1, and B2, in DoD Office of Inspector General Report No. D-2011-047, "Improvements Needed in Contract Administration of the Subsistence Prime Vendor Contract for Afghanistan," March 2, 2011, specifically: initiate corrective actions to recover premium transportation fees and refund the Army after litigation is completed. | 4 years 8 months 29 days |

APPENDIX A

Defense Logistics Agency (cont'd)

Report No. DODIG-2015-132, "Opportunities Exist to Improve Inventory Management for Defense Logistics Agency Aviation C-130 Spare Parts," June 11, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG Recommended that the Commanger, Defense Logistics Agency Aviation, evaluate and improve DLA Aviation procedures that review purchase requestes and orders of parts that may be excessive by ensuring correct and complete data is used and by considering costs to generate, cancel, and regenerate purchase requests compared to the costs of purchasing excessive inventory. | 2 years 9 months 20 days |

Report No. DODIG-2015-140, "Defense Logistics Agency Can Improve Its Product Quality Deficiency Report Processing," July 1, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.b | The DoD OIG recommended that the Director, Defense Logistics Agency, develop a plan of action with milestones to improve product quality deficiency report processing and ensure that the corrective actions are implemented at all DLA Supply Chains that process product quality deficiency reports. The plan should address the problems that this report identified and establish the integrity of the product quality deficiency reporting coding then develop procedures, controls and appropriate metrics to identify problems, trends, and recurring deficiencies in spare part quality and to improve the operational effectiveness of the program and provide training to ensure that the problems this report identified do not reoccur. | 2 years 8 months 30 days |

APPENDIX A

*Defense Logistics Agency (cont'd)***Report No. DODIG-2016-027, "Defense Logistics Agency's Materiel Returns Program Could Be Managed More Effectively," December 2, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the Director, Defense Logistics Agency, develop Materiel Returns Program guidance that includes, as a minimum, procedures for supply planners on how to assess and respond to customer return requests for the following: 1) critical safety items; 2) aviation life support equipment items; 3) shelf life items; 4) items requiring first article testing; 5) items undergoing technical reviews; 6) low demand items; 7) items with low-dollar values; 8) items with adequate stock on hand; and 9) items on long term contracts. | 2 years 3 months 29 days |
| A.2 | The DoD OIG recommended that the Director, Defense Logistics Agency, develop mandatory initial and periodic Materiel Returns Program specific training that aligns with the guidance developed in response to Recommendation A.1. | 2 years 3 months 29 days |
| B.1 | The DoD OIG recommended that the Director, Defense Logistics Agency, develop guidance to require personnel to document their decisions and rationale for including items on the auto-TC table. | 2 years 3 months 29 days |

**Report No. DODIG-2016-036, "Management of Items in the Defense Logistics Agency's Long-Term Storage Needs Improvement,"
December 22, 2015**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1 | The DoD OIG recommended that the Director, Defense Logistics Agency, update the automated recoupment process in the Enterprise Business System to include all categories of inventory to ensure all condition code A items are appropriately recouped from the long-term storage inventory. | 2 years 3 months 9 days |
| B.2 | The DoD OIG recommended that the Director, Defense Logistics Agency, determine why eligible long-term storage inventory items are not automatically recouped and correct those deficiencies in the Enterprise Business System. | 2 years 3 months 9 days |

APPENDIX A

Defense Logistics Agency (cont'd)

Report No. DODIG-2017-002, "Consolidation Needed for Procurements of DoD H-60 Helicopter Spare Parts," October 12, 2016
(Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2 | The DoD OIG recommended that the Director, Defense Logistics Agency, collect and analyze data related to H-60 spare parts contracts and purchase orders and take steps to consolidate the Defense Logistics Agency's H-60 spare parts procurements, where practicable to take advantage of quantity discounts and reduce administrative costs. | 1 year 5 months 19 days |

Report No. DODIG-2017-037, "Defense Logistics Agency Disposition Services Needs to Improve Demilitarization Program Self-Assessment Evaluations," December 20, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1.c | The DoD OIG recommended that the Director, Defense Logistics Agency Disposition Services, update Defense Logistics Agency Disposition Services Standard Operating Procedure 4155.01 to require Disposition Services Compliance Branch personnel to review all protocol results for accuracy and inclusion of supporting documentation. | 1 year 3 months 11 days |

APPENDIX A

Defense Logistics Agency (cont'd)

Report No. DODIG-2017-050, "Defense Logistics Agency Aviation Negotiated Fair and Reasonable Prices for F402 Engine Spare Parts, but Pricing Errors and Late Deliveries Occurred," January 31, 2017 (Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|--|
| A.1.a | The DoD OIG recommended that the Director, Defense Logistics Agency, establish standard operating procedures and internal controls to ensure that contracting officials enter and maintain accurate unit prices in the Supplier Relationship Management System, verify that delivery order unit prices are correct, and proactively determine whether additional delivery orders require correction after a pricing error is identified. | 1 year 2 months |
| B.1.b | (FOUO) The DoD OIG recommended that the Director, Defense Logistics Agency, direct the contracting officer for contract SPE4A5-14-D-0015 to re-negotiate the contract's late delivery disincentive so that it is comparable to the [REDACTED] or [REDACTED] percent service fee for delivery improvements and revise the process for applying the disincentive to ensure it is not administratively burdensome. | 1 year 2 months |
| | | (FOUO) |

Report No. DODIG-2017-098, "Defense Logistics Agency Compliance With the Berry Amendment and the Buy American Act," July 7, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|--|
| A.4.a | The DoD OIG recommended that the Defense Logistics Agency Finance Director initiate a preliminary review in accordance with DoD 7000.14-R, "DoD Financial Management Regulation," volume 14, chapter 3, to determine whether reportable violations of the Antideficiency Act occurred on contract SPE1C1-15-M-2729 and contract SPMYM2-15-C-0007. | 8 months 24 days |
| A.4.b | The DoD OIG recommended that the Defense Logistics Agency Finance Director complete the preliminary review as required by DoD 7000.14-R, "DoD Financial Management Regulation," volume 14, chapter 3, and provide results to the DoD Office of Inspector General. If violations of the Antideficiency Act occurred, determine which officials are responsible and recommend corrective actions. | 8 months 24 days |

APPENDIX A

*Defense Logistics Agency (cont'd)***Report No. DODIG-2017-098 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.4.c | The DoD OIG recommended that the Defense Logistics Agency Finance Director provide to the DoD OIG, DoD policy concerning “retroactive” non-availability determinations. | 8 months 24 days |

Report No. DODIG-2017-115, “Independent Auditor’s Report on the Examination of the Defense Logistics Agency’s DoD Chief Financial Officer’s Statistical Sample Inventory Program,” August 25, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Defense Logistics Agency Director require that Defense Logistics Agency Office of Research and Resource Analysis personnel consult personnel with an appropriate technical understanding of complex statistical sampling techniques and collaborate with Military Service financial managers responsible for audit readiness activities on the sampling methodology for determining the financial accuracy of Service-owned items in Defense Logistics Agency’s custody as part of the Defense Logistics Agency’s DoD Chief Financial Officer’s Statistical Sample Inventory Program. The DoD OIG further recommended that the Defense Logistics Agency Director determine whether the continued use of Probability Proportional to Size sampling methodology is appropriate for the Defense Logistics Agency’s DoD Chief Financial Officer’s Statistical Sample Inventory Program. | 7 months 6 days |
| 2.a | The DoD OIG recommended that the Defense Logistics Agency Director update Defense Logistics Agency Standard Operating Procedure 4000.02-04 to establish procedures to determine whether the population of assets in Defense Logistics Agency storage sites used for the Defense Logistics Agency’s DoD Chief Financial Officer’s Statistical Sample Inventory Program was complete and accurate by requiring evidence of properly completed reconciliations between the Military Services’ accountable property systems of record and the Distribution Standard System. | 7 months 6 days |
| 2.b | The DoD OIG recommended that the Defense Logistics Agency Director update Defense Logistics Agency Standard Operating Procedure 4000.02-04 to use valid statistical criteria when determining the sample sizes. | 7 months 6 days |

APPENDIX A

*Defense Logistics Agency (cont'd)***Report No. DODIG-2017-115 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.c | The DoD OIG recommended that the Defense Logistics Agency Director update Defense Logistics Agency Standard Operating Procedure 4000.02-04 to use the same valuation methodology as the Military Services when assigning items in storage into dollar value groupings, including zero-valued items. | 7 months 6 days |
| 3 | The DoD OIG recommended that the Defense Logistics Agency Director require that Defense Logistics Agency Office of Research and Resource Analysis personnel use the sampling methodology to design the sampling, select sample records, and project the results of the physical inventory counts consistent with the sample design. | 7 months 6 days |

Report No. DODIG-2017-116, "Defense Logistics Agency Fuel Contract for Al Udeid Air Base, Qatar," September 5, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1.a | The DoD OIG recommended that the Defense Logistics Agency Director direct the contracting officer to direct the quality assurance representatives to verify that the JA1 fuel delivery quantities stated on the contractor invoices match the amounts stated on the DD Forms 250 before invoices are submitted for payment. | 6 months 26 days |
| B.1.b | The DoD OIG recommended that the Defense Logistics Agency Director direct the contracting officer to recoup the \$58,816 in interest paid to the contractor for delivery orders 0002, 0009, and 0013. | 6 months 26 days |
| B.1.c | The DoD OIG recommended that the Defense Logistics Agency Director direct the contracting officer to coordinate with the Defense Finance and Accounting Service to ensure that the payment period is adjusted when a payment is delayed because of contractor error. | 6 months 26 days |

APPENDIX A

Defense Contract Audit Agency

Report No. DODIG-2016-091, "Evaluation of the Accuracy of Data in the DoD Contract Audit Follow-Up System," May 13, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.b | The DoD OIG recommended that the Director, Defense Contract Audit Agency, modify Agency procedures and related internal controls to include the Qualifications or Unresolved Cost data field in the Defense Contract Audit Agency monthly report list of reportable audits, as DoD Instruction 7640.02, enclosure 4, paragraph 2.j requires. | 1 year 10 months 18 days |

Report No. DODIG-2017-092, "Audit of the Defense Contract Audit Agency Field Detachment," June 14, 2017 (Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|----------------------------------|
| A.2.c | The DoD OIG recommended that the Defense Contract Audit Agency Director, in coordination with the Defense Contract Audit Agency Security Officer, develop and implement a formalized program access request process to initiate, approve, debrief, and maintain personnel accesses. | 9 months 17 days |
| A.2.d | The DoD OIG recommended that the Defense Contract Audit Agency Director authorize property accountability officials to update property accountability files. | 9 months 17 days |
| A.2.e | The DoD OIG recommended that the Defense Contract Audit Agency Director dispose of damaged and excess equipment. | 9 months 17 days |
| A.2.f | (U//FOUO) [REDACTED] | 9 months 17 days |
| A.3.a | (U//FOUO) [REDACTED] | 9 months 17 days |
| (FOUO) | | |

APPENDIX A

*Defense Contract Audit Agency (cont'd)***Report No. DODIG-2017-092 (Full Report is FOUO) (cont'd)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|--|
| A.3.b | (U//FOUO) [REDACTED] | 9 months 17 days |
| A.3.c | (U//FOUO) [REDACTED] | 9 months 17 days |
| A.3.c.1 | The DoD OIG recommended that the Defense Contract Audit Agency Security Officer restrict non-security employee access to incident logs. | 9 months 17 days |
| A.3.d | The DoD OIG recommended that the Defense Contract Audit Agency Security Officer update the SF 700s with the required information and limit access to the special access programs information and safe combinations to those who are approved for access. | 9 months 17 days |
| A.3.e | The DoD OIG recommended that the Defense Contract Audit Agency Security Officer implement the use of authorized access lists and visitor logs in Defense Contract Audit Agency Field Detachment computer server rooms. | 9 months 17 days |
| A.3.f | The DoD OIG recommended that the Defense Contract Audit Agency Security Officer appoint its general special access program security officers in writing. | 9 months 17 days |
| A.3.g | (U//FOUO) [REDACTED] | 9 months 17 days |
| A.3.h | (U//FOUO) [REDACTED] | 9 months 17 days |
| (FOUO) | | |

APPENDIX A

*Defense Contract Audit Agency (cont'd)***Report No. DODIG-2017-092 (Full Report is FOUO) (cont'd)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|--|
| A.3.i | The DoD OIG recommended that the Defense Contract Audit Agency Security Officer, in coordination with the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office, develop and implement a formalized automated process to request, initiate, approve, debrief, and maintain personnel special access program accesses. | 9 months 17 days |
| A.3.i.1 | The DoD OIG recommended that the Defense Contract Audit Agency Security Officer debrief all personnel who do not have a valid need to know, are not clearly and materially contributing to the oversight of the special access program, and no longer require access to the information. | 9 months 17 days |
| A.3.i.2 | The DoD OIG recommended that the Defense Contract Audit Agency Security Officer develop and maintain a special access program master list, and provide site-specific access lists to the Field Detachment security managers. | 9 months 17 days |
| A.3.i.3 | The DoD OIG recommended that the Defense Contract Audit Agency Security Officer require security managers to destroy the old document when they receive an updated list. | 9 months 17 days |
| A.3.i.4 | The DoD OIG recommended that the Defense Contract Audit Agency Security Officer inform the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office of all updates to DoD and non-DoD customer accesses. | 9 months 17 days |
| A.3.j | The DoD OIG recommended that the Defense Contract Audit Agency Security Officer update, complete, sign, and disseminate security policies and procedures. | 9 months 17 days |
| A.3.k | The DoD OIG recommended that the Defense Contract Audit Agency Security Officer develop a separate automated accountability systems for Top Secret collateral and special access program material. The accountability system must be standardized and include the minimum required information found in the DoD Manual 5200.01, volume 1, "DoD Information Security Program, Overview, Classification, and Declassification," February 24, 2012. | 9 months 17 days |
| (FOUO) | | |

APPENDIX A

Defense Contract Audit Agency (cont'd)

Report No. DODIG-2017-092 (Full Report is FOUO) (cont'd)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|--|
| A.3.l | The DoD OIG recommended that the Defense Contract Audit Agency Security Officer work with the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office to identify and grant access to the Top Secret Control Officers, alternate Top Secret Control Officers, and the designated disinterested persons responsible for the accountability system. | 9 months 17 days |
| A.3.m | The DoD OIG recommended that the Defense Contract Audit Agency Security Officer require all Defense Contract Audit Agency personnel performing audits of classified and special access program contracts receive mandated training and track all training. | 9 months 17 days |
| A.3.n | (U//FOUO) [REDACTED] | 9 months 17 days |
| B.1.a | The DoD OIG recommended that the Defense Contract Audit Agency Director ensure special access program contract audits are included in Defense Contract Audit Agency annual planning guidance. | 9 months 17 days |
| B.1.b | The DoD OIG recommended that the Defense Contract Audit Agency Director notify all Defense Contract Audit Agency employees that the Field Detachment is responsible for performing all audit assignments involving classified and special access program contracts. | 9 months 17 days |
| B.1.c | The DoD OIG recommended that the Defense Contract Audit Agency Director establish an agency-wide process requiring auditors to review the DD Form 254, "DoD Contract Security Classification Specification," as part of the program audit plan before performing a review of the contract. | 9 months 17 days |
| B.1.d | The DoD OIG recommended that the Defense Contract Audit Agency Director, based on the results of recommendation B.1.c, notify the Field Detachment of all classified, sensitive compartmented information, and special access program related efforts. | 9 months 17 days |
| B.2.a | The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency perform an annual assessment of Field Detachment staffing and facility requirements for audit oversight of classified and special access programs operations based on established criteria. | 9 months 17 days |
| (FOUO) | | |

APPENDIX A

*Defense Contract Audit Agency (cont'd)***Report No. DODIG-2017-092 (Full Report is FOUO) (cont'd)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|--|
| B.2.a.1 | The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency perform an annual assessment of Field Detachment staffing and facility requirements for audit oversight of classified and special access programs operations based on established criteria. The criteria must include the volume of classified and special access programs workload at each site, the number of cleared personnel, and future audit requirements. | 9 months 17 days |
| B.2.a.2 | The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency perform an annual assessment of Field Detachment staffing and facility requirements for audit oversight of classified and special access programs operations based on established criteria. The group should include involvement from Defense Contract Audit Agency Security Division and staff of equivalent responsibilities and authority. | 9 months 17 days |
| B.2.a.3 | The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency perform an annual assessment of Field Detachment staffing and facility requirements for audit oversight of classified and special access programs operations based on established criteria. Identify and grant access to those Field Detachment employees designated to perform audits of classified and special access programs. | 9 months 17 days |
| B.2.b | The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency establish and implement a process for annual planning and coordination with customer program security officers and Field Detachment supervisors to identify classified and special access programs. | 9 months 17 days |
| B.2.b.1 | The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency work with Defense Contract Audit Agency Security Officer and the Under Secretary of Defense for Acquisition, Technology, and Logistics Special Access Program Central Office, to designate a group of Field Detachment leadership and branch managers, to receive access to special access programs to conduct planning and oversight. | 9 months 17 days |
| B.2.b.2 | The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency conduct annual planning to identify Field Detachment audit oversight efforts for classified and special access program projects. | 9 months 17 days |
| (FOUO) | | |

APPENDIX A

*Defense Contract Audit Agency (cont'd)***Report No. DODIG-2017-092 (Full Report is FOUO) (cont'd)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|--|
| B.2.b.3 | The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency reassess the use of regular telework schedules to ensure adequate personnel are available to audit classified and Special Action Program contracts. | 9 months 17 days |
| B.2.b.4 | The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency determine annually whether classified, sensitive compartmented information and special access programs are receiving adequate audit oversight. | 9 months 17 days |
| B.2.c | The DoD OIG recommended that the Field Detachment Regional Director for Defense Contract Audit Agency acquire and use a classified automated information system for conducting classified audit assignments and reports. | 9 months 17 days |
| (FOUO) | | |

Report No. DODIG-2018-028, "External Peer Review on the Defense Contract Audit Agency System Review Report," November 17, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|--|
| 1.c | The DoD OIG recommended that the Defense Contract Audit Agency Director assess Defense Contract Audit Agency's quality control procedures for providing reasonable assurance that auditors obtain sufficient and appropriate evidence in support of reported conclusions. As part of the assessment, Defense Contract Audit Agency should assess and improve Defense Contract Audit Agency's procedures for performing independent reference reviews to ensure adequate coverage of completed audits. Consider requiring a minimum number of additional independent reference reviews that field audit offices must perform, and monitoring field audit offices to ensure compliance with the requirements. | 4 months 14 days |

APPENDIX A

*Defense Contract Audit Agency (cont'd)***Report No. DODIG-2018-028 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|------------------------------|--|----------------------------------|
| 3.a | The DoD OIG recommended that the Defense Contract Audit Agency Director assess and improve the quality control procedures to help ensure that Defense Contract Audit Agency auditors adequately document the: 1) performance of planned audit program steps; 2) basis for judgmentally selecting transactions for testing and why the selection adequately addresses the audit objectives; and 3) criteria used in the audit. | 4 months 14 days |
| 3.b | The DoD OIG recommended that the Defense Contract Audit Agency Director consider providing comprehensive refresher training on the documentation requirements in the Government Auditing Standards. | 4 months 14 days |
| 4 | The DoD OIG recommended that the Defense Contract Audit Agency Director assess and improve the quality assurance procedures for assisting supervisors in their reviews of audits, to include ensuring that the auditor sufficiently documents the work, obtains sufficient evidence, and prepares reports that comply with Government Auditing Standards. The Director should consider requiring supervisors to complete a checklist addressing the key professional auditing standards. | 4 months 14 days |
| 5 | The DoD OIG recommended that the Defense Contract Audit Agency Director provide the audit staff with training on the requirement and expectation for exercising professional judgment and for adhering to other key Government Auditing Standards, including evidence, planning, reporting, and documentation. | 4 months 14 days |
| 1.a-f (Letter of Concern) | The DoD OIG recommended that the Defense Contract Audit Agency Director assess and implement actions to improve the quality control procedures to help ensure that auditors adequately document: a) updates or changes in conditions that impact the scope of audit and assessed risk; b) the rationale for significant judgments or conclusions reached; c) the development of reported findings; d) the audit criteria tested; e) the testing performed to verify compliance with the audit criteria; and f) working paper references to supporting documents. | 4 months 14 days |
| 2 (Letter of Concern) | The DoD OIG recommended that the Defense Contract Audit Agency Director emphasize to auditors the need to report important information to the contracting officer and contractor, in accordance with the reporting requirements of Government Auditing Standard, Chapter 5, and agency policy. | 4 months 14 days |

APPENDIX A

*Defense Contract Audit Agency (cont'd)***Report No. DODIG-2018-028 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|------------------------------|--|----------------------------------|
| 3.a-b (Letter of Concern) | The DoD OIG recommended that the Defense Contract Audit Agency Director consider: a) providing training to highlight the need to plan steps for addressing the modified reporting criteria, and b) focusing on testing for compliance with the modified reporting criteria in future Defense Contract Audit Agency quality reviews. | 4 months 14 days |
| 4.a-b (Letter of Concern) | The DoD OIG recommended that the Defense Contract Audit Agency Director should: a) remind employees of the critical need for all audit staff to assess their independence in accordance with Government Auditing Standard 3.02 and to complete the Independence Determination form in accordance with agency policy, and b) improve the quality controls for ensuring that each audit team member signs the Independence Determination before starting work on an audit. | 4 months 14 days |
| 5 (Letter of Concern) | The DoD OIG recommended that the Defense Contract Audit Agency Director reemphasize the agency's policy for canceled assignments to ensure the audit staff appropriately coordinates with the contractor and contracting officer on the cancelation. | 4 months 14 days |

APPENDIX A

Defense Security Cooperation Agency

Report No. DODIG-2015-177, "Assessment of DoD/USCENTCOM and Coalition Plans/Efforts to Train, Advise, and Assist the Iraqi Army to Defeat the Islamic State of Iraq and the Levant," September 30, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the Defense Security Cooperation Agency Director, in coordination with the geographic combatant commands and the implementing agencies, establish, via a written internal/external standard operating procedure, a formal quality assurance review process that identifies process errors omissions during each phase of the pseudo-foreign military sales equipment supply/procurement process. | 2 years 6 months 1 day |

Report No. DODIG-2016-064, "Other Defense Organizations and Defense Finance and Accounting Service Controls Over High-Risk Transactions Were Not Effective," March 28, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2 | The DoD OIG recommended that the Directors, Other Defense Organizations and Defense Finance and Accounting Service, revise service-level agreements based on the end-to-end business process identified. Service-level agreements should include clearly defined roles and responsibilities, internal controls, performance metrics, and quality assurance plans to ensure that detail-level data for problem disbursements are provided, and problem disbursements are reduced and corrected in a timely manner. | 2 years 3 days |

APPENDIX A

Defense Security Cooperation Agency (cont'd)

Report No. DODIG-2017-056, "U.S. European Command Needs to Improve Oversight of the Golden Sentry Program," February 17, 2017
(Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.a-b | The DoD OIG recommended that the Principal Director, Security Assistance and Equipping Directorate, Defense Security Cooperation Agency: a) update the security checklists to include instructions on when, where and how the checklists should be used; who should use the checklists; and how that person should verify the recipient country complied with the security checklists requirements; and b) update the Defense Institute of Security Cooperation Studies' Security Cooperation Management Overseas training course to include training that addresses the use of security checklists and demonstrates how the Security Cooperation Organization's Golden Sentry Program Managers verify the recipient country complied with the security checklist requirements. | 1 year 1 month 14 days |

APPENDIX A

Missile Defense Agency

Report No. DODIG-2017-076, “The Missile Defense Agency Can Improve Supply Chain Security for the Ground-Based Midcourse Defense System,” April 27, 2017 (Full Report is FOUO)

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|--|
| 1.a.1 | The DoD OIG recommended that the Missile Defense Agency Director develop a plan of action with milestones for the Ground-based Midcourse Defense System to comply with DoD Instruction 5200.44. The plan should establish controls and oversight and require Missile Defense Agency personnel to develop internal procedures or establish contract requirements to improve the accuracy of the critical components list to manage risks to the Ground-based Midcourse Defense System throughout its life cycle and require identification of all critical logic-bearing hardware components and critical software and firmware. | 11 months 4 days |
| 1.a.2 | The DoD OIG recommended that the Missile Defense Agency Director develop a plan of action with milestones for the Ground-based Midcourse Defense System to comply with DoD Instruction 5200.44. The plan should establish controls and oversight and require Missile Defense Agency personnel to develop internal procedures or establish contract requirements to improve the accuracy of the critical components list to manage risks to the Ground-based Midcourse Defense System throughout its life cycle and require periodic updates to the critical components list to reflect changes in mission critical parts lists, such as the As Designed Parts, Materials, and Processes List. The updates should be tied to system engineering technical reviews or similar events. | 11 months 4 days |
| 1.a.3 | (FOUO) The DoD OIG recommended that the Missile Defense Agency Director develop a plan of action with milestones for the Ground-based Midcourse Defense System to comply with DoD Instruction 5200.44. The plan should establish controls and oversight and require Missile Defense Agency personnel to develop internal procedures or establish contract requirements to improve the accuracy of the critical components list to manage risks to the Ground-based Midcourse Defense System throughout its life cycle and require submitting only criticality level I and II components and prioritizing them when requesting supplier threat assessment from the [REDACTED]. Include all information needed by the [REDACTED] to conduct the supplier threat assessments. | 11 months 4 days |
| (FOUO) | | |

APPENDIX A

*Missile Defense Agency (cont'd)***Report No. DODIG-2017-076 (Full Report is FOUO) (cont'd)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|--|--|
| 1.b | The DoD OIG recommended that the Missile Defense Agency Director develop a plan of action with milestones for the Ground-based Midcourse Defense System to comply with DoD Instruction 5200.44. The plan should establish controls and oversight and require Missile Defense Agency personnel to develop internal procedures or establish contract requirements to improve the identification of suppliers of all critical components for the Ground-based Midcourse Defense System and establish a methodology to trace critical hardware, software, and firmware to their suppliers down to the lowest possible tier of the supply chain and retention of supporting purchase order data. | 11 months 4 days |
| 1.c.1 | The DoD OIG recommended that the Missile Defense Agency Director develop a plan of action with milestones for the Ground-based Midcourse Defense System to comply with DoD Instruction 5200.44. The plan should establish controls and oversight and require Missile Defense Agency personnel to develop internal procedures or establish contract requirements to use rigorous test and evaluation capabilities, including developmental, acceptance, and operational testing for malicious threats, to detect vulnerabilities within critical components of the Ground-based Midcourse Defense System and require implementation of all supply chain risk management-related requirements from the Missile Defense Agency Parts, Materials, and Processes Mission Assurance Plan (Revision B). | 11 months 4 days |
| 1.c.2 | The DoD OIG recommended that the Missile Defense Agency Director develop a plan of action with milestones for the Ground-based Midcourse Defense System to comply with DoD Instruction 5200.44. The plan should establish controls and oversight and require Missile Defense Agency personnel to develop internal procedures or establish contract requirements to use rigorous test and evaluation capabilities, including developmental, acceptance, and operational testing for malicious threats, to detect vulnerabilities within critical components of the Ground-based Midcourse Defense System and require implementation of the supply chain risk management requirements set forth by Missile Defense Agency Policy Memorandum Number 70. | 11 months 4 days |
| (FOUO) | | |

APPENDIX A

*Missile Defense Agency (cont'd)***Report No. DODIG-2017-076 (Full Report is FOUO) (cont'd)**

| (FOUO) Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|--------------------------|---|--|
| 1.c.3 | The DoD OIG recommended that the Director, Missile Defense Agency, develop a plan of action with milestones, for the Ground-based Midcourse Defense System to comply with DoD Instruction 5200.44. The plan should establish controls and oversight and require Missile Defense Agency personnel to develop internal procedures or establish contract requirements to use rigorous test and evaluation capabilities, including developmental, acceptance, and operational testing for malicious threats, to detect vulnerabilities within critical components of the Ground-based Midcourse Defense System and require establishment of verification and validation procedures for critical hardware, software, and firmware for the Ground-based Midcourse Defense System. | 11 months 4 days |
| (FOUO) | | |

Report No. DODIG-2018-094, “Logical and Physical Access Controls at Missile Defense Agency Contractor Locations,” March 29, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|--|
| 1 | The DoD OIG recommended that the Missile Defense Agency Director for Acquisition revise acquisition strategies for contract proposals involving ballistic missile defense system technical information to require contract offerors to submit a system security plan and associated plans of action that shows the condition of an offeror's internal information system and network that will process, store, and transmit classified and unclassified ballistic missile defense system technical information. | 2 days |
| 2 | The DoD OIG recommended that the Missile Defense Agency Director for Acquisition establish a separate technical evaluation factor in the source selection process to evaluate whether an offeror's approach to securing its networks and systems complied with Defense Federal Acquisition Regulation Supplement clause 252.204-7012. | 2 days |

APPENDIX A

*Missile Defense Agency (cont'd)***Report No. DODIG-2018-094 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3 | The DoD OIG recommended that the Missile Defense Agency Director for Acquisition conduct risk assessments prior to awarding contracts to evaluate the overall risk introduced by the condition of an offeror's information system and network that will process, store, and transmit ballistic missile defense system technical information and perform periodic risk assessments throughout the lifecycle of the contract. | 2 days |
| 4 | The DoD OIG recommended that the Missile Defense Agency Director for Acquisition include penalty clauses in awarded contracts to levy monetary sanctions on contractors that fail to implement physical and logical security controls for protecting classified and unclassified ballistic missile defense system technical information. | 2 days |
| 5 | The DoD OIG recommended that the Missile Defense Agency Director for Acquisition provide oversight to ensure that contractors comply with the National Institute of Standards and Technology requirements for protecting controlled unclassified information throughout the lifecycle of the contract. | 2 days |
| 6 | The DoD OIG recommended that the Missile Defense Agency Director for Acquisition take corrective actions against contractors that failed to meet the National Institute of Standards and Technology and DoD requirements for protecting classified and unclassified ballistic missile defense system technical information. | 2 days |

APPENDIX A

*Defense Commissary Agency***Report No. DODIG-2017-060, “Defense Commissary Agency Purchases of Fresh Produce in Guam,” February 28, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Director, Defense Commissary Agency, reevaluate transportation options to address the price increase of bagged salads at the Guam commissaries. | 1 year 1 month 3 days |
| 2 | The DoD OIG recommended that the Director, Defense Commissary Agency, require Guam commissary produce personnel to document quality problems with fresh produce in commissary display areas and identify whether problems were related to ordering, product rotation, or receiving. | 1 year 1 month 3 days |

Report No. DODIG-2018-072, “Defense Commissary Agency’s Purchases of Fresh Produce for Japan and South Korea,” February 12, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Defense Commissary Agency Director conduct a business case analysis or detailed market research on the Pacific fresh produce purchase process to identify potential opportunities to lower fresh produce prices and improve produce quality for customers. | 1 month 19 days |

APPENDIX A

Defense Commissary Agency (cont'd)

**Report No. DODIG-2018-078, “Defense Commissary Agency Oversight of Fresh Produce Contracts in Japan and South Korea,”
February 22, 2018**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Defense Commissary Agency Director develop policies and procedures defining roles and responsibilities regarding contract quality assurance and surveillance on the Japan and South Korea produce contracts. The policies and procedures should provide guidance on how Defense Commissary Agency personnel should oversee and verify the surveys, and calculate and verify contract fill rates before the information is used for contract performance evaluation. | 1 month 9 days |
| 1.b | The DoD OIG recommended that the Defense Commissary Agency Director develop training for personnel on contract quality assurance and surveillance on the Japan and South Korea produce contracts. The training should include how to oversee the surveys and how to calculate contract fill rates. | 1 month 9 days |
| 1.c | The DoD OIG recommended that the Defense Commissary Agency Director require Defense Commissary Agency personnel to review and verify credit information for all produce inspection worksheets previously submitted to support all vouchers that have been paid on the Japan and South Korea contracts, since the original award in July 2015. If Defense Commissary Agency personnel find incorrect credit information and incorrect voucher amounts that were paid, they should fix the under or overstated amount paid. The Defense Commissary Agency Director should provide the results of the review to the DoD OIG. | 1 month 9 days |
| 1.d | The DoD OIG recommended that the Defense Commissary Agency Director develop policies and procedures which require Defense Commissary Agency personnel to review and verify the accuracy of all future produce inspection worksheets—including the case price, pack size, cases received, units received, percent case credit, amount to be credited, and total credit-before processing vouchers for payment. | 1 month 9 days |

APPENDIX A

Defense Finance and Accounting Service

Report No. DODIG-2012-107, “Defense Finance and Accounting Service Needs to Improve the Process for Reconciling the Other Defense Organizations’ Fund Balance with Treasury,” July 9, 2012

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1.a | The DoD OIG recommended that the Director, Defense Finance and Accounting Service–Indianapolis, develop a systems infrastructure that will allow personnel to readily retrieve the detailed transactions supporting all open appropriations that the Accounts Maintenance and Control branch is responsible for accounting for and reconciling on the Cash Management Report. | 5 years 8 months 22 days |

Report No. DODIG-2016-103, “Improvements Needed in Managing Army Suspense Accounts,” June 27, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2 | The DoD OIG recommended that the Director, Defense Finance and Accounting Service–Indianapolis, in coordination with Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and other Defense Finance and Accounting Service personnel request, as necessary, from Office of Management and Budget and the Department of the Treasury, approval to establish special and deposit fund accounts. | 1 year 9 months 4 days |
| B.3 | The DoD OIG recommended that the Director, Defense Finance and Accounting Service–Indianapolis, in coordination with Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, and other Defense Finance and Accounting Service personnel revise the DoD Financial Management Regulation to reflect the changes in how the special fund and deposit fund accounts are to be used. | 1 year 9 months 4 days |

APPENDIX A

Defense Finance and Accounting Service (cont'd)

Report No. DODIG-2016-126, "Improvements Needed In Managing the Other Defense Organizations' Suspense Accounts," August 25, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.c | The DoD OIG recommended that the Directors, Defense Finance and Accounting Service—Indianapolis and—Columbus develop an estimate using relevant, sufficient, and reliable information to record the consolidated Other Defense Organizations' suspense account balances on the individual Other Defense Organizations' financial statements. | 1 year 7 months 6 days |
| B.1.a | The DoD OIG recommended that the Directors, Defense Finance and Accounting Service—Indianapolis and —Columbus, in coordination with the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise the DoD Financial Management Regulation so that it is consistent with the Treasury Financial Manual and Office of Management and Budget guidance, and it instructs agencies on how to properly account for revenue-generating, Thrift Savings Plan, and tax transactions. | 1 year 7 months 6 days |
| B.1.b | The DoD OIG recommended that the Directors, Defense Finance and Accounting Service—Indianapolis and Columbus, in coordination with the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, establish, in coordination with the Office of Management and Budget and the U.S. Treasury, special fund accounts for recording and reporting the revenue-generating transactions and a deposit fund account for properly recording Thrift Savings Plan transactions. | 1 year 7 months 6 days |

APPENDIX A

Defense Finance and Accounting Service (cont'd)

Report No. DODIG-2017-015, "Application Level General Controls for the Defense Cash Accountability System Need Improvement," November 10, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.c.1 | The DoD OIG recommended that the Director of Business Enterprise Information Services and Other Systems, Defense Finance and Accounting Service, develop and implement procedures to require Information System Security Officers to comply with the certification requirements established in DoD Manual 8570.01-M, "Information Assurance Workforce Improvement Program." | 1 year 4 months 21 days |
| B.1.b | The DoD OIG recommended that the Director of Business Enterprise Information Services and Other Systems, Defense Finance and Accounting Service, train supervisors, Information Owners and their representatives, and Center Administrators to validate that each System Authorization Access Request is complete and requested access levels to perform sensitive activities are appropriate before signing the System Authorization Access Request and authorizing each user account. | 1 year 4 months 21 days |
| C.1.b | The DoD OIG recommended that the Director of Business Enterprise Information Services and Other Systems, Defense Finance and Accounting Service, develop and implement processes to incorporate lessons learned from the Information Security Contingency Plan after action report into the Defense Cash Accountability System Information Security Contingency Plan in a timely manner. | 1 year 4 months 21 days |
| D.1.a.2 | The DoD OIG recommended that the Director of Business Enterprise Information Services and Other Systems, Defense Finance and Accounting Service, validate that only authorized changes, including all configuration items, are approved and moved to the Defense Cash Accountability System production environment. | 1 year 4 months 21 days |
| D.1.a.4 | The DoD OIG recommended that the Director of Business Enterprise Information Services and Other Systems, Defense Finance and Accounting Service, verify changes made by the Table Administrators to the Defense Cash Accountability System Master Data Tables are authorized, tested, approved, monitored and tracked. Additionally, the procedures should document how to store and maintain the configuration changes and backups for historical purposes. In addition, the audit logs should include all elements defined by the access control policy that include which table was updated, the date and time of the update, the values that were changed, and the identification of the Table Administrator that performed the change. | 1 year 4 months 21 days |

APPENDIX A

*Defense Finance and Accounting Service (cont'd)***Report No. DODIG-2017-019, "Improvements Needed in Managing Air Force Suspense Accounts," November 10, 2016**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.b | The DoD OIG recommended that the Director, Defense Finance and Accounting Service–Columbus, implement a formal process to track, monitor, age, and resolve Air Force suspense account transactions to ensure that the transactions are cleared within 60 business days. | 1 year 4 months 21 days |
| B.1.a | The DoD OIG recommended that the Director, Defense Finance and Accounting Service–Columbus, in coordination with the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, revise the DoD Financial Management Regulation to be consistent with the Treasury Financial Manual and Office of Management and Budget guidance to account for the revenue-generating programs, Uniformed Services Thrift Savings Plan contributions and payroll tax withholdings. | 1 year 4 months 21 days |
| B.1.b | The DoD OIG recommended that the Director, Defense Finance and Accounting Service–Columbus, record revenue-generating-programs in a special fund account and record payroll tax withholdings and Uniformed Services Thrift Savings Plan contributions as deposit fund accounts to properly report the balances on the correct line of the Air Force General Fund Financial Statements. | 1 year 4 months 21 days |

Report No. DODIG-2017-069, "Ineffective Fund Balance With Treasury Reconciliation Process for Army General Fund," March 23, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 1 | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and Director, Defense Finance and Accounting Service–Indianapolis, reengineer their reconciliation process to meet the 10-workday deadline or coordinate with the Under Secretary of Defense (Comptroller)/Chief Financial Officer, DoD, to determine whether DoD Regulation 7000.14-R, "DoD Financial Management Regulation," volume 4, chapter 2, needs to be revised to increase the number of days for the reconciliation process. | 1 year 8 days |

APPENDIX A

*Defense Finance and Accounting Service (cont'd)***Report No. DODIG-2017-069 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.a-d | The DoD OIG recommended that the Assistant Secretary of the Army (Financial Management and Comptroller) and Director, Defense Finance and Accounting Service–Indianapolis, reengineer the Fund Balance With Treasury reconciliation process for the Army General Fund to: a) correct system deficiencies known to cause Fund Balance With Treasury differences; b) research and resolve all differences within 60 days, as required by DoD Financial Management Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 4, chapter 2; c) document the Defense Departmental Reporting System–Budgetary business rules that create the system-generated adjustments; d) support all Fund Balance With Treasury adjustments to the Army General Fund with transaction-level detail, as required by DoD Financial Management Regulation 7000.14-R, “DoD Financial Management Regulation,” volume 6a, chapter 2; and e) establish and properly document the cause of the difference requiring adjustment to comply with the Treasury Financial Manual. | 1 year 8 days |

Report No. DODIG-2018-041, “The Defense Finance and Accounting Service Financial Reporting Process for Other Defense Organizations’ General Funds,” December 15, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 2.a | The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director develop a process narrative and process map that describes the detailed processes for the Other Defense Organizations’ General Fund compilation process. | 3 months 16 days |
| 2.b.1 | The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director develop adequate standard operating procedures that provide the steps necessary to compile the Other Defense Organizations’ General Fund financial statements. | 3 months 16 days |

APPENDIX A

*Defense Finance and Accounting Service (cont'd)***Report No. DODIG-2018-041 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.b.2 | The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director develop adequate standard operating procedures that provide the steps necessary to reconcile the list of Other Defense Organizations’ General Fund reporting entities and their sub-entities to the Defense Finance and Accounting Service Report 804E, “Entity Code Listing and Supplemental Line of Accounting,” and Office of the Under Secretary of Defense (Comptroller)/Deputy Chief Financial Officer Financial Improvement and Audit Readiness Directorate listing. | 3 months 16 days |
| 2.b.3 | The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director develop adequate standard operating procedures that provide the steps necessary to prepare Other Defense Organizations’ General Fund financial statements (combining and consolidating) that reflect the current Other Defense Organizations General Funds’ reporting entities. | 3 months 16 days |
| 2.c | The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director develop a plan to reduce the number of the journal vouchers needed to compile the Other Defense Organizations’ General Fund financial statements. | 3 months 16 days |
| 2.d.3 | The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director revise Defense Finance and Accounting Service–Indianapolis Standard Operating Procedure 3057, “Journal Voucher Processing, Accountability, and Controls,” May 29, 2015, to include adequate procedures that complete and sign checklists for journal voucher reviews. | 3 months 16 days |
| 2.d.4 | The DoD OIG recommended that the Defense Finance and Accounting Service–Indianapolis Director revise Defense Finance and Accounting Service–Indianapolis Standard Operating Procedure 3057, “Journal Voucher Processing, Accountability, and Controls,” May 29, 2015, to include adequate procedures that require preparers, reviewers, and approvers sign journal vouchers in the proper sequence. | 3 months 16 days |
| 3.a | The DoD OIG recommended that the Defense Finance and Accounting Service Enterprise Solutions and Standards Director categorize the system-generated journal vouchers in accordance with DoD Financial Management Regulation, volume 6A, chapter 2, section 020208, “Journal Voucher (JV) Preparation.” | 3 months 16 days |

APPENDIX A

*Defense Finance and Accounting Service (cont'd)***Report No. DODIG-2018-041 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 3.b | The DoD OIG recommended that the Defense Finance and Accounting Service Enterprise Solutions and Standards Director prepare quarterly metric reports that include all the journal vouchers, including system-generated journal vouchers, made to compile the Other Defense Organizations General Funds' financial statements. | 3 months 16 days |
| 3.c | The DoD OIG recommended that the Defense Finance and Accounting Service Enterprise Solutions and Standards Director monitor the status of the open recommendation and, when appropriate, expedite the implementation of the last phase of the Department 97 Reconciliation and Reporting Tool and develop milestones for its implementation. | 3 months 16 days |

Report No. DODIG-2018-082, "External Peer Review of the Defense Finance and Accounting Service Internal Review Organization,"
March 6, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 5 | The DoD OIG recommended that the Defense Finance and Accounting Service Internal Review Director modify Defense Finance and Accounting Service Internal Review Audit Manual 1.43 to require that supervisors document their justification in the project for approving working papers after a report has been issued. | 25 days |

APPENDIX A

*Defense Finance and Accounting Service (cont'd)***Report No. DODIG-2018-099, "Army Internal Controls Over Foreign Currency Accounts and Payments," March 29, 2018**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.4 | The DoD OIG recommended that the 176th Financial Management Support Unit Commander, U.S. Army Garrison Yongsan, South Korea; Defense Finance and Accounting Service–Japan Director; and U.S. Army Corps of Engineers Finance Center Director develop local procedures to comply with revised guidance identified in Recommendation B.1, when issued by the Under Secretary of Defense (Comptroller), Office of the Deputy Chief Financial Officer. | 2 days |

APPENDIX A

National Geospatial-Intelligence Agency

**Report No. DODIG-2014-060, “An Assessment of Contractor Personnel Security Clearance Processes in the Four Defense Intelligence Agencies,”
April 14, 2014**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2.a | The DoD OIG recommended that the Directors of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, in the absence of an overarching Defense Central Index of Investigations (DCII) policy, evaluate titling and indexing in the DCII the Subjects of all non-criminal investigations conducted by all agency investigative elements. | 3 years 11 months 17 days |
| B.2.b | The DoD OIG recommended that the Directors of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, direct their Offices of Security to develop formal procedures to ensure that reports of investigation into misconduct by contractor personnel are reported to DoD Consolidated Adjudication Facility. | 3 years 11 months 17 days |
| B.2.c | The DoD OIG recommended that the Directors of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, ensure that controls are in place to ensure that favorable personnel security adjudicative determinations made with conditions, deviations, or waivers are documented in the “Exception Information” block of the Subject’s SCATTERED CASTLES file. | 3 years 11 months 17 days |
| B.3 | The DoD OIG recommended that the Inspectors General of Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency, work with the Offices of Security of those Agencies to ensure that Inspector General reports of investigation into misconduct by contractor personnel are reported to DoD Consolidated Adjudication Facility. | 3 years 11 months 17 days |

APPENDIX A

National Geospatial-Intelligence Agency (cont'd)

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| H.1.a | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to immediately perform a comprehensive review of all their criminal investigative databases and files to ensure all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses at least to 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and FBI requirements. | 3 months 27 days |
| H.1.b | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that supervisory and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is regularly inspected. | 3 months 27 days |
| H.1.c | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to conduct a comprehensive review of their criminal history reporting programs to ensure all fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11, and have been implemented. | 3 months 27 days |
| H.1.d | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and deoxyribonucleic acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases. | 3 months 27 days |

APPENDIX A

Defense Contract Management Agency

Report No. DODIG-2014-077, “Hotline Complaint Regarding the Settlement of the Pratt & Whitney Commercial Engine Cost Accounting Standards Case,” May 30, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| F.5 | The DoD OIG recommended that the Defense Contract Management Agency Director follow the procedures in Federal Acquisition Regulation clause 30.605, paragraphs (c) through (h), to correct the noncompliant cost accounting practice in a timely manner and ensure that (i) the cost accounting practice used by Pratt include the actual cost of collaboration parts in the allocation base used to allocate material overhead costs to U.S. Government contracts in accordance with the rules and regulations established by the Cost Accounting Standards Board, and (ii) the U.S. Government recovers any increased costs paid to Pratt since 2005 and resulting from the contractor’s use of a cost accounting practice determined by DCMA to be noncompliant with CAS 418 on June 5, 2006. | 3 years 10 months 1 day |

Report No. DODIG-2015-006, “Policy Changes Needed at Defense Contract Management Agency to Ensure Forward Pricing Rates Result in Fair and Reasonable Contract Pricing,” October 9, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A | The DoD OIG recommended that the Director, Defense Contract Management Agency, provide training to the administrative contracting officer community on the use of cost analysis to determine fair and reasonable Forward Pricing Rate Recommendation and Forward Pricing Rate Agreement rates. | 3 years 5 months 22 days |
| B.1.a | The DoD OIG recommended that the Director, Defense Contract Management Agency, evaluate DCMA Instruction 130, “Forward Pricing Rates,” and, where applicable, revise it to address the Federal Acquisition Regulation 15.404-2(a)(1) requirement that the administrative contracting officer shall tailor the request for audit services to reflect the minimum essential supplementary information needed to conduct a cost analysis. | 3 years 5 months 22 days |

APPENDIX A

*Defense Contract Management Agency (cont'd)***Report No. DODIG-2015-006 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2 | The DoD OIG recommended that the Director, Defense Contract Management Agency, provide training to the administrative contracting officer community on the Federal Acquisition Regulation requirement to tailor the request for audit services. | 3 years 5 months 22 days |
| C.2 | The DoD OIG recommended that the Director, Defense Contract Management Agency, provide training to the administrative contracting officer community on: 1) the need to document in the contract case file the cost analysis performed and the data and information related to the contracting officer's determination of fair and reasonable Forward Pricing Rate Recommendation and Forward Pricing Rate Agreement rates; 2) the use of the revised Pre-negotiation Memorandum template; and 3) any revisions made to DCMA Instruction 809, "Records Management," to ensure the Government contract case file is sufficient to constitute a complete history of an FPRR or FPRA transaction. | 3 years 5 months 22 days |

Report No. DODIG-2016-005, "Follow up on the Actions to Improve the Defense Contract Management Agency's Cost Analysis Function,"
October 29, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.2 | The DoD OIG recommended that the Director, Defense Contract Management Agency, improve the Defense Contract Management Agency oversight of the cost analysis function by: a) assessing the sufficiency of the cost analysis procedures performed; b) listing all applicable Federal Acquisition Regulation criteria in its guide for determining the adequacy of the cost analysis; and c) implementing a mechanism to address and correct agency-wide issues identified during internal reviews. | 2 years 5 months 2 days |
| C.1 | The DoD OIG recommended that the Director, Defense Contract Management Agency, implement corrective actions to enable the reliable reporting of all significant activity performed by the Defense Contract Management Agency price/cost analyst. | 2 years 5 months 2 days |

APPENDIX A

*Defense Contract Management Agency (cont'd)***Report No. DODIG-2016-005 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| C.2 | The DoD OIG recommended that the Director, Defense Contract Management Agency, implement the Price & Negotiation eTool corrective actions items 1 through 8 identified on Defense Contract Management Agency's original Execution Plan. | 2 years 5 months 2 days |

Report No. DODIG-2016-084, "Evaluation of DoD Ammunition Data Cards," April 29, 2016 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| 5 | The DoD OIG recommended that the Defense Contract Management Agency ensure that Military Standard-1168 B and C requirements are incorporated in the Quality Assurance Surveillance Plan for the ammunition data card process. | 1 year 11 months 2 days |
| 6 | The DoD OIG recommended that the Defense Contract Management Agency, for manufacturing facilities with Defense Contract Management Agency onsite Government representatives, develop methods to verify that the data for all components on the ammunition data cards comply with Military Standard-1168, the Ammunition-Data Repository Program User Manual, and contractual requirements. | 1 year 11 months 2 days |
| 7 | The DoD OIG recommended that the Defense Contract Management Agency train DCMA onsite Government representatives to improve oversight of the ammunition data card approval process. Train DCMA onsite Government representatives on Military Standard-1168C requirements. | 1 year 11 months 2 days |

APPENDIX A

*Defense Contract Management Agency (cont'd)***Report No. DODIG-2016-091, "Evaluation of the Accuracy of Data in the DoD Contract Audit Follow-Up System," May 13, 2016**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 3.b | The DoD OIG recommended that the Director, Defense Contract Management Agency, modify Agency procedures and related internal controls to help ensure that contracting officers complete their required actions on all Defense Contract Audit Agency findings before they record the audit report as dispositioned in the Contract Audit Follow-up System. | 1 year 10 months 18 days |

Report No. DODIG-2017-032, "Evaluation of Contracting Officer Actions on Cost Accounting Standard Noncompliance's Reported by Defense Contract Audit Agency," December 8, 2016

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B | The DoD OIG recommended that the Director, Defense Contract Management Agency, develop effective controls for helping to ensure that contracting officers adequately document materiality determinations and include rationale when they conclude that a cost accounting standard noncompliance is immaterial. | 1 year 3 months 23 days |
| C.1 | The DoD OIG recommended that the Director, Defense Contract Management Agency, remind contracting officers that they must obtain a legal review and management approval in accordance with DoD Instruction 7640.02 and Defense Contract Management Agency Instructions 108, 126, and 134. | 1 year 3 months 23 days |
| C.2 | The DoD OIG recommended that the Director, Defense Contract Management Agency, review the appropriateness of the delegation allowed by the April 12, 2012, memorandum and take any necessary actions to eliminate the inconsistency with Defense Contract Management Agency Instruction 126. | 1 year 3 months 23 days |

APPENDIX A

Defense Contract Management Agency (cont'd)

Report No. DODIG-2017-055, "Evaluation of Defense Contract Management Agency Contracting Officer Actions on Defense Contract Audit Agency Incurred Cost Audit Reports," February 9, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.a-b | The DoD OIG recommended that the Director, Defense Contract Management Agency, request the six Defense Contract Management Agency contracting officers with negotiation authority to: a) take appropriate action on the Defense Contract Audit Agency reported questioned direct costs of \$297.6 million; and b) document the action in a post-negotiation memorandum, as DoD Instruction 7640.02 requires. | 1 year 1 month 22 days |
| A.2.a-b | The DoD OIG recommended that the Director, Defense Contract Management Agency, direct the two remaining Defense Contract Management Agency contracting officers to: a) coordinate with the other DoD Component contracting officers having authority to negotiate the reported questioned direct costs of \$7.2 million; and b) incorporate the negotiation results from the other DoD Components in a post-negotiation memorandum, as DoD Instruction 7640.02 requires. | 1 year 1 month 22 days |
| B.1.a | The DoD OIG recommended that the Director, Defense Contract Management Agency, request that the cognizant contracting officers assess penalties (including interest) or, if appropriate, waive them in accordance with Federal Acquisition Regulation 42.709 for the sustained questioned costs reported as expressly unallowable in the seven Defense Contract Audit Agency reports. | 1 year 1 month 22 days |
| B.1.b | The DoD OIG recommended that the Director, Defense Contract Management Agency, consider educational or corrective opportunities for each of the contracting officers that did not assess penalties or appropriately waive them to ensure the contracting officers are aware of their responsibilities for complying with Federal Acquisition Regulation 42.709. | 1 year 1 month 22 days |
| B.1.c | The DoD OIG recommended that the Director, Defense Contract Management Agency, improve the management review of contracting officer actions to better ensure contracting officers assess penalties for expressly unallowable costs or document a waiver of penalties that complies with Federal Acquisition Regulation 42.709-5. | 1 year 1 month 22 days |
| C.1 | The DoD OIG recommended that the Director, Defense Contract Management Agency, improve controls for ensuring the completeness and accuracy of negotiation documents in accordance with Federal Acquisition Regulation 42.705-1(b)(5), DoD Instruction 7640.02, and the Defense Contract Management Agency Instruction 125. | 1 year 1 month 22 days |

APPENDIX A

*Defense Contract Management Agency (cont'd)***Report No. DODIG-2017-055 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| C.2.a-c | The DoD OIG recommended that the Director, Defense Contract Management Agency, provide refresher training to the contracting officers emphasizing DoD Instruction 7640.02, Defense Contract Management Agency Instruction requirements to: a) address and document all audit findings, including any questioned direct costs, on the negotiation memorandum; b) maintain evidence demonstrating that the contracting officer appropriately distributed negotiation documents, including the indirect cost rate agreement and negotiation memorandum; and c) consider additional educational or corrective opportunities for those contracting officers that did not prepare adequate negotiation documents or distribute them in accordance with Federal Acquisition Regulation 42.706. | 1 year 1 month 22 days |
| D.1.a-c | The DoD OIG recommended that the Director, Defense Contract Management Agency, request that the contracting officers assigned to Audit Report Numbers 6281-2005G10100001, 3321-2009K10100002 and 4531-2007K10100001: a) determine the actions they should take to appropriately disposition the audit findings; b) document the actions taken to achieve disposition at least monthly; and c) document the disposition of the audit findings in a negotiation memorandum. | 1 year 1 month 22 days |
| D.2 | The DoD OIG recommended that the Director, Defense Contract Management Agency, provide refresher training emphasizing the requirement for the Defense Contract Management Agency contracting officers to adequately update the contract audit follow-up record on a monthly basis with the cause for the delay and actions taken to achieve a timely resolution or disposition. | 1 year 1 month 22 days |
| E.1.a-d | The DoD OIG recommended that the Director, Defense Contract Management Agency, remove the disposition date entered in the Contract Audit Follow-up System for the following audit reports and reinstate the reports as unresolved until the contracting officer resolves and dispositions the questioned direct costs: a) Audit Report No. 2161-2007T10100001; b) Audit Report No. 3161-2007F10100001; c) Audit Report No. 3181-2009D10100001; and d) Audit Report No. 6271-2003A10100103. | 1 year 1 month 22 days |
| E.2.a-b | The DoD OIG recommended that the Director, Defense Contract Management Agency, remove the resolution date entered in the Contract Audit Follow-up System for the following audit reports and change their status to Defer while the audit issues are before the U.S. Court of Federal Claims or Armed Services Board of Contract Appeals: a) Audit Report No. 1271-2007D10100003 and b) Audit Report No. 2801-2008B10100101. | 1 year 1 month 22 days |

APPENDIX A

Defense Contract Management Agency (cont'd)

Report No. DODIG-2018-045, "Evaluation of the Evolved Expendable Launch Vehicle Program Quality Management System,"
December 15, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 1 | The DoD OIG recommended that the Evolved Expendable Launch Vehicle System Program Office Director and the Defense Contract Management Agency Director conduct a root cause analysis and implement corrective actions for the 181 nonconformities identified during our evaluation and provide the DoD OIG a copy of the root cause analysis and corrective action plan within 90 days of the issuance of this report. | 3 months 16 days |
| 2 | The DoD OIG recommended that the Evolved Expendable Launch Vehicle (EELV) System Program Office Director and the Defense Contract Management Agency Director develop a corrective action plan to improve EELV quality assurance management to ensure that the EELV contractors comply with all AS9100C requirements and provide the DoD OIG a copy of the corrective action plan within 90 days of the issuance of this report. | 3 months 16 days |

APPENDIX A

Pentagon Force Protection Agency

Report No. DODIG-2018-071, "Evaluation of the Pentagon Force Protection Agency's Critical Law Enforcement Programs," February 14, 2018

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.2.a | The DoD OIG recommended that the Pentagon Force Protection Agency Director revise Pentagon Force Protection Agency policies to align with DoD Instruction 5505.18, which requires criminal investigators to receive specialized training before responding to, or acting in a supporting investigative role during investigations of, sexual assault allegations. | 1 month 17 days |
| A.2.b | The DoD OIG recommended that the Pentagon Force Protection Agency Director direct compliance with DoD Instruction 5505.07, "Titling and Indexing Subjects of Criminal Investigations in the Department of Defense," January 27, 2012; DoDI 5505.11, "Fingerprint Card and Final Disposition Report Submission Requirements," July 21, 2014; DoDI 5505.14, "Deoxyribonucleic Acid (DNA) Collection Requirements for Criminal Investigations, Law Enforcement, Corrections, and Commanders," December 22, 2015; and DoDI 7730.47, "Defense Incident-Based Reporting System (DIBRS)," January 23, 2014, which require collecting and submitting fingerprints and deoxyribonucleic acid samples, indexing subjects into the Defense Central Index of Investigations, and submitting criminal incident information to Defense Incident-Based Reporting System. | 1 month 17 days |
| A.2.c | The DoD OIG recommended that the Pentagon Force Protection Agency Director direct the entry of subject and victim data of all prior criminal investigations into the Defense Central Index of Investigations database, the reporting of criminal incident information into the Defense Incident-Based Reporting System, and the collection and submission of fingerprints from subjects of all criminal investigations as per the appropriate DoD issuances. | 1 month 17 days |
| B.1.a | The DoD OIG recommended that the Pentagon Force Protection Agency Director direct compliance with Pentagon Force Protection Agency Pentagon Police Department General Order 5016.01, "Evidence Management and Storage Procedures," concerning the collection, processing, inventory, and disposition of evidence. | 1 month 17 days |
| B.1.b | The DoD OIG recommended that the Pentagon Force Protection Agency Director direct the training of evidence custodians to ensure the integrity of collection, processing, inventory, and disposing of evidence. | 1 month 17 days |
| B.1.c | The DoD OIG recommended that the Pentagon Force Protection Agency Director identify and direct the use of one evidence management system to manage the Pentagon Force Protection Agency Evidence Program. | 1 month 17 days |
| B.1.d | The DoD OIG recommended that the Pentagon Force Protection Agency Director direct the installation of a serviceable refrigerator in the evidence room. | 1 month 17 days |

APPENDIX A

Defense Criminal Investigative Service

Report No. DODIG-2015-011, "Evaluation of the Defense Criminal Investigative Organizations' Defense Incident-Based Reporting System Reporting and Reporting Accuracy," October 29, 2014

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| 2.a | The DoD OIG recommended that the Commander, U.S. Army Criminal Investigative Command; Director, Naval Criminal Investigative Service; Commander, Air Force Office of Special Investigations; and Director, Defense Criminal Investigative Service, ensure that Defense Incident-Based Reporting System data submitters provide accurate and complete data submissions within 15 workdays after the end of each month as required by DoD Manual 7730.47-M, Volume 1. | 3 years 5 months 2 days |

Report No. DODIG-2018-035, "Evaluation of Fingerprint Card and Final Disposition Report Submissions by Military Service Law Enforcement Organizations," December 4, 2017

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| H.1.a | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to immediately perform a comprehensive review of all their criminal investigative databases and files to ensure all fingerprint cards and final disposition reports for anyone investigated for, or convicted of, qualifying offenses at least to 1998 have been reported to the Federal Bureau of Investigation Criminal Justice Information Services in compliance with DoD and Federal Bureau of Investigation requirements. | 3 months 27 days |
| H.1.b | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that supervisory and management oversight controls verify compliance with fingerprint card and final disposition report submission requirements and ensure that such compliance is regularly inspected. | 3 months 27 days |

APPENDIX A

*Defense Criminal Investigative Service (cont'd)***Report No. DODIG-2018-035 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| H.1.c | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to conduct a comprehensive review of their criminal history reporting programs to ensure all fingerprinting and final disposition report submission policy, training, and processes are consistent with DoD Instruction 5505.11, and have been implemented. | 3 months 27 days |
| H.1.d | The DoD OIG recommended that the Under Secretary of Defense for Intelligence and the Deputy Chief Management Officer ensure that the Directors of the Defense Intelligence Agency, the National Geospatial-Intelligence Agency, the National Reconnaissance Office, the National Security Agency, and the Pentagon Force Protection Agency take prompt action to ensure that other required investigative and criminal history information, such as criminal incident data and deoxyribonucleic Acid (DNA) samples, has been submitted for inclusion in Federal Bureau of Investigation databases. | 3 months 27 days |

APPENDIX A

F-35 Joint Program Office

Report No. DODIG-2013-031, "Audit of the F-35 Lightning II Autonomic Logistics Information System," December 10, 2012
(Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| B | Recommendation is Classified. | 5 years 3 months 21 days |
| C.2 | Recommendation is Classified. | 5 years 3 months 21 days |
| D.1 | Recommendation is Classified. | 5 years 3 months 21 days |
| D.3 | Recommendation is Classified. | 5 years 3 months 21 days |
| D.4 | Recommendation is Classified. | 5 years 3 months 21 days |
| D.5 | Recommendation is Classified. | 5 years 3 months 21 days |

Report No. DODIG-2015-092, "F-35 Lightning II Program Quality Assurance and Corrective Action Evaluation," March 11, 2015

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1 | The DoD OIG recommended that the F-35 Joint Program Office ensure that the F-35 Critical Safety Item Program is compliant with Public Law 108-136, Section 802, "Quality control in procurement of aviation CSIs and related services," and the Joint Service CSI Instruction, "Management of Aviation Critical Safety Item." | 3 years 20 days |

APPENDIX A

*F-35 Joint Program Office (cont'd)***Report No. DODIG-2015-092 (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.2 | The DoD OIG recommended that the F-35 Joint Program Office conduct periodic Critical Safety Item Program evaluations of Lockheed Martin and its suppliers to ensure compliance with public law and the Joint Service CSI Instruction. | 3 years 20 days |
| C | The DoD OIG recommended that the F-35 Joint Program Office realign the quality assurance organization to report directly to the Program Executive Officer, define the organization roles and responsibilities, and staff the organization appropriately. | 3 years 20 days |

Report No. DODIG-2015-111, "F-35 Engine Quality Assurance Inspection," April 27, 2015 (Full Report is FOUO)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| A.1.a | The DoD OIG recommended that the F-35 Joint Program Office coordinate with the Defense Contract Management Agency to conduct an effective root cause analysis and implement corrective actions for all 61 nonconformities (violations of AS9100C, regulatory requirements, and DoD policies) identified during our inspection. | 2 years 11 months 4 days |
| A.1.b | The DoD OIG recommended that the F-35 Joint Program Office review the contract data requirements list and determine specific items that should require approval. | 2 years 11 months 4 days |
| B.1 | The DoD OIG recommended that the F-35 Joint Program Office ensure that critical safety item contractual requirements and Pratt & Whitney's critical safety item program processes and specifications meet the intent of the Joint Critical Safety Item Instruction and supplemental guidance of the Joint Aeronautical Commanders Group Aviation Critical Safety Item Management Handbook. | 2 years 11 months 4 days |
| C.2 | The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney's quality plan meets contractual requirements. | 2 years 11 months 4 days |

APPENDIX A

*F-35 Joint Program Office (cont'd)***Report No. DODIG-2015-111 (Full Report is FOUO) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| C.3 | The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney consistently analyzes and reports Key Product Characteristics Process Capability Index data for F135 engine hardware and that performance improvement plans are established. | 2 years 11 months 4 days |
| D | The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney identify, elevate, track, and manage all risks that affect the program, including software and supply chain risks. | 2 years 11 months 4 days |
| E.1 | The DoD OIG recommended that the F-35 Joint Program Office work with the Defense Contract Management Agency to ensure that Pratt & Whitney clearly defines, documents, and implements minimum baseline criteria for supplier selection and actions to be taken for suppliers that continue to be high risk. | 2 years 11 months 4 days |
| F.1 | The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney resolve nonconformities related to software quality management systems, including an obsolete F135 Software Development Plan, lack of software requirements traceability, and software not developed to the appropriate product software level. | 2 years 11 months 4 days |
| F.2 | The DoD OIG recommended that the F-35 Joint Program Office ensure that Pratt & Whitney's Software Quality Assurance organization conduct audits, reviews, and verification activities of both internally-developed and supplier-developed software. | 2 years 11 months 4 days |

APPENDIX A

Single Audit Act

**Report No. DODIG-2018-005, “Quality Control Review of Deloitte & Touche, LLP FY 2015 Single Audit of Battelle Memorial Institute,”
October 27, 2017**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| B.1 | The DoD OIG recommended that, for future audits, the Partner, Deloitte & Touche, LLP: a) define the population of transactions so that it properly includes the items applicable to the audit objective and planned audit procedures; b) document consideration of whether additional sample items or audit procedures are necessary when the attributes tested are found to be not applicable to the original sample items; and c) assess whether the population of transactions should be subdivided for testing a compliance requirement to ensure that sufficient evidence is obtained to support audit conclusions. | 5 months 4 days |
| C.1 | The DoD OIG recommended that, for future audits, the Partner, Deloitte & Touche, LLP: a) improve audit documentation to clearly describe the relationship between the internal control audit procedures and the audit objective for the cash management requirement; b) improve audit documentation on the procedures performed to verify whether the financial data included in required reports were supported by Battelle’s accounting records; and c) document an evaluation of whether the key personnel requirement is direct and material to the single audit and perform testing of internal controls and compliance, as necessary. | 5 months 4 days |

APPENDIX A

*Classified***Report No. DODIG-2015-057, “[Title is Classified],” December 19, 2014 (Full Report is Classified)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| A.2.1 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.2.2 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.2.3 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.2.4 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.2.5 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.3.1 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.3.2 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.3.3 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.3.4 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.4.1 | Recommendation is Classified. | 3 years 3 months 12 days |

APPENDIX A

*Classified (cont'd)***Report No. DODIG-2015-057 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| A.4.2 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.4.3 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.4.4 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.4.5 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.4.6 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.4.7 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.4.8 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.4.9 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.4.10 | Recommendation is Classified. | 3 years 3 months 12 days |
| A.4.11 | Recommendation is Classified. | 3 years 3 months 12 days |

APPENDIX A

*Classified (cont'd)***Report No. DODIG-2015-057 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| B.1.1 | Recommendation is Classified. | 3 years 3 months 12 days |
| B.1.2 | Recommendation is Classified. | 3 years 3 months 12 days |
| B.1.3 | Recommendation is Classified. | 3 years 3 months 12 days |
| B.1.4 | Recommendation is Classified. | 3 years 3 months 12 days |
| B.1.5 | Recommendation is Classified. | 3 years 3 months 12 days |
| B.1.6 | Recommendation is Classified. | 3 years 3 months 12 days |
| B.1.7 | Recommendation is Classified. | 3 years 3 months 12 days |
| B.1.8 | Recommendation is Classified. | 3 years 3 months 12 days |
| B.1.9 | Recommendation is Classified. | 3 years 3 months 12 days |
| B.1.10 | Recommendation is Classified. | 3 years 3 months 12 days |

APPENDIX A

*Classified (cont'd)***Report No. DODIG-2015-057 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| B.1.11 | Recommendation is Classified. | 3 years 3 months 12 days |
| B.1.12 | Recommendation is Classified. | 3 years 3 months 12 days |
| B.1.13 | Recommendation is Classified. | 3 years 3 months 12 days |
| B.1.14 | Recommendation is Classified. | 3 years 3 months 12 days |
| B.2 | Recommendation is Classified. | 3 years 3 months 12 days |

Report No. DODIG-2015-085, “[Title is Classified],” March 2, 2015 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| A | Recommendation is Classified. | 3 years 29 days |
| B | Recommendation is Classified. | 3 years 29 days |
| C.1 | Recommendation is Classified. | 3 years 29 days |
| C.2 | Recommendation is Classified. | 3 years 29 days |

APPENDIX A

*Classified (cont'd)***Report No. DODIG-2015-085 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| C.3 | Recommendation is Classified. | 3 years 29 days |

Report No. DODIG-2016-046, “[Title is Classified],” February 19, 2016 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| A.1 | Recommendation is Classified. | 2 years 1 month 12 days |
| A.2.1 | Recommendation is Classified. | 2 years 1 month 12 days |
| A.2.2 | Recommendation is Classified. | 2 years 1 month 12 days |
| B.1.1 | Recommendation is Classified. | 2 years 1 month 12 days |
| B.1.2 | Recommendation is Classified. | 2 years 1 month 12 days |
| C.1.1 | Recommendation is Classified. | 2 years 1 month 12 days |
| C.1.2 | Recommendation is Classified. | 2 years 1 month 12 days |

APPENDIX A

*Classified (cont'd)***Report No. DODIG-2016-046 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| C.1.3 | Recommendation is Classified. | 2 years 1 month 12 days |
| C.1.4 | Recommendation is Classified. | 2 years 1 month 12 days |

Report No. DODIG-2018-037, "Evaluation of the Long Range Strike-Bomber Program Security Controls," December 1, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| A.1.a | Recommendation is Classified. | 3 months 30 days |
| A.1.b | Recommendation is Classified. | 3 months 30 days |
| A.2.a | Recommendation is Classified. | 3 months 30 days |
| A.2.b | Recommendation is Classified. | 3 months 30 days |
| B.1.a | Recommendation is Classified. | 3 months 30 days |
| B.1.b | Recommendation is Classified. | 3 months 30 days |
| B.1.c | Recommendation is Classified. | 3 months 30 days |

*Classified (cont'd)***Report No. DODIG-2018-037 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| B.1.d | Recommendation is Classified. | 3 months 30 days |
| B.1.e | Recommendation is Classified. | 3 months 30 days |
| B.1.f | Recommendation is Classified. | 3 months 30 days |
| C.1.a | Recommendation is Classified. | 3 months 30 days |
| C.1.b | Recommendation is Classified. | 3 months 30 days |
| C.1.c | Recommendation is Classified. | 3 months 30 days |
| C.1.d | Recommendation is Classified. | 3 months 30 days |
| C.1.d.1 | Recommendation is Classified. | 3 months 30 days |
| C.1.d.2 | Recommendation is Classified. | 3 months 30 days |
| C.1.e | Recommendation is Classified. | 3 months 30 days |

APPENDIX A

Classified (cont'd)

**Report No. DODIG-2018-047, "Follow-up to Under Secretary of Defense for Intelligence Evaluation," December 18, 2017
(Full Report is Classified)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|-------------------------------|----------------------------------|
| B.2 | Recommendation is Classified. | 3 months 13 days |
| B.3 | Recommendation is Classified. | 3 months 13 days |
| B.4 | Recommendation is Classified. | 3 months 13 days |
| B.6 | Recommendation is Classified. | 3 months 13 days |
| B.7 | Recommendation is Classified. | 3 months 13 days |

Report No. DODIG-2018-057, "The [REDACTED] Financial Statement Compilation Adjustments and Information Technology Corrective Action Plan Validation Process," December 21, 2017 (Full Report is Classified)

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|---|----------------------------------|
| A.1.a | The DoD OIG recommended that the [REDACTED] Comptroller develop policies and implement procedures to ensure Journal Vouchers contain valid General Ledger accounts, include adequate documentation, reflect correct dollar amounts, contain accurate financial information, and contain required approvals. | 3 months 10 days |
| A.1.b | The DoD OIG recommended that the [REDACTED] Comptroller implement quality control review procedures in accordance with the DoD Financial Management Regulation. | 3 months 10 days |

*Classified (cont'd)***Report No. DODIG-2018-057 (Full Report is Classified) (cont'd)**

| Recommendation | Recommendation Text | Age of Recommendation on 3/31/18 |
|----------------|--|----------------------------------|
| B.1.a | The DoD OIG recommended that the [REDACTED] Comptroller develop, document, and implement policy to validate that corrective actions have been effectively designed and implemented in accordance with Office of Management and Budget Circular A-123 criteria. | 3 months 10 days |
| B.1.b | The DoD OIG recommended that the [REDACTED] Comptroller test all corrected controls to ensure deficiencies are corrected prior to closing Information Technology Corrective Action Plans. Specifically, determined whether the controls are implemented correctly, operating as intended, and producing the desired outcome. | 3 months 10 days |
| B.1.c | The DoD OIG recommended that the [REDACTED] Comptroller maintain an updated and accurate status for identified control deficiencies throughout the entire process. | 3 months 10 days |

APPENDIX B

APPENDIX B

Secret Open Recommendations

This appendix contains information about secret open recommendations.
For access, please send an email to RFUNET@dodig.smil.mil.

APPENDIX C

APPENDIX C

Open Recommendations from Top Secret Reports

This appendix contains information about classified open recommendations.
For access, please send an email to RFUNET@dodig.smil.mil.

APPENDIX D

Other Classification Open Recommendations

This appendix contains information about other classified open recommendations.
For access, please send an email to RFUNET@dodig.smil.mil.

INTEGRITY ★ INDEPENDENCE ★ EXCELLENCE



WHISTLEBLOWER PROTECTION U.S. DEPARTMENT OF DEFENSE

The Whistleblower Protection Ombudsman's role is to educate agency employees about prohibitions on retaliation and employees' rights and remedies available for reprisal.

The DoD Hotline Director is the designated ombudsman.

For more information, please visit the Whistleblower webpage at www.dodig.mil/programs/whistleblower.

~~FOR OFFICIAL USE ONLY~~



INSPECTOR GENERAL
U.S. Department of Defense

DEPARTMENT OF DEFENSE | OFFICE OF INSPECTOR GENERAL

4800 Mark Center Drive
Alexandria, Virginia 22350-1500
www.dodig.mil
Defense Hotline 1.800.424.9098

~~FOR OFFICIAL USE ONLY~~