

02/01/2024 Commodity Futures Trading Removal Notice for EFTC Old Imposculation & Evaluation. Other Agency-Wide Dozal 01/29/2024 Commodity Futures Trading CFTC Old Strategic Plan for FY 2024 - FY 2028 Other Agency-Wide Dozal 01/29/2024 Commodity Futures Trading CFTC Old FY 2024 Work Plan Other Agency-Wide Dozal 11/15/2023 Commodity Futures Trading CFTC Old FY 2024 Work Plan Top Management Agency-Wide Dozal Agency-Wide Dozal 11/13/2023 Commodity Futures Trading Semiannual Report to Congress April 1, 2023 - Semiannual Report Dozal Semiannual Report Dozal Agency-Wide Dozal 10/31/2023 Commodity Futures Trading Semiannual Report to Congress April 1, 2023 - Semiannual Report Dozal Semiannual Report Dozal Agency-Wide Dozal 10/30/2023 Commodity Futures Trading CPF Audit Opinion and Financial Statements FY 2023 - Audit Agency-Wide Dozal Audit Agency-Wide Dozal Agency-Wide Dozal 10/30/2023 Commodity Futures Trading Compliance Review: Payment integrity Act of 2019 FY Review Agency-Wide Dozal 10/30/2023 Commodity Futures Trading Compliance Review: Payment integrity Act of 2019 FY Review Agency-Wide Dozal	Report Date	Agency Reviewed / Investigated	Title	Туре	Location
Commodity Futures Trading Commission CFTC OliG FY 2024 Work Plan Other Agency-Wide Commodity Futures Trading Commission Commodity Futures Trading Commission Commodity Futures Trading Commodity Futures	02/01/2024		CFTC Stress-Testing Development Efforts (February 1,	Other	Agency-Wide
11/15/2023 Commodity Futures Trading Commission CFTC Financial Statements Audit: Fiscal Year (FV) 2023 Audit Agency-Wide Commission Commission Management Challenges Fiscal Year 2023 Top Management Challenges Commission Commission Commission Management Challenges Fiscal Year 2023 Semiannual Report Agency-Wide Commission Commodity Futures Trading Commission Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FV 2023 Audit Agency-Wide Commodity Futures Trading Commission Commodity Futures Trading Commission Commodity Futures Trading Commission Commodity Futures Trading Commission Commodity Futures Trading Commission Management Challenges - Fiscal Year 2022 Audit Agency-Wide Commission Commission Management Challenges - Fiscal Year 2022 Audit Agency-Wide Commission Commission Management Challenges - Fiscal Year 2022 Commodity Futures Trading Commission Management Challenges - Fiscal Year 2022 Commodity Futures Trading Commission Management Challenges - Fiscal Year 2022 Commodity Futures Trading Commission March 31, 2021 - September 30, 2021 Commission Commission March 31, 2021 - September 30, 2021 Commodity Futures Trading Commission March 31, 2021 - September 30, 2021 Commodity Futures Trading Commission Commi	01/29/2024		CFTC OIG Strategic Plan for FY 2024 - FY 2028	Other	Agency-Wide
11/13/2023 Commodity Futures Trading Commission Semiannual Report to Congress April 1, 2023 Semiannual Report Agency-Wide Commodity Futures Trading Commission Commodity Futures Trading Commission Semiannual Report to Congress April 1, 2023 Semiannual Report Agency-Wide Commodity Futures Trading Commission Semiannual Report to Congress April 1, 2023 Audit Agency-Wide Commodity Futures Trading Commission Semiannual Report to Congress October 1, 2022 Semiannual Report Agency-Wide Commission Commodity Futures Trading Commission Commodity Futures Trading Commission Commission Commodity Futures Trading Commission Commodity Futures Trading Commission Commission Commodity Futures Trading Commission Commodity Futures Trading Commission Commissi	01/29/2024		CFTC OIG FY 2024 Work Plan	Other	Agency-Wide
10/31/2023 Commodity Futures Trading Semiannual Report to Congress April 1, 2023 - Semiannual Report Agency-Wide Commission September 30, 2023 - Semiannual Report to Congress April 1, 2023 - Audit Agency-Wide Commission September 30, 2023 - Semiannual Report Agency-Wide Commission CPF Audit Opinion and Financial Statements FY 2023 - Audit Agency-Wide Commission Commodity Futures Trading Compliance Review: Payment Integrity Act of 2019 FY Review Agency-Wide Commission Commission Commission Compliance Review: Payment Integrity Act of 2019 FY Review Agency-Wide Commission Commission Commission Commission Commission Commission September 30, 2022 - Semiannual Report to Congress April 1, 2022 - Semiannual Report Agency-Wide Commission Commission Commission Commission Commission Commission Commission Commission Commission Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2022 Audit Agency-Wide Commission Commodity Futures Trading Commission Management Challenges - Fiscal Year 2022 Audit Agency-Wide Commission Commission Management Challenges - Fiscal Year 2022 Commodity Futures Trading Commission March 31, 2022 Commodity Futures Trading Commission March 31, 2022 Commodity Futures Trading Commission March 31, 2022 Commodity Futures Trading Commission Commission March 31, 2022 Commodity Futures Trading Commission March 31, 2022 Commodity Futures Trading Commission March 31, 2022 Commodity Futures Trading Commission Modified Peer Review Report of the Audit Function Review Agency-Wide Commission Commodity Futures Trading Commission Comm	11/15/2023	,	CFTC Financial Statements Audit: Fiscal Year (FY) 2023	Audit	Agency-Wide
10/30/2023 Commodity Futures Trading Compliance Review: Payment Integrity Act of 2019 FY Review Agency-Wide Commission September 30, 2023 Semiannual Report Agency-Wide O6/20/2023 Commodity Futures Trading Compliance Review: Payment Integrity Act of 2019 FY Review Agency-Wide O5/12/2023 Commodity Futures Trading Compliance Review: Payment Integrity Act of 2019 FY Review Agency-Wide O5/12/2023 Commodity Futures Trading Commission September 30, 2022 Semiannual Report Agency-Wide O7/12/2022 Commodity Futures Trading Commission CFTC Financial Statements Audit: Fiscal Year (FY) 2022 Audit Agency-Wide O7/2022 Commodity Futures Trading CPF Audit Opinion and Financial Statements FY 2022 Audit Agency-Wide O7/2022 Commodity Futures Trading Commission Commission Commission Commission O7/2022 Commodity Futures Trading Commission Management Challenges - Fiscal Year 2022 Audit Agency-Wide O7/2022 Commodity Futures Trading Commission Commodity Futures Trading Commission Commodity Futures Trading Commission Commodity Futures Trading Commission Commodity Futures Trading Commission Commission Commodity Futures Trading Commission Commission Commission Commission Commission Commission Commodity Futures Trading Commission Commission Commission Commodity Futures Trading Commission Commission Commission Commission Commodity Futures Trading Commission Commission Commission Commodity Futures Trading Commission	11/13/2023		Management Challenges Fiscal Year 2023		Agency-Wide
Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements F1 2023 Audit Agency-Wide O6/20/2023 Commodity Futures Trading Compliance Review: Payment Integrity Act of 2019 FY Review Agency-Wide O7/12/2023 Commodity Futures Trading Commission Commission CPF Audit Opinion and Financial Statements F1 2022 Audit Agency-Wide O7/12/2022 Commodity Futures Trading CPF Audit Opinion and Financial Statements F2 2022 Audit Agency-Wide O7/12/2022 Commodity Futures Trading CPF Audit Opinion and Financial Statements F2 2022 Audit Agency-Wide O7/12/2022 Commodity Futures Trading CPF Audit Opinion and Financial Statements F2 2022 Audit Agency-Wide O7/12/2022 Commodity Futures Trading Commission Management Challenges - Fiscal Year 2022 Top Management Commission Commission Management Challenges - Fiscal Year 2022 Top Management O7/12/2022 Commodity Futures Trading Commission Management Challenges - Fiscal Year 2022 Top Management O7/12/2022 Commodity Futures Trading Commission Management Challenges - Fiscal Year 2022 Top Management O7/12/2022 Commodity Futures Trading Commission Management Management O7/12/2022 Commodity Futures Trading Commission Management Mana	10/31/2023			Semiannual Report	Agency-Wide
OS/12/2023 Commission March 31, 2023 Semiannual Report Agency-Wide OS/12/2023 Commodity Futures Trading Compliance Review: Payment Integrity Act of 2019 FY Review Agency-Wide 11/30/2022 Commodity Futures Trading Commission CFTC Financial Statements Audit: Fiscal Year (FY) 2022 Audit Agency-Wide 11/14/2022 Commodity Futures Trading Commission CFTC Financial Statements Audit: Fiscal Year (FY) 2022 Audit Agency-Wide 10/27/2022 Commodity Futures Trading CPF Audit Opinion and Financial Statements FY 2022 Audit Agency-Wide 10/27/2022 Commodity Futures Trading Commission Management Challenges – Fiscal Year 2022 Top Management Challenges 10/27/2022 Commodity Futures Trading Commission March 31, 2022 Semiannual Report to Congress October 1, 2021 - Semiannual Report Agency-Wide 10/27/2022 Commodity Futures Trading Compliance Review: Payment Integrity Information Act Review Agency-Wide 10/27/2022 Commodity Futures Trading Compliance Review: Payment Integrity Information Act Review Agency-Wide 10/27/2022 Commodity Futures Trading Compliance Review Report of the Audit Function Review Agency-Wide 10/27/2021 Commodity Futures Trading Compliance Review Report of the Audit Function Review Agency-Wide 11/17/2021 Commodity Futures Trading Compliance Review Report of the Audit Function Review Agency-Wide 10/29/2021 Commodity Futures Trading Compliance Review Report of the Audit Function Review Agency-Wide 10/29/2021 Commodity Futures Trading Commission Paymodity Futures Trading Commission Review Report to Compliance With the Digital Accountability and Transparency Act (DATA Act) of 2014 (FY 2021 Quarter II) 10/05/2021 Commodity Futures Trading Commission Paymodity Futures Trading Commission Semiannual Report Agency-Wide Commodity Futures Trading Commission Semiannual Report Compliance with the Digital Accountability and Transparency Act (DATA Act) of 2014 (FY 2021 Quarter II) 10/05/202	10/30/2023	,	CPF Audit Opinion and Financial Statements FY 2023	Audit	Agency-Wide
11/30/2022 Commodity Futures Trading Commission 2022 Semiannual Report to Congress April 1, 2022 - Semiannual Report Agency-Wide 11/14/2022 Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2022 Audit Agency-Wide 20/26/2022 Commodity Futures Trading Commission Management Challenges - Fiscal Year (PY) 2022 Audit Agency-Wide 20/26/2022 Commodity Futures Trading Management Challenges - Fiscal Year 2022 Top Management Challenges - March 31, 2022 Top Management Challenges - Fiscal Year 2022 Top Management Challenges - March 31, 2022 Commodity Futures Trading Commission Semiannual Report to Congress October 1, 2021 - Semiannual Report Agency-Wide 20/203/2022 Commodity Futures Trading Compliance Review: Payment Integrity Information Act Review Agency-Wide 20/203/2022 Commodity Futures Trading Compliance Review: Payment Integrity Information Act Review Agency-Wide 20/203/2022 Commodity Futures Trading Compliance Review Report of the Audit Function Review Agency-Wide 20/203/2022 Commodity Futures Trading Compliance Review Report of the Audit Function Review Agency-Wide 20/203/2022 Commodity Futures Trading Compliance Review Report of the Audit Function Review Agency-Wide 20/203/2022 Commodity Futures Trading Compress April 1, 2021 - September 30, 2021 Semiannual Report Agency-Wide 20/2021 Commodity Futures Trading Compress April 1, 2021 - September 30, 2021 Audit Agency-Wide 20/2021 Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2021 Audit Agency-Wide 20/2020 Commodity Futures Trading Commission FY 2021 Audit Agency-Wide 20/2020 Commodity Futures Trading Commodity Futures Trading Commission FY 2021 Commodity Futures Trading Commodity Futures Trad	06/20/2023			Semiannual Report	Agency-Wide
11/14/2022 Commission September 30, 2022 Semilantual Report Agency-Wide Commission CFTC Financial Statements Audit: Fiscal Year (FY) 2022 Audit Agency-Wide 10/27/2022 Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2022 Audit Agency-Wide 10/27/2022 Commodity Futures Trading Management Challenges - Fiscal Year 2022 Top Management Challenges Agency-Wide 20/24/2022 Commodity Futures Trading Semiannual Report to Congress October 1, 2021 - Semiannual Report Agency-Wide 20/24/2022 Commodity Futures Trading Commission Commission Modified Peer Review Report of the Audit Function Review Agency-Wide 20/203/2022 Commodity Futures Trading Commission Modified Peer Review Report of the Audit Function Review Agency-Wide 20/203/2022 Commodity Futures Trading Commission CFTC Financial Statements Audit: Fiscal Year (FY) 2021 Audit Agency-Wide 20/203/2022 Commodity Futures Trading Comgress April 1, 2021 - September 30, 2021 Semiannual Report Agency-Wide 20/203/2022 Commodity Futures Trading CFTC Financial Statements Audit: Fiscal Year (FY) 2021 Audit Agency-Wide 20/203/2022 Commodity Futures Trading CFTC Financial Statements Audit: Fiscal Year (FY) 2021 Audit Agency-Wide 20/203/2021 Commodity Futures Trading CFTC Financial Statements FY 2021 Audit Agency-Wide 20/203/2021 Commodity Futures Trading CFTC Financial Statements FY 2021 Audit Agency-Wide 20/203/2021 Commodity Futures Trading Commission PY 2021 Commodity Futures	05/12/2023			Review	Agency-Wide
11/14/2022 Commission CPF Audit Opinion and Financial Statements FY 2022 Audit Agency-Wide 10/27/2022 Commodity Futures Trading CpF Audit Opinion and Financial Statements FY 2022 Audit Agency-Wide 10/27/2022 Commodity Futures Trading Commission Management Challenges - Fiscal Year 2022 Top Management Challenges Agency-Wide 10/24/2022 Commodity Futures Trading Commission March 31, 2022 Semiannual Report Agency-Wide 10/17/2022 Commodity Futures Trading Commission Commodity Futures Trading Commission Modified Peer Review Report of the Audit Function 12/22/2021 Commodity Futures Trading Commission Modified Peer Review Report of the Audit Function 12/22/2021 Commodity Futures Trading Commission Congress April 1, 2021 - September 30, 2021 12/17/2021 Commodity Futures Trading Commission CFFC Financial Statements Audit: Fiscal Year (FY) 2021 Audit Agency-Wide 10/12/2021 Commodity Futures Trading Commission CFFC Financial Statements Audit: Fiscal Year (FY) 2021 Audit Agency-Wide 10/12/2021 Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2021 Audit Agency-Wide 10/12/2021 Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2021 Audit Agency-Wide 10/12/2021 Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2021 Audit Agency-Wide 10/12/2021 Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2021 Audit Agency-Wide 10/12/2021 Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2021 Audit Agency-Wide 10/12/2021 Commodity Futures Trading Commission CPF Audit Opinion Accompliance with the Digital Accompliance Audit CFTC's Compliance with the Digital Accompliance Audit CFTC's Compliance with the Digital Accompliance Audit CPTC's Compliance with the Digital Acc	11/30/2022			Semiannual Report	Agency-Wide
Commodity Futures Trading Commission CFT Audit Opinion and Financial Statements FT 2022 Audit Agency-Wide O6/24/2022 Commodity Futures Trading Commission Semiannual Report to Congress October 1, 2021 - Semiannual Report Agency-Wide O6/17/2022 Commodity Futures Trading Commission Commodity Futures Trading Commission Modified Peer Review: Payment Integrity Information Act Review Agency-Wide O2/03/2022 Commodity Futures Trading Commission Modified Peer Review Report of the Audit Function Review Agency-Wide O2/03/2022 Commodity Futures Trading Commission Office of the Inspector General Semiannual Report to Congress April 1, 2021 - September 30, 2021 Semiannual Report Agency-Wide O2/03/2021 Commodity Futures Trading Congress April 1, 2021 - September 30, 2021 Audit Agency-Wide O2/03/2021 Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2021 Audit Agency-Wide O2/03/2021 Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2021 Audit Agency-Wide O2/03/2021 Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2021 Audit Agency-Wide O2/03/2021 Commodity Futures Trading Commodity Futures Trading Commission FY 2021 Audit Agency-Wide O2/03/2021 Commodity Futures Trading Commodity Futures Trading Commission FY 2021 Audit Agency-Wide O2/04/2021 Commodity Futures Trading Commodity Futures Trading Commission Semiannual Report to Congress Semiannual Report Agency-Wide O2/04/2021 Commodity Futures Trading Commission Semiannual Report to Congress Semiannual Report Agency-Wide Commodity Futures Trading Commission Semiannual Report to Congress Semiannual Report Agency-Wide Commodity Futures Trading Commission Semiannual Report to Congress Semiannual Report Agency-Wide Commodity Futures Trading Commission Semiannual Report to Congress Agency Agency-Wide Commodity Futures Trading Commission Semiannual Report Congress Agency-Wide Commodity Futures Trading Commission Semiannual Report Congress Agency-Wide Commodity Futures Trading Commission S	11/14/2022		CFTC Financial Statements Audit: Fiscal Year (FY) 2022	Audit	Agency-Wide
Commodity Futures Trading Commission	10/27/2022		CPF Audit Opinion and Financial Statements FY 2022	Audit	Agency-Wide
Commission March 31, 2022 Semiannual Report Agency-Wide O6/17/2022 Commodity Futures Trading Compliance Review: Payment Integrity Information Act of 2019 Review Agency-Wide O2/03/2022 Commodity Futures Trading Commission Modified Peer Review Report of the Audit Function Review Agency-Wide 12/22/2021 Commodity Futures Trading Commission Office of the Inspector General Semiannual Report to Congress April 1, 2021 - September 30, 2021 Semiannual Report Agency-Wide 12/17/2021 Commodity Futures Trading Commission CFTC Financial Statements Audit: Fiscal Year (FY) 2021 Audit Agency-Wide 10/29/2021 Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2021 Audit Agency-Wide 10/12/2021 Commodity Futures Trading Commodity Futures Trading Commission FY 2021 Audit Agency-Wide 10/12/2021 Commodity Futures Trading Commodity Futures Trading Commission FY 2021 Audit Agency-Wide 10/12/2021 Commodity Futures Trading Commission FY 2021 Commodity Futures Trading Commission FY 2021 Audit Agency-Wide 10/12/2021 Commodity Futures Trading Commission FY 2021 Commodity Futures Trading Commission FY 2021 Audit Agency-Wide 10/12/2021 Commodity Futures Trading Commission FY 2021 Commodity Futures Trading Commission FY 2021 Commodity Futures Trading Commission FY 2021 Quarter II) Addit Agency-Wide 11/11/2021 Commodity Futures Trading Commission Semiannual Report to Congress Semiannual Report Agency-Wide 11/11/2020 Commodity Futures Trading Semiannual Report to Congress April 1, 2020 Semiannual Report Agency-Wide 11/11/2020 Commodity Futures Trading Semiannual Report to Congress April 1, 2020 Semiannual Report Agency-Wide 11/11/2020 Commodity Futures Trading Semiannual Report to Congress April 1, 2020 Semiannual Report Agency-Wide 11/11/2020 Commodity Futures Trading Semiannual Report to Congress April 1, 2020 Semiannual Report Agency-Wide 11/11/2020 Commodity Futures Trading Semiannual Report to Congress April 1, 2020 Semiannual Report Agency-Wide 11/11/2020 Commodity Futures Trading 2020 Financial Statement Audi	09/26/2022		Management Challenges - Fiscal Year 2022		Agency-Wide
06/11/2022Commissionof 2019ReviewAgency-Wide02/03/2022Commodity Futures Trading CommissionModified Peer Review Report of the Audit FunctionReviewAgency-Wide12/22/2021Commodity Futures Trading CommissionOffice of the Inspector General Semiannual Report to Congress April 1, 2021 - September 30, 2021Semiannual ReportAgency-Wide12/17/2021Commodity Futures Trading 	06/24/2022			Semiannual Report	Agency-Wide
12/22/2021 Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2021 Audit Agency-Wide Commission Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2021 Audit Agency-Wide 10/12/2021 Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2021 Audit Agency-Wide 10/12/2021 Commodity Futures Trading Commodity Futures Trading Commission Management and Performance Challenges Facing the Commodity Futures Trading Commission FY 2021 Top Management Challenges Agency-Wide 10/05/2021 Commodity Futures Trading Accountability and Transparency Act (DATA Act) of 2014 (FY 2021 Quarter II) Addit Agency-Wide 2014 (FY 2021 Quarter II) Semiannual Report Agency-Wide 2014 (FY 2021 Quarter II) Agency-W	06/17/2022			Review	Agency-Wide
Commission Congress April 1, 2021 - September 30, 2021 Semiannual Report Agency-Wide Commodity Futures Trading Commission CFTC Financial Statements Audit: Fiscal Year (FY) 2021 Audit Agency-Wide Commodity Futures Trading Commission CPF Audit Opinion and Financial Statements FY 2021 Audit Agency-Wide Commodity Futures Trading Commission Commodity Futures Trading Commission FY 2021 Top Management Commodity Futures Trading Commission PY 2021 Top Management Commodity Futures Trading Commission PY 2021 Top Management Commodity Futures Trading Commission PY 2021 Quarter II) Commodity Futures Trading Commission Semiannual Report to Congress Semiannual Report Agency-Wide Commodity Futures Trading Commission Commodity Futures Trading Commission Semiannual Report to Congress April 1, 2020 Semiannual Report Agency-Wide Commodity Futures Trading Commission Semiannual Report to Congress April 1, 2020 Semiannual Report Agency-Wide Commodity Futures Trading Commission Semiannual Report to Congress April 1, 2020 Semiannual Report Agency-Wide Commodity Futures Trading Commission Semiannual Report Audit Opinion Audit Agency-Wide	02/03/2022		Modified Peer Review Report of the Audit Function	Review	Agency-Wide
Commission CPF Audit Opinion and Financial Statements Audit: Fiscal Year (FY) 2021 Audit Agency-Wide Commodity Futures Trading Commission Performance Audit: CFTC's Compliance with the Digital Accountability and Transparency Act (DATA Act) of 2014 (FY 2021 Quarter II) Commodity Futures Trading Commission Commodity Futures Trading Commission Commission Commodity Futures Trading Commission Commission Commission Commodity Futures Trading Commission Commission Commodity Futures Trading Commission Commission Commodity Futures Trading Commission Commodit	12/22/2021			Semiannual Report	Agency-Wide
Commission CPF Addit Opinion and Financial Statements FY 2021 Addit Agency-Wide 10/12/2021 Commodity Futures Trading Commission FY 2021 Top Management Challenges Facing the Commodity Futures Trading Commission FY 2021 Top Management Challenges Agency-Wide 10/05/2021 Commodity Futures Trading Commission Performance Addit: CFTC's Compliance with the Digital Accountability and Transparency Act (DATA Act) of 2014 (FY 2021 Quarter II) 06/10/2021 Commodity Futures Trading Commission Semiannual Report to Congress Semiannual Report Agency-Wide 01/11/2021 Commodity Futures Trading Commission Inspection and Evaluation of CFTC's Compliance with E.O. 13950, Combating Race and Sex Stereotyping (September 22, 2020) (CFTC OIG 2021-IE-1) Inspection / Evaluation Agency-Wide 12/11/2020 Commodity Futures Trading Commission Semiannual Report to Congress April 1, 2020 Semiannual Report Agency-Wide 11/24/2020 Commodity Futures Trading Commission Semiannual Statement Addit Opinion Audit Agency-Wide	12/17/2021		CFTC Financial Statements Audit: Fiscal Year (FY) 2021	Audit	Agency-Wide
Commodity Futures Trading Commission FY 2021 Challenges Agency-Wide Commodity Futures Trading Commission FY 2021 Challenges Agency-Wide Commodity Futures Trading Commission Performance Audit: CFTC's Compliance with the Digital Accountability and Transparency Act (DATA Act) of 2014 (FY 2021 Quarter II) Commodity Futures Trading Commission Semiannual Report to Congress Semiannual Report Agency-Wide Commodity Futures Trading Commission Inspection and Evaluation of CFTC's Compliance with E.O. 13950, Combating Race and Sex Stereotyping (September 22, 2020) (CFTC OIG 2021-IE-1) Commodity Futures Trading Semiannual Report to Congress April 1, 2020 Semiannual Report Agency-Wide Semiannual Report Trading Commission Commodity Futures Trading Semiannual Report to Congress April 1, 2020 Semiannual Report Agency-Wide Commodity Futures Trading Commodity Futures Trading 2020 Financial Statement Audit Opinion Audit Agency-Wide	10/29/2021	,	CPF Audit Opinion and Financial Statements FY 2021	Audit	Agency-Wide
10/05/2021 Commission Accountability and Transparency Act (DATA Act) of 2014 (FY 2021 Quarter II) Accountability and Transparency Act (DATA Act) of 2014 (FY 2021 Quarter II) Semiannual Report to Congress Semiannual Report Agency-Wide Commission Commodity Futures Trading Inspection and Evaluation of CFTC's Compliance with E.O. 13950, Combating Race and Sex Stereotyping (September 22, 2020) (CFTC OIG 2021-IE-1) Commodity Futures Trading Semiannual Report to Congress April 1, 2020 Semiannual Report Agency-Wide Commission Commodity Futures Trading Semiannual Report to Congress April 1, 2020 Semiannual Report Agency-Wide Commodity Futures Trading Commission Commodity Futures Trading 2020 Financial Statement Audit Oninion Audit Agency-Wide	10/12/2021	,			Agency-Wide
Commission Commission Commission Commodity Futures Trading Commission Semiannual Report to Congress April 1, 2020 Commission Commodity Futures Trading Commodity	10/05/2021		Accountability and Transparency Act (DATA Act) of	Audit	Agency-Wide
O1/11/2021 Commodity Futures Trading Commission E.O. 13950, Combating Race and Sex Stereotyping (September 22, 2020) (CFTC OIG 2021-IE-1) Agency-Wide Commodity Futures Trading Commission Semiannual Report to Congress April 1, 2020 Semiannual Report Agency-Wide -September 30, 2020 Commodity Futures Trading Commodity Futures T	06/10/2021		Semiannual Report to Congress	Semiannual Report	Agency-Wide
Commission -September 30, 2020 Semiannual Report Agency-Wide 11/24/2020 Commodity Futures Trading 2020 Financial Statement Audit Oninion Audit Agency-Wide	01/11/2021		E.O. 13950, Combating Race and Sex Stereotyping	•	Agency-Wide
	12/11/2020			Semiannual Report	Agency-Wide
	11/24/2020		2020 Financial Statement Audit Opinion	Audit	Agency-Wide



Report Date	Agency Reviewed / Investigated	Title	Туре	Location
10/29/2020	Commodity Futures Trading Commission	CPF Audit of the CFTC Customer Protection Fund Financial Statements (Fiscal Year-2020)	Audit	Agency-Wide
10/28/2020	Commodity Futures Trading Commission	Management Challenges- Fiscal Year 2020	Audit	Agency-Wide
09/18/2020	Commodity Futures Trading Commission	CFTC's Policies and Procedures Regarding Oversight of Cybersecurity Safeguards by Registered Entities	Audit	Agency-Wide
06/05/2020	Commodity Futures Trading Commission	Transmittal Letter for OIG Semi-Annual Report to Congress ending March 31,2020	Semiannual Report	Agency-Wide
06/05/2020	Commodity Futures Trading Commission	CFTC OIG Semi-Annual Report ending March 31, 2020	Semiannual Report	Agency-Wide
01/14/2020	Commodity Futures Trading Commission	CPF Audit Opinion and Financial Statements FY 2019 (October 31, 2019)	Audit	Agency-Wide
01/14/2020	Commodity Futures Trading Commission	2019 Financial Statement Audit Opinion (November 20, 2019)	Audit	Agency-Wide
01/09/2020	Commodity Futures Trading Commission	Management Challenges - Fiscal Year 2019	Top Management Challenges	Agency-Wide
01/08/2020	Commodity Futures Trading Commission	Management of Select Service Contracts Used by the Office of Data and Technology (ODT) (September 11, 2019)	Inspection / Evaluation	Agency-Wide
01/08/2020	Commodity Futures Trading Commission	CFTC's Compliance with the Digital Accountability and SUBJECT: Transparency Act (DATA Act) of 2014 (FY 2019 Quarter 1) (December 5, 2019)	Audit	Agency-Wide
10/31/2019	Commodity Futures Trading Commission	CFTC OIG Semiannual Report with Cover Letter Oct 31, 2019	Semiannual Report	Agency-Wide
06/11/2019	Commodity Futures Trading Commission	Briefing Report: Unaccounted Laptops	Review	Agency-Wide
06/07/2019	Commodity Futures Trading Commission	Review of CFTC's Data Governance Program: Integrated Surveillance System	Review	Agency-Wide
03/31/2019	Commodity Futures Trading Commission	March 31, 2019 Report	Semiannual Report	Agency-Wide
03/07/2019	Commodity Futures Trading Commission	Audit of the Financial Stability Oversight Council's Monitoring of International Financial Regulatory Proposals and Developments – Transmit to CFTC Chairman	Audit	Agency-Wide
03/07/2019	Commodity Futures Trading Commission	Review of CFTC's Data Governance Program: Integrated Surveillance System	Audit	Agency-Wide
12/18/2018	Commodity Futures Trading Commission	September 30, 2018 Report	Semiannual Report	Agency-Wide
11/15/2018	Commodity Futures Trading Commission	2018 Financial Statement Audit Opinion	Audit	Agency-Wide
10/23/2018	Commodity Futures Trading Commission	CPF Audit Opinion and Financial Statements FY 2018	Audit	Agency-Wide
10/16/2018	Commodity Futures Trading Commission	Inspection and Evaluation of the February 2018 CFTC- SEC harmonization briefing	Inspection / Evaluation	Agency-Wide
09/30/2018	Commodity Futures Trading Commission	September 30, 2018 Report	Semiannual Report	Agency-Wide
09/28/2018	Commodity Futures Trading Commission	Transmittal Letter: Expanding Customer Education Initiatives	Review	Agency-Wide
09/28/2018	Commodity Futures Trading Commission	Whitepaper: Expanding Customer Education Initiatives	Review	Agency-Wide
08/27/2018	Commodity Futures Trading Commission	Management Challenges - Fiscal Year 2018	Top Management Challenges	Agency-Wide
07/30/2018	Commodity Futures Trading Commission	Signed Final Cover Letter	Inspection / Evaluation	Agency-Wide



Report Date	Agency Reviewed / Investigated	Title	Туре	Location
05/18/2018	Commodity Futures Trading Commission	Review of the U.S. Commodity Futures Trading Commission Purchase Card and Convenience Check Program	Review	Agency-Wide
03/31/2018	Commodity Futures Trading Commission	March 31, 2018 Report	Semiannual Report	Agency-Wide
02/08/2018	Commodity Futures Trading Commission	NERA Review of CFTC DCR Stress Testing Programs	Inspection / Evaluation	Agency-Wide
11/14/2017	Commodity Futures Trading Commission	2017 Financial Statements Audit Opinion	Audit	Agency-Wide
10/27/2017	Commodity Futures Trading Commission	CPF Audit Opinion and Financial Statements FY 2017	Audit	Agency-Wide
10/20/2017	Commodity Futures Trading Commission	Compliance with DATA Act of 2014 - Reporting Accuracy in Fiscal Year 2017 Quarter II	Review	Agency-Wide
09/30/2017	Commodity Futures Trading Commission	September 30, 2017 Report	Semiannual Report	Agency-Wide
09/25/2017	Commodity Futures Trading Commission	Management Challenges - Fiscal Year 2017	Top Management Challenges	Agency-Wide
09/25/2017	Commodity Futures Trading Commission	Inspector General's Assessment of the Most Serious ManagementChallenges Facing the Commodity Futures Trading Commission	Top Management Challenges	DC, US
09/22/2017	Commodity Futures Trading Commission	Lean Labor: A Case Study	Other	
08/08/2017	Commodity Futures Trading Commission	Audit of CFTC's Enterprise Architecture Program	Audit	Agency-Wide
06/05/2017	Commodity Futures Trading Commission	A Review of the Cost Benefits Consideration for the Marginal Rule for Unclear Swaps	Other	
05/31/2017	Commodity Futures Trading Commission	Performance Audit: U.S. Commodity Futures Trading Commission's Oversight of the National Futures Association	Audit	Agency-Wide
05/31/2017	Commodity Futures Trading Commission	Performace Audit: U.S. Commodity Futures Trading Commission's Oversight of the National Futures Association	Other	
04/28/2017	Commodity Futures Trading Commission	Commodity Futures Trading Commission Compliance with the Improper Payments Eliminations and Recovery Improvement Act of 2012	Other	
04/28/2017	Commodity Futures Trading Commission	March 31, 2017 Report	Semiannual Report	Agency-Wide
03/31/2017	Commodity Futures Trading Commission	March 31, 2017 Report	Semiannual Report	Agency-Wide
03/30/2017	Commodity Futures Trading Commission	OIG Follow-Up Memo Regarding March 30, 2017, OIG Investigative Report	Investigation	Agency-Wide
03/30/2017	Commodity Futures Trading Commission	Investigation into Potential Violation of 18 U.S.C. § 208: Acts Affecting a Personal Financial Interest	Investigation	Agency-Wide
03/06/2017	Commodity Futures Trading Commission	Appalachian Regional Commission Office of the Inspector General System Review Report	Audit	Agency-Wide
11/14/2016	Commodity Futures Trading Commission	Audit of CFTC's Financial Statements for FY2016	Other	
10/28/2016	Commodity Futures Trading Commission	Audit of CFTC's Constomer Protection Fund Financial Statements for FY2016	Other	
10/28/2016	Commodity Futures Trading Commission	September 30, 2016 Report	Semiannual Report	Agency-Wide
09/20/2016	Commodity Futures Trading Commission	Inspectpr General's Assessment of the Most Serious Management Challenges Facing the Commodity Futures Trading Commission	Top Management Challenges	DC, US



Report Date	Agency Reviewed / Investigated	Title	Туре	Location
09/19/2016	Commodity Futures Trading Commission	Review of CFTC Travel Card Program	Other	
06/07/2016	Commodity Futures Trading Commission	Review of Management Assurance of Internal Controls	Other	
04/01/2016	Commodity Futures Trading Commission	March 31, 2016 Report	Semiannual Report	Agency-Wide
01/15/2016	Commodity Futures Trading Commission	Audit of CFTC's Financial Statements for FY2015	Other	
10/30/2015	Commodity Futures Trading Commission	Audit of CFTC's Constomer Protection Fund Financial Statements for FY2015	Other	
10/26/2015	Commodity Futures Trading Commission	Inspector General's Assessment of the Most Serious Management Challenges Facing the Commodity Futures Trading Commission	Top Management Challenges	Agency-Wide
09/30/2015	Commodity Futures Trading Commission	September 30, 2015 Report	Semiannual Report	Agency-Wide
04/30/2015	Commodity Futures Trading Commission	March 31, 2015 Report	Semiannual Report	Agency-Wide
11/03/2014	Commodity Futures Trading Commission	September 30, 2014 Report	Semiannual Report	Agency-Wide
04/30/2014	Commodity Futures Trading Commission	March 31, 2014 Report	Semiannual Report	Agency-Wide
11/01/2013	Commodity Futures Trading Commission	Spetember 30, 2013 Report	Semiannual Report	Agency-Wide
04/30/2013	Commodity Futures Trading Commission	March 31, 2013 Report	Semiannual Report	Agency-Wide

Displaying 1 - 86 of 86