

Thu, 18 Apr 2024 04:51:41 -0400 EDT

| Report Date | Agency Reviewed / Investigated | Title  | Type                      | Location    |
|-------------|--------------------------------|--|---------------------------|-------------|
| 03/28/2024  | Federal Housing Finance Agency | DBR Conducted Effective Oversight of the FHLBanks' Management of Third-Party Provider Risks But Did Not Fully Document Sampling in Examination Workpapers                          | Audit                     | Agency-Wide |
| 03/28/2024  | Federal Housing Finance Agency | DER Provided Effective Oversight of the Enterprises' Nonbank Seller/Services Risk Management But Needs to Develop Policies and Procedures for Two Supervisory Activities           | Audit                     | Agency-Wide |
| 03/28/2024  | Federal Housing Finance Agency | FHFA's Analysis of Credit Score Models Was Consistent with Applicable Requirements but the Agency Could Improve Its Process and Enhance the Level of Detail in Its Decision Record | Inspection / Evaluation   | Agency-Wide |
| 03/28/2024  | Federal Housing Finance Agency | Inspection: FHFA's Oversight of Enterprise Fraud Risk Management   | Inspection / Evaluation   | Agency-Wide |
| 03/28/2024  | Federal Housing Finance Agency | FHFA Took Actions to Ensure That Fannie Mae Adequately Addressed Deficiencies in Its Business Resiliency Program   | Inspection / Evaluation   | Agency-Wide |
| 03/14/2024  | Federal Housing Finance Agency | FHFA Regularly Analyzed Agency Workforce Data and Assessed Trends in Hiring, Awards, and Promotions  | Review                    | Agency-Wide |
| 02/08/2024  | Federal Housing Finance Agency | FHFA Incorporated the FAR's Whistleblower Protection Language for Contractor Employees in Selected Open Market Solicitations and Awards  | Review                    | Agency-Wide |
| 01/10/2024  | Federal Housing Finance Agency | FHFA Completed All Planned Ongoing Monitoring Activities for Fannie Mae and CSS During the 2022 Examination Cycle  | Review                    | Agency-Wide |
| 01/10/2024  | Federal Housing Finance Agency | FHFA Has Acted to Strengthen Its Oversight of Federal Home Loan Bank Members' Compliance with Community Support Requirements   | Inspection / Evaluation   | Agency-Wide |
| 11/16/2023  | Federal Housing Finance Agency | Twenty-Sixth Semiannual Report to Congress   | Semiannual Report         | Agency-Wide |
| 11/07/2023  | Federal Housing Finance Agency | DER Effectively Followed Its Risk-Based Approach in Its Oversight of Fannie Mae's IT Investment Management   | Audit                     | Agency-Wide |
| 10/25/2023  | Federal Housing Finance Agency | FHFA Did Not Document Reviews of Desktop Appraisal Reports   | Audit                     | Agency-Wide |
| 10/06/2023  | Federal Housing Finance Agency | FHFA Fiscal Year 2024 Management and Performance Challenges  | Top Management Challenges | Agency-Wide |
| 09/28/2023  | Federal Housing Finance Agency | DBR Conducted Effective Oversight of FHLBanks' Employee Expense Reimbursement Processes but Lacked Documented Examination Guidance   | Audit                     | Agency-Wide |
| 09/28/2023  | Federal Housing Finance Agency | Deficiencies in FHFA's Travel Program from April 1, 2022, through March 31, 2023   | Other                     | Agency-Wide |
| 09/28/2023  | Federal Housing Finance Agency | Risk Assessment of FHFA's Charge Card Programs April 1, 2022 - March 31, 2023  | Other                     | Agency-Wide |
| 09/27/2023  | Federal Housing Finance Agency | FHFA Effectively Blocked Phishing Emails but Requires Improvement in Managing Vulnerabilities on Its Public Websites   | Audit                     | Agency-Wide |
| 09/21/2023  | Federal Housing Finance Agency | People Risk at FHFA's Regulated Entities   | Other                     | Agency-Wide |
| 09/21/2023  | Federal Housing Finance Agency | DBR Adapted the Scope of Its Federal Home Loan Bank Supervisory Activities in 2023 in Response to Market Disruptions   | Inspection / Evaluation   | Agency-Wide |
| 09/06/2023  | Federal Housing Finance Agency | FHFA Performed Active Oversight and Made Efforts to Ensure Fannie Mae's Compliance with Its Directions to Improve Audit Committee Operations                                       | Review                    | Agency-Wide |
| 09/06/2023  | Federal Housing Finance Agency | DER Implemented Controls to Ensure that the Enterprises and CSS Remediated Adverse Examination Findings Within FHFA Determined Reasonable Timeframes                               | Audit                     | Agency-Wide |
| 08/23/2023  | Federal Housing Finance Agency | Audit of the Federal Housing Finance Agency's Privacy Program Fiscal Year 2023   | Audit                     | Agency-Wide |
| 08/23/2023  | Federal Housing Finance Agency | FHFA Did Not Effectively Implement Records Management Training Controls for Onboarding and Offboarding Personnel   | Review                    | Agency-Wide |

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| 07/27/2023  | Federal Housing Finance Agency | FHFA Has Initiatives to Advance Equity and Support for Underserved Communities, but Tracking and Documentation Need Improvement  | Audit                     | Agency-Wide |
| 07/27/2023  | Federal Housing Finance Agency | Audit of the Federal Housing Finance Agency's Information Security Programs and Practices Fiscal Year 2023   | Audit                     | Agency-Wide |
| 06/26/2023  | Federal Housing Finance Agency | FHFA Did Not Effectively Implement Controls Intended to Ensure the Integrity of Its Employee Transportation Benefits Program   | Review                    | Agency-Wide |
| 05/25/2023  | Federal Housing Finance Agency | Twenty-Fifth Semiannual Report to the Congress   | Semiannual Report         | Agency-Wide |
| 04/19/2023  | Federal Housing Finance Agency | FHFA Completed Examination Work Sufficient to Determine Whether the Enterprises' Credit Default Models Met Supervisory Expectations  | Inspection / Evaluation   | Agency-Wide |
| 03/31/2023  | Federal Housing Finance Agency | An Overview of the Federal Home Loan Bank System   | Other                     | Agency-Wide |
| 03/29/2023  | Federal Housing Finance Agency | FHFA Followed Its Guidance When Making Conservatorship Decisions But Needs to Improve Retention of Decision Documentation and Update the Conservatorship Decision Policy and Procedures  | Audit                     | Agency-Wide |
| 03/22/2023  | Federal Housing Finance Agency | DBR Adhered to Its Work Program Minimum Frequency Guidelines for Annual Examinations   | Review                    | Agency-Wide |
| 03/21/2023  | Federal Housing Finance Agency | FHFA Examinations of CSS Include Review of the Board of Managers but Supervision Has a Key Person Dependency and Outdated Guidance   | Inspection / Evaluation   | Agency-Wide |
| 03/08/2023  | Federal Housing Finance Agency | FHFA Did Not Fully Implement Select Security Controls Over One of Its Cloud Systems as Required by NIST and FHFA Standards and Guidelines  | Audit                     | Agency-Wide |
| 02/10/2023  | Federal Housing Finance Agency | An Overview of Common Securitization Solutions, LLC  | Other                     | Agency-Wide |
| 02/09/2023  | Federal Housing Finance Agency | The Division of Federal Home Loan Bank Regulation Followed Its Guidance in Performing Annual Examinations of Each Federal Home Loan Bank's Affordable Housing Program but the AHP Examination Planning Processes Require Improvement | Audit                     | Agency-Wide |
| 02/02/2023  | Federal Housing Finance Agency | FHFA Secured Electronic Media It Designated for Disposal, But Did Not Inventory Items Consistently or Reconcile Inventory Discrepancies  | Review                    | Agency-Wide |
| 01/26/2023  | Federal Housing Finance Agency | FHFA Adhered to Its Corrective Actions for Hiring Pathways Interns   | Review                    | Agency-Wide |
| 01/09/2023  | Federal Housing Finance Agency | The Company That Issues and Administers the Enterprises' Mortgage-Backed Securities Adhered to FHFA's Cybersecurity Incident Reporting Standards   | Review                    | Agency-Wide |
| 12/20/2022  | Federal Housing Finance Agency | FHFA Could Further Combat Appraisal Bias by Ensuring That Complaints Are Filed with State Authorities and Ensuring the Enterprises Use Appraisals That Comply with Federal Law Evaluation  | Inspection / Evaluation   | Agency-Wide |
| 11/17/2022  | Federal Housing Finance Agency | Twenty-Fourth Semiannual Report  | Semiannual Report         | Agency-Wide |
| 10/06/2022  | Federal Housing Finance Agency | Fiscal Year 2023 Management and Performance Challenges   | Top Management Challenges | Agency-Wide |
| 09/27/2022  | Federal Housing Finance Agency | FHFA Could Enhance the Efficiency of the Agency's Oversight of Enterprise Executive Compensation by Ensuring Sufficient Human Capital Resources and Updating Procedures  | Inspection / Evaluation   | US          |
| 09/22/2022  | Federal Housing Finance Agency | FHFA Is Addressing Inadequate Cybersecurity Incident Reports by the Enterprises  | Review                    | Agency-Wide |
| 09/19/2022  | Federal Housing Finance Agency | Risk Assessment of FHFA's Government Purchase Card and Travel Card Programs April 1, 2021-March 31, 2022   | Other                     | Agency-Wide |
| 09/19/2022  | Federal Housing Finance Agency | Enterprise Use of Artificial Intelligence and Machine Learning   | Other                     | Agency-Wide |

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| 09/08/2022  | Federal Housing Finance Agency | FHFA Did Not Always Follow Federal Regulations and Its Policy for Employee Financial Disclosures During Fiscal Years 2020 and 2021  | Audit                   | Agency-Wide |
| 09/08/2022  | Federal Housing Finance Agency | FHFA Has Not Consistently Collected and Destroyed Identification Cards from Separating Personnel, but Has Otherwise Substantially Adhered to its Offboarding Procedures   | Review                  | Agency-Wide |
| 09/01/2022  | Federal Housing Finance Agency | FHFA Did Not Fully Comply with DHS Binding Operational Directives for Securing Its Public Websites and Publishing Its Vulnerability Disclosure Policy   | Audit                   | Agency-Wide |
| 07/28/2022  | Federal Housing Finance Agency | Audit of the Federal Housing Finance Agency's Information Security Program and Practices Fiscal Year 2022   | Audit                   | Agency-Wide |
| 07/11/2022  | Federal Housing Finance Agency | FHFA's Division of Enterprise Regulation Substantially Adhered to its Rotation Policy for Examination Leader Assignments Despite Not Tracking Them Consistently   | Review                  | Agency-Wide |
| 07/06/2022  | Federal Housing Finance Agency | FHFA's Visibility Into the Enterprises' Credit Risks Has Increased by Reviewing Significantly More of Their Proposed Mortgage Selling Policies Before Implementation  | Review                  | Agency-Wide |
| 06/28/2022  | Federal Housing Finance Agency | Fannie Mae and Freddie Mac Fourth-Party Risk  | Other                   | Agency-Wide |
| 06/23/2022  | Federal Housing Finance Agency | FHFA Has Laid the Groundwork to Integrate Consideration of Climate-Related Financial Risk into its Policies and Programs but Plans and Methodologies to Accomplish This Work Are in the Early Stages of Development | Audit                   | Agency-Wide |
| 05/31/2022  | Federal Housing Finance Agency | FHFA Ensured that Fannie Mae Submitted Required Property Valuation Data to the Agency's Mortgage Loan Integrated System   | Review                  | US          |
| 05/18/2022  | Federal Housing Finance Agency | Twenty-Third Semiannual Report to the Congress  | Semiannual Report       | Agency-Wide |
| 05/12/2022  | Federal Housing Finance Agency | FHFA Did Not Always Follow its Procedures When Reviewing the Enterprises' Draft SEC Filings, But Plans to Take Corrective Action  | Audit                   | Agency-Wide |
| 03/30/2022  | Federal Housing Finance Agency | FHFA Adequately Designed and Implemented Controls to Detect and Prevent Improper Vendor Payments During Fiscal Year 2021  | Audit                   | Agency-Wide |
| 03/24/2022  | Federal Housing Finance Agency | Oversight of Multifamily Borrowers' Compliance with CARES Act and Freddie Mac Tenant Protections and Freddie Mac's Response to the Potential Financial Impact of COVID-19   | Other                   | US          |
| 03/23/2022  | Federal Housing Finance Agency | DER Followed its Guidance to Prepare, Review, and Issue the 2020 CSS Report of Examination  | Audit                   | Agency-Wide |
| 03/17/2022  | Federal Housing Finance Agency | FHFA's Public Reporting of the Enterprises' Progress Toward the Objectives FHFA Set in the 2020 Conservatorship Scorecard Lacked the Detail and Transparency of Past Reporting                                      | Inspection / Evaluation | US          |
| 03/07/2022  | Federal Housing Finance Agency | FHFA's Division of Enterprise Regulation Has Made Progress in Its Quality Control Program but Needs to Ensure Adequate Reporting and Feedback Is Provided to Management   | Inspection / Evaluation | US          |
| 02/16/2022  | Federal Housing Finance Agency | Contrary to OMWI's FY 2016-2018 Strategic Plan, FHFA Developed and Implemented Internal Diversity Standards to Which it Does Not Adhere Fully, and it Has Not Established a Financial Literacy Program              | Review                  | US          |
| 01/26/2022  | Federal Housing Finance Agency | Report of Administrative Inquiry into a Whistleblower Complaint Concerning an Enterprise Executive Compensation Matter  | Other                   | US          |
| 01/19/2022  | Federal Housing Finance Agency | The Enterprises Substantially Complied with FHFA's Revised Fraud Reporting Requirements   | Review                  | US          |
| 01/18/2022  | Federal Housing Finance Agency | Fannie Mae Successfully Implemented its Compliance Plan for FHFA's NPL Post-Sale Data Collection Requirements   | Review                  | US          |
| 01/14/2022  | Federal Housing Finance Agency | FHFA Generally Complied with its Updated Guidance for Procurement Peer Reviews  | Review                  | US          |
| 01/05/2022  | Federal Housing Finance Agency | FHFA's Ability to Fill Positions Was Hampered by an Unreliable Internal Management Reporting Tool, Failure to Review its Hiring Practices, and Lack of Training   | Audit                   | Agency-Wide |

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| 12/15/2021  | Federal Housing Finance Agency | FHFA's Recent Changes to its Housing Finance Examiner Commission Program  | Other                     | US          |
| 12/13/2021  | Federal Housing Finance Agency | FHFA Did Not Follow All of its Contingency Planning Requirements for the National Mortgage Database (NMDB) or its Correspondence Tracking System (CTS)  | Audit                     | US          |
| 11/18/2021  | Federal Housing Finance Agency | FHFA OIG FY 2022 Annual Report  | Other                     | Agency-Wide |
| 11/16/2021  | Federal Housing Finance Agency | Twenty-Second Semiannual Report to the Congress   | Semiannual Report         | Agency-Wide |
| 11/03/2021  | Federal Housing Finance Agency | FHFA Has Determined that the Enterprises Can Absorb the Full Cost of CARES Act Mortgage Forbearance   | Other                     | Agency-Wide |
| 10/15/2021  | Federal Housing Finance Agency | Fiscal Year 2022 Management and Performance Challenges  | Top Management Challenges | Agency-Wide |
| 10/15/2021  | Federal Housing Finance Agency | Audit of the Federal Housing Finance Agency Office of Inspector General's Information Security Program, Fiscal Year 2021  | Audit                     | Agency-Wide |
| 10/15/2021  | Federal Housing Finance Agency | Audit of the Federal Housing Finance Agency's Information Security Program, Fiscal Year 2021  | Audit                     | Agency-Wide |
| 09/30/2021  | Federal Housing Finance Agency | FHFA Did Not Follow its Interim Directive on a Requirement to Use a FAR Clause Intended to Protect Whistleblower Rights of Contractor Employees, But Has Since Taken Corrective Action  | Audit                     | US          |
| 09/28/2021  | Federal Housing Finance Agency | FHFA's Division of Enterprise Regulation Did Not Follow or Train to its Procedures for Information Sharing of Enterprise Counterparty Performance Issues  | Audit                     | US          |
| 09/28/2021  | Federal Housing Finance Agency | FHFA's Use of its Enterprise Examination Manual, in Practice, Does Not Align with its Goal of Promoting a Consistent Examination Approach or Meet Management's Expectations   | Audit                     | US          |
| 09/27/2021  | Federal Housing Finance Agency | Recent Trends in Enterprise Cash-Out Refinances   | Other                     | US          |
| 09/27/2021  | Federal Housing Finance Agency | Enterprise Counterparties: Reinsurers   | Other                     | US          |
| 09/27/2021  | Federal Housing Finance Agency | Interconnectedness of Enterprise Counterparties with a Common Parent Company  | Other                     | US          |
| 09/20/2021  | Federal Housing Finance Agency | FHFA's Failure to Use its Prudential Management and Operations Standards as Criteria for Supervision of the Enterprises Is Inconsistent with the FHFA Director's Statutory Duty to Ensure the Enterprises Comply with FHFA's Guidelines | Other                     | US          |
| 09/02/2021  | Federal Housing Finance Agency | DBR Generally Followed its Guidance to Assess the Remediation of Adverse Examination Findings Issued to the FHLBanks and the Office of Finance  | Audit                     | US          |
| 09/01/2021  | Federal Housing Finance Agency | FHFA Must Resolve the Conflicts in its Guidance for Examinations of the Enterprises to Meet its Commitment to Develop and Maintain a World Class Supervision Program  | Other                     | US          |
| 08/25/2021  | Federal Housing Finance Agency | Compliance Review of FHFA's Suspended Counterparty Program  | Review                    | US          |
| 08/25/2021  | Federal Housing Finance Agency | Compliance Review of DBR's Quality Control for Examination Work Performed by Examiners-in-Charge  | Review                    | US          |
| 08/25/2021  | Federal Housing Finance Agency | Enterprise Multifamily Variable-Rate Mortgages  | Other                     | US          |
| 08/11/2021  | Federal Housing Finance Agency | Audit of the Federal Housing Finance Agency's 2021 Privacy Program  | Audit                     | US          |
| 07/22/2021  | Federal Housing Finance Agency | FHFA Lacked Documentation of its Validation of Data Used to Produce the Third Quarter 2020 Seasonally Adjusted, Expanded-Data FHFA HPI and Failed to Timely Review its Information Quality Guidelines                                   | Audit                     | US          |
| 07/21/2021  | Federal Housing Finance Agency | Compliance Review of FHFA's Handling of Fannie Mae's Confidential Conservator Requests  | Review                    | US          |

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| 07/14/2021  | Federal Housing Finance Agency | Summary of Administrative Inquiry: The Office of Inspector General's Review of Allegations that a Senior Agency Executive Asked Job Candidates and Subordinate Employees about Their National Origin and Made Racially Insensitive Comments                     | Other                   | US          |
| 06/25/2021  | Federal Housing Finance Agency | FHFA Did Not Record, Track, or Report All Security Incidents to US-CERT; 38% of Sampled FHFA Users Did Not Report a Suspicious Phone Call Made to Test User Awareness of its Rules of Behavior  | Audit                   | Agency-Wide |
| 06/17/2021  | Federal Housing Finance Agency | Risk Assessment of FHFA's Government Purchase Card and Travel Card Programs April 1, 2020 - March 31, 2021  | Other                   | Agency-Wide |
| 06/17/2021  | Federal Housing Finance Agency | FHFA Did Not Always Follow its Policies for Monetary Awards, Recruitment Bonuses, and Retention Allowances during Fiscal Years 2019 and 2020; FHFA's Excellence Awards Were Not Included in Agency Policy   | Audit                   | Agency-Wide |
| 06/15/2021  | Federal Housing Finance Agency | Compliance Review of DBR's Assessment and Documentation of Critical Cybersecurity Controls in Examinations of the FHLBank System  | Review                  | US          |
| 05/18/2021  | Federal Housing Finance Agency | Twenty-First Semiannual Report to the Congress  | Semiannual Report       | Agency-Wide |
| 03/30/2021  | Federal Housing Finance Agency | FHFA's Failure to Define and Clearly Communicate "Supervisory Concerns" Hinders the Enterprise Boards' Ability to Execute Their Oversight Obligations Under FHFA's Corporate Governance Regulation and Renders the Regulation Ineffective as a Supervisory Tool | Inspection / Evaluation | US          |
| 03/29/2021  | Federal Housing Finance Agency | Despite FHFA's Acknowledgement that Enterprise Reliance on Third-Parties Represents a Significant Operational Risk, No Targeted Examinations of Fannie Mae's Third-Party Risk Management Program Were Completed Over a Seven-Year Period                        | Audit                   | US          |
| 03/29/2021  | Federal Housing Finance Agency | Audit of an FHFA Sensitive Employment-Related Case Tracking System: FHFA Followed its Access Control Standard, But its System Is Adversely Impacted by Two Security Control Weaknesses  | Audit                   | US          |
| 03/23/2021  | Federal Housing Finance Agency | Disaster Risk for Enterprise Single-Family Mortgages  | Other                   | US          |
| 03/23/2021  | Federal Housing Finance Agency | Landscape Report: Survey of the Impact of the SolarWinds Orion Supply Chain Compromise on FHFA and its Regulated Entities   | Other                   | US          |
| 03/23/2021  | Federal Housing Finance Agency | FHFA's Failure to Include the Financial Crimes and Model Components in its CSS Risk Assessment Is Inconsistent with a Risk-Based Approach to Supervision  | Audit                   | US          |
| 03/22/2021  | Federal Housing Finance Agency | For Nine Years, FHFA Has Failed to Take Timely and Decisive Supervisory Action to Bring Fannie Mae into Compliance with its Prudential Standard to Ensure Business Resiliency   | Inspection / Evaluation | US          |
| 03/22/2021  | Federal Housing Finance Agency | Enterprise Business Resiliency: Risk Mitigation and Plan Development  | Other                   | US          |
| 03/17/2021  | Federal Housing Finance Agency | Update on Enterprise Transition from LIBOR to an Alternative Index for Single-Family ARMs   | Other                   | US          |
| 03/17/2021  | Federal Housing Finance Agency | FHFA Followed OMB Guidance in Implementing its Enterprise Risk Management Program But its 2020 Risk Profile Failed to Identify a Significant Action Underway to Address Acknowledged Supervision Risk   | Audit                   | US          |
| 03/15/2021  | Federal Housing Finance Agency | Corporate Governance: Fannie Mae Senior Executive Officers and Ethics Officials Again Failed to Follow Requirements for Disclosure and Resolution of Conflicts of Interest, Prompting the Need for FHFA Direction   | Inspection / Evaluation | US          |
| 03/15/2021  | Federal Housing Finance Agency | Compliance Review of DER's Assessments of Enterprise MRA Closure Packages   | Review                  | US          |
| 03/11/2021  | Federal Housing Finance Agency | Audit of FHFA's Design of Procedures and Guidance to Prevent and Reduce Improper Payments   | Audit                   | Agency-Wide |
| 03/08/2021  | Federal Housing Finance Agency | Update on Mortgage Insurers as Enterprise Counterparties  | Other                   | US          |



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| 02/12/2021  | Federal Housing Finance Agency | Compliance Review of FHFA's Quality Control Reviews of Enterprise Supervision Activities  | Review                    | US          |
| 01/21/2021  | Federal Housing Finance Agency | After Four and a Half Years, DER Still Fails to Ensure that Enterprise Boards are Notified of Serious Deficiencies in a Timely Manner   | Review                    | US          |
| 01/06/2021  | Federal Housing Finance Agency | Compliance Review of FHFA's Commitment to Conduct Independent Quality Control Reviews of DBR's Community Investment Examinations  | Review                    | US          |
| 11/17/2020  | Federal Housing Finance Agency | Twentieth Semiannual Report to the Congress   | Semiannual Report         | Agency-Wide |
| 10/20/2020  | Federal Housing Finance Agency | Audit of the Federal Housing Finance Agency Office of Inspector General's Information Security Program (Fiscal Year 2020)   | Audit                     | Agency-Wide |
| 10/20/2020  | Federal Housing Finance Agency | Audit of the Federal Housing Finance Agency's Information Security Program (Fiscal Year 2020)   | Audit                     | Agency-Wide |
| 10/14/2020  | Federal Housing Finance Agency | FHFA Fiscal Year 2021 Management and Performance Challenges   | Top Management Challenges | Agency-Wide |
| 09/29/2020  | Federal Housing Finance Agency | Weaknesses in FHFA's Monitoring of the Enterprises' 97% LTV Mortgage Programs May Hinder FHFA's Ability to Timely Identify, Analyze, and Respond to Risks Related to Achieving the Programs' Objectives   | Audit                     | US          |
| 09/17/2020  | Federal Housing Finance Agency | FHFA Failed to Follow its Cloud-Based Computing Requirements when it Did Not Validate the Implementation of Minimum Security Requirements for Cloud-Based Tools and Did Not Include Required IT Security Provisions in Some of its Cloud Service Contracts              | Audit                     | US          |
| 09/15/2020  | Federal Housing Finance Agency | Compliance Review of FHFA's Commitments to Conduct Quality Control Review of Examination Conclusions Prior to Including Them in Reports of Examination  | Review                    | US          |
| 09/14/2020  | Federal Housing Finance Agency | Fannie Mae and Freddie Mac Purchases of eMortgages  | Other                     | US          |
| 09/10/2020  | Federal Housing Finance Agency | More than Eight Years After Issuing its Advisory Bulletin, FHFA Has Not Held the Enterprises to its Expectations on Charging off Delinquent Loans or Communicated New Expectations  | Inspection / Evaluation   | US          |
| 09/09/2020  | Federal Housing Finance Agency | FHFA Completed All of its Planned Ongoing Monitoring Activities for Freddie Mac for 2019  | Audit                     | US          |
| 09/09/2020  | Federal Housing Finance Agency | FHFA Completed Most of its Planned Ongoing Monitoring Activities for Fannie Mae and CSS for 2019; However, FHFA Failed to Follow its Requirements When it Changed Examination Plans for Non-Risk-Based Reasons and Failed to Obtain Deputy Director Approval            | Audit                     | US          |
| 09/08/2020  | Federal Housing Finance Agency | Management Advisory: FHFA-OIG's Investigation of Allegations of Fraud Affecting Paycheck Protection Program Loans Obtained or Sought from Federal Home Loan Bank Member Institutions  | Other                     | US          |
| 09/03/2020  | Federal Housing Finance Agency | DBR's Examinations during the 2017 through 2019 Examination Cycles Generally Complied with its Guidelines, but Some Exceptions to those Guidelines Were Not Documented and/or Approved, and DBR's Quality Control Branch Failed to Identify these Shortcomings          | Audit                     | US          |
| 09/03/2020  | Federal Housing Finance Agency | Impact of Pandemic-Related Forbearance and Foreclosure Relief for Single-Family Mortgages on the Enterprises' Implementation of CECL  | Other                     | US          |
| 08/31/2020  | Federal Housing Finance Agency | Enterprise Business Resiliency: Risk Assessment and Business Impact Analysis  | Other                     | US          |
| 08/27/2020  | Federal Housing Finance Agency | FHFA Examiners' Lack of Assessment and Escalation of Shortcomings Identified by an Enterprise in its Servicer Fraud Risk Management Framework Limited the Agency's Supervisory Oversight  | Inspection / Evaluation   | US          |
| 08/26/2020  | Federal Housing Finance Agency | Freddie Mac Management Failed to Adopt and Implement Conflicts of Interest Policies Which Aligned Fully with FHFA's Directive on Senior Executive Officers' Conflicts of Interest, and With the Charter for the Freddie Mac Board's Nominating and Governance Committee | Review                    | US          |

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| 08/26/2020  | Federal Housing Finance Agency | Compliance Review of Fannie Mae's Conflicts of Interest Policies and Procedures Regarding its Senior Executive Officers   | Review                  | US          |
| 08/12/2020  | Federal Housing Finance Agency | Enterprise Monitoring of Cloud Computing Service Providers  | Other                   | US          |
| 07/27/2020  | Federal Housing Finance Agency | Oversight by Fannie Mae and Freddie Mac of Compliance with Forbearance Requirements Under the CARES Act and Implementing Guidance by Mortgage Servicers   | Other                   | US          |
| 07/21/2020  | Federal Housing Finance Agency | Compliance Review of the Agency's Maintenance of Vehicle Use Logs and Training its Employees on Vehicle Use   | Review                  | Agency-Wide |
| 07/14/2020  | Federal Housing Finance Agency | Risk Assessment of FHFA's Government Purchase Card and Travel Card Programs July 1, 2019 - March 31, 2020   | Other                   | Agency-Wide |
| 05/20/2020  | Federal Housing Finance Agency | Nineteenth Semiannual Report to the Congress  | Semiannual Report       | Agency-Wide |
| 05/19/2020  | Federal Housing Finance Agency | Summary of Administrative Inquiry: The Office of Inspector General's Review of a Hotline Complaint Alleging Improper Hiring of a Student Intern and Unauthorized Creation of Hiring Authorities                       | Other                   | US          |
| 03/30/2020  | Federal Housing Finance Agency | FHFA Cannot Assure that All Electronic Media Approved for Destruction in October 2018 Was Destroyed, and it Continues to Lack Adequate Controls over Electronic Media Targeted for Disposal                           | Audit                   | Agency-Wide |
| 03/30/2020  | Federal Housing Finance Agency | FHFA Faces a Formidable Challenge: Remediating the Chronic and Pervasive Deficiencies in its Supervision Program Prior to Ending the Conservatorships of Fannie Mae and Freddie Mac                                   | Other                   | US          |
| 03/26/2020  | Federal Housing Finance Agency | FHFA Needs to Strengthen Controls Over its Records Management Program to Comply with OMB and NARA Requirements  | Audit                   | Agency-Wide |
| 03/26/2020  | Federal Housing Finance Agency | For Fiscal Year 2019, FHFA Did Not Always Follow its Policy for Employee Reimbursements and Stipends; FHFA's Practice for Calculating Employee Travel Stipends Was Not Stated in its Policy Nor Consistently Followed | Audit                   | Agency-Wide |
| 03/25/2020  | Federal Housing Finance Agency | Despite FHFA's Recognition of Significant Risks Associated with Fannie Mae's and Freddie Mac's High-Risk Models, its Examination of Those Models Over a Six Year Period Has Been Neither Rigorous nor Timely          | Inspection / Evaluation | US          |
| 03/24/2020  | Federal Housing Finance Agency | FHFA's Procurement Awards during the Period January 2017 to September 2019 Followed Most of its Acquisition Policies and Procedures but Some Required Internal Peer Reviews Were Not Performed                        | Audit                   | Agency-Wide |
| 03/23/2020  | Federal Housing Finance Agency | FHFA's 2019 Disaster Recovery Exercise of its General Support System Was Conducted as Planned, But its Disaster Recovery Procedures Were Missing Certain Required Elements and Included Outdated Information          | Audit                   | Agency-Wide |
| 03/19/2020  | Federal Housing Finance Agency | Enterprises' Transition from LIBOR to an Alternative Index for Single-Family ARMs   | Other                   | US          |
| 03/13/2020  | Federal Housing Finance Agency | Compliance Review of FHFA's Process for Reviewing the Enterprises' Proposed FY 2019 and FY 2020 Annual Operating Budgets  | Review                  | US          |
| 03/12/2020  | Federal Housing Finance Agency | Enterprise Third-Party Relationships: Risk Assessment and Due Diligence in Vendor Selection   | Other                   | US          |
| 03/11/2020  | Federal Housing Finance Agency | An Overview of Enterprise Use of Cloud Computing  | Other                   | US          |
| 03/05/2020  | Federal Housing Finance Agency | Fannie Mae and Freddie Mac Uniform Mortgage-Backed Securities   | Other                   | US          |
| 03/03/2020  | Federal Housing Finance Agency | Management Advisory: FHFA Failed to Enforce a Provision of an IT Services Contract, Resulting in More than \$80,000 in Questioned Costs   | Other                   | Agency-Wide |
| 02/26/2020  | Federal Housing Finance Agency | Compliance Review of FHFA's Enterprise Non-Performing Loan Sales Program  | Review                  | US          |

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| 02/25/2020  | Federal Housing Finance Agency | Despite Prior Commitments, FHFA Has Not Implemented a Systematic Workforce Planning Process to Determine Whether Enough Qualified Examiners are Available to Assess the Safety and Soundness of Fannie Mae and Freddie Mac                     | Audit                     | US          |
| 02/21/2020  | Federal Housing Finance Agency | Compliance Review of the Timeliness of FHFA's Assessments of the Enterprises' Remediation Closure Packages for a Matter Requiring Attention  | Review                    | US          |
| 02/13/2020  | Federal Housing Finance Agency | FHFA Complied with Applicable Improper Payment Requirements for Fiscal Year 2019   | Audit                     | Agency-Wide |
| 11/19/2019  | Federal Housing Finance Agency | Eighteenth Semiannual Report to the Congress   | Semiannual Report         | Agency-Wide |
| 10/25/2019  | Federal Housing Finance Agency | Audit of the Federal Housing Finance Agency Office of the Inspector General's Information Security Program (Fiscal Year 2019)  | Audit                     | Agency-Wide |
| 10/25/2019  | Federal Housing Finance Agency | Audit of the Federal Housing Finance Agency's Information Security Program (Fiscal Year 2019)  | Audit                     | Agency-Wide |
| 10/22/2019  | Federal Housing Finance Agency | FHFA Fiscal Year 2020 Management and Performance Challenges  | Top Management Challenges | Agency-Wide |
| 09/26/2019  | Federal Housing Finance Agency | Enterprise Use of Automated Verifications of Borrower Employment, Income, and Assets   | Other                     | US          |
| 09/24/2019  | Federal Housing Finance Agency | 2019 Internal Penetration Test of FHFA's Network and Systems   | Audit                     | Agency-Wide |
| 09/24/2019  | Federal Housing Finance Agency | The Current Expected Credit Loss (CECL) Methodology and the Enterprises and FHLBanks   | Other                     | US          |
| 09/23/2019  | Federal Housing Finance Agency | FHFA Should Enhance Supervision of its Regulated Entities' Cybersecurity Risk Management by Obtaining Consistent Cybersecurity Incident Data   | Inspection / Evaluation   | US          |
| 09/17/2019  | Federal Housing Finance Agency | FHFA's Completion of Planned Targeted Examinations of Freddie Mac Improved from 2016 through 2018, But Timeliness Remained an Issue  | Audit                     | US          |
| 09/17/2019  | Federal Housing Finance Agency | FHFA's Completion of Planned Targeted Examinations of Fannie Mae Improved from 2016 through 2018, But Timeliness Remained an Issue; With the June 2019 Issuance of the Single Security, FHFA Should Reassess its Supervision Framework for CSS | Audit                     | US          |
| 09/16/2019  | Federal Housing Finance Agency | FHFA Should Name an Ombudsman and Document the Office of the Ombudsman's Procedures  | Audit                     | Agency-Wide |
| 09/10/2019  | Federal Housing Finance Agency | Five Years After Issuance, Many Examination Modules Remain in Field Test; FHFA Should Establish Timelines and Processes to Ensure Timely Revision of Examiner Guidance   | Inspection / Evaluation   | US          |
| 09/10/2019  | Federal Housing Finance Agency | Risk Assessment of FHFA's Government Travel Card Program (July 1, 2018-June 30, 2019)  | Other                     | Agency-Wide |
| 09/09/2019  | Federal Housing Finance Agency | Compliance Review of FHFA's Commitment to Evaluate Its Internal Quality Control Reviews Pertaining to Matters Requiring Attention  | Review                    | US          |
| 09/04/2019  | Federal Housing Finance Agency | Management Advisory: Allegations Related to Fannie Mae Senior Executive Spending on Entertainment, Conferences, and Training (January 2016 through September 2018)   | Other                     | US          |
| 08/28/2019  | Federal Housing Finance Agency | Audit of FHFA's Government Purchase Card Program (October 1, 2018 - March 31, 2019)  | Audit                     | Agency-Wide |
| 08/28/2019  | Federal Housing Finance Agency | Audit of the Federal Housing Finance Agency's 2019 Privacy Program   | Audit                     | Agency-Wide |
| 08/14/2019  | Federal Housing Finance Agency | Compliance Review of FHFA's Risk Assessments of the Enterprises  | Review                    | US          |
| 07/10/2019  | Federal Housing Finance Agency | FHFA Conducted BSA/AML Program Examinations of 10 of 11 Federal Home Loan Banks During 2016-2018 in Accordance with its Guidelines, But Failed to Support a Conclusion in the Report of Examination for the Other Bank                         | Audit                     | US          |



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| Report Date | Agency Reviewed / Investigated | Title   | Type                    | Location    |
|-------------|--------------------------------|---|-------------------------|-------------|
| 06/24/2019  | Federal Housing Finance Agency | Compliance Review of FHFA's Office of Minority and Women Inclusion  | Review                  | US          |
| 05/22/2019  | Federal Housing Finance Agency | Seventeenth Semiannual Report to the Congress   | Semiannual Report       | Agency-Wide |
| 05/16/2019  | Federal Housing Finance Agency | FHFA Complied with Applicable Improper Payment Requirements for Fiscal Year 2018  | Audit                   | Agency-Wide |
| 05/07/2019  | Federal Housing Finance Agency | Compliance Review of DBR's Examinations of Critical Cybersecurity Controls at the Federal Home Loan Banks   | Review                  | US          |
| 03/29/2019  | Federal Housing Finance Agency | The Enterprises' Use of Recourse as a Credit Enhancement Under Their Charters   | Other                   | US          |
| 03/29/2019  | Federal Housing Finance Agency | Special Report on the Common Securitization Platform: FHFA Lacked Transparency and Exercised Inadequate Oversight over a \$2.13 Billion, Seven-Year Project   | Other                   | US          |
| 03/27/2019  | Federal Housing Finance Agency | An Overview of Enterprise Debt-to-Income Ratios   | Other                   | US          |
| 03/27/2019  | Federal Housing Finance Agency | Subprime Mortgages: Enterprise and FHFA Reporting   | Other                   | US          |
| 03/27/2019  | Federal Housing Finance Agency | Fannie Mae Purchased Single-Family Mortgages, Including those Purchased through Master Agreements, in Accordance with Selected Credit Terms Set Forth in its Selling Guide for 2015-2017              | Audit                   | US          |
| 03/26/2019  | Federal Housing Finance Agency | FHFA Must Strengthen its Controls over the Hiring of Pathways Interns to Prevent the Improper Hiring of Relatives of Agency Employees   | Other                   | Agency-Wide |
| 03/26/2019  | Federal Housing Finance Agency | FHFA's Approval of Senior Executive Succession Planning at Freddie Mac Acted to Circumvent the Congressionally Mandated Cap on CEO Compensation   | Inspection / Evaluation | US          |
| 03/26/2019  | Federal Housing Finance Agency | FHFA's Approval of Senior Executive Succession Planning at Fannie Mae Acted to Circumvent the Congressionally Mandated Cap on CEO Compensation  | Inspection / Evaluation | US          |
| 03/13/2019  | Federal Housing Finance Agency | Summary of Administrative Inquiry: The Office Inspector General's Review of Alleged Badgering and Harassment of FHFA Employees that Play an Important Role in the Agency's Internal Control Framework | Other                   | US          |
| 03/13/2019  | Federal Housing Finance Agency | FHFA's Controls over Post-Employment Restrictions and Financial Disclosure Requirements for Offboarded Employees Were Followed During 2016 and 2017   | Audit                   | Agency-Wide |
| 03/13/2019  | Federal Housing Finance Agency | FHFA's Offboarding Controls over Access Cards, Sensitive IT Assets, and Records Were Not Always Documented or Followed During 2016 and 2017   | Audit                   | Agency-Wide |
| 03/11/2019  | Federal Housing Finance Agency | Management Advisory: Freddie Mac's Reimbursement of a Senior Vice President's Commuting Expenses from 2015 through the Third Quarter of 2018  | Other                   | US          |
| 02/13/2019  | Federal Housing Finance Agency | Compliance Review of FHFA Assessments of MRA Remediation Plans Submitted by the Enterprises   | Review                  | US          |
| 02/11/2019  | Federal Housing Finance Agency | External Penetration Test of FHFA's Network and Systems During 2018   | Audit                   | Agency-Wide |
| 01/25/2019  | Federal Housing Finance Agency | Compliance Review of FHFA's Suspended Counterparty Program  | Review                  | US          |
| 01/03/2019  | Federal Housing Finance Agency | Compliance Review of the Content and Communication of the Federal Housing Finance Agency's Reports of Examination to the Enterprises' Boards of Directors   | Review                  | US          |
| 11/29/2018  | Federal Housing Finance Agency | Report of Administrative Inquiry into Allegations of Misconduct by the FHFA Director  | Other                   | US          |
| 11/23/2018  | Federal Housing Finance Agency | Sixteenth Semiannual Report to the Congress   | Semiannual Report       | Agency-Wide |

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| Report Date | Agency Reviewed / Investigated | Title  | Type                      | Location    |
|-------------|--------------------------------|--|---------------------------|-------------|
| 10/24/2018  | Federal Housing Finance Agency | Performance Audit of the Federal Housing Finance Agency, Office of Inspector General's Information Security Program Fiscal Year 2018   | Audit                     | Agency-Wide |
| 10/24/2018  | Federal Housing Finance Agency | Performance Audit of the Federal Housing Finance Agency's Information Security Program Fiscal Year 2018  | Audit                     | Agency-Wide |
| 10/15/2018  | Federal Housing Finance Agency | FHFA Fiscal Year 2019 Management and Performance Challenges  | Top Management Challenges | Agency-Wide |
| 09/25/2018  | Federal Housing Finance Agency | Audit of FHFA's Fiscal Year 2017 Government Travel Card Program: FHFA Needs to Emphasize Certain Program Requirements to Travelers and Approving Officials   | Audit                     | Agency-Wide |
| 09/25/2018  | Federal Housing Finance Agency | FHFA Needs to Strengthen Controls over its Employee Transportation Benefits Programs   | Audit                     | Agency-Wide |
| 09/24/2018  | Federal Housing Finance Agency | Audit of FHFA's Oversight of the Enterprises' Affordable Housing Set-Asides and Allocations  | Audit                     | US          |
| 09/24/2018  | Federal Housing Finance Agency | Summary of Administrative Inquiry: The Office of Inspector General's Review of Alleged Time and Attendance Fraud by Two Senior Agency Officials  | Other                     | US          |
| 09/24/2018  | Federal Housing Finance Agency | FHFA Should Re-evaluate and Revise Fraud Reporting by the Enterprises to Enhance its Utility   | Inspection / Evaluation   | US          |
| 09/14/2018  | Federal Housing Finance Agency | An Overview of Enterprise Appraisal Waivers  | Other                     | US          |
| 09/12/2018  | Federal Housing Finance Agency | Freddie Mac's IMAGIN Pilot   | Other                     | US          |
| 09/06/2018  | Federal Housing Finance Agency | FHFA's Housing Finance Examiner Commissioning Program: \$7.7 Million and Four Years into the Program, the Agency has Fewer Commissioned Examiners  | Review                    | US          |
| 09/06/2018  | Federal Housing Finance Agency | Consolidation and Relocation of Fannie Mae's Northern Virginia Workforce   | Other                     | US          |
| 09/06/2018  | Federal Housing Finance Agency | Audit of FHFA's Fiscal Year 2017 Government Purchase Card Program Found Several Deficiencies with Leased Holiday Decorations, and the Need for Greater Attention by Cardholders and Approving Officials to Program Requirements              | Audit                     | US          |
| 09/06/2018  | Federal Housing Finance Agency | Management Advisory: Freddie Mac's Reimbursement of Certain Employees' Commuting Expenses  | Other                     | US          |
| 09/05/2018  | Federal Housing Finance Agency | Compliance Review of FHFA's Communication of Serious Deficiencies to the Enterprises' Boards of Directors  | Review                    | US          |
| 09/05/2018  | Federal Housing Finance Agency | Management Advisory: Use of an Agency Vehicle  | Other                     | US          |
| 08/17/2018  | Federal Housing Finance Agency | DBR's Safety and Soundness Quality Control Reviews Were Conducted in Compliance with FHFA's Standard During the 2017 Examination Cycle but DBR's Community Investment Quality Control Reviews Were Not                                       | Audit                     | US          |
| 07/26/2018  | Federal Housing Finance Agency | Administrative Review of a Potential Conflict of Interest Matter Involving a Senior Executive Officer at an Enterprise   | Other                     | US          |
| 07/23/2018  | Federal Housing Finance Agency | FHFA Letters of Instruction to the Enterprises   | Other                     | US          |
| 06/20/2018  | Federal Housing Finance Agency | Compliance Review of FHFA's Process for Making Changes to Conservatorship Scorecard Targets  | Review                    | US          |
| 05/23/2018  | Federal Housing Finance Agency | Fifteenth Semiannual Report to the Congress  | Semiannual Report         | Agency-Wide |
| 04/26/2018  | Federal Housing Finance Agency | FHFA Complied with Applicable Improper Payment Requirements During Fiscal Year 2017  | Audit                     | Agency-Wide |
| 03/28/2018  | Federal Housing Finance Agency | FHFA Failed to Ensure Freddie Mac's Remedial Plans for a Cybersecurity MRA Addressed All Deficiencies; as Allowed by its Standard, FHFA Closed the MRA after Independently Determining the Enterprise Completed its Planned Remedial Actions | Audit                     | US          |

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| Report Date | Agency Reviewed / Investigated | Title   | Type                      | Location    |
|-------------|--------------------------------|---|---------------------------|-------------|
| 03/28/2018  | Federal Housing Finance Agency | As Allowed by its Standard, FHFA Closed Three Fannie Mae Cybersecurity MRAs after Independently Determining the Enterprise Completed its Planned Remedial Actions   | Audit                     | US          |
| 03/28/2018  | Federal Housing Finance Agency | FHFA's Adoption of Clear Guidance on the Review of the Enterprises' Internal Audit Work When Assessing the Sufficiency of Remediation of Serious Deficiencies Would Assist FHFA Examiners                       | Inspection / Evaluation   | US          |
| 03/28/2018  | Federal Housing Finance Agency | FHFA Requires the Enterprises' Internal Audit Functions to Validate Remediation of Serious Deficiencies but Provides No Guidance and Imposes No Preconditions on Examiners' Use of that Validation Work         | Inspection / Evaluation   | US          |
| 03/27/2018  | Federal Housing Finance Agency | Update on FHFA's Implementation of its Revised Procedures for Overseeing the Enterprises' Single-Family Mortgage Underwriting Standards and Variances   | Review                    | US          |
| 03/27/2018  | Federal Housing Finance Agency | Enterprise Counterparties: Custodial Depository Institutions  | Other                     | US          |
| 03/23/2018  | Federal Housing Finance Agency | FHFA Should Address the Potential Disparity Between the Statutory Requirement for Fraud Reporting and its Implementing Regulation and Advisory Bulletin   | Other                     | US          |
| 03/13/2018  | Federal Housing Finance Agency | FHFA Completed its Planned Procedures for a 2016 Representation and Warranty Framework Targeted Examination at Freddie Mac, but the Supporting Workpapers Did Not Sufficiently Document the Examination Work    | Audit                     | US          |
| 03/13/2018  | Federal Housing Finance Agency | FHFA Completed its Planned Procedures for a 2015 Representation and Warranty Framework Targeted Examination at Fannie Mae, but Did Not Document a Change to Planned Testing                                     | Audit                     | US          |
| 03/08/2018  | Federal Housing Finance Agency | Audit of FHFA's Oversight of Freddie Mac's Compliance with the Required Risk Mitigants of Automated Underwriting, Mortgage Insurance, and Homeownership Education for its Purchases of Mortgages with a 97% LTV | Audit                     | US          |
| 03/08/2018  | Federal Housing Finance Agency | Audit of FHFA's Oversight of Fannie Mae's Compliance with the Required Risk Mitigants of Automated Underwriting, Mortgage Insurance, and Homeownership Education for its Purchases of Mortgages with a 97% LTV  | Audit                     | US          |
| 02/16/2018  | Federal Housing Finance Agency | Enterprise Counterparties: Mortgage Insurers  | Other                     | US          |
| 02/06/2018  | Federal Housing Finance Agency | Compliance Review of FHFA's Review Process for Transfers of Enterprise Mortgage Servicing Rights  | Review                    | US          |
| 01/31/2018  | Federal Housing Finance Agency | Corporate Governance: Review and Resolution of Conflicts of Interest Involving Fannie Mae's Senior Executive Officers Highlight the Need for Closer Attention to Governance Issues by FHFA                      | Inspection / Evaluation   | US          |
| 01/04/2018  | Federal Housing Finance Agency | Fannie Mae and Freddie Mac Purchases of Adjustable-Rate Mortgages   | Other                     | US          |
| 11/21/2017  | Federal Housing Finance Agency | Fourteenth Semiannual Report to the Congress  | Semiannual Report         | Agency-Wide |
| 10/17/2017  | Federal Housing Finance Agency | Performance Audit of the Federal Housing Finance Agency Office of Inspector General's Information Security Program Fiscal Year 2017   | Audit                     | Agency-Wide |
| 10/17/2017  | Federal Housing Finance Agency | Performance Audit of the Federal Housing Finance Agency's Information Security Program Fiscal Year 2017   | Audit                     | Agency-Wide |
| 10/15/2017  | Federal Housing Finance Agency | FHFA Fiscal Year 2018 Management and Performance Challenges   | Top Management Challenges | Agency-Wide |
| 09/28/2017  | Federal Housing Finance Agency | Special Report: Update on FHFA's Oversight of Fannie Mae's Build-Out of its Newly Leased Class A Office Space in Midtown Center   | Other                     | US          |
| 09/27/2017  | Federal Housing Finance Agency | FHFA Did Not Complete All Planned Supervisory Activities Related to Cybersecurity Risks at Freddie Mac for the 2016 Examination Cycle   | Audit                     | US          |
| 09/27/2017  | Federal Housing Finance Agency | FHFA Failed to Complete Non-MRA Supervisory Activities Related to Cybersecurity Risks at Fannie Mae Planned for the 2016 Examination Cycle  | Audit                     | US          |

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| Report Date | Agency Reviewed / Investigated | Title   | Type                    | Location    |
|-------------|--------------------------------|---|-------------------------|-------------|
| 09/27/2017  | Federal Housing Finance Agency | Need for Increased Oversight by FHFA to Ensure Freddie Mac's Policies and Procedures for Resolution of Executive Officer Conflicts of Interest Align with the Responsibilities of the Nominating and Governance Committee of the Freddie Mac Board of Directors | Other                   | US          |
| 09/22/2017  | Federal Housing Finance Agency | FHFA's 2015 and 2016 Supervisory Activities, as Planned, Addressed Identified Risks with Freddie Mac's New Representation and Warranty Framework  | Audit                   | US          |
| 09/22/2017  | Federal Housing Finance Agency | FHFA's 2015 Report of Examination to Fannie Mae Failed to Follow FHFA's Standards Because it Reported on an Incomplete Targeted Examination of the Enterprise's New Representation and Warranty Framework   | Audit                   | US          |
| 09/19/2017  | Federal Housing Finance Agency | Compliance Review of FHFA's Revised Process for Reviewing the Enterprises' Annual Operating Budgets   | Review                  | US          |
| 09/07/2017  | Federal Housing Finance Agency | Fannie Mae and Freddie Mac in the Multifamily Market  | Other                   | US          |
| 08/30/2017  | Federal Housing Finance Agency | Performance Audit of the Federal Housing Finance Agency's (FHFA) Privacy Program  | Audit                   | Agency-Wide |
| 08/17/2017  | Federal Housing Finance Agency | The Gap in FHFA's Quality Control Review Program Increases the Risk of Inaccurate Conclusions in its Reports of Examination of Fannie Mae and Freddie Mac   | Inspection / Evaluation | US          |
| 08/17/2017  | Federal Housing Finance Agency | Existing Statutory Capital Requirements for Fannie Mae and Freddie Mac  | Other                   | US          |
| 07/31/2017  | Federal Housing Finance Agency | FHFA Should Improve its Administration of the Suspended Counterparty Program  | Other                   | US          |
| 07/25/2017  | Federal Housing Finance Agency | FHFA's Compliance with its Documentary Standards for Issuing Housing Finance Examiner Commissions   | Other                   | US          |
| 07/24/2017  | Federal Housing Finance Agency | NPL Sales: Additional Controls Would Increase Compliance with FHFA's Sales Requirements   | Audit                   | US          |
| 06/12/2017  | Federal Housing Finance Agency | Closure of OIG Review of FHFA's Supervision of an Enterprise's Remediation of Matters Requiring Attention (REDACTED)  | Inspection / Evaluation | US          |
| 05/25/2017  | Federal Housing Finance Agency | FHFA's Processes for General Support System Component Inventory Need Improvement  | Audit                   | Agency-Wide |
| 05/18/2017  | Federal Housing Finance Agency | Thirteenth Semiannual Report to the Congress  | Semiannual Report       | Agency-Wide |
| 05/10/2017  | Federal Housing Finance Agency | FHFA Complied with Applicable Improper Payment Requirements During Fiscal Year 2016   | Audit                   | Agency-Wide |
| 05/05/2017  | Federal Housing Finance Agency | FHFA's Examination Program for the FHLBanks' Internal Audit Functions Was Adequately Designed and Executed  | Audit                   | US          |
| 03/28/2017  | Federal Housing Finance Agency | FHFA's Practice for Rotation of its Examiners Is Inconsistent between its Two Supervisory Divisions   | Inspection / Evaluation | US          |
| 03/27/2017  | Federal Housing Finance Agency | Risk Assessment of FHFA's Fiscal Years 2016 and 2015 Government Purchase Card and Travel Card Programs  | Other                   | Agency-Wide |
| 03/23/2017  | Federal Housing Finance Agency | Administrative Investigation into Anonymous Hotline Complaints Concerning Timeliness and Completeness of Disclosures Regarding a Potential Conflict of Interest by a Senior Executive Officer of an Enterprise  | Other                   | US          |
| 03/22/2017  | Federal Housing Finance Agency | Directives from the Audit Committee of the Freddie Mac Board of Directors Caused Management to Improve its Reporting about Remediation of Serious Deficiencies from October 2015 through September 2016   | Inspection / Evaluation | US          |
| 03/22/2017  | Federal Housing Finance Agency | Update on FHFA's Implementation of its Housing Finance Examiner Commission Program  | Other                   | US          |
| 01/24/2017  | Federal Housing Finance Agency | Compliance Review of Federal Home Loan Bank Fraud Reporting to FHFA   | Review                  | US          |

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|-------------|--------------------------------|--|---------------------------|-------------|
| 12/21/2016  | Federal Housing Finance Agency | FHFA's Examinations Have Not Confirmed Compliance by One Enterprise with its Advisory Bulletins Regarding Risk Management of Nonbank Sellers and Servicers (REDACTED)  | Inspection / Evaluation   | US          |
| 12/15/2016  | Federal Housing Finance Agency | Safe and Sound Operation of the Enterprises Cannot Be Assumed Because of Significant Shortcomings in FHFA's Supervision Program for the Enterprises  | Other                     | US          |
| 12/15/2016  | Federal Housing Finance Agency | Fannie Mae Dallas Regional Headquarters Project  | Other                     | US          |
| 12/09/2016  | Federal Housing Finance Agency | Update on the Status of the Development of the Common Securitization Platform (REDACTED)   | Other                     | US          |
| 12/06/2016  | Federal Housing Finance Agency | Administrative Investigation of an Anonymous Hotline Complaint Alleging Use of FHFA Vehicles and FHFA Employees in a Manner Inconsistent with Law and Regulation   | Investigation             | US          |
| 11/14/2016  | Federal Housing Finance Agency | Twelfth Semiannual Report to the Congress  | Semiannual Report         | Agency-Wide |
| 11/09/2016  | Federal Housing Finance Agency | FHFA's Use of Inconsistent Criteria Materially Affected its Reporting of Remediation of Serious Deficiencies in its 2015 Performance and Accountability Report   | Inspection / Evaluation   | US          |
| 10/26/2016  | Federal Housing Finance Agency | Performance Audit of the Federal Housing Finance Agency's Information Security Program Fiscal Year 2016  | Audit                     | Agency-Wide |
| 10/26/2016  | Federal Housing Finance Agency | Performance Audit of the Federal Housing Finance Agency Office of Inspector General's Information Security Program Fiscal Year 2016  | Audit                     | US          |
| 10/06/2016  | Federal Housing Finance Agency | FHFA Fiscal Year 2017 Management and Performance Challenges  | Top Management Challenges | Agency-Wide |
| 09/30/2016  | Federal Housing Finance Agency | FHFA's Supervisory Planning Process for the Enterprises: Roughly Half of FHFA's 2014 and 2015 High-Priority Planned Targeted Examinations Did Not Trace to Risk Assessments and Most High-Priority Planned Examinations Were Not Completed                       | Audit                     | US          |
| 09/30/2016  | Federal Housing Finance Agency | FHFA's Targeted Examinations of Fannie Mae: Less than Half of the Targeted Examinations Planned for 2012 through 2015 Were Completed and No Examinations Planned for 2015 Were Completed Before the Report of Examination Issued                                 | Audit                     | US          |
| 09/30/2016  | Federal Housing Finance Agency | FHFA's Targeted Examinations of Freddie Mac: Just Over Half of the Targeted Examinations Planned for 2012 through 2015 Were Completed  | Audit                     | US          |
| 09/07/2016  | Federal Housing Finance Agency | Shale Oil Boom and Bust: Implications for the Mortgage Market  | Other                     | US          |
| 08/11/2016  | Federal Housing Finance Agency | Kearney & Company, P.C.'s Results of the Federal Housing Finance Agency's Cybersecurity Act Audit (REDACTED)   | Audit                     | Agency-Wide |
| 07/14/2016  | Federal Housing Finance Agency | FHFA's Inconsistent Practices in Assessing Enterprise Remediation of Serious Deficiencies and Weaknesses in its Tracking Systems Limit the Effectiveness of FHFA's Supervision of the Enterprises  | Inspection / Evaluation   | US          |
| 07/14/2016  | Federal Housing Finance Agency | FHFA's Failure to Consistently Identify Specific Deficiencies and Their Root Causes in Its Reports of Examination Constrains the Ability of the Enterprise Boards to Exercise Effective Oversight of Management's Remediation of Supervisory Concerns (REDACTED) | Inspection / Evaluation   | US          |
| 07/14/2016  | Federal Housing Finance Agency | FHFA Failed to Consistently Deliver Timely Reports of Examination to the Enterprise Boards and Obtain Written Responses from the Boards Regarding Remediation of Supervisory Concerns Identified in those Reports (REACTED)                                      | Inspection / Evaluation   | US          |
| 07/14/2016  | Federal Housing Finance Agency | Compliance Review of FHFA's Implementation of its Consumer Communications Procedures   | Review                    | US          |
| 06/16/2016  | Federal Housing Finance Agency | Management Alert: Need for Increased Oversight by FHFA, as Conservator of Fannie Mae, of the Projected Costs Associated with Fannie Mae's Headquarters Consolidation and Relocation Project  | Other                     | DC, US      |
| 05/26/2016  | Federal Housing Finance Agency | FHFA's Implementation of Its Automated System to Track Deficiencies Identified in Federal Home Loan Bank Examinations  | Review                    | US          |



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| Report Date | Agency Reviewed / Investigated | Title   | Type                      | Location    |
|-------------|--------------------------------|---|---------------------------|-------------|
| 05/17/2016  | Federal Housing Finance Agency | Eleventh Semiannual Report to the Congress  | Semiannual Report         | Agency-Wide |
| 05/05/2016  | Federal Housing Finance Agency | FHFA Complied with Applicable Improper Payment Requirements During Fiscal Year 2015   | Audit                     | Agency-Wide |
| 03/31/2016  | Federal Housing Finance Agency | FHFA's Supervisory Standards for Communication of Serious Deficiencies to Enterprise Boards and for Board Oversight of Management's Remediation Efforts are Inadequate                          | Inspection / Evaluation   | US          |
| 03/31/2016  | Federal Housing Finance Agency | Corporate Governance: Cyber Risk Oversight by the Fannie Mae Board of Directors Highlights the Need for FHFA's Closer Attention to Governance Issues  | Inspection / Evaluation   | US          |
| 03/29/2016  | Federal Housing Finance Agency | FHFA's Examiners Did Not Meet Requirements and Guidance for Oversight of an Enterprise's Remediation of Serious Deficiencies (REDACTED)   | Inspection / Evaluation   | US          |
| 03/28/2016  | Federal Housing Finance Agency | FHFA's Oversight of the Enterprises' Implementation of and Compliance with Conservatorship Directives during an 18-Month Period (REDACTED)  | Inspection / Evaluation   | US          |
| 03/28/2016  | Federal Housing Finance Agency | FHFA Should Map Its Supervisory Standards for Cyber Risk Management to Appropriate Elements of the NIST Framework   | Inspection / Evaluation   | US          |
| 03/28/2016  | Federal Housing Finance Agency | Review of FHFA's Tracking and Rating of the 2013 Scorecard Objective for the New Representation and Warranty Framework Reveals Opportunities to Strengthen the Process                          | Audit                     | US          |
| 03/17/2016  | Federal Housing Finance Agency | Compliance Review of FHFA's Oversight of Enterprise Executive Compensation Based on Corporate Scorecard Performance   | Review                    | US          |
| 03/16/2016  | Federal Housing Finance Agency | Merger of the Federal Home Loan Banks of Des Moines and Seattle: FHFA's Role and Approach for Overseeing the Continuing FHLBank   | Other                     | US          |
| 03/09/2016  | Federal Housing Finance Agency | \$1.1 Billion Increase in Expenses for Fannie Mae and Freddie Mac from 2012 through 2015: Where the Money Went  | Other                     | US          |
| 02/29/2016  | Federal Housing Finance Agency | FHFA Should Improve its Examinations of the Effectiveness of the Federal Home Loan Banks' Cyber Risk Management Programs by Including an Assessment of the Design of Critical Internal Controls | Audit                     | US          |
| 01/04/2016  | Federal Housing Finance Agency | Utility of FHFA's Semi-Annual Risk Assessments Would Be Enhanced Through Adoption of Clear Standards and Defined Measures of Risk Levels  | Inspection / Evaluation   | US          |
| 12/17/2015  | Federal Housing Finance Agency | Compliance Review of FHFA's Implementation of Its Procedures for Overseeing the Enterprises' Single-Family Mortgage Underwriting Standards and Variances  | Review                    | US          |
| 11/19/2015  | Federal Housing Finance Agency | Tenth Semiannual Report to the Congress   | Semiannual Report         | Agency-Wide |
| 10/05/2015  | Federal Housing Finance Agency | FHFA Fiscal Year 2016 Management and Performance Challenges   | Top Management Challenges | Agency-Wide |
| 07/29/2015  | Federal Housing Finance Agency | OIG's Compliance Review of FHFA's Implementation of Its Housing Finance Examiner Commission Program   | Review                    | US          |
| 05/19/2015  | Federal Housing Finance Agency | Ninth Semiannual Report to the Congress   | Semiannual Report         | Agency-Wide |

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